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2 reveals a tendency to gene loss3

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47 Abstract

48 Our view of genome size distribution in Bacteria and Archaea has remained skewed as the data

- 49 used to paint its picture has been dominated by genomes of microorganisms that can be
- 50 cultivated under laboratory settings. However, the continuous effort to catalogue the genetic
- 51 make-up of Earth's microbiomes, specifically propelled by recent extensive work on
- 52 uncultivated microorganisms, provides a unique opportunity to revise our perspective on genome
- 53 size distribution. Genome size is largely a function of the expansion and contraction, by gain or
- 54 loss of DNA elements. While genome expansion provides microorganisms the capability to
- 55 acquire a wide repertoire of ecological functions, genome reduction increases the fitness of the
- 56 microorganisms to very specific niches. Capitalizing on a recently released large catalog of tens
- 57 of thousands of metagenome-assembled genomes, we here provide a comprehensive overview of 58 genome size distributions, suggesting that the known phylogenetic diversity of environmental
- 59 microorganisms possess significantly smaller genomes (aquatic bacteria average 3.1 Mb, host-
- 60 associated bacterial genomes average 3.0 Mb, and terrestrial bacteria average 3.8 Mb) than the
- 61 collection of laboratory isolated microorganisms (average 4.4 Mb). Moreover, the variation in
- 62 genome sizes across different types of environments reflects the different ecological and
- 63 evolutionary strategies used by microorganisms to thrive in their native environment. Finally, the
- 64 fact that genome sizes in Bacteria and Archaea remain relatively small might be a reflection of
- 65 the constraints imposed by selection and an overall dominance of gene loss as a survival strategy.
- 66

67 Introduction

68 Genomes are dynamic databases that encode the machinery behind evolution and adaptation of 69 living organisms to environmental settings. In brief, a genome encompasses all genetic material 70 present in one organism and includes both its genes and its non-coding DNA. Genome size is 71 largely a function of expansion and contraction by gain or loss of DNA fragments. The genomes 72 of extant organisms are the result of a long evolutionary history. In eukaryotes, an organism's 73 complexity is not directly proportional to its genome size which can have variations over 64,000-74 fold (1, 2). However, the genome size ranges in Bacteria and Archaea are smaller and the 75 genomes are information-rich (3), and known to range from 100 kb to 16 Mb (4, 5). While 76 subject to genetic drift bacterial and eukaryotic genomes evolve in opposite directions. Bacteria 77 exhibit a mutational bias that deletes superfluous sequences, whereas Eukaryotes are biased 78 toward large insertions (6). In Bacteria and Archaea, evolutionary studies have revealed

- extremely rapid and highly variable flux of genes (7) with evolutionary forces acting on individual genes (8). On one hand, mechanisms for genome expansion are promoting the gain of new functions through horizontal gene transfer, *de novo* gene birth, and gene duplications (9, 10). On the other hand, it is known that the primary driving forces for genome reduction are
- metabolic and spatial economy and cell multiplication speed (11, 12).
- 84

85 Our overall view of the diversity, distribution, and genome characteristics of Bacteria and 86 Archaea have remained biased for most of the microbial ecology history. These biases stem 87 chiefly from the "great plate count anomaly" because for more than a decade, the genomes that 88 were sequenced were primarily from laboratory isolates (13, 14). More than two decades have 89 passed since the first bacterial genomes were completely sequenced (15, 16). In the first decade 90 of genome sequencing about 300 bacterial genomes and two metagenomic projects with 91 assembled genomes were published (17). Since then, rapid advances in metagenome sequencing 92 and data analyses have enabled large-scale cataloging of bacterial and archaeal genomes from a

93 wide range of environments (18-20). With all sequencing efforts the representation of number of 94 genomes from phylogenetically diverse groups of Bacteria and Archaea has greatly increased. 95 The Genome Taxonomy Database (GTDB) include 194,600 genomes, with 31,910 of those 96 being species representatives and 8,792 of those species representatives are based on published 97 named species (21). Genome catalogs such as Genomes from Earth's Microbiomes (GEMs) 98 contain ~52,500 genomes all of them being metagenome-assembled genomes. Using these novel 99 resources, it is now possible to obtain an updated view of microbial genome characteristics, 100 diversity, and distribution of microbes in the environment.

