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# A Comprehensive Review of Power Flow Controllers in Interconnected Power System Networks

IMDADULLAH<sup>1</sup>, (Member, IEEE), SYED MUHAMMAD AMRR<sup>2</sup>, (Student Member, IEEE),  
M. S. JAMIL ASGHAR<sup>1</sup>, (Member, IEEE), IMTIAZ ASHRAF<sup>1</sup>, (Member, IEEE),  
AND MOHAMMAD MERAJ<sup>3</sup>, (Student Member, IEEE)

<sup>1</sup>Department of Electrical Engineering, Zakir Husain College of Engineering and Technology, Aligarh Muslim University, Aligarh 202002, India

<sup>2</sup>Department of Electrical Engineering, Indian Institute of Technology Delhi, New Delhi 110016, India

<sup>3</sup>Department of Electrical Engineering, Qatar University, Doha, Qatar

Corresponding authors: Imdadullah (imdadamu@gmail.com) and Mohammad Meraj (meraj@qu.edu.qa)

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**ABSTRACT** Energy security is one of the most crucial factor in the development of any nation. Interconnections among different power system networks are made to lower the overall price of power generation as well as enhance the reliability and the security of electric power supply. Different types of interconnection technologies are employed, such as AC interconnections, DC interconnections, synchronous interconnections, and asynchronous interconnections. It is necessary to control the power flow between the interconnected electric power networks. The power flow controllers are used to (i) enhance the operational flexibility and controllability of the electric power system networks, (ii) improve the system stability and (iii) accomplish better utilization of existing power transmission systems. These controllers can be built using power electronic devices, electromechanical devices or the hybrid of these devices. In this paper, control techniques for power system networks are discussed. It includes both centralized and decentralized control techniques for power system networks. This paper also presents a comprehensive review of HVDC interconnections, asynchronous AC interconnections, synchronous AC interconnections and different types of power flow controllers used in these interconnections. Moreover, some important and multivariable flexible AC transmission system (FACTS) devices such as UPFC and IPFC are also discussed with their merits and limitations. Finally, a new asynchronous AC link called flexible asynchronous AC link (FASAL) system is also described in detail. At last, a summary of the comparative analysis of power system link and power flow controllers is given based on recent publications. More than 400 research articles and papers on the topic of power transfer control are covered in this review and appended for a quick reference.

**INDEX TERMS** Power system interconnections, asynchronous link, synchronous link, HVDC link, LCC-HVDC system, VSC-HVDC system, PST, PAR, Sen transformers, controllable network transformer, FACTS controllers, UPFC, IPFC, virtual synchronous machines (VSM), matrix converters, variable frequency transformer (VFT), flexible asynchronous AC link (FASAL).

## LIST OF ABBREVIATIONS

AC	Alternating current	CMC	Conventional matrix converter
AEP	American electric power	CNT	Controllable network transformer
C-BBC	Current DC link back-to-back converter	D	Duty cycle
CEPCO	Chubu electric power company	DC	Direct current
CF	Commutation failure	DFIM	Doubly fed induction machine
		DG	Distributed generator
		DMC	Direct matrix converter
		DSP	Digital signal processor
		DVQS	Dual virtual quadrature sources
		EHV	Extra high voltage

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EHVAC	Extra high voltage AC	UPFC	Unified power flow controller
eVSM	Enhanced virtual synchronous machine	USMC	Ultra sparse matrix converter
F3EC	Fundamental frequency front end converter	VAR	Volt-ampere reactive
FACTS	Flexible AC transmission system	V-BBC	Voltage DC link back-to-back converter
FASAL	Flexible asynchronous AC link	VFT	Variable frequency transformer
FC-TCR	Fixed capacitor thyristor controlled reactor	VR	Voltage regulator
FRT	Fault ride through	VR-VSI	VIENNA Rectifier with Voltage Source Inverter
GTO	Gate turn-off thyristors	VSC	Voltage source converter
HAF	Hybrid active filter	VSG	Virtual synchronous generator
HIMC	Hybrid Indirect matrix converter	VSM	Virtual synchronous machine
HVDC	High voltage direct current	VSMC	Very sparse matrix converters
IHUPFC	Improved hybrid unified power flow controller	VTR	Voltage transfer ratio
IGBT	Insulated gate bipolar transistors	WRIM	Wound rotor induction motor
IMC	Indirect matrix converter	ZSC	Z-Source converter
INELFE	INterconexion ELectrica Francia-Espana		
IPFC	Inline power flow controller		
LCC	Line commutated converter		
LTC	Load tap-changers		
MC	Matrix converter		
MTDC	Multi-terminal HVDC		
OLTC	On-load tap-changers		
P	Active power		
PAR	Phase angle regulator		
PF	Power factor		
PFC	Power flow controller		
PID	Proportional integral derivative		
PLL	Phase locked loop		
PMU	Phasor measurement unit		
PSN	Power system network		
PST	Phase shifting transformer		
PWM	Pulse width modulation		
Q	Reactive power		
QB	Quadrature booster		
RB	Reverse-blocking		
RES	Renewable energy resources		
RPFC	Rotary power flow controller		
RPST	Rotary phase shifting transformer		
SC	Synchronous condenser		
SJ	Superjunction		
SM	Synchronous machine		
SMC	Sparse matrix converter		
SOC	State of charge		
SPWM	Sinusoidal pulse width modulation		
SSR	Sub-synchronous resonance		
SSSC	Static synchronous series compensator		
ST	Sen transformer		
STATCOM	Static synchronous compensator		
SVG	Static VAR generators		
TCR	Thyristor controlled reactor		
TCSC	Thyristor controlled series capacitor		
THD	Total harmonic distortion		
TSC	Thyristor switched capacitor		
T-SC	T-Source converter		
TSSC	Thyristor switched series capacitor		
UHV	Ultra high voltage		

## I. INTRODUCTION

The electric power system around the globe has been evolved as an isolated system. They are built to transmit electrical power from a centralized power generating station to a vast area distributed load. The power flow was unidirectional from a centralized generating station to a wide area distributed loads [1]. Today, the interconnections between adjacent electric power system make a power network which permits electric utilities to operate reliably and economically [2]. Interconnections allow to acquire the benefit of a diversified generation mix, fuel prices and exploit the diverse loads which exist between neighbouring power system networks. Therefore, the electricity demand can now be fulfilled at a lower price and can also ensure the security and the reliability of the power system network [3]. Moreover, the interconnection of electric power networks reduces the generating reserve capacity needs in each system. This reduces the investments in generating capacity or at least delays the requirement of adding new capacity [4]. Further, the liberalization of the electric power market also assists in interconnecting electric power networks. It permits the commercialization of power among different regions and countries [5].

The rise in electricity demand is due to the continuous and rapid growth of economic development. The increase in load demand, rising level of renewable energy penetration, and restricted transmission infrastructure investments are some of the reasons for the requirement of a smart controllable grid. In order to ensure reliable and cost-effective power supply, interconnections are made between neighbouring electric networks through the tie-lines. It provides an alternative path of power flow in case of contingencies. As a result, most of the power system networks usually shifts from a radial to a meshed network [6]. One of the main issues encountered by the utilities is poor controllability of tie-lines between the electric power system networks. At present, utilities have limited control over the power flow via these tie-lines. The tie-lines have a susceptibility of getting overloaded and tripped under the influence of disturbances and contingencies. This leads to the change in the direction of power flow, i.e., the flow of power becomes opposite to the network support requirement [7].

The controllability of power flow through the transmission lines is being increased without compromising its reliability. This is achieved by increasing the utilization of the system using power flow controllers. Different types of power flow controllers have already been suggested by the researchers [7]–[10]. The power flow can be boost with the help of power flow controlling devices in a meshed network. This is done by increasing the usage of parallel lightly loaded lines. Moreover, it prevents the overloading of highly loaded lines [11], [12].

Power flow in a transmission line is inversely proportional to its line reactance. The power flow control has been practiced using a variable inductive and variable capacitive reactance in series with the line [13]. The nature of the transmission line is considered to be inductive. The power flow decreases by increasing the effective line reactance by the use of a series-connected inductor between sending and receiving ends. Similarly, the power flow increases by reducing the overall line reactances through a series-connected capacitor between its two ends [14].

The traditional power flow controllers include the voltage regulator (VR), the phase angle regulator (PAR), and the phase-shifting transformers (PST). It uses a transformer and mechanical load tap-changers (LTCs). However, the time response of this system is slow.

Recently, extensive attention is paid by the utility engineers and researchers on interconnection technologies and power flow controllers due to the formation of micro-grids, integration of renewables to the utility grids, the interconnection of regional grids [15]–[17]. There is a need for detailed review of interconnection technologies and power flow controllers. Hence, in this paper, a detailed and comprehensive review on the topic is presented.

The power system networks (grids) interconnections can be broadly categorized as AC link and DC link (HVDC link). The AC interconnection is preferred because of its dominant mode of generation, transmission, distribution, and utilization. These interconnections may be synchronous or asynchronous depending upon the situation and operating parameters of the grids. The methods of asynchronous ac link and power flow control mechanisms are described in detail with their merits and demerits. The power flow controllers used in synchronous ac link are also discussed in detail. More than 400 published articles are reviewed and classified into two major categories, such as DC interconnections and AC interconnections. These are further classified into several subcategories as shown in Fig. 1.

The remaining paper is arranged in the following manner. In Section II, control techniques for power system networks are discussed which include both centralized and decentralized control techniques. In Section III, asynchronous HVDC interconnection based on line-commutated converter (LCC) and voltage source converters (VSC) topologies has been described. Some of the existing worldwide projects based on the aforementioned topologies are also presented. In Section IV, a brief overview of AC interconnection

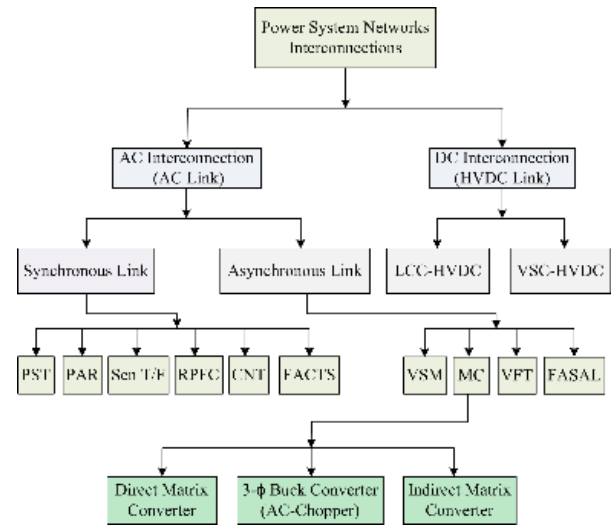


FIGURE 1. Classification of power system network interconnections and power flow controllers.

and its classification based on operating parameters has been discussed. Further, various types of power flow controllers used in synchronous interconnections are presented in Section V. Moreover, the FACTS devices which include UPFC and IPFC are discussed in detail with their merits and limitations in Section VI. Then, various methods for AC asynchronous interconnection such as virtual synchronous machines (VSM), matrix converter (MC), variable frequency transformer (VFT) and flexible asynchronous AC link (FASAL) are explored in Section VII. A summary of the comparative analysis of power system link and power flow controllers is presented in Section VIII. Finally, a brief conclusion is made in Section IX.

