

## A Class of Balanced Non-Uniserial Rings\*

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Let  $R$  be a ring with unity. An  $R$ -module  $M$  is called balanced, if the natural homomorphism from  $R$  to the double centralizer of  $M$  is surjective. If every left  $R$ -module is balanced,  $R$  is said to be left balanced (or to satisfy the double centralizer condition for left modules). It is well-known that every artinian uniserial ring is both left and right balanced, and recently Jans [3] conjectured that “if  $R$  has minimum condition, then every  $R$ -module has the double centralizer condition if and only if  $R$  is a uniserial ring”. This conjecture has been proved in [1] to be true for rings which are finitely generated over their centres. However, the following theorem shows that, in general, the conjecture is false.

**Theorem.** *Let  $R$  be a local ring with the radical  $W$  such that  $W^2 = 0$ ,  $\dim({}_R/W) = 2$  and  $\dim(W_{R/W}) = 1$ . If  $R/W$  is commutative, then  $R$  is both left and right balanced.*

It is easy to see that rings satisfying the conditions of Theorem exist.

In Section 1, a sufficient condition for a direct sum of modules to be balanced is given; it represents a generalization of theorems of Nesbitt and Thrall [5] and Morita [4]. In Section 2, the indecomposable injective left module and the indecomposable injective right module over the rings  $R$  described in our theorem are calculated. From this, it follows that there are exactly three different types of indecomposable left  $R$ -modules (all of which are monogenic), three different types of indecomposable right  $R$ -modules and that every  $R$ -module is a direct sum of indecomposables. The latter is proved for left  $R$ -modules in Section 3, and for right  $R$ -modules in Section 4. A combination of the previous results yields the theorem; together with a few remarks, the proof of Theorem constitutes the final Section 5.

### 1.

The following Proposition generalizes results of Nesbitt and Thrall [5] and Morita [4]. We recall that a module  $M_0$  is said to be a generator for a module  $M$ , if the images of all the morphisms  $M_0 \rightarrow M$  generate  $M$  and that it is said to be a cogenerator for  $M$ , if the intersection of the

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kernels of the morphisms  $M \rightarrow M_0$  equals zero. Thus, in particular, if  $M$  is isomorphic to a quotient module of  $M_0$ , then  $M_0$  generates  $M$ ; and, if  $M$  is isomorphic to a submodule of  $M_0$ , then  $M_0$  cogenerates  $M$ .

**Proposition 1.** *Let  $M = \left(\bigoplus_{\gamma \in \Gamma} M_\gamma\right) \oplus M_0$  be a direct sum of  $R$ -modules such that  $M_0$  is balanced and, for every  $\gamma \in \Gamma$ ,  $M_0$  is a generator or a cogenerator for  $M_\gamma$ . Then  $M$  is balanced.*

*Proof.* Let, for every  $\gamma \in \Gamma \cup \{0\}$ ,

$$\pi_\gamma: M \rightarrow M_\gamma \quad \text{and} \quad i_\gamma: M_\gamma \rightarrow M$$

be the canonic projections and injections accompanying the direct sum  $M$ ; in particular,

$$i_\gamma \pi_\gamma = 1_{M_\gamma} \quad \text{for each } \gamma.$$

Let  $\psi$  be an element of the double centralizer of  $M$ . Consider, for every  $\gamma \in \Gamma \cup \{0\}$ , the morphism

$$\psi_\gamma = i_\gamma \psi \pi_\gamma = M_\gamma \xrightarrow{i_\gamma} M \xrightarrow{\psi} M \xrightarrow{\pi_\gamma} M_\gamma.$$

Clearly, if  $\varphi: M_\gamma \rightarrow M_{\gamma'} (\gamma, \gamma' \in \Gamma \cup \{0\})$  is an  $R$ -homomorphism, then

$$(\psi_\gamma x) \varphi = \psi_{\gamma'} (x \varphi) \quad \text{for all } x \in M_\gamma.$$

This follows easily from the fact that  $\pi_\gamma \varphi i_{\gamma'}$  belongs to the centralizer and  $\psi$  to the double centralizer of  $M$ :

$$\begin{aligned} \psi_\gamma \varphi &= (i_\gamma \psi \pi_\gamma) \varphi (i_{\gamma'} \pi_{\gamma'}) = i_\gamma \psi (\pi_\gamma \varphi i_{\gamma'}) \pi_{\gamma'} \\ &= i_\gamma (\pi_\gamma \varphi i_{\gamma'}) \psi \pi_{\gamma'} = \varphi \psi_{\gamma'}. \end{aligned}$$

