

A CARTAN TYPE IDENTITY FOR ISOPARAMETRIC HYPERSURFACES IN SYMMETRIC SPACES

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Abstract. In this paper, we obtain a Cartan type identity for curvature-adapted isoparametric hypersurfaces in symmetric spaces of compact type or non-compact type. This identity is a generalization of Cartan-D’Atri’s identity for curvature-adapted (=amenable) isoparametric hypersurfaces in rank one symmetric spaces. Furthermore, by using the Cartan type identity, we show that certain kind of curvature-adapted isoparametric hypersurfaces in a symmetric space of non-compact type are principal orbits of Hermann actions.

1. Introduction. An isoparametric hypersurface in a (general) Riemannian manifold is a connected hypersurface whose sufficiently close parallel hypersurfaces are of constant mean curvature (see [12] for example). In this paper, we assume that all isoparametric hypersurfaces are complete. It is known that all isoparametric hypersurfaces in a symmetric space of compact type are equifocal in the sense of [37] and that, conversely all equifocal hypersurfaces are isoparametric (see [12]). Also, it is known that all isoparametric hypersurfaces in a symmetric space of non-compact type are complex equifocal in the sense of [18] and that, conversely, all curvature-adapted complex equifocal hypersurfaces are isoparametric (see [19, Theorem 15]), where the curvature-adaptedness implies that, for a unit normal vector v , the (normal) Jacobi operator $R(\cdot, v)v$ preserves the tangent space invariantly and commutes with the shape operator A for v , where R is the curvature tensor of the ambient space. It is known that principal orbits of a Hermann action (i.e., the action of a symmetric subgroup of G) of cohomogeneity one on a symmetric space G/K of compact type are curvature-adapted and equifocal (see ([11])). Hence they are isoparametric hypersurfaces. On the other hand, we [20, 23] showed that the principal orbits of a Hermann action (i.e., the action of a (not necessarily compact) symmetric subgroup of G) of cohomogeneity one on a symmetric space G/K of non-compact type are curvature-adapted and complex equifocal, and they have no focal point of non-Euclidean type on the ideal boundary of G/K . Hence they are isoparametric hypersurfaces.

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For an isoparametric hypersurface M in a real space form N of constant curvature c , it is known that the following Cartan's identity holds:

$$(1.1) \quad \sum_{\lambda \in \text{Spec}A \setminus \{\lambda_0\}} \frac{c + \lambda\lambda_0}{\lambda - \lambda_0} \times m_\lambda = 0$$

for any $\lambda_0 \in \text{Spec}A$, where A is the shape operator of M and $\text{Spec}A$ is the spectrum of A , m_λ is the multiplicity of λ . Here we note that all hypersurfaces in a real space form are curvature-adapted. In general cases, this identity is shown in algebraic method. Also, it is shown in geometrical method in the following three cases:

- (i) $c = 0$, $\lambda_0 \neq 0$,
- (ii) $c > 0$, λ_0 : any eigenvalue of A_ν ,
- (iii) $c < 0$, $|\lambda_0| > \sqrt{-c}$.

In detail, it is shown by showing the minimality of the focal submanifold for λ_0 and using this fact.

Let $H \curvearrowright G/K$ be a cohomogeneity one action of a compact group $H (\subset G)$ on a rank one symmetric space G/K and M a principal orbit of this action. Since the H -action is of cohomogeneity one, it is hyperpolar. Hence M is an equifocal (hence isoparametric) hypersurface (see [13]). In 1979, D'Atri [8] obtained a Cartan type identity for M in the case where M is amenable (i.e., curvature-adapted). On the other hand, in 1989–1991, Berndt [1, 2] obtained a Cartan type identity (in algebraic method) for curvature-adapted hypersurfaces with constant principal curvature in rank one symmetric spaces other than spheres and hyperbolic spaces. Here we note that, for a curvature-adapted hypersurface in a rank one symmetric space of non-compact type, it has constant principal curvature if and only if it is isoparametric.

In this paper, we obtain the Cartan type identities for curvature-adapted isoparametric hypersurfaces in symmetric spaces and, furthermore, by using the Cartan type identity, we prove that certain kind of curvature-adapted isoparametric hypersurfaces in a symmetric space of non-compact type are principal orbits of Hermann actions. Let M be a hypersurface in a symmetric space $N = G/K$ of compact type or non-compact type and ν a unit normal vector field of M . Set $R(\nu_x) := R(\cdot, \nu_x)\nu_x|_{T_xM}$, where R is the curvature tensor of N . For each $r \in \mathbb{R}$, we define a function τ_r over $[0, \infty)$ by

$$\tau_r(s) := \begin{cases} \frac{\sqrt{s}}{\tan(r\sqrt{s})} & (s > 0) \\ \frac{1}{r} & (s = 0). \end{cases}$$

Also, for each $r \in \mathbb{C}$, we define a complex-valued function $\hat{\tau}_r$ over $(-\infty, 0]$ by

$$\hat{\tau}_r(s) := \begin{cases} \frac{\mathbf{i}\sqrt{-s}}{\tan(\mathbf{i}r\sqrt{-s})} & (s < 0) \\ \frac{1}{r} & (s = 0), \end{cases}$$

where \mathbf{i} is the imaginary unit. First we prove the following Cartan type identity for a curvature-adapted isoparametric hypersurface in a simply connected symmetric space of compact type.

THEOREM A. *Let M be a curvature-adapted isoparametric hypersurface in a simply connected symmetric space $N := G/K$ of compact type. For each focal radius r_0 of M , we have*

$$(1.2) \quad \sum_{(\lambda, \mu) \in S_{r_0}^x} \frac{\mu + \lambda \tau_{r_0}(\mu)}{\lambda - \tau_{r_0}(\mu)} \times m_{\lambda, \mu} = 0,$$

where $S_{r_0}^x := \{(\lambda, \mu) \in \text{Spec}A_x \times \text{Spec}R(v_x) ; \text{Ker}(A_x - \lambda I) \cap \text{Ker}(R(v_x) - \mu I) \neq \{0\}, \lambda \neq \tau_{r_0}(\mu)\}$ and $m_{\lambda, \mu} := \dim(\text{Ker}(A_x - \lambda I) \cap \text{Ker}(R(v_x) - \mu I))$.

REMARK 1.1. (i) If $\text{Ker}(A_x - \lambda_0 I) \cap \text{Ker}(R(v_x) - \mu_0 I)$ is included by the focal space for the focal radius r_0 , then we have $\tau_{r_0}(\mu_0) = \lambda_0$.

(ii) If G/K is a sphere of constant curvature c , then $\text{Spec}R(v_x) = \{c\}$ and $\tau_{r_0}(c)$ is equal to the principal curvature corresponding to r_0 . Hence the identity (1.2) coincides with (1.1).

(iii) In the case where G/K is a rank one symmetric space of compact type, the identity (1.2) coincides with the identity obtained by D’Atri [8] (see [8, Theorems 3.7 and 3.9]).

(iv) In the case where G/K is a rank one symmetric space of compact type other than spheres, the identity (1.2) is different from the identity obtained by Berndt [1, 2].

Next, in this paper, we prove the following Cartan type identity for a curvature-adapted isoparametric C^ω -hypersurface in a symmetric space of non-compact type, where C^ω means the real analyticity.

THEOREM B. *Let M be a curvature-adapted isoparametric C^ω -hypersurface in a symmetric space $N := G/K$ of non-compact type. Assume that M has no focal point of non-Euclidean type on the ideal boundary $N(\infty)$ of N . Then M admits a complex focal radius and, for each complex focal radius r_0 of M , we have*

$$(1.3) \quad \sum_{(\lambda, \mu) \in S_{r_0}^x} \frac{\mu + \lambda \hat{\tau}_{r_0}(\mu)}{\lambda - \hat{\tau}_{r_0}(\mu)} \times m_{\lambda, \mu} = 0,$$

where $S_{r_0}^x := \{(\lambda, \mu) \in \text{Spec}A_x \times \text{Spec}R(v_x) ; \text{Ker}(A_x - \lambda I) \cap \text{Ker}(R(v_x) - \mu I) \neq \{0\}, \lambda \neq \hat{\tau}_{r_0}(\mu)\}$ and $m_{\lambda, \mu} := \dim(\text{Ker}(A_x - \lambda I) \cap \text{Ker}(R(v_x) - \mu I))$.

REMARK 1.2. (i) The notion of a complex focal radius was introduced in [18]. This quantity indicates the position of a focal point of the complexification $M^{\mathbb{C}} (\subset G^{\mathbb{C}}/K^{\mathbb{C}})$ of a submanifold M in a symmetric space G/K of non-compact type (see [19]).

(ii) If $\text{Ker}(A_x - \lambda_0 I) \cap \text{Ker}(R(v_x) - \mu_0 I)$ is included by the focal space for the complex focal radius r_0 , then we have $\hat{\tau}_{r_0}(\mu_0) = \lambda_0$.

(iii) If G/K is a hyperbolic space of constant curvature c , then $\text{Spec}R(v_x) = \{c\}$ and $\hat{\tau}_{r_0}(c)$ is equal to the principal curvature corresponding to r_0 . Hence the identity (1.3) coincides with (1.1).

(iv) In the case where G/K is a rank one symmetric space of non-compact type and r_0 is a real focal radius, the identity (1.3) coincides with the identity obtained by D'Atri [8] (see [8, Theorems 3.7 and 3.9]).

(v) In the case where G/K is a rank one symmetric space of non-compact type other than hyperbolic spaces, the identity (1.3) is different from the identity obtained by J. Berndt [1, 2].

(vi) For a curvature-adapted and isoparametric hypersurface M in G/K , the following conditions (a)–(c) are equivalent:

- (a) M has no focal point of non-Euclidean type on $N(\infty)$,
- (b) M is proper complex equifocal in the sense of [20],
- (c) $\text{Ker}(A_x \pm \sqrt{-\mu}I) \cap \text{Ker}(R(v_x) - \mu I) = \{0\}$ holds for each $\mu \in \text{Spec}R(v_x) \setminus \{0\}$.

(vii) Principal orbits of a Hermann type action of cohomogeneity one on G/K are curvature-adapted isoparametric C^ω -hypersurface having no focal point of non-Euclidean type on $N(\infty)$ (see [20, Theorem B] and the above (iii)).

