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# 1 Serial Section-Based 3D Reconstruction of *Anaxagorea* Carpel Vasculature and

- Implications for Integrated Axial-Foliar Homology of Carpels
- 2 3
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- 14

### 15 Abstract

16 The carpel is the basic unit of the gynoecium in angiosperms and one of the most 17 important morphological features differentiating angiosperms from gymnosperms; 18 therefore, carpel origin is of great significance in the phylogeny of angiosperms. 19 However, the origin of the carpel has not been solved. The more recent consensus 20 favors the interpretation that the ancestral carpel is the result of fusion between an 21 ovule-bearing axis and the phyllome that subtends it. Since it has been confirmed by 22 morphological and molecular evidence that foliar homologous are involved in carpel 23 evolution, if axial homologs can be traced in the carpel, it would more likely be 24 derived from an integrated axial-foliar structure.

25

26 This study aimed to reveal the axial structures in carpels by analyzing the continuous 27 changes in vasculature from the receptacle to the carpels and ovules. Anaxagorea is 28 the most basal genus of the primitive angiosperm Annonaceae. The conspicuous 29 carpel stipe at the base of each carpel makes it an ideal material for exploring the 30 possible axial homologous structure in the carpel. In this study, floral organogenesis 31 and the topological vasculature structure were delineated in A. luzonensis and A. 32 *javanica*, and a 3D-model of the carpel vasculature was reconstructed based on the 33 serial sections.

34

35 The results show that (1) At the flowering stage, the number of vascular bundles 36 entering each Anaxagorea carpel from the receptacle was significantly more than 37 three, arranged in a radiosymmetric pattern, forming a basal ring at the base of each 38 carpel. (2) All the carpel bundles are only connected with the central stele. (3) At the 39 slightly upper part of the carpel, all the lateral bundles from the basal ring were 40 reorganized into two groups, each forming a lateral bundle complex below each 41 placenta. Bundles in each lateral bundle complex are also ring-arranged. (4) The ovule 42 bundles were composed of non-adjacent bundles in the lateral bundle complex.

43

The composite origin of carpels is helpful to understand the composite origin of ovules. This study suggested that the circular arrangement of bundles in the receptacle, the carpel stipe, and the placenta of *Anaxagorea* are the consistent preferences in support of the theory that the carpel originates from an integrated axial-foliar structure. Despite more evidence is still needed to confirm that the circular arrangement of vascular bundles occurs only in the axial homologous structure.

50

51 **Key words:** 3D reconstruction; *Anaxagorea*; angiosperms; organogenesis; origin of 52 the carpel; vascular anatomy

#### 53 **INTRODUCTION**

54 Since Darwin's time, the elucidation of angiosperm origin and its evolutionary 55 success has been a primary goal of plant science (Kennedy and Norman, 2005). The 56 term "angiosperm" is derived from the Greek words angeion, meaning "container," and 57 sperma, meaning "seed." Therefore, the carpel, an angiosperm-specific "seed 58 container", is the definitive characteristic of angiosperms. The carpel is the basic unit 59 of the gynoecium; it protectively surrounds the ovules by enclosing and sealing off 60 their rims or flanks (Dunal, 1817; Robinson-Beers, 1992; Endress, 2015). The 61 evolution of the carpel sets angiosperms apart from other seed plants, which develop 62 exposed ovules. Previous studies have attempted to identify the potential angiosperm 63 ancestors through phylogenetic analyses based on fossil, morphological, and 64 molecular data. In these studies, particular emphasis was placed on assessing which 65 ovule-bearing structures of various seed plants could be transformed into carpels. 66 However, due to early differentiation, the extant angiosperms and gymnosperms 67 underwent a long independent evolutionary process that resulted in the reproductive 68 structure of the basal angiosperms and extant gymnosperms being significantly 69 different, although some species of the two groups may have undergone convergent 70 evolution (Winter et al., 1999; Soltis et al., 2002; Magallon et al., 2015). As a result, 71 the origin of the carpel has not been solved.

