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2 **A hierarchically correlated flow defect model for metallic glass:**

3 **Universal understanding of stress relaxation and creep**

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24

## 25 **Abstract**

26 Due to the structurally disordered arrangement of atoms and deviation from  
27 thermodynamic equilibrium, the physical and mechanical properties of metallic glasses  
28 can vary with time, temperature and magnitude of strain or stress. The current work  
29 provides a theoretical framework based on the hierarchically correlated atomic theory,  
30 which allows a quantitative description of the non-elastic deformation in metallic  
31 glasses. The defect concentration is adopted as an order parameter, which can evolve  
32 with temperature and non-elastic strain owing to correlated atomic movements.  
33 Through our hierarchically correlated atomic theory, we derive the characteristic times  
34 for local shear events in metallic glasses that entail activation, growth and/or  
35 annihilation of flow defects, which however are not accounted for in the previous mean  
36 field theories. Finally, we demonstrate that the current theoretical framework can be  
37 validated by the stress relaxation and creep experiments on typical La-based metallic  
38 glasses, which in turn provides quantitative insights into the non-elastic deformation  
39 mechanisms in metallic glasses.

### 40 **Keywords:**

41 Metallic glass; Stress relaxation; Creep; Non-elastic deformation  
42

## 43 **1. Introduction**

44 Metallic glasses (MGs), as a relatively newcomer of glassy materials, are of  
45 interest and significance in materials science, condensed matter physics, and  
46 engineering benefitting from their exceptional physical, chemical, and mechanical  
47 properties. The superior properties include but not limited to high strength and hardness,  
48 high elastic limit and excellent corrosion resistance (Inoue and Takeuchi, 2011; Khmich  
49 et al., 2021; Wang, 2012). Unfortunately, engineering applications of these materials as  
50 structural materials are limited due to the tendency of shear localization and  
51 macroscopically brittle fracture at ambient temperature (Sun et al., 2016; Zhou et al.,  
52 2013). It is well documented that at low temperature ( $T < 0.8 T_g$ ) and with sufficiently  
53 high stress, the deformation is not homogeneous but takes place locally with quite  
54 higher magnitude than the average value. Here  $T_g$  is the glass transition temperature.  
55 On the other hand, at high temperature ( $T > 0.8 T_g$ ) the non-elastic deformation is  
56 rather homogeneous in the glassy solids, which results in a large plastic stability (Argon,  
57 1979; Cheng et al., 2021a). In fact, the strongly temperature-dependent mechanical  
58 behaviors of glassy solids do not merely reflect in the non-elastic deformation. For  
59 example, when temperature is below  $T_g$ , the shear modulus of metallic glass in the  
60 glassy state is of the order of tens to hundreds GPa. It drastically decreases by several  
61 orders of magnitude above  $T_g$ , where the glass-forming system stays in its supercooled  
62 liquid region (SLR). Under such conditions, the mechanical response of metallic  
63 glasses is very sensitive to temperature as well as strain rate, which should be  
64 considered in both theoretical research and engineering application.

65 These unique features of metallic glasses are essentially related to their metastable

66 structural features of long-range disorder and short-range order, which is obtained by  
67 quenching from the melt (Qiao et al., 2019a). The constituent atoms of the traditional  
68 crystalline alloys are arranged in three-dimensional space in a periodic manner. The real  
69 crystalline material actually contains a number of defects which can be classified as  
70 point (interstitials and vacancies), line (different types of dislocations) and planar (grain  
71 boundaries and stacking faults) types. However, the random arrangement of atoms in  
72 metallic glasses raises a problem about how to describe their microstructure. Therefore,  
73 understanding and characterizing the disordered atomic structure in metallic glasses is  
74 one of the key topics and challenging issues in the community of materials science.  
75 Intuitively, it seems easy to hypothesize that the structure of metallic glasses is  
76 continuous and uniform at continuum level because it is isotropic and homogeneous at  
77 the macroscopic level. However, with continuous advance in development of  
78 experimental techniques of structural characterization (Liu et al., 2011; Nomoto et al.,  
79 2021; Yang et al., 2021; Zhu et al., 2018), as well as atomic-level computer simulations  
80 (Cheng and Ma, 2011; Tang and Harrowell, 2013), the atomic structure of metallic  
81 glasses has been considered to contain structural heterogeneities at nano-scale, which  
82 appears as a frozen-in structural feature inherited from the equilibrium super-cooled  
83 liquid (Afonin et al., 2019; Qiao et al., 2019c; Song et al., 2021; Zhang et al., 2018).  
84 Therefore, versatile types of structural defects, e.g., these termed as flow units (Wang  
85 and Wang, 2019; Zhu et al., 2013), free volume (Turnbull and Cohen, 1970), shear  
86 transformation zone (Argon, 1979; Falk and Langer, 1998; Langer, 2015; Van Loock et  
87 al., 2021), interstitials (Granato, 2014; Mitrofanov et al., 2016) and quasi-point defects  
88 (Cheng et al., 2021b; Perez, 1990), have been proposed based on fluctuations of local  
89 density, enthalpy and entropy in amorphous solids.

90 How to establish the correlation between microstructure (defect) and deformation  
91 mechanism is important and still an unsolved issue since it remains challenging to  
92 incorporate the microscopic mechanisms such as atomic movements into the  
93 constitutive model for the macroscopic plastic deformation (Hufnagel et al., 2016; Jiang  
94 et al., 2020; Lin et al., 2020; Ruan et al., 2022). We can refer to a kind of established  
95 method of crystal plasticity model describing the deformation behavior of crystals,  
96 which is usually based on the Orowan equation with significant role of dislocation  
97 kinetics (McElfresh et al., 2021; Po et al., 2016; Stukowski et al., 2015; Yu et al., 2021).  
98 The plastic strain rate is directly associated with the multiplication and annihilation  
99 process of dislocations through the dislocation density. These models can better reflect  
100 a clear physical picture, that is, dislocation is the main carrier of plastic deformation.  
101 The relevant model parameters have clear physical meanings and can be obtained from  
102 either experiments or atomistic simulations, which makes these models be widely  
103 applicable (Arsenlis and Parks, 2002; Li et al., 2021). Consequently, the basic concept  
104 of crystal plasticity model can be used as a starting point of the endeavor in  
105 establishment of deformation model for metallic glass.

106 Based on the earlier free volume model for liquid transport developed by Cohen

107 and Turnbull (Turnbull and Cohen, 1970), Spaepen (Spaepen, 1977) proposed a  
108 microscopic mechanism for steady state inhomogeneous flow in metallic glass by  
109 taking free volume as an order parameter, which involves two competing processes: a  
110 stress-induced disordering and a diffusion controlled reordering process. Then a theory  
111 is presented by Argon (Argon, 1979; Argon and Kuo, 1979) for plastic deformation of  
112 metallic glasses based on two modes of thermally activated shear transformations  
113 initiated around the free volume regions under the operation of applied shear stress,  
114 which predicts the very rapid shear localization at low temperatures. Falk and Langer  
115 (Falk and Langer, 1998) defined the fundamental deformation unit undergoing local  
116 shear rearrangement as the shear transformation zone (STZ) in a study of deformation  
117 behavior of metallic glasses using molecular dynamics simulations. Huang et al.  
118 (Huang et al., 2002) provided a general framework in the context of continuum  
119 mechanics, and successfully simulated the development of the inhomogeneous  
120 deformation and the strain localization with free volume concentration as the internal  
121 variable. It should be noted that the STZ theory is essentially a mean-field model, which  
122 regards the density and orientations of local flow defects as dynamic variables, and does  
123 not describe the correlation between different STZs in its present form (Langer, 2015).  
124 In addition, the transition from jamming to flow in the plastic yield process is controlled  
125 by applied stress. The pre-yielding deformation was a trivial elastic process for stress  
126 below the yield stress; yielding occurs once STZs creation is activated at stress that  
127 exceeds the yield stress. However, some experiments have showed that MGs undergo  
128 anelastic or permanent deformation even at room temperature (Huo et al., 2013; Ye et  
129 al., 2010). A core-shell model was proposed by Yang et al. (Ke et al., 2014; Yang et al.,  
130 2012a) to rationalize the pre-yielding deformation, which can explain the anelastic  
131 deformation by considering the elastic back-stress from solid-like atoms.

