

## A FOUR-FIELD THREE-PHASE FLOW MODEL WITH BOTH MISCIBLE AND IMMISCIBLE COMPONENTS

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**Abstract.** A three-phase flow model with hybrid miscibility constraints is proposed: three immiscible phases are considered (liquid water, liquid metal and gas) but the gaseous phase is composed with two miscible components (steam water and non-condensable gas). The modelling approach is based on the building of an entropy inequality for the system of partial differential equations: once an interfacial velocity is given by the user, the model is uniquely defined, up to some relaxation time scales, and source terms complying with the second principle of thermodynamics can then be provided. The convective part of the system is hyperbolic when fulfilling a non-resonance condition and classical properties are studied (Riemann invariants, symmetrization). A key property is that the system possesses uniquely defined jump conditions. Last, preservation of thermodynamically admissible states and pressure relaxation are investigated.

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### 1. INTRODUCTION

In the framework of nuclear safety demonstration for pressurized water reactors, some accidental scenarios are studied (see IRSN website [44]). They involve compressible flows undergoing fast transient situations with mass transfer. Steam explosion (see [8]) falls into this category and its accurate simulation is still a challenging problem [9]. It might occur when very hot liquid metal particles interact with quiet liquid water. Liquid water heated by metal suddenly changes into steam and a steam layer appears around metal particles. Heat transfer is thus inhibited until this layer becomes unstable. A steam explosion may then arise, leading to pressure waves likely to damage the surrounding structures.

In addition to metal, liquid and steam water, other gases may be present: indeed, ambient air may be mixed with vapor when metal comes into the free surface of water, or hydrogen might appear under accidental conditions because of fuel oxidation. Then, the proportion of non-condensable gas compared with water vapor in the gaseous layer around metal particles has a strong influence on the probability of observing a steam explosion or not [2], since non-condensable gas limits steam condensation [40]. Numerical studies also bear out the effect

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of the inert gas quantity on condensation, like in [4]. Non-condensable gas is besides taken into account in the reference industrial codes classically used to simulate a vapor explosion [7, 52].

Our aim in this work is to propose a meaningful model with suitable mathematical properties for the previous applications, describing a flow with four components: liquid and steam water, liquid metal particles and non-condensable gas. Moreover, our model needs to cope with mass transfer between liquid and steam water, and to correctly manage shock waves. We insist on the fact that only a modelling work will be presented here: perspectives and references concerning the numerical simulation will be discussed in conclusion.

A wide range of two-phase flow models has been proposed since decades. Fewer references are available about three-phase flow models, see [9, 29, 33, 35, 38, 42, 51, 53, 55]. Among all these models, two classes can be distinguished: models based on the multifluid approach [5, 9, 12, 23, 29, 33, 35, 38, 47, 53, 55], where each component has its own velocity field, and models based on the homogeneous approach [3, 6, 19, 30–32, 41, 42, 46, 51], where the kinematic equilibrium is assumed between all constituents.

The choice between one approach or the other is a matter of interest and can be discussed regarding characteristic time scales for the considered system. Indeed, the targeted applications are rapid transients where the phases are almost always out of equilibrium, so that the system dynamics is driven by return towards equilibrium and convective effects. The mechanical, kinematic or thermal transfers between phases occur at different characteristic time scales, so that lots of classical modelling approaches may suppose some instantaneous partial equilibria, in terms of pressure, velocity or temperature: such partial relaxations enable to build a hierarchy of models as depicted in [20]. By noting  $\tau_p$  the pressure relaxation time scale,  $\tau_u$  the velocity relaxation time scale and  $\tau_T$  the temperature relaxation time scale, the following ordering<sup>1</sup> is often assumed, based on estimations obtained for instance in [47]:

$$0 < \tau_p, \tau_u \ll \tau_T.$$

$\tau_p$  and  $\tau_u$  can be differently ordered depending on the considered model, as recalled in the introduction of [50]. Note that evaluating each phasic velocity is sometimes unavoidable, like for the vapor explosion (see [8, 52]) where the velocity gaps are required to estimate interfacial transfers through the interfacial area. To numerically take into account the kinematic disequilibrium, a time step  $\Delta t$  smaller than  $\tau_u$  is required. A homogeneous model does not impose this constraint on  $\Delta t$  and is thus far cheaper on industrial meshes; but thanks to the computing cost drop, the multifluid approach is today more and more affordable and thus requires a relevant modelling.

Both approaches have their own strengths and their own difficulties. The homogeneous models have a simpler convective structure than the multifluid models (for instance, compare [42] with [33], two models describing the same mixture with three immiscible phases). Nevertheless, a mixture equation of state should be carefully built in the homogeneous models (see [42, 51]), whereas the thermodynamical behavior is decoupled phase by phase in the multifluid models. Numerically, building the mixture equation of state in a homogeneous model can be somehow tricky when considering realistic equations of state, so that very robust numerical schemes are required as highlighted in [32].

Moreover, for some applications like for the vapor explosion (see [8, 52]), evaluating each phasic velocity is sometimes unavoidable, because the velocity gaps are required to estimate interfacial transfers through the interfacial area. The velocity gaps are indeed the key ingredient enabling to model the dislocation effects of the liquid metal droplets, that induce a high heat transfer between metal and water which may lead to an explosion.

The present model is derived from the multifluid approach. It belongs to a family of models developed since 2000 [12, 21, 33–35, 37, 38], built among a similar strategy, by imposing the following minimal requirements:

- to be hyperbolic;
- to possess a physically relevant entropy inequality;
- to possess uniquely defined jump conditions.

Moreover, the preservation of the thermodynamical admissible domain should be ensured and the symmetrizability is also expected (see [16, 38, 55]). Thanks to the previous properties, problems with an analytical solution,

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<sup>1</sup>This hierarchy is not absolute and may depend on the considered physical situation as explained in [24].

which may involve shock waves, can be built, and one may thus request that two different numerical schemes should converge towards a unique solution when refining the mesh. This is also a key feature to verify numerical codes intended for safety study purposes. The initial model [12, 21] is a two-phase flow model with two immiscible phases, similar to the original Baer–Nunziato model [5]. Both barotropic model and model with energy have been studied. The counterpart of this model has been proposed in [33], with three immiscible phases; its barotropic version [35] has been implemented in [9], and the full model with energy equation in [10]. A hybrid two-phase flow model with three components has been last developed in [38]: steam water and non-condensable gas are miscible whereas liquid and gaseous phase are immiscible.

The present model aims to simultaneously tackle all the features of these previous models: three immiscible phases are present (liquid water, liquid metal and gas) like in [33] and the gaseous phase is composed with two miscible components (steam water and non-condensable gas) like in [38].

The model is based on a system of conservation laws with additional non-conservative terms involving an interfacial velocity  $\mathbf{v}_I$ . Our modelling effort focuses on the correct definition of the non-conservative terms: we only focus on models admitting a relevant entropy inequality and uniquely defined jump conditions that degenerate towards the classical Euler framework when considering single-phase flows.

Section 2 is devoted to the building of an entropy inequality. From the partial differential equations, a natural mixture entropy is derived: once  $\mathbf{v}_I$  is given by the user, the model is uniquely defined, up to some relaxation time scales. Several submodels can be studied by choosing a particular form for  $\mathbf{v}_I$ . Following a classical approach, admissible source terms complying with entropy growth within time can be found.

Section 3 focuses on the properties of the convective part of such models. For any  $\mathbf{v}_I$ , hyperbolicity is ensured, unless resonance occurs. Then, two submodels are considered for which unique jump conditions can be easily exhibited: when  $\mathbf{v}_I$  is equal to  $\mathbf{u}_s$ , one of the phasic velocities, or when  $\mathbf{v}_I$  is defined as  $\mathbf{u}_m$ , the average of the phasic velocities. Hence, we retrieve the “classical” two-phase flow results (see [12]). For both cases, the convective structure is precisely described in terms of waves and Riemann invariants. It is also shown that the quasi-linear system admits a symmetric form, ensuring that the Cauchy problem based on this model has a unique local-in-time smooth solution [48] (while excluding the resonance).

Last, in Section 4, the case  $\mathbf{v}_I = \mathbf{u}_s$  is more deeply studied, by giving some useful properties that might help to prepare a future numerical implementation of the model. We shall see that the thermodynamically admissible domain is preserved for simple equations of state. The natural pressure equilibria, satisfying the Dalton’s law, are not violated, and the relaxation system ensures that initial pressure gaps will relax towards zero, so that pressure equilibria will be recovered, provided that some meaningful restrictions on initial pressure differences hold.

## 2. A FOUR-FIELD THREE-PHASE FLOW MODEL

The considered flows are composed of four fields, representing the following components: liquid metal, liquid water, vapor and gas. For sake of readability, we define:  $\mathcal{K} = \{s, l, v, g\}$ , and each field will be identified in the following by a subscript  $k \in \mathcal{K}$ : subscript  $s$  refers to liquid metal; subscript  $l$  refers to liquid; subscript  $v$  refers to vapor and subscript  $g$  refers to gas.

First, a system of conservation laws describing the four fields is written, based on Euler equations with additional terms modelling the interfacial exchanges. A natural entropy equality is associated to this system.

Several submodels can then be studied, depending on the miscibility constraints. In our application, vapor and gas are miscible whereas liquid metal, liquid water and gaseous mixture are immiscible.

Closure laws for non conservative terms satisfying minimal entropy dissipation are proposed. Last, particular forms are proposed for the source terms: as the model should comply with entropy growth for weak solutions, some constraints can be exhibited.

## 2.1. General framework

### 2.1.1. Set of variables and notations

Let us consider a field  $k$ ,  $k \in \mathcal{K}$ . The following set of variables  $\mathbf{Y}_k$  is considered:

$$\mathbf{Y}_k = (\alpha_k, m_k, m_k \mathbf{u}_k, \alpha_k E_k)^t, \quad (2.1)$$

where  $\alpha_k$  is the statistical fraction,  $m_k$  is the partial mass (in  $\text{kg m}^{-3}$ ),  $\mathbf{u}_k \in \mathbb{R}^3$  is the velocity (in  $\text{m s}^{-1}$ ) and  $E_k$  is the total energy (in  $\text{J m}^{-3}$ ), *i.e.* the sum of kinetic energy and internal energy.

Let us then precise some notations:  $P_k$  stands for pressure (in Pa);  $T_k$  for temperature (in K); we note also  $a_k = T_k^{-1}$ ;  $\epsilon_k$  for internal energy (in  $\text{J kg}^{-1} \text{m}^{-3}$ );  $s_k$  for entropy (in  $\text{J K}^{-1} \text{kg}^{-1} \text{m}^{-3}$ ) and  $c_k$  for sound velocity (in  $\text{m s}^{-1}$ ). Density  $\rho_k$  (in  $\text{kg m}^{-3}$ ) is defined by  $m_k = \alpha_k \rho_k$ .

**Remark 2.1.** Note that for all  $k \in \mathcal{K}$ ,  $\alpha_k \in ]0, 1[$ : monophasic cases or cases with one or more missing phases are excluded.

### 2.1.2. Equation of state

Thermodynamically, a field  $k$  is described with the following equation of state for internal energy:  $\epsilon_k(P_k, \rho_k)$ . This choice is relevant regarding our previous choice for  $\mathbf{Y}_k$  (2.1): with another thermodynamical entry-plane, other more natural definitions of  $\mathbf{Y}_k$  would have been preferred.  $E_k$  can now be explicitly written:

$$E_k = \rho_k \epsilon_k(P_k, \rho_k) + \frac{1}{2} \rho_k \mathbf{u}_k^2. \quad (2.2)$$

The equation of state defines a physically admissible domain, *i.e.* a set  $\mathbb{E}_k = \{(P_k, \rho_k) \in \mathbb{R} \times \mathbb{R}^{+*} / \epsilon_k(P_k, \rho_k) \geq 0\}$ .

For all  $(P_k, \rho_k) \in \mathbb{E}_k$ ,  $c_k$ ,  $s_k$  and  $T_k$  are then defined thanks to  $\epsilon_k$  with the following positivity constraints:

$$\rho_k c_k^2 = \left( \frac{\partial \epsilon_k}{\partial P_k} \Big|_{\rho_k} \right)^{-1} \left( \frac{P_k}{\rho_k} - \rho_k \frac{\partial \epsilon_k}{\partial \rho_k} \Big|_{P_k} \right) > 0, \quad (2.3)$$

$$s_k(P_k, \rho_k) \text{ so that } c_k^2 \frac{\partial s_k}{\partial P_k} \Big|_{\rho_k} + \frac{\partial s_k}{\partial \rho_k} \Big|_{P_k} = 0, \quad (2.4)$$

and

$$T_k = \frac{\partial \epsilon_k}{\partial P_k} \Big|_{\rho_k} \times \left( \frac{\partial s_k}{\partial P_k} \Big|_{\rho_k} \right)^{-1} > 0. \quad (2.5)$$

A requirement for the model is to preserve within time the admissible domain  $\mathbb{E}_k$  and the positivity constraints (2.3) and (2.5): this property will be studied in Section 4.1.

### 2.1.3. Set of partial differential equations

In order to build the complete set of partial differential equations for the whole closed system, balance equations for each variable of  $\mathbf{Y}_k$ ,  $k \in \mathcal{K}$ , are written. It leads to the following system of equations for the state variable  $\tilde{\mathbf{Y}} = \bigcup_{k \in \mathcal{K}} \mathbf{Y}_k$ :

$$\left\{ \begin{array}{l} \partial_t \alpha_k + \mathbf{v}_I(\tilde{\mathbf{Y}}) \cdot \nabla \alpha_k = \Phi_k(\tilde{\mathbf{Y}}) \\ \partial_t m_k + \nabla \cdot (m_k \mathbf{u}_k) = \Gamma_k(\tilde{\mathbf{Y}}) \\ \partial_t (m_k \mathbf{u}_k) + \nabla \cdot (m_k \mathbf{u}_k \otimes \mathbf{u}_k) + \nabla (\alpha_k P_k) + \sum_{k' \neq k} \pi_{kk'}(\tilde{\mathbf{Y}}) \nabla \alpha_{k'} = \mathbf{S}_{\mathbf{Q}_k}(\tilde{\mathbf{Y}}) \\ \partial_t (\alpha_k E_k) + \nabla \cdot (\alpha_k \mathbf{u}_k (E_k + P_k)) - \sum_{k' \neq k} \pi_{kk'}(\tilde{\mathbf{Y}}) \partial_t \alpha_{k'} = S_{E_k}(\tilde{\mathbf{Y}}) \end{array} \right. \quad (2.6)$$

Exchanges between the four fields inside the closed system are accounted for by non conservative terms as well as source terms  $\Phi_k(\tilde{\mathbf{Y}})$ ,  $\Gamma_k(\tilde{\mathbf{Y}})$ ,  $\mathbf{S}_{\mathbf{Q}_k}(\tilde{\mathbf{Y}})$  and  $S_{E_k}(\tilde{\mathbf{Y}})$ . Non conservative terms involve an interfacial velocity  $\mathbf{v}_I(\tilde{\mathbf{Y}})$  and interfacial pressures  $\left(\pi_{kk'}(\tilde{\mathbf{Y}})\right)_{(k,k') \in \mathcal{K}^2, k \neq k'}$ .

