

3-D-Integrated RF and Millimeter-Wave Functions and Modules Using Liquid Crystal Polymer (LCP) System-on-Package Technology

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Abstract—Electronics packaging evolution involves system, technology, and material considerations. In this paper, we present a novel three-dimensional (3-D) integration approach for system-on-package (SOP)-based solutions for wireless communication applications. This concept is proposed for the 3-D integration of RF and millimeter (mm) wave embedded functions in front-end modules by means of stacking substrates using liquid crystal polymer (LCP) multilayer and μ BGA technologies. Characterization and modeling of high-Q RF inductors using LCP is described. A single-input-single-output (SISO) dual-band filter operating at ISM 2.4–2.5 GHz and UNII 5.15–5.85 GHz frequency bands, two dual-polarization 2x1 antenna arrays operating at 14 and 35 GHz, and a WLAN IEEE 802.11a-compliant compact module (volume of $75 \times 35 \times 0.2 \text{ mm}^3$) have been fabricated on LCP substrate, showing the great potential of the SOP approach for 3-D-integrated RF and mm wave functions and modules.

Index Terms—Dual-band antenna, dual-band filter, embedded functions, high-Q passives, inductors, liquid crystal polymer (LCP), μ BGA, multilayer modules, RF and mm-waves front-end module, system-on-package (SOP), three-dimensional (3-D) integration.

I. INTRODUCTION

EMERGING wireless communication and sensor applications in the RF/microwave/millimeter (mm) wave regimes require miniaturization, portability, cost, and performance as key driving forces in the electronics packaging evolution. The system-on-package (SOP) approach [versus the system-on-chip (SOC)] for module development [1] has become a primary focus of research due to the real estate efficiency, cost-saving, size reduction, and performance improvement contributed by its inherent capability for the easy integration of embedded functions, thus, simultaneously satisfying the specifications of the next generation wireless communication systems. Also, the three-dimensional (3-D) integration approach is an emerging and very attractive option for these systems. However, current 3-D RF module integration is still based on low-density hybrid assembly technologies [2], [3].

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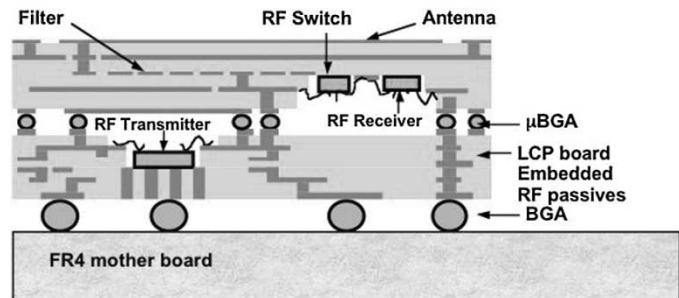


Fig. 1. 3-D-integrated module concept view.

In this paper, we present novel liquid crystal polymer (LCP) multilayer technologies, and stacking board technique using μ BGA as the candidates of choice for the 3-D integration of RF front-end modules up to 35 GHz. Various figures of merit of the performance of high-Q RF inductor such as quality factor values up to 90 in C and X bands, using LCP are reported. A single-input-single-output (SISO) dual-band filter using the “dual-behavior resonators” technique is presented for WLAN operating frequency bands ISM 2.4–2.5 and UNII 5.15–5.85 GHz. The insertion loss and return loss at the central frequency are 2.4 and 15 dB for the 2.4-GHz band, respectively, and 1.8 and 8 dB for the 5-GHz band, respectively. Two dual-polarization 2×1 antenna arrays on LCP are also reported. The frequencies of operation are 14 and 35 GHz and and both integrated structures exhibit a high efficiency and a low cross-polarization level. Finally, one WLAN IEEE 802.11a-compliant compact module (volume of $75 \times 35 \times 0.2 \text{ mm}^3$) has been fabricated on LCP substrate, showing the great potential of the SOP approach for 3-D-integrated RF and mm waves functions and modules.

II. 3-D-INTEGRATED MODULE CONCEPT

Fig. 1 illustrates the proposed multilayer module concept. Two stacked SOP multilayer substrates are used and board-to-board vertical transition is ensured by μ BGA balls. Standard alignment equipment is used to stack the board and, thus, provide a compact, high-performance, and low-cost assembly process. Multisteped cavities into the SOP boards provide spacing for embedded RF active devices (RF switch, RF receiver, and RF transmitter) chipset and, thus, lead to significant volume reduction by minimizing the gap between

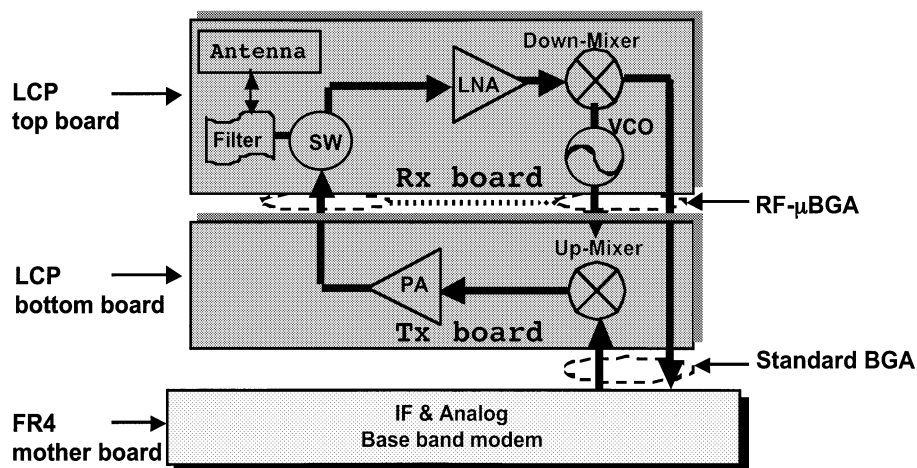


Fig. 2. Rx and Tx board block diagram.

