

## Research Article

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# A code-based hybrid signcryption scheme

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**Abstract:** A key encapsulation mechanism (KEM) that takes as input an arbitrary string, i.e., a tag, is known as tag-KEM, while a scheme that combines signature and encryption is called signcryption. In this article, we present a code-based signcryption tag-KEM scheme. We utilize a code-based signature and an IND-CCA2 (adaptive chosen ciphertext attack) secure version of McEliece's encryption scheme. The proposed scheme uses an equivalent subcode as a public code for the receiver, making the NP-completeness of the subcode equivalence problem be one of our main security assumptions. We then base the signcryption tag-KEM to design a code-based hybrid signcryption scheme. A hybrid scheme deploys asymmetric- as well as symmetric-key encryption. We give security analyses of both our schemes in the standard model and prove that they are secure against IND-CCA2 (indistinguishability under adaptive chosen ciphertext attack) and SUF-CMA (strong existential unforgeability under chosen message attack).

**Keywords:** coding theory, signature scheme, public-key cryptography, code-based cryptography, signcryption

**MSC 2020:** 94A60

## 1 Introduction

In public-key cryptography, the authentication and confidentiality of communication between a sender and a receiver are ensured by a two-step approach called *signature-then-encryption*. In this approach, the sender uses a digital signature scheme to sign a message and then encrypt it using an encryption algorithm. The cost of delivering a message in a secure and authenticated way using the signature-then-encryption approach is essentially the sum of the cost of a digital signature and that of encryption.

In 1997, Zheng introduced a new cryptographic primitive called *signcryption* to provide both authentication and confidentiality in a single logical step [1]. In general, one can expect the cost of signcryption to be noticeably less than that of signature-then-encryption. Zheng's signcryption scheme is based on the hardness of the discrete logarithm problem. Since Zheng's work, a number of signcryption schemes based on different hard assumptions have been introduced, see, for example, [1–12]. Of these, the most efficient ones have followed Zheng's approach, i.e., used symmetric-key encryption as a black-box component [6–8]. It has been of interest to many researchers to study how a combination of asymmetric- and symmetric-key encryption schemes could be used to build efficient signcryption schemes in a more general setting.

To that end, Dent in 2004 proposed the first formal composition model for hybrid signcryption [13] and in 2005 developed an efficient model for signcryption KEMs in the *outsider*- and the *insider*-secure setting [14,15]. In the outsider-secure setting, the adversary is assumed to be distinct from the sender and receiver,

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while in the insider-secure setting, the adversary is assumed to be a second party (i.e., either sender or receiver).

To improve the model for the insider-secure setting in hybrid signcryption, Bjørstad and Dent in 2006 proposed a model based on encryption tag-KEM rather than regular encryption KEM [16]. Their model provides a simpler description of signcryption with a better generic security reduction for the signcryption tag-KEM construction. A year after Bjørstad and Dent's work, Yoshida and Fujiwara reported the first study of multi-user setting security of signcryption tag-KEMs [17], which is a more suitable setting for the analysis of insider-secure schemes.

## 1.1 Motivation

Most of the aforementioned signcryption schemes are based on the hardness of either the discrete logarithm or the integer factorization problem and would be broken with the arrival of sufficiently large quantum computers. Therefore, it is of interest to design signcryption schemes for the postquantum era. Coding theory has some hard problems that are considered quantum-safe and in this article, we explore the design of code-based signcryption.

The first attempt for code-based signcryption was presented in 2012 by Mathew et al. [18]. After that work, an attribute-based signcryption scheme using linear codes was introduced in 2017 by Song et al. [19]. Code-based signcryption remains an active area of research, specifically to study the design of cryptographic primitives like signcryption schemes that are quantum-safe.

## 1.2 Contributions

In this article, we present a signcryption tag-KEM scheme using a probabilistic full domain hash (FDH) like code-based signature and a CCA2 secure version of McEliece's encryption scheme. The underlying code-based signature in our scheme is called *Wave* introduced by Banegas et al. [20], while the CCA2 secure version of the McEliece scheme is based on the Fujisaki–Okamoto transformation introduced by Cayrel et al. [21]. For the underlying McEliece scheme, we use a generator matrix of permuted Goppa subcodes as receivers' public keys. With this feature, we are able to reduce the public key size of our scheme and include the subcode equivalence problem as one of your security assumptions. Because of the latter, for the key recovery attack, even if an adversary is able to distinguish whether the underlying code is a Goppa code, it has to solve the subcode equivalence problem, which is NP-complete. Thus, with well-chosen parameters, the most efficient attack against our scheme will be a brute-force attack.

Using the signcryption tag-KEM, we design a code-based hybrid signcryption scheme. Then we give security analyses of these two schemes in the standard model assuming the insider-secure setting. Finally, we give a comparison of the hybrid signcryption with some relevant lattice-based signcryptions in terms of key and ciphertext sizes.

## 1.3 Organization

This article is organized as follows. In Section 2, we first recall some basic notions of coding theory and then briefly describe relevant encryption and signature schemes that are of interest to this work. Section 3 presents the definition and framework of signcryption and hybrid signcryption, and a brief review of the relevant security model. We present our signcryption and hybrid signcryption schemes in Section 4 and then provide security analyses of the proposed schemes in Section 5. We provide a set of parameters for the hybrid signcryption scheme in Section 6 and then conclude in Section 7.

## 1.4 Notations

In this article, we use the following notations:

- $\mathbb{F}_q$ : finite field of size  $q$  where  $q = p^m$  is a prime power.
- $C$ :  $\mathbb{F}_q$ -linear code of length  $n$ .
- $\mathbf{x}$ : a word or vector of  $\mathbb{F}_q^n$ .
- $\text{wt}(\mathbf{x})$ : weight of  $\mathbf{x}$ .
- $\mathbf{G}$  (resp.  $\mathbf{H}$ ): generator (resp. parity-check) matrix of linear code  $C$ .
- $\mathcal{W}_{q,n,t}$  is the set of  $q$ -ary vectors of length  $n$  and weight  $t$ .
- $\text{sk}_s$  (resp.  $\text{sk}_r$ ): sender's (resp. receiver's) secret key for signcryption.
- $\text{pk}_s$  (resp.  $\text{pk}_r$ ): sender's (resp. receiver's) public key for signcryption.

## 2 Preliminaries

In this section, we recall some notions pertaining to coding theory and code-based cryptography.

### 2.1 Coding theory and some relevant hard problems

Let us consider the finite field  $\mathbb{F}_q$ . A  $q$ -ary linear code  $C$  of length  $n$  and dimension  $k$  over  $\mathbb{F}_q$  is a vector subspace of dimension  $k$  of  $\mathbb{F}_q^n$ . It can be specified by a full rank matrix  $\mathbf{G} \in \mathbb{F}_q^{k \times n}$ , called *generator matrix* of  $C$ , whose rows span the code. Namely,  $C = \{\mathbf{x}\mathbf{G} \mid \mathbf{x} \in \mathbb{F}_q^k\}$ . A linear code can also be defined by the right kernel of matrix  $\mathbf{H} \in \mathbb{F}_q^{r \times n}$ , called *parity-check matrix* of  $C$ , as follows:

$$C = \{\mathbf{x} \in \mathbb{F}_q^n \mid \mathbf{H}\mathbf{x}^T = \mathbf{0}\}.$$

The *Hamming distance* between two codewords is the number of positions (coordinates) where they differ. The *minimal distance* of a code is the minimal distance of all codewords.

The *weight* of a word or vector  $\mathbf{x} \in \mathbb{F}_q^n$ , denoted by  $\text{wt}(\mathbf{x})$ , is the number of its nonzero positions. Then the *minimal weight* of a code  $C$  is the minimal weight of all nonzero codewords. In the case of linear code  $C$ , its minimal distance is equal to the minimal weight of the code.

Below we recall some hard problems that are relevant to our discussions and analyses presented in this article.

**Problem 1.** (Binary syndrome decoding problem) Given a matrix  $\mathbf{H} \in \mathbb{F}_2^{r \times n}$ , a vector  $\mathbf{s} \in \mathbb{F}_2^r$ , and an integer  $\omega > 0$ , find a vector  $\mathbf{y} \in \mathbb{F}_2^n$  such that  $\text{wt}(\mathbf{y}) = \omega$  and  $\mathbf{s} = \mathbf{y}\mathbf{H}^T$ .

The syndrome decoding problem was proven to be NP-complete in 1978 by Berlekamp et al. [22]. It is equivalent to the following problem.

**Problem 2.** (General decoding problem) Given a matrix  $\mathbf{G} \in \mathbb{F}_2^{k \times n}$ , a vector  $\mathbf{y} \in \mathbb{F}_2^n$ , and an integer  $\omega > 0$ , find two vectors  $\mathbf{m} \in \mathbb{F}_2^k$  and  $\mathbf{e} \in \mathbb{F}_2^n$  such that  $\text{wt}(\mathbf{e}) = \omega$  and  $\mathbf{y} = \mathbf{m}\mathbf{G} \oplus \mathbf{e}$ .

The following problem is used in the security proof of the underlying signature that we use in this article. It was first considered by Johansson and Jonsson in [23]. It was analyzed later by Sendrier in [24].

**Problem 3.** (Decoding one out of many (DOOM) problem) Given a matrix  $\mathbf{H} \in \mathbb{F}_q^{r \times n}$ , a set of vector  $\mathbf{s}_1, \mathbf{s}_2, \dots, \mathbf{s}_N \in \mathbb{F}_q^r$  and an integer  $\omega$ , find a vector  $\mathbf{e} \in \mathbb{F}_q^n$  and an integer  $i$  such that  $1 \leq i \leq N$ ,  $\text{wt}(\mathbf{e}) = \omega$  and  $\mathbf{s}_i = \mathbf{e}\mathbf{H}^T$ .

**Problem 4.** (Goppa code distinguishing problem) Given a matrix  $\mathbf{G} \in \mathbb{F}_2^{k \times n}$ , decide whether  $\mathbf{G}$  is a random binary or generator matrix of a Goppa code.