101

102 Genome size and its evolution has been studied by many researchers who each focused on 103 different taxonomic lineages or different ecological or evolutionary backgrounds (8, 12, 22-25). 104 As microbial researchers, how do we define what is a small genome or a big genome? Perhaps, 105 researchers working on model organisms such as *Escherichia coli* with a genome size of ~5 Mb 106 (26), would define 'big' or 'small' very differently to researchers working on Prochlorococcus 107 with a genome size of ~ 2 Mb (27), soil-dwelling *Minicystis rosea* with a genome size of 16 Mb 108 (5) or bacterial endosymbionts of insects that may have genomes merely larger than 100 kb (4). 109 The recently published expanded database of environmental bacterial and archaeal genomes (18) 110 allows us to revisit and acquire a more complete understanding of genome size distribution 111 across different environments in higher resolution. In this review, we provide an overview of the 112 evolutionary and ecological drivers behind the different genome sizes of Bacteria and Archaea. 113 Moreover, we offer an overview of the distribution of genome sizes of all known bacterial and 114 archaeal phyla across different environments. We found that while there are phyla with 115 consistently smaller genome sizes (< 2 Mb), such as Caldisericota, Aenigmarchaeota, 116 Micrarchaeota, Nanohaloarchaeota, and Ianarchaeota, 78.4% of bacterial and archaeal genomes 117 recovered through genome-resolved metagenomics represent estimated genome sizes below 4 118 Mb.

119

120 Extant genome size distribution in the environment

121 The current state of environmental sequencing, assembly, and binning technologies allows us to 122 review and renew our view of bacterial and archaeal genome size distribution on Earth (18). To 123 minimize representation biases (28), from the ~52,500 genomes we included one representative 124 per mOTU, defined by 95% average nucleotide identity (ANI), from the GEMs environmental 125 MAGs resulting in ~15,000 MAGs (Figure 1A). We complemented these data by adding ~8,000 126 species cluster representatives from >90% complete genomes of isolates from GTDB (Figure 1). 127 GEMs reported that MAGs in the same species than isolate genomes were consistent in size 128 (average estimated genome length per OTU MAGs = -0.17 + 1.01 average estimated genome 129 length per OTU isolates, r=0.95) (18). While this suggests that there is not a big bias in 130 metagenome assembly and binning it is important to keep in mind that MAG assembly might 131 discriminate against ribosomal RNAs, transfer RNAs, mobile element functions and genes of 132 unknown function (29).

133

134 Furthermore, we compared the genome size distribution of all environmental MAGs versus that

135 of their taxonomic relatives from cultivated isolates, as derived from the GTDB. The genomes

136 from bacterial isolates have the average genome size of 4.4 Mb. When comparing this genome

137 size distribution from isolates with that of the environmental MAGs, the first striking observation

138 is that environmental MAGs have significantly lower genome sizes (t-test Bacteria p>2e-16

- 139 Archaea p>2e-16). Environmental aquatic bacteria average 3.1 Mb, host-associated
- 140 metagenome-assembled bacterial genomes average 3.0 Mb, and terrestrial bacteria average 3.8
- 141 Mb (Figure 1A). A reason for the difference in genome size between isolates and environmental
- 142 microorganisms might be the tendency to sample different types of microorganisms with culture
- 143 dependent and independent methods (30). For example, it is known that current cultivation
- 144 techniques with rich media bias cultivation towards copiotroph microorganisms (31). Moreover,
- 145 microorganisms in nature do not live in isolation but instead have coevolved with other
- 146 microorganisms and might have specific requirements that are hard to meet in batch-culture
- 147 standard-media isolation techniques (32). Other reasons for biases in cultivation include slow
- 148 growth of microorganisms (33), host dependence (34), dormancy (35), and microorganisms with
- 149 very limited metabolic capacity (36) among others. Innovations to culturing the uncultured
- 150 microbial majority might help breach this genome size gap in the future (37).
- 151