**Remark 2.2.** For sake of simplicity, we consider that source terms as well as interfacial closures depend only on  $\tilde{\mathbf{Y}}$ . More complex models could assume for instance a dependance on both  $\tilde{\mathbf{Y}}$  and gradients of the components of  $\tilde{\mathbf{Y}}$  (see [1, 34, 56] and Rems. 2.5 in Sect. 2.3.1 and 3.7 in Sect. 3.1.2).

As we consider a closed system, without external contributions, we assume that mass, momentum and energy exchanges between fields should balance when the mean flow is considered. It implies the following constraints on the closure terms:

$$\sum_{k \in \mathcal{K}} \Gamma_k(\tilde{\mathbf{Y}}) = 0; \quad \sum_{k \in \mathcal{K}} \mathbf{S}_{\mathbf{Q}_k}(\tilde{\mathbf{Y}}) = 0; \quad \sum_{k \in \mathcal{K}} S_{E_k}(\tilde{\mathbf{Y}}) = 0 \tag{2.7}$$

and

$$\sum_{k \in \mathcal{K}} \sum_{k' \neq k} \pi_{kk'}(\tilde{\mathbf{Y}}) \partial_\xi \alpha_{k'} = 0, \quad \xi \in \{t, x, y, z\}. \tag{2.8}$$

At this step, nothing more can be said about  $\Phi_k$ . In the next Section 2.2, vacuum occurrence will be excluded and additional assumptions about miscibility will be made.

2.1.4. *Mixture entropy*

The mixture entropy  $\eta$  is defined as:

$$\eta(\tilde{\mathbf{Y}}) = \sum_{k \in \mathcal{K}} m_k s_k. \tag{2.9}$$

This choice is very classical. It can be proven (see Appendix A) that smooth solutions of (2.6) verify the following entropy equality:

$$\partial_t \eta(\tilde{\mathbf{Y}}) + \nabla \cdot \mathbf{f}_\eta(\tilde{\mathbf{Y}}) + \mathcal{A}_\eta(\tilde{\mathbf{Y}}, (\nabla \alpha_k)_{k \in \mathcal{K}}) = \text{RHS}_\eta(\tilde{\mathbf{Y}}), \tag{2.10}$$

where the entropy flux reads:

$$\mathbf{f}_\eta(\tilde{\mathbf{Y}}) = \sum_{k \in \mathcal{K}} m_k s_k \mathbf{u}_k, \tag{2.11}$$

and

$$\mathcal{A}_\eta(\tilde{\mathbf{Y}}, (\nabla \alpha_k)_{k \in \mathcal{K}}) = \sum_{k \in \mathcal{K}} T_k^{-1} (\mathbf{v}_I - \mathbf{u}_k) \cdot \left( \sum_{k' \neq k} \Pi_{kk'}(\tilde{\mathbf{Y}}) \nabla \alpha_{k'} + P_k \nabla \alpha_k \right), \tag{2.12}$$

and

$$\begin{aligned} \text{RHS}_\eta(\tilde{\mathbf{Y}}) = \sum_{k \in \mathcal{K}} T_k^{-1} \left\{ S_{E_k} + \sum_{k' \neq k} \Pi_{kk'}(\tilde{\mathbf{Y}}) \Phi_{k'}(\tilde{\mathbf{Y}}) - \Gamma_k(\tilde{\mathbf{Y}}) \epsilon_k \right. \\ \left. - \mathbf{u}_k \cdot \left( \mathbf{S}_{\mathbf{Q}_k} - \frac{\Gamma_k(\tilde{\mathbf{Y}})}{2} \mathbf{u}_k \right) + \rho_k \frac{\partial \epsilon_k}{\partial \rho_k} \left( \rho_k \Phi_k(\tilde{\mathbf{Y}}) - \Gamma_k(\tilde{\mathbf{Y}}) \right) \right\} \\ + \sum_{k \in \mathcal{K}} \left\{ s_k \Gamma_k(\tilde{\mathbf{Y}}) + \rho_k \frac{\partial s_k}{\partial \rho_k} \left( \Gamma_k(\tilde{\mathbf{Y}}) - \rho_k \Phi_k(\tilde{\mathbf{Y}}) \right) \right\}. \end{aligned} \tag{2.13}$$

A model with minimal entropy dissipation is defined as a model based on system (2.6) fulfilling:

$$\mathcal{A}_\eta(\tilde{\mathbf{Y}}, (\nabla \alpha_k)_{k \in \mathcal{K}}) = 0. \tag{2.14}$$

Only such models will be considered in the following.

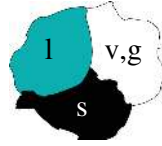


FIGURE 1. Scheme of the miscibility constraints for the considered three-phase flow system.

### 2.2. Miscibility constraints

In [33], a model with three immiscible phases has been studied. Here, we consider hybrid miscibility conditions, as represented in the Figure 1:

- vapor and gas are miscible and form a gaseous phase;
- gaseous phase, liquid water and liquid metal are immiscible.

It implies the following constraints:

$$\alpha_v = \alpha_g; \quad \alpha_s + \alpha_l + \alpha_v = 1. \tag{2.15}$$

Only two void fractions are independent; we keep  $\alpha_s$  and  $\alpha_l$ . The state variable can be reduced:

$$\mathbf{Y} = \left( (\alpha_s, \alpha_l) \cup \left( \bigcup_{k \in \mathcal{K}} (m_k, m_k \mathbf{u}_k, \alpha_k E_k) \right) \right)^t \in \mathbb{R}^{22}. \tag{2.16}$$

We need to impose (otherwise constraints (2.15) would be violated):

$$\Phi_v(\mathbf{Y}) = \Phi_g(\mathbf{Y}); \quad \Phi_s(\mathbf{Y}) + \Phi_l(\mathbf{Y}) + \Phi_v(\mathbf{Y}) = 0. \tag{2.17}$$

Thus, taking into account constraints (2.15) in (2.6), the system of partial differential equations for  $\mathbf{Y}$  reads:

$$\left\{ \begin{array}{l} \partial_t \alpha_s + \mathbf{v}_l(\mathbf{Y}) \cdot \nabla \alpha_s = \Phi_s(\mathbf{Y}) \\ \partial_t \alpha_l + \mathbf{v}_l(\mathbf{Y}) \cdot \nabla \alpha_l = \Phi_l(\mathbf{Y}) \\ \partial_t m_k + \nabla \cdot (m_k \mathbf{u}_k) = \Gamma_k(\mathbf{Y}) \\ \partial_t (m_k \mathbf{u}_k) + \nabla \cdot (m_k \mathbf{u}_k \otimes \mathbf{u}_k) + \nabla (\alpha_k P_k) + K_{ks}(\mathbf{Y}) \nabla \alpha_s + K_{kl}(\mathbf{Y}) \nabla \alpha_l = \mathbf{S}_{\mathbf{Q}_k}(\mathbf{Y}) \\ \partial_t (\alpha_k E_k) + \nabla \cdot (\alpha_k \mathbf{u}_k (E_k + P_k)) - K_{ks}(\mathbf{Y}) \partial_t \alpha_s - K_{kl}(\mathbf{Y}) \partial_t \alpha_l = S_{E_k}(\mathbf{Y}) \end{array} \right. \tag{2.18}$$

where

$$\begin{array}{ll} K_{ls} = \Pi_{ls} - \Pi_{lv} - \Pi_{lg}; & K_{ll} = -\Pi_{lv} - \Pi_{lg}; \\ K_{vs} = -\Pi_{vg} + \Pi_{vs}; & K_{vl} = \Pi_{vl} - \Pi_{vg}; \\ K_{gs} = -\Pi_{gv} + \Pi_{gs}; & K_{gl} = \Pi_{gl} - \Pi_{gv}; \\ K_{ss} = -\Pi_{sv} - \Pi_{sg}; & K_{sl} = \Pi_{sl} - \Pi_{sv} - \Pi_{sg}. \end{array} \tag{2.19}$$

### 2.3. Entropy inequality constraints

Using entropy equality (2.10), closures are proposed in this section:

- given  $v_l(\mathbf{Y})$ , a unique set  $(K_{kk'}(\mathbf{Y}))_{k \in \mathcal{K}, k' \in \{s, l\}}$  complying with (2.8) is determined by looking for solutions with minimal entropy dissipation (2.14).
- source terms fulfilling the constraints (2.7) and (2.17) need to comply with entropy growth for smooth solutions.

2.3.1. Closures for interfacial terms fulfilling the minimal entropy dissipation

Interfacial velocity is assumed to have the following form:

$$\mathbf{v}_I(\mathbf{Y}) = \sum_{k \in \mathcal{K}} \beta_k \mathbf{u}_k, \tag{2.20}$$

where, because of Galilean invariance principle:

$$\sum_{k \in \mathcal{K}} \beta_k = 1. \tag{2.21}$$

Since, intuitively, we expect that  $v_I$  is some kind of average of the velocity fields, an additional (but not mandatory) constraint is imposed:

$$\forall k \in \mathcal{K}, \beta_k \geq 0. \tag{2.22}$$

**Remark 2.3.** The exact form for  $(\beta_k)_{k \in \mathcal{K}}$  is a key point in the modelling procedure and will be detailed later on, in Section 3.1.2.

**Proposition 2.4** (Solution with minimal entropy dissipation). *For the interfacial velocity defined by (2.20), there exists a unique set of  $(K_{kk'}(\mathbf{Y}))_{k \in \mathcal{K}, k' \in \{s,l\}}$  fulfilling (2.8) and complying with minimal entropy dissipation (2.14). The solution reads:*

$$\begin{aligned} K_{ls} &= \mathfrak{T} \beta_l T_l (P_s - (P_v + P_g)); & K_{ll} &= -P_l + \mathfrak{T} \beta_l T_l (P_l - (P_v + P_g)); \\ K_{vs} &= P_v + \mathfrak{T} \beta_v T_v (P_s - (P_v + P_g)); & K_{vl} &= P_v + \mathfrak{T} \beta_v T_v (P_l - (P_v + P_g)); \\ K_{gs} &= P_g + \mathfrak{T} \beta_g T_g (P_s - (P_v + P_g)); & K_{gl} &= P_g + \mathfrak{T} \beta_g T_g (P_l - (P_v + P_g)); \\ K_{ss} &= -P_s + \mathfrak{T} \beta_s T_s (P_s - (P_v + P_g)); & K_{sl} &= \mathfrak{T} \beta_s T_s (P_l - (P_v + P_g)) \end{aligned}$$

where

$$\mathfrak{T} = \left( \sum_{k \in \mathcal{K}} \beta_k T_k \right)^{-1}. \tag{2.23}$$

The proof as well as the final system satisfied by  $(K_{kk'}(\mathbf{Y}))_{k \in \mathcal{K}, k' \in \{s,l\}}$  are given in Appendix B.

**Remark 2.5.** We could consider more complex closures for  $K_{kk'}$ . For instance, we could replace formely the current  $\Pi_{kk'}^0(\mathbf{Y})$  given by (2.19) and (2.23) by:

$$\Pi_{kk'}(\mathbf{Y}, \nabla \alpha_l, \nabla \alpha_s) = \Pi_{kk'}^0(\mathbf{Y}) + \Pi_{kk'}^{1,l}(\mathbf{Y}) \times \nabla \alpha_l + \Pi_{kk'}^{1,s}(\mathbf{Y}) \times \nabla \alpha_s,$$

as proposed for instance in [34, 56]. In that case, we would have an additional dissipative contribution in the entropy inequality, as soon as the additional quadratic form has the appropriate sign. However, it would introduce additional terms for which we do not have (*a priori*) relevant closures to propose, see [34].

2.3.2. Admissible source terms

Particular forms are assumed for the source terms.

The mass transfer term  $\Gamma_k(\mathbf{Y})$  is defined as a sum of dyadic contributions:

$$\Gamma_k = \sum_{k' \neq k} \Gamma_{kk'}. \tag{2.24}$$

Note that the gas  $g$  is non-condensable, so that:

$$\Gamma_g = 0 \quad \text{and} \quad \forall k \in \mathcal{K}, \Gamma_{gk} = \Gamma_{kg} = 0.$$

The momentum contribution is decomposed into a drag term and a mass transfer term:

$$\mathbf{S}_{\mathbf{Q}k} = \sum_{k' \neq k} \mathbf{D}_{kk'} + \sum_{k' \neq k} \Gamma_{kk'} \mathbf{v}_{\mathbf{k}k'}. \quad (2.25)$$

Last, pure thermal transfer, drag effects and mass transfer are taken into account in the total energy source term:

$$S_{Ek} = \sum_{k' \neq k} \Psi_{kk'} + \sum_{k' \neq k} \mathbf{v}_{\mathbf{k}k'} \mathbf{D}_{kk'} + \sum_{k' \neq k} \Gamma_{kk'} H_{kk'}. \quad (2.26)$$

For sake of readability, the dependance on  $\mathbf{Y}$  has been omitted for the previous introduced terms  $\Gamma_{kk'}$ ,  $\mathbf{D}_{kk'}$ ,  $\Psi_{kk'}$ ,  $\mathbf{v}_{\mathbf{k}k'}$  and  $H_{kk'}$ .

A consequence of (2.7) is that the following dyadic transfers cancel each other:

$$\Gamma_{kk'} + \Gamma_{k'k} = 0; \quad \mathbf{D}_{kk'} + \mathbf{D}_{k'k} = 0; \quad \Psi_{kk'} + \Psi_{k'k} = 0. \quad (2.27)$$

Indeed, for instance,  $\Gamma_{kk'}$  represents the mass transfer from phase  $k$  towards phase  $k'$ : this mass transfer is exactly equal to the mass transfer obtained by phase  $k'$  from phase  $k$  (which can be seen as the opposite of the mass transfer taken from phase  $k'$  and given to phase  $k$ ). Now, considering the second term in  $S_{Ek}$ :

$$\sum_{k' \neq k} \mathbf{v}_{\mathbf{k}k'} \mathbf{D}_{kk'} = \sum_{k' > k} (\mathbf{v}_{\mathbf{k}k'} \mathbf{D}_{kk'} + \mathbf{v}_{\mathbf{k}'k} \mathbf{D}_{k'k}) = \sum_{k' > k} (\mathbf{v}_{\mathbf{k}k'} - \mathbf{v}_{\mathbf{k}'k}) \mathbf{D}_{kk'} = 0.$$

The previous term is indeed equal to zero because each dyadic bound is independent. This leads to  $\mathbf{v}_{\mathbf{k}k'} - \mathbf{v}_{\mathbf{k}'k} = 0$ . Similar equations can be written for the third term in  $S_{Ek}$ , so that we finally get:

$$\mathbf{v}_{\mathbf{k}k'} = \mathbf{v}_{\mathbf{k}'k}; \quad H_{kk'} = H_{k'k}. \quad (2.28)$$

The source terms have to comply with the entropy growth within time for weak solutions:

$$\partial_t \eta(\mathbf{Y}) + \nabla \cdot \mathbf{f}_\eta(\mathbf{Y}) + \mathcal{A}_\eta(\mathbf{Y}, \nabla \alpha_k) \geq 0. \quad (2.29)$$

It implies some constraints on the right-hand-side term  $\text{RHS}_\eta(\mathbf{Y})$  of entropy equality (2.10).  $\text{RHS}_\eta(\mathbf{Y})$  (2.13) can be rewritten by isolating each independent effect in four different contributions:

$$\text{RHS}_\eta = \text{RHS}_\eta^\Phi + \text{RHS}_\eta^\Psi + \text{RHS}_\eta^D + \text{RHS}_\eta^\Gamma. \quad (2.30)$$

In order to satisfy the second principle, each contribution needs to be positive on its own.

