

*A CRITERION FOR TOEPLITZ FLOWS TO BE  
TOPOLOGICALLY ISOMORPHIC AND APPLICATIONS*

BY

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**Introduction.** A dynamical system is said to be coalescent if its only endomorphisms are automorphisms. The question whether there exist coalescent ergodic dynamical systems with positive entropy has not been solved so far and it seems to be difficult. The analogous problem in topological dynamics has been solved by Walters ([W]). His example, however, is not minimal. In [B-K2], a class of strictly ergodic (hence minimal) Toeplitz flows is presented, which have positive entropy and trivial topological centralizers (the last condition implies coalescence). The entropy, however, is only estimated from below. Also the class is obtained in a not completely constructive way.

The basic idea of this paper is contained in Section 2, in a criterion which describes homomorphisms (isomorphisms) between Toeplitz flows in terms of a block code simplified to a function sending blocks of a given length to blocks of the same length. This idea is then applied in Section 3 to effectively construct an uncountable family of pairwise nonisomorphic Toeplitz flows with topological entropy equal to a common arbitrarily preset value. Furthermore, all the Toeplitz flows have the same maximal uniformly continuous factor.

In Section 4 we obtain conditions sufficient for coalescence of a Toeplitz flow. In particular, all Toeplitz flows of Section 3 turn out to be coalescent.

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**1. Preliminaries.** We shall use  $\mathbb{Z}$  and  $\mathbb{N}$  to denote the set of all integers and the set of all positive integers, respectively. By a *flow* we mean a pair

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$(X, T)$ , where  $X$  is a compact metric space and  $T$  is a homeomorphism of  $X$ . Recall that a flow  $(X, T)$  is said to be *minimal* provided  $X$  contains no proper nonempty  $T$ -invariant closed subsets.  $(X, T)$  is said to be *uniquely ergodic* if there exists a unique  $T$ -invariant Borel probability measure on  $X$ . We say that  $(X, T)$  is *strictly ergodic* if it is both minimal and uniquely ergodic.

By the *orbit-closure* of an element  $x \in X$  we mean the set

$$\bar{O}(x) = \overline{\{T^n(x) : n \in \mathbb{Z}\}}.$$

$X$  is minimal if and only if it is equal to every orbit-closure  $\bar{O}(x)$ , with  $x \in X$ .

Let  $(Y, S)$  be another flow and let  $\pi : X \rightarrow Y$  be an onto continuous mapping such that  $\pi \circ T = S \circ \pi$ . We then say that  $(Y, S)$  is a *factor* of  $(X, T)$  (or  $(X, T)$  is an *extension* of  $(Y, S)$ ). The mapping  $\pi$  is then called a *homomorphism* from the flow  $(X, T)$  to the flow  $(Y, S)$ . The flows  $(X, T)$  and  $(Y, S)$  are said to be *topologically isomorphic* provided there exists a bijective homomorphism from  $(X, T)$  to  $(Y, S)$ .

The *topological centralizer* of a flow  $(X, T)$ , denoted by  $C_{\text{top}}(X, T)$ , is the set of all homomorphisms from  $(X, T)$  to itself (endomorphisms). A flow  $(X, T)$  is called *coalescent* if  $C_{\text{top}}(X, T)$  consists exclusively of isomorphisms (automorphisms). An even more restricted case occurs when  $C_{\text{top}}(X, T)$  is *trivial*, i.e., when it equals  $\{T^n : n \in \mathbb{Z}\}$ .

Every minimal flow admits a *maximal uniformly continuous factor*,  $(G, 1)$ , where  $G$  is a compact monothetic group and 1 denotes the translation by a generator 1 of  $G$ .

All considerations of this note will be devoted to the case when  $X$  is a compact subset of  $\Sigma^{\mathbb{Z}}$ , where  $\Sigma$  is a finite set called an *alphabet*, and  $T = S$  is the left shift transformation. An element

$$x = (\dots, x(-2), x(-1), x(0), x(1), x(2), \dots)$$

of  $X$  will be viewed as a two-sided sequence over the alphabet  $\Sigma$ , while the indices will be called *positions* or *coordinates*. The position 0 is frequently called the *central position*.

