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2×300 Gbit/s Line Rate PS-64QAM-OFDM THz Photonic-Wireless Transmission

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Abstract—The proliferation of wireless broadband services have significantly raised the demand for high data rates. Due to the limited bandwidth of radio frequency (RF) bands that are currently in use for communication purposes, the choice of the 'Terahertz (THz) frequency region' (0.3-10 THz) is getting favored thanks to its merits of bringing together wireless and optical communications with photonics technologies. We report on an experimental demonstration of a hybrid THz photonic-wireless transmission based on a THz orthogonal polarization dual-antenna scheme. Probabilistic shaped 64-ary quadrature amplitude modulation based orthogonal frequency division multiplexing (64QAM-OFDM) modulation format is used to realize high transmission rate. A potential total system throughput of 612.65 Gbit/s (around 2×300 Gbit/s line rate) with an average net spectral efficiency of 4.445 bit/s/Hz per antenna is successfully achieved.

Index Terms—Radio-frequency photonics, THz communication, ultrafast information processing

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I. INTRODUCTION

WITH the ever-expanding degree of digitalization of our society, the past few years have witnessed an astounding annual growth of the mobile data traffic around 50% [1]. To face the challenges brought by the coming or emerging 5G, beyond 5G (B5G) and Internet of Things (IoT) services, the demand for wireless bandwidth and data traffic is projected to grow even faster in the coming years [2]-[4]. Capacity crunch is becoming a major challenge for current and future wireless communication networks, as the incremental connection speed provided by moving from 3G to 5G networks may not be sufficient to meet all requirements. Therefore, higher data rates beyond 100 Gbit/s, and eventually Tbit/s scale, are predicted to be required in numerous wireless connections [5], [6].

As of today, the spectral resources in the frequency range below 60 GHz have been almost fully exploited and are limited by the transmitted power [7]. Subsequently, the unexploited higher frequency bands providing larger bandwidth are currently being explored for wireless communications [7]. The development of photonics technologies has significantly accelerated the research progress to demonstrate very high data rate transmission in frequency bands above 60 GHz, with heterodyne photo-mixing, which becomes an attractive solution for transparent millimeter wave (MMW) and THz signal generation [8], [9]. In details, photo-mixing based photonics technique, on one hand, features the capability of generate broadband MMW/THz signals with frequency tunability by overcoming the electronic bandwidth bottle neck. On the other hand, it is also transparent to optical modulation formats, which enables a unique opportunity to transfer large optical capacity into the wireless domain, and provides a promising solution to seamlessly converge the fiber-optic networks and wireless networks for future generations. In the W-band (75-110 GHz), several works incorporating spatial multiple-input-multiple-output (MIMO) and optical polarization division multiplexing (PDM) techniques [10]-[14] of data rates in the order of 100 Gbit/s have been demonstrated. In the D-band (110-170 GHz), a record of delivering up to 1-Tbit/s capacity has been realized by using MIMO and probabilistic shaping 64-ary quadrature amplitude modulation (PS-64QAM) [15]. System-level demonstrations in the sub-THz band (200-300 GHz), with the wireless data rates in the order of 100 Gbit/s, have also been demonstrated by employing uni-travelling photodiodes (UTC-PDs) and optical

output of the WSS-1, the two selected coherent optical carrier tones are launched into an in-phase (I) and quadrature (Q) optical modulator (IQ-MOD, 40 GHz bandwidth), where a polarization controller (PC) is used to align the polarization of optical carriers with the IQ-MOD. A two-channel 65 GSa/s arbitrary waveform generator (AWG, Keysight M8195A, 25 GHz analog bandwidth, 8 bits vertical resolution) is used to generate the PS-64QAM-OFDM signal and drive the IQ-MOD. The length of the inverse fast Fourier transform (IFFT) and cyclic prefix (CP) of the PS-64QAM-OFDM signal are set to 1024 and 16, respectively, and the first two subcarriers are set to null. The OFDM signal is formed from a random bit sequence generated from MATLAB. The sequence length depends on the spectral efficiency and the number of OFDM symbols. In the experiment, there are 150 OFDM symbols and the pattern length is around $8.5E5$ bits. The data length of OFDM signal is around $1.56E5$ samples, and the pseudo random sequence is inserted for frame synchronization. After modulation and being amplified by an erbium-doped fiber amplifier (EDFA), the two optical baseband channels are separated by WSS-2. A 1-m fiber based delay line is added in one path to de-correlate the signals between the two adjacent channels. The gain of EDFA before the WSS-2 is variable to preserve the WSS from being damaged by excessive input power. Then two modulated optical tones are aligned by a PC to adjust the polarization state. Then, they are combined by a 3-dB optical coupler and amplified by an EDFA followed by an optical band-pass filter (OBPF) to suppress out-of-band amplified spontaneous emission (ASE). A second free-running ECL (ECL-2, ID Photonics CoBriteDX1), acting as a remote optical local oscillator (LO), is coupled with the modulated optical signal after the polarization alignment by a PC and polarizer for the THz signal generation. Here, a polarization maintaining (Pol. M) variable optical attenuator (VOA) is used to control the power of the optical signal with modulation before combining the optical LO, to keep the power ratio balanced between the optical LO and signal for the highest photo-mixing efficiency in the uni-travelling carrier photodiode (UTC-PD) [39].