72

73 The ancestral carpel is either interpreted as a conduplicate leaf-like structure bearing 74 marginal ovules, or as the result from the integration of the ovule-bearing axis and the 75 foliar appendage. Based on developmental evidence and functional genetics studies, 76 the more recent consensus seems favoring the latter interpretation. (Skinner et al., 77 2004; Doyle, 2008; Wang, 2010, 2018; Mathews and Kramer, 2012; Liu et al., 2014; 78 Zhang et al., 2017; Zhang et al., 2019). Owing to the difference between the two 79 interpretations, it is important to determine whether the ovule-bearing axis is involved 80 in the evolution of carpel. Since it has been confirmed by morphological and molecular evidence that foliar homologs are involved in the evolution of the carpel, if 81 82 axial homologs can be found in the carpel, it would more likely have been derived 83 from an integrated axial-foliar structure.

84

85 In this study, two Anaxagorea (Annonaceae) species were selected for floral 86 organogenesis and vascular anatomic examination. Annonaceae represents one of the 87 largest families in the Magnoliales that is one of the most important lineages in the 88 early radiation of angiosperms (Sauquet et al., 2003), while Anaxagorea is the most 89 basal genus of Annonaceae (Doyle and le Thomas, 1996; Doyle et al., 2004; Chatrou 90 et al., 2012; Chatrou et al., 2018). Anaxagorea carpels are apocarpous (free) 91 throughout their life history (Deroin, 1988), and each has a notably long carpel stipe 92 (Endress and Armstrong, 2011). The microscopic observation of Anaxagorea tissue 93 sections could determine whether there are "axial homologs" in the carpel and provide 94 additional carpel origin evidence.

95

#### 96 MATERIALS AND METHODS

## 97 Scanning Electron Microscopy and Paraffin Sectioning

98 Anaxagorea luzonensis flower samples at different floral stages (from early bud to young fruit) were collected from the Diaoluo Mountain, Hainan, China, in July 2017 99 100 and Anaxagorea javanica from the Xishuangbanna Tropical Botanical Garden, 101 Yunnan, China in May 2017. The gynoecia were isolated and preserved in 70% 102 formalin-acetic acid-alcohol (5:5:90, v/v), and the fixed specimens were dehydrated in 103 a 50% to 100% alcohol series. To delineate the structure and development of the 104 carpel, carpels were removed from the gynoecia, passed through an iso-pentanol 105 acetate series (SCR, Shanghai, China), critically point-dried, sputter-coated with gold, 106 observed, and photographed under a scanning electron microscope (Tescan 107 VEGA-3-LMU, Brno, Czech Republic). Flowers and carpels were embedded in 108 paraffin, serially sectioned into 10–12-µm thick sections, and stained with Safranin O 109 and Fast Green to illustrate the vasculature. The transverse sections were examined 110 and photographed using a bright-field microscope (Olympus BX-43-U, Tokyo, Japan). 111 In addition, longitudinal hand-cut sections were made and observed for a rough check 112 and better understanding of the vasculature.

113

## 114 Topological Analysis of Carpel Vasculature

115 Consecutive paraffin sections, 12-um each, of A. javanica were stained with aniline 116 blue, examined and photographed after excitation at 365 nm using an epifluorescence 117 microscope (Olympus BX-43-U, Tokyo, Japan) and a semiconductor refrigeration 118 charged coupled device (RisingCam, MTR3CMOS). Forty-five images were selected 119 equidistant from the 423 sections taken for the 3D reconstruction. The figures were 120 organized according to the vascular bundle outlines of the sections by using Adobe 121 Photoshop CC 2017 and Illustrator CC 2017. The xylem and phloem contours were 122 manually drawn, extracted as paths with the pen tool, and exported in DWG format. 123 The DWG files were imported into 3Ds Max 2016 and sorted according to the 124 distance and order of the sections. The paths were converted to Editable Spline curves 125 to generate the basic modeling contour. The Loft command of Compound Objects was 126 used to get the shape of the Editable Spline, and a complete 3D carpel vasculature 127 model was generated.

