

A CMOS/Thin-Film Fluorescence Contact Imaging Microsystem for DNA Analysis

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Abstract—A hybrid CMOS/thin-film microsystem for fluorescence contact imaging is presented. The microsystem integrates a high-performance optical interference filter and a 128×128 pixel active pixel sensor fabricated in a standard $0.35\text{-}\mu\text{m}$ CMOS technology. The thin-film filter has an optical density greater than 6.0 at the wavelength of interest, providing adequate excitation rejection to the 532-nm solid-state laser. Microsystem performance is experimentally validated by imaging spots of Cyanine-3 fluorophore, conventionally used in DNA detection. The emission intensity as a function of fluorophore concentration is measured with an estimated sensitivity of 5000 fluorophore/ μm^2 . A human DNA microarray has been imaged with the sensor prototype.

Index Terms—Contact imaging, DNA detection, fluorescence imaging, microarray, optical filter.

I. INTRODUCTION

MEDICAL, environmental, and life science applications demand for low-cost small-form-factor biochemical sensory systems [1]. Increased portability due to miniaturization enables a higher throughput and a larger range of applications in biochemical detection, particularly microarray-based genetic analysis [2]–[4].

Optical techniques such as fluorescence imaging are commonly used in conventional biochemical sensing instruments [5]. In fluorescence-based sensing, a single molecule of interest can emit millions of photons per second, which is detected by a photodetector, making this technique highly sensitive [6]. Fluorescence-based sensing involves molecular probes, often referred to as fluorophores. These fluorophores are chemically bound to a biochemical to act as a label. When excited by light, fluorophores emit secondary light at a longer wavelength.

In applications such as DNA detection, fluorophores are chemically bound to single-stranded unknown DNA (to be identified), which is then hybridized with a planar array of single-stranded known DNA [7]. After the hybridization process, the extent to which the known and unknown DNA strands bond can be quantified by measuring the intensity of the

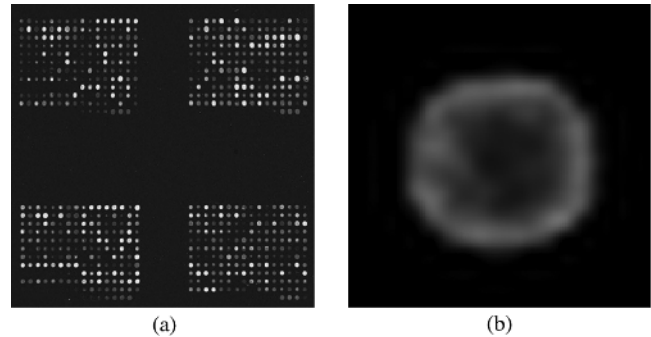


Fig. 1. Eight-thousand-spot human DNA microarray images. (a) Four clusters of DNA spots. (b) An individual spot on the microarray.

secondary light emitted from the fluorophores. Cyanine-3 (Cy3) and Cyanine-5 (Cy5), which are widely adopted fluorophores for DNA analysis, are conventionally used as fluorescent labels. Fig. 1 shows images of a human DNA microarray containing a total of 8000 DNA spots captured by a commercial scanner from Agilent. Fig. 1(a) depicts four clusters of DNA spots on the microarray. Fig. 1(b) depicts an individual spot on the microarray. After the image is obtained by the microarray scanner, software is utilized to identify the spots in the microarray image and quantify the intensity of each spot.

In a conventional DNA imaging system, the signal path from the excitation source to the detector often involves bulky and expensive optical components such as a system of lenses. Contact imaging, in contrast, is a compact and low-cost technique that involves placing the object to be imaged in close proximity to the photodetector array [8]. It does not require intermediary optics, resulting in significant size reduction, cost savings, and orders of magnitude improvement in sensitivity [9]. These advantages make the contact imaging microsystem attractive for portable low-cost biosensor applications.

Fig. 2 shows a simplified cross section of a fluorescence contact imaging system. An optical filter (A), known as an emission filter, is placed on top of the photodetector die. The fluorophore-labeled analyte is spotted on top of the optical filter. A laser light (B) excites the analyte spots containing the fluorophores. The excited fluorophores emit a secondary light (C) with a longer wavelength. The optical filter blocks the shorter wavelength excitation light of the laser while allowing the longer wavelength emission light to pass through and be sensed by the photodetector.

Emission filter design is often challenging as conventional fluorescence dyes such as Cy3 have a small difference in the wavelength between the peak excitation and emission spectra,

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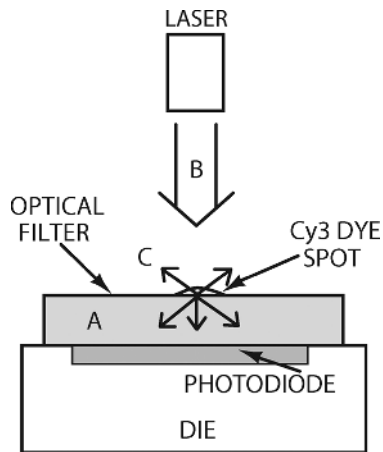


Fig. 2. Fluorescence contact imaging configuration.

known as the Stokes shift. Imaging conventional fluorescence dyes requires an optical filter with a steep cutoff to sufficiently block and transmit the excitation and emission light, respectively. The attenuation property of an optical filter for an out-of-band wavelength is characterized by its optical density (OD) at that wavelength. OD is defined as the ratio of the intensity of light incident on the filter to the intensity of the light that passed through it, in the common logarithmic scale. Specialized fluorophores such as quantum dots have a larger Stokes shift, which relaxes the requirements on the steepness of the filter cutoff [10], but are not typically utilized in many applications such as DNA detection.