– *Contribution  $\text{RHS}_\eta^\Phi$ :*

$$\begin{aligned} \text{RHS}_\eta^\Phi &= \sum_k \left( -\rho_k^2 \frac{\partial s_k}{\partial \rho_k} \Phi_k + a_k \sum_{k'} \Pi_{kk'} \Phi_{k'} + \rho_k^2 \frac{\partial \epsilon_k}{\partial \rho_k} \Phi_k \right) \\ &= \sum_k a_k \left( \sum_{k'} \Pi_{kk'} \Phi_{k'} + P_k \Phi_k \right), \end{aligned} \quad (2.31)$$

where  $a_k = T_k^{-1}$ .

Using miscibility constraints (2.17), we get:

$$\begin{aligned} \text{RHS}_\eta^\Phi &= \Phi_l \{ a_l (P_l + K_{ll}) + a_v (-P_v + K_{vl}) \\ &\quad + a_g (-P_g + K_{gl}) + a_s K_{sl} \} \\ &\quad + \Phi_s \{ a_l K_{ls} + a_v (-P_v + K_{vs}) \\ &\quad + a_g (-P_g + K_{gs}) + a_s (P_s + K_{ss}) \}. \end{aligned} \quad (2.32)$$



It becomes by injecting the general solution for the  $(K_{kk'})_{k,k' \in \mathcal{K}}$ :

$$\text{RHS}_\eta^\Phi = \mathfrak{D} \left( \prod_k a_k \right) \{ \Phi_l(P_l - (P_v + P_g)) + \Phi_s(P_s - (P_v + P_g)) \}. \quad (2.33)$$

Recalling that  $\mathfrak{D} = (a_l a_v a_g \beta_s + a_l a_v a_s \beta_g + a_l a_g a_s \beta_v + a_v a_g a_s \beta_l)^{-1}$  and  $a_k = T_k^{-1} > 0$ , the final entropy constraint on the  $\Phi_k$  prefactors reads:

$$\Phi_l(P_l - (P_v + P_g)) + \Phi_s(P_s - (P_v + P_g)) \geq 0. \quad (2.34)$$

Finally, an admissible model for  $\Phi_l$  and  $\Phi_s$  is the following:

$$\begin{pmatrix} \Phi_l \\ \Phi_s \end{pmatrix} = \mathcal{D} \begin{pmatrix} P_l - (P_v + P_g) \\ P_s - (P_v + P_g) \end{pmatrix}, \quad (2.35)$$

where  $\mathcal{D}$  is a symmetric positive semi-definite matrix:

$$\mathcal{D} = \begin{pmatrix} d_{11} & d_{12} \\ d_{12} & d_{22} \end{pmatrix}, \quad d_{11} > 0, \quad d_{22} > 0, \quad d_{12} = \sqrt{d_{11}d_{22}} \sin(\theta), \quad \theta \in \mathbb{R}.$$

– *Contribution*  $\text{RHS}_\eta^\Psi$ :

Since:

$$\text{RHS}_\eta^\Psi = \sum_k a_k \left( \sum_{k' \neq k} \Psi_{kk'} \right), \quad (2.36)$$

a simple constraint on  $(\Psi_{kk'})_{k,k' \in \mathcal{K}}$  is obtained:

$$\forall (k, k') \in \mathcal{K}^2, k \neq k', (a_k - a_{k'}) \Psi_{kk'} \geq 0. \quad (2.37)$$

– *Contribution*  $\text{RHS}_\eta^D$ :

It reads:

$$\text{RHS}_\eta^D = \sum_k a_k \sum_{k' \neq k} \left( \mathbf{v}_{\mathbf{k}\mathbf{k}'} \mathbf{D}_{\mathbf{k}\mathbf{k}'} - \mathbf{u}_{\mathbf{k}} \sum_{k' \neq k} \mathbf{D}_{\mathbf{k}\mathbf{k}'} \right). \quad (2.38)$$

We assume the following particular form for  $\mathbf{v}_{\mathbf{k}\mathbf{k}'}$  to comply with the Galilean invariance principle:

$$\mathbf{v}_{\mathbf{k}\mathbf{k}'} = \beta_{kk'} \mathbf{u}_{\mathbf{k}} + (1 - \beta_{kk'}) \mathbf{u}_{\mathbf{k}'}, \quad \text{with } \beta_{kk'} \in [0, 1] \quad \text{and} \quad \beta_{kk'} + \beta_{k'k} = 1, \quad (2.39)$$

with  $\beta_{kk'} + \beta_{k'k} = 1$  because, due to equation (2.27),  $v_{kk'} = v_{k'k}$ .

Then, we get the following constraint on  $(\mathbf{D}_{\mathbf{k}\mathbf{k}'})_{k,k' \in \mathcal{K}}$ :

$$\forall (k, k') \in \mathcal{K}^2, k \neq k', [a_k(1 - \beta_{kk'}) + a_{k'}(1 - \beta_{k'k})](\mathbf{u}_{\mathbf{k}'} - \mathbf{u}_{\mathbf{k}}) \mathbf{D}_{\mathbf{k}\mathbf{k}'} \geq 0. \quad (2.40)$$

– *Contribution*  $\text{RHS}_\eta^\Gamma$ :

The chemical potential  $\mu_k (J.kg^{-1})$  is defined by:  $\mu_k = \epsilon_k - T_k s_k + \frac{P_k}{\rho_k}$ .  $\text{RHS}_\eta^\Gamma$  can be written as:

$$\text{RHS}_\eta^\Gamma = - \sum_k \sum_{k' \neq k} a_k \mu_k \Gamma_{kk'} + \sum_k a_k \sum_{k' \neq k} \left( H_{kk'} + \frac{u_k^2}{2} - \mathbf{u}_{\mathbf{k}} \mathbf{v}_{\mathbf{k}\mathbf{k}'} \right) \Gamma_{kk'}. \quad (2.41)$$

Previous expression (2.41) suggests a simple choice for  $H_{kk'}$  and  $\mathbf{v}_{\mathbf{k}\mathbf{k}'}$  (in accordance with (2.39)):

$$H_{kk'} = \frac{\mathbf{u}_{\mathbf{k}} \mathbf{u}_{\mathbf{k}'}}{2}; \quad \mathbf{v}_{\mathbf{k}\mathbf{k}'} = \frac{1}{2} (\mathbf{u}_{\mathbf{k}} + \mathbf{u}_{\mathbf{k}'}). \quad (2.42)$$

With this assumption, a simple constraint on  $\Gamma_{kk'}$  can be written:

$$\forall (k, k') \in \mathcal{K}^2, k \neq k', \quad (a_k \mu_k - a_{k'} \mu_{k'}) \Gamma_{kk'} \geq 0. \quad (2.43)$$

Source terms complying with the second principle of thermodynamics can now be explicitly introduced, like for instance in [50]. They involve relaxation time scales, that need to be defined by the user; some propositions can be found for instance see [45] for  $\tau_u$  and also [18, 32] for  $\tau_\Gamma$  and  $\tau_T$ , and more recently [11, 22, 39] for  $\tau_p$  relaxation time scales (considering different averaging processes).

### 3. PROPERTIES OF THE CONVECTIVE PART OF THE MODEL

Some interesting properties of the model built in Section 2 are now highlighted. For sake of simplicity, only the 1D-case will be considered in the sequel. It is not restrictive since the system (2.18) is invariant by rotation: thanks to a projection on the normal direction, a one-dimensional system can always be written, while neglecting variations in the transversal directions.

#### 3.1. Structure of the convective system

The following state vector is considered:

$$\mathbf{W} = (\alpha_l, \alpha_s, \rho_l, u_l, P_l, \rho_v, u_v, P_v, \rho_g, u_g, P_g, \rho_s, u_s, P_s)^t. \quad (3.1)$$

The homogeneous system of equations associated with (2.18) can be rewritten for smooth solutions in the quasi-linear form:

$$\partial_t \mathbf{W} + \mathbf{B}(\mathbf{W}) \partial_x \mathbf{W} = 0 \quad (3.2)$$

with  $\mathbf{B}$  a block matrix:

$$\mathbf{B} = \begin{pmatrix} \mathcal{C}_I & \mathbf{0}_{3 \times 2} & \mathbf{0}_{3 \times 2} & \mathbf{0}_{3 \times 2} & \mathbf{0}_{3 \times 2} \\ \mathcal{C}_l & \mathcal{B}_l & \mathbf{0}_{3 \times 3} & \mathbf{0}_{3 \times 3} & \mathbf{0}_{3 \times 3} \\ \mathcal{C}_v & \mathbf{0}_{3 \times 3} & \mathcal{B}_v & \mathbf{0}_{3 \times 3} & \mathbf{0}_{3 \times 3} \\ \mathcal{C}_g & \mathbf{0}_{3 \times 3} & \mathbf{0}_{3 \times 3} & \mathcal{B}_g & \mathbf{0}_{3 \times 3} \\ \mathcal{C}_s & \mathbf{0}_{3 \times 3} & \mathbf{0}_{3 \times 3} & \mathbf{0}_{3 \times 3} & \mathcal{B}_s \end{pmatrix} \quad (3.3)$$

where, with the notation  $\mathbf{c}_k = \frac{(v_I - u_k)}{m_k} \frac{\partial \epsilon_k}{\partial P_k} \Big|_{\rho_k}^{-1}$  and  $\partial_{\rho_k} \epsilon_k = \frac{\partial \epsilon_k}{\partial \rho_k} \Big|_{P_k}$ :

$$\mathcal{C}_I = \begin{pmatrix} v_I & 0 \\ 0 & v_I \end{pmatrix}; \quad \mathcal{C}_l = \begin{pmatrix} -\frac{\rho_l}{\alpha_l} (v_I - u_l) & 0 \\ \frac{P_l + K_{ll}}{m_l} & \frac{K_{ls}}{m_l} \\ \mathbf{c}_l (\rho_l^2 \partial_{\rho_l} \epsilon_l + K_{ll}) & \mathbf{c}_l K_{ls} \end{pmatrix}; \quad (3.4)$$

$$\mathcal{C}_s = \begin{pmatrix} 0 & -\frac{\rho_s}{\alpha_s} (v_I - u_s) \\ \frac{K_{sl}}{m_s} & \frac{P_s + K_{ss}}{m_s} \\ \mathbf{c}_s K_{sl} & \mathbf{c}_s (\rho_s^2 \partial_{\rho_s} \epsilon_s + K_{ss}) \end{pmatrix}; \quad (3.5)$$

$$\forall k \in \{v, g\}, \mathcal{C}_k = \begin{pmatrix} \frac{\rho_k}{\alpha_k} (v_I - u_k) & \frac{\rho_k}{\alpha_k} (v_I - u_k) \\ -\frac{P_k + K_{kl}}{m_k} & -\frac{P_k + K_{ks}}{m_k} \\ \mathbf{c}_k (-\rho_k^2 \partial_{\rho_k} \epsilon_k + K_{kl}) & \mathbf{c}_k (-\rho_k^2 \partial_{\rho_k} \epsilon_k + K_{ks}) \end{pmatrix}; \quad (3.6)$$

$$\forall k \in \mathcal{K}, \mathcal{B}_k = \begin{pmatrix} u_k & \rho_k & 0 \\ 0 & u_k & \tau_k \\ 0 & \rho_k \mathcal{C}_k^2 & u_k \end{pmatrix}. \quad (3.7)$$

For the closure (2.20)  $v_I = \sum_{k \in \mathcal{K}} \beta_k u_k$ , matrices  $C_k, k \in \mathcal{K}$  can be rewritten *taking into account* (2.23) and introducing the following notations:

$$\Delta u_k = v_I - u_k \quad \forall k \in \mathcal{K}; \quad (3.8)$$

$$\Delta P_k = P_k - (P_v + P_g), \quad k \in \{l, s\}; \quad (3.9)$$

$$C_l = \begin{pmatrix} -\frac{\rho_l}{\alpha_l} \Delta u_l & 0 \\ \frac{\mathfrak{T}\beta_l T_l}{m_l} \Delta P_l & \frac{\mathfrak{T}\beta_l T_l}{m_l} \Delta P_s \\ \frac{\Delta u_l}{m_l} \left[ (\partial_{P_l} \epsilon_l)^{-1} \mathfrak{T}\beta_l T_l \Delta P_l - \rho_l^2 c_l^2 \right] & \frac{\Delta u_l}{m_l} \left[ (\partial_{P_l} \epsilon_l)^{-1} \mathfrak{T}\beta_l T_l \Delta P_s \right] \end{pmatrix}; \quad (3.10)$$

$$C_s = \begin{pmatrix} 0 & -\frac{\rho_s}{\alpha_s} \Delta u_s \\ \frac{\mathfrak{T}\beta_s T_s}{m_s} \Delta P_l & \frac{\mathfrak{T}\beta_s T_s}{m_s} \Delta P_s \\ \frac{\Delta u_s}{m_s} \left[ (\partial_{P_s} \epsilon_s)^{-1} \mathfrak{T}\beta_s T_s \Delta P_l \right] & \frac{\Delta u_s}{m_s} \left[ (\partial_{P_s} \epsilon_s)^{-1} \mathfrak{T}\beta_s T_s \Delta P_s - \rho_s^2 c_s^2 \right] \end{pmatrix}; \quad (3.11)$$

$$\forall k \in \{v, g\}, C_k = \begin{pmatrix} \frac{\rho_k}{\alpha_k} \Delta u_k & \frac{\rho_k}{\alpha_k} \Delta u_k \\ \frac{\mathfrak{T}\beta_k T_k}{m_k} \Delta P_l & \frac{\mathfrak{T}\beta_k T_k}{m_k} \Delta P_s \\ \frac{\Delta u_k}{m_k} \left[ (\partial_{P_k} \epsilon_k)^{-1} \mathfrak{T}\beta_k T_k \Delta P_l + \rho_k^2 c_k^2 \right] & \frac{\Delta u_k}{m_k} \left[ (\partial_{P_k} \epsilon_k)^{-1} \mathfrak{T}\beta_k T_k \Delta P_s + \rho_k^2 c_k^2 \right] \end{pmatrix}. \quad (3.12)$$

### 3.1.1. Hyperbolicity

**Proposition 3.1** (Hyperbolicity). *The system (3.2) is hyperbolic with the following eigenvalues:*

$$\begin{aligned} \lambda_{1,2} &= v_I; \\ \lambda_3 &= u_l; & \lambda_4 &= u_v; & \lambda_5 &= u_g; & \lambda_6 &= u_s; \\ \lambda_7 &= u_l + c_l; & \lambda_8 &= u_v + c_v; & \lambda_9 &= u_g + c_g; & \lambda_{10} &= u_s + c_s; \\ \lambda_{11} &= u_l - c_l; & \lambda_{12} &= u_v - c_v; & \lambda_{13} &= u_g - c_g; & \lambda_{14} &= u_s - c_s. \end{aligned} \quad (3.13)$$

Associated right eigenvectors span the whole space  $\mathbb{R}^{14}$ , except if resonance occurs, that is to say:

$$\begin{aligned} \Delta u_l^2 - c_l^2 = (v_I - u_l)^2 - c_l^2 = 0 \quad \text{or} \quad \Delta u_v^2 - c_v^2 = (v_I - u_v)^2 - c_v^2 = 0 \quad \text{or} \\ \Delta u_g^2 - c_g^2 = (v_I - u_g)^2 - c_g^2 = 0 \quad \text{or} \quad \Delta u_s^2 - c_s^2 = (v_I - u_s)^2 - c_s^2 = 0. \end{aligned} \quad (3.14)$$

The proof consists in exhibiting the eigenvectors. They are given in Appendix C.