128

## 129 **RESULTS**

## 130 Gynoecium Structure and Carpel Organogenesis

The flowers of two study species were trimerous with a whorl of sepals, two
morphologically distinct whorls of petals, and numerous stamens (and inner
staminodes of *A. Javanica*) (Figures 1A–D).

134

135 A. luzonensis usually exhibits two to four completely separate carpels (Figures 1A, 136 **G**). The carpel primordia are almost hemispherically initiated and larger than the 137 stamen primordia (Figure 1F). Each carpel consists of a plicate zone, a very short 138 ascidiate zone (Figures 3G, 5I, J), and a long, conspicuous stipe (Figure 2F). The 139 carpel stipe ontogenesis at the early stages of the carpel (Figure 2B). The continuous 140 growth of the flanks on the ventral side of the young carpel triggers its early closure; 141 however, the closure does not extend to the base of the carpel, where the carpel stipe 142 occupied (Figure 2C). Subsequently, the dorsal region of each carpel thickens 143 markedly, and the stigma forms (Figures 2D, E). At anthesis, the carpels are widest at the basal region with an arch on the abaxial side. The carpel stipe remains elongate,

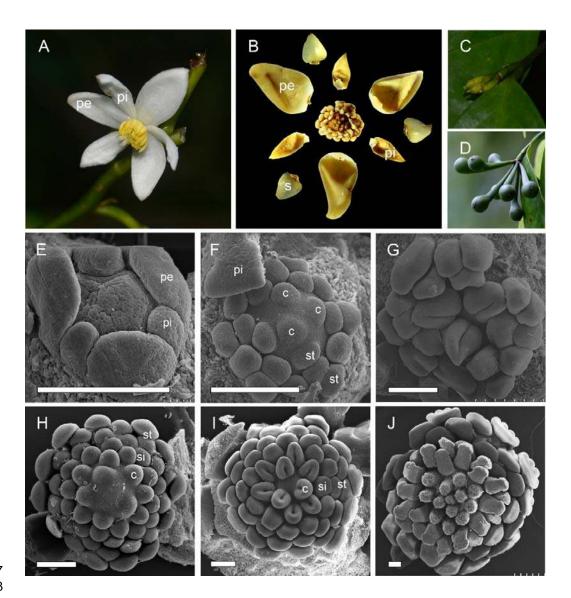
145 accounts for approximately a quarter of the carpel length at anthesis, and continues to

elongate during the fruiting stage (Figure 1F). Each carpel has two lateral ovules with

147 the placentae at the ovary base (**Figures 3H, 5L**).

148

A. Javanica exhibits a multicarpellate gynoecium (Figures 1B, J). The carpels are completely separate and appear whorled at initiation (Figure 1I); as the carpel volume increases, the whorled structure becomes less obvious because the space in floral apex becomes limited. Each carpel consists of a plicate zone and a conspicuous carpel stipe (Figure 2J) but lacks the short ascidiate zone. The carpel stipe ontogenesis in the early stages (Figure 2H) and remains elongate during the flowering and fruiting stages (Figures 1D, 2I–J). Each carpel has two lateral ovules.



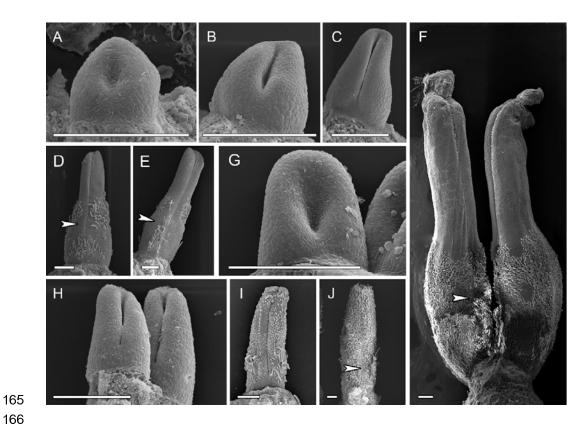


**FIGURE 1.** Floral morphology and gynoecium development in two *Anaxagorea* species. (A)

160 Anaxagorea luzonensis flower. (B) Anaxagorea javanica flower. (C) Young A. luzonensis

161 fruit. (**D**) Mature A. *javanica* fruit. (**E**–**G**) A. *luzonensis* floral development. (**H**–**J**) A. *javanica* 