TABLE I
COMPARAISON OF SUBSTRATE PROPERTIES

	FR4	LTCC	LCP
Dielectric constant	4.5@1MHz	5.6@20GHz	2.9-3.0@20GHz
Loss Tangent	0.02	0.0012	0.002
CTE	15-20*10 ⁻⁶ /K	5.9*10 ⁻⁶ /K	3-17 *10 ⁻⁶ /K engineered
Cost	Very Low	Medium	Low

the boards. Active devices can be flip-chipped, as well as wire-bonded. Cavities also provide an excellent opportunity for the easy integration of RF MEMS devices, such as MEMS switches or tuners. Passive components, off-chip matching networks, embedded filter, and antennas are implemented directly into the SOP boards by using multilayer technologies [4]–[6]. Standard BGA balls ensure the effective broadband interconnection of this high density module with motherboards such as the FR4 board. The top and the bottom substrates are dedicated respectively to the receiver and transmitter building blocks of the RF front-end module.

Fig. 2 shows the RF block diagram of each board. The receiver board includes antenna, bandpass filter, active switch, and RF receiver chipset (LNA, VCO, and downconversion mixer). The transmitter board includes RF transmitter chipset (up-converter mixer and power amplifier) and off-chip matching networks. Ground planes and vertical via walls are used to address isolation issues between the transmitter and the receiver functional blocks. Arrays of vertical vias are added into the transmitter board to achieve better thermal management.

III. LCP MULTILAYER SUBSTRATE AND μ BGA STACKING APPROACH FOR 3-D INTEGRATION

A. LCP Multilayer Substrate Properties

Multilayer substrates have been, and still are, of great interest for research in the area of the 3-D integration of RF and mm wave functions and modules using the SOP approach. Our presented results have been focused mainly on advanced multilayer organic substrates using FR4 material and advanced material such as LCP, in comparison with the more mature, but also more expensive ceramic-based platforms such as low-temperature cofired ceramic (LTCC). The choice of the most suitable

technology depends on the application specifications such environment, frequency of operation, performance, volume, and cost.

LCP is a fairly new and promising thermoplastic material [7]. It can be used as a low-cost dielectric material for high-volume large-area processing methods that provide very reliable high-performance circuits at low cost. LCP has a unique combination of properties as follows [11].

- Excellent electrical properties up to mm waves, such as low dielectric constant of 3.0 @ 20 GHz and low-loss tangent of 0.002 @ 20 GHz comparable with ceramics (cf. Table I).
- Very good barrier properties, permeability (moisture absorption $\sim 0.02\%$) comparable to that of glass and very close to that of ceramic.
- Low coefficient of thermal expansion (CTE) as low as $8 - 17 \cdot 10^{-6}/K$, adjustable through thermal treatments process coefficient of thermal expansion, and close to that of ceramic ($6 \cdot 10^{-6}/K$) (cf. Table I).

Material, electrical, and cost considerations make LCP a serious candidate for all multichip-module (MCM), SOP, and advanced packaging technologies led by a tremendously growing market of RF, sensor, digital, optical and mixed-signal applications.

B. Stacking Board Technique Using μ BGA

To achieve significant volume reduction, 3-D integration approaches are a very attractive option for RF front-end module integration. The board-stacking technique has emerged as the most promising approach to simultaneously achieve size reduction, performance improvement, reliability, and cost-saving. In this paper, we presented a Georgia Tech developed process dedi-