Faugère et al. [25] showed that Problem 4 can be solved in special cases of Goppa codes with high rate.

The following is one of the problems, which the security assumption of our scheme's underlying signature mechanism relies on.

**Problem 5.** (Generalized  $(U, U + V)$  code distinguishing problem). Given a matrix  $\mathbf{H} \in \mathbb{F}_q^{r \times n}$ , decide whether  $\mathbf{H}$  is a parity-check matrix of a generalized  $(U, U + V)$  code.

Problem 5 was shown to be hard in the worst case by Debris-Alazard et al. [26] since it is NP-complete. Below, we recall the subcode equivalence problem, which is one of the problems on which the security assumption of our scheme is based. This problem was proven to be NP-complete in 2017 by Berger et al. [27].

**Problem 6.** (Subcode equivalence problem [27]) Given two linear codes  $C$  and  $\mathcal{D}$  of length  $n$  and respective dimension  $k$  and  $k'$ ,  $k' \leq k$ , over the same finite field  $\mathbb{F}_q$ , determine whether there exists a permutation  $\sigma$  of the support such that  $\sigma(C)$  is a subcode of  $\mathcal{D}$ .

<p><u>KeyGen</u></p> <ol style="list-style-type: none"> <li>1. Randomly generate a monic irreducible polynomial <math>g(x) \in \mathbb{F}_{2^m}[x]</math> of degree <math>t</math></li> <li>2. Select a uniform random set of <math>n</math> different elements <math>\Gamma = (a_0, \dots, a_{n-1}) \in \mathbb{F}_{2^m}^n</math>.</li> <li>3. Compute a generator matrix <math>\mathbf{G}_{\text{sk}} \in \mathbb{F}_2^{k \times n}</math> of the binary Goppa code from <math>g</math> and <math>\Gamma</math>.</li> <li>4. Randomly choose a full rank matrix <math>\mathbf{S} \in \mathbb{F}_2^{k \times k}</math> and permutation matrix <math>\mathbf{P} \in \mathbb{F}_2^{n \times n}</math> with <math>k = n - mt</math>.</li> <li>5. Set <math>\text{sk} = (g, \Gamma, \mathbf{S}^{-1}, \mathbf{P})</math> and <math>\text{pk} = \mathbf{G}_{\text{pk}} = \mathbf{S}\mathbf{G}_{\text{sk}}\mathbf{P}</math>.</li> </ol> <p><u>Encrypt</u></p> <p><i>Input:</i> Public key <math>\text{pk} = \mathbf{G}_{\text{pk}}</math> of the receiver and clear text <math>m</math>.</p> <p><i>Output:</i> A ciphertext <math>c</math>.</p> <ol style="list-style-type: none"> <li>1. <math>\mathbf{y} \xleftarrow{\\$} \mathcal{S}_{2,n,t}</math></li> <li>2. Compute <math>\mathbf{r} := \mathcal{H}_0(\mathbf{y}  m)</math></li> <li>3. Compute <math>\mathbf{c}_0 := \mathbf{r}\mathbf{G}_{\text{pk}} + \mathbf{y}</math></li> <li>4. Compute <math>\mathbf{c}_1 := m \oplus \mathcal{H}_1(\mathbf{y})</math>.</li> <li>5. Parse <math>c := (\mathbf{c}_0    \mathbf{c}_1)</math></li> <li>6. Return <math>c</math></li> </ol> <p><u>Decrypt</u></p> <p><i>Input:</i> Receiver's secret key <math>\text{sk} = (g, \Gamma, \mathbf{S}^{-1}, \mathbf{P})</math>, a ciphertext <math>c</math> and two hash functions <math>\mathcal{H}_0</math> and <math>\mathcal{H}_1</math>.</p> <p><i>Output:</i> A clear message <math>m</math>.</p> <ol style="list-style-type: none"> <li>1. Parse <math>c</math> into <math>(\mathbf{c}_0, \mathbf{c}_1)</math></li> <li>2. Compute <math>\tilde{\mathbf{c}}_0 = \mathbf{c}_0\mathbf{P}^{-1}</math></li> <li>3. Compute <math>(\mathbf{r}, e) := \gamma_{\text{Goppa}}^{\text{McE}}(\text{sk}, \tilde{\mathbf{c}}_0)</math>, where <math>\gamma_{\text{Goppa}}^{\text{McE}}</math> is a decoding algorithm for Goppa code.</li> <li>4. Compute <math>m = \mathbf{c}_1 \oplus \mathcal{H}_1(e)</math></li> <li>5. Compute <math>\tilde{\mathbf{r}} = \mathcal{H}_0(e  m)</math></li> <li>6. If <math>\tilde{\mathbf{r}}\mathbf{G}_{\text{pk}} \oplus \mathbf{y} \neq \mathbf{c}_1</math>:</li> <li>7.     Return <math>\perp</math></li> <li>8. Return <math>m</math></li> </ol>
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**Figure 1:** McEliece's scheme with Fujisaki–Okamoto transformation.

## 2.2 Code-based encryption

The first code-based encryption was introduced in 1978 by McEliece [28]. In Figure 1, we give the McEliece scheme Fujisaki–Okamoto transformation [21], which comprises three algorithms: key generation, encryption, and decryption.

The main drawback of the McEliece encryption scheme is its very large key size. To address this issue, many variants of McEliece’s scheme have been proposed, see, for example, [29–34]. In order to reduce the size of both public and private keys in code-based cryptography, Niederreiter in 1986 introduced a new cryptosystem [35]. Niederreiter’s cryptosystem is a dual version of McEliece’s cryptosystem with some additional properties such that the ciphertext length is relatively smaller. Indeed, the public key in Niederreiter’s cryptosystem is a parity-check matrix instead of a generator matrix. In addition, ciphertexts are syndrome vectors instead of erroneous codewords. However, the McEliece and the Niederreiter schemes are equivalent from the security point of view due to the fact that Problems 1 and 2 are equivalent.

**Code-based hybrid encryption:** A hybrid encryption scheme is a cryptographic protocol that features both an asymmetric- and a symmetric-key encryption scheme. The first component is known as key encapsulation mechanism (KEM), while the second is called data encapsulation mechanism (DEM). The framework was first introduced in 2003 by Cramer and Shoup [36], and later the first code-based hybrid encryption was introduced in 2013 by Persichetti [37] using Niederreiter’s encryption scheme. Persichetti’s scheme was implemented in 2017 by Cayrel et al. [38]. After Persichetti’s work, some other code-based hybrid encryption schemes have been reported, e.g., [39].

## 2.3 Code-based signature

Designing a secure and practical code-based signature scheme is still an open problem. The first secure code-based signature scheme was introduced by Courtois et al. (CFS) [40]. It is a FDH like signature with two security assumptions: the indistinguishability of random binary linear codes and the hardness of syndrome decoding problem. To address some of the drawbacks of Courtois et al.’s scheme, Dallot proposed

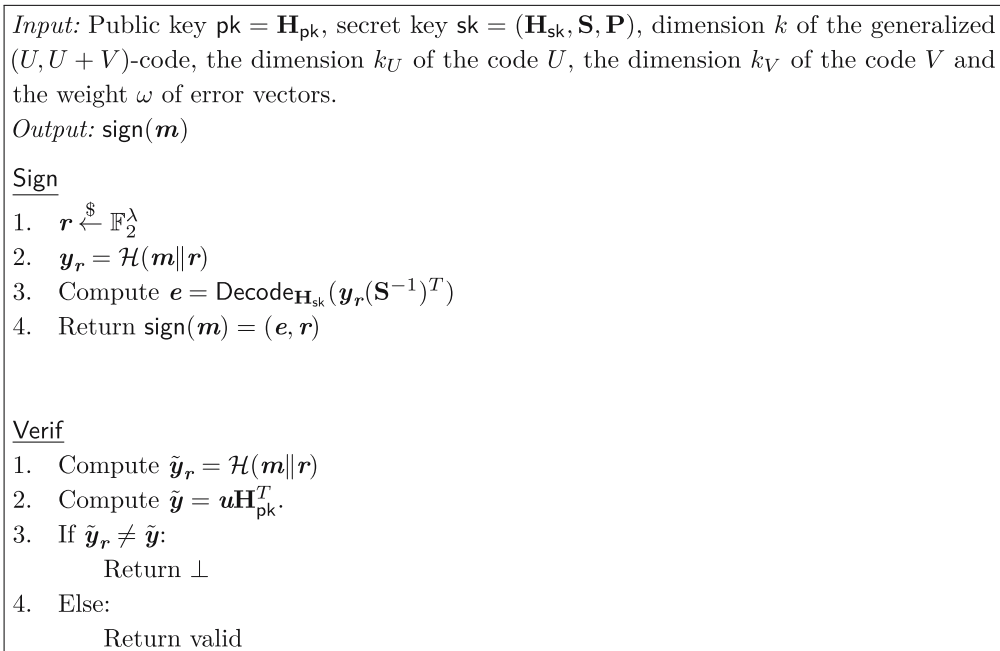


Figure 2: Wave signature scheme [41].

a modified version, called mCFS, which is provably secure. Unfortunately, this scheme is not practical due to the difficulties of finding a random decodable syndrome. In addition, the assumption of the indistinguishability of random binary Goppa codes has led to the emergence of attacks as described in [25]. One of the latest code-based signature schemes of this type is called Wave [41]. It is based on generalized  $(U, U + V)$ -codes. It is secure and more efficient than the CFS signature scheme. In addition, it has a smaller signature size than almost all finalist candidates in the NIST postquantum cryptography standardization process [42].

Apart from the FDH approach, it is possible to design signature schemes by applying the Fiat and Shamir transformation [43] to an identification protocol. To this end, one may use a code-based identification scheme like that of Stern [44], Jain et al. [45], or Cayrel et al. [46]. This approach, however, leads to a signature scheme with a very large signature size. To address this issue, Lyubashevsky's framework [47] can apparently be adapted. Unfortunately, almost all code-based signature schemes in Hamming metric designed by using this framework have been cryptanalyzed [48–53]. The only one that has remained secure so far is a rank metric-based signature scheme proposed by Aragon et al. [54].