## II. CONTROL TECHNIQUES FOR POWER SYSTEM NETWORK

Traditionally, the massive scale of the power system networks was efficiently controlled by the open-loop scheme. Here, simple control techniques with relatively slower responses satisfy the supply and demand requirement of the power system network in real-time. However, the advancement of different sources of power generation (e.g., renewable energy, small scale distributed generator, etc.) and its integration to the existing power system network has brought challenges for the controller. The reason for the same is because the renewable source of energy is unreliable and often leads to unpredicted fluctuation in the supply side of the power system network. Therefore, there is a consistent requirement of fast and efficient control action [18]. The control in the power system network can be broadly classified into two categories: Centralized and decentralized (non-centralized).

### A. CENTRALIZED CONTROL

The centralized control system consists of a single controller for the entire power system network. The objective of this controller is to carry out the following set of operations

within a time sample: evaluate all the output variables of the power system, compute the control command using an appropriate control algorithm, and implement this computed control through all the actuators of the power system network. The power system network is a vast system, both in the sense of graphically and computationally. It is practically a tough job to implement any advanced control scheme for such an extensive network with a single control command [19]. This is one of the reasons why the researchers have shown the least interest in the control design of the centralized system.

### B. DECENTRALIZED CONTROL TECHNIQUES

The decentralized control system comprises of a multivariable set of systems which works for the accomplishment of a global objective task by cooperating with many controllers of the entire system. Every individual controller computes a subset of input command separately under no or limited communication with the other controllers. The advantage of decentralized control system over centralized control system are: (i) The decentralized control does not require a high processing computational unit for the execution of global complex control schemes for the whole power system network dynamics. Instead, multiple straightforward control commands are evaluated using several basic units, which are also cost-efficient. (ii) The measurements of the output variable and the control commands do not have to be transmitted to a single processing unit. The global control task can be achieved with a minimal exchange of information between the spatially dispersed units of the system [20].

A constructive review of the recent advancement of voltage control techniques for the distributed and decentralized power networks is presented in [21]. This paper demonstrates different control models and methodologies which have been implemented for the decentralized system. Further, the authors have also addressed future research and its use in industrial applications. In a brief, some of the control techniques which have been implemented in the decentralized power system are: model predictive control [18]–[20], neural networks based load frequency control [22], PID based automatic generation control [23]. Optimization-based control schemes have also been employed in the designing of decentralized controller, e.g., non-linear programming (NLP) [24], genetic algorithm (GA) [25], particle swarm optimization (PSO) algorithm [26], and references therein.

### III. HVDC INTERCONNECTION (LINK)

HVDC link is used for asynchronous interconnections of two power system networks (grids). The parameters (voltage and frequency) of both the grids may be the same or different. It controls the real power flow between these grids, which requires reactive power support. The HVDC link is also used to integrate wind energy system to the utility grids [27], [28]. A basic simplified HVDC link is shown in Fig. 2 [29]. In general, an HVDC system consists of a DC line and converter stations at both the sending and receiving ends. The converter station mainly comprises of converter transformers,

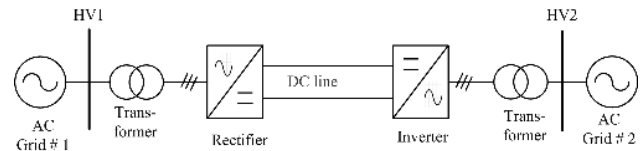


FIGURE 2. A basic simplified HVDC transmission link.

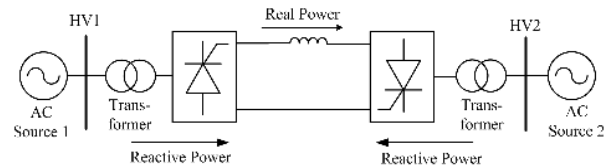


FIGURE 3. Simplified diagram of LCC-HVDC system.

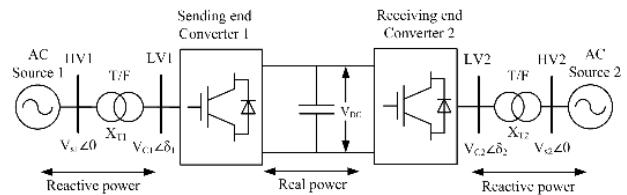


FIGURE 4. Schematics of VSC-HVDC system.

converter valves, AC filters, DC filters, smoothing reactors, overvoltage protection schemes, controls systems, and other devices [30]–[34]. The two main converter topologies are being employed in the present HVDC transmission systems which are as follows.

#### A. HVDC BASED ON LINE-COMMUTATED CONVERTER SYSTEM

The conventional HVDC system is the line-commutated converter based HVDC (LCC-HVDC) or current source converter based HVDC (CSC-HVDC) which uses thyristors as shown in Fig. 3. This HVDC topology is well established for long-distance and bulk power transmission systems. For example high power, long distance projects are: (i) Itaipu (in Brazil) HVDC transmission system having 6300 MW, voltage  $\pm 600$  kV, approximately 800 km, uses two bipolar dc lines [35], (ii) Xiangjiaba hydropower plant to Shanghai HVDC system having 6400 MW,  $\pm 800$  kV, 2000 km, uses one single bipolar DC line [36], (iii) Southern Hami-Zhengzhou UHVDC Transmission Project, 8000 MW,  $\pm 800$  kV, 2210 km, (iv) Zhundong-Sichuan UHVDC transmission project, 10000 MW,  $\pm 1100$  kV, 2600 km and (v) Agra - Bishwanath Chariali HVDC Line, 6000 MW,  $\pm 800$  kV, 1728 km [37].

#### B. HVDC BASED ON VOLTAGE SOURCE CONVERTERS SYSTEM

The recent HVDC system is the voltage source converters based HVDC (VSC-HVDC) that uses GTOs or IGBTs as shown in Fig. 4 [38]. It is generally used for medium power and short distance power transmission. The few recent VSC-HVDC projects are: (i) Trans Bay Cable Project (USA),

$\pm 200$  kV, 400 MW, 85 km [39], (ii) East West Interconnector (EWIC) Project (UK),  $\pm 200$  kV, 500 MW, 261 km [40], (iii) INELFE (France),  $\pm 320$  kV, 1000 MW, 65 km [41] and (iv) Skagerrak 4 (Norway),  $\pm 500$  kV, 700 MW, 240 km [42].

The real power and the reactive power transmission between the AC bus and the converter depend on the magnitude of the voltages at both sides of the transformer, the phase angle  $\delta_1$  between these voltages and the reactance of the transformer  $X_{T1}$ . The real and the reactive power transmission between the AC bus and the converter AC terminals can be expressed with reference to Fig. 4 as presented in [43].

The real and the reactive power at the sending end is expressed as

$$P_S = \frac{V_{S1} V_{C1}}{X_{T1}} \sin \delta_1 \quad (1)$$

$$Q_S = \frac{V_{S1}^2}{X_{T1}} - \frac{V_{S1} V_{C1}}{X_{T1}} \cos \delta_1 \quad (2)$$

Similarly, the real and the reactive power at the receiving end is obtained as

$$P_R = \frac{V_{S2} V_{C2}}{X_{T2}} \sin \delta_2 \quad (3)$$

$$Q_R = -\frac{V_{S2}^2}{X_{T2}} + \frac{V_{S2} V_{C2}}{X_{T2}} \cos \delta_2 \quad (4)$$

The power transmission is regulated using sinusoidal pulse width modulation (SPWM) approach by controlling the phase, magnitude, and the fundamental frequency component of the converter's output AC voltages  $V_{C1}$  and  $V_{C2}$ .

However, requirements of filter banks for harmonics filtering, coordinated control, and compensation of reactive power leads the HVDC system to become more complicated. Whenever, the low rating AC power network is connected on either side of the HVDC link, it reduces the performance [44], [45]. Moreover, installation of the HVDC system requires large space and high initial cost for the placement of HV switches and filter banks [46].

Moreover, the LCC-HVDC cannot feed the power to the passive networks without the generation of local power [47], [48]. Furthermore, LCC-HVDC system faces the problem of commutation failure even when there is a 10%-14% dip in the voltage level of inverter AC bus [49]–[51]. The issues of commutation failure (CF) in LCC-HVDC system can be minimized by the application of synchronous condenser (SC), static synchronous compensators (STATCOM) or VSC-based HVDC [52]–[54]. However, these solutions have higher capital costs required for additional apparatus to CF mitigation [50]. The VSC-HVDC system can feed power to the passive networks without local power generation [55], [56]. However, a VSC-based HVDC transmission has the demerit of high capital cost and significant power loss than a LCC-HVDC system [47].

## IV. AC INTERCONNECTIONS

The AC power generation is the traditional and dominant mode of power generation, transmission, distribution, and utilization of electrical energy. Therefore, an AC link is the most widely accepted technique of interconnections of two AC power system networks. There are two ways of interconnection of the AC power system networks using AC links which are discussed below.

### A. SYNCHRONOUS AC INTERCONNECTIONS

The conventional method of interconnection is the synchronous interconnections. In this method, the two synchronous power networks (having the same frequency and same voltage level) are connected with an AC link line, which is called the tie line. The power flow control through these link lines and between the interconnected power system networks is achieved by the use of power flow control devices. These devices (controllers) are discussed in the next section.

### B. ASYNCHRONOUS AC INTERCONNECTIONS

The majority of the power transmission system is using extra high voltage alternating current (EHVAC), and it is very successful. Therefore, the interconnection of systems with different operating characteristics (voltages and frequencies) AC asynchronous interconnection is preferred. In the next section, various AC asynchronous interconnections technologies have been described in detail.

## V. POWER FLOW CONTROLLERS IN SYNCHRONOUS AC INTERCONNECTIONS

### A. PHASE-SHIFTING TRANSFORMERS

The voltage and phase angle regulating transformers are used to control the real power flow in parallel transmission lines and interconnected electric power system networks. These phase and voltage regulating transformers are also called phase-shifting transformer (PST), phase angle regulator (PAR), phase shifter and quadrature booster (QB) [57]–[60]. The voltage and phase angle regulation is realized by adding an in-phase or a quadrature component to the bus voltage. Therefore, synchronous in-phase voltage source having controllable magnitude  $\pm \Delta V$  in series with the AC bus voltages cause the voltage regulation. The required voltage regulation is obtained by a three-phase, auto-transformer usually called a regulating or excitation transformer as shown in Fig. 5(a) [61]. It can be seen that the injected voltages  $\pm \Delta V_a$ ,  $\pm \Delta V_b$ , and  $\pm \Delta V_c$  are in phase with the line to neutral voltages  $V_a$ ,  $V_b$  and  $V_c$ , respectively (Fig. 5(b)).