B. THz emission, transmission and reception

The combined optical modulated signal and un-modulated LO are divided into two equal parts by a 3-dB Pol. M coupler, which are sent into two corresponding ultra-broadband UTC-PDs (IOD-PMJ-13001) respectively for the heterodyne photomixing-based generation of THz signals [40]. It should be noted that the length difference between the two optical paths from the coupler to UTC-PD-X and -Y is set to longer than 1m, and hence the signals launched into two UTC-PDs are de-correlated. Before each UTC-PD, there is a Pol. M VOA used to control the launched optical power, which is up to 13 dBm. The THz output power of the UTC-PD (280-380 GHz, 100 GHz bandwidth) with a conversion efficiency of 0.15 A/W is from -20 dBm to -10 dBm, depending on the optical input power. The THz rectangle horn antennas after the UTC-PDs are used to radiate generated THz waves and control the polarization of the emitted THz beams by rotating the antennas

around their horizontal central axis. In this experiment, the longer side of rectangular opening of one THz horn antenna is along the horizontal axis X, as the longer side of the other antenna is along the vertical axis Y in order to avoid the polarization crosstalk between the two THz antennas, as shown in the insets of Fig. 1. At the output of each UTC-PD followed by a horn antenna, dual-channel THz signals with corresponding polarization are generated and emitted into the free space. Two pairs of THz lenses with a 100-mm diameter and 200-mm focus length are employed to collimate the THz beams in two 2.8-m line-of-sight (LOS) links corresponding to the X and Y antennas respectively (as shown in the insets of Fig. 1).

At the receiver side, in each polarization path, the received THz signal in each channel is individually down-converted to an intermediate frequency (IF) signal, by using a sub-harmonic Schottky mixer (VDI WR2.2, 40 GHz IF bandwidth) operating in the 320-500 GHz band. The mixer is driven by a 12-time frequency multiplied electrical LO signal, which is tunable in the frequency range of 26.7-31.7 GHz. It should be noted that here we use one single THz receiver to successively receive and down-convert the THz signals from the X path and Y path. The transmitted THz signals in X and Y path can be received and down-converted simultaneously in theory when the experiment condition is satisfied. In the experiment, the 60-GHz available data bandwidth is equally separated into two 30-GHz channels which are down-converted individually at the receiver side, which is limited by the data bandwidth of the AWG and IF bandwidth of the THz receiver. However, it should be noted that the current all-electrical processing capability of data signal generation, receive and de-modulation could cover 60-GHz bandwidth. Therefore, if we employ the AWG and THz receiver with wider bandwidth, the 60-GHz data signal can be generated, received and down-converted simultaneously instead of two channels.

The IF output is amplified by a RF amplifier with 22-dB gain and 60-GHz bandwidth, and then sent into a broadband real-time digital sampling oscilloscope (DSO, Keysight DSOZ594A Infiniium, 8 bits vertical resolution) with 160 GSa/s sampling rate and 59 GHz analogue bandwidth for analog-to-digital conversion. The data length collected in the receiver depends on the storage space of the DSO, it is set to $4E6$ in the experiment, and the OFDM signal frame is extracted after synchronization.

C. Digital signal processing (DSP) routine

At the transmitter side, the PS method [41] is used to map the bit sequence to PS-64QAM symbols, where each axis of the 64QAM constellations is distributed based on Maxwell-Boltzmann distribution. The probability mass function of in-phase and quadrature-phase symbol of QAM is shown as follows.

$$P_X(x_i) = k \cdot \exp(-v|x_i|^2). \quad (1)$$

$$k = 1/\sum_{j=1}^M \exp(-v|x_j|^2). \quad (2)$$

The symbol x_i means the discrete symbol of in-phase and quadrature-phase symbol of QAM, it corresponds to $\{-7, -5, -3,$