152 Placing bacterial and archaeal genome sizes in the context of a phylogenetic tree (Figure 2 and 3)

- 153 shows that the distribution of representative genomes and its sizes vary widely not only between
- 154 different phyla but widely within different phyla. To this view, we want to bring into the
- discussion the biphasic model of evolution (25). In this model it is discussed that genome
- 156 evolution occurs in two phases. One phase involves gene gains that occur in bursts and are
- associated with the emergence of novel microbial groups. The other phase involves gene loss
- 158 that occurs gradually. In the extant phylogenetic tree of Bacteria and Archaea it is noticeable
- 159 how closely related species are shaped by the different genetic processes that influence genome
- 160 size (Figure 2 and 3).
- 161

162 Genetic processes that shape genome size

163 The variability in genome size that we observe across different microbial taxa is the result of the

- 164 reached equilibrium between gains and losses of genetic information (Figure 4). The
- evolutionary events that drive these changes are diverse. Some lineages follow a highly
- 166 mutational mode of evolution (38) while other lineages have recombination as a stronger
- evolutionary force (39-42). The acquisition of new genetic information and metabolic capacities
- 168 is often accompanied by the expansion of gene families. *In silico* studies indicate that the
- acquisition of new genes could have a vital role in adaptation (43). Moreover, a strong
- 170 correlation has been observed between genome size with gene family expansions and length of
- 171 non-coding sequences in complex cyanobacteria (44). The most important evolutionary events 172 involved in genome expansion processes are *de novo* gene birth, the duplication of genes, and
- 172 Involved in genome expansion processes are *ue novo* gene birth, the duplication of genes, and 173 Lateral/Horizontal Gene Transfer (LGT/HGT). *De novo* gene birth is the process by which new
- 174 genes emerge from non-genic DNA sequences (45). However, most of the known examples of
- this process are found in eukaryotes. Furthermore, comparative genomics of some bacterial
- 175 this process are round in cukaryotes. Furthermore, comparative genomes of some bacterial taxonomic lineages has suggested that HGT is more relevant on the expansion of bacterial
- 177 metabolic networks than gene duplications (46). HGT can foster the acquisition of new functions
- 178 while duplications relate to a higher gene dosage (47). However, phylogenomic analysis of other
- 179 lineages such as Nitrososphaerales (Thermoproteota) indicate a predominant role of gene
- 180 duplications over HGT (48). These examples highlight how HGT and duplications aid
- 181 microorganisms into adaptation to their niches. For example, different Archaea have shown
- 182 modifications in their metabolic potential through these genome expansion processes (49-51). In
- 183 a nutshell, genome expansion processes can influence gene dosage, acquisition of new ecological
- 184 capacities and adaptation in both, Archaea and Bacteria.

185 Conversely, genome reduction fosters the development of more compact genomes (Figure 4). 186 There are three main processes involved in gene loss: genetic drift, pseudogenization and 187 streamlining. Genetic drift describes stochastic changes on the gene repertoire variants. 188 Mutations which are biased towards deletions over time promote genome reduction (8). Genetic 189 drift is more pronounced in species that have a small effective population size such as host-190 associated endosymbiotic microorganisms. As an example, endosymbiotic lineages of 191 Gammaproteobacteria such as in *Buchnera aphidicola* have lost ample genes that have already 192 reached stasis (52). When a gene loses its original function, it is often turned into a pseudogene 193 (53). A pseudogene is a derived form of regular genes that might present a different function or 194 turn obsolete. Comparative analyses of archaeal genomes show that up to 8.6% of their genomes 195 are constituted by pseudogenes which usually present at least one inactivating mutation (54). 196 Moreover, pseudogenization has been suggested to be a special type of gene loss when 197 adaptation to new ecological niches is needed. In the Roseobacter lineage (class 198 Alphaproteobacteria), this process was correlated to switches in resource recovery, energy 199 conservation, stress tolerance and different metabolic pathways (55). Finally, streamlining is the 200 process of gene loss through selection and it is mainly observed in free-living microorganisms 201 with high effective population sizes. Streamlining creates a series of distinct patterns, such as 202 increase in nutritional connectivity between individuals, reduction of genome size, lower GC 203 content and higher coding gene density (12). Aquatic microorganisms have been used as 204 exemplary cases of streamlining in which many have gone through community adaptive 205 selections and gene loss (56). In fact, their gene loss goes so far that these free-living aquatic 206 microorganisms depend on community associations and thus thrive in functional cohorts (57). 207 The renewed view of genome sizes and characteristics confirms that genomes from aquatic 208 microorganisms have a higher coding density compared to those from other ecosystems (Figure

209 1).