To provide high OD, multilayer dielectric interference filters can be used. The optical rejection of such filters is, however, sensitive to the angle of incidence of the excitation light. To prevent filter performance degradation, a collimating lens or a collimated excitation source such as a laser can be utilized.

The choices of the photodetector for fluorescence imaging systems have conventionally been the photomultiplier tube (PMT) and the charge-coupled device (CCD). PMTs are among the most sensitive photodetectors but are bulky and expensive and require high operation voltage, making them unattractive to be integrated into a miniaturized system. The throughput of PMT-based detection systems is relatively low due to the lack of parallelism in a single-photodetector-based PMT. On the other hand, CCDs can be employed in an arrayed implementation but do not allow for on-chip integration of peripheral circuits such as for signal conditioning. This increases the cost and limits miniaturization. Implementations in other semiconductor integration technologies have also been reported, including the use of costly custom silicon-based technologies to implement a single photodetector [11]–[14] and an aluminum gallium arsenide technology to implement a laser as the excitation source [15]. These results are significant but do not yield a low-cost arrayed imager with integrated signal processing.

CMOS technology, on the other hand, has the advantages of low cost, high integration density, and signal processing versatility. It can be efficiently utilized to implement contact imaging arrays with on-chip signal conditioning and processing capabilities. Recently, several proof-of-concept fluorescence contact

imaging systems employing CMOS technology and high-performance emission light filters have been reported. An off-the-shelf emission filter with a nine-pixel photodetector was reported in [16]. A CMOS web camera was integrated with an optical filter in [17].

Several research groups have reported integration of CMOS photosensors with low-cost emission filters with suboptimal excitation rejection performance. The applications include brain neural activity monitoring [18], particle and pathogen detection [19], [20], and DNA detection [10]. The filters in these designs are generally fabricated directly on the surface of a CMOS die. In such on-CMOS fabrication methods, due to process variation and the fact that the CMOS technology is in general not well characterized for filter deposition, filter performance is often difficult to ensure and cannot readily be verified prior to system integration. This results in an insufficient excitation blocking and reduced sensitivity. The suboptimal filter performance is inadequate for clinical applications such as DNA detection that require stringent excitation rejection with high OD. This can be remedied by filterless fluorescence sensing techniques such as time-resolved fluorescence detection [10] but at the cost of a reduced signal, a larger pixel, and increased design complexity.

Fluorescence imaging microsystems with applications similar to those of the proposed microsystem have recently been reported. The time-resolved Forster-resonance-energy-transfer (TR-FRET) assay reported in [21] performs fluorescence imaging with quantum dots, a relatively new class of fluorescent label, which relax the high-filter-OD requirement. Quantum dots, however, are not widely adopted for DNA analysis. The cellular metabolism fluorometer reported in [22] employs a benzotriazole-PDMS filter [23] with an OD around 3.5. The optical rejection of the filter is sufficient when employing a weak excitation source such as an LED, which compromises emission light detection sensitivity. Although the filter used in [24] has good performance, the high transistor count of this design yields a large pixel size, which limits spatial resolution of DNA spot imaging and on-chip signal processing capabilities.

We present a high-OD low-cost contact imaging microsystem for accurate detection of fluorophores with Stokes shift as small as a few tens of nanometers, such as the conventional Cy3 dye. It consists of a thin-film interference filter that is prefabricated utilizing proven fabrication methods, with its optical performance verified prior to integration with a CMOS die. A human DNA microarray and the Cy3 fluorescent dye are utilized to validate the performance of the microsystem. Preliminary results have been reported in [25]. On-chip temporal and spatial signal processing is employed to perform excitation light artifact removal and DNA spot contour identification to further improve DNA image quality and analysis accuracy. The microsystem modeling, the fluorescence imaging microsystem components, the fabrication steps involved in microsystem integration, and the experimental results are presented in subsequent sections of this paper.

II. THEORETICAL ANALYSIS—MICROSYSTEM MODELING

This section describes the theoretical modeling of the fluorescence imaging microsystem shown in Fig. 2. An estimate of

the intensity of the emitted light from the fluorescence dye spots can be derived from Beer–Lambert’s law given by

$$T = \frac{I}{I_0} = 10^{-\epsilon l M} \quad (1)$$

where T is the transmissivity of light traveling through a medium. It is defined as the ratio of the intensities of incident excitation light I_0 to the transmitted excitation light I . ϵ denotes the absorption coefficient of the medium, l is the distance traveled through the medium, and M is its molar concentration in moles per unit volume. In the proposed setup, where planar fluorophore spots are deposited on the surface of a glass slide, the medium length l is negligible.