$-1, +1, +3, +5, +7\}$ for 64QAM mapping. The variable v in the range of $[0, 1]$ is used to control the shape of the probability distribution. Larger v will contribute to larger variance of the probabilities at different symbols, it is adjusted in the experiment according to the channel SNR. The variable k is used to keep the addition of all probabilities equals to 1. As the probabilistic shaping technique applies a higher probability to the inner constellation points, and a lower probability to the outer constellation points, resulting in a reduced average power compared to the equally distributed 64-QAM signals. Therefore, an improved noise tolerance and a better bit-energy efficiency in a transmission system can be expected. The 64-QAM constellation probability distributions of different carriers in different paths here is shown in Fig. 2. After subcarrier mapping, the IFFT module realizes the OFDM modulation and CP is used to reduce the influence of inter-symbol interference.

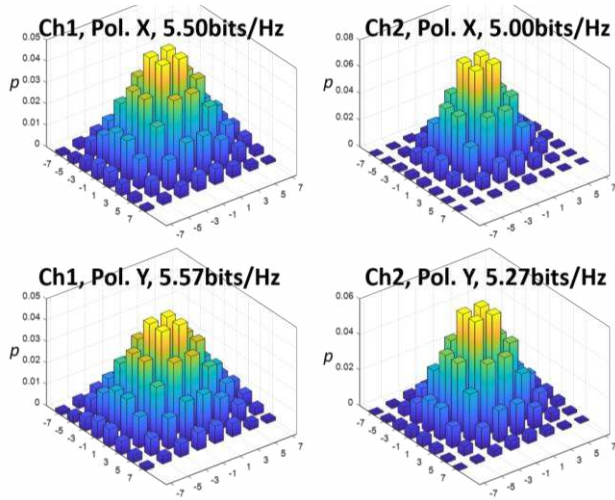


Fig. 2. The 64-QAM constellation probability distributions of different carriers in different paths.

At the receiver side, the DSP equalization routine is shown in Fig. 3. The signal is first down-converted from IF to the baseband and synchronized. Then, FFT module carries out the OFDM demodulation after CP removal. After that, post-equalization is used to mitigate the channel impairments. The channel post-equalization is composed of linear equalization (LE), phase noise compensation (PNC) and nonlinear equalization (NE) modules. The LE pilots are interleaved in the time domain, and the linear channel response of each subcarrier is estimated by averaging the pilot response at the corresponding subcarriers. Then, the signals in the frequency domain are compensated by the least-squares algorithm [42]. After the LE, the signal is re-modulated to the time domain by the IFFT operation and the phase noise is compensated in the time domain by linear interpolation-based fitting process [33]. In the final step, the OFDM signal in the time domain is equalized with the Volterra filtering to mitigate the nonlinear impairments [43]. In the experiment, nonlinear Volterra kernels up to 3rd order are considered, and the recursive least squares (RLS) algorithm [43] is used for the coefficients training. After the Volterra filtering, the signal is recovered back to the frequency domain by the FFT operation.

The user data is recovered back by QAM de-mapping when the iteration loop ends. The channel post-equalization is an iterative process, where the NE occupies most of the computational complexity. Assuming the iteration number is N_I , M_k is the memory length of k -th order Volterra kernel, the complexity is in the order of $O(N_I M_3^3)$. In the experiment, the iteration number N_I is set to 3 and the memory length of 2nd Volterra kernel M_2 and 3rd Volterra kernel M_3 are set to 11 and 9, respectively.

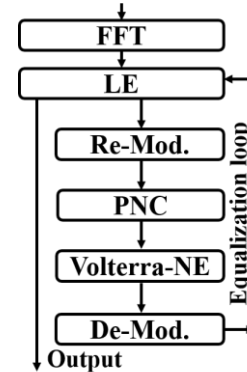


Fig. 3. DSP equalization routine at the receiver side.