132 In view of the **proceeding** facts, we **cannot** help but wonder what the internal  
133 relationship between the microscopically structural defect, deformation unit and  
134 macroscopic non-elastic deformation is in metallic glasses. When stress is applied, the  
135 deformation unit first being activated is selected from many potential sites in a metallic  
136 glass. It is easy to envision that the defect sites with high free energy and large atomic  
137 mobility are easier to be activated to produce atomic rearrangement (Johnson and  
138 Samwer, 2005; Lei et al., 2020; Wang and Wang, 2019). As described in the literature  
139 (Argon and Shi, 1983; Atzmon and Ju, 2014; Qiao et al., 2019b), these deformation  
140 units are isolated spatially at low strain, and the deformation carried by them is  
141 reversible and recoverable (anelastic deformation) after removal of stress. For longer  
142 time of application of stress, the size of the deformation unit might reach a limit value  
143 for which the boundary bordering it will be extended such that it will encounter  
144 neighboring deformation units and lose its integrity. The resultant deformation is  
145 irreversible (plastic deformation).

146 Based on the above analysis, the current work aims to provide a physics-informed  
147 model, which considers the determination of the atomic mobility through the concept

148 of hierarchically correlated movements proposed by Palmer et al. (Palmer et al., 1984),  
149 and the correlation between the evolution of deformation units and the macroscopic  
150 non-elastic deformation. The physical model will be used to establish a universal  
151 understanding between the small-strain linear viscoelastic dynamic mechanical  
152 response and the large-strain plastic deformation behavior (uniaxial tension and creep).  
153 A convincing validation is performed on a  $\text{La}_{60}\text{Ni}_{15}\text{Al}_{25}$  metallic glass by comparison  
154 between the experimental measurements and the theoretical prediction. In addition, the  
155 present framework is also extended to decipher the evolution of characteristic times of  
156  $\alpha$  and  $\beta$  relaxation processes in metallic glass, therefore intuitively explaining the two-  
157 step stress relaxation phenomenon from a theoretical perspective.

158

## 159 2. Experiments

### 160 2.1. Specimen preparation

161 The alloy ingots with nominal composition  $\text{La}_{60}\text{Ni}_{15}\text{Al}_{25}$  (at. %) were prepared by  
162 the arc-melting method in a pure Ar atmosphere. All the alloy ingots were flipped and  
163 re-melted at least six times to guarantee chemical homogeneity, and then the molten  
164 liquid was sucked into a water-cooled copper mold to obtain an alloy plate with  
165 dimensions of 85 mm (length)  $\times$  10 mm (width)  $\times$  2 mm (thickness). Glassy ribbons  
166 with an approximate 50  $\mu\text{m}$  thickness were produced using a classical melt-spinning  
167 method under argon atmosphere. **The amorphous nature was ascertained by X-ray  
168 diffraction (XRD). The glass transition temperature  $T_g = 453$  K and the onset  
169 crystallization temperature  $T_x = 505$  K were measured at a heating rate of  $3 \text{ K min}^{-1}$   
170 by a DSC 404, NETZSCH.**

171 can be and differential scanning calorimetry (DSC).

### 172 2.2. Dynamic mechanical analysis (DMA)

173 The temperature-dependent, time-dependent and frequency-dependent dynamic  
174 mechanical properties of  $\text{La}_{60}\text{Ni}_{15}\text{Al}_{25}$  MG have been investigated extensively in  
175 previous works (Jiang et al., 2016; Qiao et al., 2015). Here, as a powerful tool to study  
176 dynamic mechanical properties of glassy solids, Dynamic mechanical analysis  
177 experiments were performed by a commercial DMA apparatus (TA instruments Q800)  
178 in a single cantilever mode. Testing specimens with dimensions of 30 mm (length)  $\times$  2  
179 mm (width)  $\times$  1 mm (thickness) were cut by an electric discharge machine, and then  
180 the surfaces of test samples were polished by emery paper prior to the DMA  
181 experiments. The basic principle of DMA can be simply described as applying a  
182 sinusoidal stress (or strain) to the sample and analyzing the response of the material to  
183 this mechanical stimulation. The complex modulus  $E^* = E' + iE''$  ( $E'$  is the storage  
184 modulus and  $E''$  the loss modulus), is obtained from the material's response to the  
185 oscillating force. The tangent of the phase angle, one of the most important key  
186 parameters measured by DMA, could be determined as  $\tan \delta = E''/E'$ . The detailed

187 information about dynamic moduli and the DMA apparatus were described in the  
188 previous literatures (Etienne et al., 1982; Menard, 2015). The temperature scans were  
189 performed at a constant heating rate of 3 K/min with a set of given driving frequencies.  
190 The frequency scans at different temperatures were performed at the frequency range  
191 from 0.01 to 2 Hz. The master curve with a large frequency window can be obtained  
192 based on the time-temperature-superposition (TTS) principle, as described in previous  
193 literatures (Ferry, 1980; Olsen et al., 2001). Indeed, the frequency scans were carried  
194 out in MATEIS laboratory of INSA Lyon. The evolution of the storage and loss dynamic  
195 shear moduli were obtained using the home-made low frequency inverted torsion  
196 pendulum apparatus in a high vacuum atmosphere.

### 197 *2.3 Uniaxial tensile tests and creep*

198 The uniaxial tensile tests were performed at 430 K under strain rate of  $3 \times$   
199  $10^{-4} \text{ s}^{-1}$ , using the strain rate mode of a TA Q800 DMA equipment. The creep tests  
200 were carried out in the creep mode of DMA machine at given temperatures and stress.  
201 The samples were heated to measure temperature with a heating rate of 10 K/min, and  
202 the samples were held for 10 min to ensure thermal equilibration prior to tensile or  
203 creep tests.

204

## 205 **3. Theory**

### 206 *3.1 Concept of defect sites*

207 In analogy to the crystalline metals, the physical and mechanical properties of  
208 metallic glasses are thought to be closely related to some kind of structural defects. In  
209 a metallic glass, the random close packed arrangement of atoms leads to some relatively  
210 “loose” and “dense” sites, which has been observed extensively by experiments and  
211 numerical simulations (Feng et al., 2016; Tsai et al., 2017; Yang et al., 2012b). **A loose  
212 or dense site may be regarded as a defect, which corresponds to extra free volume or  
213 anti-free volume. Compared with crystals, one can consider that the defects of  
214 amorphous materials are equivalent to distributed “vacancies” or “interstitials”.  
215 Computer simulations of atomic arrangements in the amorphous system strengthen this  
216 picture of structure (Srolovitz et al., 1981). So the concept of “quasi-point defects”  
217 appears richer than that of “free volume”. As previously reported, the free volume  
218 model was conceived for a hard-sphere system, and do not apply well for metallic  
219 system (Egami et al., 2013).**

220 These defects or structural fluctuations are already present in the supercooled  
221 liquid and become frozen-in during the quenching of the melt. It should be noted that  
222 the defects thus defined are the sites where fluctuations of density occur. Although the  
223 density fluctuations can be either positive (anti-free volume) or negative (free volume),  
224 the defects correspond to positive fluctuations of enthalpy and entropy in all cases.  
225 Perez et al. (Perez, 1990) defined these sites formed by a structural unit and its first

226 neighbors as quasi-point defects (QPD), whose enthalpy and entropy are higher than  
227 the average value of system.