210 Both genome expansion and reduction have a vital role in the evolution of microorganisms. 211 However, these two processes are not in perfect equilibrium. While genome expansion might 212 allow cells to become highly flexible in terms of developmental capacities and physiological 213 performance, gene loss allows cells to become highly successful in particular niches (44). 214 Moreover, gene loss dominates in the evolutionary history of Bacteria and Archaea (25). For 215 example, in silico studies of 34 bacterial genera and one archaeal genus show that the rate of 216 gene gains is three times lower than that of gene loss (7). In the same study, highly dynamic 217 genomes were found presenting these evolutionary events 25 times more often than the most 218 stable genomes. This tendency that gene loss is more prevalent than gene gain has also been 219 described in short term in host-associated Pseudomonas aeruginosa (Gammaproteobacteria) 220 (58). There, clinical isolates of *P. aeruginosa* indicate gene loss rates six times greater than gene 221 acquisition during the first year of a chronical infection as an adaptive strategy to avoid the 222 host's immune response. Even evolutionary reconstructions of the Last Common Ancestor of 223 Archaea show that genomes of early Archaea were more complex and thus gene loss played 224 likely a critical role in their evolution (59, 60). In summary, these examples illustrate the synergy 225 of both evolutionary processes, with genome expansion providing microorganisms the capability 226 to acquire a wide repertoire of ecological adaptations and genome reduction increasing the 227 fitness of the microorganisms to very specific niches (Figure 4).

- 228
- 229

230 Environmental impact on genome size in different taxonomic lineages

The most up-to-date view of genome sizes on Earth provided here shows that the distribution of genomes from terrestrial environments average at size of 3.8 Mb (Figure 1). The sub-ecosystems considered in this view are soil, and deep subsurface among others (Figure 5). Terrestrial

microorganism's genome size is larger than what is commonly found in aquatic and host-

associated ecosystems. However, it is smaller than expected based on previous metagenomic

- predictions which placed the genome size of soil bacteria at 4.74 Mb (61). Trends of larger
- 237 genome sizes in soil have been hypothesized to be related to scarcity and diversity of nutrients,
- fluctuating environment combined with little penalty for the slow growth rate (23, 62, 63). In
- fact, although terrestrial or soil environments are physically structured, they are generally
 characterized by two to three orders of magnitude greater variations (in temperature and
- 241 currents) than marine environments (64). *In silico* studies predict that large genome sizes could
- be the result of higher environmental variability (65). A recent example showed that isolates of
- terrestrial Cyanobacteria have larger genomes (6.0-8.0. Mb), as compared to their freshwater
- counterparts (4.0-6.0 Mb) and their relatives originating from the marine environment (1.5-2.5
- 245 Mb) (62). The general theory of genome expansion states that the genetic repertoire increases to
- allow microorganisms to gain adaptive capacities to face perturbations and survive in variable
- 247 environments. Despite these general trends showing larger genome sizes in terrestrial
- environments, it is worth noting that streamlined microorganisms such Patescibacteria (Fig 1B)
- as '*Candidatus* Udaeobacter copiosus' (Verrucomicrobiota) are abundant in soils (66).
- 250