### 3.1.2. Structure of waves

The definition of a Riemann invariant is recalled: considering an eigenvalue  $\lambda$  admitting  $n$  eigenvectors  $(\mathbf{r}_k)_k$ , a Riemann invariant  $I_\lambda$  associated to  $\lambda$  is a scalar quantity verifying:

$$\forall k \in [1, n], \quad \nabla_{\mathbf{W}} I_\lambda(\mathbf{W}) \cdot \mathbf{r}_k(\mathbf{W}) = 0.$$

A very important requirement for our model is to correctly manage the non-conservative terms thanks to uniquely defined jump relations. Indeed, it guarantees that two different consistent and stable numerical schemes will converge towards the same solution by refining the mesh. However, this property will not hold if 1,2-fields associated with  $v_I$  are genuinely non linear. To avoid this problem,  $(\beta_k)_{k \in \mathcal{K}}$  can be chosen so that 1,2-fields associated with  $v_I$  are linearly degenerate (see [12] for two-phase flow models).

**Only two particular choices for  $(\beta_k)_{k \in \mathcal{K}}$  will be considered**

–  $\beta_s = 1$  and  $\beta_l = \beta_v = \beta_g = 0$ , so that:

$$v_I = u_s; \quad (3.15)$$

–  $\beta_k = \frac{m_k}{M} \forall k \in \mathcal{K}$  with  $M = \sum_{k \in \mathcal{K}} m_k$ , so that

$$v_I = u_m = \sum_{k \in \mathcal{K}} \frac{m_k}{M} u_k. \tag{3.16}$$

**Remark 3.2.** These choices for  $v_I$  are not the only ones: for example, for the two-phase flow case, a wider family of suitable models (*e.g.* that ensure linear degeneracy) has been exhibited in [14] (see also [26]).

**Remark 3.3.** The property of linear degeneracy for the coupling wave is fully *independent* from the chosen closures for the  $(\Pi_{kk'})_{k,k' \in \mathcal{K}}$ . With the latter choices for  $v_I$ , even if the mixture entropy is defined in a different way than (2.9) (which also implies a different expression for  $\Pi_{kk'}$ ), the property of linear degeneracy for the coupling wave still holds, as well as the hyperbolicity for the convective system (see Appendix C).

We emphasize that, in the sequel, the set of  $(\Pi_{kk'})$  *complies with* (2.23). The following three propositions can then be straightforwardly proved after rather long but simple computations.

**Proposition 3.4** (Nature of the coupling wave). *If  $v_I = u_s$  or if  $v_I = u_m$ , fields associated with  $\lambda_1 = \lambda_2 = v_I$  are linearly degenerate.*

– If  $v_I = u_m$ :  $u_m$  is an eigenvalue of multiplicity 2; there are 12 Riemann invariants:

$$\begin{aligned} I_{v_I=u_m}^1(\mathbf{W}) &= u_m; \\ I_{v_I=u_m}^2(\mathbf{W}) &= s_s - s_l; \quad I_{v_I=u_m}^3(\mathbf{W}) = s_s - s_v; \quad I_{v_I=u_m}^4(\mathbf{W}) = s_s - s_g; \\ I_{v_I=u_m}^5(\mathbf{W}) &= m_l(u_m - u_l); \quad I_{v_I=u_m}^6(\mathbf{W}) = m_v(u_m - u_v); \\ I_{v_I=u_m}^7(\mathbf{W}) &= m_g(u_m - u_g); \\ I_{v_I=u_m}^8(\mathbf{W}) &= \epsilon_l + \frac{P_l}{\rho_l} + \frac{1}{2}(u_m - u_l)^2; \\ I_{v_I=u_m}^9(\mathbf{W}) &= \epsilon_v + \frac{P_v}{\rho_v} + \frac{1}{2}(u_m - u_v)^2; \\ I_{v_I=u_m}^{10}(\mathbf{W}) &= \epsilon_g + \frac{P_g}{\rho_g} + \frac{1}{2}(u_m - u_g)^2; \\ I_{v_I=u_m}^{11}(\mathbf{W}) &= \epsilon_s + \frac{P_s}{\rho_s} + \frac{1}{2}(u_m - u_s)^2; \\ I_{v_I=u_m}^{12}(\mathbf{W}) &= \sum_{k \in \mathcal{K}} \{ \alpha_k P_k + m_k(u_m - u_k)^2 \}, \end{aligned} \tag{3.17}$$

verifying for all  $k \in [1, 12]$ :

$$\nabla_{\mathbf{W}} I_{v_I=u_m}^k \cdot \mathbf{r}_1(\mathbf{W}) = \nabla_{\mathbf{W}} I_{v_I=u_m}^k \cdot \mathbf{r}_2(\mathbf{W}) = 0.$$

– If  $v_I = u_s$ :  $u_s = \lambda_1 = \lambda_2 = \lambda_6$  is an eigenvalue of multiplicity 3; there are then 11 Riemann invariants:

$$\begin{aligned} I_{v_I=u_s}^1(\mathbf{W}) &= u_s; \\ I_{v_I=u_s}^2(\mathbf{W}) &= s_l; \quad I_{v_I=u_s}^3(\mathbf{W}) = s_v; \quad I_{v_I=u_s}^4(\mathbf{W}) = s_g; \\ I_{v_I=u_s}^5(\mathbf{W}) &= m_l(u_s - u_l); \quad I_{v_I=u_s}^6(\mathbf{W}) = m_v(u_s - u_v); \\ I_{v_I=u_s}^7(\mathbf{W}) &= m_g(u_s - u_g); \\ I_{v_I=u_s}^8(\mathbf{W}) &= \epsilon_l + \frac{P_l}{\rho_l} + \frac{1}{2}(u_s - u_l)^2; \\ I_{v_I=u_s}^9(\mathbf{W}) &= \epsilon_v + \frac{P_v}{\rho_v} + \frac{1}{2}(u_s - u_v)^2; \end{aligned}$$

$$\begin{aligned}
 I_{v_I=u_s}^{10}(\mathbf{W}) &= \epsilon_g + \frac{P_g}{\rho_g} + \frac{1}{2}(u_s - u_g)^2; \\
 I_{v_I=u_s}^{11}(\mathbf{W}) &= \sum_{k \in \mathcal{K}} \{ \alpha_k P_k + m_k (u_s - u_k)^2 \},
 \end{aligned} \tag{3.18}$$

verifying for all  $k \in \llbracket 1, 11 \rrbracket$ :

$$\nabla_{\mathbf{W}} I_{v_I=u_s}^k \cdot \mathbf{r}_1(\mathbf{W}) = \nabla_{\mathbf{W}} I_{v_I=u_s}^k \cdot \mathbf{r}_2(\mathbf{W}) = \nabla_{\mathbf{W}} I_{v_I=u_s}^k \cdot \mathbf{r}_6(\mathbf{W}) = 0.$$

**Proposition 3.5** ( $u_j \pm c_j$ -waves). *Fields associated with eigenvalues  $\lambda_7 = u_l + c_l$ ,  $\lambda_8 = u_v + c_v$ ,  $\lambda_9 = u_g + c_g$  and  $\lambda_{10} = u_s + c_s$  as well as fields associated with  $\lambda_{11} = u_l - c_l$ ,  $\lambda_{12} = u_v - c_v$ ,  $\lambda_{13} = u_g - c_g$  and  $\lambda_{14} = u_s - c_s$  are genuinely non linear and admit 13 Riemann invariants (by noting  $k \in \llbracket 1, 13 \rrbracket$ ):*

$$\nabla_{\mathbf{W}} I_{u_l+c_l}^k \cdot \mathbf{r}_7(\mathbf{W}) = \nabla_{\mathbf{W}} I_{u_v+c_v}^k \cdot \mathbf{r}_8(\mathbf{W}) = \nabla_{\mathbf{W}} I_{u_g+c_g}^k \cdot \mathbf{r}_9(\mathbf{W}) = \nabla_{\mathbf{W}} I_{u_s+c_s}^k \cdot \mathbf{r}_{10}(\mathbf{W}) = 0$$

and

$$\nabla_{\mathbf{W}} I_{u_l-c_l}^k \cdot \mathbf{r}_{11}(\mathbf{W}) = \nabla_{\mathbf{W}} I_{u_v-c_v}^k \cdot \mathbf{r}_{12}(\mathbf{W}) = \nabla_{\mathbf{W}} I_{u_g-c_g}^k \cdot \mathbf{r}_{13}(\mathbf{W}) = \nabla_{\mathbf{W}} I_{u_s-c_s}^k \cdot \mathbf{r}_{14}(\mathbf{W}) = 0.$$

They read, for  $j \in \mathcal{K}$ :

$$\begin{aligned}
 I_{u_j \pm c_j}^1(\mathbf{W}) &= s_j; & I_{u_j \pm c_j}^2(\mathbf{W}) &= u_j \mp \int_0^{\rho_j} \frac{c_j(r, s_j)}{r} dr; \\
 I_{u_j \pm c_j}^3(\mathbf{W}) &= \alpha_l; & I_{u_j \pm c_j}^4(\mathbf{W}) &= \alpha_s; \\
 \forall k \in \mathcal{K} \setminus \{j\}: & I_{u_j \pm c_j}^{5,6,7}(\mathbf{W}) = \rho_k; & I_{u_j \pm c_j}^{8,9,10}(\mathbf{W}) &= u_k; \\
 I_{u_j \pm c_j}^{11,12,13}(\mathbf{W}) &= P_k.
 \end{aligned} \tag{3.19}$$

**Proposition 3.6** ( $u_j$ -waves). *If  $v_I = u_m$  (resp. if  $v_I = u_s$ ): fields associated with eigenvalues  $\lambda_3 = u_l$ ,  $\lambda_4 = u_v$ ,  $\lambda_5 = u_g$ ,  $\lambda_6 = u_s$  (resp.  $\lambda_3 = u_l$ ,  $\lambda_4 = u_v$ ,  $\lambda_5 = u_g$ ) are linearly degenerated. They admit 13 Riemann invariants  $I_{u_j}$ ,  $j \in \mathcal{K}$  (resp.  $j \in \mathcal{K} \setminus \{s\}$ ):*

$$\begin{aligned}
 \forall k \in \mathcal{K} \setminus \{j\}: & I_{u_j}^1(\mathbf{W}) = u_j; & I_{u_j}^2(\mathbf{W}) &= P_j; & I_{u_j}^3(\mathbf{W}) &= \alpha_l; & I_{u_j}^4(\mathbf{W}) &= \alpha_s; \\
 (\text{resp. } \forall k \in \mathcal{K} \setminus \{s, j\}:) & I_{u_j}^{5,6,7}(\mathbf{W}) = \rho_k; & I_{u_j}^{8,9,10}(\mathbf{W}) &= u_k; \\
 I_{u_j}^{11,12,13}(\mathbf{W}) &= P_k.
 \end{aligned} \tag{3.20}$$

**Remark 3.7.** Other choices for  $v_I(\mathbf{W}, \nabla \alpha_l, \nabla \alpha_s)$  have been proposed for instance in [56]. They read:

$$v_I(\mathbf{W}, \nabla \alpha_l, \nabla \alpha_s) = v_I^0(\mathbf{W}) + a_I^{1,l}(\mathbf{W}) \times \nabla \alpha_l + a_I^{1,s}(\mathbf{W}) \times \nabla \alpha_s.$$

for which we may choose for instance the velocity  $v_I^0$  from equation (2.20). Structure of the governing equations for  $\alpha_l$  and  $\alpha_s$  will be modified and of course, Proposition 2.4 should be modified. One should be careful that the choice of  $v_I^0(\mathbf{W})$  should lead to uniquely defined jump conditions.

### 3.1.3. Jump conditions field by field

An isolated discontinuity travelling at speed  $\sigma$  separating two states  $L$  (left) and  $R$  (right) is considered. The operator  $[\cdot]$  refers to the jump of a quantity across the  $\sigma$ -discontinuity, so that  $[g] = g_R - g_L$ .

**Proposition 3.8** (Jump conditions). *Accross an isolated discontinuity travelling at speed  $\sigma$ , the following jump conditions hold for each genuinely nonlinear  $p$ -field,  $p \in \llbracket 7, 14 \rrbracket$ :*

$$\begin{aligned}
 [\alpha_k] &= 0; \\
 [m_k(u_k - \sigma)] &= 0; \\
 [m_k(u_k - \sigma) + \alpha_k P_k] &= 0; \\
 [\alpha_k E_k(u_k - \sigma) + \alpha_k P_k u_k] &= 0; \\
 [\rho_{k'}] &= 0; & [u_{k'}] &= 0; & [p_{k'}] &= 0 & \forall k' \in \mathcal{K} \setminus \{k\}.
 \end{aligned} \tag{3.21}$$

Note that the  $p$ -Riemann invariants and the jump conditions coincide for the linearly degenerate  $p$ -fields,  $p \in \llbracket 1, 6 \rrbracket$ . Except for the coupling wave associated with  $\lambda_{1,2} = v_I$ , the jump conditions are those of a single-phase Euler system.

Since the mixture entropy  $\eta$  is defined by  $\eta = \sum_{i \in \mathcal{K}} m_i s_i$  and recalling the general jump condition for  $\eta$ :

$$(I) : -\sigma[\eta] + [f_\eta] > 0,$$

the mixture entropy  $\eta$  will also be modified through a  $\sigma = u_k \pm c_k$  shock-wave because of the contribution of the phase  $k$ . Indeed, since for any quantity  $\varphi_{k'}$ ,  $[\varphi_{k'}] = 0 \ \forall k' \neq k$ , (I) reads through a  $\sigma = u_k \pm c_k$  shock-wave:

$$(I) : -\sigma[m_k s_k] + [m_k s_k u_k] > 0.$$

As  $s_k$  increases through a  $u_k \pm c_k$  shock-wave,  $\eta$  will also increase.