The proof of Theorem B is performed by showing **the minimality of the focal submanifold** $F := \{\exp^\perp((\text{Re } r_0)v_x + (\text{Im } r_0)Jv_x) ; x \in M^{\mathbb{C}}\}$ of the complexification $M^{\mathbb{C}}$ of M (see Figure 1), where \exp^\perp is the normal exponential map of the submanifold $M^{\mathbb{C}}$ in $G^{\mathbb{C}}/K^{\mathbb{C}}$, J is the complex structure of $G^{\mathbb{C}}/K^{\mathbb{C}}$ and v is a unit normal vector field of M (in G/K). Here we note that $\exp^\perp((\text{Re } r_0)v_x + (\text{Im } r_0)Jv_x)$ is equal to the point $\gamma_{v_x}^{\mathbb{C}}(r_0)$ of the complexified geodesic $\gamma_{v_x}^{\mathbb{C}}$ in $G^{\mathbb{C}}/K^{\mathbb{C}}$. In the case where G/K is of rank greater than one and M is not homogeneous, the proof of the minimality of F is performed by showing **the minimality of the lift $\tilde{F} := (\pi \circ \phi)^{-1}(F)$ of F to the path space $H^0([0, 1], \mathfrak{g}^{\mathbb{C}})$** , where ϕ is the parallel transport map for $G^{\mathbb{C}}$ (which is an anti-Kaehlerian submersion of $H^0([0, 1], \mathfrak{g}^{\mathbb{C}})$ onto $G^{\mathbb{C}}$) and π is the natural projection of $G^{\mathbb{C}}$ onto $G^{\mathbb{C}}/K^{\mathbb{C}}$ (which also is an anti-Kaehlerian submersion). Here we note that the minimality of F is trivial in the case where M is homogeneous. By using Theorem B, we prove the following fact for the number of distinct principal curvatures

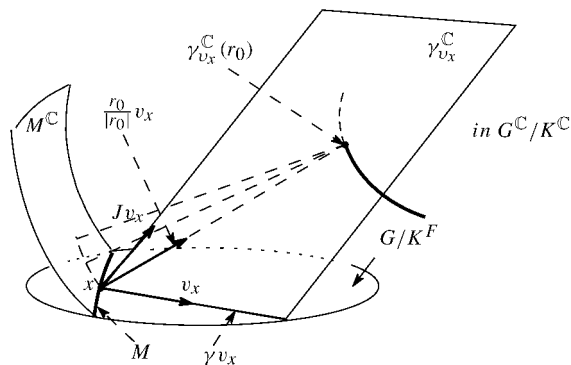


FIGURE 1.

of a curvature-adapted isoparametric C^ω -hypersurfaces in a symmetric sapce of non-compact type.

By using Theorem B, we prove the following main result.

THEOREM C. *Let M be a curvature-adapted isoparametric C^ω -hypersurface in a symmetric space N of non-compact type. Assume that M has no focal point of non-Euclidean type on $N(\infty)$. Then M is a principal orbit of a Hermann action.*

REMARK 1.3. In this theorem, are indispensable both the condition of the curvature-adaptedness and the condition for the non-existenceness of non-Euclidean type focal point on the ideal boundary. In fact, we have the following examples. Let G/K be an irreducible symmetric space of non-compact type such that the (restricted) root system of G/K is non-reduced. Let $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$ ($\mathfrak{g} = \text{Lie } G$, $\mathfrak{k} = \text{Lie } K$) be the Cartan decomposition associated with a symmetric pair (G, K) and \mathfrak{a} a maximal abelian subspace of \mathfrak{p} . Also, let Δ_+ be the positive root system of G/K with respect to \mathfrak{a} and Π the simple root system of Δ_+ , where we fix a lexicographic ordering of the dual space \mathfrak{a}^* of \mathfrak{a} . Set $\mathfrak{n} := \sum_{\lambda \in \Delta_+} \mathfrak{g}_\lambda$ and $N := \exp \mathfrak{n}$, where \mathfrak{g}_λ is the root space for λ and \exp is the exponential map of G . If G/K is of rank one, then any orbit of the N -action on G/K is a full irreducible curvature-adapted isoparametric C^ω -hypersurface but it has a focal point of non-Euclidean type on $N(\infty)$ (see [25]). On the other hand, it is a principal orbit of no Hermann action. Thus, in this theorem, is indispensable the condition for the non-existenceness of a focal point of non-Euclidean type on the ideal boundary. Let H_λ be the element of \mathfrak{a} defined by $\langle H_\lambda, \bullet \rangle = \lambda(\bullet)$. Assume that the (restricted) root system of G/K is of type (BC_n) . Take an element λ of Π such that 2λ belongs to Δ_+ , and one-dimensional subspaces l of $\mathbb{R}H_\lambda + \mathfrak{g}_\lambda$. Set $S := \exp((\mathfrak{a} + \mathfrak{n}) \ominus l)$, where \exp is the exponential map of G and $(\mathfrak{a} + \mathfrak{n}) \ominus l$ is the orthogonal complement of l in $\mathfrak{a} + \mathfrak{n}$. Then S is a subgroup of $AN := \exp(\mathfrak{a} + \mathfrak{n})$ and any orbit of the S -action on G/K is a full irreducible isoparametric C^ω -hypersurface but it is not curvature-adapted (see [25]). Furthermore, we can find an orbit having no focal point of non-Euclidean type on $N(\infty)$ among orbits of the S -action. On the other hand, it is a principal orbit of no Hermann action. Thus the condition of the curvature-adaptedness is indispensable in this theorem.

In Section 2, we recall basic notions. In Section 3, we prove Theorem A. In Section 4, we define the mean curvature of a proper anti-Kaehlerian Fredholm submanifold and prepare a lemma to prove Theorem B. In Section 5, we prove Theorems B and C.

2. Basic notions. In this section, we recall basic notions which are used in the proof of Theorems A and B. First we recall the notion of an equifocal hypersurface in a symmetric space. Let M be a complete (oriented embedded) hypersurface in a symmetric space $N = G/K$ and fix a global unit normal vector field v of M . Let γ_{v_x} be the normal geodesic of M with $\gamma'_{v_x}(0) = v_x$, where $x \in M$ and $\gamma'_{v_x}(0)$ is the velocity vector of γ_{v_x} at 0. If $\gamma_{v_x}(s_0)$ is a focal point of M along γ_{v_x} , then s_0 is called a *focal radius of M at x* . Denote by $\mathcal{FR}_{M,x}$ the set of all focal radii of M at x . If M is compact and if $\mathcal{FR}_{M,x}$ is independent of the choice

of x , then it is called an *equifocal hypersurface*. This notion is the hypersurface version of an equifocal submanifold defined in [37].

Next we recall the notion of a complex equifocal hypersurface in a symmetric space of non-compact type. Let M be a complete (oriented embedded) hypersurface in a symmetric space $N = G/K$ of non-compact type and fix a global unit normal vector field v of M . Let \mathfrak{g} be the Lie algebra of G and θ be the Cartan involution of G with $\text{Fix } \theta = K$, where $\text{Fix } \theta$ is the fixed point group of θ . Denote by the same symbol θ the involution of \mathfrak{g} induced from θ . Set $\mathfrak{p} := \text{Ker}(\theta + \text{id})$. The subspace \mathfrak{p} is identified with the tangent space $T_e K N$ of N at eK , where e is the identity element of G . Let M be a complete (oriented embedded) hypersurface in N . Fix a global unit normal vector field v of M . Denote by A the shape operator of M (for v). Take $X \in T_x M$ ($x = gK$). The M -Jacobi field Y along γ_x with $Y(0) = X$ (hence $Y'(0) = -A_x X$) is given by

$$Y(s) = (P_{\gamma_x|_{[0,s]}} \circ (D_{sv_x}^{co} - sD_{sv_x}^{si} \circ A_x))(X),$$

where $P_{\gamma_x|_{[0,s]}}$ is the parallel translation along $\gamma_x|_{[0,s]}$, $D_{sv_x}^{co}$ (resp. $D_{sv_x}^{si}$) is given by

$$\begin{aligned} D_{sv_x}^{co} &= g_* \circ \cos(\mathbf{iad}(s g_*^{-1} v_x)) \circ g_*^{-1} \\ &\left(\text{resp. } D_{sv_x}^{si} = g_* \circ \frac{\sin(\mathbf{iad}(s g_*^{-1} v_x))}{\mathbf{iad}(s g_*^{-1} v_x)} \circ g_*^{-1} \right). \end{aligned}$$

Here ad is the adjoint representation of the Lie algebra \mathfrak{g} of G . All focal radii of M at x are caught as real numbers s_0 with $\text{Ker}(D_{s_0 v_x}^{co} - s_0 D_{s_0 v_x}^{si} \circ A_x) \neq \{0\}$. So, we [18] defined the notion of a *complex focal radius of M at x* as a complex number z_0 with $\text{Ker}(D_{z_0 v_x}^{co} - z_0 D_{z_0 v_x}^{si} \circ A_x^{\mathbb{C}}) \neq \{0\}$, where $D_{z_0 v_x}^{co}$ (resp. $D_{z_0 v_x}^{si}$) is a \mathbb{C} -linear transformation of $(T_x N)^{\mathbb{C}}$ defined by

$$\begin{aligned} D_{z_0 v_x}^{co} &= g_*^{\mathbb{C}} \circ \cos(\mathbf{iad}^{\mathbb{C}}(z_0 g_*^{-1} v_x)) \circ (g_*^{\mathbb{C}})^{-1} \\ &\left(\text{resp. } D_{z_0 v_x}^{si} = g_*^{\mathbb{C}} \circ \frac{\sin(\mathbf{iad}^{\mathbb{C}}(z_0 g_*^{-1} v_x))}{\mathbf{iad}^{\mathbb{C}}(z_0 g_*^{-1} v_x)} \circ (g_*^{\mathbb{C}})^{-1} \right), \end{aligned}$$

where $g_*^{\mathbb{C}}$ (resp. $\text{ad}^{\mathbb{C}}$) is the complexification of g_* (resp. ad). Also, we call $\text{Ker}(D_{z_0 v_x}^{co} - z_0 D_{z_0 v_x}^{si} \circ A_x^{\mathbb{C}})$ the *focal space* of the complex focal radius z_0 and its complex dimension the *multiplicity* of the complex focal radius z_0 . In [19], it was shown that, in the case where M is of class C^ω , complex focal radii of M at x indicate the positions of focal points of the extrinsic complexification $M^{\mathbb{C}} (\hookrightarrow G^{\mathbb{C}}/K^{\mathbb{C}})$ of M along the complexified geodesic $\gamma_{v_x}^{\mathbb{C}}$, where $G^{\mathbb{C}}/K^{\mathbb{C}}$ is the anti-Kaehlerian symmetric space associated with G/K . See [19] (also [26]) about the detail of the definition of the extrinsic complexification. Denote by \mathcal{CFR}_x the set of all complex focal radii of M at x . If \mathcal{CFR}_x is independent of the choice of x , then M is called a *complex equifocal hypersurface*. Here we note that we should call such a hypersurface an equi-complex focal hypersurface but, for simplicity, we call it a complex equifocal hypersurface. This notion is the hypersurface version of a complex equifocal submanifold defined in [18].