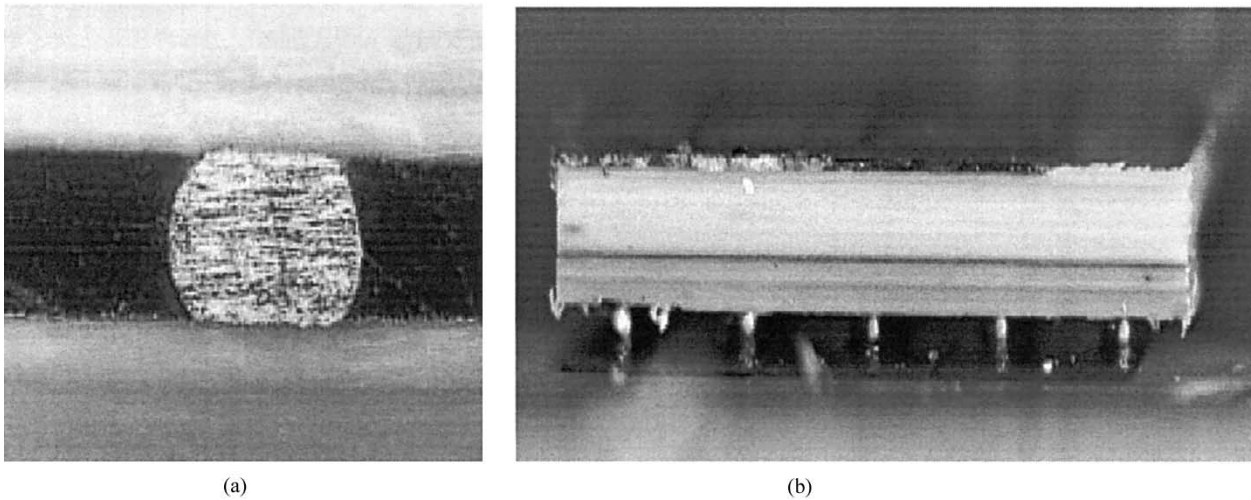


Fig. 3. 4 mils solder ball transition. (a) Side view of fabricated test structures showing stacked LCP substrates; (b) μ BGA transitions.

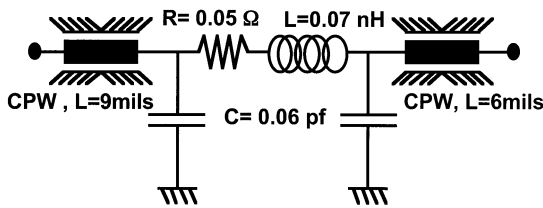


Fig. 4. Extracted μ BGA hybrid model.

cated to board-to-board stacking and interconnection using pre-formed solder balls (Sn63Pb37–183 °C) and conventional reflow process. Fig. 3(a) shows a cross section of one 4-mils solder ball transition, and Fig. 3(b) shows a side view of a fabricated test structure with stacked LCP substrates and μ BGA (4 mils in diameter) transitions.

A combination of an RLC Π -network and a transmission-line model, shown in Fig. 4, is applied to model the μ BGA vertical RF transition. The two transmission line sections are added to model accurately the phase responses of S_{11} and S_{21} . Both measured and simulated results exhibit insertion loss less than 0.1 dB and return loss about -17 dB up to 10 GHz.

IV. CHARACTERIZATION AND MODELING OF HIGH-Q RF-INDUCTOR IMPLEMENTED USING LCP TECHNOLOGY

A. Inductor Physical Model

The key to optimize the performance of RF inductors is to identify the relevant parasitic and their effects. Physical modeling is the best approach leading to in-depth understanding of the devices [8], [9]. An associated physical hybrid model for a typical coplanar inductor is shown in Fig. 5. The inductance and the resistance directly associated with the inductive coil are modeled, respectively, by L_s and R_s . The parasitic coupling between the turns of the coil, as well as the overlap between the coil and the underpass, contribute to a direct capacitive coupling between the input and the output port. These parasitic coupling effects are modeled by the series capacitance C_s . C_{sub} , R_{sub} are related to the dielectric capacitance, the substrate capacitance, and the substrate resistance, respectively.

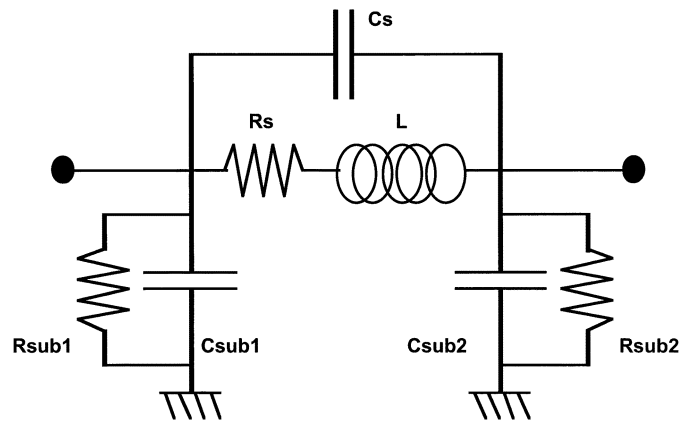


Fig. 5. Physical model of a 2-port RF inductor.

We carried out the self-inductance calculation using a formula based on the partial element equivalent circuit (PEEC) analysis method proposed by Ruehli *et al.*. Full wave simulations were performed to adjust the empirical coefficient to take in account the mutual inductance effect. Because of the current distribution across the cross section of the conductor, the skin depth effect, the metal roughness, and the crowding current effect, the evaluation of the series resistance is challenging. PEEC formulas were used, as well as measurement data to adjust empirical coefficients. Since at the very high frequencies of interest all conductors are subject to radiations losses, a polynomial frequency dependency was added to the expression of the series resistance to take into account those effects. The series capacitance has been directly calculated from the structure's topology. The substrate capacitance and resistance have been extracted from measurement results. The physical model described in Fig. 5 can be simplified in the one-port case as described in Fig. 6.