- 162 gynoecium development. s, sepal; pe, outer petal; pi, inner petal; st, stamen; si, staminode; c,
- 163 carpel. Scale bars =200  $\mu$ m.
- 164



167 FIGURE 2. Carpel organogenesis in two Anaxagorea species.

(A-F) A. luzonensis. (A) Carpel primordia. (B-C) Carpel stipe emergence. (D-E) 168

169 Carpel thickening and stigma formation, showing carpel stipe elongation. (F) Mature 170 carpels. (G-J) A. javanica shows similar carpel developmental features to changes

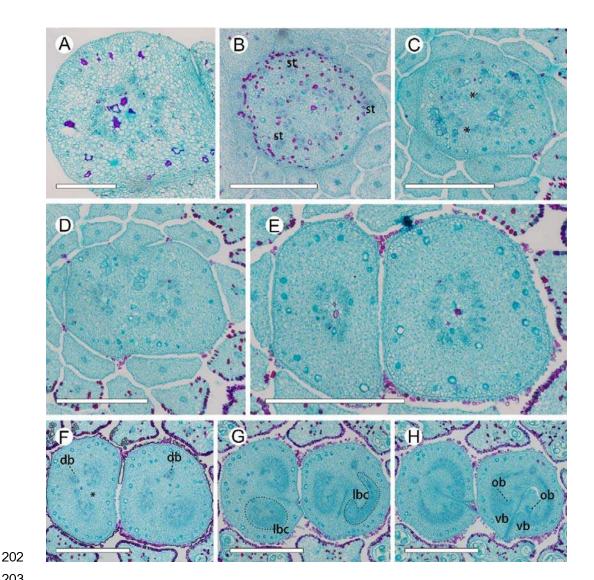
- 171 depicted in A–E, F. Ventral slit end indicated by arrows. Scale bars =  $200 \,\mu m$ .
- 172
- 173

#### 174 Vasculature from Receptacle to Carpel

175 In the A. luzonensis cross-sections, the receptacle base presented a hexagon of 18 176 bundles from the pedicel stele (Figure 3A). The hexagon had six breaks, which built 177 up a crown of the cortical vascular system to supply the sepals and the two whorls of 178 petals and the stamens (Figures 3B). The central stele, composed of 18 bundles, 179 finally broke into two 9-bundle groups at the floral apex and ran into the 2-carpel gynoecium (Figures 3C, D). Each group of nine bundles assembled as a basal ring 180 181 around the parenchyma at each carpel base (Figures 3E). At the slightly upper part of 182 each carpel, several bundles emerged on the lateral side, and the basal ring broke, 183 from which the dorsal bundle separated and the lateral bundles reorganized into two 184 groups of lateral bundle complexes (Figures 3F). In each of the lateral bundle 185 complexes, the adjacent bundles tended to join, assembling into an amphicribral 186 pattern (the xylem surrounded by the phloem) Figure 3G. Below each placenta, each 187 of the amphicribral lateral bundle complexes transformed into a set of "C"-shaped 188 lateral bundle complexes, from which the ovule bundles separated, while the other 189 bundles ran into the ovary wall. There were no horizontal connections between the 190 dorsal and other bundles (Figure 3H).

191

192 The pseudosteles at the base of the A. Javanica receptacle were triangular, with  $\sim 45$ 193 bundles. The outer six cortical traces were cylindrical and served the sepals and petals 194 (Figures 4A, B). At a slightly higher level, the androecial bundles emerged and 195 served the stamens by repeated branching, and the staminode bundles emerged as a 196 crown around the central stele (Figure 4C). Before entering the gynoecium, the 197 central stele enlarged and broke up into  $\sim 70$  bundles to supply the nine carpels, and 198 each carpel was served by 7-10 bundles (Figures 4D-E). The vascular bundle 199 arrangement was similar to ascending sections in A. luzonensis, with the basal ring 200 and amphicribral lateral bundle complexes presented in each carpel (Figures 4F-H).