Fig. 6 describes the PST as a series reactance along with a phase angle shift. The regulation of power through the transmission line is controlled by regulating the angle  $\alpha$ , which in turn changes the existing angle  $\delta$ . The power transferring capability of PST is within specified limits. The active power transfer over a transmission line is expressed as [62]:

$$P = \frac{V_S V_R}{X_L + X_{PST}} \sin(\delta + \alpha) \quad (5)$$

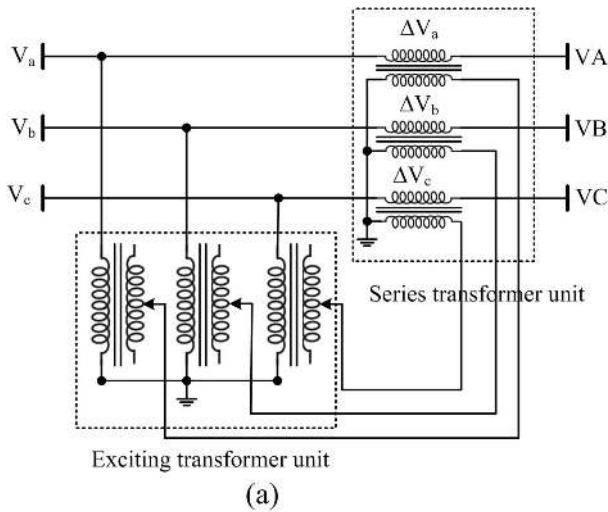


FIGURE 5. Voltage regulator. (a) simplified circuit diagram. (b) phasor diagram.

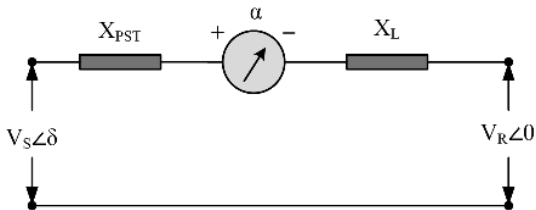


FIGURE 6. Transmission line model with a PST.

where  $X_L$  and  $X_{PST}$  are the line reactance and the PST leakage reactance, respectively.

The PSTs have different winding configurations based on the rated voltage, power output, and the amount of phase shift. The main available configurations are direct, indirect, symmetrical, and asymmetrical. The direct-asymmetrical PST configuration is shown in Fig. 7(a). It consists of a delta-connected exciting unit and regulating windings are wound on the same phase core limb. Each regulating winding comprises of a tap changer ( $T_A$ ,  $T_B$  and  $T_C$ ) and a selector switch [63]. In this configuration, quadrature voltage with variable magnitude,  $\Delta V_{PST}$  is added to the input voltage, and a phase shift ( $\alpha$ ) appears between the input and the output terminal voltages as shown in Fig. 7(b). The change in the direction of the phase shift is controlled by utilizing switches. Hence, the magnitude of power flow in the line is regulated.

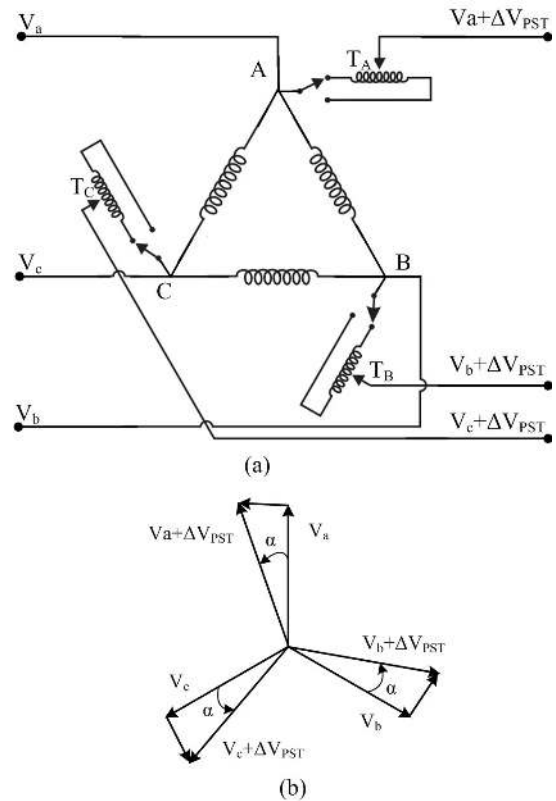


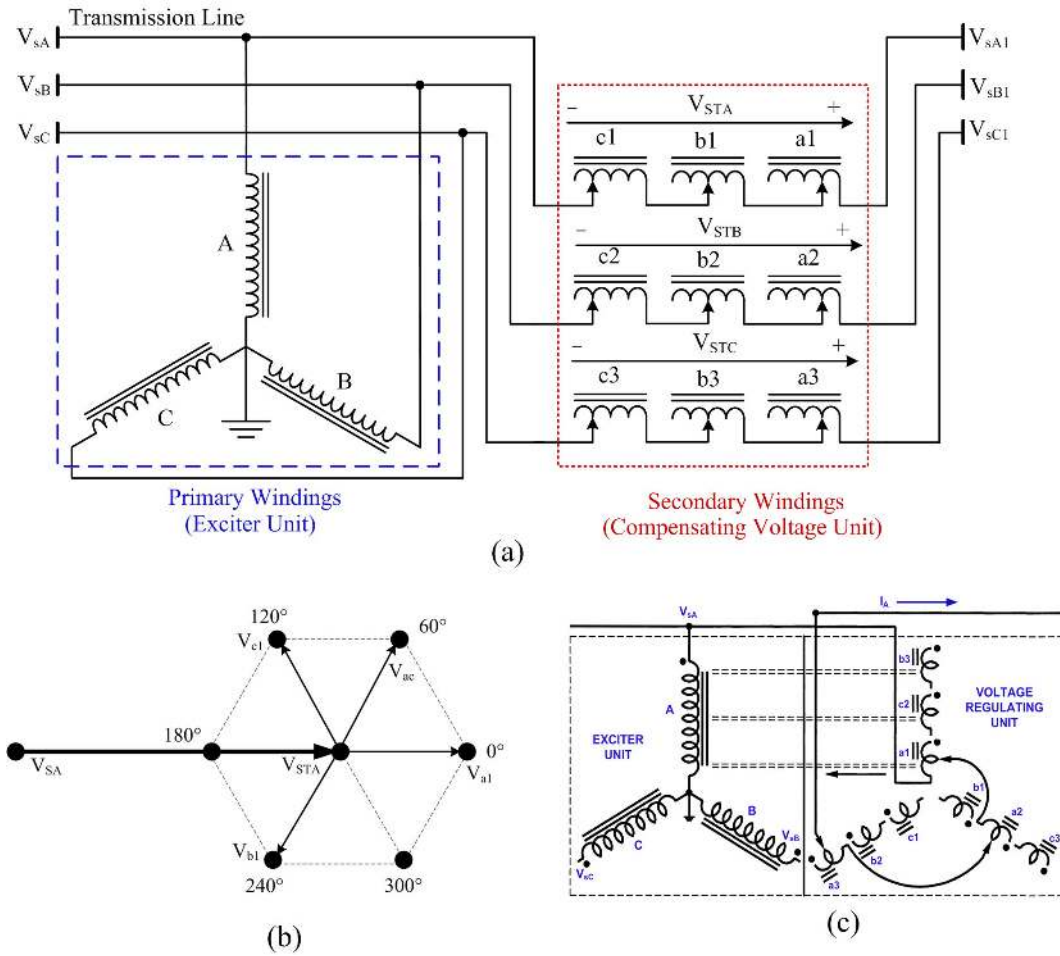
FIGURE 7. Direct-asymmetrical PST. (a) simplified circuit diagram. (b) phasor diagram

The PSTs can provide discrete phase shift, continuously variable phase shift, or a combination of the both. It may also be designed to control magnitude as well as phase angle. Discrete phase shifters normally provide settings for a plus-or-minus fixed value  $9^\circ$  and zero [57], [64].

Present days, high dissemination of distributed generation (DG) in the existing power system network leads to recurrent voltage fluctuations and overvoltages [65], [66]. An on-load tap-changers (OLTC) is used for the variable phase shift. Number of tapings are provided in OLTC to achieve any desired phase angle [67], [68]. On-load tap changing transformers allow voltages to be maintained at desired levels despite load changes. There are three types of OLTC available such as mechanical tap changers, power electronic assisted tap changers, and power electronic tap changers [69], [70].

Traditional OLTC voltage regulators are developed using mechanical tap switches, and it can perform under load conditions. However, due to the arching phenomenon in the tap changing, the mechanical switches experience regular wear and tear. Furthermore, in cases of frequent voltage variation due to DG penetration in the distribution system, the wear and tear are more severe [71], [72].

The application of power electronic devices has reduced the shortcomings of the mechanical switches. The power electronic devices in conjunction with PST and PAR uses semiconductor devices for the tap changing operations and



**FIGURE 8.** Sen Transformer. (a) Basic transformer configuration. (b) Output voltage phasor  $V_{STA}$ . (c) arrangements of primary and secondary windings.

hence avoids the arching phenomenon while tap changing process. [73]–[76]. The semiconductor-mechanical hybrid switch tap-changers called power-electronic-assisted tap changers, uses mechanical switches during the steady state whereas the semiconductor switches are used for the tap changing. It reduces losses and offers an arc-free tap-changing process [77], [78].

However, power electronics assisted tap changers also have limitations, such as the issues of harmonics, the vulnerability of rapid bypass for grid disturbances, higher steady-state losses, and small overload capacity [79].

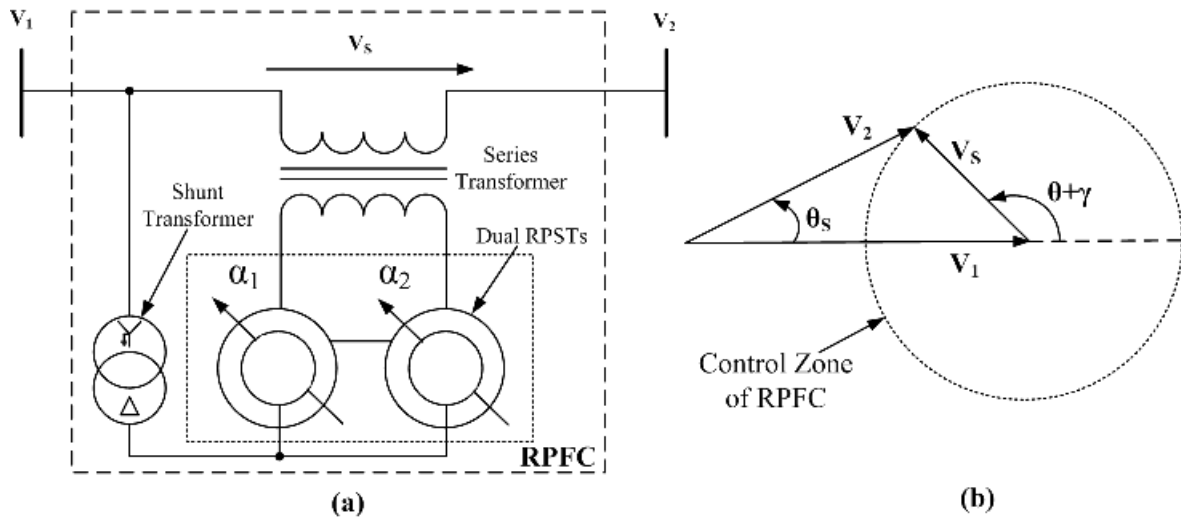
**B. SEN TRANSFORMER**

The sen transformer (ST) is a combination of the phase angle regulators and load tap-changers. Figure 8 (a) shows the ST configuration which is connected to the sending end of the transmission line [80], [81]. The ST is a single core 3-phase transformer having star-connected primary winding and 9 secondary windings. In this transformer, the magnetic link is shared between primary and secondary windings. Three-phase voltages ( $V_{sA}$ ,  $V_{sB}$ , and  $V_{sC}$ ) is supplied in shunt to 3 primary windings (A, B, and C) having single-core,

star-connected and placed on each limb of a three-limb. Three induced voltages ( $V_{STA}$ ,  $V_{STB}$ , and  $V_{STC}$ ) are generated for each phase (Fig. 8 (a)). Three windings are placed on three different limbs. One winding of each limb is connected in series for each phase. The sending-end voltages are changed from  $V_{sA}$ ,  $V_{sB}$ , and  $V_{sC}$  to  $V_{sA1}$ ,  $V_{sB1}$ , and  $V_{sC1}$  which are given by

$$\begin{aligned}
 V_{sA1} &= V_{sA} + V_{STA} = V_{sA} + V_{a1} + V_{b1} + V_{c1} \\
 V_{sB1} &= V_{sB} + V_{STB} = V_{sB} + V_{a2} + V_{b2} + V_{c2} \\
 V_{sC1} &= V_{sC} + V_{STC} = V_{sC} + V_{a3} + V_{b3} + V_{c3}
 \end{aligned} \tag{6}$$