251 Some of the most numerically abundant and streamlined microorganisms known to date, such as

252 Pelagibacter (class Alphaproteobacteria) (12), marine methylotrophs (class

- 253 Gammaproteobacteria) (67), *Prochlorococcus* (phylum Cyanobacteria) (27) Thermoproteota (68)
- and Patescibacteria (69) are commonly found in aquatic niches. This is well reflected in the
- 255 MAG data, illustrating that genomes from aquatic sites are among the smallest (Figure 1).
- Aquatic environments are less physically structured than soils. However, there is some vertical
- structure in physicochemical parameters connected to depth variables such as light penetration,
- temperature, oxygen, and nutrient gradients, as well as microscale spatial structure due to the presence of heterogeneous particles. Aquatic structures are drivers of the genetic repertoire of
- 260 aquatic microorganisms. For instance, metagenomic sequencing reported the increase of genome
- sizes for Bacteria and Archaea with increasing depths (70). While several hypotheses have been
- proposed as drivers of such evolutionary trends, nutrient limitation might be one of the central
- factors determining genomic properties (71) (Table 1). Temperature might be as important, for
- example, a study based on twenty-one Thermoproteota and Euryarchaeota fosmids
- 265 (Euryarchaetoa is now reclassified into Methanobacteriota, Halobacteriota and
- 266 Nanohaloarchaeota) showed high rates of gene gains through HGT to adapt to cold and nutrient-
- 267 depleted marine environments (72). Moreover, aquatic hyperthermophilic microbes show
- reduced genomes compared to those of microorganisms adapted to very cold environments (73-
- 269 75) supporting this negative relation between temperature and genome size. One last driver we
- 270 want to point out in aquatic environments is light which decreases with depth. Photosynthetic
- 271 bacteria such as *Prochlorococcus* spp. are well differentiated into a high-light adapted ecotype
- with smaller genome sizes (average 1.6 Mb), and a low-light-adapted ecotype with slightly
- 273 bigger genome size (average 1.9 Mb) (76).
- 274
- 275 In host-associated microbiomes, microorganisms are shaped in their ecological and evolutionary

history by the differing levels of intimacy they might have with their host. For example, within

- the Chlamydiaceae family there are lineages that have evolved intracellular associations with
- eukaryotes (77, 78). Recent metagenomic studies uncovered that extensive metabolic capabilities
- were present in the common ancestor of environmental Chlamydiia (class) and subsequently lost
- in Chlamydiaceae (79). Moreover, host-associated bacterial genomes show a variation in size
- depending on the type of host (plant, animal, etc.) and the type of association they have with the host (endosymbiotic, ectobiotic or epibiotic). Generally, microorganisms associated with
- Arthropoda (52), humans (80) and other mammals show smaller genomes whereas protist- and
- plant-associated bacteria present bigger genomes (81) (Figure 5). *In silico* studies of
- Alphaproteobacteria show massive genome expansions diversifying plant-associated Rhizobiales
- and extreme gene losses in the ancestor of the intracellular lineages Rickettsia, Wolbachia,
- 287 Bartonella and Brucella that are animal- and human-associated (82). Within the Chloroflexota,
- 288 genomes associated with plants or algae range between 4.75 and 7.5 Mb, and genomes
- associated with Arthropoda range between 0.75 and 1.75 Mb (Figure S1). Although
- 290 microorganisms that are host-associated are widely known for their reduced genomes, the
- 291 characteristics of metagenomic host-associated bacterial genomes show lower coding density
- than streamlined genomes in aquatic environments in the genome sizes ranged 1 4 Mb (Figure
- 293 1F). However, at size range below 1 Mb the MAGs and available genomes of endosymbionts are
- often reduced and at same time have high coding density of ~91% (Figure 1F) (83).
- 295

296 **Table 1**

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Chemical, physical or biological variable influencing genome		Таха	References	
	size			
Temperature	Literature review indicates a negative correlation between genome size and temperature.			
	Comparative genomic of genomes of	Thermus thermophilus (phylum	(73, 74)	
	hyperthermophilic microorganisms shows	Deinococcota)		
	average genome sizes of about 2.3 Mb with	Thermus spp.		
	very active horizontal gene transfer (HGT)			
	mechanisms			
	Metagenomics suggest that gene gains would	Thermoproteota and Euryarchaeota	(72)	
	have played an important role in adaptation	(phyla)		
	to low temperature and oligotrophic deep			
	marine environments			
	Comparative genomics of isolates in one	Janthinobacterium spp. (class	(75)	
	genus indicate larger genomes in colder	Gammaproteobacteria)		
	environments.			
	Environmental samples indicate that	Halobacteria and Thermoproteia	(84)	
	hypersaline environments could increase	(class)		
	gene gain via HGT, whereas thermal			
	environments decrease it.			
Nutrients	When talking about nutrients, diversity and quantity of nutrients are two factors that drive ecology and			
	evolution. Some literature present conflicting re	sults on how these two dimensions of r	nutrient influence	
	genome sizes.		1	
	Metagenomics indicate dominance of	Actinobacteria, Bacteroidetes,	(85)	
	reduced genomes in the Baikal Lake. Small	Cyanobacteria Verrucomicrobia and		
	genomes are thought to reflect the extremely	Thermoproteota		
	oligotrophic conditions.			
	Online databases indicate that larger	70 closely related bacterial	(23)	