Next we recall the notion of an anti-Kaehlerian equifocal hypersurface in an anti-Kaehlerian symmetric space. Let J be a parallel complex structure on an even dimensional pseudo-Riemannian manifold $(M, \langle \cdot, \cdot \rangle)$ of half index. If $\langle JX, JY \rangle = -\langle X, Y \rangle$ holds for every $X, Y \in TM$, then $(M, \langle \cdot, \cdot \rangle, J)$ is called an *anti-Kaehlerian manifold*. Let $N = G/K$ be a symmetric space of non-compact type and $G^{\mathbb{C}}/K^{\mathbb{C}}$ the anti-Kaehlerian symmetric space associated with G/K . See [19] about the anti-Kaehlerian structure of $G^{\mathbb{C}}/K^{\mathbb{C}}$. Let f be an isometric immersion of an anti-Kaehlerian manifold $(M, \langle \cdot, \cdot \rangle, J)$ into $G^{\mathbb{C}}/K^{\mathbb{C}}$. If $\tilde{J} \circ f_* = f_* \circ J$, then M is called an *anti-Kaehlerian submanifold* immersed by f . Let A be the shape tensor of M . We have $A_{\tilde{J}v}X = A_v(JX) = J(A_vX)$, where $X \in TM$ and $v \in T^{\perp}M$. If $A_vX = aX + bJX$ ($a, b \in \mathbb{R}$), then X is called a *J-eigenvector for $a + bi$* . Let $\{e_i\}_{i=1}^n$ be an orthonormal system of T_xM such that $\{e_i\}_{i=1}^n \cup \{Je_i\}_{i=1}^n$ is an orthonormal base of T_xM . We call such an orthonormal system $\{e_i\}_{i=1}^n$ a *J-orthonormal base of T_xM* . If there exists a *J-orthonormal base* consisting of *J-eigenvectors* of A_v , then we say that A_v is *diagonalizable with respect to a J-orthonormal base*. Then we set $\text{Tr}_J A_v := \sum_{i=1}^n \lambda_i$ as $A_v e_i = (\text{Re } \lambda_i)e_i + (\text{Im } \lambda_i)J e_i$ ($i = 1, \dots, n$). We call this quantity the *J-trace of A_v* . If, for each unit normal vector $v \in M$, the shape operator A_v is diagonalizable with respect to a *J-orthonormal tangent base*, if the normal Jacobi operator $R(v)$ preserves the tangent space T_xM (x : the base point of v) invariantly and if A_v and $R(v)$ commute, then we call M a *curvature-adapted anti-Kaehlerian submanifold*, where R is the curvature tensor of $G^{\mathbb{C}}/K^{\mathbb{C}}$. Assume that M is an anti-Kaehlerian hypersurface (i.e., $\text{codim } M = 2$) and that it is orientable. Denote by \exp^{\perp} the normal exponential map of M . Fix a global parallel orthonormal normal base $\{v, Jv\}$ of M . If $\exp^{\perp}(av_x + bJv_x)$ is a focal point of (M, x) , then we call the complex number $a + bi$ a *complex focal radius along the geodesic γ_{v_x}* . Assume that the number (which may be 0 and ∞) of distinct complex focal radii along the geodesic γ_{v_x} is independent of the choice of $x \in M$. Furthermore assume that the number is not equal to 0. Let $\{r_{i,x}; i = 1, 2, \dots\}$ be the set of all complex focal radii along γ_{v_x} , where $|r_{i,x}| < |r_{i+1,x}|$ or “ $|r_{i,x}| = |r_{i+1,x}|$ & $\text{Re } r_{i,x} > \text{Re } r_{i+1,x}$ ” or “ $|r_{i,x}| = |r_{i+1,x}|$ & $\text{Re } r_{i,x} = \text{Re } r_{i+1,x}$ & $\text{Im } r_{i,x} = -\text{Im } r_{i+1,x} < 0$ ”. Let r_i ($i = 1, 2, \dots$) be complex-valued functions on M defined by assigning $r_{i,x}$ to each $x \in M$. We call this function r_i the *i-th complex focal radius function for v* . If the number of distinct complex focal radii along γ_{v_x} is independent of the choice of $x \in M$, complex focal radius functions for v are constant on M and they have constant multiplicity, then M is called an *anti-Kaehlerian equifocal hypersurface*. We ([19]) showed the following fact.

FACT 3. *Let M be a complete (embedded) C^{ω} -hypersurface in G/K . Then M is complex equifocal if and only if $M^{\mathbb{C}}$ is anti-Kaehler equifocal.*

Next we recall the notion of an anti-Kaehlerian isoparametric hypersurface in an infinite dimensional anti-Kaehlerian space. Let f be an isometric immersion of an anti-Kaehlerian Hilbert manifold $(M, \langle \cdot, \cdot \rangle, J)$ into an infinite dimensional anti-Kaehlerian space $(V, \langle \cdot, \cdot \rangle, \tilde{J})$. See [19, Section 5] about the definitions of an anti-Kaehlerian Hilbert manifold and an infinite dimensional anti-Kaehlerian space. If $\tilde{J} \circ f_* = f_* \circ J$ holds, then we call M an

anti-Kaehlerian Hilbert submanifold in $(V, \langle \cdot, \cdot \rangle, \tilde{J})$ immersed by f . If M is of finite codimension and there exists an orthogonal time-space decomposition $V = V_- \oplus V_+$ such that $\tilde{J}V_{\pm} = V_{\mp}$, $(V, \langle \cdot, \cdot \rangle_{V_{\pm}})$ is a Hilbert space, the distance topology associated with $\langle \cdot, \cdot \rangle_{V_{\pm}}$ coincides with the original topology of V and, for each $v \in T^{\perp}M$, the shape operator A_v is a compact operator with respect to $f^*\langle \cdot, \cdot \rangle_{V_{\pm}}$, then we call M an *anti-Kaehlerian Fredholm submanifold* (rather than *anti-Kaehlerian Fredholm Hilbert submanifold*). Let $(M, \langle \cdot, \cdot \rangle, J)$ be an orientable anti-Kaehlerian Fredholm hypersurface in an anti-Kaehlerian space $(V, \langle \cdot, \cdot \rangle, \tilde{J})$ and A be the shape tensor of $(M, \langle \cdot, \cdot \rangle, J)$. Fix a global unit normal vector field v of M . If there exists $X (\neq 0) \in T_x M$ with $A_{v_x} X = aX + bJX$, then we call the complex number $a + b\mathbf{i}$ a *J-eigenvalue* of A_{v_x} (or a *complex principal curvature of M at x*) and call X a *J-eigenvector* of A_{v_x} for $a + b\mathbf{i}$. Here we note that this relation is rewritten as $A_{v_x}^{\mathbb{C}} X^{(1,0)} = (a + b\mathbf{i})X^{(1,0)}$, where $X^{(1,0)} := \frac{1}{2}(X - \mathbf{i}JX)$. Also, we call the space of all *J-eigenvectors* of A_{v_x} for $a + b\sqrt{-1}$ a *J-eigenspace* of A_{v_x} for $a + b\mathbf{i}$. We call the set of all *J-eigenvalues* of A_{v_x} the *J-spectrum* of A_{v_x} and denote it by $\text{Spec}_J A_{v_x}$. $\text{Spec}_J A_{v_x} \setminus \{0\}$ is described as follows:

$$\text{Spec}_J A_{v_x} \setminus \{0\} = \{\lambda_i ; i = 1, 2, \dots\}$$

$$\left(\begin{array}{l} |\lambda_i| > |\lambda_{i+1}| \text{ or } "|\lambda_i| = |\lambda_{i+1}| \ \& \ \text{Re } \lambda_i > \text{Re } \lambda_{i+1}" \\ \text{or } "|\lambda_i| = |\lambda_{i+1}| \ \& \ \text{Re } \lambda_i = \text{Re } \lambda_{i+1} \ \& \ \text{Im } \lambda_i = -\text{Im } \lambda_{i+1} > 0" \end{array} \right).$$

Also, the *J-eigenspace* for each *J-eigenvalue* of A_{v_x} other than 0 is of finite dimension. We call the *J-eigenvalue* λ_i the *i-th complex principal curvature of M at x* . Assume that the number (which may be ∞) of distinct complex principal curvatures of M is constant over M . Then we can define functions $\tilde{\lambda}_i$ ($i = 1, 2, \dots$) on M by assigning the *i-th complex principal curvature of M at x* to each $x \in M$. We call this function $\tilde{\lambda}_i$ the *i-th complex principal curvature function* of M . If the number of distinct complex principal curvatures of M is constant over M , each complex principal curvature function is constant over M and it has constant multiplicity, then we call M an *anti-Kaehler isoparametric hypersurface*. Let $\{e_i\}_{i=1}^{\infty}$ be an orthonormal system of $(T_x M, \langle \cdot, \cdot \rangle_x)$. If $\{e_i\}_{i=1}^{\infty} \cup \{J e_i\}_{i=1}^{\infty}$ is an orthonormal base of $T_x M$, then we call $\{e_i\}_{i=1}^{\infty}$ a *J-orthonormal base*. If there exists a *J-orthonormal base* consisting of *J-eigenvectors* of A_{v_x} , then A_{v_x} is said to be *diagonalized with respect to the J-orthonormal base*. If M is anti-Kaehlerian isoparametric and, for each $x \in M$, the shape operator A_{v_x} is diagonalized with respect to a *J-orthonormal base*, then we call M a *proper anti-Kaehlerian isoparametric hypersurface*.

In [18], we defined the notion of the parallel transport map for a semi-simple Lie group G as a pseudo-Riemannian submersion of a pseudo-Hilbert space $H^0([0, 1], \mathfrak{g})$ onto G . See [18] in detail. Also, in [19], we defined the notion of the parallel transport map for the complexification $G^{\mathbb{C}}$ of a semi-simple Lie group G as an anti-Kaehlerian submersion of an infinite dimensional anti-Kaehlerian space $H^0([0, 1], \mathfrak{g}^{\mathbb{C}})$ onto $G^{\mathbb{C}}$. See [19] in detail. Let G/K be a symmetric space of non-compact type and $\phi : H^0([0, 1], \mathfrak{g}^{\mathbb{C}}) \rightarrow G^{\mathbb{C}}$ the parallel transport map for $G^{\mathbb{C}}$ and $\pi : G^{\mathbb{C}} \rightarrow G^{\mathbb{C}}/K^{\mathbb{C}}$ the natural projection. We [19] showed the following fact.