The active number of turns in the secondary windings is varied with the help of tap-changers. The voltage-regulating unit consists of 9 secondary windings ( $a1$ ,  $c2$ , and  $b3$  on the core of phase-A,  $b1$ ,  $a2$ , and  $c3$  on the core of phase-B, and  $c1$ ,  $b2$ , and  $a3$  on the core of phase-C) as shown in Fig. 8 (c). Therefore, the resultant voltage becomes the function of varying magnitude and phase angle within the range of  $0^\circ$  to  $360^\circ$  (Fig. 8 (b)). The compensating voltage in any phase is determined by phasor sum of the voltages induced in a 3-phase winding set ( $a1$ ,  $a2$ , and  $a3$  for connection in phase-A,  $b1$ ,  $b2$ , and



**FIGURE 9.** Rotary Power Flow Controller. (a) Simplified circuit diagram. (b) The associated voltage phasor diagram.

$b3$  for connection in phase-B, and  $c1$ ,  $c2$ , and  $c3$  for connection in phase-C) as shown in Fig. 8 (a). The in-phase component of the compensating voltage is modified for voltage magnitude compensation for each phase. For example, the compensating voltage of phase-A,  $V_{STA}$  is shown in Fig. 8 (b). The hexagonal representation shows that the output voltages and phase angle  $\rho_{ST}$ , which ST can provide. By modifying the number of turns of  $a1$ , the voltage (magnitude) of phase-A can be changed. Moreover, for out-of-phase compensation, the number of turns in  $c2$  and  $b3$  is adjusted accordingly [82], [83].

Independent and bidirectional active power and reactive power flow control are achieved by ST with the help of a transformer and on-load tap changers. The control strategy for tap changing and real-time simulation of ST have also been proposed in [84]–[86]. The ST reduces the device cost and losses significantly as compared to the UPFC [87]. Furthermore, ST provides lower losses in the line, enhanced the power flow capabilities, and lower cost as compared with that of a PAR [88]. However, since load tap changers inherently possess discrete features, therefore, the ST has output error and slow response. [89], [90].

The ST is developed with the help of nine secondary windings and nine load tap changers, which are in direct contact with the transmission line. The ST deals with the full rated voltage and full fault current. The cost of insulation and machining difficulty will considerably increase when it is used for the EHV and UHV grids [91], [92]. Also, it is challenging to handle system imbalances. Thus, assimilation of ST in an existing transmission system with existing distance relay protections is very challenging.

### C. ROTARY POWER FLOW CONTROLLER

The first rotary power flow controller (RPFC) was introduced in 1990s by General Electric Company [93], [94]. The first RPFC was installed by the Chubu Electric Power Company

(CEPCO), Japan, at the Sunen substation to control the power flow during normal and blackout conditions [95].

The RPFC is a rotary phase-shifting transformers (RPST) based power flow controller which offers better performance than conventional phase-shifting transformers and power electronic based power flow controllers [79], [96]. The RPFC provides a method for controlling the flow of active and reactive power using series voltage injection to the transmission line which is independent of the line current [97].

The existing research related to RPFC is being carried out based on the steady state model and single-phase equivalent circuit [96], [98]. The other concern is regarding its effect on the power transmission line in terms of safety and stability [99], [100]. Also, the effort has been made for extending the operational range of RPFC using tap-changing transformers [101].

The RPFC consists of series transformer, shunt transformer and two induction machines operating as RPSTs as depicted in Fig. 9 (a). The rotors of the dual RPST are placed on a single-shaft such a way that the rotor angles ( $\alpha_1$  and  $\alpha_2$ ) are permanently identical and opposite. The shunt transformer has star-delta connection which produces a phase shift of  $\gamma$ -degree (30, 90, etc.) as given in (7) which feeds the shunt-connected rotor windings of the two RPST [102]. The series-connected stator windings of the RPSTs is connected through the series transformer in series with the transmission line. The output voltage of the dual RPST is dependent upon the ratios of the transformer winding and the phase angle shift between the stator and the rotor voltage [103]. Hence, the power flow in the transmission line is controlled by adding a controllable series voltage via a conventional series transformer. The phase-shift between the stator and rotor voltages can be regulated by changing the rotor position using the electric drive system or a hydraulic system [104].

The magnitude and phase of the injected series voltage,  $V_s$  is calculated by rotor angles of dual RPST ( $\alpha_1$  and  $\alpha_2$ ).



By neglecting the drop in the voltage across the leakage reactance of the series, shunt, and dual transformers, the magnitude of  $V_S$  is given as [102].

$$V_S = T_S V_1 \cos(\delta) \exp^{j(\theta+\gamma)}, \quad (7)$$

where

$$T_S = \frac{T_2 T_R}{T_1}, \quad (8)$$

$$\delta = \frac{\alpha_2 - \alpha_1}{2}, \quad (9)$$

$$\theta = \frac{\alpha_1 + \alpha_2}{2} \quad (10)$$

and  $T_1$  and  $T_2$  represent the turns ratios of the shunt and the series transformers while  $T_R$  is the turn ratio of the RPST. The relationship among  $V_S$ ,  $V_1$  and  $V_2$  using the phasor diagram is depicted in Fig. 9 (b). The  $V_2$  is regulated within the circle as described by the voltage phasor (Fig. 9 (b)). The term  $T_S V_1$  represents the radius of the circle which is proportional to the power flow controller equipment rating. However, the RPFPC needs separate and special drive systems for the rotation of the rotor shaft [105].

#### D. CONTROLLABLE NETWORK TRANSFORMERS

The controllable network transformers (CNT) was proposed to control the power transmission between the interconnected power system networks at medium voltages [106], [107]. Moreover, efforts have also been made to escalate the CNT for bulk power transmission levels [108]. The CNT is realized by the load tap changing transformer and a bi-directional direct AC-to-AC converter having fractional rating. The AC-to-AC converter consists of two AC switches S1 and S2, a filter capacitor and an inductor [109].

It is considered that the fixed duty cycles of switches S1 and S2 are  $D$  and  $(1-D)$ . The transformer having the turns ratio of  $1 : (1+n)$  when the switch S1 is ON and it becomes  $1 : (1-n)$  when the switch S2 is ON as shown in Fig. 10. The magnitude of output voltage can be controlled ranging from  $[1/(1+n)]$  pu to  $[1/(1-n)]$  pu with the application of fixed duty cycle  $D$ . However, the conventional pulse width modulation (PWM) techniques are unable to regulate the phase angle of the voltage output. Since the energy storage element is absent, therefore, it is unable to supply energy at the instant of zero-crossings of the input voltage. Therefore, the phase angle of output voltage is equal to the input voltage. Hence, the phase angle of output voltage is controlled by the application of dual virtual quadrature sources (DVQS) technique [110], [111]. The voltage output ( $V_{OUT}$ ) of the CNT is given by [112],

$$V_{OUT} = \left[ \frac{D}{1+n} + \frac{1-D}{1-n} \right] V_{IN} \quad (11)$$

where,  $D$ ,  $n$  and  $V_{IN}$  are the duty cycle, the tap ratio and input voltage, respectively. According to the energy conservation

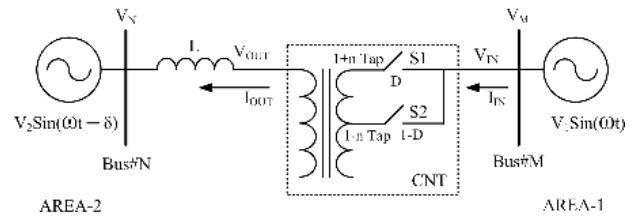


FIGURE 10. Controllable network transformer between two area power networks.

principle, the relationship between the input and output currents can be written as:

$$I_{IN} = \left[ \frac{D}{1+n} + \frac{1-D}{1-n} \right] I_{OUT} \quad (12)$$

The Duty cycle  $D$  is given by:

$$D = K_0 + K_2 \sin(2\omega t + \phi) \quad (13)$$

The real and reactive power flow through the tie-line with CNT and other related terms are given by:

$$\bar{v}_1 = V_1 \sin(\omega t) \quad (14)$$

$$\bar{v}_2 = V_2 \sin(\omega t - \delta) \quad (15)$$

$$P_{L\_CNT} = \frac{V_1 V_2}{\omega L} [A \sin \delta - B \cos(\delta + \phi)] \quad (16)$$

$$Q_{R\_CNT} = \frac{V_2}{\omega L} [V_2 - AV_1 \cos \delta - BV_1 \sin(\delta + \phi)] \quad (17)$$

$$Q_{S\_CNT} = \frac{V_1}{\omega L} \left[ \left( A^2 - \frac{2}{3} B^2 \right) V_1 - AV_2 \cos \delta - BV_2 \sin(\delta + \phi) \right] \quad (18)$$

The values of constants A and B is given by

$$A = \frac{1+n-2K_0n}{1-n^2} \quad (19)$$

$$B = \frac{nK_2}{1-n^2} \quad (20)$$

where  $V_1$  is the sending end and  $V_2$  is the receiving end voltages of a transmission line, respectively;  $\omega L = X$  is the reactance of the line and  $\delta$  is a phase angle between  $V_1$  and  $V_2$ ;  $K_0$  is the DC component of control reference voltage, and  $K_2$  is the amplitude of second harmonic.

The operating ranges of P and Q are restricted by the duty cycle ( $D$ ) given in (13) whose value ranging from 0 to 1 and is depends on the parameters of the system. The CNT uses the DVQS technique to regulate the magnitude as well as the phase angle of the line voltage, which provides a dynamic power control. However, DVQS technique exhibit coupling effect among active power and reactive power. Therefore, to implement active power control and reactive power control independently, a decoupled closed-loop controller has been proposed [113]. However, CNT is comprises of power electronic converters which needed a particularly designed filtering system to eliminate third harmonic voltage and current [114].