204 FIGURE 3. Ascending paraffin transections of A. luzonensis flower.

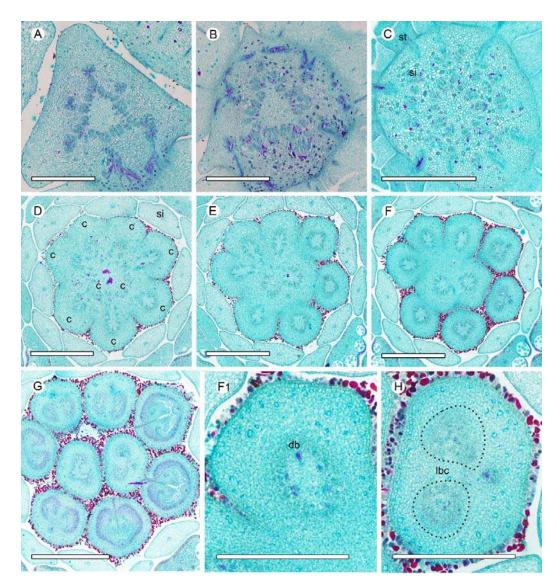
205 (A) Base of receptacle. (B) Mid-section of androecia, showing stamen bundles and

206 central stele. (C) Top of receptacle, showing central stele divided into two groups (\*

207 marked the breaks). (D) Bundles from the central stele enter carpels. (E) Base of

208 carpels, showing basal ring. (F) Upper part of carpel stipes, showing the basal ring

- breaks (marked as \*). (G) Bottom of ovary locule, showing amphicribral lateral 209
- 210 bundle complexes (left) and "C"-shaped lateral bundle complexes (right). (H) Base of 211 ovary locule. st, stamen; db, dorsal bundle; lbc, lateral bundle complex; vb, ventral
- 212 bundle; ob, ovule bundle. Scale bars =  $500 \,\mu\text{m}$ .
- 213
- 214



217 **FIGURE 4.** Ascending paraffin transections of *A. javanica* flower.

- 218 (A) Base of receptacle, showing six groups of vascular bundles and sepal connections.
- 219 (B) Points of petal connection to receptacle, showing perianth bundles. (C)
- 220 Androecial bundles serving stamens by repeated branching. (D-E) Base of
- 221 gynoecium, showing enlarged central stele breaks and bundles distributed into carpels.
- 222 (F-G) Carpel vasculature at different positions. (F1) Detailed view of (F), showing
- 223 basal ring of carpel. (H) Amphicribral lateral bundle complexes in carpel. st, stamen;
- si, staminode; c, carpel; db, dorsal bundle; lbc, lateral bundle complex. Scale bars =
- 225 500 μm.
- 226
- 227

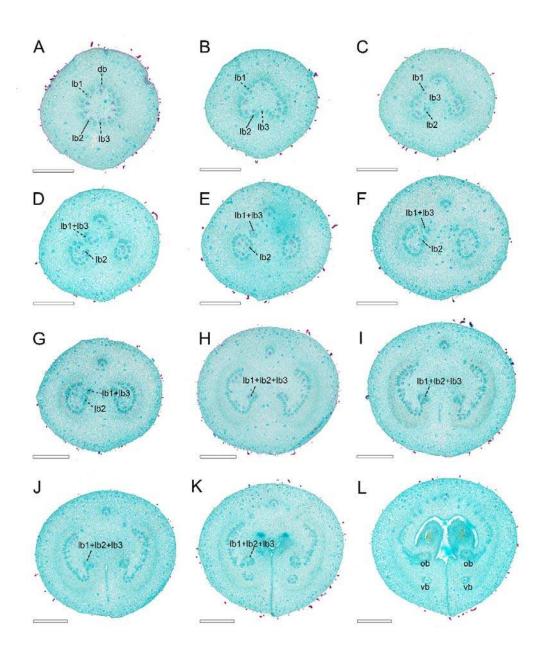
## 228 3D-Reconstruction of Carpel Vascular Topology