FACT. 4. *Let M be a complete anti-Kaehlerian hypersurface in an anti-Kaehlerian symmetric space $G^{\mathbb{C}}/K^{\mathbb{C}}$. Then M is anti-Kaehlerian equifocal if and only if each component of $(\pi \circ \phi)^{-1}(M)$ is anti-Kaehlerian isoparametric.*

Next we recall the notion of a focal point of non-Euclidean type on the ideal boundary $N(\infty)$ of a hypersurface M in a Hadamard manifold N which was introduced in [23] for a submanifold of general codimension. Assume that M is orientable. Let v be a unit normal vector field of M and $\gamma_{v_x} : [0, \infty) \rightarrow N$ the normal geodesic of M of direction v_x . If there exists an M -Jacobi field Y along γ_{v_x} satisfying $\lim_{t \rightarrow \infty} \|Y(t)\|/t = 0$, then we call $\gamma_{v_x}(\infty) (\in N(\infty))$ a focal point of M on the ideal boundary $N(\infty)$ along γ_{v_x} , where $\gamma_{v_x}(\infty)$ is the asymptotic class of γ_{v_x} . Also, if there exists an M -Jacobi field Y along γ_{v_x} satisfying $\lim_{t \rightarrow \infty} \|Y(t)\|/t = 0$ and $\text{Sec}(v_x, Y(0)) \neq 0$, then we call $\gamma_{v_x}(\infty)$ a focal point of non-Euclidean type of M on $N(\infty)$ along γ_{v_x} , where $\text{Sec}(v_x, Y(0))$ is the sectional curvature for the 2-plane spanned by v_x and $Y(0)$. If, for any point x of M , $\gamma_{v_x}(\infty)$ and $\gamma_{-v_x}(\infty)$ are not a focal point of non-Euclidean type of M on $N(\infty)$, then we say that M has no focal point of non-Euclidean type on the ideal boundary $N(\infty)$. According to [19, Theorem 1] and [23, Theorem A], we have the following fact.

FACT 5. *Let M be a curvature-adapted and isoparametric C^ω -hypersurface in a symmetric space $N := G/K$ of non-compact type. Then the following conditions (i) and (ii) are equivalent:*

- (i) *M has no focal point of non-Euclidean type on the ideal boundary $N(\infty)$.*
- (ii) *Each component of $(\pi \circ \phi)^{-1}(M^{\mathbb{C}})$ is proper anti-Kaehlerian isoparametric.*

3. Proof of Theorem A. In this section, we shall prove Theorem A. Let M be a curvature-adapted isoparametric hypersurface in a simply connected symmetric space G/K of compact type, v a unit normal vector field of M and $C (\subset T_x^\perp M)$ the Coxeter domain (i.e., the fundamental domain (containing 0) of the Coxeter group of M at x). The boundary ∂C of C consists of two points and it is described as $\partial C = \{r_1 v_x, r_2 v_x\}$ ($r_2 < 0 < r_1$). We may assume that $|r_1| \leq |r_2|$ by replacing v with $-v$ if necessary. Note that the set \mathcal{FR}_M of all focal radii of M is equal to $\{kr_1 + (1-k)r_2; k \in \mathbb{Z}\}$. Set $F_i := \{\gamma_{v_x}(r_i); x \in M\}$ ($i = 1, 2$), which are all of focal submanifolds of M . The hypersurface M is the r_i -tube over F_i ($i = 1, 2$). Let π be the natural projection of G onto G/K and ϕ the parallel transport map for G . Let \tilde{M} be a component of $(\pi \circ \phi)^{-1}(M)$, which is an isoparametric hypersurface in $H^0([0, 1], \mathfrak{g})$. The set $\mathcal{PC}_{\tilde{M}}$ of all principal curvatures other than zero of \tilde{M} is equal to $\{\frac{1}{kr_1 + (1-k)r_2}; k \in \mathbb{Z}\}$. Set $\lambda_{2k-1} := \frac{1}{kr_1 + (1-k)r_2}$ ($k = 1, 2, \dots$) and $\lambda_{2k} := \frac{1}{-(k-1)r_1 + kr_2}$ ($k = 1, 2, \dots$). Then we have $|\lambda_{i+1}| < |\lambda_i|$ or $\lambda_i = -\lambda_{i+1} > 0$ for any $i \in \mathbb{N}$. Denote by m_i the multiplicity of λ_i . Denote by A (resp. \tilde{A}) the shape operator of M for v (resp. \tilde{M} for v^L), where v^L is the horizontal lift of v to \tilde{M} with respect to $\pi \circ \phi$. Fix $r_0 \in \mathcal{FR}_M$. The focal map $f_{r_0} : M \rightarrow G/K$ is defined by $f_{r_0}(x) := \gamma_{v_x}(r_0)$ ($x \in M$). Let $F := f_{r_0}(M)$, which is either F_1 or F_2 . Denote by A^F the shape tensor of F and ψ_t the geodesic flow of G/K .

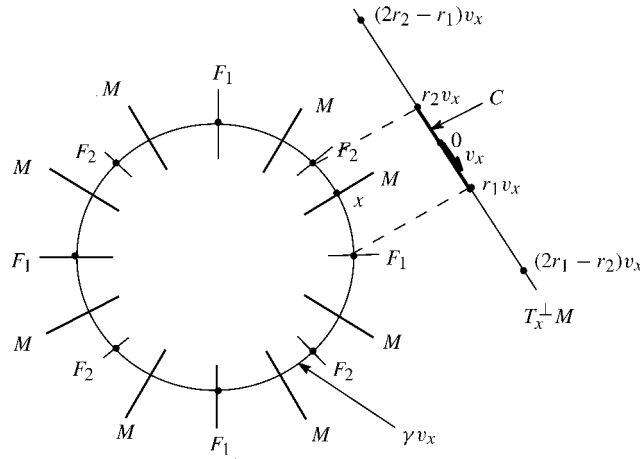


FIGURE 2.

PROOF OF THEOREM A. Define a set S_x by

$$S_x := \{(\lambda, \mu) \in \text{Spec}A_x \times \text{Spec}R(v_x) ; \text{Ker}(A_x - \lambda I) \cap \text{Ker}(R(v_x) - \mu I) \neq \{0\}\}.$$

Since M is curvature adapted, we have

$$T_x M = \bigoplus_{(\lambda, \mu) \in S_x} (\text{Ker}(A_x - \lambda I) \cap \text{Ker}(R(v_x) - \mu I)).$$

Define a distribution D on M by $D_x := \bigoplus_{(\lambda, \mu) \in S_x} (\text{Ker}(A_x - \lambda I) \cap \text{Ker}(R(v_x) - \mu I))$ and D^\perp the orthogonal complementary distribution of D in TM . Let $X \in \text{Ker}(A_x - \lambda I) \cap \text{Ker}(R(v_x) - \mu I)$ ($(\lambda, \mu) \in S_x$) and Y be the Jacobi field along $\gamma_{r_0 v_x}$ with $Y(0) = X$ and $Y'(0) = -A_{r_0 v_x} X (= -r_0 \lambda X)$. This Jacobi field Y is described as

$$Y(s) = \left(\cos(sr_0\sqrt{\mu}) - \frac{\lambda \sin(sr_0\sqrt{\mu})}{\sqrt{\mu}} \right) P_{\gamma_{r_0 v_x}|_{[0,s]}}(X).$$

Since $Y(1) = f_{r_0*} X$, we have

$$(3.1) \quad f_{r_0*} X = \left(\cos(r_0\sqrt{\mu}) - \frac{\lambda \sin(r_0\sqrt{\mu})}{\sqrt{\mu}} \right) P_{\gamma_{r_0 v_x}}(X),$$

which is not equal to 0 because $(\lambda, \mu) \in S_x$. From this relation, we have $T_{f_0(x)} F = P_{\gamma_{r_0 v_x}}(D)$. On the other hand, we have

$$(3.2) \quad \begin{aligned} \tilde{\nabla}_{f_{r_0*} X} \psi_{r_0}(v_x) &= \frac{1}{r_0} Y'(1) \\ &= -(\sqrt{\mu} \sin(r_0\sqrt{\mu}) + \lambda \cos(r_0\sqrt{\mu})) P_{\gamma_{r_0 v_x}}(X). \end{aligned}$$

From (3.1) and (3.2), we have

$$A_{\psi_{r_0}(v_x)}^F f_{r_0*} X = -\frac{\mu + \lambda \tau_{r_0}(\mu)}{\lambda - \tau_{r_0}(\mu)} f_{r_0*} X.$$

Hence we can derive the following relation:

$$(3.3) \quad \text{Tr } A_{\psi_{r_0}(v_x)}^F = - \sum_{(\lambda, \mu) \in S_{r_0}^x} \frac{\mu + \lambda \tau_{r_0}(\mu)}{\lambda - \tau_{r_0}(\mu)} \times m_{\lambda, \mu},$$

where $S_{r_0}^x$ and $m_{\lambda, \mu}$ are as in the statement of Theorem A. On the other hand, it is not difficult to show the existence of a transnormal function on G/K having M and F as a regular level and a singular level, respectively. Hence, according to [28, Theorem 1.3], F is austere and hence minimal. Therefore, we obtain the desired identity from (3.3). \square

4. The mean curvature of a proper anti-Kaehlerian Fredholm submanifold.

In this section, we define the notion of a proper anti-Kaehlerian Fredholm submanifold and its mean curvature vector. Let M be an anti-Kaehlerian Fredholm submanifold in an infinite dimensional anti-Kaehlerian space V and A be the shape tensor of M . Denote by the same symbol J the complex structures of M and V . If A_v is diagonalized with respect to a J -orthonormal base for each unit normal vector v of M , then we call M a *proper anti-Kaehlerian Fredholm submanifold*. Assume that M is such a submanifold. Let v be a unit normal vector of M . If the series $\sum_{i=1}^{\infty} m_i \lambda_i$ exists, then we call it the *J-trace of A_v* and denote it by $\text{Tr}_J A_v$, where $\{\lambda_i; i = 1, 2, \dots\} = \text{Spec}_J A_v \setminus \{0\}$ (λ_i 's are ordered as stated in Section 2) and $m_i = \frac{1}{2} \dim \text{Ker}(A_v - \lambda_i I)$ ($i = 1, 2, \dots$), where $\lambda_i I$ means $(\text{Re } \lambda_i)I + (\text{Im } \lambda_i)J$. Note that, if $\sharp(\text{Spec}_J A_v)$ is finite, then we promise $\lambda_i = 0$ and $m_i = 0$ ($i > \sharp(\text{Spec}_J A_v \setminus \{0\})$), where $\sharp(\cdot)$ is the cardinal number of (\cdot) . Define a normal vector field H of M by $\langle H_x, v \rangle = \text{Tr}_J A_v$ ($x \in M, v \in T_x^\perp M$). We call H the *mean curvature vector of M* .

Let G/K be a symmetric space of non-compact type and $\phi : H^0([0, 1], \mathfrak{g}^{\mathbb{C}}) \rightarrow G^{\mathbb{C}}$ be the parallel transport map for the complexification $G^{\mathbb{C}}$ of G and π be the natural projection of $G^{\mathbb{C}}$ onto the anti-Kaehlerian symmetric space $G^{\mathbb{C}}/K^{\mathbb{C}}$. We have the following fact, which will be used in the proof of Theorem B in the next section.