By a *block*  $B$  over  $\Sigma$  we mean a finite sequence of elements of  $\Sigma$ , and  $|B|$  denotes its length. If  $x \in X$  then  $x[m, n]$  is the block

$$x[m, n] = (x(m), x(m+1), \dots, x(n-1)).$$

We say that a block  $B$  *appears* in  $x$  at the  $m$ th position if  $B = x[m, m+|B|)$ . We say that a block  $x[m, n]$  appears *periodically* in  $x$  if there exists a positive integer  $p$  (a period) such that  $x[m, n]$  appears in  $x$  at positions  $m + kp$  for all integers  $k$ .

Each homomorphism  $\pi$  of flows which are subshifts over a finite alphabet  $\Sigma$  is determined by a *block code*, i.e., a function  $C : \Sigma^{2n} \rightarrow \Sigma$  ( $n \in \mathbb{N}$  will

be called the *length* of the code) in the following way:

$$\pi x(m) = C(x[m-n, m+n]).$$

*Toeplitz sequences* over  $\Sigma$  are those in which every block appears periodically. Usually we exclude periodic sequences. If  $\omega$  is a Toeplitz sequence, we can consider the following objects (see [Wi]):

1) The *p-periodic parts*,  $\text{Per}_p(\omega) = \{n \in \mathbb{Z} : \omega(n) \text{ appears in } \omega \text{ } p\text{-periodically}\}$ . A period  $p$  is called *essential* if it is the smallest of the periods which yield the same periodic part.

2) A *period structure* of  $\omega$ , which is an increasing sequence  $p_0, p_1, p_2, \dots$  of essential periods with the following properties:  $p_0 \geq 2$ , the period of any block in  $\omega$  is a divisor of some  $p_t$ , and for each nonnegative integer  $t$ ,  $p_t$  divides  $p_{t+1}$ .

3) The *t-skeleton* (for each  $t$ ), which equals  $\text{Per}_{p_t}(\omega)$ . The positions in the complement to the  $t$ -skeleton will be called *t-holes*. Intervals (possibly empty) contained between two consecutive  $t$ -holes will be called *t-intervals*.

4) The collection  $W_t(\omega)$  (for each  $t$ ) of all blocks of the form  $\omega[kp_t, (k+1)p_t]$  will be called the *t-symbols*.

Note that  $t$ -symbols from  $W_t(\omega)$  may differ only at the  $t$ -holes. From the definition of the Toeplitz sequence it follows that for a given integer  $n$  there exists  $t$  such that the  $t$ -symbols have  $t$ -holes at least  $n$  positions away from both the ends. (Pick  $t$  with  $p_t$  equal to a multiple of the period of  $\omega[-n, n]$ .)

It is known that every Toeplitz flow  $(\overline{O}(\omega), S)$  is minimal, and that the maximal uniformly continuous factor of  $(\overline{O}(\omega), S)$  is identical with the  $p$ -adic integers  $(G_p, 1)$ , where  $p$  is a period structure  $(p_t)$  (see [Wi]). It is worth noticing that the corresponding homomorphism from  $\overline{O}(\omega)$  to  $G_p$  is 1-1 exactly on the subset of  $\overline{O}(\omega)$  consisting of Toeplitz sequences.

The monothetic group  $G_p$  consists of elements of the form

$$h = (h(t)) \in \prod_{t=1}^{\infty} \{0, 1, \dots, p_t - 1\}$$

such that  $h(t+1) = h(t) \bmod p_t$ . By identifying every  $h \in G_p$  which is constantly  $j$  starting from some  $t_0$  with the positive integer  $j$ , and every  $h$  for which  $h(t) = p_t - j$  starting from some  $t_0$  with the negative integer  $-j$ , the group  $G_p$  can be viewed as a compactification of the integers. In this setting  $1 = (1, 1, 1, \dots)$  is the generator of  $G_p$ . The sets

$$H_t = \{h \in G_p : h(s) = 0 \text{ for } s \leq t\}$$

are closed and open subgroups admitting exactly  $p_t$  cosets:

$$H_t, H_t + 1, H_t + 2, \dots, H_t + p_t - 1.$$

The cosets of the subgroups  $H_t$  form a base for the topology in  $G_p$ . In this notation every function  $f : G_p \rightarrow \Sigma$  defines a sequence over  $\Sigma$ , by simply restricting the domain to  $\mathbb{Z}$ .