	genome-sized species may dominate environments where resources are scarce but diverse.	genomes	
	Phylogenomics of isolates show gene loss in functions like resource scavenging and energy acquisition when adapting to nutrient-rich environments in algae and corals.	Roseobacter spp. (class Alphaproteobacteria)	(55)
	Oceanic metagenomic data show positive correlation between nutrient concentration and genome size.	Different bacteria phyla	(86)
	Metagenomics indicates small genomes in mesopelagic environments are the result of adaptation to energy scarcity.	Some Thermoproteota (phylum)	(68)
	Whole-genome shotgun sequencing indicated that deep oligotrophic marine environments are dominated by large genomes with high GC content.	Lactobacillales (phylum Firmicutes)	(87)
	Oceanic metagenomic samples suggest that deeper areas with more nitrate and phosphate as nutrients are dominated by large genomes and high GC content.	Bacteria (SAR11, <i>Prochlorococcus</i> spp., <i>Roseobacter</i> spp., etc.,) and Archaea (Thermoproteota and Euryarchaeota)	(70)
Light	In oxygenic phototrophs there is negative correlation between light irradiance and the genome size.		
	Genomes of cultures and single cells show high-light-adapted ecotypes with smaller genome sizes and low-light-adapted ecotypes with bigger genomes.	<i>Prochlorococcus</i> spp. (phylum Cyanobacteria)	(27, 76, 88)
Particles	Microorganisms with particle associated lifestyl	le tend to have larger genome sizes.	
	Comparison of metagenomes in coastal ecosystems show larger genome sizes for particle associated microorganisms than free- living.	Metagenomic data	(89)
	Particle associated microbes have larger genome sizes than free-living bacteria.	Cyanobacteria and Bacteroidetes	(86)
Host-association	Host-associated bacterial genomes show a varia	ation in size depending on the type of h	ost (plant, animal,
	In silico studies indicate massive genome expansions in plant-associated bacteria.	Alphaproteobacteria (class)	(82)
	Isolates from sugarcane (<i>Saccharum</i> sp.) rhizosphere and endophytic roots and stalks show 26 individual genomes of associated bacteria whose genomes ranged from 3.9 to 7.5 Mbp	Diverse bacterial taxa (Burkholderiaceae, Rhizobiaceae, Caulobacteraceae, Xanthomonadaceae, etc.)	(90)
	Genomic comparison of 3837 bacterial genomes identified thousands of plant- associated gene clusters and found genomes of plant associated microorganisms tended to be larger	Diverse bacterial taxa	(81)
	Intense genome reduction in isolates of microbes associated with aphids (Arthropoda).	<i>Buchnera aphidicola</i> (class Gammaproteobacteria)	(52)

	In vitro cultures and metagenomic datasets indicate reduced genome sizes in microbes associated with humans and other mammmals	Salmonella enterica (class Gammaproteobacteria) Patescibacteria (phylum)	(80, 91)
	Environmental samples indicate that symbionts and epibionts of other microbes present highly reduced genomes.	Bacteria of the CPR clade (such as <i>Vampirococcus lugosii</i>) and Archaea of the DPANN	(92, 93)
Viruses	Marine isolates support the "Cryptic Escape Theory". In here small cell size is a strategy to minimize viral predation. This article also finds a correlation between genome size and cell size.	Different bacteria lineages (Cyanobacteria, Proteobacteria, Actinobacteria, among others)	(94)