LEMMA 4.1. *Let M be a curvature-adapted anti-Kaehlerian submanifold in $G^{\mathbb{C}}/K^{\mathbb{C}}$ and A (resp. \tilde{A}) be the shape tensor of M (resp. $(\pi \circ \phi)^{-1}(M)$). Assume that, for each unit normal vector v of M and each J -eigenvalue μ of $R(v)$, $\text{Ker}(A_v - \sqrt{-\mu}I) \cap \text{Ker}(R(v) - \mu I) = \{0\}$ holds. Then the following statements (i) and (ii) hold:*

- (i) $(\pi \circ \phi)^{-1}(M)$ is a proper anti-Kaehlerian Fredholm submanifold.
- (ii) For each unit normal vector v of M , $\text{Tr}_J \tilde{A}_{v^L} = \text{Tr}_J A_v$ holds, where v^L is the horizontal lift of v to $(\pi \circ \phi)^{-1}(M)$ and $\text{Tr}_J A_v$ is the J -trace of A_v .

PROOF. We can show the statement (i) in terms of [19, Lemmas 9, 12 and 13]. By imitating the proof of [18, Theorem C], we can show the statement (ii), where we also use the above lemmas in [19]. \square

5. Proofs of Theorems B and C.

In this section, we first prove Theorem B. Let M be a curvature-adapted isoparametric C^ω -hypersurface in a symmetric space G/K of non-compact type. Assume that M admits no focal point of non-Euclidean type on the ideal boundary of G/K . Denote by A the shape tensor of M and R the curvature tensor of G/K .

Let v be a unit normal vector field of M , which is uniquely extended to a unit normal vector field of the extrinsic complexification $M^{\mathbb{C}} (\subset G^{\mathbb{C}}/K^{\mathbb{C}})$ of M . Since M is a curvature-adapted isoparametric hypersurface admitting no focal point of non-Euclidean type on the ideal boundary $N(\infty)$, it admits a complex focal radius. Let r_0 be one of complex focal radii of M . The focal map $f_{r_0} : M^{\mathbb{C}} \rightarrow G^{\mathbb{C}}/K^{\mathbb{C}}$ for r_0 is defined by $f_{r_0}(x) := \exp^{\perp}(r_0 v_x) (= \gamma_{v_x}^{\mathbb{C}}(r_0))$ ($x \in M^{\mathbb{C}}$), where $r_0 v_x$ means $(\operatorname{Re} r_0)v_x + (\operatorname{Im} r_0)Jv_x$ (J : the complex structure of $G^{\mathbb{C}}/K^{\mathbb{C}}$). Let $F := f_{r_0}(M^{\mathbb{C}})$, which is an anti-Kaehlerian submanifold in $G^{\mathbb{C}}/K^{\mathbb{C}}$ (see Figure 1). Without loss of generality, we may assume $o := eK \in M$. Denote by \widehat{A} and A^F the shape tensor of $M^{\mathbb{C}}$ and F , respectively. Let ψ_t be the geodesic flow of $G^{\mathbb{C}}/K^{\mathbb{C}}$. Then we have the following fact.

LEMMA 5.1. *For any $x \in M (\subset M^{\mathbb{C}})$, the following relation holds:*

$$\operatorname{Tr}_J A^F_{\psi_{|r_0|}(\frac{r_0}{|r_0|}v_x)} = -\frac{r_0}{|r_0|} \sum_{(\lambda, \mu) \in S_{r_0}^x} \frac{\mu + \lambda \widehat{\tau}_{r_0}(\mu)}{\lambda - \widehat{\tau}_{r_0}(\mu)} \times m_{\lambda, \mu},$$

where $S_{r_0}^x$ and $m_{\lambda, \mu}$ are as in the statement of Theorem B.

PROOF. Let $S_x := \{(\lambda, \mu) \in \operatorname{Spec} A_{v_x} \times \operatorname{Spec} R(v_x) ; \operatorname{Ker}(A_{v_x} - \lambda I) \cap \operatorname{Ker}(R(v_x) - \mu I) \neq \{0\}\}$. Since M is curvature adapted, we have $T_x M = \bigoplus_{(\lambda, \mu) \in S_x} (\operatorname{Ker}(A_x - \lambda I) \cap \operatorname{Ker}(R(v_x) - \mu I))$. Set $D_x := \bigoplus_{(\lambda, \mu) \in S_{r_0}^x} (\operatorname{Ker}(A_x - \lambda I) \cap \operatorname{Ker}(R(v_x) - \mu I))$ and D_x^{\perp} the orthogonal complement of D_x in $T_x M$. The tangent space $T_x(M^{\mathbb{C}})$ is identified with the complexification $(T_x M)^{\mathbb{C}}$. Under this identification, the shape operator \widehat{A}_{v_x} is identified with the complexification $A_x^{\mathbb{C}}$ of A_x . Let $X \in \operatorname{Ker}(A_x - \lambda I)^{\mathbb{C}} \cap \operatorname{Ker}(R(v_x) - \mu I)^{\mathbb{C}}$ ($(\lambda, \mu) \in S_{r_0}^x$) and Y be the Jacobi field along $\gamma_{r_0 v_x}$ with $Y(0) = X$ and $Y'(0) = -\widehat{A}_{r_0 v_x} X (= -r_0 \lambda X = -\lambda((\operatorname{Re} r_0)X + (\operatorname{Im} r_0)JX))$, where $\gamma_{r_0 v_x}$ is the geodesic in $G^{\mathbb{C}}/K^{\mathbb{C}}$ with $\dot{\gamma}_{r_0 v_x}(0) = r_0 v_x (= (\operatorname{Re} r_0)v_x + (\operatorname{Im} r_0)Jv_x)$. This Jacobi field Y is described as

$$Y(s) = \left(\cos(\mathbf{i}sr_0\sqrt{-\mu}) - \frac{\lambda \sin(\mathbf{i}sr_0\sqrt{-\mu})}{\mathbf{i}\sqrt{-\mu}} \right) P_{\gamma_{r_0 v_x}|_{[0,s]}}(X).$$

Since $Y(1) = f_{r_0*}X$, we have

$$(5.1) \quad f_{r_0*}X = \left(\cos(\mathbf{i}r_0\sqrt{-\mu}) - \frac{\lambda \sin(\mathbf{i}r_0\sqrt{-\mu})}{\mathbf{i}\sqrt{-\mu}} \right) P_{\gamma_{r_0 v_x}}(X)$$

which is not equal to 0 because $(\lambda, \mu) \in S_{r_0}^x$. This relation implies that $T_{f_{r_0}(x)}F = P_{\gamma_{r_0 v_x}}(D_x^{\mathbb{C}})$. On the other hand, we have

$$(5.2) \quad \begin{aligned} \widetilde{\nabla}_{f_{r_0*}X} \psi_{|r_0|} \left(\frac{r_0}{|r_0|} v_x \right) &= \frac{1}{|r_0|} Y'(1) \\ &= -\frac{r_0}{|r_0|} (\mathbf{i}\sqrt{-\mu} \sin(\mathbf{i}r_0\sqrt{-\mu}) + \lambda \cos(\mathbf{i}r_0\sqrt{-\mu})) P_{\gamma_{r_0 v_x}}(X). \end{aligned}$$

From (5.1) and (5.2), we have

$$(5.3) \quad A^F_{\psi_{|r_0|}(\frac{r_0}{|r_0|}v_x)} f_{r_0*}X = \frac{-\frac{r_0}{|r_0|} (\mu + \lambda \widehat{\tau}_{r_0}(\mu))}{\lambda - \widehat{\tau}_{r_0}(\mu)} f_{r_0*}X.$$

The desired relation follows from this relation. □

Set $\kappa(\lambda, \mu) := \frac{-\frac{r_0}{|r_0|}(\mu + \lambda \hat{\tau}_{r_0}(\mu))}{\lambda - \hat{\tau}_{r_0}(\mu)}$ ($(\lambda, \mu) \in S_{r_0}^x$). Next we prepare the following lemma.

LEMMA 5.2. *Let $(\lambda_1, \mu_1) \in S_{r_0}^x$. Then we have*

$$(i) \ (\exp_{G^{\mathbb{C}}} r_0 v_x)_*^{-1} \psi_{|r_0|} \left(\frac{r_0}{|r_0|} v_x \right) = \frac{r_0}{|r_0|} v_x,$$

where $\exp_{G^{\mathbb{C}}}$ is the exponential map of $G^{\mathbb{C}}$,

$$(ii) \ (\exp_{G^{\mathbb{C}}} r_0 v_x)_*^{-1} \left(\text{Ker} \left(A_{\psi_{|r_0|} \left(\frac{r_0}{|r_0|} v_x \right)}^F - \kappa(\lambda_1, \mu_1) I \right) \right) \\ = \bigoplus_{(\lambda, \mu) \in S_{r_0}^x(\lambda_1, \mu_1)} \left(\text{Ker}(A_{v_x} - \lambda I)^{\mathbb{C}} \cap \text{Ker}(R(v_x) - \mu I)^{\mathbb{C}} \right),$$

where $S_{r_0}^x(\lambda_1, \mu_1) = \{(\lambda, \mu) \in S_{r_0}^x; \kappa(\lambda, \mu) = \kappa(\lambda_1, \mu_1)\}$,

(iii) if $\lambda_1 \neq \pm \sqrt{-\mu_1}$, then $\kappa(\lambda_1, \mu_1) \neq \pm \frac{r_0}{|r_0|} \sqrt{-\mu_1}$.