The fraction of the excitation light intensity absorbed by the planar spot can be expressed as

$$A = 1 - T = 1 - 10^{-\epsilon S} \quad (2)$$

where S is the concentration of fluorophores on the planar spot in moles per unit area.

The fluorescent dye emits photons at a longer wavelength with an efficiency of η_{dye} . The amount of photons N_{ph} falling on the photodetector can be estimated by

$$N_{\text{ph}} = \eta_{\text{cp}} \eta_{\text{filter}(\lambda_{\text{em}})} \eta_{\text{dye}} A \frac{I_0}{E_{\text{ph}}} \quad (3)$$

where $\eta_{\text{filter}(\lambda_{\text{em}})}$ is the filter transmission at the fluorescence emission wavelength, η_{cp} is the collection efficiency of the non-collimated light from the fluorescing spot [26], and E_{ph} is the photon energy of the excitation, given by

$$E_{\text{ph}} = \frac{hc}{\lambda_{\text{ex}}} \quad (4)$$

where h is Planck’s constant, c is the speed of light, and λ_{ex} is the excitation wavelength. Equation (3) assumes that the amount of excitation reaching the photodetector is negligible compared to the emission. The filter requirement for this assumption to be valid is

$$\frac{\eta_{\text{filter}(\lambda_{\text{ex}})}}{\eta_{\text{filter}(\lambda_{\text{em}})}} \ll 1. \quad (5)$$

The photodetector current can be expressed as

$$I_{\text{PD}} = \eta_{\text{pd}} \eta_f N_{\text{ph}} \quad (6)$$

where η_{pd} is the photocurrent collection efficiency of the photodetector, and η_f is the fill factor of the photopixel. The voltage developed on the photodetector at the end of the integration time can be expressed as

$$V_{\text{PD}} = \frac{I_{\text{PD}} T_{\text{int}}}{C_{\text{PD}}} \quad (7)$$

where T_{int} is the integration time of the photodiode, and C_{PD} is the photodiode capacitance.

Equation (7) is utilized in the presented design to estimate the fluorescence signal from the Cy3 fluorophore spots.

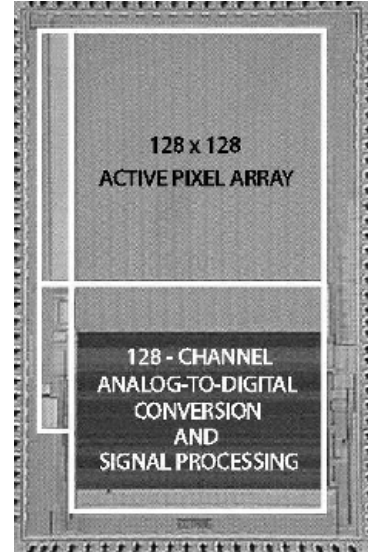


Fig. 3. Chip micrograph of the CMOS contact imager with on-focal-plane signal processing capabilities.

III. CMOS IMAGER

A CMOS active pixel sensor was designed for use as the photodetector of the fluorescence imaging microsystem shown in Fig. 2. The imager fabricated in $0.35\text{-}\mu\text{m}$ standard CMOS technology can perform on-focal-plane image processing operations such as video compression [27]. Fig. 3 shows the imager die with its 128×128 photodiode pixel array. The use of CMOS technology significantly reduces the fabrication cost of the photodetector die and also the microsystem integration cost. The circuit implementation of the photodiode pixel array is discussed next.

An array of 128×128 active pixel sensors transduces the optical signal to an electrical voltage. The pixel and its column-parallel biasing circuit are depicted in Fig. 4. The pixel comprises an n^+ -diffusion–p-substrate photodiode and two signal paths consisting of two pMOS electronic shutter switches, two frame memories, two column-shared output source followers, and readout switches. At the beginning of each frame, the photodiode is reset by the pMOS reset switch. During the integration period, the pn -junction voltage is discharged by an optical current proportional to the incident light intensity. The in-pixel dual frame memory enables the image sensor to perform on-chip temporal and spatial signal processing, as detailed in Section VII.

The pixel area is chosen to be $15.4 \mu\text{m} \times 15.4 \mu\text{m}$ to provide sufficient spatial resolution for imaging the shape of individual microarray spots. The photodiode is implemented as an n^+ -diffusion–p-substrate structure owing to its compact layout and, hence, a higher pixel fill factor. Reset and shutter transistors are of minimum size as needed to lower channel charge injection and clock feedthrough errors. Using pMOS reset and shutter switches increases the dynamic range of the pixel output. Channel lengths of source follower transistors are larger than the minimum size for good matching among pixels and to reduce the source follower flicker noise. The in-pixel dual frame