A function  $f : G_p \rightarrow \Sigma$  defines a Toeplitz sequence if  $f$  is continuous at each integer  $j \in G_p$  (i.e., if for each  $j$  there exists a  $t$  such that  $f$  is constant on the coset of  $H_t$  which contains  $j$ ). As in [D1], it is necessary to only consider functions  $f$  with unremovable discontinuities, i.e., such that the set of discontinuities of  $f$ ,  $D(f)$ , cannot be made smaller by only changing the function on  $D(f)$ . We denote the family of such functions by  $F$ . If  $f \in F$  then  $D(f)$  is nowhere dense and closed. Every Toeplitz sequence  $\omega$  is defined by a function  $f : G_p \rightarrow \Sigma$ ,  $f \in F$ , where  $G_p$  is the maximal uniformly continuous factor of  $(\bar{O}(\omega), S)$  (see [D1], [D-I]).

Given a function  $f : G_p \rightarrow \Sigma$  and  $t \geq 0$ , we can define a function  $f^{(t)} : H_t \rightarrow \Sigma^{p_t}$  by the formula

$$f^{(t)}(h) = (f(h), f(h + 1), \dots, f(h + p_t - 1)) \quad (h \in H_t).$$

Note that

$$D(f^{(t)}) = \bigcup_{j=0}^{p_t-1} (D(f) \cap (H_t + j)) - j.$$

If  $f \in F$  and  $\mathbb{Z} \cap D(f) = \emptyset$  then the same holds for  $f^{(t)}$  (the domain of  $f^{(t)}$ ,  $H_t$ , can also be viewed as a group of the form  $G_p$ ). It is not hard to see that if now  $h \notin D(f^{(t)})$  then  $f^{(t)}(h) \in W_t(\omega)$ , where  $\omega$  is the Toeplitz sequence defined by  $f$ .

**2. Isomorphism theorems.** Let  $\omega$  and  $\eta$  be two Toeplitz sequences over an alphabet  $\Sigma$ , and having the same period structure  $p$ . (Two Toeplitz sequences over finite alphabets can always be viewed as sequences over a common finite alphabet.) Suppose there exists a homomorphism  $\pi$  from  $(\bar{O}(\omega), S)$  to  $(\bar{O}(\eta), S)$ . Then  $\pi$  preserves the maximal uniformly continuous structures of both flows, so it induces a homomorphism  $\pi'$  from  $(G_p, 1)$  to itself. But every such homomorphism is addition of an element  $h_0$  in  $G_p$ . Thus, denoting by  $\pi_1$  and  $\pi_2$  the natural homomorphisms from  $(\bar{O}(\omega), S)$  and from  $(\bar{O}(\eta), S)$  to  $G_p$ , respectively, we obtain the following commutative diagram:

$$\begin{array}{ccc} \bar{O}(\omega) & \xrightarrow{\pi} & \bar{O}(\eta) \\ \pi_1 \downarrow & & \downarrow \pi_2 \\ G_p & \xrightarrow{h_0} & G_p \end{array}$$

We then say that  $\pi$  is *over*  $h_0$ . Notice that from the diagram and earlier remarks it follows that  $\pi$  sends Toeplitz sequences in a 1-1 way to Toeplitz sequences.

We first consider the existence of homomorphisms from  $(\bar{O}(\omega), S)$  to  $(\bar{O}(\eta), S)$  which are over zero. It is obvious that an equivalent condition for such a homomorphism to be over zero is that  $\pi\omega = \eta$ , because  $\omega$  and  $\eta$  are the unique elements sent to zero by  $\pi_1$  and  $\pi_2$ , respectively.

**THEOREM 1.** *There exists a homomorphism (isomorphism)  $\pi$  from  $(\bar{O}(\omega), S)$  to  $(\bar{O}(\eta), S)$  over zero if and only if for some  $t \geq 0$  there exists a function (bijective function)  $\Pi : W_t(\omega) \rightarrow W_t(\eta)$  such that*

$$\eta[kp_t, (k+1)p_t) = \Pi(\omega[kp_t, (k+1)p_t))$$

for every integer  $k$ .

**Proof.** Necessity will be derived from the fact that  $\pi$  is determined by some block code  $C$ . Namely, let  $t$  be such that the holes in the  $t$ -symbols of  $\omega$  are at least  $n$  positions away from the ends, where  $n$  is the length of the code  $C$ . Note that now each  $t$ -symbol in  $\omega$  is preceded and followed by at least  $n$  fixed symbols (not depending on the particular choice of the  $t$ -symbol), and hence it determines through  $C$  the entire corresponding  $t$ -symbol of  $\eta$ . Thus the function  $\Pi$  can be defined.