PROOF. The relation of (i) is trivial. Let $(\lambda, \mu) \in S_{r_0}^x(\lambda_1, \mu_1)$. The restriction $f_{r_0*} |_{\text{Ker}(A_{v_x} - \lambda I)^{\mathbb{C}} \cap \text{Ker}(R(v_x) - \mu I)^{\mathbb{C}}}$ of f_{r_0*} is equal to $P_{\gamma_{r_0 v_x}} |_{\text{Ker}(A_{v_x} - \lambda I)^{\mathbb{C}} \cap \text{Ker}(R(v_x) - \mu I)^{\mathbb{C}}}$ up to constant multiple by (5.1). Also, we have $P_{\gamma_{r_0 v_x}} = (\exp_{G^{\mathbb{C}}} r_0 v_x)_*$. These facts together with (5.3) deduce

$$\begin{aligned} & (\exp_{G^{\mathbb{C}}} r_0 v_x)_* \left(\text{Ker}(A_{v_x} - \lambda I)^{\mathbb{C}} \cap \text{Ker}(R(v_x) - \mu I)^{\mathbb{C}} \right) \\ &= f_{r_0*} \left(\text{Ker}(A_{v_x} - \lambda I)^{\mathbb{C}} \cap \text{Ker}(R(v_x) - \mu I)^{\mathbb{C}} \right) \\ &\subset \text{Ker} \left(A_{\psi_{|r_0|} \left(\frac{r_0}{|r_0|} v_x \right)}^F - \kappa(\lambda_1, \mu_1) I \right). \end{aligned}$$

From this fact, the relation of (ii) follows. Now we shall show the statement (iii). Let $r_0 = a_0 + b_0 \sqrt{-1}$ ($a_0, b_0 \in \mathbb{R}$). Suppose that $\kappa(\lambda_1, \mu_1) = \pm \frac{r_0}{|r_0|} \sqrt{-\mu_1}$. By squaring both sides of this relation, we have

$$(\hat{\tau}_{r_0}(\mu_1)^2 + \mu_1)(\lambda_1^2 + \mu_1) = 0.$$

Hence we have $\lambda_1 = \pm \sqrt{-\mu_1}$. Thus the statement (iii) is shown. □

Denote by \hat{R} the curvature tensor of $G^{\mathbb{C}}/K^{\mathbb{C}}$. By using these lemmas, we prove Theorem B. According to Lemma 5.1, we have only to show $\text{Tr}_J A_{\psi_{|r_0|} \left(\frac{r_0}{|r_0|} v_x \right)}^F = 0$ ($x \in M$). In the case where M is homogeneous, we can show this relation by imitating the process of the proof of [15, Corollary 1.1].

SIMPLE PROOF OF THEOREM B IN RANK ONE CASE. We have only to show $\text{Tr}_J A_{\psi_{|r_0|} \left(\frac{r_0}{|r_0|} v_x \right)}^F = 0$. Assume that G/K is of rank one. Define a complex linear function $\Phi : T_{f_{r_0}(x)}^{\perp} F \rightarrow \mathbb{C}$ by $\Phi(w) = \text{Tr}_J A_w^F$ ($w \in T_{f_{r_0}(x)}^{\perp} F$). Since M is curvature-adapted, we have $T_x M = \bigoplus_{(\lambda, \mu) \in S_x} (\text{Ker}(A_{v_x} - \lambda I) \cap \text{Ker}(R(v_x) - \mu I))$. Set

$$\hat{S}_{r_0}^y := \{(\lambda, \mu) \in (\text{Spec}_J \hat{A}_{v_y}) \times (\text{Spec}_J \hat{R}(v_y)); \text{Ker}(\hat{A}_{v_y} - \lambda I) \cap \text{Ker}(\hat{R}(v_y) - \mu I) \neq \{0\} \\ \& \lambda \neq \hat{f}_{r_0}(\mu)\}$$

($y \in M^{\mathbb{C}}$). Define a distribution \hat{D} on $M^{\mathbb{C}}$ by

$$\hat{D}_y := \bigoplus_{(\lambda, \mu) \in \hat{S}_{r_0}^y} (\text{Ker}(\hat{A}_{v_y} - \lambda I) \cap \text{Ker}(\hat{R}(v_y) - \mu I)) \quad (y \in M^{\mathbb{C}})$$

and \hat{D}^{\perp} the orthogonal complementary distribution of \hat{D} in $T(M^{\mathbb{C}})$. Also, define a distribution D on M by $D_x := \bigoplus_{(\lambda, \mu) \in \hat{S}_{r_0}^x} (\text{Ker}(A_x - \lambda I) \cap \text{Ker}(R(v_x) - \mu I))$ ($x \in M$) and D^{\perp} the orthogonal complementary distribution of D in TM . Under the identification of $T_x(M^{\mathbb{C}})$ with $(T_x M)^{\mathbb{C}}$, \hat{D}_x is identified with the complexification $(D_x)^{\mathbb{C}}$ of D_x . The focal map f_{r_0} is a submersion of $M^{\mathbb{C}}$ onto F and the fibres of f_{r_0} are integral manifolds of \hat{D}^{\perp} . Let L be the integral manifold of \hat{D}^{\perp} through x and set $L_{\mathbb{R}} := L \cap M$. It is shown that L is the extrinsic complexification of $L_{\mathbb{R}}$. Set $Q := \{\psi_{|r_0|}(\frac{r_0}{|r_0|}v_x); x \in L\}$ and $Q_{\mathbb{R}} := \{\psi_{|r_0|}(\frac{r_0}{|r_0|}v_x); x \in L_{\mathbb{R}}\}$. It is shown that Q is the extrinsic complexification of $Q_{\mathbb{R}}$ and that Q is a complex hypersurface without geodesic point in $T_{f_{r_0}(x)}^{\perp}F$, that is, it is not contained in any complex affine hyperplane of $T_{f_{r_0}(x)}^{\perp}F$. According to Lemma 5.1, we have

$$\Phi\left(\psi_{|r_0|}\left(\frac{r_0}{|r_0|}v_y\right)\right) = -\frac{r_0}{|r_0|} \sum_{(\lambda, \mu) \in \hat{S}_{r_0}^y} \frac{\mu + \lambda \hat{\tau}_{r_0}(\mu)}{\lambda - \hat{\tau}_{r_0}(\mu)} \times m_{\lambda, \mu}.$$

Let $(\tilde{\lambda}, \tilde{\mu})$ be a pair of continuous functions on $L_{\mathbb{R}}$ such that $(\tilde{\lambda}(y), \tilde{\mu}(y)) \in S_{r_0}^y$ for any $y \in L$. Since G/K is of rank one, $\tilde{\mu}$ is constant on $L_{\mathbb{R}}$. The complex focal radius having $\text{Ker}(A_y - \tilde{\lambda}(y)I) \cap \text{Ker}(R(v_y) - \tilde{\mu}(y)I)$ as a part of the focal space is the complex number z_0 satisfying $\text{Ker}(D_{z_0 v_y}^{c_0} - z_0 D_{z_0 v_y}^{s_i} \circ A_y^{\mathbb{C}})|_{\text{Ker}(A_y - \tilde{\lambda}(y)I) \cap \text{Ker}(R(v_y) - \tilde{\mu}(y)I)} \neq \{0\}$, that is, it is equal to $(1/\sqrt{\tilde{\mu}(y)}) \arctan(\sqrt{\tilde{\mu}(y)}/\tilde{\lambda}(y))$, which is independent of the choice of $y \in L_{\mathbb{R}}$ by the isoparametricness (hence complex equifocality) of M . Hence $\tilde{\lambda}$ is constant on $L_{\mathbb{R}}$. Therefore Φ is constant along $Q_{\mathbb{R}}$. Since Φ is of class C^{ω} and $Q_{\mathbb{R}}$ is a half-dimensional totally real submanifold in Q , Φ is constant along Q . Furthermore, this fact together with the linearity of Φ imply $\Phi \equiv 0$. In particular, we have $\text{Tr} A_{\psi_{r_0}(v_x)}^F = 0$. \square

PROOF OF THEOREM B (GENERAL CASE). According to Lemma 5.1, we have only to show $\text{Tr}_J A_{\psi_{|r_0|}(\frac{r_0}{|r_0|}v_{x_0})}^F = 0$ ($x_0 \in M$). We shall show this relation by investigating the focal submanifold of $(\pi \circ \phi)^{-1}(M^{\mathbb{C}})$ corresponding to r_0 , where $\phi : H^0([0, 1], \mathfrak{g}^{\mathbb{C}}) \rightarrow G^{\mathbb{C}}$ is the parallel transport map for $G^{\mathbb{C}}$ and π is the natural projection of $G^{\mathbb{C}}$ onto $G^{\mathbb{C}}/K^{\mathbb{C}}$. Let $\tilde{M}^{\mathbb{C}}$ be the complete extension of $(\pi \circ \phi)^{-1}(M^{\mathbb{C}})$. Let v^L be the horizontal lift of v to $\tilde{M}^{\mathbb{C}}$. Since $\pi \circ \phi$ is an anti-Kaehlerian submersion, the complex focal radii of $M^{\mathbb{C}}$ (hence M) are those of $\tilde{M}^{\mathbb{C}}$. Let r_0 be a complex focal radius of M (hence $\tilde{M}^{\mathbb{C}}$). The focal map \tilde{f}_{r_0} for r_0 is defined by $\tilde{f}_{r_0}(x) = x + r_0 v_x^L$ ($x \in \tilde{M}^{\mathbb{C}}$). Set $\tilde{F} := \tilde{f}_{r_0}(\tilde{M}^{\mathbb{C}})$. Denote by \tilde{A} (resp. $A^{\tilde{F}}$) the shape tensor of $\tilde{M}^{\mathbb{C}}$ (resp. \tilde{F}). Let $\text{Spec}_J \tilde{A}_{v^L} \setminus \{0\} = \{\lambda_i; i = 1, 2, \dots\}$ (“ $|\lambda_i| > |\lambda_{i+1}|$ ” or “ $|\lambda_i| = |\lambda_{i+1}|$ & $\text{Re} \lambda_i > \text{Re} \lambda_{i+1}$ ” or “ $|\lambda_i| = |\lambda_{i+1}|$ & $\text{Re} \lambda_i = \text{Re} \lambda_{i+1}$ & $\text{Im} \lambda_i = -\text{Im} \lambda_{i+1} > 0$ ”). The set of all complex focal radii of $M^{\mathbb{C}}$ (hence M) is equal to $\{1/\lambda_i; i = 1, 2, \dots\}$. We have $r_0 = 1/\lambda_{i_0}$ for some i_0 . Define a distribution \tilde{D}_i ($i = 0, 1, 2, \dots$) on $\tilde{M}^{\mathbb{C}}$ by