III. RESULTS AND DISCUSSIONS

As illustrated in Fig. 4(a), the combined optical spectrum consisting of one un-modulated optical LO tone and two-channel optical carriers with modulation before being launched into the UTC-PD, is measured by an optical spectrum analyzer (OSA, APEX AP206x) with high resolution (140 MHz/1.12 pm). The photo-mixing of the LO laser and modulated carriers in the UTC-PD generates two-channel THz signals. The combined electrical spectra of the generated THz signals in X and Y paths are shown in Fig. 4(b) and Fig. 4(c), measured from the down-converted IF signal after 2.8 m wireless transmission. As shown in Fig. 4(b), the signal can be successfully received when the antenna polarization axis is aligned with the receiver side. Comparatively, there is no useful signal when the receiver polarization is perpendicular to that of the transmitter, as shown in Fig. 4(c). Therefore, there is negligible polarization crosstalk between X and Y paths, confirming the feasibility of multiplexing THz polarizations in our experimental demonstration. In the electrical spectrum, only the frequency tones which are higher than the background noise in the DSO clock leakage is observed. The operational bandwidth of the THz link in this work is basically limited by the UTC-PD (280-380 GHz) and the THz receiver (320-500 GHz), which lead to the only 60 GHz (320-380 GHz) available bandwidth. Furthermore, the limitation of the system performance is basically from the SNRs of the received THz signals and the un-even frequency response over the THz receiver's bandwidth. In terms of the SNR, the conversion efficiency of the UTC-PD (0.15 A/W) and the THz receiver are the primary limiting factors. In terms of the latter, the un-even frequency response further results in lower SNRs for the outer THz spectrum, as shown in the received THz signals spectrum in Fig. 4.

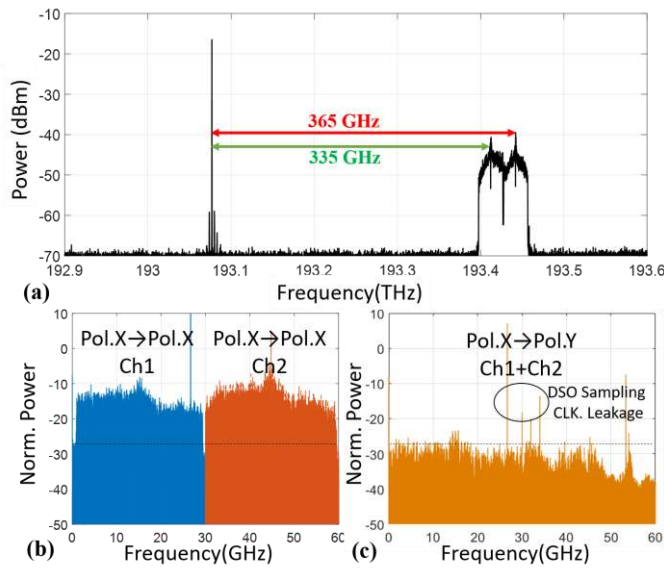


Fig. 4. (a) The optical spectrum of combing modulation and LO wavelengths. The combined THz electrical spectrum in one path (X polarization) when (b) aligned with the polarization state of the receiver (Pol. X→Pol.X), and (c) perpendicular to the polarization state of the receiver (Pol. X→Pol.Y).

The two-channel THz signals in both X and Y paths are evaluated after the 2.8-m wireless transmissions. The bit error ratio (BER) performance is measured as a function of the launched optical power into the UTC-PDs for each channel for both two paths with orthogonal THz polarizations (X and Y). The measured BER performance is shown in Fig. 5. Here we use the low-density parity-check convolutional codes (LDPC-CC) FEC threshold ($2.7E-2$, 20%- OH) [33]. It can be seen that both transmissions through the two THz polarization multiplexing paths can reach the FEC threshold at optical powers of 12 to 13 dBm, respectively. The line rate of the first and second channel of the X polarization are 155.01 Gbit/s and 146.63 Gbit/s, respectively. Similarly, the line rate of the first and second channel of the Y polarization are 159.81 Gbit/s and 151.20 Gbit/s, respectively. In this case, the potential total system line rate is up to 612.65 Gbit/s, resulting in a net rate of 510.5 Gbit/s and an average net spectral efficiency of 4.445 bit/s/Hz per polarization, when subtracting the FEC overhead. The PS-64QAM constellation with 5.5 bit/symbol PS efficiency at 13 dBm optical power for the first channel of the X path is shown in Fig. 6. The constellation points are marked according to their probability distribution density, and the probability mass function of in-phase and quadrature-phase symbol of transmitted and received PS-64QAM are also shown in the insets of Fig. 6. As shown in Fig. 7, the BER performance for the first channel of X path for four cases of different DSP modules combined (w/o equalization, LE, LE+PNC, LE+PNC+NE), has been measured as a function of the optical power launched into the UTC-PD. It shows the BER performance improvement by using the DSP algorithms. In addition, it is noted that the technique of OFDM is employed together with PS-64QAM in this experiment, to minimize the influence of un-flat frequency response and especially the harmonic spurs within the bandwidth of the THz receiver.