Thus, in particular,  $\psi_\gamma$  belongs to the centralizer of  $M_\gamma$ . Therefore, since  $M_0$  is balanced,  $\psi_0$  is induced by multiplication by an element  $\varrho \in R$ . We are going to show that also  $\psi_\gamma$  is induced by multiplication by the same element  $\varrho$  (for every  $\gamma \in \Gamma$ ). Indeed, if  $x \in M_0^\varrho$ , where  $\varphi: M_0 \rightarrow M_\gamma$  is an  $R$ -homomorphism and  $x = x_0 \varphi$  with  $x_0 \in M_0$ , then

$$\psi_\gamma x = \psi_\gamma (x_0 \varphi) = (\psi_0 x_0) \varphi = (\varrho x_0) \varphi = \varrho (x_0 \varphi) = \varrho x.$$

As a consequence, if  $M_0$  generates  $M$ , then

$$\psi_\gamma x = \varrho x \quad \text{for all } x \in M_\gamma.$$

Also, if  $x \in M_\gamma$ , then  $\psi_\gamma x - \varrho x$  belongs to the kernel of every morphism  $\varphi: M_\gamma \rightarrow M_0$ ; for,

$$(\psi_\gamma x - \varrho x) \varphi = (\psi_\gamma x) \varphi - (\varrho x) \varphi = \psi_0 (x \varphi) - \varrho (x \varphi) = 0.$$

And hence, if  $M_0$  cogenerates  $M_\gamma$ , then

$$\psi_\gamma x - \varrho x = 0, \quad \text{i.e.} \quad \psi_\gamma x = \varrho x, \quad \text{for all } x \in M_\gamma.$$

Finally, in order to complete the proof, it is sufficient to observe that, for every  $\gamma \in \Gamma \cup \{0\}$ ,

$$(\psi m) \pi_\gamma = \psi_\gamma(m \pi_\gamma) \quad \text{for all } m \in M;$$

this follows immediately from

$$\psi \pi_\gamma = (\psi \pi_\gamma \iota_\gamma) \pi_\gamma = (\pi_\gamma \iota_\gamma \psi) \pi_\gamma = \pi_\gamma \psi_\gamma.$$

And thus, it turns out that

$$\begin{aligned} \psi m &= \sum_{\gamma \in \Gamma \cup \{0\}} (\psi m) \pi_\gamma \iota_\gamma = \sum_{\gamma} [\psi_\gamma(m \pi_\gamma)] \iota_\gamma \\ &= \sum_{\gamma} \varrho(m \pi_\gamma) \iota_\gamma = \sum_{\gamma} (\varrho m) \pi_\gamma \iota_\gamma = \varrho m \end{aligned}$$

for all  $m \in M$ , as required.

## 2.

In what follows,  $R$  will always stand for a ring described in our Theorem, i.e.  $R$  will always be a local ring with radical  $W$  such that  $Q = R/W$  is commutative,  $W^2 = 0$  and

$$\dim({}_Q W) = 2, \quad \dim(W_Q) = 1.$$

For the sake of brevity, we shall often refer to these rings as to *rings of type (2,1)*.

Our first objective is to determine the indecomposable injective  $R$ -modules. This is achieved in the following

**Proposition 2.** *Let  $R$  be a ring of type (2, 1). Let  $u$  and  $v$  be elements of  $W$  such that  $Ru + Rv = W$ . Then*

- (l)  ${}_R(R/Ru)$  is an indecomposable injective left  $R$ -module and
- (r)  $[(R \oplus R)/D]_R$  with  $D = \{(u\varrho, -v\varrho) | \varrho \in R\}$  is an indecomposable injective right  $R$ -module.

*Proof.* In order to facilitate the proof of Proposition 2, let us define a multiplication (which will be denoted by  $*$ ) on  $W$  in such a way that the bimodule  ${}_R W_R$  becomes a *bialgebra* in the following sense:

A left module  ${}_R W$  with a multiplication  $*$  is called a left algebra, if  $(W, *)$  is a ring, and for all  $\lambda \in R$ , and  $w_1, w_2 \in W$  we have the equality

$$(\lambda w_1) * w_2 = \lambda(w_1 * w_2).$$

A bimodule  ${}_R W_R$  with multiplication  $*$  is called a bialgebra, if  $({}_R W, *)$  is a left algebra and  $(W_R, *)$  is a right algebra.