At the base of a mature A. luzonensis carpel, 15 discrete bundles were arranged in a 229 230 radiosymmetric pattern, forming a basal ring around the central parenchyma (Figure 231 5A). At the slightly upper part, the basal ring curved inward on the ventral side and 232 broke away from the invagination (Figures 5B, C). The bundles (except the dorsal) 233 divided into two groups on each side of the carpel, each forming a lateral bundle 234 complex, which was also ring-arranged. At the flowering stage, the lateral bundle 235 complexes corresponded to the above-mentioned sections of the amphicribral 236 complexes (Figures 5D–F). Below each placenta, bundles of each lateral bundle 237 complex broke up on the dorsal side and transformed into a "C"-shaped lateral bundle 238 complex (Figures 5G, H). The bundles on the ventral side of each lateral bundle 239 complex gathered together (excluding the ventral bundle) and entered each ovule, while other bundles entered into the ovary wall. The ovule bundles are amphicribral. 240 241 (Figures 5I–L).

242

243 Consecutive cross-sections of A. Javanica were similar in vasculature to those of A. 244 *luzonensis* (Figures 6A–D). The base of the mature A. Javanica carpel exhibited 16 245 distinct bundles forming the basal ring (Figure 6A, F). The 3D model showed that (1) 246 the basal ring and lateral bundle complex were cylindrical (Figures 6F, H). (2) The 247 ovules were fed directly by bundles from the base of the carpel. (3) Each ovule bundle 248 was formed from several non-adjacent lateral bundles distributed both relatively 249 dorsally and laterally, and in which two bundles of them that fed each ovule were 250 joined on the ventral side (Figures 6G–I). (4) The dorsal bundle remained 251 independent throughout ontogenesis, without any link to other bundles (for details, 252 please refer to the supplemental data).

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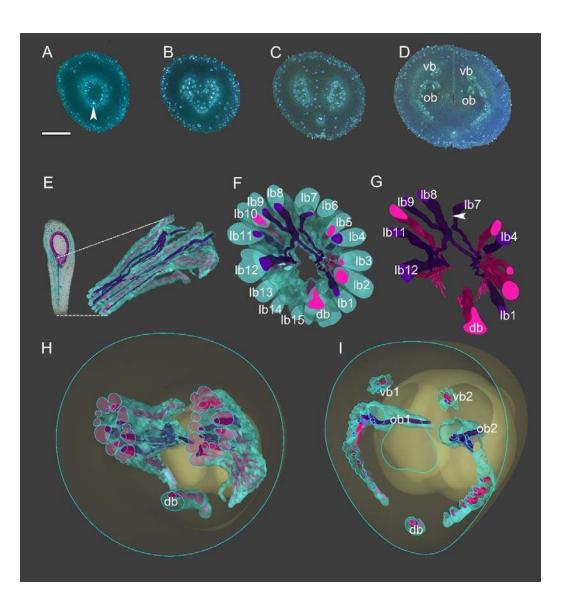


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**FIGURE 5.** Ascending paraffin transections of mature *A. luzonensis* carpel.

(A) Carpel base, showing basal ring. (B–C) Basal ring breaks on ventral side. (D–F)
Ascending carpel stipe sections, showing lateral bundles reconstituted to two sets of
ring-arranged lateral bundle complexes. (G–H) Top of carpel stipe, showing
"C"-shaped lateral bundle complex. (I–K) Below ovary locule, showing formation of
ovule bundles. (L) Base of ovary locule. db, dorsal bundle; lb, lateral bundle; vb,
ventral bundle; ob, ovule bundle. Scale bars = 500 µm.