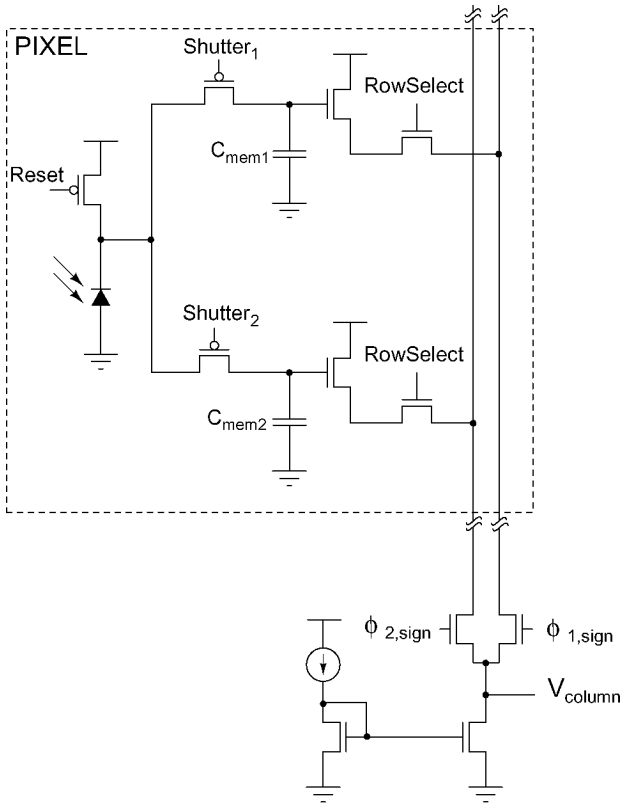


Fig. 4. Pixel circuit with its column-level biasing.

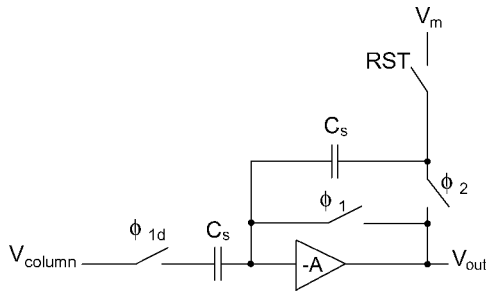


Fig. 5. Column-parallel switched-capacitor difference circuit.

memory is implemented as MOS capacitors to achieve a higher integration density. The MOS capacitors were optimally sized to be 15 fF to achieve a small pixel area, lower charge injection, and clock feedthrough errors. A metal light shield covers the entire pixel, except the photodiode area to eliminate any undesired photo response and to reduce the optical crosstalk from the contact imaging of luminous objects.

Correlated double sampling (CDS) can be performed by the circuit shown in Fig. 5. CDS suppresses fixed-pattern noise and flicker noise. This circuit also computes the frame difference for temporal signal processing described in Section VII-A. The amplifier used is a single-stage cascoded common-source nMOS amplifier with a simulated dc gain of 77 dB. Advanced clocking is used to make the charge injection errors signal independent and only appear as a constant offset at the output of the amplifier.

The proposed image sensor also performs on-chip spatial signal processing. A segment of an image is correlated with programmable digital kernels of up to 8×8 pixels to extract

TABLE I
CMOS IMAGER CHARACTERISTICS

| | |
|-------------------|---|
| Technology | 0.35 μm CMOS |
| Supply Voltage | 3.3V |
| Die Area | 4.4mm x 2.9mm |
| Array Dimensions | 128 x 128 pixels |
| Pixel Size | 15.4 μm x 15.4 μm |
| Fill Factor | 28% |
| Dark Current | 36 fA/pixel |
| Frame Rate | 30 fps |
| Output Resolution | 8-bit |
| Total Power | 26.2 mW |

features of an image, such as edges. This is utilized for DNA spot contour identification in order to improve the quality of DNA analysis, as detailed in Section VII-B. The correlation operation requires computations such as sign transformation, binary-analog multiplication, and accumulation. They are performed by column-parallel multiplying algorithmic analog-to-digital converters, as described in [27].

Table I summarizes the experimentally measured electrical characteristics of the image sensor chip [27].

IV. OPTICAL FILTER

The optical filter chosen for the microsystem is a discrete thin-film interference filter, which was designed, fabricated (Omega Optical), and optically tested prior to integration with the CMOS die. This approach was chosen compared to a direct deposition of thin-film layers over the CMOS die to ensure the use of proven methods of coating planar substrates during filter fabrication. Direct thin-film deposition over the CMOS die involves higher costs due to complications in adjusting the fabrication process to compensate for the temperature and material differences between the surface of the optical filter and the CMOS die. The masking of bonding pads during the coating process in the direct deposition method adds an additional cost.

In the presented approach, a 100- μm -thick 1 in \times 1 in optical filter is diced into several smaller pieces of size 2.2 mm \times 2.8 mm each (Corwil). The small-sized filter is eventually attached to the CMOS die. The usage of a single original filter during fabrication significantly reduces the cost involved, per piece. The interference filter was fabricated using 60 layers of Nb_2O_5 and SiO_2 . These materials were selected for their durability and for their optical properties. The coatings were deposited onto a 100- μm -thick microsheet of fused silica substrate by physical vapor deposition. The coated substrate is then cut with a diamond saw to the size required to cover the photosensor array. Finally, the diced filter was laminated to the CMOS die using a refractive-index-matching epoxy.