In Figure 2, we recall Debris-Alazard et al.'s signature scheme (Wave), which is of our interest for this work. In Wave, the secret key is a tuple of three matrices  $\text{sk} = (\mathbf{S}, \mathbf{H}_{\text{sk}}, \mathbf{P})$ , where  $\mathbf{S} \in \mathbb{F}_q^{r \times r}$  is an invertible matrix,  $\mathbf{H}_{\text{sk}} \in \mathbb{F}_q^{r \times n}$  is a parity-check matrix of a generalized  $(U, U + V)$ -code, and  $\mathbf{P} \in \mathbb{F}_2^{n \times n}$  is a permutation matrix. The public key is a matrix  $\text{pk} = \mathbf{H}_{\text{pk}}$ , where  $\mathbf{H}_{\text{pk}} = \mathbf{S}\mathbf{H}_{\text{sk}}\mathbf{P}$ . Steps for signature and verification processes are given in Figure 2. For additional details, the reader is referred to [41,55].

### 3 Signcryption and security model

In this section, we first recall the definition of signcryption followed by the signcryption tag-KEM framework and its security model under the insider setting.

#### 3.1 Signcryption and its tag-KEM framework

**Signcryption:** A signcryption scheme is a tuple of algorithms  $\text{SC} = (\text{Setup}, \text{KeyGen}_s, \text{KeyGen}_r, \text{Signcrypt}, \text{Unsigncrypt})$  [56], where:

- \*  $\text{Setup}(1^\lambda)$  is the common parameter generation algorithm with  $\lambda$ , the security parameter,
- \*  $\text{KeyGen}_s$  (resp.  $\text{KeyGen}_r$ ) is a key-pair generation algorithm for the sender (resp. receiver),
- \*  $\text{Signcrypt}$  is the signcryption algorithm, and
- \*  $\text{Unsigncrypt}$  corresponds to the unsigncryption algorithm.

For more details on the design of signcryption, the reader is referred to [57] (Chap. 2, Sec. 3, p. 30).

**Signcryption tag-KEM:** A signcryption tag-KEM denoted by SCKEM is a tuple of algorithms [16]:

$$\text{SCKEM} = (\text{Setup}, \text{KeyGen}_s, \text{KeyGen}_r, \text{Sym}, \text{Encap}, \text{Decap}),$$

where

- $\text{Setup}$  is an algorithm for generating common parameters.
- $\text{KeyGen}_s$  (resp.  $\text{KeyGen}_r$ ) is the sender (resp. receiver) key generation algorithm. It takes as input the global information  $I$  and returns a private/public keypair  $(\text{sk}_s, \text{pk}_s)$  (resp.  $(\text{sk}_r, \text{pk}_r)$ ) that is used to send signcrypted messages.
- $\text{Sym}$  is a symmetric key generation algorithm. It takes as input the private key of the sender  $\text{sk}_s$  and the public key of the receiver  $\text{pk}_r$ , and outputs a symmetric key  $K$  together with internal state information  $\omega$ .
- $\text{Encap}$  takes as input the state information  $\omega$  together with an arbitrary string  $\tau$ , which is called a tag, and outputs an encapsulation  $E$ .

- Decap is the decapsulation/verification algorithm. It takes as input the sender's public key  $\text{pk}_s$ , the receiver's private key  $\text{sk}_r$ , an encapsulation  $E$ , and a tag  $\tau$ . It returns either symmetric key  $K$  or the unique error symbol  $\perp$ .

**Hybrid signcryption tag-KEM+DEM:** It is simply a combination of a *sctkem* and a regular data encapsulation mechanism (DEM).

### 3.2 Insider security for signcryption tag-KEM

**IND-CCA2 game in signcryption tag-KEM:** It corresponds to a game between a challenger and a probabilistic polynomial-time (PPT) adversary  $\mathcal{A}_{\text{CCA2}}$  such that the latter tries to distinguish whether a given session key  $K$  is the one embedded in an encapsulation. During this game,  $\mathcal{A}_{\text{CCA2}}$  has adaptive access to three oracles for the attacked user corresponding to algorithms Sym, Encap, and Decap [16,17,57]. The game is described in Figure 3.

During Step 7, the adversary  $\mathcal{A}_{\text{CCA2}}$  is restricted not to make decapsulation queries on  $(E, \tau)$  to the decapsulation oracle. The advantage of the adversary  $\mathcal{A}$  is defined by

$$\text{Adv}(\mathcal{A}_{\text{CCA2}}) = |\Pr(b' = b) - 1/2|.$$

A signcryption tag-KEM is IND-CCA2 secure if, for any adversary  $\mathcal{A}$ , its advantage in the IND-CCA2 game is negligible with respect to the security parameter  $\lambda$ .

**SUF-CMA game for signcryption tag-KEM:** This game is a challenge between a challenger and a PPT adversary (i.e., a forger)  $\mathcal{F}_{\text{CMA}}$ . In this game, the forger tries to generate a valid encapsulation  $E$  from the sender to any receiver, with adaptive access to the three oracles. The adversary is allowed to come up with the presumed secret key  $\text{sk}_r$  as part of his forgery [17] (Figure 4):

The adversary  $\mathcal{F}_{\text{CMA}}$  wins the SUF-CMA game if

$$\perp \neq \text{Decap}(\text{pk}_s, \text{sk}_r, E, \tau)$$

Oracles
1. $\mathcal{O}_{\text{Sym}}$ is the symmetric key generation oracle with input a public key $\text{pk}$ , and computes $(K, \omega) = \text{Sym}(\text{sk}_s, \text{pk})$ . It then stores the value $\omega$ (hidden from the view of the adversary, and overwriting any previously stored values), and returns the symmetric key $K$ .
2. $\mathcal{O}_{\text{Encap}}$ is the key encapsulation oracle. It takes an arbitrary tag $\tau$ as input and checks whether there exists a stored value $\omega$ . If there is not, it returns $\perp$ and terminates. Otherwise, it erases the value from storage and returns $E = \text{Encap}(\omega, \tau)$ .
3. $\mathcal{O}_{\text{Decap}}$ corresponds to the decapsulation/verification oracle. It takes an encapsulation $E$ , a tag $\tau$ , any sender's public key $\text{pk}$ as input and returns $\text{Decap}(\text{pk}, \text{sk}_r, E, \tau)$ .
IND-CCA2 Game for SCTKEM
1. $I := \text{Setup}(1^\lambda)$
2. $(\text{sk}_r, \text{pk}_r) := \text{KeyGen}_r(I)$
3. $(\text{sk}_s, \text{state}_1) := \mathcal{A}_{\text{CCA2}}^{\mathcal{O}_{\text{Sym}}, \mathcal{O}_{\text{Encap}}, \mathcal{O}_{\text{Decap}}}(\text{pk}_r)$
4. $(K_1, \varpi) := \text{Sym}(\text{sk}_s, \text{pk}_r)$ , $K_0 \xleftarrow{\$} \mathcal{K}$ and $b \xleftarrow{\$} \{0, 1\}$
5. $(\tau, \text{state}_2) := \mathcal{A}_{\text{CCA2}}^{\mathcal{O}_{\text{Sym}}, \mathcal{O}_{\text{Encap}}, \mathcal{O}_{\text{Decap}}}(K_b, \text{state}_1)$
6. $E := \text{Encap}(\varpi, \tau)$
7. $b' := \mathcal{A}_{\text{CCA2}}^{\mathcal{O}_{\text{Sym}}, \mathcal{O}_{\text{Encap}}, \mathcal{O}_{\text{Decap}}}(E, \text{state}_2)$

Figure 3: IND-CCA2 game [17].

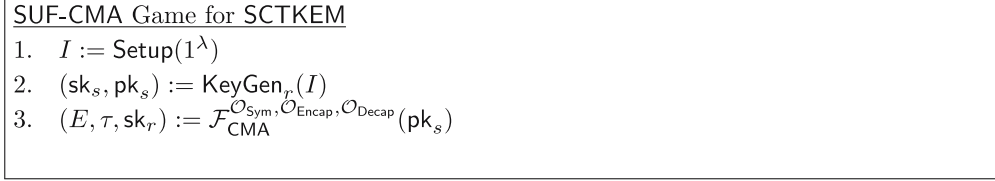


Figure 4: SUF-CMA game [17].

and the encapsulation oracle never returns  $E$  when he queries on the tag  $\tau$ . The advantage of  $\mathcal{F}_{\text{CMA}}$  is the probability that  $\mathcal{F}_{\text{CMA}}$  wins the SUF-CMA game. A signcryption tag-KEM is SUF-CMA secure if the winning probability of the SUF-CMA game by  $\mathcal{F}_{\text{CMA}}$  is negligible.

**Definition 1.** A signcryption tag-KEM is said to be secure if it is IND-CCA2 and SUF-CMA secure.

### 3.3 Generic security criteria of hybrid signcryption tag-KEM+DEM

**Security criteria for hybrid signcryption:** The security of a hybrid signcryption tag-KEM+DEM depends on those of the underlying signcryption tag-KEM and DEM. However, it is important to note that in the standard model a signcryption tag-KEM is secure if it is both IND-CCA2 and SUF-CMA secure. Therefore, the generic security criteria for hybrid signcryption tag-KEM+DEM is given by the following theorem:

**Theorem 1.** [16,17] *Let HSC be a hybrid signcryption scheme constructed from a signcryption tag-KEM and a DEM. If the signcryption tag-KEM is IND-CCA2 secure and the DEM is one-time secure, then HSC is IND-CCA2 secure. Moreover, if the signcryption tag-KEM is SUF-CMA secure, then HSC is also SUF-CMA secure.*

## 4 Code-based hybrid signcryption

In this section, we first design a code-based signcryption tag-KEM scheme. Then we combine it with a one-time (OT) secure DEM for designing a hybrid signcryption tag-KEM+DEM scheme.