## VI. FACTS DEVICES

Flexible AC Transmission System (FACTS) are an alternating current transmission systems which include a family of power electronic controllers to improve controllability and power transfer capability. Moreover, a FACTS controller is a power electronic system using power semiconductor static devices which provides control of one or more parameters of the AC transmission system [115]. FACTS devices are alternatives for real and reactive power flow control in electrical power transmission systems. The problem of finding optimal location, ratings and type of FACTS device, commonly known as *FACTS allocation problem*, is attracting the attention of researchers [116]–[121]. Depending on the connections topology, the FACTS controllers can be broadly classified as: (i) shunt connected controllers, (ii) series connected controllers, (iii) combined series-series controllers, and (iv) combined shunt-series controllers. In this section, the most versatile FACTS controllers are discussed.

### A. UNIFIED POWER FLOW CONTROLLER

The unified power flow controller (UPFC) is the most comprehensive multi-variable voltage source converter (VSC) based FACTS device [122]. The first practical implementation of a 160-MVA UPFC in the world was done by American Electric Power (AEP) at the Inez substation in eastern Kentucky in 1998 [123].

It is able to control, simultaneously or selectively, all three parameters of the power flow equation in the transmission line, viz, voltage magnitude, phase angle as well as line impedance. Alternatively, it can independently control both real and reactive power flow in the transmission line [124]. Typically, a UPFC consists of two VSC connected back to back through a common dc link. One converter is connected in series while the other converter is connected in shunt as shown in Fig. 11(a).

The function of shunt converter is to generate or absorb the real power needed by series converter through the common dc link to support the real power exchange resulting from the series voltage injection. The series converter controls the transmission line real and reactive power flow by injecting a series AC voltage whose magnitude and phase angle are controllable. The transmission line current flows through the series converter which ultimately control or exchange the active and reactive power of the ac power system [125].

The equivalent circuit of UPFC is shown in Fig. 11(b), where the voltage  $V_1$  is the sending end bus voltage that is taken as reference. The injected current of the shunt-converter is  $I_2 \angle \alpha_2$  and the corresponding output voltage is  $V_4 \angle \delta_4$ . The output voltage of the series-converter is  $V_3 \angle \delta_3$  and its current is  $I_1 \angle \alpha_1$ ,  $X_1$  and  $X_2$  are the leakage reactance of series and shunt transformers, respectively.

To make the analysis simple, the assumption made are: (i) the UPFC regulate the series injected voltage in the transmission line to achieve the power flow control where  $V_1$  is considered constant, (ii) the shunt converter only maintain

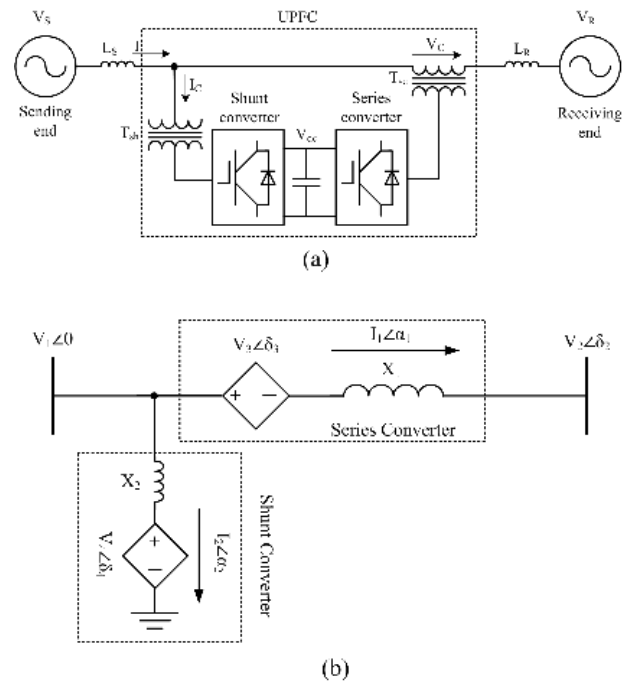


FIGURE 11. The UPFC. (a) Single Line Diagram of UPFC connected to AC system. (b) Single-phase equivalent circuit of UPFC.

the DC bus voltage constant, and (iii) all the resistances are neglected. With these assumptions, the sending end real and reactive power of a UPFC is given by [126]:

$$P_1 = -\frac{V_1 V_3}{X_1} \sin \delta_3 - \frac{V_1 V_2}{X_1} \sin \delta_2 \quad (21)$$

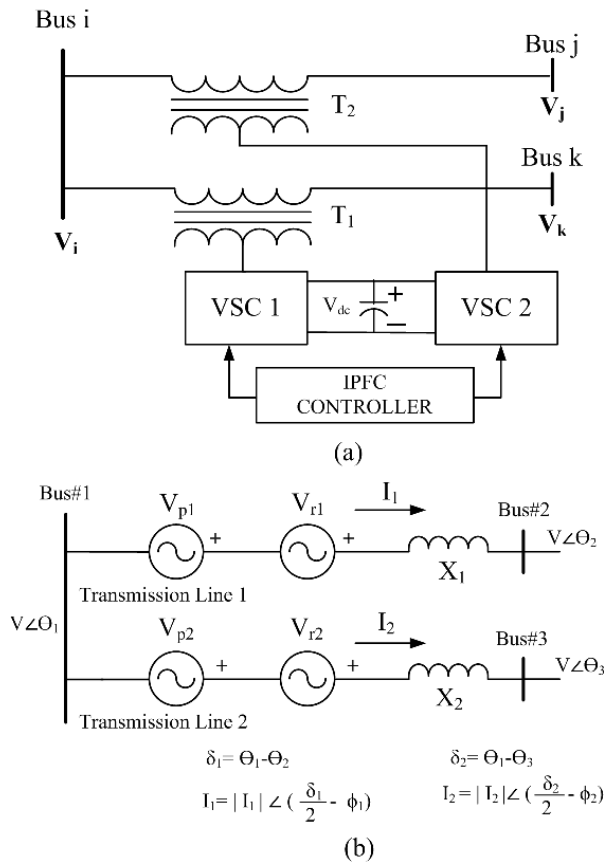
$$Q_1 = -\frac{V_1 V_2}{X_1} \cos \delta_2 + \frac{V_1 V_3}{X_1} \cos \delta_3 - \frac{V_1^2}{X_1} \quad (22)$$

Similarly, the real and reactive power at the receiving end of the transmission line is given by:

$$P_2 = \frac{V_1 V_2}{X_1} \sin \delta_2 - \frac{V_2 V_3}{X_1} \sin(\delta_2 - \delta_3) \quad (23)$$

$$Q_2 = \frac{V_2^2}{X_1} - \frac{V_1 V_2}{X_1} \cos \delta_2 + \frac{V_2 V_3}{X_1} \cos(\delta_2 - \delta_3) \quad (24)$$

Although the UPFC has many attractive features in terms of power flow controllability and reliability, but the UPFC is still rarely used for power flow control. This high-voltage, high-power inverters also uses bulky and complicated zigzag transformers of high VA ratings and desired voltage wave forms. The zigzag transformers used are very expensive, lossy, bulky and prone to failure [127]. Further, the shunt and series transformers of the UPFC have to be rated for full voltage and full current, respectively. The UPFC should be properly designed to handle the worst case fault. Moreover, if simultaneous power flow control in more than one line is needed then UPFC seems out of its merits. Hence, multiliner voltage-source (VSC) based FACTS controllers, such as an interline power-flow controller (IPFC) is needed [128].



**FIGURE 12.** The IPFC. (a) Two-converter based configuration of an IPFC. (b) Equivalent circuit of an IPFC based on two-converter.

**B. INTERLINE POWER FLOW CONTROLLER**

The UPFC can be used for effective utilization of individual transmission lines by enabling the independent control of the real and reactive power flow. While the interline power flow controller (IPFC) provides power flow management among a number of transmission lines at a particular substation [129].

An IPFC with two-converters configuration compensating two transmission lines is shown in Figure 12(a). It employs two back-to-back DC-to-AC voltage source converters (VSC). These converters are connected in series with two transmission lines through series transformers and the dc terminals of two converters are connected through a common DC link [130].

Normally, the IPFC employs a number of VSCs linked at a common DC terminal. Each of which can provide series compensation for the selected transmission line. Any converter within the IPFC is able to transfer real power to any other line and thus enables real power transfer among the lines. It also provides independent controllable reactive series compensation of each individual line [131]. The key objective of the IPFC is to optimize both real and reactive power flow among multiple transmission lines, and also transfers power from over-loaded to under-loaded lines. Figure 12(b) shows the equivalent circuit of the IPFC scheme consists of two back-to-back DC-to-DC converters, both compensating a

transmission line by series voltage injection [132], [133]. The two synchronous voltage sources, having phasors  $V_{p1}$  and  $V_{p2}$  in-phase with the transmission lines 1 and 2, represent the two back-to-back DC-to-DC converters. Moreover, the quadrature components of voltage phasors  $V_{r1}$  and  $V_{r2}$ , also called reactive voltages, are injected into the transmission lines 1 and 2, respectively. The common dc link is represented by a bidirectional link for the real power exchange between the two voltage sources. Transmission line 1, represented by reactance  $X_1$ , having sending and receiving end buses with voltage phasors  $V\angle\theta_1$  and  $V\angle\theta_2$ , respectively. Also, transmission line 2, represented by reactance  $X_2$ , having a sending and receiving end buses with voltage phasors  $V\angle\theta_1$  and  $V\angle\theta_3$ , respectively. Consider the system with IPFC shown in Fig. 12(b). An expression for the real power and the reactive power injected at the receiving end of the prime line #1 is given by:

$$P_1 = \frac{V^2}{X_1} \sin \delta_1 + \frac{VV_{p1}}{X_1} \sin \left( \frac{\delta_1}{2} - \phi_1 \right) + \frac{VV_{r1}}{X_1} \cos \left( \frac{\delta_1}{2} - \phi_1 \right) \tag{25}$$

$$Q_1 = \frac{V^2}{X_1} (1 - \cos \delta_1) - \frac{VV_{p1}}{X_1} \cos \left( \frac{\delta_1}{2} - \phi_1 \right) + \frac{VV_{r1}}{X_1} \sin \left( \frac{\delta_1}{2} - \phi_1 \right) \tag{26}$$

However, IPFC has some serious issues such as power flow degradation in the system, the bus voltage variation in presence of the IPFC, and the effect of the transmission angle variation upon the controlled region of the injected series voltages [134]–[136].

**VII. ASYNCHRONOUS AC INTERCONNECTIONS TECHNOLOGIES**

**A. VIRTUAL SYNCHRONOUS MACHINES**

The virtual synchronous machines (VSM) is suggested for the integration of distributed generators (DGs) into the utility grid [137]–[141]. It is a power electronic converter which possess the dynamics and the behaviour of the classical synchronous machines. In the control of the converters, mathematical models of the synchronous machine are embedded.

It was first proposal by Beck and Hesse in 2007, and abbreviated as Virtual Synchronous Machine (VISMA) [142].