For sufficiency notice the following: every  $\omega' \in \bar{O}(\omega)$  is a concatenation of  $t$ -symbols, perhaps shifted to positions of the form  $kp_t + j$ , for some  $0 \leq j < p_t$ . Thus the application of the function  $\Pi$  to  $\omega'$  (in a natural way:  $t$ -symbol after  $t$ -symbol) will produce a concatenation of  $t$ -symbols of  $\eta$  shifted to the same positions  $kp_t + j$ . This procedure defines a function  $\pi$  from  $(\bar{O}(\omega), S)$  to some set of sequences. Now,  $\pi$  is easily seen to be continuous and shift-preserving, hence the image by  $\pi$  is a minimal subshift. But, since  $\pi\omega = \eta$ , the image must equal  $\bar{O}(\eta)$ , and  $\pi$  is a homomorphism from  $(\bar{O}(\omega), S)$  to  $(\bar{O}(\eta), S)$ , as desired. The isomorphism case is now immediate. ■

The above theorem can also be expressed in terms of the functions on  $G_p$  defining the Toeplitz sequences  $\omega$  and  $\eta$ . The proof of the following statement is an immediate consequence of Theorem 1 along with the fact that if  $f \in F$  then  $f$  restricted to  $\mathbb{Z}$  fully determines  $f$  except on  $D(f)$ , and of the remarks following the definition of  $f^{(t)}$ .

**THEOREM 2.** *Let  $f : G_p \rightarrow \Sigma$  and  $g : G_p \rightarrow \Sigma$  be such that  $f \in F$ ,  $g \in F$ ,  $\mathbb{Z} \cap D(f) = \emptyset$ ,  $\mathbb{Z} \cap D(g) = \emptyset$ , i.e., both functions define Toeplitz sequences, say  $\omega$  and  $\eta$ , respectively. Then there exists a homomorphism (isomorphism) over zero from  $(\bar{O}(\omega), S)$  to  $(\bar{O}(\eta), S)$  if and only if for some  $t \geq 0$  there exists a function (bijective function)  $\Pi : W_t(\omega) \rightarrow W_t(\eta)$  such that for every  $h \in H_t \setminus D(f^{(t)})$ ,*

$$g^{(t)}(h) = \Pi(f^{(t)}(h)).$$

Note that if the above is satisfied then  $D(g^{(t)}) \subset D(f^{(t)})$ , and in the isomorphism case we have equality. Also note that the function  $\Pi$  is always onto.

Our next step is getting rid of the assumption that the homomorphism is over zero. An appropriate theorem will be formulated in terms of functions on  $G_p$ .

**THEOREM 3.** *With the assumptions of Theorem 2, there exists a homomorphism (isomorphism) from  $(\overline{O}(\omega), S)$  to  $(\overline{O}(\eta), S)$  over some  $h_0 \in G_p$  if and only if for some  $t \geq 0$  there exists a function (1-1 function)  $\Pi : W_t(\omega) \rightarrow \Sigma^{pt}$  such that for every  $h \in H_t \setminus D(f^{(t)})$ ,*

$$(g \circ h_0)^{(t)}(h) = \Pi(f^{(t)}(h)),$$

where  $g \circ h_0$  denotes the ordinary composition of  $g$  with addition of  $h_0$  on  $G_p$ .

**PROOF.** First observe that if  $g \circ h$  defines a Toeplitz sequence,  $\eta'$ , for an  $h \in G_p$  then  $\eta' \in \overline{O}(\eta)$  (see [D1]). Also  $\eta'$  is the unique element in the preimage of  $h$  by  $\pi_2$ , the maximal uniformly continuous factor of  $(\overline{O}(\eta), S)$ . Now, if a homomorphism  $\pi$  over  $h_0$  exists then  $\pi\omega$  is a Toeplitz sequence, and it is the one defined by  $g \circ h_0$ . Next apply Theorem 2 to  $\omega$  and  $\pi\omega$ . Conversely, the formula involving  $\Pi$  in the assertion yields, by Theorem 2, the existence of a homomorphism over zero from  $(\overline{O}(\omega), S)$  to the orbit-closure of the Toeplitz sequence,  $\eta'$ , defined by  $g \circ h_0$ . Applying the translation by  $-h$  we see that  $\eta$  belongs to this orbit-closure, which hence equals  $\overline{O}(\eta)$ . Thus we have a homomorphism from  $(\overline{O}(\omega), S)$  to  $(\overline{O}(\eta), S)$  over  $h_0$ , since the last is the image of  $\eta'$  by  $\pi_2$ . ■