### 4.1 Code-based signcryption tag-KEM scheme

For designing our code-based signcryption tag-KEM scheme, we use the McEliece scheme as the underlying encryption scheme. More specifically, to achieve the IND-CCA2 security for our schemes, we use McEliece's scheme with the Fujisaki–Okamoto transformation [21,58]. The authors of ref. [21] gave an instantiation of this scheme using generalized Srivastava (GS) codes. Indeed, by using GS codes, it seems possible to choose secure parameters even for codes defined over relatively small extension fields. However, Barelli and Couvreur recently introduced an efficient structural attack [59] against some of the candidates in the NIST postquantum cryptography standardization process. Their attack is against code-based encryption schemes using some quasi-dyadic alternant codes with extension degree 2. It works specifically for schemes based on GS code called DAGS [20]. Therefore, in our work, we use the Goppa code with the Classic McEliece parameters. As for the underlying signature scheme, we use the code-based Wave [41] as described earlier.

The fact that we use Wave, the sender's secret key is a generalized  $(U, U + V)$ -code over a finite field  $\mathbb{F}_q$  with  $q > 2$ . Its public key is a parity-check matrix of a code equivalent to the previous one. To reduce the public key size, we use a permuted Goppa subcode for the receiver's public key. Thus, we include the



subcode equivalence problem as one of the security assumptions of our scheme. In Figure 5, we describe the algorithm Setup, which will provide common parameters for our scheme.

We give key generation algorithms in Figure 6, where we denote the sender key generation algorithm by  $\text{KeyGen}_s$  and that of the receiver by  $\text{KeyGen}_r$ . The receiver algorithm  $\text{KeyGen}_r$  returns as signcryption public key a generator matrix  $\mathbf{G}_{\text{pk},r} \in \mathbb{F}_2^{\tilde{k} \times n_r}$  of a Goppa subcode equivalent. It returns as signcryption secret key the tuple  $(g_r, \Gamma_r, \mathbf{S}_r^{-1}, \mathbf{P}_r)$ , where  $\Gamma_r$  and  $g_r$  are, respectively, the support and the polynomial of a Goppa code.  $\mathbf{S}_r \in \mathbb{F}_2^{\tilde{k} \times k_r}$  is a full rank matrix and  $\mathbf{P}_r$  a permutation matrix. The sender key generation algorithm  $\text{KeyGen}_s$  returns as private key three matrices  $\mathbf{S}_s \in \mathbb{F}_3^{(n_s-k_s) \times (n_s-k_s)}$ ,  $\mathbf{H}_{\text{sk},s} \in \mathbb{F}_3^{(n_s-k_s) \times n_s}$ , and  $\mathbf{P}_s \in \mathbb{F}_2^{n_s \times n_s}$ , where  $\mathbf{S}_s \in \mathbb{F}_3^{(n_s-k_s) \times (n_s-k_s)}$  is an invertible matrix,  $\mathbf{H}_{\text{sk},s} \in \mathbb{F}_3^{(n_s-k_s) \times n_s}$  a parity-check matrix of a random generalized  $(U, U + V)$ -code and  $\mathbf{P}_s \in \mathbb{F}_2^{n_s \times n_s}$  a permutation matrix. The sender public key is a parity-check matrix  $\mathbf{H}_{\text{pk},s} \in \mathbb{F}_3^{(n_s-k_s) \times n_s}$  of a generalized  $(U, U + V)$  equivalent code given by  $\mathbf{H}_{\text{pk},s} = \mathbf{S}_s \mathbf{H}_{\text{sk},s} \mathbf{P}_s$ .

In Figure 7, we give the design of the symmetric key generation algorithm Sym of our scheme. The algorithm Sym takes as input the bit length  $\ell$  of the symmetric encryption key. It outputs an internal state information  $\varpi$  and the session key  $K$ , where  $\varpi$  is randomly chosen from  $\mathbb{F}_2^\ell$ , and  $K$  is computed by using the hash function  $\mathcal{H}_0$ .

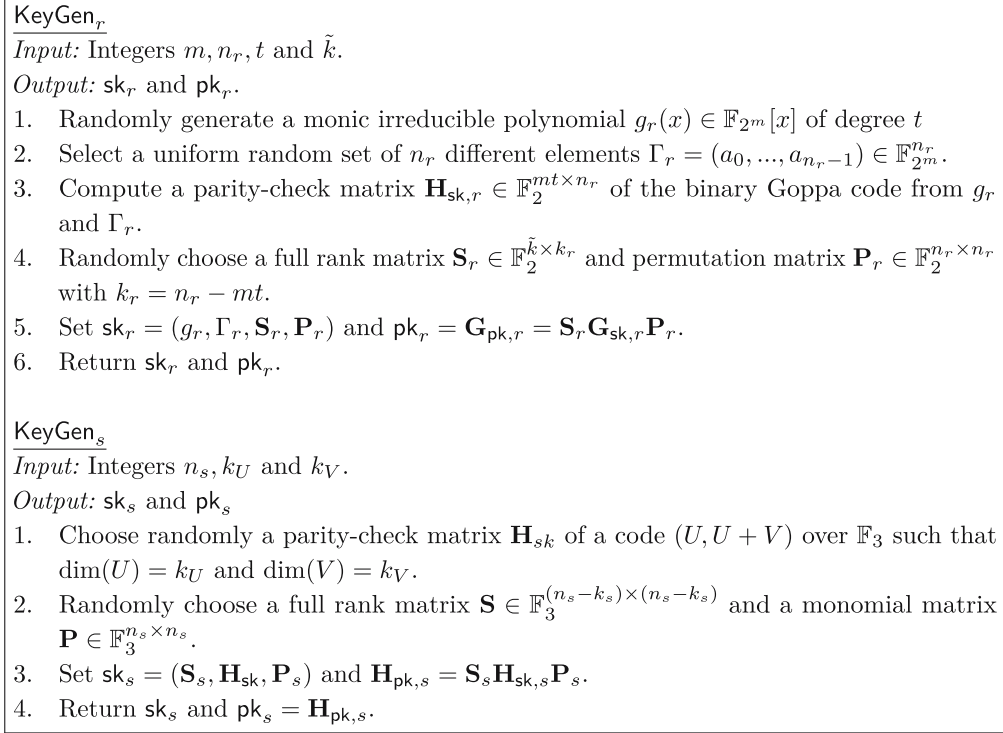
Figure 8 provides a description of the encapsulation and decapsulation algorithms of our signcryption tag-KEM scheme. We denote the encapsulation algorithm by Encap and the decapsulation by Decap. In the encapsulation algorithm, the sender first performs a particular Wave signature on the message  $\mathbf{m} = \tau \parallel \varpi$ , where  $\varpi$  corresponds to an internal state information and  $\tau$  is the input tag. The signature in the Wave scheme comprises two parts: an error vector  $\mathbf{e} \in \mathbb{F}_3^{n_s}$  and a random binary vector  $\mathbf{y}$ . In our scheme,  $\mathbf{z}$  is the hash of a random coin  $\mathbf{y} \in \mathbb{F}_2^\kappa$ . The sender then performs an encryption of  $\mathbf{m}' = \mathcal{H}_1(\tau) \parallel \varpi$ . The encryption that we use in our scheme is the IND-CCA2 secure McEliece encryption scheme with the Fujisaki–Okamoto transformation introduced by Cayrel et al. [21]. During the encryption, the sender adaptively uses the random binary vector  $\mathbf{y}$  as a random coin. The resulting ciphertext is denoted by  $\mathbf{c}$ . The output is given by  $E = (\mathbf{e}, \mathbf{c})$ .

In the decapsulation algorithm Decap, the receiver first performs recovery of the internal state information  $\varpi$  by using the algorithm Decrypt and the second part of the signature of  $\mathbf{m}$ . Then it verifies the signature and computes the session  $K$  by using  $\varpi$ .

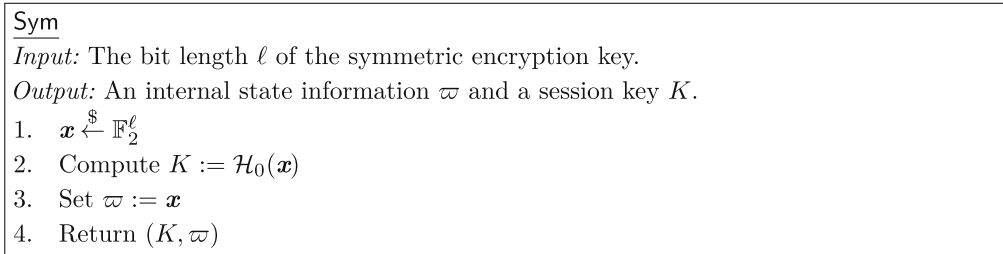
The algorithm Decrypt that we use in the decapsulation algorithm of our scheme is described in Figure 9. It is similar to that described in [21], but we introduce some modifications which are:

<p>Setup</p> <p><i>Input:</i> <math>(1^\lambda)</math></p> <p><i>Output:</i></p> <ul style="list-style-type: none"> <li>– Parameters of sender's generalized <math>(U, U + V)</math>-code: code length <math>n_s</math>, dimension <math>k_U</math> of <math>U</math>, dimension <math>k_V</math> of <math>V</math>, dimension <math>k_s = k_U + k_V</math> of the generalized <math>(U, U + V)</math>-code, weight of error vector <math>\omega</math>, cardinality <math>q</math> of the finite field <math>\mathbb{F}_q</math>.</li> <li>– Parameters of receiver's Goppa code: degree <math>m</math> of extension <math>\mathbb{F}_{2^m}</math> of <math>\mathbb{F}_2</math>, length <math>n_r</math> of the Goppa code, degree <math>t</math> of Goppa polynomial <math>g_r</math>, dimension <math>\tilde{k}</math> of Goppa subcode.</li> <li>– A cryptographic hash functions <math>\mathcal{H}_1 : \{0, 1\}^* \rightarrow \{0, 1\}^{\tilde{k}}</math></li> <li>– A cryptographic hash functions <math>\mathcal{H}_0 : \{0, 1\}^* \rightarrow \{0, 1\}^\ell</math> where <math>\ell</math> is the bit length of the symmetric encryption key.</li> <li>– A hash function <math>\mathcal{H}_2 : \{0, 1\}^* \rightarrow \{0, 1, 2\}^{r_s}</math> where <math>r_s = n_s - k_s</math>.</li> <li>– A cryptographic hash function <math>\mathcal{H}_3 : \{0, 1\}^* \rightarrow \{0, 1\}^{\tilde{k} + \ell}</math></li> <li>– An encoding function <math>\phi : \mathbb{F}_2^\kappa \rightarrow \mathcal{W}_{2, n_r, t}</math> where <math>\kappa</math> is a well chosen parameters such that <math>\kappa = \lfloor \binom{n_r}{t} \rfloor</math> and <math>\mathcal{W}_{2, n_r, t}</math> is the set of binary vectors of length <math>n_r</math> and Hamming weight <math>t</math>.</li> </ul>
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Figure 5: Description of the Setup algorithm for common parameters.