In order to define the multiplication, we take the element  $u \in W$  and proceed as follows: Any element of  $W$  has the form  $u\varrho$  with  $\varrho \in R$ , because  $W$  is a minimal right ideal. Moreover, it is easy to see that the morphism from  $R$  to  $W$  mapping  $\varrho$  into  $u\varrho$  defines an  $R$ -isomorphism of the simple right module  $(R/W)_R$  onto  $W_R$ . Now  $(R/W)_R$  is not only a right  $R$ -module, but in fact a right algebra with respect to the given multiplication. And, we define  $*$  in such a way that the mapping  $\varrho \mapsto u\varrho$  becomes a morphism of right algebras, i.e. we define

$$(u\varrho) * (u\sigma) = u\varrho\sigma \quad \text{for all } \varrho, \sigma \text{ in } R.$$

One can see immediately that the operation  $*$  is well-defined and that  $(W_R, *)$  is a right algebra. But  $W$  is also a left  $R$ -module and, we can show that  $({}_R W, *)$  is a left algebra. For, if  $w_i = u\varrho_i$ ,  $i = 1, 2$ , are two elements of  $W$ , and  $\lambda \in R$ , then  $\lambda u$  can be written in the form  $\lambda u = u\varrho$  for some  $\varrho$  in  $R$ , and we have

$$\begin{aligned} (\lambda w_1) * w_2 &= (\lambda u\varrho_1) * (u\varrho_2) = (u\varrho\varrho_1) * (u\varrho_2) = u\varrho\varrho_1\varrho_2 \\ &= \lambda u\varrho_1\varrho_2 = \lambda((u\varrho_1) * (u\varrho_2)) = \lambda(w_1 * w_2), \end{aligned}$$

as required. This shows that  ${}_R W_R$  is with respect to the operation  $*$  a bialgebra. Let us also point out that the ring  $(W, *)$  is isomorphic to  $Q = R/W$  (and is therefore commutative) and that  $u$  is the identity element of  $(W, *)$ .

(I) Now, let us prove that the indecomposable left  $R$ -module  $M = R/U$  with  $U = Ru$  is injective. We need to show that every morphism  $\varphi : {}_R W \rightarrow M$  can be extended to a morphism from  ${}_R R$  to  $M$ .

We can assume that the kernel  $\ker \varphi$  is of length 1. Thus,  $\ker \varphi = R w$  for some non-zero  $w$  of  $W$ . Since  $wR = W$ ,

$$u = w\varrho_0 \quad \text{for some } \varrho_0 \in R.$$

Moreover,  $\varrho_0$  must obviously be a unit. Observe that the element  $v_0 = v\varrho_0^{-1}$  does not belong to the kernel of  $\varphi$ . For, otherwise  $v\varrho_0^{-1}$  would be in  $Rw = Ru\varrho_0^{-1}$ , i.e.  $v$  would belong to  $Ru$ . Write

$$(v\varrho_0^{-1})\varphi = \lambda v + U \in M, \quad \lambda \in R.$$

Now,  $\lambda u = u\sigma_0$  for some  $\sigma_0 \in R$  and, furthermore, this implies that  $\lambda v = v\sigma_0$ . Indeed, referring back to the first part of the proof,

$$\begin{aligned} v\sigma_0 &= (v * u)\sigma_0 = v * (u\sigma_0) = v * (\lambda u) = (\lambda u) * v \\ &= \lambda(u * v) = \lambda v. \end{aligned}$$

In fact, we claim that  $\varrho_0\sigma_0$  induces the morphism  $\varphi$ . First, if  $\kappa w \in \ker \varphi$ , then we have the relation

$$(\kappa w)\varrho_0\sigma_0 = \kappa u\sigma_0 = \kappa\lambda u \in U.$$

Second, for  $v_0 = v\varrho_0^{-1}$  we have the relation

$$v_0\varrho_0\sigma_0 = v\varrho_0^{-1}\varrho_0\sigma_0 = v\sigma_0 = \lambda v.$$

Thus, summarizing,

$$w\varrho_0\sigma_0 + U = U = w\varphi \quad \text{and} \quad v_0\varrho_0\sigma_0 + U = \lambda v + U = v_0\varphi,$$

i.e.  $\varphi$  can be extended to a morphism from  ${}_R R$  to  $M$ , as required.

(r) The proof that the right  $R$ -module  $M = (R \oplus R)/D$  with  $D = \{(u\varrho, -v\varrho) \mid \varrho \in R\}$  is an indecomposable injective will be given in several steps. Let us start with a remark that  $v*v$  can be expressed as a linear combination of  $u$  and  $v$  and thus we have

$$u*u = u, \quad u*v = v*u = v, \quad v*v = \alpha u + \beta v \quad \text{for some } \alpha, \beta \in R.$$

First,  $M$  has necessarily a simple socle. For, assume the converse, i.e. that the socle of  $M$  has length  $\geq 2$ . Then, denoting by  $\pi$  the canonic epimorphism  $R \oplus R \rightarrow (R \oplus R)/D$ ,  $R$  is obviously embedded by

$$R \xrightarrow{(0,1)} R \oplus R \xrightarrow{\pi} M$$

as a direct summand. Therefore, there is a morphism  $\eta: M \rightarrow R$  such that

$$R \xrightarrow{(0,1)} R \oplus R \xrightarrow{\pi} M \xrightarrow{\eta} R = 1_R.$$