The most fundamental definition for the inductor quality factor is given by

$$Q = 2\pi \cdot \frac{\text{peak magnetic energy} - \text{peak electric energy}}{\text{energy lost in one oscillation cycle}} \quad (1)$$

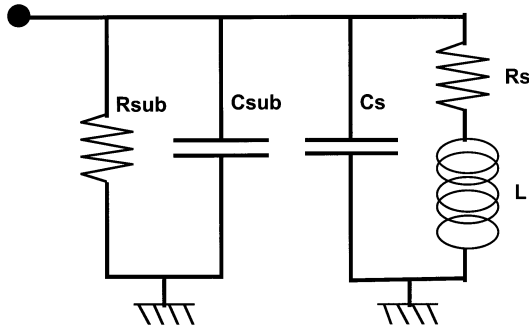


Fig. 6. Physical model of a 1 port RF inductor.

In the one port case, this can be expressed as follows:

$$Q = \frac{\omega L}{R_s} \cdot \text{substrate loss factor} \cdot \text{self-resonance factor}. \quad (2)$$

With

$$\text{substrate loss factor} = \frac{R_{sub}}{R_{sub} + [(\omega L/R_s)^2 + 1] \cdot R_s} \quad (3)$$

and

$$\text{self-resonance factor} = 1 - \frac{R_s^2(C_{sub} + C_s)}{L} - \omega^2 L \cdot (C_{sub} + C_s). \quad (4)$$

B. Measurement Results

S-parameter measurements were performed with an HP8510B Network Analyzer, cascade coplanar ground-signal-ground probes, and a temperature- and humidity-controlled environment. Particular attention has been paid in order to perform a very accurate LRRM calibration for high-Q measurements. The fabricated inductor exhibits inductance values ranging from 1.1 up to 4 nH and self-resonance frequency from 8 to 16 GHz. In Table II, we have reported typical measured performances of RF inductors fabricated on LCP multilayer substrate.

Table III shows parameter values extracted for a 1.1 nH inductor with a diameter of 20 mils that has been fabricated on LCP.

The physical based model we developed initially did not accurately model the degradation of the quality factor due to radiation losses. Therefore, a polynomial frequency dependency was added to the expression of the series resistance R_s to model radiations losses and led to better agreement with measurements for $Q@5.8$ GHz with a value around 90. The total series resistance expression R_{rad} is described in (5).

$$R_{rad} = R_s \cdot \left[1 + 0.1 \left(\frac{f}{f_c} \right)^5 \right] \quad (5)$$

with $f_c = 8$ GHz.

Fig. 7 shows measurement results compared with the model in the case of a high-Q RF inductor implemented on a LCP substrate.

V. SISO LCP DUAL-BAND FILTER

A SISO LCP dual-band filter has been synthesized based on the novel “dual-behavior resonator” technique. The WLAN op-

TABLE II
SUMMARY OF TYPICAL MEASURED RF INDUCTOR PERFORMANCES USING LCP TECHNOLOGY

L nH	Quality Factor	SRF GHz
1.1	90@5.8GHz	16
1.5	90@4GHz	15
2	80@4GHz	15
4	70@2.4GHz	8

TABLE III
EXAMPLE OF EXTRACTED PHYSICAL BASED MODEL PARAMETERS OF RF INDUCTOR ON LCP

L (nH)	Cs (fF)	Csub (fF)	Rsub (kΩ)
1.132	5	60	20

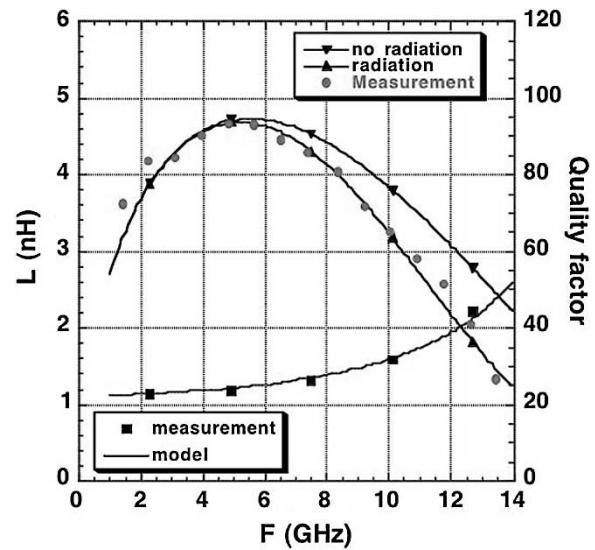


Fig. 7. Measurement performance compared with physical based model for a high-Q inductor fabricated on LCP.

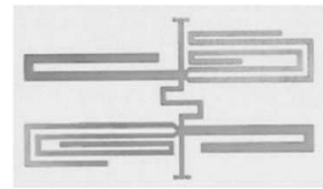


Fig. 8. Photograph of the fabricated SISO LCP dual-band filter.

erating frequency bands ISM and UNII around 5 GHz have been targeted because of the ever growing number of services allocated in this part of the spectrum, including Bluetooth, IEEE 802.11a/b/g, and the introduction of dual-band wireless systems. WLAN dual-band systems, in fact, allow the WLAN users the freedom of using their preferred frequency whenever they need it, operating on the recent 802.11a 5 GHz for high-speed resolution or the popular 802.11b/g 2.4 GHz for mass access. Most of the products that can be found in the market offer a dual-path architecture. The goal is to exploit the same RF path (SISO), providing support to multiple standards and multiple bands on a single integrated platform while maintaining performances and compactness.