The interference filter is a thin long-pass optical filter with a cutoff wavelength of 565 ± 5 nm. The cutoff wavelength was chosen to transmit the emitted light from Cy3 fluorescent markers. Cy3 fluorophores have an emission light peak at 575 nm. The transmission of the filter is greater than 75% at 575 nm and, on the average, greater than 85% from 575 to 700 nm. The filter

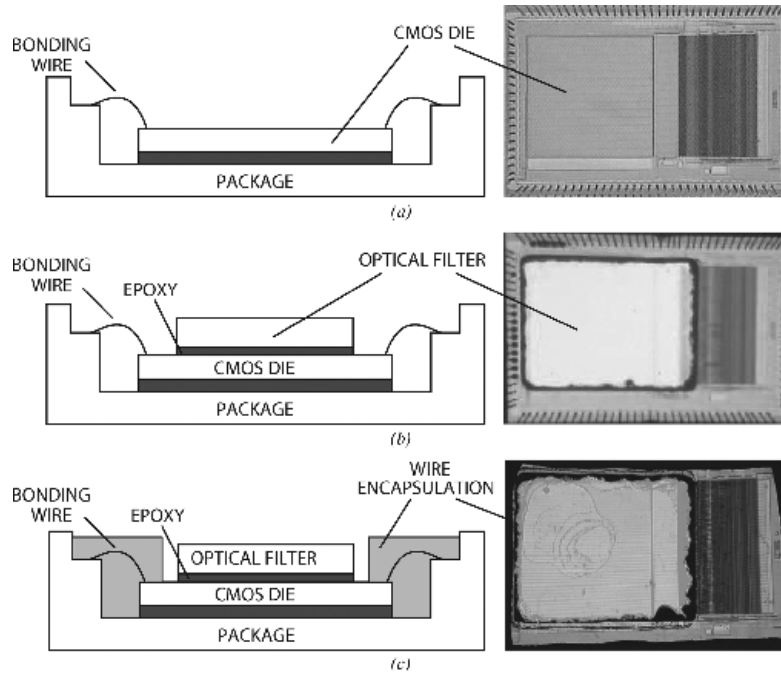


Fig. 6. (Left) Cross-sectional diagram and (right) die micrograph for three key microsystem integration steps. (a) CMOS die is packaged and wire bonded. (b) Diced optical filter is attached to the CMOS die using a refractive-index-matched epoxy. (c) Bonding wires are encapsulated with an epoxy for protection.

is designed to have an OD of 7 at 532 nm, the laser wavelength, and has experimentally been measured to achieve an OD of 6 for an angle of incidence less than 20° . Due to the limitations of the testing equipment, an OD beyond 6 could not be measured.

V. SYSTEM ASSEMBLY

Fig. 6 describes the steps in microsystem integration. Fig. 6(a) shows the CMOS die bonded and packaged using a standard wire bonding and packaging process. The CMOS die was raised inside the chip package by placing a die spacer below it. As required for contact imaging, this brings the photosensor closer to the top surface of the chip package, where the microarray slide is placed. Fig. 6(b) shows the attachment of the optical filter to the CMOS die using a refractive-index-matched epoxy. Using an index-matched epoxy eliminates any unwanted light reflections at the filter–CMOS die surface. Finally, in Fig. 6(c), an opaque epoxy dam is built around the optical filter, and epoxy poured over the bonding wires. This bonding wire encapsulation using epoxy electrically insulates the bonding wires, protects them, and restricts stray reflected laser light from leaking through the sides of the filter to reach the sensor array.

VI. EXPERIMENTAL RESULTS

The fluorescence contact imaging microsystem has experimentally been validated by imaging spots of Cy3 fluorescent dye (GE Healthcare). Fig. 7 shows the test setup. The optical filter is attached to the CMOS die inside the cavity of an open-lid ceramic chip package mounted on a printed circuit board. The distance from the CMOS die surface to the chip package surface is approximately 1 mm. A custom glass slide with Cy3 dye spots is placed on the surface of the chip package. A mechanical stage aligns the dye spots over the CMOS pixel array. A 532-nm pen-sized laser with 10-mW light intensity is mounted

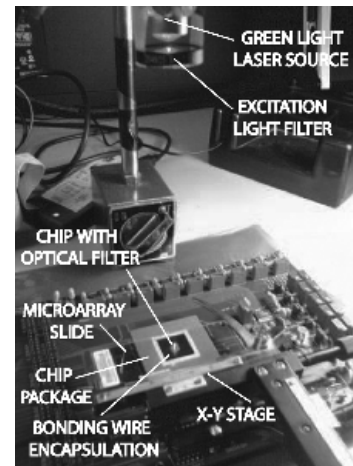


Fig. 7. Fluorescence contact imaging test setup.

vertically above the CMOS pixel array to excite the fluorescent dye spots aligned over the array. The secondary light emitted by the spot is sensed by the imager, while the laser light gets attenuated by the on-chip filter. The laser emits a parasitic 800-nm wavelength that is blocked by an excitation light filter.