228 The physical aspects of the structural defects of amorphous materials have been  
229 described by the concepts proposed by Egami (Egami, 1981, 2011), Spaepen (Spaepen,  
230 1978) and Srolovitz et al. (Srolovitz et al., 1981). In the supercooled liquid, one can  
231 determine the variation of defect concentration  $C_d$  with temperature

$$232 \quad C_d = \frac{1}{1 + \exp(-\Delta S_F/k) \exp(\Delta H_F/kT)} \quad (1)$$

233 where  $\Delta H_F$  and  $\Delta S_F$  are the enthalpy and entropy of a QPD formation. In the glassy  
234 state, i.e.  $T < T_g$ , the defects are frozen and their concentration is constant that is equal  
235 to  $C_d(T_g)$  if excluding any structural relaxation. It should be pointed out that unlike the  
236 defects in crystals (point defects, dislocation and grain boundary) that can be well  
237 defined for their own identity, the defects in metallic glasses are quite difficult to be  
238 defined and characterized. In addition, a kind of defects in crystals have the same  
239 character length, but the characteristic size in metallic glasses would rather follow a  
240 statistical distribution. Therefore, it is difficult to give the specific character length of  
241 these defects in metallic glasses by defining some cut-off in the continuous distribution  
242 of some structural parameter. As a result, it usually adopts a mean-field description of  
243 defect concentration in amorphous materials, e.g., the shear transformation model (Falk  
244 and Langer, 1998), the free volume model (Spaepen, 1977), and cooperative shear  
245 model (Johnson and Samwer, 2005), etc. The structural heterogeneity and  
246 heterogeneous dynamic feature, such as distribution of energy barrier for local  
247 structural excitations, is considered in the present model by assuming identical  
248 geometry of defect but varied dynamic features represented by different relaxation  
249 times.

### 250 3.2 Atomic mobility and hierarchical correlation effects

251 Under a stress field, the deformation of MGs may be either elastic, anelastic or  
252 plastic, suggesting different types of motions of structural units within the material.  
253 Therefore, understanding the essence of atomic or molecular motion at microscale of  
254 amorphous solids is important to explain the various macroscopic properties.

255 Palmer et al. (Palmer et al., 1984) considered that the motion of structural units in  
256 amorphous materials was hierarchically constrained, that is, the most mobile structural  
257 unit ‘jumps’ first, and it is followed by less rapid movements. The total duration of a  
258 certain motion is equal to the sum of the time consumed by all previously activated  
259 structural units. In this framework, a theoretical model for relaxation in strongly  
260 interacting glassy materials was proposed (Palmer et al., 1984). This model is based on  
261 a scenario of hierarchically constrained dynamics between different degrees of freedom.  
262 There is a discrete series of levels,  $n = 1, 2, \dots$ , and the degrees of freedom of level  $n$  is  
263 represented by  $N_n$  Ising spins. Each spin in level  $n + 1$  can only change its state if  $\mu_n$

264 spins in level  $n$  ( $\mu_n \leq N_n$ ) obtain one particular state among the  $2^{\mu_n}$  possible ones.  
 265 Therefore, the duration of the change of state in level  $n$  will be given by

$$266 \quad \tau(n) = \tau(n-1) \cdot 2^{\mu_{n-1}} = \dots = \tau(1) \cdot \exp\left(\sum_{z=1}^{n-1} \mu_z \cdot \ln 2\right) \quad (2)$$

267 The  $\mu_n$  is at most 5 or 10 due to the constrained atomic motion but could be less than 1  
 268 to imply the weak constraint. In addition, it should be considered that  $\tau(n)$  attains a  
 269 large but finite value  $\tau_{\max}$  for  $n \rightarrow \infty$ , thus the authors have postulated that the  
 270 parameter  $\mu_n$  should decrease with  $n$  rapidly enough for  $\sum \mu_n$  to converge. Perez et al.  
 271 (Perez et al., 1988) have particularly considered the following possibility:

$$272 \quad \mu_z \cdot \ln 2 = \mu_1 \cdot z^{-p} = \mu_1 \cdot z(t_z/t_0)^{-p} \quad (3)$$

273 where  $z$  is the integral number and  $p$  is higher but close to 1. Therefore, the characteristic  
 274 time corresponding to excitation in the  $n$ th level ( $n = t/t_0$ ) can be given by

$$275 \quad \tau(t) = \tau(1) \cdot \exp\left(\mu_1 \sum (t_z/t_0)^{-p}\right) \quad (4)$$

276 In the limiting case (replacing the sum by the integral), Eq. (4) can be written as:

$$277 \quad \tau(t) = \tau(1) \cdot \exp\left(\mu_1 \frac{1 - n^{1-p}}{p - 1}\right) \quad (5)$$

278 where  $0 < \mu_1 < 1$  is the structural parameter reflecting the correlation effects between  
 279 the different atomic motions.  $\mu_1 = 0$  corresponds to a situation the correlation effects  
 280 can be negligible.  $\mu_1 = 1$  reflects the most extreme correlation effects. **Taking the limit**  
 281 **of Eq. (5) at  $p = 1^+$ , one can get:**

$$282 \quad \tau(t) = \tau(1) \cdot (t/t_0)^{\mu_1} \quad (6)$$

283 **Moreover, we should consider that that  $\tau(t)$  attains a finite value  $\tau_{\max}$  for  $t \rightarrow \infty$ . By**  
 284 **taking into account the condition  $\tau(t) = \tau_{\max}$  when  $t = \tau_{\max}$ , we may thus write:**

$$285 \quad \tau(t) = \tau(1) \cdot (t/t_0)^{\mu_1}, \quad \text{for } \tau(1) < t < \tau_{\max} \quad (7. a)$$

$$286 \quad \tau(t) = \tau_{\max} = (\tau(1)/t_0^{\mu_1})^{1/1-\mu_1}, \quad \text{for } t > \tau_{\max} \quad (7. b)$$

287 In the above series of derivations,  $\tau(1)$  is regarded as the characteristic time of an  
 288 elementary motion which can be replaced by the characteristic time  $\tau_\beta$  of the  $\beta$   
 289 relaxation process. It is well accepted that  $\tau_\beta$  varies with temperature  $T$  according to the  
 290 Arrhenius law:

$$291 \quad \tau_\beta = \tau(1) = \tau_{\beta 0} \exp\left(\frac{U_\beta}{kT}\right) \quad (8)$$

292 where the  $\tau_{\beta 0}$  is the pre-exponential factor and  $U_\beta$  is the apparent activation energy of  
 293 an elementary motion. Eq. (8) describes the  $\beta$  relaxation as a thermally activated



294 process. In fact, under high stress, a thermomechanical coupling activation should be  
 295 considered and the energy barrier of activation can be reduced by the mechanical work  
 296 (Boyce et al., 1988; Perez, 1984; Schuh et al., 2005). In order to introduce the  
 297 contribution of stress during the  $\beta$  relaxation activation, Eq. (8) was modified as  
 298 (Pelletier et al., 2000; Rinaldi et al., 2011):

$$299 \quad \tau_{\beta} = \tau_{\beta 0} \exp \left[ \frac{U_{\beta} \left( 1 - \frac{\sigma}{\sigma_1} \right)^{3/2}}{kT} \right] \quad (9)$$

300 where  $\sigma_1$  is the value of stress which enables the energy crossover at 0 K. No thermal  
 301 fluctuation is necessary for crossing the energy barrier when  $\sigma$  is equal to  $\sigma_1$ . Crossing  
 302 the barrier is therefore a complete mechanical, or athermal process. **The exponent “3/2”**  
 303 **in the Eq. (9) is obtained by considering the sinusoidal profiles of energy barrier and**  
 304 **the corresponding parabolic force.**