Now,  $\pi\eta$  has the form  $(\mu_1, \mu_2)$ , where  $\mu_i: R_R \rightarrow R_R$  can be interpreted as a left multiplication by  $\mu_i \in R$ . Under the morphism  $(\mu_1, \mu_2)$ ,  $D$  is mapped into 0 and thus

$$\mu_1 u - \mu_2 v = (\mu_1, \mu_2) \begin{pmatrix} u \\ -v \end{pmatrix} = 0.$$

But, obviously  $\mu_2 v = v$  and hence  $\mu_1 u = v$  implying that  $v \in Ru$ . This contradiction shows that the socle of  $M$  must be simple. As an immediate consequence,  $M$  is indecomposable.

Second, we are going to show that every socle element of  $M$  has the form  $(\lambda u + \kappa v, 0) + D$  for some  $\lambda, \kappa \in R$ . On the basis of the preceding paragraph, we know that every element of the socle of  $M$  has the form

$$(w_1, w_2) + D \quad \text{with } w_i \in W \quad (i = 1, 2).$$

Moreover, since  $W = vR$ ,  $w_2 = v\varrho_2$  for some  $\varrho_2 \in R$  and thus

$$(w_1, w_2) + D = (w_1 + u\varrho_2, w_2 - v\varrho_2) + D = (w_1 + u\varrho_2, 0) + D.$$

Obviously,  $w_1 + u\varrho_2$  belongs to  $W$  and has therefore the required form.

Third, we want to show that, for every  $\kappa \in R$ ,

$$(\kappa\beta u - \kappa v, \kappa\alpha u) \in D.$$

Again, we shall make use of the operation  $*$  and its commutativity. Take  $\varrho \in R$  such that  $u\varrho = \kappa\beta u - \kappa v$ .

Then,

$$\begin{aligned} v\varrho &= (v*u)\varrho = v*(u\varrho) = v*(\kappa\beta u - \kappa v) \\ &= v*(\kappa\beta u) - v*(\kappa v) = (\kappa\beta u)*v - (\kappa v)*v \\ &= \kappa\beta(u*v) - \kappa(v*v) = \kappa\beta v - \kappa(\alpha u + \beta v) = -\kappa\alpha u. \end{aligned}$$

Therefore, the element  $(u\varrho, -v\varrho) = (\kappa\beta u - \kappa v, \kappa\alpha u) \in D$ .

Finally, we are ready to prove that  $M$  is injective. Again, it is sufficient to verify that every morphism  $\varphi: W_R \rightarrow M$  can be extended to a morphism of  $R_R$  into  $M$ . Since  $\varphi u$  is a socle element of  $M$ ,

$$\varphi u = (\lambda u + \kappa v, 0) + D \quad \text{for some } \lambda, \kappa \in R.$$

Consider the morphism

$$(\lambda + \kappa\beta, \kappa\alpha): R \rightarrow R \oplus R,$$

where the ring elements operate on  $R$  by left multiplication. Obviously

$$(\lambda + \kappa\beta, \kappa\alpha)u = (\lambda u + \kappa\beta u, \kappa\alpha u)$$

and thus the morphism

$$R \xrightarrow{(\lambda + \kappa\beta, \kappa\alpha)} R \oplus R \xrightarrow{\pi} M$$

maps the element  $u$  into

$$\begin{aligned} (\lambda u + \kappa\beta u, \kappa\alpha u) + D &= (\lambda u + \kappa\beta u, \kappa\alpha u) - (\kappa\beta u - \kappa v, \kappa\alpha u) + D \\ &= (\lambda u + \kappa v, 0) + D = \varphi u. \end{aligned}$$

This completes the proof of Proposition 2.

### 3.

Again, throughout this and the following sections,  $R$  denotes a ring of type (2, 1). Now, knowing the indecomposable injective  $R$ -modules, it is not difficult to derive that every  $R$ -module can be decomposed into a direct sum of indecomposable  $R$ -modules. In this section, this result will be proved for left  $R$ -modules.