Fig. 8 depicts the experimentally measured optical characteristics of the filter, the laser, and the Cy3 fluorescent dye. The OD of the 100- μm -thick filter (A) was measured prior to its dicing. The laser excitation beam (B) shown onto the Cy3 fluorophores falls within the dye absorption spectrum (D) of the Cy3 dye. The laser excitation light is attenuated by more than 60 dB ($\text{OD} > 6$), while the Cy3 dye emission (C) reaches the pixel array almost unattenuated at its peak wavelength.

Fig. 9 shows an experimentally captured image of four spots of 10- μM concentration Cy3 fluorescent dye. They are spotted

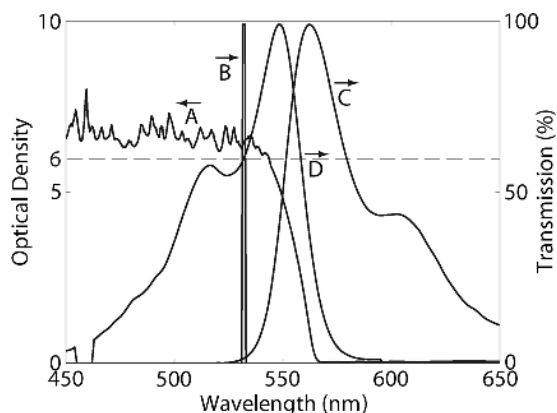


Fig. 8. Measured spectral characteristics: (A) filter optical density, (B) laser excitation, (C) Cy3 fluorescence emission, and (D) Cy3 absorption.

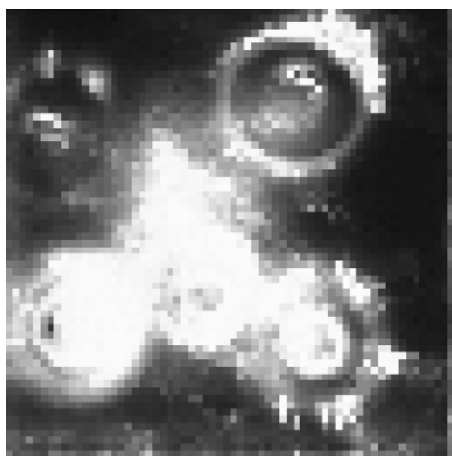


Fig. 9. Experimentally captured image of four spots of 10- μM concentration Cy3 fluorescent dye placed 200 μm above the CMOS imager surface.

onto a 100- μm -thin glass slide and placed over the optical filter into the packaging cavity. The spots' fluoresce and their emission are captured by the photosensor. The high-intensity region in the center is likely due to air bubbles between the optical filter and the CMOS die. The air bubbles may be a result of a lack of degassing. Gas is released from the epoxy when it is heated to bond the filter and the sensor. A mismatch in the refractive index at the bottom side of the filter can result in degraded performance of the filter. A solution to this problem is to employ appropriate degassing techniques in order to prevent gas from being trapped during the bonding process. Some additional minor excitation light scattering may be due to a small air gap between the slide and the filter and light scattering within the spot. The scattered light, due to a lack of collimation, cannot effectively be filtered and shows up in the image. Much of the laser light artifact can be removed by on-chip frame differencing, as discussed in Section VII-A.

Fig. 10 shows a bright spot from a 8000-spot human DNA microarray slide imaged by the proposed microsystem. The spot size is 250 μm . A bright halo ring associated with the fluorescing spot was observed, due to the fact that the microarray slide was placed further away, i.e., 1 mm above the image sensor surface.

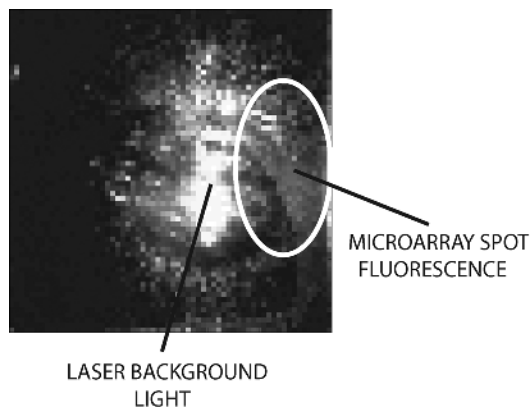


Fig. 10. One spot of an 8000-spot human DNA microarray captured by the presented microsystem at 1-mm distance above the CMOS imager surface.

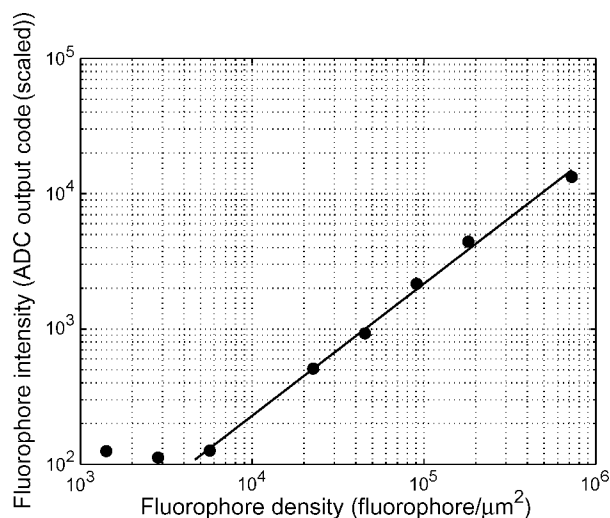


Fig. 11. Experimentally measured light intensity versus the Cy3 fluorophore density.