305 It is noticeable that the intensity of correlation effects is closely related to the  
 306 concentration of defects. The higher the  $C_d$ , the weaker the correlation effect becomes.  
 307 In the literature (Perez, 1998), Perez et al. consider  $0 < \chi < 1$  as a structural parameter  
 308 characterizing the correlation effect ranging from full order ( $\chi = 0$ ) to full disorder  
 309 ( $\chi = 1$ ).  $\chi$  increases with increasing disorder of system and therefore also increases  
 310 with the concentration of defects. Its value is equal to a constant times  $C_d$  when the  
 311 temperature is close to  $T_g$ . We therefore have:

$$312 \quad \chi = 1 - \mu_1 = \text{const} \cdot C_d \quad (10)$$

313 Thus Eq. (7) can be written as:

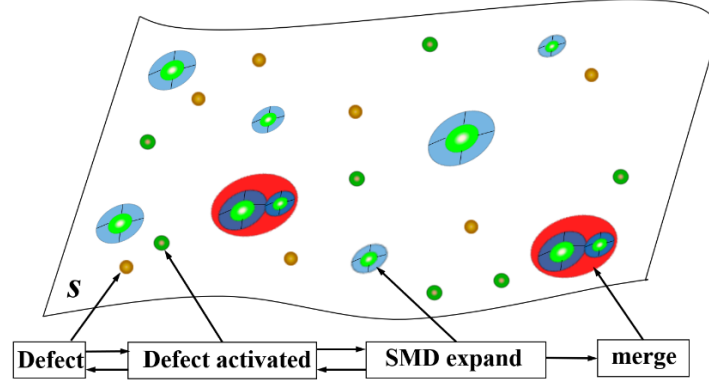
$$314 \quad \tau(t) = \tau_{\beta} \cdot (t/t_0)^{1-\chi}, \quad \text{for } \tau_{\beta} < t < \tau_{\max} \quad (11. a)$$

$$315 \quad \tau(t) = \tau_{\max} = (\tau_{\beta}/t_0^{1-\chi})^{1/\chi}, \quad \text{for } t > \tau_{\max} \quad (11. b)$$

### 316 *3.3 Mechanism of deformation*

317 As discussed in previous literature (Argon, 1979; Li et al., 2013; Schall et al., 2007;  
 318 Xu et al., 2018), the macroscopic non-elastic deformation is accommodated by the  
 319 mechanism of cooperative shearing of atomic clusters, which termed shear  
 320 transformation zones (STZs). At small strain, STZs are isolated and the deformation is  
 321 recoverable (anelasticity) from the instant removal of stress. For longer time with  
 322 application of stress, the expansion of STZs might result in the case that their  
 323 boundaries begin to interact with each other, cancelling the elastic energy. The resultant  
 324 deformation would be permanent and irreversible (plasticity). According to the  
 325 previous physical model (Perez, 1998), atomic clusters accommodating anelastic  
 326 deformation might be identified as sheared microdomains (SMDs). The sites of  
 327 nucleation of SMDs are the sites of defect described in the above section.

328 As shown in Fig. 1, the sites of defect are designated by yellow spherical symbols,  
 329 which are potential activation sites of SMDs. On the first stage of deformation, the sites  
 330 of defect are thermo-mechanically activated by operation of external mechanical stress,  
 331 giving rise to the localized basic movements of few atoms, preferentially oriented along  
 332 the maximum shear plane. The activation and growth of SMDs correspond to the  
 333 anelastic deformation. The elastic energy stored in the surface surrounding the SMDs  
 334 is able to induce constriction when the stress is released. Finally, when expanding,  
 335 SMDs eventually percolate and the resultant deformation is irreversible.



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**Fig. 1** Scheme of the microstructural arrangements for a glassy solid.

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To quantitatively compare the macroscopic anelastic and plastic deformation, we must consider the kinetic aspects of activation and annihilation of the deformation elements. Based on the former description of STZs and SMDs, the following two processes can be distinguished: (i) the formation of SMDs with a characteristic time  $\tau_1$  and (ii) the annihilation of SMDs with characteristic time  $\tau_2$ . After annihilation of SMDs, the initial sites of defect might be activated to generate other SMDs. With the preceding definition, we may describe the kinetic behavior of activation and annihilation of SMDs by the equation (Perez, 1998):

$$346 \quad \frac{dn(t_{\text{exp}})}{dt} = -\frac{n(t_{\text{exp}}) - n(\infty)}{\tau_1} + \frac{n(0) - n(t_{\text{exp}})}{\tau_2} \quad (12)$$

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where  $n(t_{\text{exp}})$  is the number of the non-activated SMDs per volume. The anelastic deformation results not only from the formation of SMDs but also from the expansion of these SMDs. Referring to the analysis of the hierarchical correlation effects given by Eq. (7), the anelastic deformation process spreads on a time scale between  $\tau_\beta$  and  $\tau_{\text{max}}$  with  $\tau_2 \approx \tau_{\text{max}}$  since the end of anelasticity is imposed by the annihilation of the SMD. Therefore, Eq. (12) can be written as:

$$353 \quad \frac{dn(t_{\text{exp}})}{dt} = -\frac{n(t_{\text{exp}}) - n(\infty)}{\tau_1(t)} + \frac{n(0) - n(t_{\text{exp}})}{\tau_{\text{max}}} \quad (13)$$

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355

In the following, we split our discussion in to two limiting situations in order to solve this differential equation.

356 *3.3.1 Anelastic deformation*

357 At short time ( $\tau_\beta < t_{\text{exp}} < \tau_{\text{max}}$ ), the last term describing the annihilation of  
 358 SMDs in Eq. (13) can be neglected, and this equation reduces to:

$$359 \quad \frac{dn(t_{\text{exp}})}{dt} = -\frac{n(t_{\text{exp}}) - n(\infty)}{\tau_1(t_{\text{exp}})} \quad (14)$$

360 Its integration leads to:

$$361 \quad n(t_{\text{exp}}) = n(\infty) + (n(0) - n(\infty)) \cdot \exp\left[-\left(\frac{t_{\text{exp}}}{\chi^{1/\chi}\tau_{\text{max}}}\right)^\chi\right] \quad (15)$$

362 Therefore, the formation of a SMD and its expansion gives rise to a local shear equal  
 363 to  $\Delta\varepsilon_{\text{an}}$  in a volume  $v_0$  of the matter. This results in a macroscopic deformation equal  
 364 to  $\Delta\varepsilon_{\text{an}} * v_0/V_{\text{tot}}$  in the volume  $V_{\text{tot}}$  of matter. By considering the nucleation rate of the  
 365 SMDs in the volume  $V_{\text{tot}}$ , the rate of anelastic deformation is written as:

$$366 \quad \frac{d\varepsilon_{\text{an}}}{dt_{\text{exp}}} = \frac{\Delta\varepsilon_{\text{an}} \cdot v_0}{V_{\text{tot}}} \cdot \frac{n(t_{\text{exp}}) - n(\infty)}{\tau_1(t_{\text{exp}})} \cdot V_{\text{tot}} \quad (16)$$

367 the solution of which is:

$$368 \quad \varepsilon_{\text{an}} = A_{\text{an}} \cdot \sigma \cdot \left\{1 - \exp\left[-\left(\frac{t_{\text{exp}}}{\tau_{\text{an}}}\right)^\chi\right]\right\} \quad (17.a)$$

369 with

$$370 \quad \tau_{\text{an}} = \chi^{1/\chi}\tau_{\text{max}} \quad (17.b)$$

371 where  $A_{\text{an}}$  is regarded as the intensity of the anelastic process.

372 *3.3.2 Plastic deformation*

373 At longer time,  $n(t)$  tends towards  $n(\infty)$  and the first term of the right hand of Eq.  
 374 (13) vanishes, hence we have:

$$375 \quad \frac{dn(t_{\text{exp}})}{dt_{\text{exp}}} = \frac{n(0) - n(\infty)}{\tau_{\text{max}}} \quad (18)$$

376 The macroscopic plastic deformation resulted from the annihilation of a SMD should  
 377 be greater than but not very different from  $\Delta\varepsilon_{\text{an}} \cdot v_0/V_{\text{tot}}$ . If we are generous and accept  
 378 that the two quantities are equivalent, the plastic deformation can be written as:

$$379 \quad \varepsilon_{\text{vp}} = A_{\text{vp}} \cdot \sigma \cdot \frac{t_{\text{exp}}}{\tau_{\text{max}}} \quad (19)$$

380 where the intensity  $A_{\text{vp}}$  of the plastic component is about  $A_{\text{an}}$ .