**3. An uncountable family.** We now present a construction of an uncountable family of Toeplitz sequences over two symbols with the following properties: the corresponding Toeplitz flows are strictly ergodic with zero entropy, they all have the same maximal uniformly continuous factor, and are pairwise nonisomorphic. Later we modify the construction to obtain another family of Toeplitz flows with the same properties except that the topological entropy will have an arbitrarily preset common value between 0 and  $\log 2$ . Below we will identify the group  $G_p$  with its homeomorphic copy (a Cantor set)  $\mathbf{C}$  on an interval.

*Construction.* Let  $\Sigma = \{0, 1\}$ . Consider the quotient equivalence relation  $R$  of  $G_p/\mathbb{Z}$  in  $G_p$ :

$$h_1 R h_2 \Leftrightarrow h_1 - h_2 \in \mathbb{Z}.$$

Obviously, the corresponding equivalence classes are countable, hence the number of classes is continuum. Choose one representative  $c_\alpha$  from each class except the class of zero ( $\alpha$  indexes the classes). Next, for each  $\alpha$  find  $a_\alpha \notin \mathbb{Z}$  and  $b_\alpha \notin \mathbb{Z}$  such that  $b_\alpha - a_\alpha = c_\alpha$ , and let  $f_\alpha : G_p \rightarrow \Sigma$  be equal to  $1_{[a_\alpha, b_\alpha] \cap \mathbf{C}}$ . Obviously,  $f_\alpha \in F$ . Since  $f_\alpha$  has the only discontinuities at  $a_\alpha$  and  $b_\alpha$ , it defines a Toeplitz sequence, say  $\eta_\alpha$ . It follows e.g. from [D-I] that

$\eta_\alpha$  is regular, hence its orbit-closure is strictly ergodic and has zero entropy. It is not hard to see that the maximal uniformly continuous factor of the Toeplitz flow generated by the Toeplitz sequence  $\eta_\alpha$  equals  $G_p$  (see e.g. [D1], Theorem 3). Note that for any  $h \in G_p$  the function  $f_\alpha \circ h$  has exactly two discontinuities differing by  $c_\alpha$ . Thus, for a given  $t \geq 0$ , the difference between the only two discontinuity points of the function  $(f_\alpha \circ h)^{(t)}$  is  $R$ -equivalent to  $c_\alpha$ . Now, applying Theorem 3 and the note following Theorem 2, we conclude that the Toeplitz flows generated by the Toeplitz sequences  $\eta_\alpha$  are pairwise nonisomorphic.

Finally, we apply the technique of “mixing” to modify the obtained family of Toeplitz flows to one with a common nonzero entropy. This technique has been already presented in [D-I] and then developed in [D2]. Pick a positive integer  $n$  and let  $q_0 = n$  and  $q_t = np_{t-1}$  ( $t > 0$ ). The group  $G_q$  can be identified with a union of  $n$  disjoint copies of  $G_p$  on an interval. Choose a Toeplitz sequence  $\eta$  with period structure  $q$  and topological entropy equal to a preset value  $\varepsilon \in (0, \log 2)$ . Then let  $f : G_q \rightarrow \Sigma$  be a function in  $F$  defining  $\eta$ . The existence of such objects is shown in [D-I]. Next, let  $r_0 = n + 1$  and  $r_t = (n + 1)p_{t-1}$  ( $t > 0$ ). The group  $G_r$  can be viewed as a disjoint union of one copy of  $G_p$  and one of  $G_q$ . For each index  $\alpha$  define a function  $g_\alpha : G_r \rightarrow \Sigma$  by putting  $f_\alpha$  on the copy of  $G_p$  (as in the first part of the construction) and  $f$  on the copy of  $G_q$ . It is seen that  $g_\alpha \in F$  and it defines a Toeplitz sequence, say  $\omega_\alpha$ . (The sequence  $\omega_\alpha$  is obtained from  $\eta_\alpha$  and  $\eta$  by taking their entries alternately:  $\omega_\alpha((n + 1)m) = \eta_\alpha(m)$ ,  $\omega_\alpha((n + 1)m + k) = \eta(nm + k - 1)$  ( $0 < k \leq n$ )). Suppose there exists a homomorphism over some  $h_0 \in G_r$  from the orbit-closure of one of the  $\omega_\alpha$  to another. If  $h_0(0) = 0$  then  $h_0$  sends the copy of  $G_p$  in  $G_r$  to itself, hence it induces a homomorphism of the orbit-closures of the corresponding sequences  $\eta_\alpha$ , which is impossible. In other cases consider  $h^n$ , to obtain the same contradiction. A similar argument can be used to show that the maximal uniformly continuous factor for each  $\alpha$  is  $G_r$ . As easily computed, the topological entropy of  $\omega_\alpha$  equals  $\varepsilon n / (n + 1)$ , which can be an arbitrary number in  $(0, \log 2)$ .