**Lemma 1.** *Let  $F$  be a free left  $R$ -module. Let  $s \neq 0$  be an element of the socle of  $F$ . Then  $s$  belongs to a monogenic submodule which is isomorphic to  ${}_R R$ .*

*Proof.* The elements of  $F$  can be represented by indexed families  $(r_i)$  with  $r_i \in R$  and the restriction that all but a finite number of the  $r_i$ 's to be zero. An element  $(r_i)$  belongs to the socle  $\text{Soc } F$  of  $F$  if and only if  $r_i \in W$  for all  $i$ . Let

$$s = (w_i) \in \text{Soc } F.$$

Let  $u \neq 0$  be a fixed element of  $W$ . Since  $uR = W$ , there exists  $\varrho_i \in R$  such that  $w_i = u\varrho_i$ ; here, we take  $\varrho_i = 0$  if  $w_i = 0$ . Now, right multiplication by  $\varrho_i$  yields a homomorphism  $\varrho_i: {}_R R \rightarrow {}_R R$ , and thus the family  $(\varrho_i)$  defines a homomorphism

$$\varphi: {}_R R \rightarrow F.$$

Clearly,  $u\varphi = s$ , and hence  $s \in \text{Im } \varphi$ . Furthermore, since  $s \neq 0$ , there is a unit  $\varrho_{i_0}$  such that  $w_{i_0} = u\varrho_{i_0}$ ; as a consequence,  $\text{Im } \varphi \cong {}_R R$ .

Let us introduce a notation for the different types of monogenic left  $R$ -modules. Let us point out that, for a given length, all monogenic left  $R$ -modules are isomorphic. The only non-trivial case is that of length 2; here, the isomorphism follows from the fact that a monogenic module of length 2 is injective. Denote by  $A_i$  the isomorphism type of the monogenic  $R$ -module of length  $i$  ( $i = 1, 2, 3$ ); hence, there is the simple module  $A_1 = {}_R(R/W)$ , the injective module  $A_2 = {}_R(R/Ru)$  of Lemma 1 and the ring itself considered as a left module  $A_3 = {}_R R$ .

**Lemma 2.** *Let  $M$  be a left  $R$ -module with submodules  $X$  and  $Y$  of type  $A_3$  such that*

$$X + Y = M \quad \text{and} \quad X \cap Y \quad \text{is a simple submodule.}$$

*Then  $M$  contains a submodule of type  $A_2$ .*

*Proof.*  $M$  is obviously isomorphic to the pushout  $P$  of the following diagram

$$\begin{array}{ccc} {}_R L & \xrightarrow{\eta} & {}_R R \\ \downarrow \iota & & \downarrow \iota' \\ {}_R R & \longrightarrow & P \end{array},$$

where  $L$  is a minimal left ideal of  $R$ ,  $\iota$  the inclusion mapping and  $\eta$  a monomorphism. If  $x \neq 0$  is an element of  $L$ , then

$$x\eta = x\varrho \quad \text{for some} \quad \varrho \in R,$$

because  $xR = W$ . Thus right multiplication by  $\varrho$  is a mapping from  $R$  into  $R$  satisfying  $\iota\varrho = \eta$ . But this implies, in view of the properties of a pushout, that  $\iota'$  splits and that the complement is just the cokernel  $R/L$  of  $\iota$ . Since  $R/L$  is of type  $A_2$ , the lemma follows.

Now, we are ready to prove

**Proposition 3.** *Let  $R$  be a ring of type (2, 1). Then  $A_1$ ,  $A_2$  and  $A_3$  are the only (isomorphism) types of indecomposable left  $R$ -modules and every left  $R$ -module is a direct sum of indecomposables.*

*Proof.* To prove our proposition, we shall show that every left  $R$ -module can be expressed as a direct sum of modules of types  $A_1$ ,  $A_2$  or  $A_3$ .

Let  $M$  be a left  $R$ -module. Take a submodule  $X$  of  $M$  which is maximal with respect to the property of being a direct sum of modules of type  $A_2$ . Since  $X$  is injective,  $M = X \oplus M'$ , where  $M'$  is a submodule of  $M$  which contains no submodules of type  $A_2$ .

Now, let  $Y$  be a submodule of  $M'$  which is maximal with respect to the property of being a direct sum of modules of type  $A_3$ . Let  $Z$  be a complement of the socle  $\text{Soc } Y$  of  $Y$  in  $\text{Soc } M'$ . Then,  $Z$  is a direct sum of modules of type  $A_1$  and, evidently,  $Y \cap Z = 0$ . We want to show that

$$Y \oplus Z = M'.$$

To this end, assume that there is an element  $m \in M' \setminus (Y \oplus Z)$ . Then  $Rm$  must be of type  $A_3$ , because  $m \notin \text{Soc } M'$  and  $M'$  contains no submodule of type  $A_2$ . The submodule  $Y \cap Rm$  is non-zero; for, otherwise  $Y + Rm$  would be a direct sum of modules of type  $A_3$ , contradicting the maximality of  $Y$ . Take  $s \neq 0$  of  $Y \cap Rm$ . Since  $s \in \text{Soc } Y$ , Lemma 1 implies that there is a submodule  $N \subseteq Y$  of type  $A_3$  with  $s \in N$ . In view of Lemma 2,  $N \cap Rm$  cannot be simple and therefore the length of  $N \cap Rm$  is 2.