381 *3.3.3  $\beta$  relaxation*

382 It is widely accepted that the glassy matter, irrespectively of its particular nature,  
 383 exhibits two relaxation processes, i.e.,  $\alpha$  and  $\beta$ . Previous studies (Debenedetti and  
 384 Stillinger, 2001; Johari, 1973; Qiao et al., 2017) have shown that there is only one  
 385 relaxation behavior at a high temperature ( $T > 1.2T_g$ ), and the viscosity and coefficient  
 386 of diffusion obey the Stokes-Einstein equation. In the moderately supercooled regime,  
 387 the single relaxation behavior splits into  $\beta$  and  $\alpha$  relaxations. The former could be  
 388 described by the Arrhenius law, and the latter exhibits the Vogel-Fulcher-Tammann  
 389 (VFT) equation form and becomes extremely slow at  $T_g$  (Debenedetti and Stillinger,  
 390 2001; Geirhos et al., 2018; Luo et al., 2017).

391 The anelastic and plastic components of deformation are mainly associated with  
 392 the primary relaxation ( $\alpha$  relaxation). While the  $\beta$  relaxation is associated with local  
 393 motions of a limited number of atoms in metallic glass. Referring to the derivation  
 394 process of anelastic strain, the deformation related to  $\beta$  relaxation (rearrangement of a  
 395 certain number atoms) can be calculated by the following equation:

$$396 \quad \varepsilon_\beta = A_\beta \sigma \left[ 1 - \exp\left(-\frac{t_{\text{exp}}}{\tau_\beta}\right) \right] \quad (20)$$

397 *3.3.4 The total deformation*

398 A complete expression for the total deformation ( $\varepsilon$ ) is thus given by the sum of  
 399 elastic deformation ( $\varepsilon_{\text{el}}$ ), deformation linked to  $\beta$  relaxation ( $\varepsilon_\beta$ ), anelastic deformation  
 400 ( $\varepsilon_{\text{an}}$ ) and plastic deformation ( $\varepsilon_{\text{vp}}$ ). From the expressions (17), (19) and (20), we may  
 401 express the total deformation in the form of compliance,  $J_{\text{tot}}(t) = \varepsilon/\sigma$ ,

$$402 \quad J_{\text{tot}}(t_{\text{exp}}) = J_{\text{el}} + J_\beta + J_{\text{an}} + J_{\text{vp}} =$$

$$403 \quad \frac{1}{E_{\text{el}}} + A_\beta \left[ 1 - \exp\left(-\frac{t_{\text{exp}}}{\tau_\beta}\right) \right] + A_{\text{an}} \left\{ 1 - \exp\left[-\left(\frac{t_{\text{exp}}}{\tau_{\text{an}}}\right)^\chi\right] \right\} + A_{\text{vp}} \frac{t_{\text{exp}}}{\tau_{\text{max}}} \quad (21)$$

404 where  $E_{\text{el}}$  is storage modulus at ambient temperature.

405 The calculations of the above equation depend on an important parameter – the  
 406 correlation parameter  $\chi$  – which increases with disorder of system and therefore also  
 407 with the concentration of defect  $C_d$ .  $\chi$  is assumed to be linearly related to  $C_d$  when  
 408 temperature is slightly higher than  $T_g$ . On the other hand,  $\chi$  is a constant when metallic  
 409 glass is in an iso-configurational state at  $T < T_g$ . In addition, we should also ask  
 410 whether  $\chi$  remains constant during deformation, and if not, how it evolves. Due to the  
 411 fact that the final configuration of defects after annihilation of SMDs should be no  
 412 different from the initial defect site, the correlation parameter  $\chi$  is independent of  
 413 plastic deformation. It should be noted that in the case of amorphous polymer, the

414 elongation of chains will impose the correlation effects at longer distance (i.e. a  
 415 decrease in  $\chi$ ) (Rinaldi et al., 2011). In addition, by considering the increase of disorder  
 416 when the anelastic component increases, the following simple evolution laws for the  
 417 correlation parameter are added

$$418 \quad \chi(T) = \chi(T_g) \quad \text{for } T < T_g \quad (22. a)$$

$$419 \quad \chi(T) = \chi(T_g) + a_1(T - T_g) \quad \text{for } T > T_g \quad (22. b)$$

$$420 \quad \chi(T, \varepsilon) = \chi(T) + a_2 \varepsilon_{an} \quad (22. c)$$

421 where  $a_1$  is an adjustable parameter and it is material specific.  $\chi(T)$  in Eq. (22.c) is the  
 422 initial value of the correlation parameter at the beginning of test and  $\varepsilon_{an}$  is the anelastic  
 423 contribution to the total deformation. In the following experimental part of this study,  
 424 we will give the evolution curves of  $\chi$  with both temperature and strain. **Frankly, this is**  
 425 **a fairly simplified description of the evolution of correlation factor with deformation.**  
 426 **This description is applicable when the defect concentration is not far from equilibrium.**  
 427 **In fact, a more accurate description should consider both deformation-induced**  
 428 **disordering (increase of  $\chi$ ) and diffusion-controlled reordering (decrease of  $\chi$ )**

### 429 3.5 Linear viscoelastic regime

430 The linear viscoelastic behavior is investigated by DMA, i.e., the evolution of  
 431 complex shear (or Young's) modulus  $G^*$  (or  $E^*$ ) as a function of temperature  $T$  and the  
 432 angular frequency  $\omega$ , we should translate Eq. (21) from time domain to frequency  
 433 domain with the help of classic time-frequency analysis tool. Firstly, we develop Eq.  
 434 (21) by expanding the anelastic term to Taylor series and keeping just the first term to  
 435 approach the linear response. Therefore, the Laplace-Carson transform of Eq. (21)  
 436 directly gives access to the expression of the frequency response of the material

$$437 \quad J^*(i\omega) = \frac{1}{E_{el}} + A_\beta \frac{1}{1 + i\omega\tau_\beta} + A_{an} \frac{1}{(i\omega\tau_{an})^\chi} + A_{vp} \frac{1}{i\omega\tau_{max}} \quad (23)$$

438 where  $\omega$  is the angular frequency ( $\omega = 2\pi f$  with  $f$  the driving frequency of DMA test).  
 439 It should be noted the complex modulus  $E^*(i\omega)$  is the inverse of  $J^*(i\omega)$  expression.  
 440 Therefore, the storage modulus  $E'$  and loss modulus  $E''$  can be simply deduced from  
 441 the real part  $J'$  and imaginary part  $J''$  of  $J^*(i\omega)$ .

### 442 3.6 Spectrum of characteristic times

443 Due to the disordered arrangement of atoms in MGs, there is no reason to take a  
 444 single value of  $U_\beta$  in Eq. (8) (Egami, 2011; Luckabauer et al., 2019; Yang et al., 2012b).  
 445 Many works have shown that there is an activation energy distribution with a certain  
 446 shape such as normal or Gumbel ones (Bruns et al., 2021; Rinaldi et al., 2011; Shang  
 447 et al., 2019). In view of the fact that the distribution of characteristic times is

448 asymmetric, i.e. the distribution extends much more towards the side of short times than  
 449 that of long times, it is adequate to use a Gumbel distribution (Bertin, 2005; Rinaldi et  
 450 al., 2011):

$$451 \quad W_{\beta}^i = \frac{\exp\left\{B \ln\left(\frac{\tau_{\beta i}}{\langle\tau_{\beta}\rangle}\right) - \exp\left[B \ln\left(\frac{\tau_{\beta i}}{\langle\tau_{\beta}\rangle}\right)\right]\right\}}{\sum_i \exp\left\{B \ln\left(\frac{\tau_{\beta i}}{\langle\tau_{\beta}\rangle}\right) - \exp\left[B \ln\left(\frac{\tau_{\beta i}}{\langle\tau_{\beta}\rangle}\right)\right]\right\}} \quad (24)$$

452 where  $B$  is the distribution width and  $\langle\tau_{\beta}\rangle$  the most probable value of  $\tau_{\beta i}$ .  $W_{\beta}^i$   
 453 represents the statistical weight of each characteristic time  $\tau_{\beta i}$ .