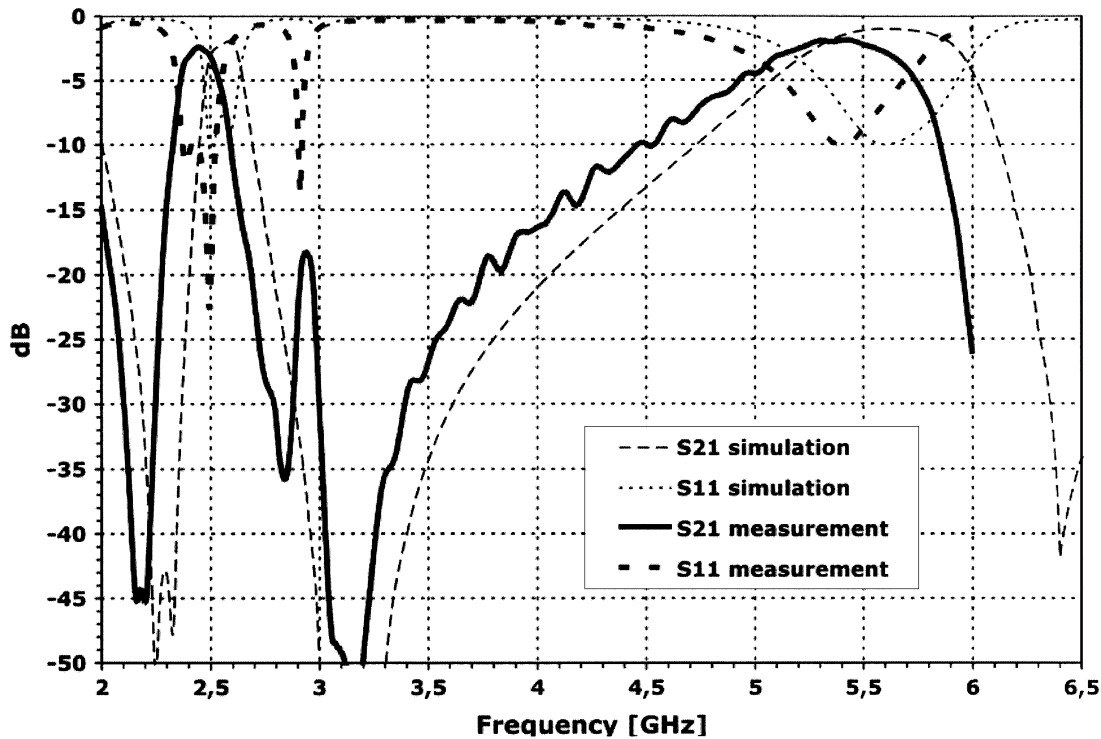


Fig. 9. Measurement compared with simulated performances of the SISO LCP dual-band filter.

The dual-behavior resonators (DBRs) technique is based on the parallel association of two open-ended stub resonators. The open-ended stub is, in fact, the simplest realization of a band-stop structure and demonstrates a dual behavior in the band-pass and stopband regions: using the open stub means inserting a transmission zero, whose resonance frequency can be easily controlled by adjusting the stub length, and by playing with the several degrees of freedom that a microwave design offers. If the stubs are properly connected under constructive recombination criteria, the result is a bandpass response created between the lower and the upper rejected bands. The same approach has been extended to obtain a dual-band narrow bandpass filter, simply adding a third resonator to create a third transmission zero. The procedure described in [10] has been applied to the design of the present filter to provide first guess values for lengths and characteristic impedances (widths). In this case, the location of the transmission zeros has been accurately chosen to control the width and the location of the desired bands, successfully exploiting the second resonance frequency. The frequency bands of interest between 2.4–2.5 and 5.15–5.85 GHz are, in fact, very different in terms of width (narrow band at 2.4 GHz, wide band at 5 GHz). Moreover, the channel spacing is wide and a good rejection is difficult to achieve with conventional approaches. On this basis, the stubs have been dimensioned to have transmission zeros at 2.2, 2.93, and 3.14 GHz. To realize the passband in the 5-GHz range, the second resonance frequency of the first stub has been successfully exploited, while the close transmission zeros at 2.9 and 3.14 GHz allow for a better rejection in the inner stopband. To achieve better selectivity, we designed and fabricated a second-order filter. The folded design has been chosen in order to avoid the impact of stub excessive lengths on the overall filter size. The prototype, shown in Fig. 8, has been

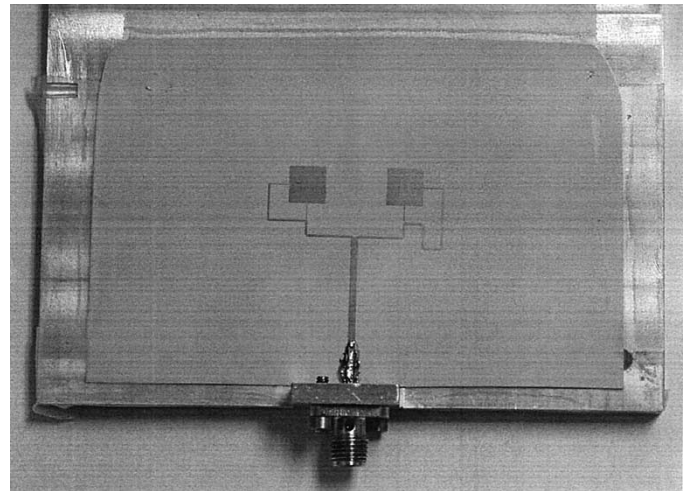


Fig. 10. Top view of the fabricated 2×1 array antenna.

fabricated in LCP substrate, characterized by ϵ_r 2.9, $\tan \delta$ 0.002, substrate thickness $275 \mu\text{m}$, conductor thickness $9 \mu\text{m}$.