**Figure 6:** Description of the key generation algorithms.



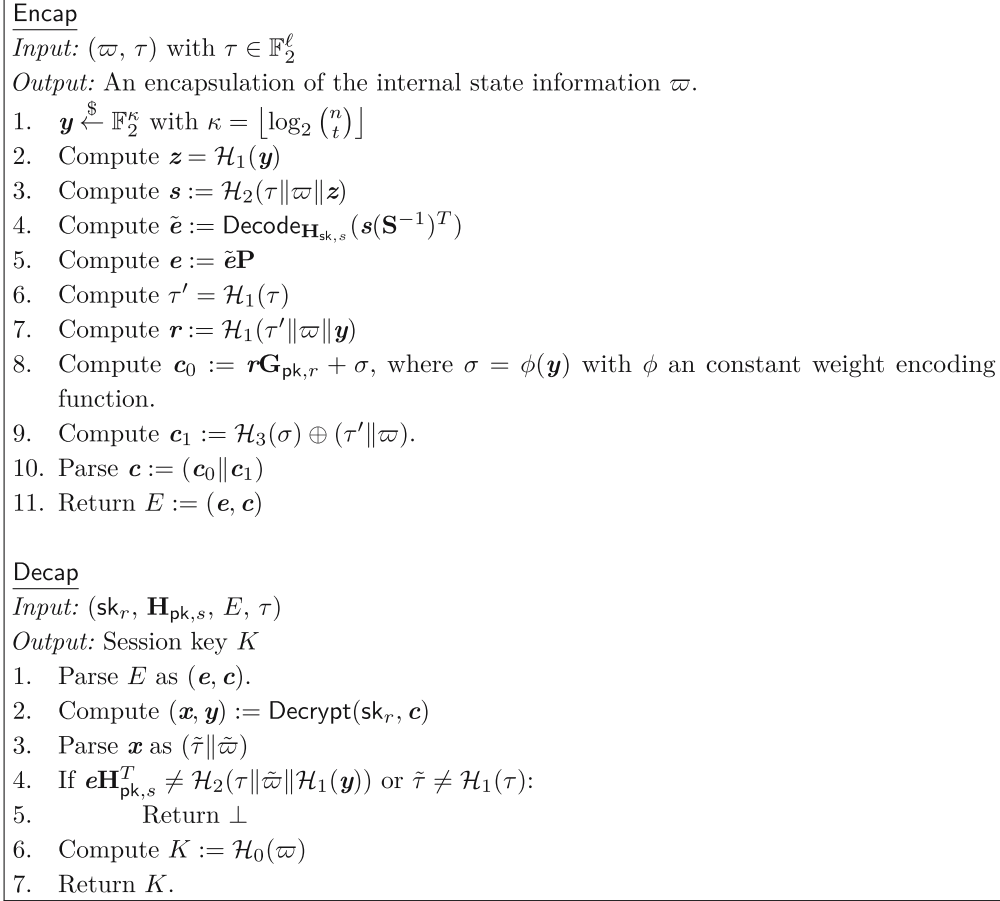
**Figure 7:** Description of the Sym algorithm.

- we use an encoding function  $\phi$ ,
- the output is not only the clear message  $\mathbf{m}$ , but a pair  $(\mathbf{m}, \mathbf{y})$ , where  $\mathbf{y}$  is the reciprocal image the error vector  $\sigma$  by the encoding function  $\phi$ .

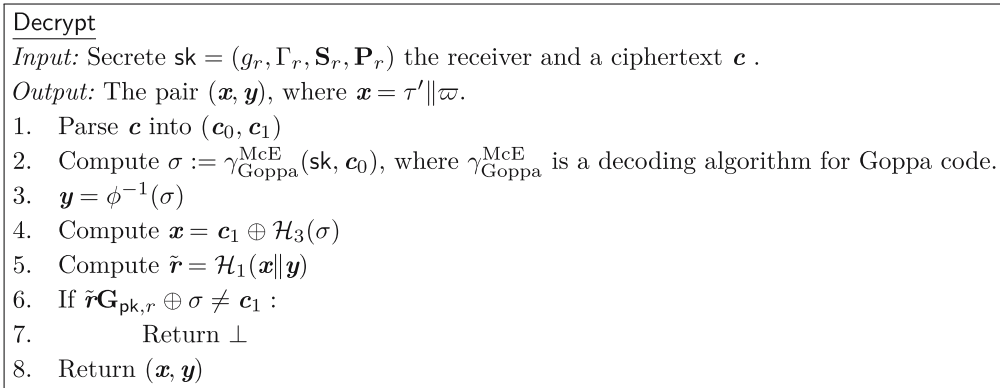
#### 4.1.1 Completeness of our signcryption tag-KEM

Let  $\tau$  be a tag,  $(\text{sk}_s, \text{pk}_s)$  (resp.  $\text{sk}_r$  and  $\text{pk}_r$ ) be sender's (resp. receiver's) key pair generated by the algorithm KeyGen with input  $1^\lambda$ . Let  $(K, \varpi) := \text{Sym}(\text{sk}_s, \text{pk}_r)$  be a pair of a session key and an internal state information. Let  $E := (\mathbf{e}, \mathbf{c})$  be an encapsulation of the internal state information  $\varpi$ . Assuming that the encapsulation and decapsulation are performed by an honest user, we have:

- The receiver can recover the pair  $(\tau' \parallel \varpi, \mathbf{y})$  from  $\mathbf{c}$  and verify successfully that



**Figure 8:** Description of the Encap and Decap algorithms.



**Figure 9:** Description of the Sym algorithm.

$$\mathbf{e}\mathbf{H}_{\text{pk},s}^T = \mathcal{H}_2(\tau \parallel \varpi \parallel \mathbf{y}) \quad \text{and} \quad \tau' = \mathcal{H}_1(\tau).$$

- Otherwise, the receiver performs a successful signature verification of message  $\mathbf{m} := \tau \parallel \varpi$  signed by an honest user using the dual version of mCFS signature.
- Therefore, it can compute the session key  $K := \mathcal{H}_0(\varpi)$ .

## 4.2 Code-based hybrid signcryption

Here, we use the signcryption tag-KEM described in Section 4.1 for designing a code-based hybrid signcryption. For the data encapsulation, we propose the use of a regular OT-secure symmetric encryption scheme. We denote the symmetric encryption algorithm being used by SymEncrypt and the symmetric decryption algorithm by SymDecrypt.

Figure 10 gives the design of our code-based hybrid signcryption tag-KEM+DEM. In this design, algorithms Setup, KeyGen<sub>s</sub>, and KeyGen<sub>r</sub> are the same as those of our signcryption tag-KEM. Algorithms Sym and Encap are those of our signcryption tag-KEM in Section 4.1.

## 5 Security analysis

Before discussing the security of our hybrid scheme, let us consider the following assumptions for our security analysis:

*Assumption 1:* The advantage of PPT algorithm  $\mathcal{A}$  to solve the decoding random linear codes problem is negligible with respect to the length  $n$  and dimension  $k$  of the code.

*Assumption 2:* The advantage of PPT algorithm  $\mathcal{A}$  to solve the  $(U, U + V)$  distinguishing problem is negligible with respect to the length  $n$  and dimension  $k$  of the code.

*Assumption 3:* The advantage of PPT algorithm  $\mathcal{A}$  to solve the subcode equivalence problem is negligible with respect to the length  $n$  and dimension  $k$  of the code.

*Assumption 4:* The advantage of PPT algorithm  $\mathcal{A}$  to solve the DOOM problem is negligible with respect to the length  $n$  and dimension  $k$  of the code.

*Assumption 5:* The advantage of PPT algorithm  $\mathcal{A}$  to solve the Goppa code distinguishing problem is negligible with respect to the length  $n$  and dimension  $k$  of the code.

### 5.1 Information-set decoding algorithm

In code-based cryptography, the best-known nonstructural attacks rely on information-set decoding. The information-set decoding algorithm was introduced by Prange [60] for decoding cyclic codes. After the publication of Prange's work, there have been several works studying to invert code-based encryption schemes based on information-set decoding (see [61] Section 4.1).