Conclusion

Since the sequencing of the first isolate bacterial genomes in 1995, profound improvements in

both sequencing technologies and bioinformatic analysis tools have accelerated our access to the

genetic make-up of the uncultivated majority. This allowed us for the first time to provide a more global view of the distribution of bacterial and archaeal genomes from a wide array of

microbiomes on Earth. In this review, we offer an overview where genomes obtained from

environmental samples show to be smaller than those obtained from laboratory isolates. This is not because isolates and MAGs from the same species differed in size but because cultivation

methods bias the sampling of nature towards obtaining copiotrophs, fast growers, and more

metabolically independent microorganisms. Moreover, we find the distribution of genome sizes

across the phylogenetic tree of Bacteria and Archaea reflects that genome evolution occurs in a

gene gain phase and gene loss phase, as the biphasic model theory suggests. Finally, we review

the ecological and evolutionary effectors causing the varying sizes of genomes in different

environments. Soils might have the microorganisms with the bigger genome sizes due to higher

environmental variability. Genomes in aquatic environments might be shaped by vertical

stratification in nutrients, particles, and light penetration. Host-associations might shape genomes

differentially based on the kind of relationship between the microorganisms and the host. We

expect that as the microbial ecology field keeps moving forward, we get a deeper resolution on physicochemical, spatial, and biological drivers of bacterial and archaeal genome sizes.

- **Figures**







343 were clustered into mOTUs (metagenomic operational taxonomic unit) at the threshold of the

344 operational definition of species (95% ANI). To eliminate over-representation biases for some

motules, we used only one representative genome per motul from the GEMs catalog in the

plots. We addressed the same bias for the GTDB database by selecting the representative isolate

347 genome per species cluster that were circumscribed based on the ANI (>=95%) and alignment

fraction ((AF) >65%) between genomes (21). To construct the figures, we plotted the estimated

genome sizes which was calculated based on the genome assembly size and completeness
estimation provided. In panel B, 'other' includes 45 phyla all with less than 5 genomes. For a

351 complete list of bacterial phyla please see Figure S2. In panel D, 'other' includes 2 phyla all with

352 less than 5 genomes. For a complete list of archaeal phyla please see Figure 3.



Figure 2. Phylogenetic tree of bacterial representative genomes shows variation in genome size between and within phyla. Tree was constructed using GTDB-tk and aligned concatenated set of 120 single copy marker proteins for Bacteria (96). Estimated genome size shows distribution of larger and smaller genomes sizes are non-monophyletic. The tree shows origin of the genomes: aquatic, terrestrial and host-associated genomes are MAGs from GEMs database. The backbone genomes were added by GTDB-tk and it consists of their representative genomes. Estimated genome size scale is from 0 Mb to 14 Mb. Phyla are color-coded and legend includes the phyla with most representatives. Phyla with less than 50 genomes are not included in the legend. For full legend please refer to Figure S2. Burkholderiales is the Order with most genomes.



403 404

Figure 3. Phylogenetic tree of archaeal representative genomes shows variation in genome size between and within phyla. Tree was constructed using GTDB-tk and aligned concatenated set of 122 single copy marker proteins for Archaea (96). Estimated genome size shows distribution of larger and smaller genomes sizes are non-monophyletic. The tree shows origin of the genomes: aquatic, terrestrial and host-associated genomes are MAGs from GEMs database. The backbone genomes were added by GTDB-tk and it consists of their representative genomes. Estimated genome size scale is from 0 Mb to 6 Mb. Phyla are color-coded.



Figure 4. Conceptual figure of the evolutionary forces driving the expansion and reduction of

- 416 genome sizes. Gene loss is represented with a bigger arrow because it dominates the
- 417 evolutionary history we know based on extant microorganisms.



424 425

426 Figure 5. Genome size distribution in different sub-categories of environments. [A] Aquatic archaeal genomes, [B] aquatic bacterial genomes, [C] terrestrial archaeal genomes, [D] terrestrial 427 428 bacterial genomes, [E] hos-associated archaeal genomes and [F] host-associated bacterial 429 genomes. Inside the parenthesis is stated the number of MAGs per sub-environment.

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