Fig. 9 shows the good agreement between simulation and measurement. The insertion loss and return loss at the central frequency are -2.4 dB and -15 dB for the 2.4-GHz band, respectively, and -1.8 dB and -10 dB for the 5 GHz band, respectively. It exhibits also an out-of-band rejection as high as 45 dB between the L and C band.

VI. DEVELOPMENT OF DUAL-FREQUENCY/ DUAL-POLARIZATION MICROSTRIP ANTENNA ARRAYS ON LCP SUBSTRATES

Two dual-polarization 2×1 antenna arrays on LCP multi-layer laminated substrates have been designed at operating fre-

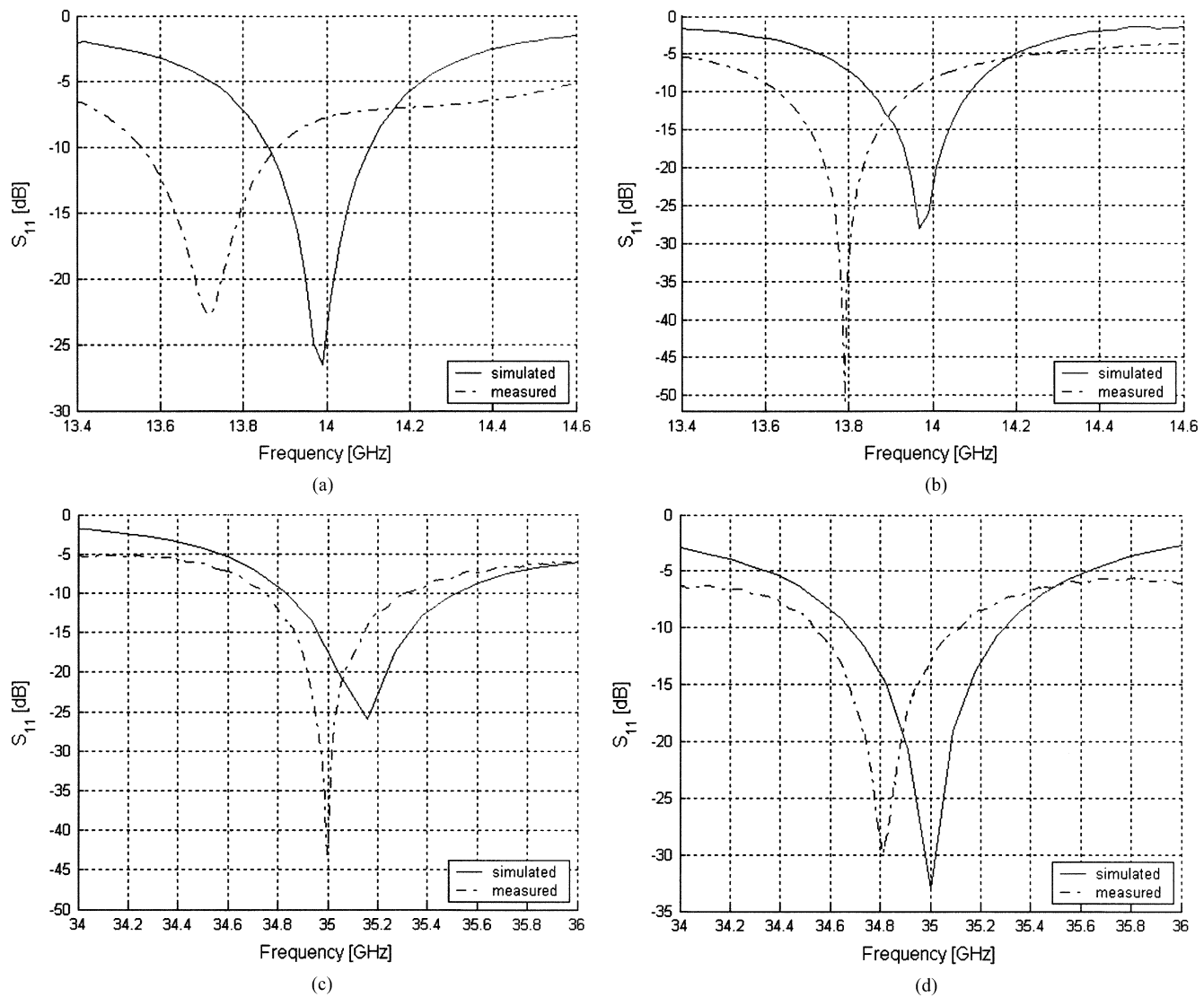


Fig. 11. Plot of the simulated and measured results for the return loss versus frequency of the 2×1 array for: (a) 14-GHz array, pol.X; (b) 14-GHz array, pol.Y; (c) 35-GHz array, pol.X; (d) 35-GHz array, pol.Y.