This distance was constrained by the die packaging configuration. The high-intensity area observed in the middle is likely due to air bubbles trapped in the epoxy. As stated above, epoxy degassing and on-chip frame differencing are available solutions to eliminate this artifact.

Fig. 11 shows the experimentally measured fluorescent light intensity for different concentrations of the Cy3 fluorescence dye. Volumes of the dilution liquid were measured and mixed with the Cy3 dye to create binary-weighted fluorophore concentrations from 10 μM down to 0.02 μM . Solutes of different concentrations of the fluorescence dye were spotted on a custom glass slide. The diameter of the dye spots was 2 mm in order to match the pixel array dimensions. The spot pitch was 2 mm to avoid crosstalk between the adjacent dye spots. The Cy3 fluorescence dye was preprocessed to stabilize it and lengthen its lifetime (Full Moon Biosystems).

A linear fit has been performed on the measured signal for all fluorophore concentrations. The preparation accuracy of the smaller concentrations was not well controlled due to the large difference in the quantities of the dye and the dilution liquid. Based on the graph, fluorophore concentrations as small as 5000 fluorophores/ μm^2 can be measured.

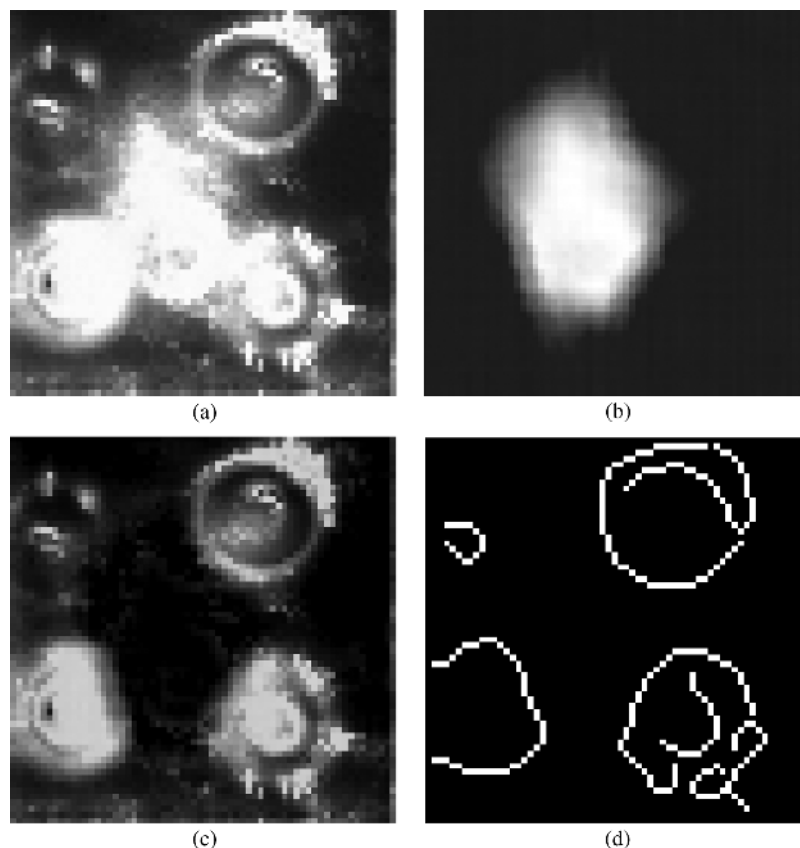


Fig. 12. On-chip imaging and identification of Cy3 dye spots. (a) Experimentally obtained image of four Cy3 spots (the same as in Fig. 9). (b) Experimentally obtained image of laser excitation light artifact. (c) Simulated on-chip artifact removal by frame differencing performed on the data shown in subfigures (a) and (b). (d) Simulated on-chip DNA spot contour identification performed on the frame-differenced image shown in subfigure (c).

VII. ON-CHIP SIGNAL PROCESSING

The proposed CMOS image sensor integrates the capability to perform on-chip signal processing to improve DNA detection performance.

A. On-Chip Temporal Signal Processing

On-chip frame differencing can be utilized to remove the excitation light artifact that is present due to laser light scattering at the media interfaces and at epoxy nonuniformities such as gas bubbles. Fig. 12(a) shows the image of four Cy3 spots, previously depicted in Fig. 9 and repeated here for clarity. Fig. 12(b) shows an image taken before a microarray slide is introduced. The image is ideally dark but shows the excitation laser resulting from inadequate filter rejection, likely due to the air bubbles in the epoxy between the filter and the CMOS die. As previously mentioned, another possible cause of the artifact is the air gap between the slide and the filter. To remove this artifact, one solution is to take a background image prior to the placement of the spots over the image sensor. Frame differencing is then performed to subtract the laser light captured in the background image from the spots' image. Frame differencing can be performed by the circuits in Figs. 4 and 5, as detailed in [27]. The spots to be imaged are mechanically moved into the field of view after a background image of an area of the slide without any spots has been sampled. The background signal and the full

signal are stored in the dual frame memory of the pixel array. The CDS circuit in Fig. 5 then performs the differencing function. Fig. 12(c) is the simulated difference image, which demonstrates significant laser light suppression. The fluorescent labels show up as four distinct spots upon the removal of the laser light artifact.