**4. The problem of coalescence.** The question whether all Toeplitz flows are coalescent seems to remain still open. We can give a positive answer for flows generated by several special types of Toeplitz sequences. Some of the results stated below can be found in earlier papers; however, applying the isomorphism criterion simplifies the proofs. The following idea covers most of previously obtained results.

LEMMA 1. *Suppose  $\pi$  is a noninvertible endomorphism of a Toeplitz flow  $(\overline{O}(\omega), S)$ . Let  $n$  be the length of the corresponding block code. Then, for*

every  $t \geq$  some  $t_0$ , there exist  $t$ -intervals  $I_0, I_1, \dots, I_k$  with the following properties:

- 1)  $|I_0| = m_t = \max\{|I| : I \text{ a } t\text{-interval}\} \geq 2n$ ,
- 2)  $|I_{i-1}| - 2n < |I_i| < |I_{i-1}|$ , for  $i = 1, \dots, k$ ,
- 3)  $|I_k| < 2n$ .

**Proof.** The proof consists of three parts.

**Part 1.** First observe that all possible systems of  $t$ -symbols to be found as  $W_t(\omega')$  with  $\omega' \in \overline{O}(\omega)$  are those obtained by dividing  $\omega$  into blocks of length  $p_t$  (which is possible in exactly  $p_t$  different ways). Every such partition induces a partition of the  $t$ -skeleton and of the complementary set of  $t$ -holes (both are  $p_t$ -periodic sets). We say that such a partition *hits* a  $t$ -interval  $I$  if it separates the two  $t$ -holes at the ends of  $I$ . Now, the number of different  $t$ -symbols obtained by one such partition of  $\omega$  is determined by the induced partition of the set of  $t$ -holes. Hence, if two partitions hit the same  $t$ -interval, they result in equally rich sets of  $t$ -symbols.

**Part 2.** Imagine the Toeplitz sequences  $\omega, \pi\omega, \pi^2\omega, \dots$  written one under another with the central positions lined up. Find in  $\omega$  some  $t$ -interval  $I_0$  with  $|I_0| \geq 2n$ . Clearly, there are no  $t$ -holes directly below the central part of  $I_0$ ,  $I_0[n, |I_0| - n)$ , which means that below  $I_0$  there appears a  $t$ -interval, say  $I_1$ , of length at least  $|I_0| - 2n$ . Apply a partition of  $\omega$  hitting  $I_0$  in its center, so that the resulting  $t$ -symbols have  $t$ -holes at least  $n$  positions away from their ends. Now, as in the proof of Theorem 1, passing to underlying  $t$ -symbols in  $\pi\omega$  agrees with the action of a function  $\Pi$ . Since  $\pi$  is noninvertible, there must be less  $t$ -symbols below than above. Suppose  $|I_1| \geq 2n$ . Then we can repeat the whole procedure in the next step to find  $I_2$  in  $\pi^2\omega$ . Similarly, we also apply a new partition hitting  $I_1$  in its center. Observe that the previous partition of  $\pi\omega$  also hits the  $t$ -interval  $I_1$  (possibly not in its center). As proved in part 1, both partitions yield equally rich sets of  $t$ -symbols in  $\pi\omega$ , while in  $\pi^2\omega$  we have even less  $t$ -symbols. We can continue this procedure as long as the resulting  $t$ -intervals  $I_1, I_2, \dots$  have lengths  $\geq 2n$ . Since the consecutive quantities of  $t$ -symbols cannot decrease infinitely, it is seen that in some step  $k$  we arrive at a  $t$ -interval with length  $< 2n$ , as desired in 3).