265

## **FIGURE 6.** 3D construction of *A. javanica* vasculature.

267 Bundle outlines colored green, xylem red, and purple, among which bundles 268 associated with ovule bundles are colored purple. (A-D) Aniline blue-stained A. 269 javanica sections for modeling. (E) Longitudinal section of mature A. javanica carpel 270 (left) and 3D vasculature model, dotted lines on longitudinal section indicate 271 vasculature position in carpel. (F) Perspective from base of carpel vasculature. (G) 272 Perspective from base of carpel (xylem only). The arrow indicates the intersection of 273 two lateral bundles which fed two ovules. (H) Cross-section of 3D model 274 corresponding to (C), showing ring-arranged lateral bundle complexes. (I) 3D model 275 section showing distribution of vascular bundles at base of ovary. db, dorsal bundle; 276 vb, ventral bundle; ob, ovule bundle, lb, lateral bundle. Scale bars =  $500 \,\mu m$ .

## 279 **DISCUSSION**

In this study, 3D reconstruction was used for the first time to reveal the *Anaxagorea* carpel vasculature, providing an analytical solution to the complex spatial relationship of floral organ vasculature. It is also the first time that the basal ring and the ring-arranged lateral bundle complex in the carpel have been reported. Observations on the continuous changes in vasculature from the receptacle to the carpel showed that the ring-arranged vasculature pattern was topologically continuous and repeatedly presented in the pedicel, the receptacle, the base of the carpel, and the placenta.

287

## 288 Anaxagorea Carpel Organogenesis

289 Peltate carpels have been suggested to be plesiomorphic in Annonaceae (Deroin, 1988; 290 Igersheim and Endress, 1997; Surveswaran et al., 2010; Couvreur et al., 2011) and in 291 some studies, *Anaxagorea* carpels have been reported to exhibit an ascidiate base 292 (Deroin, 1988), while they have been described as completely plicate in others 293 (Endress and Armstrong, 2011). In this study, floral organogenesis revealed that the 294 carpel stipe emerges from the base of A. luzonensis and A. javanica carpels in the 295 early stages of carpel development and elongate with the development of the carpel. 296 In the flowering stage, the ventral slit of A. luzonensis terminates close to the base of 297 the ovary locule, resulting in a very short ascidiate zone, while in A. *javanica*, it may 298 continue below the ovary locule. These variations might suggest a transformation 299 from peltate to plicate carpels in this genus. The specific carpel stipe of Anaxagorea 300 provides a buffer for the drastic changes in carpel base vasculature and makes it an 301 ideal material for exploring the possible axial homologous structure in the carpel.

302

## 303 Anaxagorea Floral Vasculature

304 Previous studies have reported that the Annonaceae gynoecium is fed by an enlarged 305 central stele, and each carpel is usually fed by three bundles, one median and two 306 lateral (Deroin, 1989; De Craene, 1993; Deroin and Norman, 2016; Deroin and 307 Bidault, 2017). However, in A. luzonensis and A. javanica, the number of vascular 308 bundles that fed the carpel during anthesis is significantly more than 3, regardless of 309 the number of carpels, and the vascular bundles enter the A. luzonensis gynoecium is 310 consistent with the central stele in numbers. The bundles entering the carpel are 311 arranged in a radiosymmetric pattern, and this pattern maintains a spatiotemporal 312 continuity throughout the carpel stipe. Considering that radiosymmetric vasculature is 313 a universal feature in vascular plant stems (Metcalfe and Chalk, 1979; Evert, 2006; 314 Beck, 2010; McKown and Dengler, 2010; Evert and Eichhorn, 2011), it is plausible 315 that the basal ring represents the homology of the carpel and the axial structures. In 316 the basal ring, there are two lateral bundles which fed to both ovules (lb8 and lb9 in 317 Figure 6G), which makes the topological structure of the basal ring unable to be 318 flattened as a leaf-like structure bearing marginal ovules.