454 As a consequence, we finally reach the constitutive relation for mechanical  
 455 response of MG:

$$456 \quad J_{\text{tot}}(t_{\text{exp}}) = \frac{1}{E_{\text{el}}} + A_{\beta} \sum_i W_{\beta}^i \left[1 - \exp\left(-\frac{t_{\text{exp}}}{\tau_{\beta i}}\right)\right] + A_{\text{an}} \left\{1 - \exp\left[-\left(\frac{t_{\text{exp}}}{\tau_{\text{an}}}\right)^{\chi}\right]\right\}$$

$$457 \quad + A_{\text{vp}} \frac{t_{\text{exp}}}{\tau_{\text{max}}} \quad (25. a)$$

$$458 \quad J^*(i\omega) = \frac{1}{E_{\text{el}}} + A_{\beta} \sum_i W_{\beta}^i \frac{1}{1 + i\omega\tau_{\beta i}} + A_{\text{an}} \frac{1}{(i\omega\tau_{\text{an}})^{\chi}} + A_{\text{vp}} \frac{1}{i\omega\tau_{\text{max}}} \quad (25. b)$$

459 It is thus possible to calculate the stress-strain (or strain-time) curves of  
 460 conventional mechanical tests (tension and creep) from Eq. (25.a), so one can verify  
 461 applicability of the current theory under the condition of large deformation. Eq. (25.b),  
 462 the Laplace-Carson transform of Eq. (25.a), directly gives the expression of complex  
 463 compliance to describe dynamic mechanical behavior (periodic stress or strain), which  
 464 is effective to study the relaxation behavior of metallic glass. Therefore, two kinds of  
 465 experiments, dynamic mechanical analysis experiment (small strain) and tensile and  
 466 creep tests (large strain), are carried out in the current work.

467 For clarity, a graphical illustration of the Gumbel distribution is given with  
 468 different  $B$  parameters is given in Fig. 2.

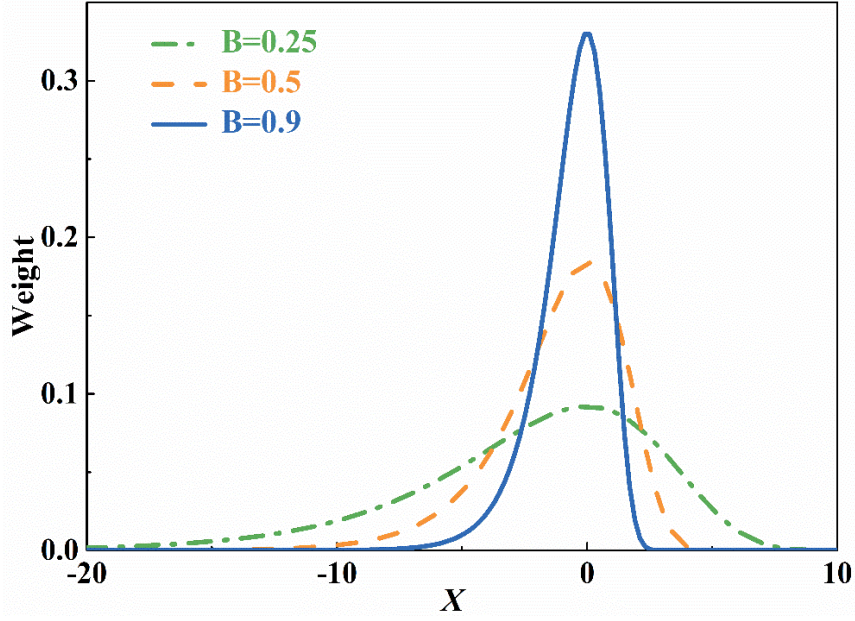


Fig. 2 Gumbel distribution with different width parameter  $B$ .

#### 4. Model parameters

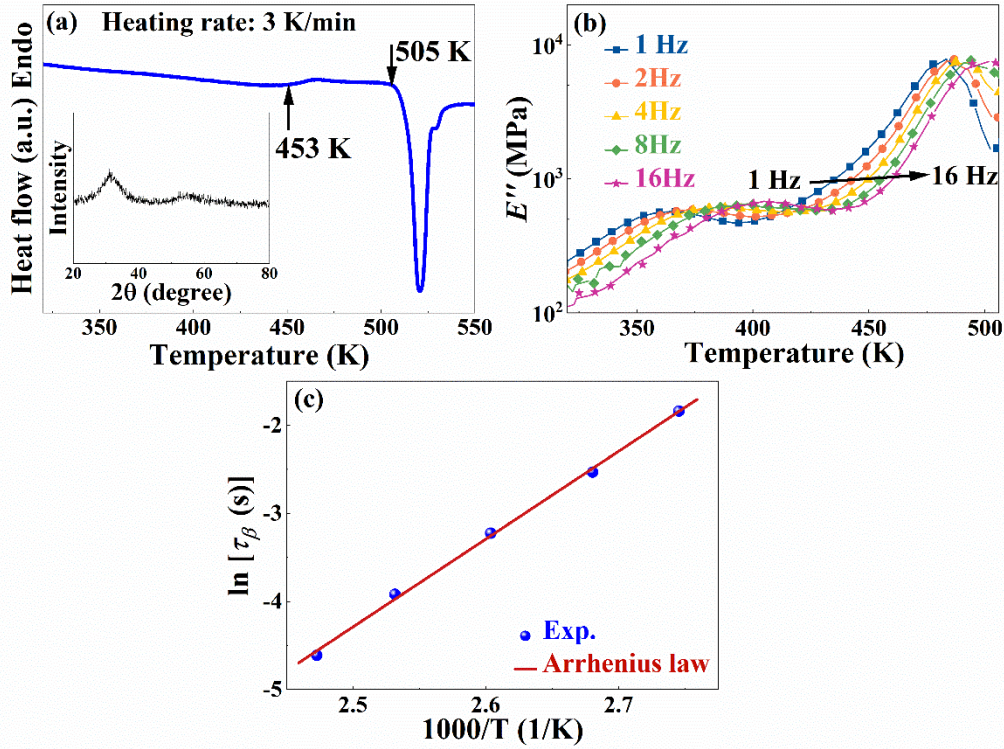
From the DMA linear viscoelastic experiments and the finite strain tests described in Section 2, the material parameters of the theoretical model can be calculated and evaluated.

##### 4.1 Elastic process

$J_{el}$  is the initial elastic compliance, taken as the inverse of the unrelaxed storage modulus  $E_{u,\beta}$  ( $J_{el} = 1/E_{el} = 1/E_{u,\beta}$ ).

##### 4.2 $\beta$ relaxation process

The intensity of  $\beta$  relaxation  $A_\beta$ , apparent activation energy of  $\beta$  relaxation  $U_\beta$  and the pre-exponential time  $\tau_{\beta 0}$  can be determined from the characterization of the  $\beta$  relaxation process in DMA linear viscoelastic experiments described in section 2.2. The intensity of  $\beta$  relaxation  $A_\beta$  can be calculated by  $1/E_{r,\beta} - 1/E_{u,\beta}$ . The unrelaxed elastic modulus  $E_{u,\beta}$  and the relaxed elastic modulus  $E_{r,\beta}$  are directly obtained from the value of the  $E'(T)$  curve below and above the  $\beta$  relaxation temperature region, respectively. The relaxation time  $\tau_\beta$  at the peak temperature of  $\beta$  relaxation is obtained as  $\tau_\beta \approx 1/2\pi f$  ( $f$  is the driving frequency) from the isochronal measurements.  $\tau_{\beta 0}$  and  $U_\beta$  can be determined by fitting the relationship between relaxation time  $\tau_\beta$  and temperature  $T$  with Eq. (8), as shown in Fig. 3(c). In addition, the distribution width parameter  $B$  of  $\beta$  relaxation is determined from the fit of the loss modulus  $E''(T)$  curve in the  $\beta$  relaxation domain, which will be discussed in Section 5.



