

## A BRIEF DERIVATION OF THE HEISENBERG COMMUTATION RELATIONS

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ABSTRACT. The commutation relations for the canonical coordinates of a mechanical system are here derived from a suitably defined form of translational invariance.

Let us assume that we are dealing with a physical system which can be adequately described in terms of a finite set of canonical coordinates,  $(x_1, \dots, x_n)$ , whose expected values in every admissible state of the system are given by a positive linear functional on the *moment algebra*  $A(x_1, \dots, x_n)$  generated by the  $x_i$  over the complex field  $C$  [1]. We may take for  $A$  the algebra  $T$  of all noncommutative polynomials with complex coefficients in the  $x_i$  modulo the ideal  $J$  of relations on which all expectations vanish.

Let us introduce, for each real  $a \in C$ , the *translation* (or virtual displacement)  $\tau_j(a)$  of the  $j$ th coordinate by the formula

$$\begin{aligned}\tau_j(a)x_i &= x_j + a && \text{if } i = j, \\ &= x_i && \text{otherwise.}\end{aligned}$$

Each such translation assigns to every polynomial  $p$  in the  $x_i$  a new polynomial  $\tau_j(a)p$  obtained by replacing  $x_j$  by  $x_j + a$  throughout.

Let us now assume that the ideal  $J$  defining our moment algebra  $A$  satisfies two natural requirements:

(a) if  $p \equiv 0 \pmod J$ , then  $\tau_j(a)p \equiv 0 \pmod J$ , for all  $j$  and all  $a$ .

This says that  $J$  is stable under translations, and hence that  $A$  admits these translations as automorphisms.

(b) If  $\tau_j(a)p \equiv p \pmod J$  for all  $a$ , then  $p \equiv q \pmod J$ , where  $q$  is *independent* of  $x_j$ .

This says that any polynomial invariant, mod  $J$ , under  $\tau_j(a)$  for all  $a$  can be expressed, mod  $J$ , as a polynomial in the  $x_i$  for  $i \neq j$ .

Both of these requirements appear to be essential to any variational formulation of the laws of motion of the system.

Let us call any moment algebra whose defining ideal of relations satisfies these two requirements *acceptable*. It is easy to verify that the moment algebra associated with the systems of both classical and

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quantum mechanics are acceptable, and so is any tensor product of the two. We assert here that these are the only possibilities:

**THEOREM.** *Every acceptable moment algebra is either a classical moment algebra, a quantum moment algebra, or a tensor product of the two.*

**PROOF.** Consider the commutator  $[x_i, x_k] = x_i x_k - x_k x_i$ . This polynomial is evidently invariant under  $\tau_j(a)$  for all  $j$  and all  $a$ . If  $A$  is acceptable, then  $[x_i, x_k]$  is independent, mod  $J$ , of  $x_j$  for all  $j$ , and hence must be a constant, mod  $J$ . If we put  $[x_i, x_k] \equiv b_{ik}$ , then the  $b_{ik}$  form a skew-symmetric matrix of complex numbers. It follows by standard methods that we can choose linear combinations  $(y_1, \dots, y_n)$  of the  $x_i$  such that, for some  $m \leq n/2$ ,

$$[y_i, y_k] \equiv \begin{cases} 1 & \text{if } 1 \leq i = k - m \leq m \\ -1 & \text{if } m + 1 \leq i = k + m \leq 2m \\ 0 & \text{otherwise} \end{cases} \pmod J.$$

In particular, if  $m=0$ , we have that the  $y_i$  all commute, while if  $m=n/2$ , we have that the  $y_i$  satisfy the Heisenberg commutation relations.

Let  $K$  denote the ideal generated in  $T$  by these commutation relations, and note that  $K \subset J$ . It remains to show that  $K = J$ . In any case it follows from the commutation relations that the  $x_i$ , together with 1, form the basis of a nilpotent Lie algebra in  $A$ , and that  $A$  can be realized as a homomorphic image of the associated universal enveloping algebra  $U = T \text{ mod } K$ . Now we know from the Birkhoff-Witt theorem that every polynomial has a unique expression, mod  $K$ , as a linear combination of monomials of the form  $x_1^{a_1} \dots x_n^{a_n}$ . If such a polynomial  $p$  lies in  $J$ , then so do all its translates; moreover, if  $p$  is of degree  $d_j$  in  $x_j$ , then evidently  $\tau_j(a)p - p$  is of degree  $d_j - 1$  in  $x_j$  for all  $a \neq 0$ . From these observations we infer that if  $J \neq K$ , then  $J$  contains the constant polynomials, an unacceptable alternative.

#### REFERENCES

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