### 3.1.4. Connecting solutions through the coupling wave

Analytical solutions are very useful to build some verification test cases for the model. Let us consider a one-dimensional Riemann problem for system (3.2): the solution is a self similar function composed of intermediate states separated by the  $p$ -waves ( $p \in \llbracket 1, 14 \rrbracket$ ) of the system. If it is a very tricky task to solve such Riemann problems in a general way (hence giving arbitrary left and right initial states), an easier way of building analytical solutions however exists from a given left state by prescribing the wave structure of the system (from left to right). Indeed, since the sequencing and the nature of waves is known, each intermediate state can be built step by step. More precisely, for a given left state in  $\mathbb{R}^{14}$  just before any single  $p$ -wave,  $p \in \llbracket 3, 14 \rrbracket$ , we can straightforwardly deduce from Riemann invariants (or jump conditions) the right state, while enforcing one scalar quantity on the right side.

As far as the coupling 1,2-wave is concerned, for a given left state  $\mathbf{W}^L$  in  $\mathbb{R}^{14}$  and for a given  $(\alpha_s^R > 0, \alpha_l^R > 0, \rho_s^R > 0)$  with  $1 > \alpha_s^R + \alpha_l^R$ , the approach is the following *in the case*  $v_I = u_s$ .

**Step 1.** Compute  $(X_l, X_v, X_g) = (\rho_l^R, \rho_v^R, \rho_g^R)$ .

Indeed, by introducing the enthalpy  $h_k(\rho_k, s_k) = \epsilon(\rho_k, s_k) + \frac{P_k(\rho_k, s_k)}{\rho_k}$ :

$$\begin{aligned} I_{u_s}^8(\mathbf{W}) &= I_{u_s}^8(\mathbf{W}^L) = h_l(\rho_l^L, s_l^L) + \frac{1}{2} (u_s^L - u_l^L)^2 \\ &= I_{u_s}^8(\mathbf{W}^R) = h_l(\rho_l^R, s_l^R) + \frac{1}{2} (u_s^R - u_l^R)^2. \end{aligned}$$

Since:

$$s_l^L = s_l^R = I_{u_s}^2(\mathbf{W}^L); \quad u_l^R(\mathbf{W}^L, X_l) = u_s^L - \frac{I_{u_s}^5(\mathbf{W}^L)}{\alpha_l^R X_l},$$

an equation enabling to get  $X_l$  as a function of  $\mathbf{W}^L$  and  $\alpha_l^R$  is obtained:

$$I_{u_s}^8(\mathbf{W}^L) = h_l(X_l, I_{u_s}^2(\mathbf{W}^L)) + \frac{1}{2} \left( \frac{I_{u_s}^5(\mathbf{W}^L)}{\alpha_l^R X_l} \right)^2.$$

Similarly, we get  $X_v$  and  $X_g$ , by solving:

$$I_{u_s}^9(\mathbf{W}^L) = h_v(X_v, I_{u_s}^3(\mathbf{W}^L)) + \frac{1}{2} \left( \frac{I_{u_s}^6(\mathbf{W}^L)}{(1 - \alpha_l^R - \alpha_s^R) X_v} \right)^2$$

and

$$I_{u_s}^{10}(\mathbf{W}^L) = h_g(X_g, I_{u_s}^4(\mathbf{W}^L)) + \frac{1}{2} \left( \frac{I_{u_s}^7(\mathbf{W}^L)}{(1 - \alpha_l^R - \alpha_s^R) X_g} \right)^2.$$

**Step 2.** Once  $(X_l, X_v, X_g) = (\rho_l^R, \rho_v^R, \rho_g^R)$  are known, we deduce  $(P_l^R, P_v^R, P_g^R)$  such that:

$$I_{u_s}^2(\mathbf{W}^L) = s_l(P_l^R, X_l); \quad I_{u_s}^3(\mathbf{W}^L) = s_v(P_v^R, X_v); \quad I_{u_s}^4(\mathbf{W}^L) = s_g(P_g^R, X_g)$$

and also  $(u_l^R, u_v^R, u_g^R)$  using:

$$\begin{aligned} u_l^R(\mathbf{W}^L, X_l) &= u_s^L - \frac{I_{u_s}^5(\mathbf{W}^L)}{\alpha_l^R X_l}, \\ u_v^R(\mathbf{W}^L, X_v) &= u_s^L - \frac{I_{u_s}^6(\mathbf{W}^L)}{(1 - \alpha_l^R - \alpha_s^R)X_v}, \\ u_g^R(\mathbf{W}^L, X_g) &= u_s^L - \frac{I_{u_s}^7(\mathbf{W}^L)}{(1 - \alpha_l^R - \alpha_s^R)X_g}. \end{aligned}$$

**Step 3.** The remaining unknown  $P_s^R$  comes from:

$$I_{u_s}^{11}(\mathbf{W}^L) - \sum_{k \in \mathcal{K} \setminus \{s\}} (\alpha_k P_k + m_k (u_s - u_k)^2)^R = \alpha_s^R P_s^R.$$

**Step 4.** One should carefully check whether the right state is admissible or not.

**Remark 3.9.** In the case  $v_I = u_m$ , the connection through the coupling wave  $\lambda_{1,2}$  is far more complex because Riemann invariants  $I_{u_m}^2(\mathbf{W}) = s_s - s_l$ ,  $I_{u_m}^3(\mathbf{W}) = s_s - s_v$  and  $I_{u_m}^4(\mathbf{W}) = s_s - s_g$  couple all the phases.

### 3.2. Symmetrization

Even if our model can not be written in a conservative form, some theoretical results hold when symmetrizability is proved: indeed, Kato’s theorem [48] on quasi-linear symmetric systems induces that, far from resonance, there exists a unique local-in-time smooth solution to the Cauchy problem.

**Proposition 3.10** (Symmetrization). *We restrict to smooth solutions of (3.2). Then, system (3.2) is symmetrizable: there exists  $g$  a  $C^1$ -diffeomorphism from  $\mathbb{R}^{14}$  to  $\mathbb{R}^{14}$ ,  $g : \mathbf{W} \mapsto \tilde{\mathbf{W}}$  with:*

$$\partial_t \tilde{\mathbf{W}} + \mathbf{C}(\tilde{\mathbf{W}}) \partial_x \tilde{\mathbf{W}} = 0,$$

so that there exists  $\mathbf{S}(\tilde{\mathbf{W}})$  a symmetric positive definite matrix satisfying:

$$\mathbf{S}(\tilde{\mathbf{W}}) \partial_t \tilde{\mathbf{W}} + \tilde{\mathbf{C}}(\tilde{\mathbf{W}}) \partial_x \tilde{\mathbf{W}} = 0; \quad \tilde{\mathbf{C}}(\tilde{\mathbf{W}}) = \mathbf{S}(\tilde{\mathbf{W}}) \mathbf{C}(\tilde{\mathbf{W}}) \quad \text{and} \quad \tilde{\mathbf{C}} = \tilde{\mathbf{C}}^t.$$

A general proof by construction, similar to [38, 55] and based on cumbersome computations, is given in Appendix D. Here, we propose a simpler proof, motivated by [25], but only valid in the one-dimensional case.

Resonance excluded, system (3.2) is hyperbolic, and thus, diagonalizable. By noting  $\mathbf{L}$  the matrix concatenating the left eigenvectors, there exists  $\mathbf{D}$  a diagonal matrix so that  $\mathbf{B} = \mathbf{L}^{-1} \mathbf{D} \mathbf{L}$  and  $\partial_t \mathbf{W} + \mathbf{L}^{-1} \mathbf{D} \mathbf{L} \partial_x \mathbf{W} = 0$ . Then, the symmetric positive definite matrix  $\mathbf{S} = \mathbf{L}^t \mathbf{L}$  suits:  $\mathbf{S} \mathbf{B} = \mathbf{L}^t \mathbf{D} \mathbf{L}$  is indeed symmetric and  $\mathbf{S} \partial_t \mathbf{W} + \mathbf{S} \mathbf{B} \partial_x \mathbf{W} = 0$ .

Note that in general this proof can not be extended in the multidimensional case, because, if  $\partial_t \mathbf{W} + \mathbf{B}_x \partial_x \mathbf{W} + \mathbf{B}_y \partial_y \mathbf{W} = 0$ , the left eigenvectors of  $\mathbf{B}_x$  and  $\mathbf{B}_y$  are usually different for real systems coming from fluid mechanics.

### 4. A FEW REMARKS ABOUT THE MODEL

In this section, *only the case*  $v_I = u_s$  *is considered.*

Model (3.2) reads then, when restricting to regular solutions:

$$\left\{ \begin{array}{l} (k = v, g) \\ (k \in \mathcal{K} \setminus \{s\}) \end{array} \right. \left\{ \begin{array}{l} \partial_t \alpha_l + u_s \partial_x \alpha_l = \Phi_l \\ \partial_t \alpha_s + u_s \partial_x \alpha_s = \Phi_s \\ \partial_t \rho_l + u_l \partial_x \rho_l + \rho_l \partial_x u_l - \frac{\rho_l (u_s - u_l)}{\alpha_l} \partial_x \alpha_l = S_{\rho_l} \\ \partial_t \rho_s + u_s \partial_x \rho_s + \rho_s \partial_x u_s = S_{\rho_s} \\ \partial_t \rho_k + u_k \partial_x \rho_k + \rho_k \partial_x u_k + \frac{\rho_k (u_s - u_k)}{\alpha_k} (\partial_x \alpha_l + \partial_x \alpha_s) = S_{\rho_k} \\ \partial_t u_k + u_k \partial_x u_k + \tau_k \partial_x P_k = S_{u_k} \\ \partial_t u_s + u_s \partial_x u_s + \tau_s \partial_x P_s + \frac{1}{m_s} (\Delta P_l \partial_x \alpha_l + \Delta P_s \partial_x \alpha_s) = S_{u_s} \\ \partial_t P_l + u_l \partial_x P_l + \rho_l c_l^2 \partial_x u_l - \frac{\rho_l c_l^2 (u_s - u_l)}{\alpha_l} \partial_x \alpha_l = S_{P_l} \\ \partial_t P_s + u_s \partial_x P_s + \rho_s c_s^2 \partial_x u_s = S_{P_s} \\ (k = v, g) \quad \partial_t P_k + u_k \partial_x P_k + \rho_k c_k^2 \partial_x u_k + \frac{\rho_k c_k^2 (u_s - u_k)}{\alpha_k} (\partial_x \alpha_l + \partial_x \alpha_s) = S_{P_k} \end{array} \right. \quad (4.1)$$

Section 2.3.2 highlighted that the source terms should satisfy constraints depending on relative phasic gaps, *i.e.*:

$$\begin{aligned} \underline{\Delta u} &= \begin{pmatrix} \Delta u_l = u_s - u_l \\ \Delta u_v = u_s - u_v \\ \Delta u_g = u_s - u_g \end{pmatrix} \text{ (see (3.8));} \\ \underline{\Delta P} &= \begin{pmatrix} \Delta P_l = P_l - (P_v + P_g) \\ \Delta P_s = P_s - (P_v + P_g) \end{pmatrix} \text{ (see (3.9));} \\ \underline{\Delta a} &= \begin{pmatrix} a_s - a_l \\ a_s - a_v \\ a_s - a_g \end{pmatrix}; \quad \underline{\Delta a \mu} = \begin{pmatrix} a_s \mu_s - a_l \mu_l \\ a_s \mu_s - a_v \mu_v \\ a_s \mu_s - a_g \mu_g \end{pmatrix}. \end{aligned}$$

These dependances are the following:

$$\begin{aligned} \begin{pmatrix} \Phi_l \\ \Phi_s \end{pmatrix} &= \mathcal{D} \underline{\Delta P}, \text{ with } \mathcal{D} \text{ symmetric positive definite matrix (see (2.35));} \\ S_{\rho_k} &= S_{\rho_k}(\underline{\Delta P}, \underline{\Delta a \mu}); \quad S_{u_k} = S_{u_k}(\underline{\Delta P}, \underline{\Delta u}, \underline{\Delta a \mu}); \\ S_{P_k} &= S_{P_k}(\underline{\Delta P}, \underline{\Delta u}, \underline{\Delta a}, \underline{\Delta a \mu}) \quad \forall k \in \mathcal{K}. \end{aligned}$$

We recall that the previous terms are deduced from the closures satisfying constraints (2.35), (2.37), (2.39), (2.40), (2.43) written in Section 2.3.2.

#### 4.1. Preservation of the thermodynamically admissible domain

Let us recall the definition of the thermodynamically admissible domain:

$$\mathbb{E}_k = \{(P_k, \rho_k) \in \mathbb{R} \times \mathbb{R}^{+*} / \epsilon_k(P_k, \rho_k) \geq 0\}.$$

We wish to check whether  $\mathbb{E}_k$ ,  $k \in \mathcal{K}$ , is preserved by the convective part of our model (without source terms) for a given equation of state. We consider for instance the stiffened gas equation of state for each phase  $k \in \mathcal{K}$ :

$$P_k + \gamma_k \Pi_k = (\gamma_k - 1) \rho_k \epsilon_k, \quad \gamma_k > 1, \quad \Pi_k \geq 0. \quad (4.2)$$



For this equation of state (4.2),  $\mathbb{E}_k$  reads:

$$\mathbb{E}_k = \{(P_k, \rho_k) \in \mathbb{R} \times \mathbb{R}^{+*} / P_k > -\Pi_k\}.$$

Let us define  $\mathcal{P}_k = m_k \epsilon_k - \Pi_k \alpha_k$ . Note that  $\mathcal{P}_k \geq 0$  is equivalent to  $(P_k, \rho_k) \in \mathbb{E}_k$ . Thus, we aim to study the sign of  $\mathcal{P}_k$  for each phase  $k \in \mathcal{K}$  by writing an equation on  $\mathcal{P}_k$  from  $\epsilon_k$  (see (A.4)) with  $v_I = u_s$ .

For  $k \in \mathcal{K}$ :

$$\partial_t \mathcal{P}_k + u_k \partial_x \mathcal{P}_k + \mathcal{P}_k (\gamma_k \partial_x u_k + (\gamma_k - 1)(u_k - u_s) \partial_x (\ln(\alpha_k))) = 0. \tag{4.3}$$

Equation (4.3) can be rewritten as:

$$\forall k \in \mathcal{K}, \quad \partial_t \mathcal{P}_k + u_k \partial \mathcal{P}_k + \gamma_k \mathcal{P}_k \partial_x v_k = 0,$$

by defining:

$$\partial_x v_k = \partial_x u_k + \frac{(\gamma_k - 1)}{\gamma_k} (u_k - u_s) \partial_x (\ln(\alpha_k)).$$

Using a classical lemma proved in [49], the following proposition holds:

**Proposition 4.1** (Preservation of  $\mathbb{E}_k$  for a smooth solution). *Considering  $T > 0$  and a 1-D spatial domain  $\Omega \subset \mathbb{R}$ , under the following assumptions:*

- $u_k \in \mathcal{L}^\infty([0, T] \times \Omega)$ ,
- $\partial_x u_k + (\gamma_k - 1)(u_k - u_s) \partial_x (\ln(\alpha_k)) \in \mathcal{L}^\infty([0, T] \times \Omega)$  for  $k \in \mathcal{K}$ ,

since initial conditions ensure an admissible thermodynamical state for all phases, i.e.:

- $\mathcal{P}_k(t = 0, x) \geq 0 \quad \forall k \in \mathcal{K}$ ;
- $\mathcal{P}_k(t > 0, x \in \Gamma_k^-(t)) \geq 0 \quad \forall k \in \mathcal{K}$ , with  $\Gamma_k^-(t) = \{x \in \partial\Omega / (\mathbf{u}_k \cdot \mathbf{n})(t, x) < 0\}$ ,

then,  $\Omega_k$  is preserved within time for all phases for a regular solution, i.e.:

$$\mathcal{P}_k(0 \leq t \leq T, x) \geq 0 \quad \forall k \in \mathcal{K}.$$

**Remark 4.2.** Recalling that  $v_I = u_s$ , for solutions with discontinuities, it can be proved, following an approach very similar to [33], that the solution of a one-dimensional Riemann problem with stiffened gas equation of state for all phases will remain physically relevant, since the connection of states through the waves of the system ensures that  $0 \leq \alpha_k, 0 \leq m_k$  and  $0 \leq \mathcal{P}_k$ .