319

It has been reported that in *Anaxagorea*, the ovules are served by the lateral bundle
complex from the base of the carpel [e.g., *A. luzonensis* (Deroin, 1997); *A. crassipetala* (Endress, 2011)]. This pattern is different from most cases in Annonaceae,

323 which has ovules served by separate vascular bundles branching directly from the

324 dorsal bundles [e.g., Cananga (Deroin and Le Thomas, 1989); Deeringothamnus 325 (Deroin and Norman, 2016); and Pseudartabotrys (Deroin and Bidault, 2017)] or from 326 relatively dorsally positioned bundles of the lateral network of bundles [e.g., 327 Meiocarpidium (Deroin, 1987); and Ambavia (Deroin and Le Thomas, 1989)]. Our 328 study showed that the topological structure of the ring-arranged lateral bundle 329 complexes plays a key role in forming the ovule bundles and that it causes the 330 non-adjacent bundles from the relatively dorsal and ventral sides to approach each 331 other and merge. The dorsal bundle remained independent throughout, and there were 332 no horizontal connections between it and the lateral bundle complexes. The ventral 333 bundle took part in forming the spatial ring-arrangement of the lateral bundle 334 complexes; however, it did not connect with the other bundles. These results indicate 335 that the ovule and ovary wall bundles are relatively independent. This conclusion is 336 also supported by previous studies that the ovule and ovary wall are controlled by two 337 distinct, exclusive sets of genes (Angenent et al. 1995; Roe et al. 1997; Wynn et al. 338 2014).

339

340 Observation of the different development stages of the Anaxagorea carpel showed 341 that the amphicribral bundle complexes in the placenta developed into the 342 ring-arranged lateral bundle complexes with carpel maturation. In the vasculature 343 development, the amphicribral bundles could be discrete inversely collateral bundles 344 near the point of fusion, because their xylem portions need to approach each other 345 before they become concentric (Endress, 2019). Based on derivation, the amphicribral 346 bundles are frequently observed in small branches of early land plants, monocots, or 347 immature young branches of dicots (Fahn, 1990). If the carpels are indeed derived 348 from the integrated axial-foliar complex, that could explain why the amphicribral 349 bundles are widely present in angiosperm placentae and funiculi [e.g., Papaver 350 (Kapoor, 1973); Psoraleae (Lersten and Don, 1966); Drimys (Tucker, 1975); 351 Nicotiana (Dave et al., 1981); Whytockia (Wang and Pan, 1998); Pachysandra (Von Balthazar and Endress, 2002); Magnolia (Liu et al., 2014); Michelia (Zhang et al., 352 353 2017); Actinidia (Guo et al., 2013); and Dianthus (Guo et al., 2017)].

354

355 In Anaxagorea, the central stele, the basal ring, the ring-arranged lateral bundle 356 complex, and the amphicribral ovule bundle show similar topological properties to 357 supports the view that the carpel originates from the integrated axial-foliar complex. 358 The composite origin of carpels is helpful to understand the composite origin of 359 ovules: how the bract-bracteole-terminal ovule system in the precursors of 360 angiosperm evolved into an angiosperm carpel. However, the investigations in the present study were limited to a single genus. It is difficult to find similar 361 362 morphological features in most angiosperms. Under natural conditions, terminal or 363 axillary meristems can produce leaves and branches; however, the leaf meristem can 364 only produce leaflets. The stimulus for vascular bundle formation comes from the 365 base of the leaf primordium. If the carpel evolved from secondary reproductive shoots 366 with foliar appendages, how does the female reproductive identity program interact 367 with the meristems, what genetic pathways might interact with the development of the 368 placenta and ovule formation, and are they shared in seed plants? To determine the 369 structure from which carpels originated, we need more knowledge about functional

interaction between the primary meristem and the auxin flow coming from the leaf
primodium to make sure whether the circular arrangement of vascular bundles occurs
only in the axial homologous structure, and to further understand the molecular
mechanisms of the various characteristics of carpel evolution.

374

# 375 AUTHOR CONTRIBUTIONS

YL planned and designed the research, performed the experiments, collected the
images, drew the illustrations, and wrote the article; WD performed the experiments
and complemented the writing; YC developed the 3D model; SW complemented the
writing; X-FW supervised the experiments and complemented the writing.

380

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