B. On-Chip Spatial Signal Processing

On-chip spatial signal processing can be utilized to provide capabilities currently performed by a dedicated computer in a benchtop microarray imaging instrument. Contour detection, for example, can be used to identify the boundary of a DNA microarray spot. Fig. 12(d) shows an image that resulted from an on-chip contour detection simulation performed on the image in Fig. 12(c). To achieve contour detection, the proposed image sensor computes 2-D convolution of images with a programmable digital kernels of up to 8×8 pixels in parallel directly on the focal plane, as discussed in Section III. Since DNA microarray spots often have irregular shapes as a result of the microarray fabrication process, gaining knowledge of the spot boundary from contour detection and taking only the area covered by the spot into account leads to improved DNA analysis accuracy.

Experimental validation of the on-chip temporal and spatial signal processing functionalities in the context of video compression was presented in [27].

TABLE II
CMOS FLUORESCENCE CONTACT IMAGERS' COMPARATIVE ANALYSIS

| | [21] | [22], [23] | [24] | This work |
|---|--|--|--|---|
| Fabrication process | 0.35 μm CMOS | 0.5 μm CMOS | 0.35 μm CMOS | 0.35 μm CMOS |
| Filter type | Interference | Polymer | Interference | Interference |
| Filter Fabrication Method | Deposited on CMOS | Prefabricated | Coupled through fiber optic face plate | Prefabricated |
| Filter optical density at wavelength | 3.0-4.0 @ 406nm | 3.5 @ 375nm | 4.9 @ 532nm | >6.0 @ 532nm |
| Fluorescence dye | Qdot 655 CdSe/ZnS 406nm | Fluorescing NADH | Conventional Cy3 dye | Conventional Cy3 dye |
| Fluorescence dye peak excitation wavelength | 406nm | 380nm | 532nm | 532nm |
| Fluorescence dye peak emission wavelength | 655nm | 460nm | 575nm | 575nm |
| Fluorescence dye stokes shift | 249nm | 80nm | 43nm | 43nm |
| Excitation source | Laser @ 655nm | UV LED @ 375nm | Laser @ 532nm | Laser @ 532nm |
| Photodiode area | 15 μm^2 | 36 μm x 36 μm | 50 μm x 50 μm | 5 μm x 15.4 μm |
| Pixel architecture | Direct integration, differential readout | Direct integration, differential readout | CTIA | Direct integration |
| Pixel size | 40 μm x 40 μm | 34 μm x 34 μm | 250 μm x 250 μm | 15.4 μm x 15.4 μm |
| Array size | 64x64 | 1 | 7x7 | 128x128 |
| On-chip temporal signal processing (e.g. artifact removal) | No | No | No | Yes |
| On-chip spatial signal processing (e.g. DNA spot contour identification) | No | No | No | Yes |

VIII. DISCUSSION

Table II provides a comparison of the implemented fluorescence imaging microsystem with other CMOS/thin-film contact imaging microsystems reported in the literature. The TR-FRET assay reported in [21] employs quantum dots, a relatively new type of label, for fluorescence imaging. Quantum dots, owing to their large Stokes shift, relax the rejection requirements on the optical filter. The filter can therefore have a less steep rejection curve at the cutoff wavelength. However, due to a number of reasons such as their large physical size and possible side effects on DNA chemistry, they are not widely used in DNA analysis. In comparison, the implemented microsystem utilizes the conventionally accepted Cy3 fluorescence dye.

The cellular metabolism fluorometer reported in [22] employs a benzotriazole-PDMS filter [23] with an OD around 3.5. The optical rejection of the filter is sufficient when employing a weak excitation source such as an LED. The weak excitation, however, results in reduced detection sensitivity, as indicated in (3).

The high transistor count of the design in [24] yields a large pixel size. The small pixel size of the proposed design enables imaging of the spatial geometry details of each spot. This level of detail, combined with on-chip signal processing, enables further improvements in image quality and detection accuracy.

IX. CONCLUSION

A hybrid CMOS/thin-film microsystem for fluorescence contact imaging has been presented. The optical filter has been fabricated and characterized prior to its integration with the CMOS die, yielding greater control over the filter performance. The microsystem performs fluorescence imaging by exciting microarray spots using an inexpensive off-the-shelf laser. The microsystem has experimentally been validated by imaging the 8000-spot human DNA microarray and the Cy3 fluorescence dye, commonly employed in clinical DNA detection applications. On-chip temporal and spatial signal processing capabilities enable artifact removal and specimen boundary identification, respectively, which further improves DNA analysis quality and yields a compact and low-cost implementation.

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