### 4.2. Preservation of pressure equilibria

An important requirement for the model is the preservation of pressure equilibria. Then, when taking the following uniform state as initial conditions in (4.1) without mass source terms:

$$\begin{cases} u_k = 0 \quad \forall k \in \mathcal{K}; \\ P_l = P_s = P_0; \\ P_v = \tilde{P}_0; \quad P_g = P_0 - \tilde{P}_0; \\ T_k = T_0 \quad \forall k \in \mathcal{K}, \end{cases} \tag{4.4}$$

since  $\partial_x u_k = \partial_x P_k = 0$  and  $u_k = 0$  for all  $k \in \mathcal{K}$ , pressure equilibria are indeed maintained within time, regardless of  $\partial_x \alpha_l$  and  $\partial_x \alpha_s$  at time  $t = 0$ .

### 4.3. Effects of the pressure relaxation

Without mass, momentum and energy transfer and without convection terms (*i.e.* “ $\partial_x = 0$ ”), the system should naturally relax towards pressure equilibria  $P_l = P_v + P_g = P_s$ .

Still assuming now that  $v_I = u_s$ , and recalling the admissible form for  $\Phi_l$  and  $\Phi_s$  (2.35), model (2.18) without convection and without mass, momentum and energy source terms reads:

$$\left\{ \begin{array}{l} \partial_t \begin{pmatrix} \alpha_l \\ \alpha_s \end{pmatrix} = \mathcal{D} \begin{pmatrix} \Delta P_l \\ \Delta P_s \end{pmatrix} \\ \partial_t(m_k) = 0 \\ \partial_t(m_k u_k) = 0 \\ \partial_t(\alpha_l E_l) + P_l \partial_t \alpha_l = 0 \\ (k = v, g) \quad \partial_t(\alpha_k E_k) - P_k(\partial_t \alpha_s + \partial_t \alpha_l) = 0 \\ \partial_t(\alpha_s E_s) - \Delta P_l \partial_t \alpha_l + (P_v + P_g) \partial_t \alpha_s = 0, \end{array} \right. \quad (4.5)$$

where  $\mathcal{D}$  is a symmetric positive definite matrix.

From equations on  $\alpha_k E_k$ , equations on phasic pressures can be written (see Appendix A), by noting  $A_k = \frac{\rho_k c_k^2}{\alpha_k}$ :

$$\left\{ \begin{array}{l} \partial_t P_k + A_k \partial_t \alpha_k = 0 \quad (k = l, v, g), \\ \partial_t P_s - (\alpha_s \rho_s \partial_{P_s} \epsilon_s)^{-1} \Delta P_l \partial_t \alpha_l + \{A_s - (\alpha_s \rho_s \partial_{P_s} \epsilon_s)^{-1} \Delta P_s\} \partial_t \alpha_s = 0. \end{array} \right. \quad (4.6)$$

Then, equations on  $\Delta P_l = P_l - (P_v + P_g)$  and  $\Delta P_s = P_s - (P_v + P_g)$ , expressing the deviation from pressure equilibria, are obtained:

$$\partial_t \begin{pmatrix} \Delta P_l \\ \Delta P_s \end{pmatrix} + \mathcal{A} \mathcal{D} \begin{pmatrix} \Delta P_l \\ \Delta P_s \end{pmatrix} = \mathbf{0}, \quad (4.7)$$

with:

$$\mathcal{A}(\Delta P_l, \Delta P_s) = \begin{pmatrix} A_{lv g} & A_{vg} \\ A_{vg} - B_s \Delta P_l & A_{vgs} - B_s \Delta P_s \end{pmatrix}, \quad (4.8)$$

where  $A_{vg} = A_v + A_g$ ,  $A_{lv g} = A_l + A_{vg}$ ,  $A_{vgs} = A_{vg} + A_s$  and  $B_s = (m_s \partial_{P_s} \epsilon_s)^{-1}$ .  $\Delta P_l$  and  $\Delta P_s$  are coupled, so that we are not able to analytically solve the previous system. An approximated resolution is proposed, by considering a frozen convection matrix  $\mathcal{A}^* \mathcal{D}^*$ , obtained by freezing  $\Delta P_l$  and  $\Delta P_s$ . It leads to the following simplified system:

$$\partial_t \begin{pmatrix} \Delta P_l \\ \Delta P_s \end{pmatrix} + \mathcal{A}^* \mathcal{D}^* \begin{pmatrix} \Delta P_l \\ \Delta P_s \end{pmatrix} = \mathbf{0}, \quad (4.9)$$

with  $\mathcal{A}^*$  the following matrix, independent from  $\Delta P_l$  and  $\Delta P_s$  at time  $t$ :

$$\mathcal{A}^* = \begin{pmatrix} A_{lv g}^* & A_{vg}^* \\ A_{vg}^* - B_s^* \Delta P_l^* & A_{vgs}^* - B_s^* \Delta P_s^* \end{pmatrix} \quad (4.10)$$

and

$$\mathcal{D}^* = \begin{pmatrix} d_{11}^* & d_{12}^* \\ d_{12}^* & d_{22}^* \end{pmatrix}, \quad d_{11}^* > 0, \quad d_{22}^* > 0, \quad d_{12}^* = \sqrt{d_{11}^* d_{22}^*} \sin(\theta), \quad \theta \in \mathbb{R}. \quad (4.11)$$

Then, studying the spectrum of  $\mathcal{A}^* \mathcal{D}^*$  gives pressure relaxation conditions. From now,  $\cdot^*$  on the matrix coefficients will be omitted in the computations for a sake of readability and the two eigenvalues of  $\mathcal{A}^* \mathcal{D}^*$  are noted  $\lambda_{\pm}$ .

We assume from now that the equation of state for phase “s” is such that:  $\partial_{P_s} \epsilon_s > 0$ . One can now exhibit conditions ensuring relaxation, depending on the type of eigenvalues:

– if  $\lambda_{\pm} \in \mathbb{R}$ , there are two conditions:

$$\lambda_+ + \lambda_- = \text{tr}(\mathcal{A}^* \mathcal{D}^*) > 0 \tag{4.12}$$

and

$$\lambda_+ \lambda_- = \det(\mathcal{A}^* \mathcal{D}^*) \geq 0. \tag{4.13}$$

– otherwise, only condition (4.15) is required; condition (4.12) is automatically fulfilled since  $\lambda_+ \lambda_- = |\lambda_{\pm}|^2 = \det(\mathcal{A}^* \mathcal{D}^*)$ ; moreover, condition (4.15) is sufficient in order to ensure the return towards pressure equilibria; however, oscillations may occur in some areas of the domain since  $\text{Im}(\lambda_{\pm}) \neq 0$ .

Since  $\det(\mathcal{D}) > 0$ , (4.12) requires that  $\det(\mathcal{A}^*) \geq 0$ . Hence, the following two quantities must be positive:

$$\begin{aligned} \text{tr}(\mathcal{A}^* \mathcal{D}) &= d_{11} A_{lv} + 2d_{12} A_{vg} + d_{22} A_{vgs} \\ &\quad - B_s \{d_{12} \Delta P_l^* + d_{22} \Delta P_s^*\} \\ &= \underbrace{d_{11} A_l + d_{22} A_s + A_{vg}(d_{11} + d_{22} + 2d_{12})}_{>0} \\ &\quad - B_s \{d_{12} \Delta P_l^* + d_{22} \Delta P_s^*\}, \end{aligned} \tag{4.14}$$

$$\begin{aligned} \det(\mathcal{A}^*) &= A_{lv} A_{vgs} - A_{vg}^2 \\ &\quad + B_s \{A_{vg} \Delta P_l^* - A_{lv} \Delta P_s^*\} \\ &= A_l A_s + A_{ls} A_{vg} \\ &\quad + B_s \{A_{vg} \Delta P_l^* - A_{lv} \Delta P_s^*\}. \end{aligned} \tag{4.15}$$

Now, we introduce  $|\Delta P| = \max(|\Delta P_l|, |\Delta P_s|)$  and only the worst case will be considered for each condition to obtain a sufficient constraint on  $|\Delta P|$ :

– for (4.15), the worst case occurs when  $d_{12} \Delta P_l > 0$  and  $\Delta P_s > 0$  and we get:

$$|\Delta P| < \frac{d_{11} A_l + d_{22} A_s + A_{vg}(d_{11} + d_{22} + 2d_{12})}{B_s(|d_{12}| + d_{22})} = \underbrace{\mathcal{S}_1}_{>0}. \tag{4.16}$$

– for (4.12), the worst case occurs when  $\Delta P_l < 0$  and  $\Delta P_s > 0$  and we get:

$$|\Delta P| < \frac{A_l A_s + A_{ls} A_{vg}}{B_s(A_{vg} + A_{lv})} = \underbrace{\mathcal{S}_2}_{>0}; \tag{4.17}$$

The previous observations are summarized in the following proposition:

**Proposition 4.3** (Threshold effect for pressure relaxation). *Since the equation of state of phase “s” is such  $\partial_{P_s} \epsilon_s > 0$ , the relaxation system relaxes towards equilibrium when initial pressure gaps are small enough. A threshold effect is thus observed: pressure relaxation is ensured as soon as  $|\Delta P| = \max(|\Delta P_l|, |\Delta P_s|)$  is bounded as follows, depending on the type of  $\lambda_{\pm}$ , the eigenvalues of the system (4.9):*

- $|\Delta P| < \min(\mathcal{S}_1, \mathcal{S}_2)$  if  $\lambda_{\pm} \in \mathbb{R}$ ;
- $|\Delta P| < \mathcal{S}_1$  otherwise.

**Remark 4.4.** Orders of magnitude for  $\mathcal{S}_1$  and  $\mathcal{S}_2$  are now evaluated for data close to primary nuclear reactor conditions by considering stiffened gas equations of state for all components. This coarse assumption is still reasonable for liquid water and liquid metal at high pressures.

Moreover, we assume that  $d_{12} = 0$ . In this case, (4.16) becomes:

$$|\Delta P| < \frac{d_{11} A_l + d_{22} A_s + A_{vg}(d_{11} + d_{22})}{B_s d_{22}} = \mathcal{S}_1.$$

TABLE 1. Classical behaviors concerning the pressure relaxation for models with two or three phases.

Nb phases	Fields	Model type	Threshold effect?	Oscillations?	Ref
2	liquid $\oplus$ vapor	Barotropic	No	No	[13], see (4.18) in item (i)
2	liquid $\oplus$ vapor	With Energy	Yes	No	[10] (Appendix A2)
2	liquid $\oplus$ (vapor+gas)	With Energy	Yes	No	[38], see (4.19) in item (ii)
3	liquid $\oplus$ vapor $\oplus$ metal	Barotropic	No	May exist (stable)	[9]
3	liquid $\oplus$ vapor $\oplus$ metal	With Energy	Yes	May exist (stable)	[10]
3	liquid $\oplus$ (vapor+gas) $\oplus$ metal	With Energy	Yes	May exist (stable)	(4.9)

**Notes.**  $\phi_1 \oplus \phi_2$  means that  $\phi_1$  and  $\phi_2$  are two immiscible fields whereas  $\phi_1 + \phi_2$  means that  $\phi_1$  and  $\phi_2$  are miscible. A threshold effect appears in some cases: pressure relaxation is ensured only if the initial pressure gap(s) is (are) small enough. Pressure relaxation can be uniform towards 0, or some oscillations may occur in some area of the domain. For each model, a bibliographic reference [.] describing the considered model is given. When the pressure relaxation is not explained in [·], the suitable equation is recalled in the present document (the corresponding equation number is given in (·)).

In particular, since  $A_l$ ,  $A_{vg}$  and  $d_{kk}$  are all positive:

$$\mathcal{S}_1 \geq A_s B_s^{-1}.$$

Furthermore:

$$\mathcal{S}_2 \geq \frac{A_s A_{lvg}}{2B_s A_{lvg}} = \frac{1}{2} A_s B_s^{-1}.$$

For a stiffened gas,  $B_s^{-1} = \frac{\alpha_s}{\gamma_s - 1}$  *i.e.*  $A_s B_s^{-1} = \frac{\rho_s c_s^2}{\gamma_s - 1}$ . Uranium dioxide is taken as metal field  $s$  so that  $\rho_s \simeq 10\,900 \text{ kg m}^{-3}$  and  $c_s \simeq 1800 \text{ m s}^{-1}$ . Moreover,  $\gamma_s - 1 \simeq 1$ . Finally:

$$\min(\mathcal{S}_1, \mathcal{S}_2) \geq \frac{1}{2} A_s B_s^{-1} \simeq 10^5 \text{ bar}.$$

It means that the maximal phasic pressure gap  $|\Delta P|$  needs to be smaller than a huge value of pressure. Then, both constraints (4.16) and (4.17) are thus not limiting for our targeted applications.

**Remark 4.5.** Let us recall that the present model belongs to a model family. A pressure relaxation process has already been exhibited for models with two or three fields. We sum up in Table 1 remarkable behaviors that have been observed in previous works.

For barotropic models (without energy equation), pressure relaxation is ensured unconditionally, whereas for models with an energy equation, a threshold effect appears, *i.e.* relaxation occurs only if the initial pressure gap(s) is (are) small enough. Pressure relaxation is uniform only in the two-phase flow case. When three phases coexist, oscillations may occur in the relaxation process.

We briefly comment Table 1 as follows. We insist on the difference between fields and phases: a phase is a field or the mixture of two (or more) miscible fields.

- (i) We recall the relaxation pressure equation for a barotropic model with two immiscible phases ( $l$  and  $v$ ), which can be very easily obtained from the model described for instance in [13]. By noting  $\Delta P = P_l - P_v$  and still neglecting spatial derivatives, we get:

$$\partial_t \Delta P + \left( \sum_{k=l,v} \frac{\rho_k c_k^2}{\alpha_k} \right) \tilde{d} \Delta P = 0, \quad \tilde{d} > 0. \tag{4.18}$$

As  $\sum_{k=l,v} \frac{\rho_k c_k^2}{\alpha_k} > 0$ ,  $\Delta P$  unconditionally and uniformly relaxes.