The VSM provides the static and dynamic performance of the traditional electromechanical synchronous machines. It consists of a pulse-generator, a hysteresis controlled three-phase inverter with storage battery and a process computer including voltage and current transducers. A coupling inductance at the AC side is required to operate the inverter in hysteresis control mode [143]. The fundamental structure of the VSM is shown in Fig. 13. A complete operation of VSM involves three sub-processes. It initiate with the real-time measurement of the grid voltage to supply the VSM algorithm with real-time data and on the process computer which performs the mathematical model of a real synchronous machine

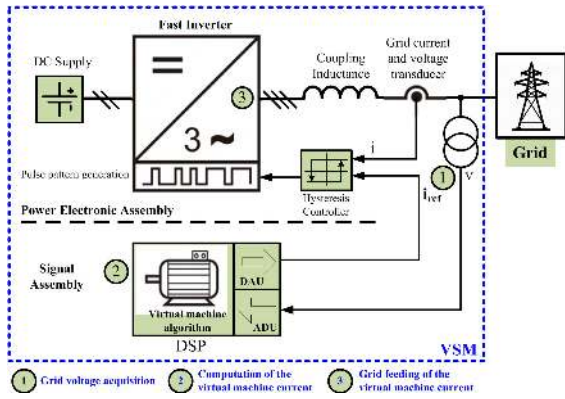


FIGURE 13. Basic structure of the virtual synchronous machines.

under real-time condition. The results are the stator currents of the VSM, which presents process variables. To complete the operation cycle, the calculated stator currents have to take effect at the grid. This is accomplished by a fast hysteresis-controlled inverter which carries the current signals to drive stator currents at the grid immediately.

The governing electromechanical equation of conventional synchronous machine (SM) is also called swing equation which is expressed as [16], [144].

$$J \frac{d\omega_r}{dt} + D(\omega_r - \omega_g) = T_m - T_e \quad (27)$$

where  $J$  is the angular moment of inertia of the rotor,  $\omega_r$  and  $\omega_g$  are the rotor angular frequency and the grid frequency, respectively.  $D$  is the damping coefficient of the damper windings,  $T_m$  and  $T_e$  are the mechanical and electric torque on the shaft, respectively.

The increasing contribution of DGs in the existing power system (grid) results low inertia and low damping which leads to the grid stability issues. A solution in the direction of stability enhancement of this type of grid is to support virtual inertia by employing the virtual synchronous generators (VSGs). This is can be realized by employing the energy storage in addition to a power inverter and a control mechanism [145], [146].

The concept of VSG also called the synchronverter is described in [147]. A self-synchronized synchronverter i.e., without a dedicated synchronization unit for synchronization purposes is also proposed in [148]. A control strategy employed in VSG/VSM called Self-Tuning VSM to improve dynamic frequency control in a diesel-hybrid autonomous power systems is presented in [149], [150].

The transient condition of a micro-grid can be improved by introducing the VSG in the system [151]. Furthermore, a new design of VSG is proposed which improves the voltage sag ride-through capability of the VSG [152], [153]. This is evaluated under different voltage sag conditions [154], [155]. In these proposed works, control of reactive power is added to achieve a constant voltage at VSG terminals [156], [157]. A broad comparison of VSM control algorithms and classification based on model's order is presented in [158], [159].

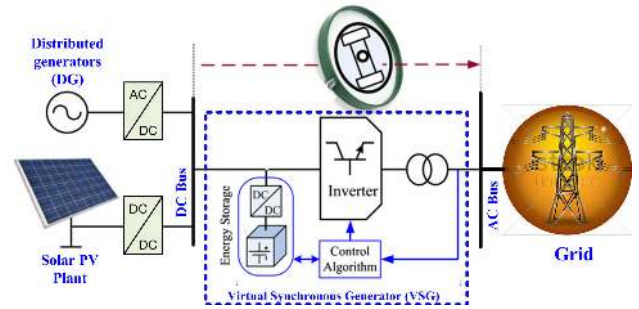


FIGURE 14. Integration of DGs and RES with the grid through the VSG.

The VSG systems are designed to integrate an energy storage unit to the main AC grid and it has been explained in [160], [161]. Integration of distributed generators (DGs) and renewable energy sources (RES) with the utility grid through the virtual synchronous generator (VSG) is presented in Fig. 14. The VSG is usually deployed between a DC bus and AC bus as depicted in Fig. 14. The VSG exhibit the DC source to the grid as a SG with respect to inertia and damping characteristics. In fact, the imitation of the virtual inertia in the system is facilitated by the real power flow control through the inverter which is inversely proportional to the rotor speed. [9]. Furthermore, the VSG has the ability to supply or absorb power. In normal condition, the nominal state of charge (SOC) of the energy storage in the VSG is operated about 50% of its nominal capacity. The states of operation of VSG can be defined on the basis of SOC condition i.e., according to the specified lower (20%) and upper (80%) limits [162]. The VSG works in active mode, when the SOC is between the lower and upper limits. The VSG works on the virtual load mode when the energy in the system exceeds the limit. The energy storage technology used in VSG, determines these limits. The output active power of a VSG is given by:

$$P_{VSG} = P_0 + K_I \frac{d\Delta\omega}{dt} + K_P \Delta\omega \quad (28)$$

and

$$K_I = \frac{2HP_{g0}}{\omega_0} \quad (29)$$

where  $P_0$  is the primary power transferred to the inverter,  $\Delta\omega = \omega - \omega_0$  and  $\omega_0$  is the grid frequency,  $K_I$  is the inertia emulating characteristic,  $P_{g0}$  is the nominal apparent power of the generator and  $H$  is the amount of inertia. The term  $[K_I d(\Delta\omega)/dt]$  denotes that power injected or absorbed by the VSG depending upon the positive or the negative sign and  $[d(\Delta\omega)/dt]$  shows the initial rate of change of frequency.

The  $K_P$  imitates the effect of damper windings in a conventional synchronous generator. The value of  $K_P$  is taken such that the  $P_{VSG}$  becomes equal to the nominal power of the VSG, when the frequency deviation is maximum specified value. The virtual mass counteracts drops in the grid frequency and the virtual damper reduces oscillations in the grid. Therefore, the above properties are uniformly effective to traditional SM. The  $K_P$  and  $K_I$  are the gains with the

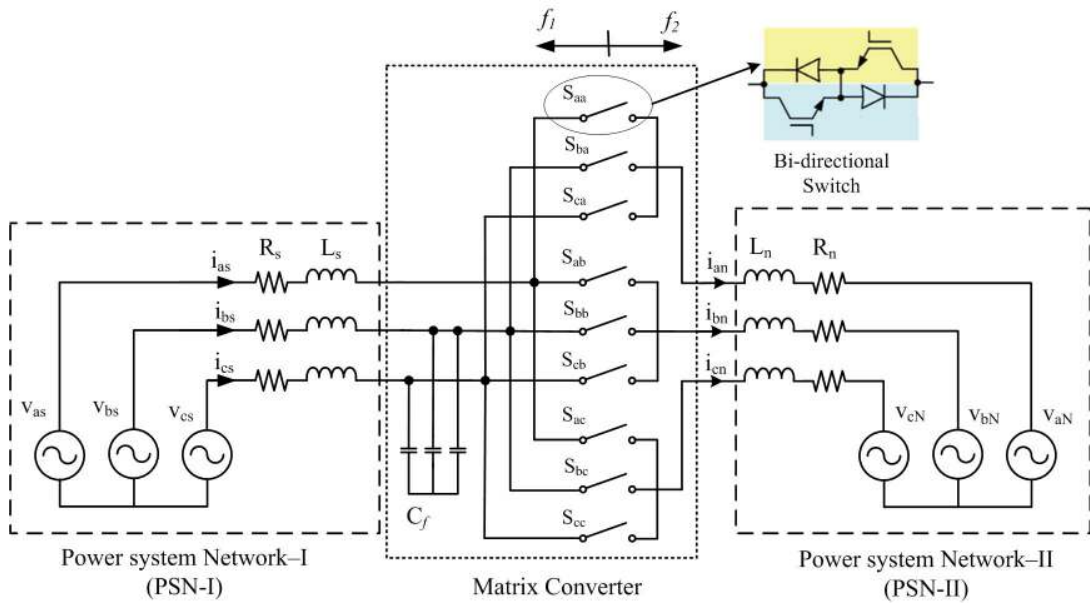


FIGURE 15. Two AC power system networks interconnected by a matrix converter.

negative constant. They should be fixed so that the VSG exchanges its maximum real power. This is achieved when the rate of change of frequency, as well as the specified frequency deviation becomes maximum. The increment in the values of  $K_P$  and  $K_I$  results more power either generated or absorbed for the same quantity of frequency deviation and the rate of change of frequency [163].

Although, the implementation of VSM causes low-frequency oscillations (LFO) issue in the grid which is generally due to the interaction between the SGs [164].

**B. DIRECT AC-TO-AC CONVERTERS**

Matrix converter (MC) or AC-to-AC power converters are used in power system networks [165]. A matrix converter is also suggested to interconnect two independent power grids of different voltages and frequencies for the active and reactive power flow control between them [166], [167]. Fig. 15 shows the representation of two AC power system networks interconnected by a matrix converter.

First of all Venturini and Alesina has introduced the idea of direct AC-to-AC power conversion in the year 1980 [168], [169]. They presented the bidirectional power switches of the power converter in a matrix form and they had called it “#1”. They have done rigorous mathematical analysis in describing the low-frequency behaviour of the converter through high switching, and introducing the concept of “#1”. The concept results in cumbersome equations that makes the performance of the controller sluggish. A simplified work, and that too on an  $m \times n$  matrix converter is done in [170]. Fig. 16 shows the  $3 \times 3$  matrix converter which interfaces a three-phase voltage source with a three-phase load, usually a three-phase AC motor.

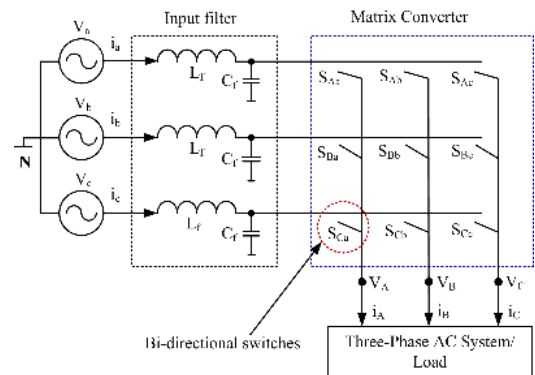


FIGURE 16. Simplified circuit diagram of a  $3 \times 3$  matrix converter.

In general, the MC is fed by a voltage source and, therefore, in any case the input terminals should not be short circuited. Alternatively, typically the load is an inductive in nature and, thus, an output load terminals (phase) must not be opened [171]. The switching function of a single switch is defined as [172]:

$$S_{Kj} = \begin{cases} 1, & \text{if switch } S_{Kj} \text{ is closed} \\ 0, & \text{if switch } S_{Kj} \text{ is open.} \end{cases} \quad (30)$$

where  $K = \{A, B, C\}$ , and  $j = \{a, b, c\}$ .