$(\tilde{D}_0)_u := \text{Ker} \tilde{A}_{\tilde{v}_u^L}$ and $(\tilde{D}_i)_u := \text{Ker}(\tilde{A}_{\tilde{v}_u^L} - \lambda_i I)$ ($i = 1, 2, \dots$), where $u \in \tilde{M}^{\mathbb{C}}$. Since M is a curvature-adapted isoparametric submanifold admitting no focal point of non-Euclidean type on $N(\infty)$, $\tilde{M}^{\mathbb{C}}$ is proper anti-Kaehlerian isoparametric by Fact 5. Therefore, we have $T\tilde{M}^{\mathbb{C}} = \tilde{D}_0 \oplus (\bigoplus_i \tilde{D}_i)$ and $\text{Spec}_J \tilde{A}_{\tilde{v}_u^L}$ is independent of the choice of $u \in \tilde{M}^{\mathbb{C}}$. Take $u_0 \in \tilde{M}^{\mathbb{C}}$ with $(\pi \circ \phi)(u_0) = x_0$. Let $X_i \in (\tilde{D}_i)_{u_0}$ ($i \neq i_0$) and $X_0 \in (\tilde{D}_0)_{u_0}$. Then we have $\tilde{f}_{r_0*} X_i = (1 - r_0 \lambda_i) X_i$ and $\tilde{f}_{r_0*} X_0 = X_0$. Hence we have $T_{\tilde{f}_{r_0}(u_0)} \tilde{F} = (\tilde{D}_0)_{u_0} \oplus (\bigoplus_{i \neq i_0} (\tilde{D}_i)_{u_0})$ and $\text{Ker}(\tilde{f}_{r_0})_{*u_0} = (\tilde{D}_{i_0})_{u_0}$, which implies that \tilde{D}_{i_0} is integrable. On the other hand, we have $A_{\tilde{\psi}_{|r_0|}(\frac{r_0}{|r_0|} v_{u_0}^L)} \tilde{f}_{r_0*} X_i = (\lambda_i r_0) / |r_0| X_i$ and $A_{\tilde{\psi}_{|r_0|}(\frac{r_0}{|r_0|} v_{u_0}^L)} \tilde{f}_{r_0*} X_0 = 0$, where $\tilde{\psi}$ is the geodesic flow of $H^0([0, 1], \mathfrak{g}^{\mathbb{C}})$. Therefore, we obtain $A_{\tilde{\psi}_{|r_0|}(\frac{r_0}{|r_0|} v_{u_0}^L)} \tilde{f}_{r_0*} X_i = \frac{\lambda_i |\lambda_{i_0}|}{\lambda_{i_0} - \lambda_i} \tilde{f}_{r_0*} X_i$. Hence we have $\text{Tr}_J A_{\tilde{\psi}_{|r_0|}(\frac{r_0}{|r_0|} v_{u_0}^L)} \tilde{F} = \sum_{i \neq i_0} \frac{\lambda_i |\lambda_{i_0}|}{\lambda_{i_0} - \lambda_i} \times m_i$, where $m_i := \frac{1}{2} \dim \tilde{D}_i$. According to Theorem 2 of [19], each leaf of \tilde{D}_{i_0} is a complex sphere. Let L be the leaf of \tilde{D}_{i_0} through u_0 and u_0^* be the anti-podal point of u_0 in the complex sphere L . Similarly we can show $\text{Tr}_J A_{\tilde{\psi}_{|r_0|}(\frac{r_0}{|r_0|} (\tilde{v}^L)_{u_0^*})} \tilde{F} = \sum_{i \neq i_0} \frac{\lambda_i |\lambda_{i_0}|}{\lambda_{i_0} - \lambda_i} \times m_i$. Thus we have $\text{Tr}_J A_{\tilde{\psi}_{|r_0|}(\frac{r_0}{|r_0|} v_{u_0}^L)} \tilde{F} = \text{Tr}_J A_{\tilde{\psi}_{|r_0|}(\frac{r_0}{|r_0|} (\tilde{v}^L)_{u_0^*})} \tilde{F}$. On the other hand, it follows from $\tilde{\psi}_{|r_0|}(\frac{r_0}{|r_0|} (\tilde{v}^L)_{u_0^*}) = -\tilde{\psi}_{|r_0|}(\frac{r_0}{|r_0|} v_{u_0}^L)$ that $\text{Tr}_J A_{\tilde{\psi}_{|r_0|}(\frac{r_0}{|r_0|} v_{u_0}^L)} \tilde{F} = -\text{Tr}_J A_{\tilde{\psi}_{|r_0|}(\frac{r_0}{|r_0|} (\tilde{v}^L)_{u_0^*})} \tilde{F}$. Hence we obtain

$$(5.4) \quad \text{Tr}_J A_{\tilde{\psi}_{|r_0|}(\frac{r_0}{|r_0|} v_{u_0}^L)} \tilde{F} = 0.$$

It follows from (i) and (ii) of Lemma 5.2 that $F := f_{r_0}(M^{\mathbb{C}})$ is a curvature adapted anti-Kaehlerian submanifold. Also, it follows from (iv) of Remark 1.2, (5.3), (i) and (iii) of Lemma 5.2 that, for each unit normal vector w of F and each $\mu \in \text{Spec}_J R(w) \setminus \{0\}$, $\text{Ker}(A_w^F \pm \sqrt{-\mu} I) \cap \text{Ker}(R(w) - \mu I) = \{0\}$ holds. Therefore, it follows from Lemma 4.1 that \tilde{F} is a proper anti-Kaehlerian Fredholm submanifold and, for each unit normal vector w of F , we have $\text{Tr}_J A_{w^L}^{\tilde{F}} = \text{Tr}_J A_w^F$. It is clear that $\tilde{\psi}_{|r_0|}(\frac{r_0}{|r_0|} v_{u_0}^L)$ is the horizontal lift of $\psi_{|r_0|}(\frac{r_0}{|r_0|} v_{x_0})$ to $\tilde{f}_{r_0}(u_0)$. Hence we have

$$(5.5) \quad \text{Tr}_J A_{\psi_{|r_0|}(\frac{r_0}{|r_0|} v_{x_0})}^F = \text{Tr}_J A_{\tilde{\psi}_{|r_0|}(\frac{r_0}{|r_0|} v_{u_0}^L)}^{\tilde{F}}.$$

From (5.4) and (5.5), we have $\text{Tr}_J A_{\psi_{|r_0|}(\frac{r_0}{|r_0|} v_{x_0})}^F = 0$. This completes the proof. □

Now we prepare the following lemma to prove Theorem C.

LEMMA 5.3. *Let M be a curvature-adapted isoparametric C^ω -hypersurface in a symmetric space $N := G/K$ of non-compact type. Assume that M has no focal point of non-Euclidean type on $N(\infty)$. Then, for any complex focal radius r of M , we have*

$$\text{Spec}(A_x|_{\text{Ker} R(v_x)}) \subset \left\{ \frac{1}{\text{Re } r}, 0 \right\}$$

and

$$\text{Spec}(A_x|_{\text{Ker}(R(v_x)-\mu I)}) \subset \left\{ \frac{\sqrt{-\mu}}{\tanh(\sqrt{-\mu}\text{Re } r)}, \sqrt{-\mu} \tanh(\sqrt{-\mu}\text{Re } r) \right\}$$

for $\mu \in \text{Spec}R(v_x) \setminus \{0\}$, where x is an arbitrary point of M .

PROOF. For simplicity, we set $D_\mu := \text{Ker}(R(v_x) - \mu \text{id})$ for each $\mu \in \text{Spec}R(v_x)$. Let r_0 be the complex focal radius of M with $\text{Re}r_0 = \max_r \text{Re}r$, where r runs over the set of all complex focal radii of M . Let $(\lambda, \mu) \in S_{r_0}^x \setminus \{(0, 0)\}$ and r a complex focal radius including $\text{Ker}(A_v - \lambda I) \cap D_\mu$ as the focal space, that is, $\lambda = \hat{\tau}_r(\mu)$ (see (ii) of Remark 1.2). Set $c_{\lambda, \mu} := -\frac{\mu + \lambda \hat{\tau}_{r_0}(\mu)}{\lambda - \hat{\tau}_{r_0}(\mu)}$. We shall show $\text{Re } c_{\lambda, \mu} \leq 0$. The argument divides into the following three cases:

$$(i) \mu = 0 \quad (ii) 0 < \sqrt{-\mu} < |\lambda| \quad (iii) |\lambda| < \sqrt{-\mu}.$$

First we consider the case (i). Then we have $c_{\lambda, \mu} = \frac{\lambda}{1 - \lambda r_0}$. Also, we can show $\lambda = 1/r$. Hence we have

$$(5.6) \quad c_{\lambda, \mu} = \frac{1}{r - r_0}.$$

Furthermore, we have $\text{Re } c_{\lambda, \mu} \leq 0$ from the choice of r_0 . Next we consider the case (ii). Since $\lambda = \hat{\tau}_r(\mu)$ and λ is a real number with $|\lambda| > \sqrt{-\mu}$, we can show $\lambda = \hat{\tau}_{\text{Re } r}(\mu) (= \frac{\sqrt{-\mu}}{\tanh(\sqrt{-\mu}\text{Re } r)})$ and $r \equiv \text{Re } r \pmod{(\pi i)/\sqrt{-\mu}}$. Hence we have $c_{\lambda, \mu} = \hat{\tau}_{(r_0 - \text{Re } r)}(\mu)$, where we note that $\text{Re } r \not\equiv r_0 \pmod{(\pi i)/\sqrt{-\mu}}$ because $(\lambda, \mu) \in S_{r_0}^x$. Therefore, we obtain

$$(5.7) \quad \text{Re } c_{\lambda, \mu} = \frac{\sqrt{-\mu} (1 + \tan^2(\sqrt{-\mu}\text{Im}r_0)) \tanh(\sqrt{-\mu}(\text{Re } r - \text{Re}r_0))}{\tanh^2(\sqrt{-\mu}(\text{Re } r - \text{Re}r_0)) + \tan^2(\sqrt{-\mu}\text{Im}r_0)} \leq 0$$

because $\text{Re } r \leq \text{Re}r_0$. Next we consider the case (iii). Since $\lambda = \hat{\tau}_r(\mu)$ and λ is a real number with $|\lambda| < \sqrt{-\mu}$, we can show $\lambda = \hat{\tau}_{(\text{Re } r + \frac{\pi i}{2\sqrt{-\mu}})}(\mu) (= \sqrt{-\mu} \tanh(\sqrt{-\mu}\text{Re } r))$ and $r \equiv \text{Re } r + \frac{\pi i}{2\sqrt{-\mu}} \pmod{\frac{\pi i}{\sqrt{-\mu}}}$. Hence we have $c_{\lambda, \mu} = \hat{\tau}_{(r_0 - \text{Re } r + \frac{\pi i}{2\sqrt{-\mu}})}(\mu)$. Therefore, we obtain

$$(5.8) \quad \text{Re } c_{\lambda, \mu} = \frac{\sqrt{-\mu} (1 + \tan^2(\sqrt{-\mu}\text{Im}r_0)) \tanh(\sqrt{-\mu}(\text{Re } r - \text{Re}r_0))}{1 + \tanh^2(\sqrt{-\mu}(\text{Re } r - \text{Re}r_0)) \tan^2(\sqrt{-\mu}\text{Im}r_0)} \leq 0.$$

Thus $\text{Re } c_{\lambda, \mu} \leq 0$ is shown in general. Hence, from the identity in Theorem B, $\text{Re } c_{\lambda, \mu} = 0$ ($(\lambda, \mu) \in S_{r_0}^x$) follows, where we note that $c_{0,0} = 0$. In case of (i), it follows from (5.6) that $\text{Re}(\frac{1}{r - r_0}) = 0$. Hence we have $\text{Re } r = \text{Re } r_0 (< \infty)$ or $r = \infty$. If $\text{Re } r = \text{Re } r_0 (< \infty)$, then we have $\lambda = 1/r = 1/\text{Re } r_0 = \hat{\tau}_{\text{Re } r_0}(0)$ (which does not happen if r_0 is real because $(\lambda, 0) \in S_{r_0}^x$). Also, if $r = \infty$, then we have $\lambda = 0$. Thus we have

$$(5.9) \quad \text{Spec}(A_x|_{D_0}) \subset \left\{ \frac{1}{\text{Re } r_0}, 0 \right\}.$$