If we now assume that  $\text{Soc}(N + Rm)$  is of length 2, then the embedding  $\text{Soc}(N + Rm)$  in the injective module  $A_2 \oplus A_2$  yields an isomorphism  $N + Rm \cong A_2 \oplus A_2$  (because both modules are of length 4). However, since  $M'$  has no submodules of type  $A_2$ , this is impossible. Thus,  $\text{Soc}(N + Rm)$  has to be of length 3, and therefore

$$N + Rm = N + \text{Soc}(N + Rm).$$

But this means that

$$Rm \subseteq Y + \text{Soc } M' \subseteq Y \oplus Z,$$

and we get a contradiction to our hypothesis. The proof is completed.

#### 4.

In this section, we are going to prove a decomposition theorem for right  $R$ -modules analogous to that for left  $R$ -modules derived in the preceding Section 3. Let us denote by  $B_1$ ,  $B_2$  and  $B_3$  the isomorphism



types of indecomposable right  $R$ -modules defined as follows:  $B_1$  is the simple module  $(R/W)_R$ ;  $B_2$  is the ring considered as a right module;  $B_3$  is the injective module  $(R \oplus R)/D$  described in Proposition 2. Here again, the index refers to the length of the respective module. Note however that, contrary to the previous situation,  $B_3$  is not a monogenic module.

First, let us prove by induction the following

**Lemma 3.** (a) *Let  $M$  be an  $R$ -module of length  $2n + 1$  generated by  $n + 1$  monogenic submodules. Let  $N$  be a submodule of  $M$  which is a direct sum of  $n$  copies of  $B_2$ . If, furthermore,  $M$  does not contain a submodule of type  $B_3$ , then*

$$M = N + \text{Soc } M .$$

(b) *The only indecomposable  $R$ -modules of length  $\leq 2n + 1$  are modules of type  $B_1, B_2$  and  $B_3$ .*

*Proof.* If the length of  $M$  is 3, and if  $M$  contains a monogenic submodule  $N$  of length 2, then either  $\text{Soc } M$  is simple – in which case the injectivity of  $B_3$  yields an isomorphism from  $M$  onto  $B_3$ , or  $\text{Soc } M$  is of length  $\geq 2$ ; in the latter case, evidently

$$M = N + \text{Soc } M .$$

This establishes the validity of both (a) and (b) for  $n = 1$ .

Now, assume that both assertions hold for all  $m \leq n - 1$ .

(a) Without loss of generality, we may assume that the  $n + 1$  monogenic submodules which generate  $M$  are all of length 2. We can consider  $M$  as the amalgamation of  $N$  with a monogenic module of length 2 with simple submodules identified. Thus,  $M$  is isomorphic to the pushout  $P$  of the following diagram

$$\begin{array}{ccc} W_R & \xrightarrow{\eta} & R_R \oplus R_R \oplus \cdots \oplus R_R \\ \downarrow \iota & & \downarrow \iota' \\ R_R & \xrightarrow{\eta'} & P \end{array} ,$$

where  $\iota$  is the inclusion of  $W$  in  $R$ ,  $\eta$  is a monomorphism and  $\iota'$  corresponds to the inclusion  $N \subseteq M$ . Let us take a non-zero element  $w \in W$ ; hence,  $\eta w$  is of the form  $(x_1, x_2, \dots, x_n)$  with at least one non-zero  $x_i$ . Assume that  $x_1 \neq 0$  and distinguish three cases:

(i) Let  $x_i \in R w$  for all  $1 \leq i \leq n$ . Then, we can find elements  $\sigma_i$  such that  $x_i = \sigma_i w$  and thus the morphism

$$(\sigma_1, \sigma_2, \dots, \sigma_n) : R_R \rightarrow R_R \oplus R_R \oplus \cdots \oplus R_R$$

representing left multiplication maps  $w$  into  $(x_1, x_2, \dots, x_n) = \eta w$ . But this means that  $R_R \oplus R_R \oplus \dots \oplus R_R$  is a direct summand of  $P$ . Consequently, the complement is simple and therefore  $M = N + \text{Soc}M$ .