It should be noted that here we use two polarization orthogonal THz antennas to double the system capacity, which is different from the current MIMO technology. Most of the MIMO systems currently in use transmit same copies of signal with phase differences / time delays to all the antenna elements for beamforming and use space-time coding to mitigate multipath effect. However, this is different from spatial/polarization multiplexing that we are exploring in this work. In our case, considering our very high data rate and sufficient time delay that is longer than the memory length of our receiver, this wireless system can be regarded as two independent data channels (X and Y paths). Moreover, we have detected each polarization path blindly and independently without any space-time decoding. Therefore, this is intrinsically different from the current MIMO technology. In the future, according to [44], it is well envisioned that the spatial multiplexing and beamforming can be converged in a single ultra-massive MIMO system: 1) divide the whole antenna array into sub-arrays; 2) each sub-array transmitting independent data; 3) within each sub-array one can perform beamforming.

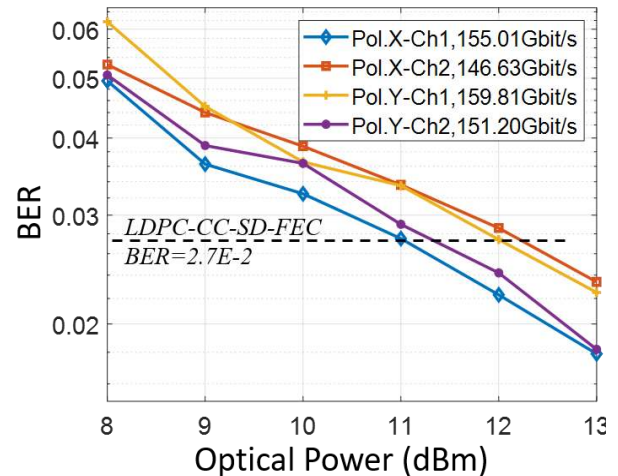


Fig. 5. BER performance versus the optical power launched into the UTC-PD for two channels for X path and Y path.

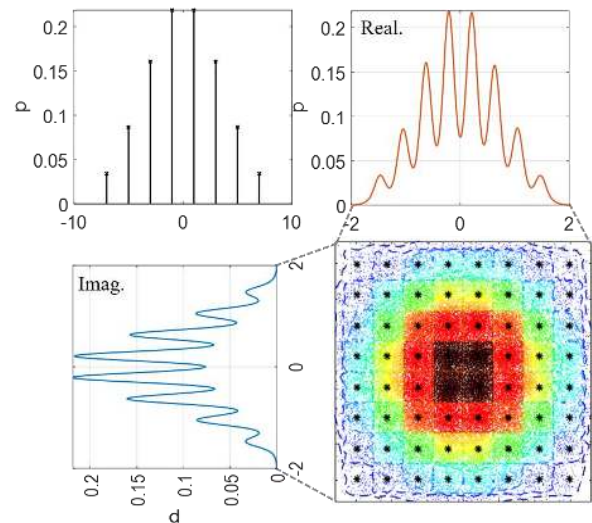


Fig. 6. Constellations captured at an optical power of 13 dBm for each channel for both two paths.

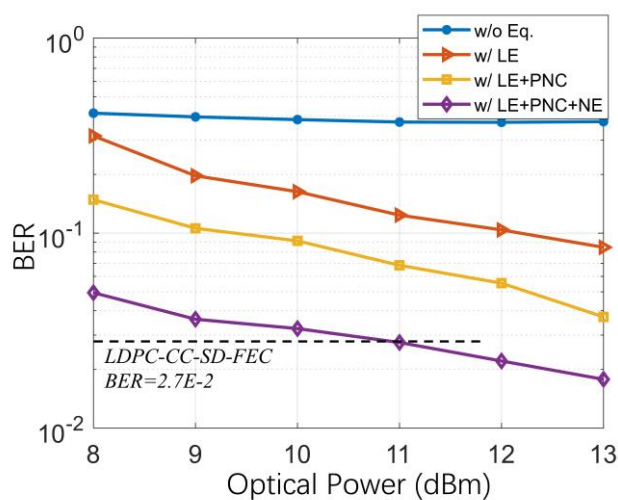


Fig.7. BER versus the optical power launched into the UTC-PD, for the first channel of X path, for 4 cases with different combinations of DSP modules.

IV. CONCLUSION

We have proposed and experimentally demonstrated a THz photonic-wireless transmission system in the 320-380 GHz band with an extremely high line rate of 612.65 Gbit/s (approximately 2x300 Gbit/s line rate and 2x250 Gbit/s net rate) and an average net spectral efficiency of 4.445 bit/s/Hz per antenna. The THz photonic-wireless transmission system with such a high bitrate is enabled by employing PS-64QAM-OFDM modulation, THz orthogonal polarization dual antenna-based reception scheme and specifically tailored post-equalization DSP routine.

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