- (ii) Then, the model proposed in [38] is also a two phase flow model, with three fields ( $l, v, g$ ), whose two are miscible gases ( $v$  and  $g$ ). There is only one “efficient” pressure gap  $\Delta P_l = P_l - (P_v + P_g)$ . The obtained pressure relaxation equation is then very similar to (4.18), except that an additional term appears since the model is no more barotropic:

$$\partial_t \Delta P_l + \left( \sum_{k=l,v,g} \frac{\rho_k c_k^2}{\alpha_k} + (m_l \partial_{P_l} \epsilon_l)^{-1} \Delta P_l \right) \hat{d} \Delta P_l = 0, \quad \hat{d} > 0. \tag{4.19}$$

Pressure relaxation process occurs when the following constraint is satisfied:

$$|\Delta P_l^{t=0}| < m_l \partial_{P_l} \epsilon_l \sum_{k=l,v,g} \frac{\rho_l c_k^2}{\alpha_k}. \tag{4.20}$$

Once the previous constraint fulfilled, for this hybrid model, pressure uniformly relaxes without oscillations, unlike for the four-field model studied in this work with three “real” phases (4.9).

### 5. CONCLUSION

A three-phase flow model with four components has been proposed and studied, with both immiscible phases (liquid water, liquid metal and gaseous phase) and miscible phases (steam and non-condensable gas). The whole modelling approach has been presented, by specifying step by step the consequences of each additional assumption. On the one hand, the choice of a mixture entropy enforces unique closures for the non-conservative terms of the equations: the model is then uniquely defined, up to some relaxation time scales to be prescribed by the user. On the other hand, particular choices for the interfacial velocity  $v_I$  lead to a linearly degenerate coupling wave and thus uniquely defined jump conditions, independently from the chosen closure for  $(\Pi_{kk'})_{k,k' \in \mathcal{K}}$  (*i.e.* the LD-property holds even with another definition of the entropy as (2.9)).

A particular admissible submodel has been deeply studied: the case  $v_I = u_s$ . The model is hyperbolic, complies with the second principle of thermodynamics and admits uniquely defined jump conditions, which enables to build analytical solutions.

Despite the hybrid miscibility conditions, no major mathematical difficulty appears, comparing with the immiscible three-phase flow model [33]. Still, note that the Dalton’s law holds here: the relevant pressure gaps to consider for our present model are  $P_l - (P_v + P_g)$  and  $P_s - (P_v + P_g)$ . However, the direct generalization to models with more than three phases and hybrid miscibility constraints is not obvious; whereas properties like the convexity of the entropy or the existence of a symmetric form for the convective system, have been proved in [55] for multiphasic barotropic models containing  $N$  immiscible pure phases, with  $N$  arbitrarily large.

The next step will obviously concern the numerical approximation of the present model.

Restricting to the approximation of the convective part, rough schemes, for instance those currently implemented in [9, 10] in order to obtain approximate solutions of immiscible three-phase flow models [33, 35], are

not accurate enough: they require too fine meshes that are hardly affordable for industrial multi-dimensional applications. Thus they should be clearly improved using more accurate schemes. Actually, while restricting to two-phase flow models, we recall that the numerical scheme, initially developed in [21, 36] for the two-phase flow case with immiscible components, has been indeed much improved, both in terms of accuracy and stability, using the relaxation scheme [15, 17]; a detailed comparison of the latter with other schemes, namely the approximate Godunov solver [57], and the HLLC scheme [58], confirmed its advantages and strong potentialities. Moreover, a recent accurate and efficient relaxation scheme has been proposed in [54] for the barotropic immiscible three-phase flow model [35], which is precisely an extension of the one developed in [15, 17] for two-phase flows with immiscible components. This new relaxation scheme relies on the properties of the coupling wave: such a method should certainly be suitable for our model too, thanks to the properties listed in Section 4.

Relaxation procedures to tackle the source terms require additional efforts. The strategy developed in [9, 10] still relies on the use of the fractional step method (used in [36]), and treats separately convective terms and source terms. The latter contain the velocity pressure relaxation step which is rather tricky (see also [27] for similar issues). Moreover, these techniques might certainly be improved, while getting rid of the fractional step strategy, and introducing a more coupled numerical approach, as suggested by [43]. Obviously, a lot of work has still to be done on these aspects.

In order to avoid introducing a fourth field with its own velocity for the non-condensable gas as done in the present work, another idea might be to consider a three-phase flow model with three immiscible phases like in [33], and to replace the vapor water by a miscible mixture of vapor water and non-condensable gas with a unique velocity and a unique mixture equation of state. The main difficulty is then to build this mixture equation of state for the miscible gaseous phase, complying with the second principle and enabling to manage the phase transition for water.

Modelling the phase transition as a chemical reaction like in [28] would perhaps enable to treat the gaseous phase. However, it would require a entire new work, to completely study the compatibility of such thermodynamical hypotheses with the current formalism (in particular, the fact that we have an energy equation for each phase contrary to [28]) and its consequences in terms of hyperbolicity.

The mixture equation of state for the gaseous phase could also be built with the same guidelines as those used for instance in [6, 41]. A first step would be to develop a bifluid two-phase flow model based on [12], with such an EOS for the miscible mixture of non-condensable gas and vapor water. If this “hybrid” two-phase flow model (with both miscible and immiscible phases) had all the required properties, it would certainly be interesting to consider the extension of this approach to a three-phase flow hybrid model (with both miscible and immiscible components).

## APPENDIX A. ENTROPY EQUALITY

A smooth solution is considered. From (2.18), additional phasic equations can be written for density, velocity:

$$\partial_t \rho_k + \mathbf{u}_k \cdot \nabla \rho_k + \rho_k \nabla \cdot \mathbf{u}_k + \frac{\rho_k}{\alpha_k} (\mathbf{u}_k - \mathbf{v}_I) \cdot \nabla \alpha_k = \frac{\Gamma_k - \rho_k \Phi_k}{\alpha_k}; \quad (\text{A.1})$$

$$\partial_t \mathbf{u}_k + \mathbf{u}_k \cdot \nabla \mathbf{u}_k + \tau_k \nabla P_k + \frac{1}{m_k} \left( P_k \nabla \alpha_k + \sum_{k' \neq k} \Pi_{kk'} \nabla \alpha_{k'} \right) = \frac{\mathbf{S}_{\mathbf{Q}k} - \mathbf{u}_k \Gamma_k}{m_k}. \quad (\text{A.2})$$

The governing equation for the kinetic energy can then be deduced:

$$\partial_t \left( \frac{m_k \mathbf{u}_k^2}{2} \right) + \nabla \cdot \left( \frac{m_k \mathbf{u}_k^2}{2} \mathbf{u}_k \right) + \alpha_k \mathbf{u}_k \cdot \nabla P_k + P_k \mathbf{u}_k \cdot \nabla \alpha_k + \sum_{k' \neq k} \Pi_{kk'} \mathbf{u}_k \cdot \nabla \alpha_{k'} = \mathbf{u}_k \cdot \left( \mathbf{S}_{\mathbf{Q}k} - \frac{\Gamma_k \mathbf{u}_k}{2} \right). \quad (\text{A.3})$$

Recalling the definition of the total energy  $E_k$  (2.2), the equation on internal energy can be written by subtracting the total energy equation and the previous kinetic energy equation:

$$\partial_t \epsilon_k + \mathbf{u}_k \cdot \nabla \epsilon_k + \frac{P_k}{\rho_k} \nabla \cdot \mathbf{u}_k + \frac{(\mathbf{v}_I - \mathbf{u}_k)}{m_k} \sum_{k' \neq k} \Pi_{kk'} \nabla \alpha_{k'} = \frac{1}{m_k} \left( \underbrace{S_{E_k} + \sum_{k' \neq k} \Pi_{kk'} \Phi_{k'}}_{S_{\epsilon_k}} - \mathbf{u}_k \cdot \left( \mathbf{S}_{\mathbf{Q}_k} - \frac{\Gamma_k \mathbf{u}_k}{2} \right) - \epsilon_k \Gamma_k \right). \quad (\text{A.4})$$

The internal energy is the equation of state, given in the  $(P_k, \rho_k)$ -plane. Dependence on  $P$  can then be explicitly written from the previous equation, since  $\epsilon_k(P_k, \rho_k)$ :

$$\begin{aligned} \partial_t P_k + \mathbf{u}_k \cdot \nabla P_k + \rho_k c_k^2 \nabla \cdot \mathbf{u}_k + \frac{\partial \epsilon_k}{\partial P_k} \Big|_{\rho_k}^{-1} \frac{(\mathbf{v}_I - \mathbf{u}_k)}{m_k} \left( \sum_{k' \neq k} \Pi_{kk'} \nabla \alpha_{k'} + \rho_k^2 \frac{\partial \epsilon_k}{\partial \rho_k} \Big|_{P_k} \nabla \alpha_k \right) \\ = \frac{1}{m_k} \frac{\partial \epsilon_k}{\partial P_k} \Big|_{\rho_k}^{-1} \left( S_{\epsilon_k} - \epsilon_k \Gamma_k + \rho_k \frac{\partial \epsilon_k}{\partial \rho_k} \Big|_{P_k} (\rho_k \Phi_k - \Gamma_k) \right) = S_{P_k}. \end{aligned} \quad (\text{A.5})$$

The entropy definition  $s_k(\rho_k, P_k)$  (2.4) can now be used to deduce the phasic specific entropy equation:

$$\partial_t s_k + \mathbf{u}_k \cdot \nabla s_k + a_k \frac{(\mathbf{v}_I - \mathbf{u}_k)}{m_k} \left( \sum_{k' \neq k} \Pi_{kk'} \nabla \alpha_{k'} + P_k \nabla \alpha_k \right) = \frac{\partial s}{\partial P_k} \Big|_{\rho_k} S_{P_k} + \frac{\partial s_k}{\partial \rho_k} \Big|_{P_k} \frac{\Gamma_k - \rho_k \Phi_k}{\alpha_k}. \quad (\text{A.6})$$

The definition of mixture entropy (2.9) gives the mixture entropy governing equation.

### APPENDIX B. UNICITY OF $(K_{kk'})_{k,k' \in \mathcal{K}}$ FOR A GIVEN $v_I$

Minimal entropy dissipation (2.12) reads:

$$\begin{aligned} \mathcal{A}_\eta(\mathbf{Y}, (\nabla \alpha_k)_{k \in \mathcal{K}}) = 0 \\ i.e. \sum_{k \in \mathcal{K}} T_k^{-1}(\mathbf{v}_I - \mathbf{u}_k) \cdot \left( \sum_{k' \neq k} \Pi_{kk'}(\mathbf{Y}) \nabla \alpha_{k'} + P_k \nabla \alpha_k \right) = 0. \end{aligned} \quad (\text{B.1})$$

There are three independent relative velocities, for instance:

$$\mathbf{u}_s - \mathbf{u}_l; \quad \mathbf{u}_s - \mathbf{u}_v; \quad \mathbf{u}_s - \mathbf{u}_g.$$

Because of miscibility constraints (2.15), there are only two independent gradients, for instance:

$$\nabla \alpha_l; \nabla \alpha_s,$$

and it reads:

$$\nabla \alpha_v = -\nabla \alpha_l - \nabla \alpha_s = \nabla \alpha_g.$$

Finally,  $\mathcal{A}_\eta(\mathbf{Y}, \nabla \alpha_k) = 0$  reads:

$$\begin{aligned} \mathcal{A}_\eta^{ll}(\mathbf{u}_s - \mathbf{u}_l) \cdot \nabla \alpha_l + \mathcal{A}_\eta^{ls}(\mathbf{u}_s - \mathbf{u}_l) \cdot \nabla \alpha_s + \mathcal{A}_\eta^{vl}(\mathbf{u}_s - \mathbf{u}_v) \cdot \nabla \alpha_l + \mathcal{A}_\eta^{vs}(\mathbf{u}_s - \mathbf{u}_v) \cdot \nabla \alpha_s \\ + \mathcal{A}_\eta^{gl}(\mathbf{u}_s - \mathbf{u}_g) \cdot \nabla \alpha_l + \mathcal{A}_\eta^{gs}(\mathbf{u}_s - \mathbf{u}_g) \cdot \nabla \alpha_s = 0, \end{aligned} \quad (\text{B.2})$$

which implies in fact six constraints:

$$\mathcal{A}_\eta^{ll} = 0; \quad \mathcal{A}_\eta^{ls} = 0; \quad \mathcal{A}_\eta^{vl} = 0; \quad \mathcal{A}_\eta^{vs} = 0; \quad \mathcal{A}_\eta^{gl} = 0; \quad \mathcal{A}_\eta^{gs} = 0, \quad (\text{B.3})$$

where, for  $k \in \{l, s\}$ :

$$\begin{aligned} \mathcal{A}_\eta^{lk} &= (1 - \beta_l)a_l K_{lk} - \beta_l a_v K_{vk} - \beta_l a_g K_{gk} - \beta_l a_s K_{sk} - \mathfrak{S}_2^k, \\ \mathcal{A}_\eta^{vk} &= -\beta_v a_l K_{lk} + (1 - \beta_v)a_v K_{vk} - \beta_v a_g K_{gk} - \beta_v a_s K_{sk} - \mathfrak{S}_3^k, \\ \mathcal{A}_\eta^{gk} &= -\beta_g a_l K_{lk} - \beta_g a_v K_{vk} + (1 - \beta_g)a_g K_{gk} - \beta_g a_s K_{sk} - \mathfrak{S}_4^k, \end{aligned} \quad (\text{B.4})$$

where:

$$\begin{aligned} \mathfrak{S}^l &= \begin{pmatrix} 0 \\ \mathfrak{S}_2^l \\ \mathfrak{S}_3^l \\ \mathfrak{S}_4^l \end{pmatrix} = \begin{pmatrix} 0 \\ -a_l P_l (1 - \beta_l) - a_v P_v \beta_l - a_g P_g \beta_l \\ a_l P_l \beta_v + a_v P_v (1 - \beta_v) - a_g P_g \beta_v \\ a_l P_l \beta_g - a_v P_v \beta_g + a_g P_g (1 - \beta_g) \end{pmatrix}; \\ \mathfrak{S}^s &= \begin{pmatrix} 0 \\ \mathfrak{S}_2^s \\ \mathfrak{S}_3^s \\ \mathfrak{S}_4^s \end{pmatrix} = \begin{pmatrix} 0 \\ -a_v P_v \beta_l - a_g P_g \beta_l + a_s P_s \beta_l \\ a_v P_v (1 - \beta_v) - a_g P_g \beta_v + a_s P_s \beta_v \\ -a_v P_v \beta_g + a_g P_g (1 - \beta_g) + a_s P_s \beta_g \end{pmatrix}. \end{aligned}$$

Moreover, the balance momentum constraint (2.8) gives two additional equations:

$$K_{ll} + K_{vl} + K_{gl} + K_{sl} = 0; \quad K_{ls} + K_{vs} + K_{gs} + K_{ss} = 0. \quad (\text{B.5})$$

Finally, for given  $\beta_k$ ,  $(K_{kk'})_{k,k' \in \mathcal{K}}$  are solutions of the following system:

$$\begin{pmatrix} \mathfrak{M} & \mathbf{0}_{4 \times 4} \\ \mathbf{0}_{4 \times 4} & \mathfrak{M} \end{pmatrix} \mathfrak{K} = \begin{pmatrix} \mathfrak{S}^l \\ \mathfrak{S}^s \end{pmatrix}, \quad (\text{B.6})$$

with:

$$\begin{aligned} \mathfrak{M} &= \begin{pmatrix} 1 & 1 & 1 & 1 \\ (1 - \beta_l)a_l & -\beta_l a_v & -\beta_l a_g & -\beta_l a_s \\ -\beta_v a_l & (1 - \beta_v)a_v & -\beta_v a_g & -\beta_v a_s \\ -\beta_g a_l & -\beta_g a_v & (1 - \beta_g)a_g & -\beta_g a_s \end{pmatrix}, \\ \mathfrak{K} &= (K_{ll}, K_{vl}, K_{gl}, K_{sl}, K_{ls}, K_{vs}, K_{gs}, K_{ss})^t. \end{aligned}$$

As:

$$\det \begin{pmatrix} \mathfrak{M} & \mathbf{0}_{4 \times 4} \\ \mathbf{0}_{4 \times 4} & \mathfrak{M} \end{pmatrix} = (a_l a_v a_s \beta_g + a_l a_g a_s \beta_v + a_v a_g a_s \beta_l + a_l a_v a_g \beta_s)^2 \neq 0, \quad (\text{B.7})$$

the system (B.6) is invertible. The final solution is given by (2.19).