In case of (ii), it follows from (5.7) that $\text{Re } r = \text{Re}r_0$. Hence we have $\lambda = \hat{\tau}_{\text{Re } r_0}(\mu)$ (which does not happen if $r_0 \equiv \text{Re } r_0 \pmod{(\pi i)/\sqrt{-\mu}}$ because $(\lambda, \mu) \in S_{r_0}^x$). In case of (iii), it

follows from (5.8) that $\text{Rer} = \text{Rer}_0$. Hence we have $\lambda = \hat{\tau}_{(\text{Re } r_0 + \frac{\pi \mathbf{i}}{2\sqrt{-\mu}})}(\mu)$ (which does not happen if $r_0 \equiv \text{Re } r_0 + \frac{\pi \mathbf{i}}{2\sqrt{-\mu}} \pmod{(\pi \mathbf{i})/\sqrt{-\mu}}$ because $(\lambda, \mu) \in S_{r_0}^x$). Hence we have

$$(5.10) \quad \text{Spec}(A_x|_{D_\mu}) \subset \left\{ \frac{\sqrt{-\mu}}{\tanh(\sqrt{-\mu}\text{Rer}_0)}, \sqrt{-\mu} \tanh(\sqrt{-\mu}\text{Rer}_0) \right\}.$$

This completes the proof. □

Next we prove Theorem C in terms of this Lemma and its proof.

PROOF OF THEOREM C. According to the proof of Lemma 5.3, the real parts of complex focal radii of M coincide with one another. Denote by s_0 this real part. Then, according to Lemma 5.3, we have

$$\text{Spec}(A_x|_{D_0}) \subset \left\{ \frac{1}{s_0}, 0 \right\}$$

and

$$\text{Spec}(A_x|_{D_\mu}) \subset \left\{ \frac{\sqrt{-\mu}}{\tanh(\sqrt{-\mu}s_0)}, \sqrt{-\mu} \tanh(\sqrt{-\mu}s_0) \right\} \quad (\mu \in \text{Spec } R(v_x) \setminus \{0\}).$$

Set $D_0^V := \text{Ker}(A_x|_{D_0} - \frac{1}{s_0}\text{id})$, $D_0^H := \text{Ker}A_x|_{D_0}$,

$$D_\mu^V := \text{Ker}\left(A_x|_{D_\beta} - \frac{\sqrt{-\mu}}{\tanh(\sqrt{-\mu}s_0)}\text{id}\right)$$

and

$$D_\mu^H := \text{Ker}(A_x|_{D_\beta} - \sqrt{-\mu} \tanh(\sqrt{-\mu}s_0)\text{id}).$$

According to (ii) of Remark 1.2, if $D_0^V \oplus (\bigoplus_{\mu \in \text{Spec } R(v_x) \setminus \{0\}} D_\mu^V) \neq \{0\}$, then s_0 is a (real) focal radius of M whose focal space is equal to $D_0^V \oplus (\bigoplus_{\mu \in \text{Spec } R(v_x) \setminus \{0\}} D_\mu^V) \neq \{0\}$. Let η_{sv} ($s \in \mathbb{R}$) be the end-point map for sv . Set $M_s := \eta_{sv}(M)$. Set $F := M_{s_0}$. If s_0 is a (real) focal radius of M , then F is the only focal submanifold of M , and if s_0 is not a (real) focal radius of M , then F is a parallel submanifold of M . Without loss of generality, we may assume that $eK \in F$. Define a unit normal vector field v^s of M_s ($0 \leq s < s_0$) by $v_{\eta_{sv}(x)}^s = \gamma'_{v_x}(s)$ ($x \in M$). Denote by A^s ($0 \leq s < s_0$) the shape operator of M_s (for v^s) and A^F the shape tensor of F . Set $(D_0^V)^s := (\eta_{sv})_*(D_0^V)$ ($0 \leq s < s_0$) and $(D_\mu^V)^s := (\eta_{sv})_*(D_\mu^V)$ ($0 \leq s < s_0$, $\mu \in \text{Spec } R(v_x) \setminus \{0\}$). Also, set $(D_0^H)^s := (\eta_{sv})_*(D_0^H)$ ($s \in \mathbb{R}$) and $(D_\mu^H)^s := (\eta_{sv})_*(D_\mu^H)$ ($s \in \mathbb{R}$, $\mu \in \text{Spec } R(v_x) \setminus \{0\}$). Easily we have

$$(5.11) \quad T_{\eta_{sv}(x)}F = (D_0^H)_{\eta_{sv}(x)}^{s_0} \oplus \left(\bigoplus_{\mu \in \text{Spec } R(v_x) \setminus \{0\}} (D_\mu^H)_{\eta_{sv}(x)}^{s_0} \right).$$

Also, we can show

$$A_{\eta_{sv}(x)}^s|_{(D_0^H)_{\eta_{sv}(x)}^s} = 0 \quad (0 \leq s < s_0)$$

and

$$A_{\eta_{sv}(x)}^s|_{(D_\beta^H)_{\eta_{sv}(x)}^s} = \mu \tanh(\sqrt{-\mu}(s_0 - s))\text{id} \quad (0 \leq s < s_0).$$

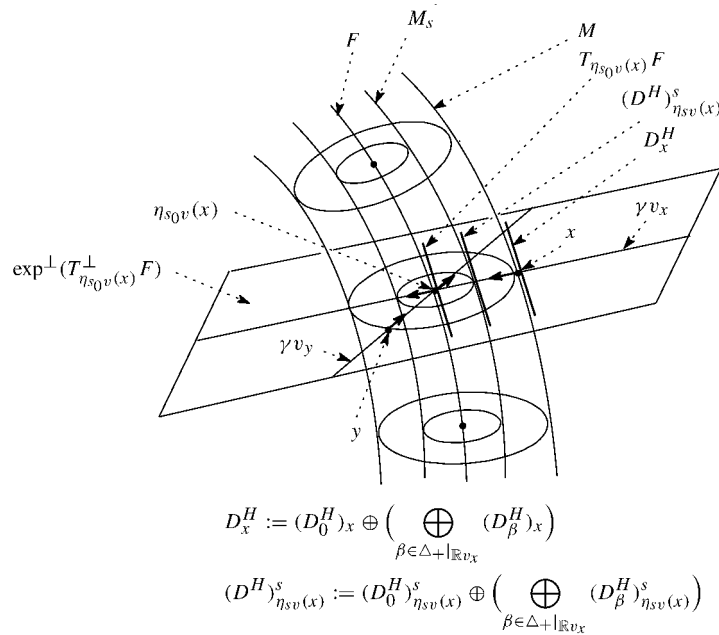


FIGURE 3.

Hence we have

$$A_{\psi_{s_0}(v_x)}^F |_{(D_0^H)_{\eta_{s_0 v}(x)}^{s_0}} = 0$$

and

$$A_{\psi_{s_0}(v_x)}^F |_{(D_\beta^H)_{\eta_{s_0 v}(x)}^{s_0}} = \left(\lim_{s \rightarrow s_0 - 0} \sqrt{-\mu} \tanh(\sqrt{-\mu}(s_0 - s)) \right) \text{id} = 0,$$

where ψ is the geodesic flow of G/K . From these relations and (5.11), we obtain $A_{\psi_{s_0}(v_x)}^F = 0$. Since this relation holds for any $x \in M$, F is totally geodesic. Denote by \exp^\perp the normal exponential map for F . Since the real parts of complex focal radii of M coincide with one another, the normal umbrellas $\exp^\perp(T_x^\perp F)$'s ($x \in F$) do not intersect with one another. From this fact, an involutive diffeomorphism $\tau : G/K \rightarrow G/K$ having F as the fixed point set is well-defined by $\tau(\exp^\perp(w)) := \exp^\perp(-w)$ ($w \in T^\perp F$). For each $s \in \mathbb{R} \setminus \{s_0\}$, the restriction $\tau|_{M_s}$ of τ to M_s coincides with the end-point map $\eta_{2(s_0-s)v^s}$ for $2(s_0-s)v^s$. Since F is totally geodesic, we see that $\eta_{2(s_0-s)v^s}$ (hence $\tau|_{M_s}$) is an isometry of M_s . From this fact, it follows that τ is an isometry of G/K . Hence F is reflective. Furthermore, by imitating the proof of [16, Proposition 1.12], we can show that F is an orbit of a Hermann action on G/K as follows. Take $\text{Exp } Z_0 \in F$, where Exp is the exponential map of G/K at o . Set $\mathfrak{m} := \text{Ad}(\exp(-Z_0))((\exp Z_0)_*^{-1}(T_{\text{Exp } Z_0} F))$, where Ad is the adjoint operator of G . Define a subalgebra \mathfrak{k}' of \mathfrak{g} by $\mathfrak{k}' := \{X \in \mathfrak{k}; \text{ad}(X)\mathfrak{m} = \mathfrak{m}\}$ and set $\mathfrak{h} := \mathfrak{k}' + \mathfrak{m}$, which is a subalgebra of \mathfrak{g} . Set $H := I(\exp Z_0)(\exp(\mathfrak{h}))$, where $I(\exp Z_0)$ is the inner automorphism of G by

$\exp Z_0$. Easily we can show that $T_{\text{Exp } Z_0}(H\text{Exp } Z_0) = T_{\text{Exp } Z_0}F$ and hence $H\text{Exp } Z_0 = F$. Define an involution $\hat{\tau}$ of G by $\hat{\tau}(g) := \tau \circ g \circ \tau^{-1}$ ($g \in G$). It is easy to show that $(\text{Fix } \hat{\tau})_0 \subset H \subset \text{Fix } \hat{\tau}$. Thus $H \curvearrowright G/K$ is a Hermann action. Let $H^{\mathbb{C}}$ be the complexification of H and $M^{\mathbb{C}}(\subset G^{\mathbb{C}}/K^{\mathbb{C}})$ be the complete complexification of M . See [22] about the definition of the complete complexification of M . Since both $H^{\mathbb{C}} \cdot o$ and $M^{\mathbb{C}}$ are anti-Kaehler equifocal submanifolds having $F^{\mathbb{C}}$ as a focal submanifold, they are equal to one of the partial tubes over $F^{\mathbb{C}}$ stated in Section 5 in [22]. Thus they coincide with each other. Furthermore, from this fact, we can derive $H \cdot o = M$. This completes the proof. \square

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