<p><u>Signcrypt</u>  <i>Input:</i> A three tuple <math>(sk_s, pk_r, m)</math>  <i>Output:</i> The signcrypted message <math>c = (E, C)</math>.</p> <ol style="list-style-type: none"> <li>1. Compute <math>(K, \varpi) = \text{Sym}(sk_s, pk_r)</math></li> <li>2. Compute <math>C = \text{SymEncrypt}(K, m)</math></li> <li>3. Compute <math>E = \text{Encap}(\varpi, C)</math></li> <li>4. Return <math>(E, C)</math></li> </ol>
<p><u>Unsigncrypt</u>  <i>Input:</i> A three tuple <math>(sk_r, pk_s, (c, C))</math>  <i>Output:</i> The clear text <math>m</math></p> <ol style="list-style-type: none"> <li>1. If <math>\text{Decap}(sk_r, pk_s, c) = \perp</math> return <math>\perp</math></li> <li>2. Compute <math>m = \text{SymDecrypt}(K, C)</math></li> <li>3. Return <math>m</math></li> </ol>

**Figure 10:** Code-based hybrid signcryption from *sctkem* and DEM.

For a given linear code of length  $n$  and dimension  $k$ , the main idea behind the information-set decoding algorithm is to find a set of  $k$  coordinates of a garbled vector that are error free and such that the restriction of the code's generator matrix to these positions is invertible. Then, the original message can be computed by multiplying the encrypted vector by the inverse of the submatrix.

Thus, those  $k$  bits determine the codeword uniquely, and hence, the set is called an information set. It is sometimes difficult to draw the exact resistance to this type of attack. However, they are always lower-bounded by the ratio of information sets without errors to total possible information sets, i.e.,

$$R_{\text{ISD}} = \frac{\binom{n-\omega}{k}}{\binom{n}{k}}, \quad (1)$$

where  $\omega$  is the Hamming weight of the error vector. Therefore, well-chosen parameters can avoid these nonstructural attacks. In our scheme, we use the parameters of the Wave signature [41] for the sender and those of Classic McEliece [61] for the receiver in the underlying encryption scheme.

## 5.2 Key recovery attack

In code-based cryptography, usually, the first step in the key recovering attack is to perform a distinguishing attack on the public code in order to identify the family of the underlying code. Once successful, the attacker can then perform any well-known attack against this family of underlying codes to recover the secret key. When the underlying code is a Goppa code, the main distinguishing attack technique consists of evaluating the square code or the square of the trace code of the corresponding public code [25,62,63]. Note that this technique usually works for a Goppa code with a high rate. Compared to many other code-based encryption schemes, in which the public code is equivalent to an alternant or a Goppa code, in this work the public code is a permuted Goppa subcode. Thus, in addition to the indistinguishability of Goppa codes, the subcode equivalence problem becomes one of our security assumptions. Moreover, to the best of our knowledge, there is no attack reported in the literature on distinguishing a code equivalent to a Goppa subcode. Therefore, by using the subcode equivalence problem as a security assumption, we can keep our scheme out of the purview of the distinguishing attack even though the underlying code is a Goppa code.

Throughout the rest of our analysis, we assume that the attacker knows that the family of the underlying code is a Goppa code. In our case, the key recovery attack is at two different levels: the first one is on the sender side and the second one is on the receiver side.

On the receiver side, the key recovery attack consists of the recovery of the Goppa polynomial  $g_r$  and the support  $\gamma_r = (\alpha_0, \dots, \alpha_{n-1})$  from the public matrix. Therefore, the natural way for this is to perform a brute-force attack: one can determine the sequence  $(\alpha_0, \dots, \alpha_{n-1})$  from  $g_r$  and the set  $\{\alpha_0, \dots, \alpha_{n-1}\}$ , or alternatively determine  $g_r$  from  $(\alpha_0, \dots, \alpha_{n-1})$ . A good choice of parameters can avoid this attack for the irreducible Goppa code the number of choices of  $g_r$  is given by

$$\frac{1}{t} \sum_{d|t} \mu(d) q^{\frac{t}{d}}.$$

By using the parameters of Classic McEliece, we can see that the complexity for performing a brute-force attack to find Goppa polynomial is more than  $2^{800}$  for the parameters proposed in [61].

It is also important to note that if the adversary has the knowledge of the underlying Goppa code  $C_{\text{sk}}$ , performing the key recovery attack implies solving a computational instance of a subcode equivalence problem. Indeed, this corresponds to finding the permutation  $\sigma$  such that  $\sigma(C_{\text{pk}})$  is a subcode of  $C_{\text{sk}}$ . We can see that finding the permutation  $\sigma$  is equivalent to solving the following system:

$$\mathbf{G}_{\text{pk},r} \mathbf{X}_\sigma \mathbf{H}_{\text{sk},r} = \mathbf{0} \quad (2)$$

where  $\mathbf{H}_{\text{sk},r}$  is a parity-check matrix of the underlying Goppa code  $C_{\text{sk},r}$ ,  $\mathbf{G}_{\text{sk},r}$  is the generator matrix of the public code  $C_{\text{pk}}$  and  $\mathbf{X}_\sigma = (x_{i,j})$  is the matrix of the unknown permutation  $\sigma$ . Note that solving (2) is

equivalent to solving a variant of permuted kernel problem [64]. A natural way to solve (2) is to use the brute force attack, and such an attack is of order  $O(n!)$ . However, the adversary could use Georgiades' technique [65], where its complexity is given in our case by

$$O\left(\frac{n!}{\tilde{k}!}\right). \quad (3)$$

Recently, Paiva and Terada introduced in [66] a new technique for solving (2). The workfactor of their attack applied to our scheme is given by:

$$WF_{\text{Attack}_{\text{PaTe}}} = O\left(2^{(n-mt-\tilde{k}n^{-1/5})(\lceil \log(n) \rceil - 1) - 0.91n + \frac{\log n}{2}}\right). \quad (4)$$

From (3) and (4), we can see that a well-chosen set of parameters can avoid the attack of Georgiades as well as that of Paiva and Terada.

In the case of the sender, the key recovery attack consists of first solving the  $(U, U + V)$  distinguishing problem for finite fields of cardinality  $q = 3$ . Therefore, under Assumption 3 and with a well-chosen set of parameters, this attack would fail.

### 5.3 IND-CCA and SUF-CMA security

In code-based cryptography, the main approach to a chosen-ciphertext attack against the McEliece encryption scheme consists of adding two errors to the received word. If the decryption succeeds, it means that the error vector in the resulting word has the same weight as the previous one. In our signcryption tag-KEM scheme, this implies either recovering the session key  $K$  or distinguishing encapsulation of two different session keys from  $(\mathbf{e}, \mathbf{c}, \tau)$ . We see that the recovery of the session key  $K$  corresponds to the recovery of plaintext in a IND-CCA2 secure version of McEliece's cryptosystem (see [21], Subsection 3.2). We now have the following theorem:

**Theorem 2.** *Under Assumptions 1, 3, and 5, the signcryption tag-KEM scheme described in Section 4.1 is IND-CCA2 secure.*

**Proof.** Let  $\mathcal{A}_{\text{CCA2}}$  be a PPT adversary against the signcryption tag-KEM scheme described in Section 4.1 in the signcryption tag-KEMIND-CCA2 game. Let us denote its advantage by  $\varepsilon_{\text{CCA2, SCKEM}}$ . For proving Theorem 2, we need to bound  $\varepsilon_{\text{CCA2, SCKEM}}$ .

**Game 0:** This game is the normal signcryption tag-KEM IND-CCA2 game. Let us denote by  $X_0$  the event that the adversary wins Game 0 and  $\Pr(X_0)$  the probability that it happens. Then we have

$$\Pr(X_0) = \varepsilon_{\text{CCA2, SCKEM}}.$$

**Game 1:** This game corresponds to the simulation of the hash function oracle. Indeed, it is the same as Game 0 except that adversary can have access to the hash function oracle: It looks for some pair  $(\tau^*, \mathbf{y}^*) \in \mathbb{F}_2^\lambda \times \mathbb{F}_2^k$  such that  $\mathbf{eH}_s^T = \mathcal{H}_2(\tau^* \parallel \omega \parallel \mathcal{H}_1(\mathbf{y}^*))$ . Then, it tries to continue by computing  $\mathbf{c}'$ . We can see that it could succeed at least when the following collisions happen:

$$\mathcal{H}_1(\tau^*) = \mathcal{H}_1(\tau) \quad \text{and} \quad \mathcal{H}_1(\tau^* \parallel \omega \parallel \mathcal{H}_2(\mathbf{y}^*)) = \mathcal{H}_2(\tau \parallel \omega \parallel \mathcal{H}_1(\mathbf{y})).$$

Therefore, if  $q_h$  is the number of queries allowed and  $X_1$  the event that  $\mathcal{A}_{\text{CCA2}}$  wins game  $X_1$ , then we have:

$$|\Pr(X_1) - \Pr(X_0)| \leq \frac{q_h}{\binom{n}{t}}.$$

**Game 2:** This game is the same as Game 1 except that the error vector  $\mathbf{e}$  in the encapsulation output is generated randomly. We can see that the best to proceed is to split  $\mathbf{c}$  as  $(\mathbf{c}_0 \parallel \mathbf{c}_1)$  and then try to invert either  $\mathbf{c}_0$  for recovering the error  $\sigma$  or  $\mathbf{c}_1$  for recovering directly the internal state  $\omega_b$ . That means that the adversary is

able either to solve the syndrome decoding problem or to invert a one-time pad function. Therefore, we have:

$$|\Pr(X_1) - \Pr(X_2)| \leq \varepsilon_{SD} + \nu(\ell),$$

where  $\varepsilon_{SD}$  is the advantage of an adversary against the syndrome decoding problem,  $\nu$  is a negligible function, and  $\ell$  is the bit length of the symmetric encryption.