492  
 493 **Fig. 3** (a) DSC curve of  $\text{La}_{60}\text{Ni}_{15}\text{Al}_{25}$  metallic glass with a heating rate of 3 K/min. Inset  
 494 is the XRD pattern. (b) Variation of the loss modulus as a function of temperature with  
 495 different driving frequencies (1-2-4-8-16 Hz) at a given heating rate of 3 K min<sup>-1</sup>; (c)  
 496 Correlation between the characteristic time  $\tau_{\beta}$  and the peak value temperature of the  $\beta$   
 497 relaxation. The solid line is fitted by the Arrhenius law.

#### 498 4.3 $\alpha$ relaxation process

499 The correlation parameter  $\chi(T)$ , the intensity  $A_{\text{an}}$  of the anelastic process, the  
 500 intensity  $A_{\text{vp}}$  ( $A_{\text{vp}} \approx A_{\text{an}}$ ) of the viscoplastic process and the scale parameter  $t_0$  are  
 501 devoted to the description of the main  $\alpha$  relaxation.

502 The correlation parameter as a function of temperature described by Eq. (22)  
 503 should be recalled here. When  $T < T_g$ ,  $\chi(T)$  remains constant. On the contrary,  $\chi(T)$   
 504 almost linearly increases with increasing temperature above  $T_g$ . In the literature it is  
 505 suggested that the internal friction  $\tan \delta$  may be described by the equation (Gadaud and  
 506 Pautrot, 2003; Wang et al., 2005):

$$507 \quad \tan \delta = (\omega \tau_{\text{max}})^{-\chi} \quad (26)$$

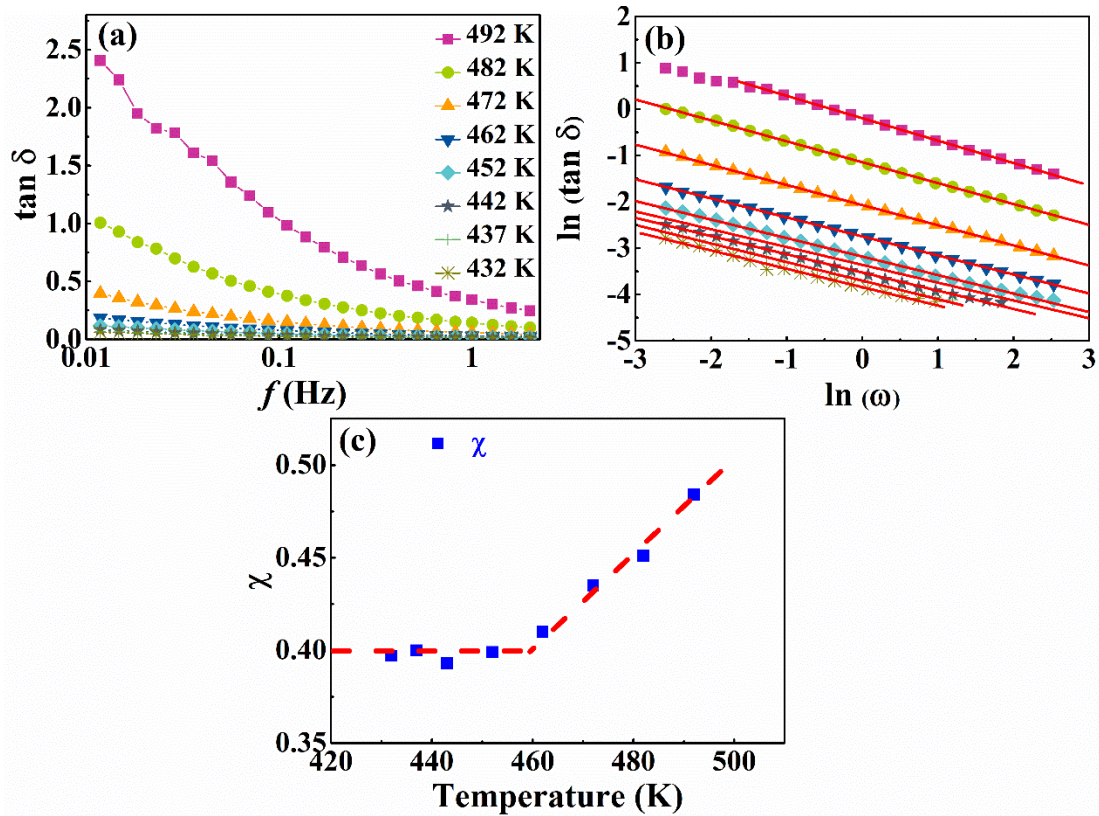
508 By putting the value of  $\tau_{\text{max}}$  in this expression, Eq. (26) can be written in the form:

$$509 \quad \ln(\tan \delta) = -\frac{U_{\beta}}{kT} - \chi \ln(\omega) - \chi \ln \left[ t_0 \left( \frac{\tau_{\beta 0}}{t_0} \right)^{\frac{1}{\chi}} \right] + \ln K \quad (27)$$

510 where  $K$  is a constant. In this case, a double logarithmic plot of  $\tan \delta$  versus frequency



511 at a fixed temperature will give the evolution of  $\chi$  with temperature.



512

513 **Fig.4** (a) Internal friction of the  $\text{La}_{60}\text{Ni}_{15}\text{Al}_{25}$  metallic glass as a function of frequency  
 514 at different temperatures; (b) Effect of driving frequency on logarithm of internal  
 515 friction  $\tan \delta$  at different temperatures. The solid lines are fitted by Eq. (27); (c)  
 516 Evolution of the correlation parameter  $\chi$  with temperature.

517 As can be seen in Fig. 4(a), isothermal internal friction spectrum is measured over  
 518 a broad temperature region with varying driving frequency. Fig. 4(b) shows the  
 519 variations of  $\ln(\tan \delta)$  versus  $\ln(\omega)$  at different temperatures and the experimental  
 520 results could be well described by the Eq. (27). Fig. 4(c) shows the variation of the  
 521 correlation parameter  $\chi$  with temperature for the  $\text{La}_{60}\text{Ni}_{15}\text{Al}_{25}$  metallic glass. It has been  
 522 shown that  $\chi$  ranges from 0.38 to 0.4 for typical MGs in our previous work (Qiao and  
 523 Pelletier, 2014; Qiao et al., 2016b).

524 Scale parameter  $t_0$  is related to the shift between the two relaxations: the smaller  
 525 the  $t_0$ , the closer the  $\alpha$  and  $\beta$  relaxation processes. Therefore, a value of  $6 \times 10^{-7}$  s for  
 526  $t_0$  is found to give the best fit of the isochronal curve. Finally,  $A_{\text{an}}$ , the intensity of the  
 527 anelastic process, can be adjusted so that the calculated and experimental curves for the  
 528  $G''$  peak obtained at a given frequency coincide. Finally, there are only two left  
 529 parameters ( $\sigma_0$  and  $a_2$ ) that cannot be obtained from the linear DMA experiments,  
 530 which are adjusted to match the stress-strain curve at 430 K. Table 1 lists the set of  
 531 parameters, together with their physical significances, and the values determined for  
 532 the  $\text{La}_{60}\text{Ni}_{15}\text{Al}_{25}$  metallic glass.

533 **Table 1** Parameters of the current model for La<sub>60</sub>Ni<sub>15</sub>Al<sub>25</sub> metallic glass.