The above constraints can be expressed by

$$S_{Aj} + S_{Bj} + S_{Cj} = 1 \quad (31)$$

The mathematical equation relating the input and the output voltages with reference to Fig. 16 is given by

$$\begin{bmatrix} v_a(t) \\ v_b(t) \\ v_c(t) \end{bmatrix} = \begin{bmatrix} S_{Aa}(t) & S_{Ba}(t) & S_{Ca}(t) \\ S_{Ab}(t) & S_{Bb}(t) & S_{Cb}(t) \\ S_{Ac}(t) & S_{Bc}(t) & S_{Cc}(t) \end{bmatrix} \begin{bmatrix} v_A(t) \\ v_B(t) \\ v_C(t) \end{bmatrix} \quad (32)$$

### 1) THREE-PHASE AC-TO-AC CONVERTER TOPOLOGIES

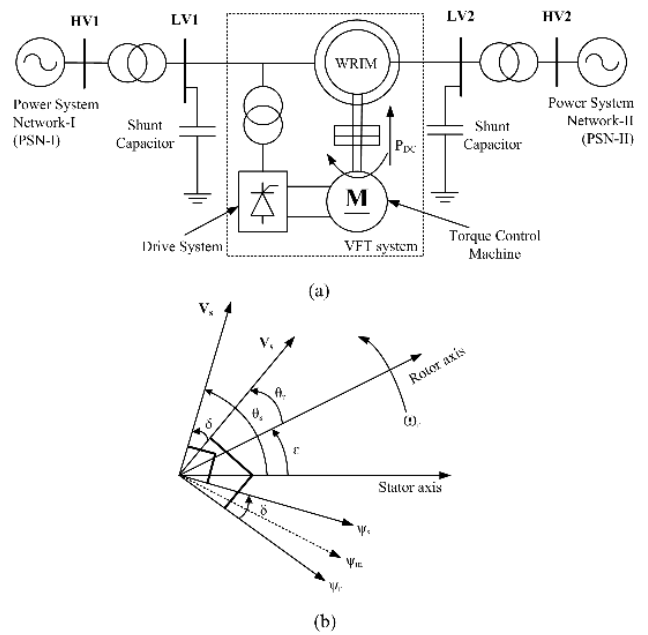
The MC is an AC-to-AC power converter based on controllable bi-directional semiconductor switches having no intermediate energy storage element [173]. The physical basis of these converters is the constant instantaneous power delivered by a symmetrical 3-phase voltage-current system. The conventional or direct MC (CMC/DMC) execute the voltage and current conversions in a single stage. The possibility of an indirect conversion by means of an indirect MC (IMC) is also available. Separate stages is necessary for the voltage and current conversions in the IMC. It is analogous to the voltage DC-link back-to-back converter (V-BBC) and the current DC-link back-to-back converter (C-BBC), having no energy storage element in the intermediate stage. Both the MC topologies are implemented by using 18-IGBTs and 18-diodes for fundamental configuration, 18 RB-IGBTs for the CMC and 12 RB-IGBTs and 6 RC-IGBTs for the IMC [174], [175]. Therefore, the intermediate energy storage element is removed at the cost of more semiconductor switches. The forced commutated AC-to-AC converters are classified based on existing literature which is shown in table 1 [176]. Three subgroups are considered as: (i) converters with DC-link energy storage, (ii) MC, and (iii) hybrid MC. A forced commutated AC-to-AC converter is considered as a MC, if it does not need an intermediate energy storage element in the power circuit.

### 2) CONTROL AND MODULATION TECHNIQUES FOR MC

A brief summary of the control methods and modulation techniques for the MC developed till date is presented in Table 2. The classical and important method is the Venturini method which is also known as the direct transfer function approach. The output voltage obtained is the multiplication of the transfer matrix of the converter and of the input voltage [177]–[180]. An alternative approach proposed by Roy is called the scalar method [181]. In this method, the active and zero states of the switches of the converter is generated by using the instantaneous voltage ratio of a particular input phase voltages. The pulse-width modulation (PWM) techniques is used for the control of MC which was earlier introduced for voltage source inverters. The carrier-based approach of the PWM techniques is the simplest one [182], [183]. The space-vector modulation (SVM) in MCs is the powerful solution which is presently in use [184], [185]. The more recent technique is the predictive control, which is proposed for the current control [186]–[188] and torque control [189]–[191] of AC machines. The major drawback of a matrix converter is its voltage transfer ratio (VTR). The maximum value of VTR for an  $m \times n$  matrix converter is given by [170]:

$$VTR_{max} = \frac{1 + \cos \{\pi/m\}}{2 \cos \{\pi/2n\}} \quad (33)$$

where a  $3 \times 3$  and  $3 \times 5$  MC give a value of 0.866 and 0.7886, respectively, for multiphase loads [413].



**FIGURE 17. Variable Frequency Transformer (a) Simplified diagram of the variable frequency Transformer (VFT). (b) Phasor diagram of stator and rotor fluxes and voltages in a VFT system.**

### C. VARIABLE FREQUENCY TRANSFORMER

The variable frequency transformer (VFT) was developed for the asynchronous interconnections between power grids. First of all it was used for linking the Quebec (Canada) and New York (USA) grids in 2004. It controls the bidirectional power flow between the interconnected power grids [414].

The essential component of the VFT is a doubly fed induction machine (DFIM) operating as rotating transformer having three-phase balanced windings on the stator and the rotor. Basically, VFT is a continuously variable phase-shifting transformer which can operate at an adjustable phase angle. The power system network-I (PSN-I) is connected to the stator windings and the another power system network-II (PSN-II) is connected to the rotor windings of the DFIM as shown in Fig. 17. The real power flow through the VFT is depends on the phase angle and the leakage reactance between the stator and the rotor windings as in any other AC power circuit [415], [416]. A DC motor with its drive system is employed to regulate the rotor speed with respect to the stator. It controls the magnitude and direction of the real power flow through the interconnected system [10].

The magnitude of phase shift depends on the impedance of the rotary transformer and the AC grid. The active power ( $P_{VFT}$ ) transfer through the VFT with reference to Fig. 17(b), is given by [417],

$$P_{VFT} = \frac{V_s V_r}{X_s} \sin [\theta_s - (\theta_r + \epsilon)] \quad (34)$$

where  $V_s$  and  $V_r$  are the rms voltages of stator and rotor, respectively;  $\theta_s$  and  $\theta_r$  are the phase angles of stator and rotor voltages, respectively; and  $X_s$  is the series equivalent

**TABLE 1. Classification of three-phase AC-to-AC converter topologies.**

AC-to-AC Converter				
S.No.	Main Classification	Sub-Classification	References	
I	Converter with DC-Link storage	Converter with Voltage DC-Link,(V-BBC), (VR-VSI)	[192]–[197]	
		Converter with Voltage-Current DC-Link, (ZSC, TSC)	[198]–[207]	
		Converter with Current DC-Link (C-BBC)	[208]–[215]	
II	Hybrid Matrix Converter	Hybrid Direct Matrix Converter (HMC)	[216]–[220]	
		Hybrid Indirect Matrix Converter (HIMC)	[221]–[226]	
III	Matrix Converter	Direct Matrix Converter	Conventional Matrix Converter (CMC), (SAX)	[227]–[233]
			Full Bridge Matrix Converter	[234]–[237]
		Indirect Matrix Converter	Converter without DC-Link Capacitor (F3EC)	[238]–[243]
			Indirect Matrix Converter (18-Switch)	[244]–[250]
			Sparse Matrix Converter (SMC, VSMC), (USMC)	[251]–[260]
			Three-Level Matrix Converter	[261]–[269]
Three-phase Buck Converter (AC-Chopper)	[270]–[278]			

**TABLE 2. General classification of modulation and control techniques for matrix converters.**

Modulation and Methods of Control for Matrix Converter			
S.No.	Classification	Modulation/Control techniques	References
I	Scalar Techniques	Direct Control (Venturini)	[?], [169], [177], [179], [180]
		Scalar (Roy)	[181], [279]–[281]
II	Pulse Width Modulation	Carrier Based	[182], [183], [283]–[292]
		Space Vector Modulation	[172], [184], [185], [293]–[321]
III	Predictive Control	Predictive Current Control	[186]–[188], [249], [322]–[358]
		Predictive Torque Control	[188]–[191], [326], [359]–[370]
IV	Direct Torque Control		[371]–[392]
V	Others Techniques	ANN and Fuzzy logic based Control Techniques	[376], [393]–[412]

inductive reactance offered by the VFT. The  $\epsilon$  is the time integral of  $\omega_r$  to be controlled by the application of torque on the rotor shaft by the dc motor.

Power transmission through the VFT is dependent on the torque applied to the rotor. The power flows from the stator connected network to the rotor connected network when the torque is applied in one direction. The direction of power flow will be reversed when the torque is applied in the opposite direction. The magnitude and the direction of power flow is dependent on the magnitude and direction of the torque applied. Irrespective of the power transmission, the rotor of the VFT essentially orients to adopt the phase angle difference created by the interconnected power networks. It will continue to rotate depending upon the difference in the operating frequencies of the power networks.

A variable speed drive system is used to control the DC motor which is employed for the application of torque on the rotor. Two power networks operating at same frequency is interconnected using the VFT system, it will operate at zero speed. Thus, the DC motor and its drive system is designed to provide continuous torque even at zero speed. Although, when there is a frequency deviation due to disturbance in one side of the power system network, the rotor of the VFT

will rotate at a speed depending upon the difference in the operating frequencies of the interconnected networks [418].

The performance of VFT under steady-state, dynamic and transient conditions have been evaluated under various simulation environments [419]–[423]. The comparison of various performance parameters with respect to back-to-back HVDC system has also been carried out [424]. The brushless doubly-fed induction machine (BDFIM) with nested cage rotor is proposed as an alternative configuration of the VFT. To avoid space harmonics issue, the double-stator winding with different number of poles having 1:3 ratio is also proposed [425].

In the VFT system, a closed loop power regulator is used to maintain the power transfer equal to an operators’ setpoint. The measured power is compared with the setpoint and the power regulator regulates the motor torque as a function of power error. The power regulator is fast to respond to network disturbances which maintains the stable power transfer [426]. The control of decoupled active and reactive power flow through VFT, between two interconnected power system networks has also been presented. Furthermore, the issues of fault spreading and enhancement in the fault ride-through (FRT) capability is also addressed in [417]. The fault ride-through is enhanced by employing a series dynamic braking

**TABLE 3. Summary of comparative analysis of power system link and power flow controllers.**

Ref. No.	Year	Link/PFC	Contribution	Limitation	Controlled Parameters
[434]	2019	PST	A new technique for the protection of a single core delta-hexagonal PST is presented with less number of CTs per phase.	The relays detect any fault due to the special connection of the transformer. Hence, this technique only employed to delta hexagonal PST.	Power flow control among parallel transmission lines by phase shift between input and output voltages.
[435]	2018	ST	To control the power flow and increase the utilization of the existing transmission lines.	This proposes a IHUPFC which composed of ST and UPFC. The ST has slow response and UPFC causes complexity and high cost.	Independent control of P and Q using transformer and LTCs.
[436]	2015	RPFC	Steady-state mathematical model, analysis of the control characteristics and relation between rotor angles and injected voltage of RPFC are presented.	Analysis and simulation under steady-state is carried out only. However, transient conditions is not considered.	The rotary phase-shifting transformer (RPST) provide a phase shift which cause modification in power transmission.
[437]	2015	CNTs	A combined CNT-HAF system is proposed to filter out third harmonic voltages and currents in the system.	Since HAF consists of series transformer having full rated current capacity and filter capacitors and inductors. Therefore, it increases the cost and complexity.	Simultaneous control over real and reactive power flow in the transmission network is achieved with minimal harmonics.
[146]	2018	VSM	In this paper, a new inverter controller called eVSM is proposed. This controller improve the transient performance and stability similar to the synchronous generator.	In this paper two assumptions are made such as PLL model is not considered and the phasor domain power equations are considered for instantaneous power. Hence, further research is needed for detailed study.	Improved dynamics and better transient performance are achieved by inertia control.
[438]	2018	MC	The performance of the matrix converter by employing super-junction reverse-blocking insulated gate bipolar transistor (SJ RB-IGBT) switches is investigated in this paper.	The advantages and effectiveness of the SJ RB-IGBT in the MC is verified by simulation and analytical calculation only. Actual practical constraints can only be realized after experimentation.	The low-power loss and superior dynamic performance enables the MC to operate at higher frequency and higher power density.
[439]	2016	VFT	A new VFT configuration is presented to achieve the bidirectional and decoupled active and reactive power flow through VFT.	The proposed VFT configuration consists of shunt and series inverter as well as a DC chopper which inject harmonics in the system.	Independent control over P and Q are achieved.
[440]	2019	LCC-HVDC	A predictive control strategy presented which employed a commutation failure prevention module for mitigating the commutation failures during faults in ac system.	The proposed control strategy employed high level of the DSP controller along with a PMU which increases the overall cost of system.	It temporarily decreases the firing angle of thyristor valves depending on intensity of the fault.
[441]	2019	VSC-HVDC	An adaptive droop control scheme on the basis of both the DC voltage deviation factor and the power sharing factor is proposed.	Effects of varying droop coefficients on the stability of the MTDC system and impacts of line resistances on steady performance of the VSC-MTDC system with the proposed adaptive droop control is not considered.	During large disturbances, DC voltage deviations remain within their limits and power sharing capability of the whole MTDC system remain high.

resistor that limits the fault propagation from the faulty side to the healthy power grid through VFT system.