(ii) Let  $x_1 \notin R w$  and  $x_i \in R x_1$  for all  $1 \leq i \leq n$ . Then, we can find elements  $\sigma_i$  with  $x_i = \sigma_i x_1$ ; observe that  $\sigma_1$  is a unit. Now, both  $\eta'(1)$  and  $i'(\sigma_1, \sigma_2, \dots, \sigma_n)$  generate submodules of length 2 and the equality

$$\begin{aligned} \eta'(1)w &= \eta'w = i'\eta w = i'(x_1, x_2, \dots, x_n) \\ &= i'(\sigma_1 x_1, \sigma_2 x_1, \dots, \sigma_n x_1) = i'(\sigma_1, \sigma_2, \dots, \sigma_n) x_1 \end{aligned}$$

shows that

$$\eta'w \in \eta'(1)R \cap i'(\sigma_1, \sigma_2, \dots, \sigma_n)R.$$

Let  $X = \eta'(1)R + i'(\sigma_1, \sigma_2, \dots, \sigma_n)R$ . Assuming that  $i'(\sigma_1, \sigma_2, \dots, \sigma_n)R$  is a direct summand of  $X$ , we would have a morphism  $\eta'(1)R \rightarrow i'(\sigma_1, \sigma_2, \dots, \sigma_n)R$  mapping  $\eta'w$  into  $i'(\sigma_1 x_1, \sigma_2 x_1, \dots, \sigma_n x_1)$ , and thus a morphism  $R_R \rightarrow R_R \oplus R_R \oplus \dots \oplus R_R$  mapping  $w$  into  $(\sigma_1 x_1, \sigma_2 x_1, \dots, \sigma_n x_1)$ . In particular, we would have a morphism  $R_R \rightarrow R_R$  mapping  $w$  into  $\sigma_1 x_1 = x_1$  and since such a morphism must be induced by left multiplication we would get that  $x_1 \in R w$ , contradicting our hypothesis. Thus,  $X$  has to be an indecomposable  $R$ -module of length 3 and therefore of type  $B_3$ . Since  $M$  has no submodule of type  $B_3$ , we conclude that the case (ii) cannot happen.

(iii) Let  $x_1 \notin R w$  and there is  $x_i$  such that  $x_i \notin R x_1$ . We may assume that  $x_2 \notin R x_1$ . Thus,  $W = R x_1 + R x_2$  and therefore there are elements  $\sigma_1, \sigma_2$  such that

$$w = \sigma_1 x_1 + \sigma_2 x_2.$$

In this case, the pushout  $P$  can be considered as the quotient module of  $n + 1$  copies of  $R_R$  by the submodule generated by  $(w, -x_1, -x_2, \dots, -x_n)$ . Under the morphism

$$(1, \sigma_1, \sigma_2, 0, \dots, 0): R_R \oplus R_R \oplus \dots \oplus R_R \rightarrow R_R$$

representing left multiplication, the element  $(w, -x_1, -x_2, \dots, -x_n)$  is mapped into  $w - \sigma_1 x_1 - \sigma_2 x_2 = 0$  and thus the morphism factors through  $P$ . As a consequence  $P$  has a homomorphic image of type  $B_2$ . The latter splits off and we deduce that  $M$  is a direct sum of a module of type  $B_2$  and a module  $M'$  of length  $2n - 1$ .

Now, using the induction argument,  $M'$  is a direct sum of modules of types  $B_1, B_2$  and  $B_3$ . However, since  $M$  has no submodules of type  $B_3$ ,  $M'$  is a direct sum of monogenic modules of length 1 and 2. In particular,  $\text{Soc}M'$  has to be of length at least  $n$  and therefore  $\text{Soc}M$  has to be of length at least  $n + 1$ . Consequently,  $M = N + \text{Soc}M$ , as required.

The statement (a) is established.

(b) Given an indecomposable  $R$ -module  $M$  of length  $\leq 2n + 1$ , we deduce immediately that  $M$  has no proper submodule of type  $B_3$ ; this follows from the fact that  $B_3$  is injective. Now, take a submodule  $N$  which is maximal with respect to the property of being a direct sum of copies of  $B_2$ , and let  $K$  be a complement of  $\text{Soc}N$  in  $\text{Soc}M$ . In order to verify (b), it is sufficient to show that  $M = N \oplus K$ , i.e. to show that every element  $x \in M$  generating a submodule of length 2 belongs to  $N \oplus K$ . Let  $M' = N + xR$ . If  $x \notin N$ , then the length of  $M'$  is  $2m + 1$ , where  $m$  is the number of the copies of  $B_2$  in  $N$ . Since  $m \leq n$ , we get by induction

$$M' = N + \text{Soc}M'.$$

But this means that  $x \in N + K$ .

The proof of Lemma 3 is completed.

As an easy consequence of Lemma 3, we can formulate the following result parallel to Proposition 3. We may remark that it shows in conjunction with Proposition 3 that rings of type (2, 1) are rings of SLCRT, but not of SRCRT in the sense of Tachikawa [7].