frequencies of 14 and 35 GHz (mm-wave range) for precipitation remote sensing applications. The top view of the fabricated 2×1 antenna arrays is shown in Fig. 10. The metal was copper (Cu) and had a thickness of $18 \mu\text{m}$. The total substrate thickness for the design was $425 \mu\text{m}$, consisting of two LCP layers (each $200 \mu\text{m}$ thick) and a $25 \mu\text{m}$ bonding layer. The substrate thickness was chosen to achieve at least a 1.5% impedance bandwidth at -10 dB, while maintaining a compact structure. The 14-GHz antenna array was placed on the top layer of the LCP substrate (at the interface of LCP and air), while the 35-GHz antenna array was “sandwiched” between two embedded layers for compactness and crosstalk minimization reasons. The LCP layer under the 35 GHz antenna array had a thickness of $200 \mu\text{m}$. Both arrays were fed by microstrip lines printed on the same layer as the corresponding array. To further prevent parasitic coupling between the two antenna arrays, the antennas in the 35-GHz array have a linear (“diamond”) topology and the 14 GHz array maintains the “square” configuration. The control of the two orthogonal linear polarizations is achieved through the use of two small gaps in the feedlines for two perpendicular directions, which in-

roduced a small capacitance in each gap. The small capacitance on the order of fFs in the gap represents high impedance values or an “almost ideal” electrical open circuit which prevents the mode excitation of the corresponding polarization. RF MEMS switches or pin diodes can be utilized to achieve this effect by turning on to excite a specific polarization and turning off to switch to the alternative polarization.

Simulations of both arrays were performed, separately, using the 3-D full-wave simulation programs EmPicasso and Micro-Stripes. Plots of the simulated and measured results for the return loss versus frequency of both polarizations at each frequency are shown in Fig. 11. The simulated results show a return loss of approximately -26 dB at a center frequency (f_c) of 13.99 GHz for polarizations X (“horizontal” x-axis feed) and -27 dB at $f_c = 13.97$ GHz for polarization Y (“vertical” y-axis feed) for the 14-GHz structure. Additionally, the 35-GHz structure exhibits at return loss of approximately -25 dB at $f_c = 35.15$ GHz for polarization X and -32 dB at $f_c = 35$ GHz for polarization Y. The measured results for the return loss are as follows: the 14-GHz array has a return loss of approximately

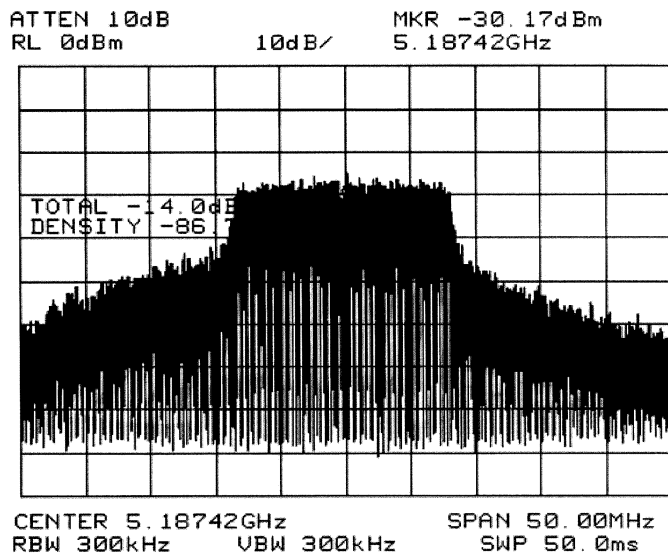


Fig. 12. OFDM signal with carrier frequency = 5.18 GHz and channel power = -14 dBm.

-23 dB at $f_c = 13.72$ GHz and -51 dB at $f_c = 13.79$ GHz for polarizations X and Y, respectively, while the 35-GHz array has a return loss of approximately -44 dB at $f_c = 35$ GHz and -30 dB at $f_c = 34.81$ GHz for polarizations X and Y, respectively. It can be seen that a good agreement is observed between the simulated and measured results for the return loss versus frequency plots for the 2×1 subarray. The -10 dB return loss percent bandwidths for the measured results are approximately as follows: 2.41% and 2.47% for polarizations X and Y, respectively, for the 14-GHz array and 1.57% and 1.72% for polarizations X and Y, respectively, for the 35-GHz array. The simulated results demonstrated an efficiency of better than 85% for all array antenna designs.

The variation in the simulated and measured results of the return loss for the 14-GHz polarization Y array and the 35-GHz polarization X array can possibly be attributed to a relatively small number of frequency points used in the simulations. The use of more time steps may show a lower return loss for the simulated plots. A finer discretization of cells in the simulations can also possibly lead to a lower return loss values but at the expense of increased computational time. The difference in return loss for the measured results for both polarizations at 14 and 35 GHz can be attributed to the effect of fabrication tolerances. The slight increase in the impedance bandwidth for the measured results in comparison to the simulated results is a result of the substrate thickness in fabrication being about $7 \mu\text{m}$ greater than that used in the simulations. The frequency shifts in the measured results can also be attributed to fabrication tolerances. This frequency shift in the measured results for both polarizations of the 35-GHz design may be the cause of the difference in relative bandwidth, while in the 14-GHz designs, measurements inaccuracies are the probable cause of the difference in relative bandwidths.