**Game 3:** This game is the same as Game 2. However, the change is in the key generation algorithm. Indeed, a random code is chosen as the underlying code instead of Goppa. We can see that this change is indistinguishable. In fact, distinguishing this change corresponds to solving in part the Goppa code distinguishing problem. Thus, we have

$$|\Pr(X_3) - \Pr(X_2)| \leq \varepsilon_{GCD}(\lambda),$$

where  $\varepsilon_{GCD}(\lambda)$  is the advantage of a PPT adversary in the Goppa code distinguishing problem and  $\lambda$  the security parameter. If there is a PPT adversary  $\mathcal{A}$  capable of distinguishing this change, we can use it to construct an adversary  $\mathcal{A}_{GCD}$  to solve the Goppa code distinguishing problem as follows:

1. Once receiving an instance  $\mathbf{G} \in \mathbb{F}_2^{k \times n}$  of a generator matrix of a code  $C$  in Goppa code distinguishing problem,  $\mathcal{A}_{GCD}$  extracts a generator matrix  $\mathbf{G}'$  of a subcode  $C'$  of  $C$  and forward it to  $\mathcal{A}$ .
2.  $\mathcal{A}$  will reply by 1 if the change has happened, i.e., the underlying code is not a Goppa code. It will reply by 0 otherwise.
3. If  $\mathcal{A}_{GCD}$  receives 1 from  $\mathcal{A}$ , it means that  $C$  is not a Goppa code and  $\mathcal{A}_{GCD}$  outputs 0, otherwise it returns 1, i.e.,  $C$  is a Goppa code.

**Game 4:** This game is the same as Game 3 except that the public key is a random matrix instead of a generator matrix of a permuted subcode. We can see that this change is indistinguishable according to the subcode equivalence assumption. Thus, we have:

$$|\Pr(X_4) - \Pr(X_3)| \leq \varepsilon_{ES}(\lambda),$$

where  $\varepsilon_{ES}(\lambda)$  is the advantage of a PPT adversary in the subcode equivalence problem and  $\lambda$  is the security parameter. Moreover, we can show that if an adversary  $\mathcal{A}_{CCA2}$  wins this game, we can use it to construct an adversary  $\mathcal{A}_{MCE}$  for attacking the underlying McEliece scheme in the public key encryption IND-CCA2 game (called PKE.Game in Appendix A). For more details on the underlying McEliece encryption scheme and its IND-CCA2 security proof, the reader is referred to Appendix C. We now proceed as follows:

- Given the receiver public key  $\text{pk}$ , which corresponds to a receiver public key signcryption tag-KEM,  $\mathcal{A}_{MCE}$  does the following:
  - \* chooses randomly  $(\omega_0, \omega_1) \xleftarrow{\$} \mathbb{F}_2^\ell$
  - \* chooses randomly  $\delta \xleftarrow{\$} \{0, 1\}$
  - \* sends the public key  $\text{pk}$  and  $\omega_\delta$  to  $\mathcal{A}_{CCA2}$
- Given a tag  $\tau$  from  $\mathcal{A}_{CCA2}$ ,  $\mathcal{A}_{MCE}$ :
  - \* sends the pair  $(\mathcal{H}_1(\tau) \parallel \omega_0, \mathcal{H}_1(\tau) \parallel \omega_1)$  to the encryption oracle of PKE.Game
  - \* forwards  $\mathbf{c}$  received from the encryption oracle to  $\mathcal{A}_{CCA2}$
- For every decryption query  $(\mathbf{c}_i, \tau_i)$  from  $\mathcal{A}_{CCA2}$ :
  - \* if  $\mathbf{c}_i = \mathbf{c}$ ,  $\mathcal{A}_{MCE}$  return  $\perp$  to  $\mathcal{A}_{CCA2}$ , otherwise it sends  $\mathbf{c}_i$  to the decryption oracle of PKE.Game.
  - \* Receiving  $\tau'_i \parallel \omega_i$  from the decryption oracle:
    - ▷ if  $\tau'_i \neq \mathcal{H}_1(\tau_i)$ ,  $\mathcal{A}_{MCE}$  returns  $\perp$  to  $\mathcal{A}_{CCA2}$ , otherwise, it returns  $\omega_i$  to  $\mathcal{A}_{CCA2}$ .
- When  $\mathcal{A}_{CCA2}$  outputs  $\tilde{\delta} = \delta$ ,  $\mathcal{A}_{MCE}$  returns 1, otherwise, it returns 0.

Let  $\varepsilon_{PKE}$  be the advantage of  $\mathcal{A}_{MCE}$  in the PKE.Game. Note that the target ciphertext  $\mathbf{c}$  can be uniquely decrypted to  $\mathcal{H}_1(\tau) \parallel \omega_\delta$ . Therefore, any  $(\mathbf{c}, \tau')$  other than  $(\mathbf{c}, \tau)$  cannot be a valid signcryption ciphertext unless collusion of  $\mathcal{H}_1$  takes place, i.e.,  $\mathcal{H}_1(\tau_i) = \mathcal{H}_1(\tau)$ . The correct answer to any decryption query with  $\mathbf{c}_i = \mathbf{c}$  is  $\perp$ . Decryption queries from  $\mathcal{A}_{CCA2}$  are correctly answered since  $\mathbf{c}_i$  is decrypted by the decryption oracle of PKE.Game.

When  $\mathcal{A}_{\text{CCA2}}$  outputs  $\tilde{\delta}$ , it means that  $\omega_\delta$  is embedded in  $\mathbf{c}_i$  otherwise  $\omega_{1-\delta}$  is embedded. It means that the adversary  $\mathcal{A}_{\text{McE}}$  wins game PKE.Game with the same probability as  $\mathcal{A}_{\text{CCA2}}$  wins Game 4 when collision of  $\mathcal{H}_1$  has happened. Let  $\tilde{X}$  be the event collision of  $\mathcal{H}_1$  has happened and  $\tilde{X}_4$  the event  $\mathcal{A}_{\text{McE}}$  wins the PKE.Game. Let us denote by  $\varepsilon_{\text{pke}}$  the probability of the event  $\tilde{X}_4$  and  $\varepsilon_{\text{col}}$  that of  $\tilde{X}$ . Therefore, we have:

$$\Pr(X_4|\tilde{X}) = \Pr(\tilde{X}_4) \Rightarrow \Pr(X_4) \leq \Pr(\tilde{X}_4) + \Pr(\tilde{X}).$$

By putting it all together, we conclude our proof.  $\square$

**Theorem 3.** *Under Assumptions 2 and 4, the signcryption tag-KEM scheme described in Section 4.1 is SUF-CMA secure.*

**Proof.** Let  $\mathcal{F}_{\text{CMA}}$  be an adversary against our signcryption tag-KEM in the SUF-CMA game and  $\varepsilon_{\text{CMA}}$  its advantage. For the forgery of our signcryption, adversary  $\mathcal{F}_{\text{CMA}}$  needs to first find a pair  $(\mathbf{e}, \mathbf{y}) \in \mathcal{W}_{q,n,\omega} \times \mathbb{F}_2^{\tilde{k}}$  such that  $\mathbf{e}\mathbf{H}_{\text{pk},s}^T = \mathcal{H}_2(\tau\|\omega\|\mathbf{y})$ . Then, it will try to find  $\mathbf{r} \in \mathbb{F}_2^k$  such that  $\mathcal{H}_1(\mathbf{r}) = \mathbf{y}$ , i.e., it wins in the target pre-image free game (see Appendix B) against the cryptographic hash function  $\mathcal{H}_1$ . We can see that finding  $(\mathbf{e}, \mathbf{y}) \in \mathcal{W}_{q,n,\omega} \times \mathbb{F}_2^{\tilde{k}}$  such that  $\mathbf{e}\mathbf{H}_{\text{pk},s}^T = \mathcal{H}_2(\tau\|\omega\|\mathbf{y})$  corresponds to the forgery of the underlying Wave signature scheme. Let  $\varepsilon_{\text{Prelm}}$  be the advantage of an adversary in the pre-image free game against a cryptographic hash function. Let  $\mathcal{A}_{\text{Wave,CMA}}$  be an adversary against the Wave signature in the EUF-CMA game and  $\varepsilon_{\text{Wave,EUF}}$  its advantage. Let  $X$  be the event that  $\mathcal{A}_{\text{Wave,CMA}}$  wins. Let  $\tilde{X}$  be the event that the adversary is able to find a pre-image  $\mathbf{x}$  of  $\mathbf{y}$  by  $\mathcal{H}_1$  such that  $\mathbf{x} \in \mathbb{F}_2^k$ . We have:

$$\Pr(\mathcal{F}_{\text{CMA}} \text{ wins}) = \Pr(X \text{ and } \tilde{X}) \leq \Pr(X) + \Pr(\tilde{X}) \leq \varepsilon_{\text{Wave,EUF}} + \frac{\varepsilon_{\text{Prelm}}}{2^k}.$$

Note that due to the fact that  $\mathcal{H}_1$  is a cryptographic hash function,  $\varepsilon_{\text{Prelm}}$  is negligible and that concludes our proof.  $\square$

**Corollary 1.** *The signcryption tag-KEM described in Section 4.1 is secure.*

The aforementioned corollary is a consequence of Theorems 2 and 3. We then have the following.