**Part 3.** Starting the above construction from a  $t$ -interval of maximal length and then choosing a subsequence with appropriately decreasing lengths, we obtain a sequence as in the assertion. ■

The following condition was introduced in [B-K1]:

**DEFINITION 1.** We say that a Toeplitz sequence  $\omega$  has *separated holes* if for each positive integer  $m$  there exists  $t \geq 0$  such that any two consecutive  $t$ -holes in  $\omega$  are at least  $m$  positions apart.



It is not hard to see that in terms of the function  $f : G_p \rightarrow \Sigma$  defining a Toeplitz sequence the above condition means that  $D_f$  has at most one representative in every  $R$ -equivalence class. We can now improve a result of [B-K1] where it was stated only for sequences over two symbols:

**THEOREM 4.** *If  $\omega$  is a Toeplitz sequence with separated holes then  $(\bar{O}(\omega), S)$  is coalescent.*

**Proof.** For sequences with separated holes condition 3) of Lemma 1 cannot be satisfied for large  $t$ . ■

It was proved in [B-K2] that the centralizer of a Toeplitz flow obtained from the so-called generalized Oxtoby sequence is trivial (which implies coalescence of such flows). As an application of Lemma 1 we can derive coalescence for generalized Oxtoby sequences almost immediately. First recall the definition of a generalized Oxtoby sequence (cf. condition  $(*)$  in [B-K2]):

**DEFINITION 2.** A Toeplitz sequence  $\omega$  is called a *generalized Oxtoby sequence* provided for each  $t > 0$  and each integer  $k$ , if  $\omega[kp_t, (k + 1)p_t)$  contains a  $(t + 1)$ -hole then all  $t$ -holes in this interval are  $(t + 1)$ -holes.

Now, for a generalized Oxtoby sequence  $\eta$ , choose  $t$  such that  $p_{t-1} > 4n$ . As easily seen, if  $|I_0| = m_t > 2p_{t-1}$ , then the next available smaller length of a  $t$ -interval is shorter by at least  $p_{t-1}$ , hence a  $t$ -interval  $I_1$  as in Lemma 2 cannot exist. Suppose that

$$2p_{t-1} > m_t > p_{t-1}$$

for all sufficiently large  $t$ . Consider  $I_0, I_1$  and  $I_2$ , the longest, second longest and third longest  $(t + 1)$ -intervals. We have

$$|I_0| = m_{t+1} > p_t, \quad |I_1| \leq m_t < p_t \quad \text{and} \quad |I_2| \leq m_{t-1} < p_{t-1}.$$

Since  $p_t \geq 2p_{t-1}$ , we obtain

$$|I_0| - |I_2| > p_{t-1} > 4n.$$

Again, the sequence of Lemma 2 cannot exist, and the coalescence follows.

Finally, we can point out another condition sufficient for coalescence of a Toeplitz flow. Recall that a Toeplitz sequence is called *regular* if the densities in  $\mathbb{Z}$  of the  $t$ -skeletons tend to 1, or, equivalently, if  $h_t/p_t \rightarrow 0$ , where  $h_t$  denotes the number of  $t$ -holes in each period. A condition slightly stronger than regularity is used in the following statement:

**THEOREM 5.** *If*

$$h_t^2/p_t \rightarrow 0 \quad \text{as } t \rightarrow \infty,$$

*then the Toeplitz flow  $(\bar{O}(\omega), S)$  is coalescent.*

*Proof.* By Lemma 1, in the noncoalescent case there appear at least  $m_t/(2n)$  different  $t$ -intervals, hence also  $h_t \geq m_t/(2n)$  ( $n$  a positive integer). On the other hand,  $m_t \geq p_t/h_t - 1$ , which contradicts the assumed convergence. ■

*COROLLARY.* *All Toeplitz flows constructed in Section 3 are coalescent.*

*Proof.* The statement follows for Toeplitz flows with entropy zero, since they all have separated holes. For the modified family with nonzero entropy apply a coalescent Toeplitz flow on  $G_q$  and then consider the iterate of each endomorphism which preserves the copies of  $G_q$  and  $G_p$  in  $G_r$ . ■

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