### APPENDIX C. EIGENVECTORS OF THE SYSTEM (3.2)

It must be reminded that  $\alpha_l$  and  $\alpha_s$  can not be equal to 0 or 1. Eigenvectors can be exhibited independently from the chosen mixture entropy (*i.e. without expliciting*  $(\Pi_{kk'})_{k,k' \in \mathcal{K}}$ ). Recalling:

$$\Delta u_k = v_I - u_k \quad \forall k \in \mathcal{K};$$

we use the following notations in the sequel, with  $i \in \{l, s\}$ ,  $j \in \{v, g\}$ ,  $k \in \{l, s\}$ :

$$x_{\rho_i}^k = \frac{1}{\alpha_i ((\Delta u_i)^2 - c_i^2)} [-\rho_i (\Delta u_i)^2 + (P_i + K_{ik}) \{1 + (\partial_{P_i} \epsilon_i)^{-1} \tau_i\}];$$



$$\begin{aligned}
 x_{u_i}^k &= \frac{\Delta u_i}{\alpha_i((\Delta u_i)^2 - c_i^2)} [-c_i^2 + \tau_i(P_i + K_{ik})\{1 + (\partial_{P_i} \epsilon_i)^{-1} \tau_i\}] ; \\
 x_{P_i}^k &= \frac{1}{\alpha_i((\Delta u_i)^2 - c_i^2)} [-\rho_i(\Delta u_i)^2 c_i^2 + (P_i + K_{ik})\{c_i^2 + (\Delta u_i)^2 (\partial_{P_i} \epsilon_i)^{-1} \tau_i\}] ; \\
 z_{\rho_i}^k &= \frac{1}{\alpha_i((\Delta u_i)^2 - c_i^2)} [K_{ik}\{1 + (\partial_{P_i} \epsilon_i)^{-1} \tau_i\}] ; \\
 z_{u_i}^k &= \frac{\Delta u_i}{\alpha_i((\Delta u_i)^2 - c_i^2)} [\tau_i K_{ik}\{1 + (\partial_{P_i} \epsilon_i)^{-1} \tau_i\}] ; \\
 z_{P_i}^k &= \frac{1}{\alpha_i((\Delta u_i)^2 - c_i^2)} [K_{ik}\{c_i^2 + (\Delta u_i)^2 (\partial_{P_i} \epsilon_i)^{-1} \tau_i\}] . \\
 y_{\rho_j}^k &= \frac{1}{\alpha_j((\Delta u_j)^2 - c_j^2)} [\rho_j(\Delta u_j)^2 + (K_{jk} - P_j)\{1 + (\partial_{P_j} \epsilon_j)^{-1} \tau_j\}] ; \\
 y_{u_j}^k &= \frac{\Delta u_j}{\alpha_j((\Delta u_j)^2 - c_j^2)} [c_j^2 + \tau_j(K_{jk} - P_j)\{1 + (\partial_{P_j} \epsilon_j)^{-1} \tau_j\}] ; \\
 y_{P_j}^k &= \frac{1}{\alpha_j((\Delta u_j)^2 - c_j^2)} [\rho_j(\Delta u_j)^2 c_j^2 + (K_{jk} - P_j)\{c_j^2 + (\Delta u_j)^2 (\partial_{P_j} \epsilon_j)^{-1} \tau_j\}] ;
 \end{aligned}$$

Eigenvectors  $\mathbf{r}_k$  for the homogeneous system (3.2) are given below, with the same order as in (3.14) (resonance is excluded).

$$\begin{aligned}
 \mathbf{r}_1 &= (1, 0, x_{\rho_l}^l, x_{u_l}^l, x_{P_l}^l, y_{\rho_v}^l, y_{u_v}^l, y_{P_v}^l, y_{\rho_g}^l, y_{u_g}^l, y_{P_g}^l, z_{\rho_s}^l, z_{u_s}^l, z_{P_s}^l) ; \\
 \mathbf{r}_2 &= (0, 1, z_{\rho_l}^s, z_{u_l}^s, z_{P_l}^s, y_{\rho_v}^s, y_{u_v}^s, y_{P_v}^s, y_{\rho_g}^s, y_{u_g}^s, y_{P_g}^s, x_{\rho_s}^s, x_{u_s}^s, x_{P_s}^s) ;
 \end{aligned}$$

$$\begin{aligned}
 \mathbf{r}_3 &= (0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0)^t ; & \mathbf{r}_4 &= (0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0)^t ; \\
 \mathbf{r}_5 &= (0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0)^t ; & \mathbf{r}_6 &= (0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0)^t ; \\
 \mathbf{r}_7 &= \left(0, 0, \frac{\rho_l}{c_l}, 1, \rho_l c_l, 0, 0, 0, 0, 0, 0, 0, 0, 0\right)^t ; & \mathbf{r}_8 &= \left(0, 0, 0, 0, 0, \frac{\rho_v}{c_v}, 1, \rho_v c_v, 0, 0, 0, 0, 0, 0\right)^t ; \\
 \mathbf{r}_9 &= \left(0, 0, 0, 0, 0, 0, 0, 0, \frac{\rho_g}{c_g}, 1, \rho_g c_g, 0, 0, 0\right)^t ; & \mathbf{r}_{10} &= \left(0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, \frac{\rho_s}{c_s}, 1, \rho_s c_s\right)^t ; \\
 \mathbf{r}_{11} &= \left(0, 0, -\frac{\rho_l}{c_l}, 1, -\rho_l c_l, 0, 0, 0, 0, 0, 0, 0, 0, 0\right)^t ; & \mathbf{r}_{12} &= \left(0, 0, 0, 0, 0, -\frac{\rho_v}{c_v}, 1, -\rho_v c_v, 0, 0, 0, 0, 0, 0\right)^t ; \\
 \mathbf{r}_{13} &= \left(0, 0, 0, 0, 0, 0, 0, 0, -\frac{\rho_g}{c_g}, 1, -\rho_g c_g, 0, 0, 0\right)^t ; & \mathbf{r}_{14} &= \left(0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, -\frac{\rho_s}{c_s}, 1, -\rho_s c_s\right)^t .
 \end{aligned}$$

#### APPENDIX D. SYMMETRIZATION

The proof for the symmetrization of system (3.2) is given in the multidimensional case. It is a direct extension of the proofs presented in [55] or [38].

The case  $v_I = u_m$  is treated, but the case  $v_I = u_s$  would have been very similar.

A different state vector as (3.1) is considered:

$$\tilde{\mathbf{W}} = (\alpha_l, \alpha_s, s_l, u_l, P_l, s_v, u_v, P_v, s_g, u_g, P_g, s_s, u_s, P_s)^t. \tag{D.1}$$

The associated convective matrix  $\tilde{\mathbf{B}}(\tilde{\mathbf{W}})$  keeps the same structure as (3.3); only the block matrices  $\mathcal{B}_k, k \in \mathcal{K}$  should be slightly modified and replaced by  $\tilde{\mathcal{B}}_k$ :

$$\forall k \in \mathcal{K}, \tilde{\mathcal{B}}_k = \begin{pmatrix} u_k & 0 & 0 \\ 0 & u_k & \tau_k \\ 0 & \rho_k c_k^2 & u_k \end{pmatrix}. \tag{D.2}$$

We aim to build a suitable matrix  $\mathbf{S}$  enabling to symmetrize the system. We assume a particular block symmetric structure for  $\mathbf{S}$ :

$$\mathbf{S} = \begin{pmatrix} a_0^2 \mathbf{Id}_{2 \times 2} & \mathcal{M}_1^t & \mathcal{M}_v^t & \mathcal{M}_g^t & \mathcal{M}_s^t \\ \mathcal{M}_1 & \mathcal{D}_1 & \mathbf{0}_{3 \times 3} & \mathbf{0}_{3 \times 3} & \mathbf{0}_{3 \times 3} \\ \mathcal{M}_v & \mathbf{0}_{3 \times 3} & \mathcal{D}_v & \mathbf{0}_{3 \times 3} & \mathbf{0}_{3 \times 3} \\ \mathcal{M}_g & \mathbf{0}_{3 \times 3} & \mathbf{0}_{3 \times 3} & \mathcal{D}_g & \mathbf{0}_{3 \times 3} \\ \mathcal{M}_s & \mathbf{0}_{3 \times 3} & \mathbf{0}_{3 \times 3} & \mathbf{0}_{3 \times 3} & \mathcal{D}_s \end{pmatrix}; \quad \forall k \in \mathcal{K}, \mathcal{D}_k = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \rho_k^2 c_k^2 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \tag{D.3}$$

with  $a_0 \in \mathbb{R}$  and  $\mathcal{M}_k \in \mathbb{R}^{3 \times 2}, k \in \mathcal{K}$ , satisfying some constraints to determine.

– *Step 1 of the proof:  $\tilde{\mathbf{S}}\tilde{\mathbf{B}}$  is symmetric:*

Imposing  $\tilde{\mathbf{S}}\tilde{\mathbf{B}} = \tilde{\mathbf{B}}^t \tilde{\mathbf{S}}$  induces some conditions:

- (1)  $\mathcal{D}_k \tilde{\mathcal{B}}_k = \tilde{\mathcal{B}}_k^t \mathcal{D}_k$  for any  $k \in \mathcal{K}$ , which can be easily checked;
- (2)  $\tilde{\mathcal{B}}_k^t \mathcal{M}_k = v_I \mathcal{M}_k + \mathcal{D}_k \mathcal{C}_k$ : excluding resonance conditions (*i.e.* if  $|u_k - u_m| \neq c_k$ ) and since  $u_m \neq u_k$ ,  $(\tilde{\mathcal{B}}_k^t - v_I \mathbf{Id}_{3 \times 3})$  is invertible, a suitable definition for  $\mathcal{M}_k$  is obtained:

$$\mathcal{M}_k = (\tilde{\mathcal{B}}_k^t - v_I \mathbf{Id}_{3 \times 3})^{-1} \mathcal{D}_k \mathcal{C}_k; \tag{D.4}$$

- (3)  $a_0^2 v_I \mathbf{Id}_{2 \times 2} + \sum_{k \in \mathcal{K}} \mathcal{M}_k^t \mathcal{C}_k = a_0^2 v_I \mathbf{Id}_{2 \times 2} + \sum_{k \in \mathcal{K}} \mathcal{C}_k^t \mathcal{M}_k$ : one can check that for any  $k \in \mathcal{K}, \mathcal{M}_k^t \mathcal{C}_k = \mathcal{C}_k^t \mathcal{M}_k$ ,

thanks to the previous definition for  $\mathcal{M}_k$  and the property  $\mathcal{D}_k \tilde{\mathcal{B}}_k^n = (\tilde{\mathcal{B}}_k^n)^t \mathcal{D}_k, n \in \mathbb{N}$ .

– *Step 2 of the proof:  $\mathbf{S}$  is semi-definite positive:*

Using the block structure,  $\mathbf{S}$  can be rewritten as follows:

$$\mathbf{S} = \begin{pmatrix} a_0^2 \mathbf{Id}_{2 \times 2} & \mathcal{M}^t \\ \mathcal{M} & \mathcal{D} \end{pmatrix}; \quad \mathcal{M} \in \mathbb{R}^{12 \times 2}; \quad \mathcal{D} \in \mathbb{R}^{12 \times 12}.$$

Consider the quadratic form  $\Psi$  associated to  $\mathbf{S}$ :  $\Psi : \underline{X} = (x, y) \in \mathbb{R}^{2 \times 12} \mapsto \underline{X}^t \mathbf{S} \underline{X} = a_0^2 \|x\|^2 + 2x^t \mathcal{M}^t y + y^t \mathcal{D}^t y$ . We will impose conditions so that  $\Psi(x, y) > 0 \forall (x, y) \neq (0, 0)$ .

If  $x = 0$  and  $y \neq 0$  or  $x \neq 0$  and  $y = 0, \varphi(x, y) > 0$ . We assume from now  $x \neq 0$  and  $y \neq 0$ . Moreover, we introduce  $v = \frac{y}{\|x\|}, z = \frac{x}{\|x\|}$  and  $\mathcal{D}^{\frac{1}{2}}$  the square root matrix of  $\mathcal{D}$  (since  $\mathcal{D}$  is diagonal with strictly positive eigenvalues):

$$\begin{aligned} \Psi(x, y) &= \|x\|^2 \left( a_0^2 + 2(\mathcal{D}^{-\frac{1}{2}} \mathcal{M} z)^t \mathcal{D}^{\frac{1}{2}} v + (\mathcal{D}^{\frac{1}{2}} v)^t (\mathcal{D}^{\frac{1}{2}} v) \right) \\ &= \|x\|^2 \left( \|\mathcal{D}^{\frac{1}{2}} v + (z^t \mathcal{M}^t \mathcal{D}^{-\frac{1}{2}})^t\|^2 + a_0^2 - \|\mathcal{D}^{-\frac{1}{2}} \mathcal{M} z\|^2 \right). \end{aligned}$$

The following constraint on  $a_0$  is obtained:

$$\forall z, a_0^2 - \|z^t \mathcal{M}^t \mathcal{D}^{-\frac{1}{2}}\|^2 > 0, \tag{D.5}$$

which leads to the following sufficient condition, where  $\rho(\cdot)$  denotes the spectral radius of a matrix:

$$a_0 \geq \rho(\mathcal{M}^t \mathcal{D}^{-\frac{1}{2}}). \tag{D.6}$$

Finally, by multiplying on the left system (3.2) by  $\mathbf{S}$  defined in (D.3) and fulfilling both (D.4) and (D.6), we get as expected a symmetric system.

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