**Proposition 1.** *Under Assumptions 1, 3, and 5, the hybrid signcryption tag-KEM+DEM scheme described in Section 4.2 is IND-CCA2.*

**Proof.** Proposition 1 is a consequence of Theorem 1. Indeed, under Assumptions 1, 3, and 5, the underlying signcryption tag-KEM is IND-CCA2 secure (Theorem 2). In addition, the symmetric encryption scheme used is OT-secure. Therefore, a direct application of Theorem 1 allows us to achieve the proof.  $\square$

**Proposition 2.** *Under Assumptions 2 and 4, the hybrid signcryption tag-KEM+DEM scheme described in Section 4.2 is SUF-CMA secure.*

**Proof.** Under Assumptions 2 and 4, the underlying signcryption tag-KEM is SUF-CMA secure and, therefore, according to the Theorem 1, the proposed hybrid signcryption tag-KEM+DEM is SUF-CMA secure.  $\square$

## 6 Parameter values

For our scheme, we choose parameters such that  $\lambda_0 = \lambda + 2\log_2(q_{\text{sign}})$  and  $\lambda_{\text{McE}}$  of the underlying Wave signature and McEliece's encryption, respectively, satisfy  $\max(\lambda_0, \lambda_{\text{McE}}) \leq \left\lfloor \binom{n_r}{t} \right\rfloor$ . According to the sender and receiver keys, the size of our ciphertext is given by



**Table 1:** Parameter values of the proposed scheme

Parameter	$n_s$	$k_U$	$k_V$	$\omega$	$m$	$t$	$n_r$	$\tilde{k}$	$\ell$
Value	8,492	3,558	2,047	7,980	12	64	3,488	1,815	512

**Table 2:** Key sizes of the proposed scheme

User	Public key	Secret key
Receiver's key size	$\tilde{k}n_r$	$m(2n_r + t - \tilde{k}t) + \tilde{k}n_r$
Sender's key size	$r(n_s - r) \log_2(q)$	$(n_s(n_s + r) + r^2) \log_2(q)$

**Table 3:** Size comparison (in bits) of the proposed scheme with the lattice-based schemes of [9, 67,68]

Construction	Receiver's key size		Sender's key size		Ciph. size
	Pub. key	Sec. key	Pub. key	Sec. key	
SC <sub>TK</sub> [9,67]	$8.5 \times 10^7$	$4.2 \times 10^8$	$8.4 \times 10^7$	$4.2 \times 10^8$	$5.5 \times 10^5$
SC <sub>KEM</sub> [9,67]	$5.7 \times 10^7$	$4.2 \times 10^8$	$8.5 \times 10^7$	$4.2 \times 10^8$	$5.2 \times 10^5$
SC <sub>CHK</sub> [9,68]	$2.8 \times 10^7$	$4.2 \times 10^8$	$2.8 \times 10^7$	$4.2 \times 10^8$	$4.5 \times 10^6$
Sato and Shikata [9]	$2.8 \times 10^7$	$4.2 \times 10^8$	$2.8 \times 10^7$	$4.2 \times 10^8$	$4.0 \times 10^5$
Our scheme	$6.3 \times 10^6$	$5.0 \times 10^6$	$2.6 \times 10^7$	$1.7 \times 10^8$	$2.1 \times 10^4$

$$|E| = |\mathbf{e}| + |\mathbf{c}| + |C| = 2n_s + n_r + \tilde{k} + 2\ell.$$

Table 1 gives suggested values of the parameters of our scheme. These values have been derived using those of Wave [42] and Classic McEliece [61] for NIST PQC Level 1 security. According to the values given in Table 1, the ciphertext size in bits of our scheme is in the order of  $|E| = 2.9 \times 10^4$ .

Table 2 provides key sizes of our scheme in terms of relevant parameters. Then, in Table 3, we give a numerical comparison of key and ciphertext sizes of our scheme with some existing lattice-based hybrid signcryption schemes. The rationale behind comparing our scheme against lattice-based schemes is that no code-based hybrid signcryption scheme exists in the literature and the underlying hard problems in both codes- and lattice-based schemes are considered quantum safe. For the lattice-based schemes in our comparison, the parameters, including plaintext size of 512 bits, are from [9, Table 2]. We can see that for postquantum security level 1, the proposed scheme has the smallest key and ciphertext sizes.

## 7 Conclusion

In this article, we have proposed a new signcryption tag-KEM based on the coding theory. The security of our scheme relies on known hard problems in coding theory. We have used the proposed signcryption scheme to design a new code-based hybrid signcryption tag-KEM+DEM. We have proven that the proposed schemes are IND-CCA2 and SUF-CMA secure against any PPT adversary. The proposed scheme has a smaller ciphertext size compared to the pertinent lattice-based schemes.

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## Appendix

### A PKE.Game

Here, we recall the IND-CCA2 game for PKE called PKE.Game in our scheme. The decryption oracle is denoted by  $\mathcal{O}$  (Figure A1).

In Step 4, the adversary  $\mathcal{A}_{\text{McE}}$  is restricted not to make request to  $\mathcal{O}$  on the ciphertext  $\mathbf{c}$ . Clear texts  $\mathbf{m}_0$  and  $\mathbf{m}_1$  must have the same length.  $\mathcal{A}_{\text{McE}}$  wins when  $\tilde{b} = b$ , and its advantage corresponds to the probability that it wins this game, which is denoted by  $\varepsilon_{\text{pke}}$ .

### B Target preimage-free

Target preimage-free function is a special case of universal one-way function. An adversary is given  $(\mathcal{H}, \mathbf{y})$  (chosen at random in their domain) and then attempts to find  $\mathbf{x}$  such that  $\mathcal{H}(\mathbf{x}) = \mathbf{y}$ . Let  $\chi_\lambda = \{X\}$  be a collection of domains and  $\chi = \{\chi_\lambda\}_{\lambda \in \mathbb{N}}$ . Let  $\tilde{\mathcal{H}}_\lambda = \{\mathcal{H} : X \rightarrow \{0, 1\}^\lambda : X \in \chi_\lambda\}$  and  $\tilde{\mathcal{H}} = \{\tilde{\mathcal{H}}_\lambda\}_{\lambda \in \mathbb{N}}$ . Note that  $X$  is identified by the description of  $\mathcal{H}$ . Let  $\mathcal{A}_{\text{Prelim}}$  be an adversary playing the following game (Figure A2).

$\mathcal{A}_{\text{Prelim}}$  wins the game when  $\mathcal{H}(\mathbf{x}) = \mathbf{y}$  and the advantage of  $\mathcal{A}_{\text{Prelim}}$  is the probability that it wins Preimage.Game for a given  $\mathcal{H} \rightarrow \tilde{\mathcal{H}}_\lambda$  and  $\mathbf{y} \in \{0, 1\}^\lambda$ . We say that  $\tilde{\mathcal{H}}$  is Target Preimage free with regard to  $\chi$  when the advantage  $\varepsilon_{\text{Prelim}}$  of  $\mathcal{A}_{\text{Prelim}}$  is negligible.

### C Security of the McEliece encryption with Fujisaki–Okamoto transformation

For the IND-CCA security of McEliece's scheme described in Figure 1, we need the following definition:

**Definition 2.** ( $\gamma$ -uniformity [21]) A public key encryption scheme  $\Pi$  is called  $\gamma$ -uniform and  $\mathcal{R}$  be the set where the randomness to be used in the (probabilistic) encryption is chosen. For a given key-pair  $(\text{pk}, \text{sk})$ ,  $\mathbf{x}$  be a plaintext and a string  $\mathbf{y}$ , we define

$$\gamma(\mathbf{y}) = \Pr[\mathbf{r} \xleftarrow{\$} \mathcal{R} : \mathbf{y} = \mathcal{E}_{\text{pk}}(\mathbf{x}, \mathbf{r})],$$

where the notation  $\mathcal{E}_{\text{pk}}(\mathbf{x}, \mathbf{r})$  makes the role of the randomness  $\mathbf{r}$  explicit. We say that  $\Pi$  is  $\gamma$ -uniform if, for any key-pair  $(\text{pk}, \text{sk})$ , any plaintext  $\mathbf{x}$  and any ciphertext  $\mathbf{y}$ ,  $\gamma(\mathbf{x}, \mathbf{y}) \leq \gamma$  for a certain  $\gamma \in \mathbb{R}$ .

We now can state the following lemma.

**Lemma 1.** *The McEliece scheme with the Fujisaki–Okamoto transformation described in Figure 1 is  $\gamma$ -uniform with*

#### PKE. Game

1. Step 1:  $(\text{pk}, \text{sk}) \leftarrow \text{KeyGen}(1^\lambda)$
2. Step 2:  $(\mathbf{m}_0, \mathbf{m}_1, \rho) \leftarrow \mathcal{A}_{\text{McE}}^\mathcal{O}(\text{pk})$
3. Step 3:  $b \xleftarrow{\$} \{0, 1\}$  and  $\mathbf{c} \leftarrow \text{PKE.Encrypt}_{\text{pk}}(\mathbf{m}_b)$  where  $\text{PKE.Encrypt}_{\text{pk}}$  (resp.  $\text{PKE.Decrypt}_{\text{sk}}$ ) is the encryption (resp. decryption) algorithm in the PKE scheme.
4. Step 4:  $\tilde{b} \leftarrow \mathcal{A}_{\text{McE}}^\mathcal{O}$

Figure A1: PKE.Game.

Preimage. Game
1. Step 1: $\mathcal{H} \rightarrow \tilde{\mathcal{H}}_\lambda$
2. Step 2: $\mathbf{y} \rightarrow \{0, 1\}^\lambda$
3. Step 3: $\mathbf{x} \rightarrow \mathcal{A}_{\text{PreIm}}(\mathcal{H}, \mathbf{y})$ such that $\mathbf{x} \in X$ .

**Figure A2:** Preimage game.

$$y = \frac{1}{2^{\tilde{k} \binom{n}{t}}}$$

**Proof.** For any vector  $\mathbf{y} \in \mathbb{F}_2^{n_r}$ , either  $\mathbf{y}$  is a word at distance  $t$  from the code  $C$  of generator matrix  $\mathbf{G}_{\text{pk},r}$ , or it isn't. When  $\mathbf{y}$  is not a distance  $t$  of  $C$ , the probability for it to be a valid ciphertext is equal to 0. Else there is only one choice for  $\mathbf{r}$  and  $\mathbf{e}$  such that  $\mathbf{y} = \mathbf{r}\mathbf{G}_{\text{pk},r} \oplus \mathbf{e}$ , i.e.,

$$\Pr(d(\mathbf{y}, C)) = t = \frac{1}{2^{\tilde{k} \binom{n_r}{t}}}$$

**Theorem 4.** *Under Assumptions 1, 3, and 5, the McEliece scheme based on a subcode of Goppa code with the Fujisaki–Okamoto transformation described in Figure 1 is IND-CCA2 secure.*

**Proof.** In Figure 1, the symmetric encryption used is the XOR function which is a one-time pad. Under Assumptions 1 and 3, the old McEliece encryption scheme is one-way secure. Therefore, according to Theorem 12 of [58], the McEliece scheme with the Fujisaki–Okamoto transformation is IND-CCA2 secure.  $\square$