VII. WLAN MODULE IMPLEMENTATION

The system-level benchmarking structure for the SOP multilayer LPC/ μ BGA technology was a functional RF compact

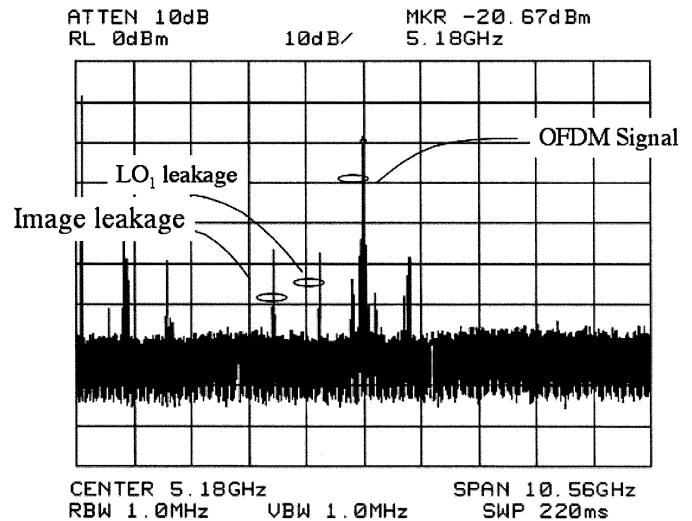


Fig. 13. Image and LO1 cancellation.

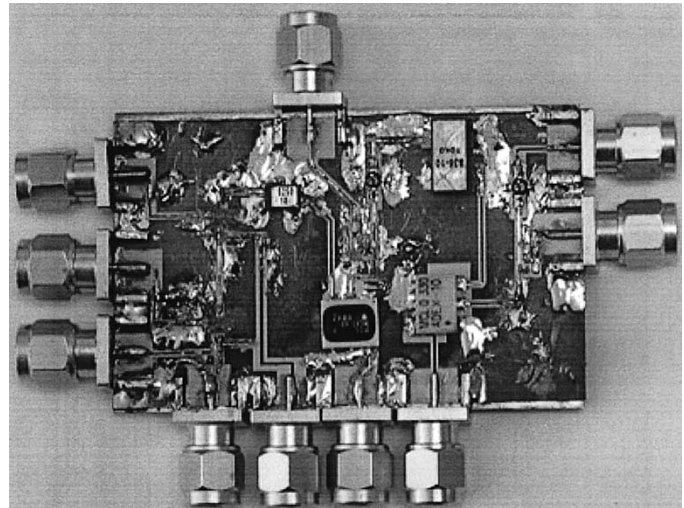


Fig. 14. Photo of the WLAN module.

module (volume of $75 \times 35 \times 0.2 \text{ mm}^3$) compliant with the IEEE 802.11a WLAN applications, incorporating LCP board technology (Fig. 14). The architecture demonstrated is a superheterodyne Tx/Rx system. Two passive mixers, achieving higher linearity, upconvert the low IF (20 MHz) OFDM signal to the 5.x GHz frequency band (Fig. 12) and two BPF operations cancel the unwanted images after each mixing.

Driver stages provide the gain needed to balance out the losses due to the passives, while the PA module demonstrating a P1 of 30 dBm enables the operation at a backoff of 6 dB, which is a prerequisite for OFDM transmission. The receiver utilizes variable-gain LNA for linearity considerations. Inspection of the frequency spectrum of the signal at the output of the Tx module (Fig. 13) shows that the leakage of the local oscillator signal is efficiently suppressed to 48 dBm, as well as the leakage of the unwanted image at LO2-LO1. The receiver's overall NF is lower than 8 dB to enable the proper RF reception and then demodulation of signals as low as -70 dBm.

VIII. CONCLUSION

In this paper, we presented the novel LCP multilayer technology and stacking board technique using μ BGA as the leading candidates of choice for the 3-D integration of RF and m-wave functions and modules. An accurate modeling and design of high-performance RF inductors (Q in excess of 90) in LCP has been reported. A SISO dual-band filter using the novel “dual-behavior resonators” technique operating at the WLAN frequency bands ISM 2.4–2.5 GHz and UNII 5.15–5.85 GHz has been developed. The insertion loss and return loss at the central frequency are 2.4 and 15 dB for the 2.4-GHz band, respectively, and 1.8 and 8 dB for the 5-GHz band, respectively. Two dual-polarization 2x1 antenna arrays on LCP have been shown for operation at 14 and 35 GHz with an efficiency around 85% and low levels of cross polarization.

Finally, a WLAN IEEE 802.11a-compliant compact module (volume of $75 \times 35 \times 0.2 \text{ mm}^3$) has been fabricated on LCP substrate, with an excellent performance verifying the great potential of the SOP approach for 3-D-integrated RF and mm-wave functions and modules.

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