**Proposition 4.** *Let  $R$  be a ring of type (2, 1). Then  $B_1, B_2$  and  $B_3$  are the only (isomorphism) types of indecomposable right  $R$ -modules and every right  $R$ -module is a direct sum of indecomposables.*

*Proof.* It is sufficient to show that every right module  $M$  can be written as a direct sum of modules of types  $B_1, B_2$  and  $B_3$ .

Following the method of proving Proposition 3, we denote by  $X$  a submodule of  $M$  which is maximal with respect to the property of being a direct sum of modules of type  $B_3$  and observe that  $M = X \oplus M'$ . In  $M'$ , take a submodule  $Y$  which is a maximal direct sum of modules of type  $B_2$ , and denote by  $Z$  a complement of  $\text{Soc}Y$  in  $\text{Soc}M'$ . We intend to show that

$$M = X \oplus Y \oplus Z.$$

Assume the contrary, i.e. that there is an element  $m \in M' \setminus (Y \oplus Z)$  which generates a submodule of length 2. Clearly, because of maximality of  $Y$ ,  $Y \cap mR \neq 0$ . Thus, there is a direct sum  $Y'$  of a finite number of copies of  $B_2$  contained in  $Y$  such that

$$Y' \cap mR \neq 0.$$

Now, applying Lemma 3(a) to the module  $Y' + mR$  and the submodule  $Y'$  we get readily that

$$Y' + mR = Y' + \text{Soc}(Y' + mR).$$

Consequently,  $m \in Y' + \text{Soc}(Y' + mR) \subseteq Y' + \text{Soc}M' = Y \oplus Z$ , a contradiction. Proposition 4 follows.

## 5.

Finally, making use of Proposition 1. 3 and 4, we can readily present

*Proof of Theorem.* First, it is easy to verify that all indecomposable  $R$ -modules are balanced. This is trivial for  $A_3$  and  $B_2$ , as well as for the simple modules  $A_1$  and  $B_1$ ; and, it follows for  $A_2$  and  $B_3$ , because they are injective modules over a local artinian ring, from a theorem of Fuller [2] or Tachikawa [8]. In view of Propositions 3 and 4, the fact that every  $R$ -module is balanced then follows immediately from Proposition 1 (taking for  $M_0$  a direct summand of a maximal length).

Let us conclude this section with a few remarks. The first one concerns the existence of rings of type (2, 1) (cf. Rosenberg and Zelinsky [6]).

*Remark 1.* Let  $F(t)$  be the field of all rational functions over a field  $F$ . Denote by  $R_2$  the ring of all pairs  $(f(t), g(t))$ , where  $f(t), g(t) \in F(t)$ , with respect to the component-wise addition and the following multiplication

$$(f_1(t), g_1(t)) \cdot (f_2(t), g_2(t)) = (f_1(t) f_2(t), f_1(t^2) g_2(t) + g_1(t) f_2(t)).$$

Then  $R_2$  is a (2, 1)-ring, its radical  $W_2 = \{(0, g(t)) | g(t) \in F(t)\}$ , and thus  $R_2/W_2 \cong F(t)$ .

It may be also appropriate to show that a local artinian ring  $R$  with the radical  $W$  does not need to be balanced if  $R/W^2$  is balanced.

*Remark 2.* Let  $F(t)$  be the field of all rational functions over a field  $F$ . Denote by  $R_3$  the ring of all triples  $(f(t), g(t), h(t))$ , where  $f(t), g(t), h(t) \in F(t)$ , with respect to the component-wise addition and the following multiplication

$$\begin{aligned} & (f_1(t), g_1(t), h_1(t)) \cdot (f_2(t), g_2(t), h_2(t)) \\ &= (f_1(t) f_2(t), f_1(t^2) g_2(t) + g_1(t) f_2(t), f_1(t^4) h_2(t) + g_1(t^2) g_2(t) + h_1(t) f_2(t)). \end{aligned}$$

Then the radical

$$W_3 = \{(0, g(t), h(t)) | g(t), h(t) \in F(t)\},$$

$$W_3^2 = \{(0, 0, h(t)) | h(t) \in F(t)\}$$

and thus  $R_3/W_3^2$  is a (2, 1)-ring of the type described in Remark 1. However, as one can easily see, the dimension of the left vector space  $W_3^2$  over  $R_3/W_3$  equals 4, and therefore  $R_3$  is not left balanced (cf. [1]).

*Added in Proof* (January, 1972). A full characterization of balanced rings will appear in Lecture Notes in Mathematics (The contributions to the ring and operator year at Tulane University), Springer-Verlag. In particular, the rings described in Theorem belong to the class of exceptional rings.

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