The application of VFT is also proposed for integration of doubly fed induction machine (DFIM)-based offshore wind farm to utility grid to achieve active power flow control. Moreover, the fluctuations in power generated by wind farm due to irregular wind speed are reduced by PID damping controller [427], [428].

However, a separate DC motor and its drive system is used for controlling the speed, torque, and position of the rotor. This in turn controls the magnitude and direction of power transfer between the networks. Furthermore, the VFT system requires routine shutdown and periodical maintenance for replacement of brushes (carbon) of DC motor [429].

However, under fault condition, VFT requires very high torque to compensate the fault [430]. Hence, a high power DC motor and its drive system become necessary.

#### D. FLEXIBLE ASYNCHRONOUS AC LINK

A flexible asynchronous AC link (FASAL) system has been recently proposed for an asynchronous interconnection between two electric power system networks. The operating frequencies of these systems may be same or different [431]. The FASAL system is comprises of a doubly fed induction machine (DFIM), voltage regulator and frequency converters. A power systems network-I (PSN-I) is connected to the stator and another power systems network-II (PSN-II) is connected to the rotor. Both the stator and the rotor have



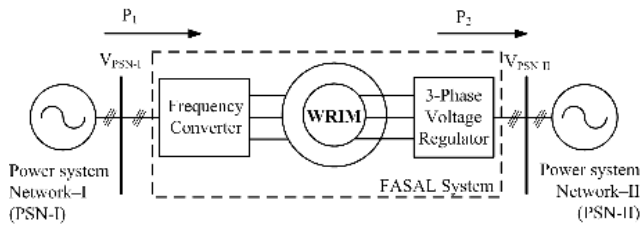


FIGURE 18. A general configuration of the FASAL system.

three-phase symmetrical balanced windings with the equal number of poles.

The control of the voltage and the frequency ultimately controls the magnitude and the direction of power transfer. A general configuration of the FASAL system linking two grids is shown in Fig. 18 [432]. Unlike as reported for VFT in [429], power flows from the higher frequency network side to the lower frequency network side without the use of speed control or position control of rotor.

If the operating frequencies of PSN-I and PSN-II are 60 Hz and 50 Hz, respectively. Then power flows from PSN-I to PSN-II without any application of frequency converter. The amount of power flow is regulated by regulating the voltage by the voltage regulator. If the operating frequencies of PSN-I and PSN-II are same (e.g., 50 Hz), then a frequency converter is used to raise and control the frequency and to control the direction of power flow. The frequency converter is an AC–DC–AC converter which generates voltage at a variable (higher) frequency.

The real and reactive power on both side of the FASAL system is derived on the basis of approximate equivalent circuit of a DFIM [433].

The three-phase real and reactive power at stator side of the FASAL system are given by

$$P_1 = 3 \left[ R \left( V_1 - \frac{V_2}{s} \cos \delta \right) + X \frac{V_2}{s} \sin \delta \right] \left( \frac{V_1}{Z^2} \right) \quad (35)$$

$$Q_1 = 3 \left[ X \left( V_1 - \frac{V_2}{s} \cos \delta \right) - R \frac{V_2}{s} \sin \delta \right] \left( \frac{V_1}{Z^2} \right) \quad (36)$$

where  $Z = R + jX$  and  $Z^2 = R^2 + X^2$

Similarly, the three-phase real and reactive power at rotor side of the FASAL system are given by

$$P_2 = 3 \left[ X V_1 \sin \delta - R \left( \frac{V_2}{s} - V_1 \cos \delta \right) \right] \left( -\frac{V_2}{s Z^2} \right) \quad (37)$$

$$Q_2 = 3 \left[ R V_1 \sin \delta + X \left( \frac{V_2}{s} - V_1 \cos \delta \right) \right] \left( \frac{V_2}{s Z^2} \right) \quad (38)$$

It is evident from (35) and (37), both  $P_1$  and  $P_2$  depend upon voltage and frequency.

### VIII. COMPARATIVE ANALYSIS

A summary of comparative analysis of power system link and power flow controllers based on recent publications is presented in Table 3. In this table contribution, limitation and controlled parameters of recently published papers

regarding phase-shifting transformer (PST), sen transformers (ST), rotary power flow controller (RPFC), controllable network transformers (CNTs), virtual synchronous machine (VSM), matrix converter (MC), variable frequency transformer (VFT), line commutated converter based HVDC (LCC-HVDC) and voltage source converter based HVDC (VSC-HVDC) are given in brief.

### IX. CONCLUSION

This paper gives a comprehensive review of the interconnections between power system networks. Two methods of interconnections, such as synchronous and asynchronous have been discussed in detail. A broad classification of interconnections of power system networks has been proposed with further subclassification of various power flow controllers which provide a quick review about the topic under consideration. An HVDC asynchronous interconnections and their topologies such as LCC and VSC have been explained too. Some of the existing worldwide projects based on LCC and VSC topologies are also presented with their operating (characteristics) parameters. A comprehensive survey on power flow controllers that are used in interconnected (synchronous) power system networks is discussed in detail with their merits and demerits. These controllers include, PST, PAR, Sen transformer, RPFC, and CNTs. Alternative methods of AC asynchronous interconnections such as application of matrix converter (MC), virtual synchronous machines (VSM), variable frequency transformer (VFT) has also been described with their merits and demerits. Moreover, a recently developed and reported asynchronous AC link called flexible asynchronous AC link (FASAL) system is also presented too. These technologies enable the controllers to transfer power between different grids through interconnections.

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**IMDADULLAH** (Member, IEEE) received the bachelor's degree in electrical engineering and the master's degree in power systems and drives from the Department of Electrical Engineering, Aligarh Muslim University (AMU), Aligarh, India, in 2003 and 2006, respectively, where he is currently pursuing the Ph.D. degree with the Department of Electrical Engineering. He has about 13 years of teaching and research experience, and has been working as an Assistant Professor in electrical engineering with University Polytechnic, AMU, since December 2007.

He has authored or coauthored several research papers in international journals and conference proceedings. He holds a patent on FASAL system, "A concept of flexible asynchronous AC link." His areas of interests are renewable energy, power systems, instrumentation, and measurement.



**SYED MUHAMMAD AMRR** (Student Member, IEEE) received the bachelor's degree in electrical engineering and the master's degree in instrumentation and control from the Department of Electrical Engineering, Aligarh Muslim University (AMU), Aligarh, India, in 2014 and 2016, respectively. He is currently pursuing the Ph.D. degree with the Control and Automation Group, Department of Electrical Engineering, Indian Institute of Technology Delhi (IITD), New Delhi, India. His

research interests include nonlinear control, sliding mode control, robust control, spacecraft attitude control, renewable energy, and power electronics.



**M. S. JAMIL ASGHAR** (Member, IEEE) was born in Patna, India. He received the B.Sc.Engg. (electrical), M.Sc.Engg. (power systems), and the Ph.D. (power electronics) degrees from Aligarh Muslim University (AMU), Aligarh, India. He joined the Department of Electrical Engineering, AMU, as a Lecturer, in 1983, where he has been working as a Professor, since 1999. He has established the Centre of Renewable Energy, Department of Electrical Engineering, from the funds of UGC (Government of India), where he is the Coordinator of DRS-II Program of UGC. He has written a text book *Power Electronics* (Prentice-Hall of India) and is a Chapter Author of the *Power Electronics Handbook* (Academic/Elsevier, CA, USA, under the joint program of the University of West Florida and University of Florida, USA). He has successfully completed many Government-funded research projects and guided eight research (Ph.D.) theses. He holds three patents and many other patents are pending. He has published more than 60 papers, mostly in refereed international journals and conference-proceedings, including several single-authored papers in the IEEE Transactions. His research and teaching interests include *Power Electronics*, *Renewable Energy Systems*, and *Electrical Machines*. He is a Fellow of the IETE (India).



**IMTIAZ ASHRAF** (Member, IEEE) was born in Nalanda, India, in 1965. He received the B.Sc.Engg. and M.Sc.Engg. (electrical engineering) degrees from the Zakir Husain College of Engineering and Technology, Aligarh Muslim University (AMU), Aligarh, India, in 1988 and 1993, respectively, and the Ph.D. degree from IIT Delhi, India, in 2005. He is currently a Professor and Chairman of the Electrical Engineering Department, AMU. His areas of interests are energy systems, electrical power systems, energetics, as well as economics and environmental assessment of renewable energy sources.



**MOHAMMAD MERAJ** (Student Member, IEEE) received the bachelor's degree in electrical and electronics engineering from Osmania University, Hyderabad, India, and the master's degree in machine drives and power electronics from the Electrical Engineering Department, IIT Kharagpur, India, in 2012 and 2014, respectively. He is currently pursuing the Ph.D. degree in electrical engineering with Qatar University, Qatar. He received the "Merit Cum Means" Scholarship and the Graduate Assistantship Award from the Government of India during his bachelor's and master's degree. He worked (Summer Internship) as the R&D "Design Engineer" at Philips Electronics Ltd., India, from May 2013 to July 2013. He is currently working as the Research Associate at the "Qatar National Research Fund" funded NPRP-EP project at Qatar University, since November 2014. He has coauthored 3 U.S. patents and has published more than 35 Research papers (Journal/Transaction and International Conferences) in the field of power electronics and electrical drives. He has received the Best Research Paper Award at the IEEE SIGMA 2018. He has received the "Best Graduation Project Energy Efficiency Award" from Kahramaa (organized by Tarsheed) and presented by the Prime Minister of Qatar. His research interests include advanced power electronic converters such as DC-DC, DC-AC, AC-AC, and AC-DC, applied in the field of maximum solar power extraction, wind power generation, electric vehicle charging and drive train, home appliances, and so on. He is also working on advanced electrical machines and drives for all electric transportation.

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