Parameter	Value	Description
$E_{u,\beta}$ (MPa)	$3.3 \times 10^4$	Storage elastic modulus before the $\beta$ relaxation
$E_{r,\beta}$ (MPa)	$3.06 \times 10^4$	Relaxed Storage elastic modulus after the $\beta$ relaxation
$U_\beta$ (kJ/mol)	83.12	Apparent activation energy of the $\beta$ relaxation process
$\tau_{\beta 0}$ (s)	$1.86 \times 10^{-13}$	Pre-exponential factor the $\beta$ relaxation
$A_{an}(A_{vp})$ (MPa <sup>-1</sup> )	$1.5 \times 10^{-5}$	Intensity of anelastic and viscoplastic processes
$\chi(T_g)$	0.4	Correlation parameter below the glass transition temperature $T_g$
$a_1$	0.00231	Temperature contribution to the correlation parameter above $T_g$
$t_0$ (s)	$6 \times 10^{-7}$	Scaling parameter related to the shift between $\alpha$ and $\beta$ relaxations
$\sigma_1$ (MPa)	28000	A parameter related to the effect of stress to activation of the $\beta$ process
$a_2$	1.8	Anelastic contribution to the correlation parameter

534

## 535 **5. Results and discussion**

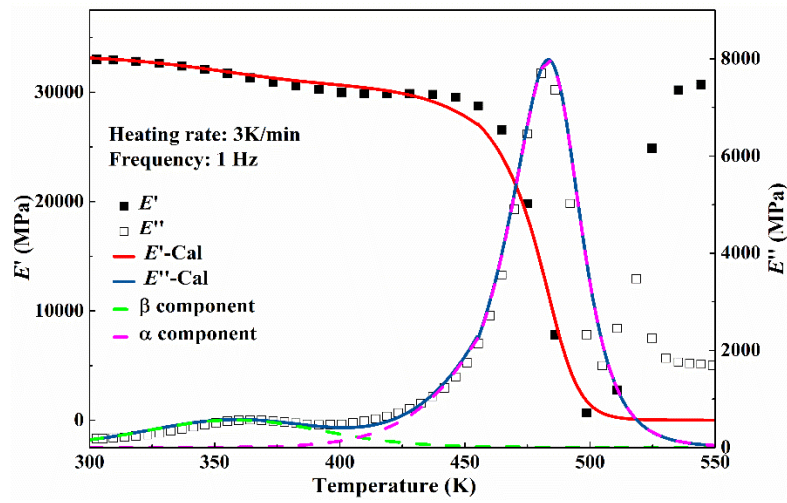
536 The physical model of hierarchically correlated movements described in Section  
 537 3 is implemented for numerical calculations of the small-strain linear viscoelastic  
 538 dynamic mechanical response and the large-strain plastic deformation behavior. The  
 539 model parameters and methods for determining the parameters for La<sub>60</sub>Ni<sub>15</sub>Al<sub>25</sub>  
 540 metallic glass have been described in Section 4.

### 541 *5.1 Linear regime: DMA*

#### 542 *5.1.1 Temperature dependence at a given frequency*

543 DMA temperature scanning techniques can be used to investigate the relaxation  
 544 process and the evolution of dynamic mechanical properties with temperature in  
 545 metallic glasses. Under a heating rate of 3K min<sup>-1</sup>, isochronal DMA experiments for  
 546 La<sub>60</sub>Ni<sub>15</sub>Al<sub>25</sub> bulk metallic glass were conducted at fixed driving frequency of 1 Hz  
 547 from room temperature to 550 K. Fig. 5 shows the variation of the storage modulus  $E'$   
 548 and the loss modulus  $E''$  as a function of temperature. The value of storage modulus is  
 549 around 33 GPa for this metallic glass at ambient temperature. Similar to the  
 550 phenomenon observed in other metallic glasses, three typical temperature regions can  
 551 be distinguished from Fig. 5: (i) In the temperature region from 300 K to 430 K ( $\beta$   
 552 relaxation process),  $E'$  drops slightly and  $E''$  shows a distinct peak around 360 K. It  
 553 should be noted that only few families of metallic glasses show a well resolved  $\beta$   
 554 relaxation based on the DMA measurements. For most metallic glasses, only a

555 “shoulder” or “excess wing” on the low temperature side of the  $\alpha$  relaxation spectra can  
 556 be observed. Recent investigations demonstrated that the  $\beta$  relaxation is of importance  
 557 for understanding some key issues in metallic glasses, such as diffusion (Ngai et al.,  
 558 2017), physical aging (Yu et al., 2014) and deformation mechanisms (Lei et al., 2020;  
 559 Wang et al., 2017; Zhang et al., 2019); (ii) In the temperature range of 430 K-500 K  
 560 (main  $\alpha$  relaxation),  $E'$  decreases sharply while the loss modulus  $E''$  increases with  
 561 temperature.  $E''$  shows a maximum value around 480 K. The main  $\alpha$  relaxation  
 562 detected around  $T_g$  is associated to the dynamic glass transition phenomenon, which is  
 563 originated by the cooperative movements of the atoms or molecules of glassy materials,  
 564 including glassy polymers, oxide glasses and metallic glasses; (iii) When the  
 565 temperature surpasses 500 K, both  $E'$  and  $E''$  increase as temperature increases due to  
 566 the onset of crystallization.



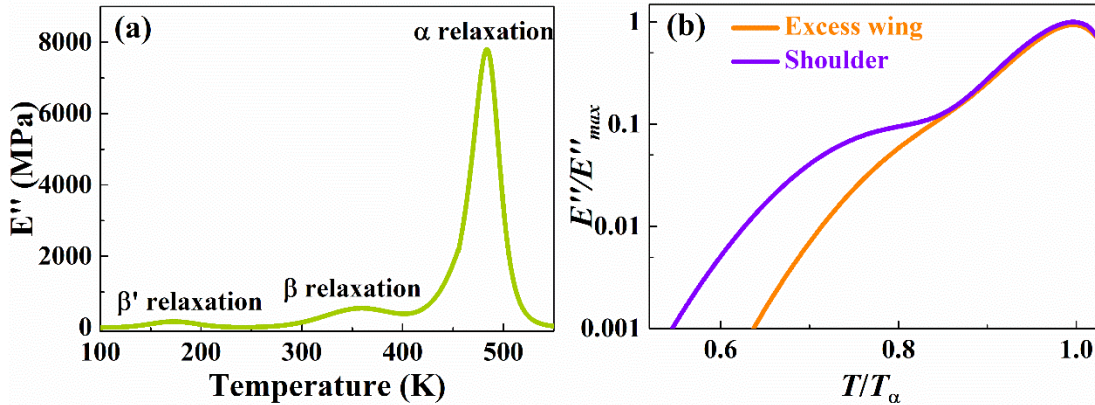
567

568 **Fig. 5** Symbols: Isochronal curves of  $\text{La}_{60}\text{Ni}_{15}\text{Al}_{25}$  bulk metallic glass between 300 and  
 569 550 K ( $f=1$  Hz) measured at a heating rate of  $3\text{ K min}^{-1}$ . Solid lines: calculated curves  
 570 using Eq. (25b). The dashed lines give the individual contributions of the  $\alpha$  and  $\beta$   
 571 relaxations.

572 The solid lines in Fig. 5 show the evolution curves of  $E'$  and  $E''$  with temperature  
 573 calculated by Eq. (25b). As shown by the comparison with experimental results, the  
 574 model is able to capture both the  $\alpha$  and  $\beta$  relaxation processes reproducing the  $E''(T)$   
 575 curve and the sharp decrease of  $E'$  in the glass transition region. However, it should be  
 576 noted that the calculated curves, in the two temperature ranges of  $400\text{ K} < T <$   
 577  $450\text{ K}$  and  $520\text{ K} < T$ , cannot describe well the experimental data. The former is due  
 578 to possible structural relaxation, which is not taken into account as we assume a  
 579 constant correlation parameter  $\chi$  below  $T_g$ , and the latter is attributed to the occurrence  
 580 of crystallization.

581 It should be noted that a fast  $\beta'$  relaxation process with a well separated  $E''$  peak  
 582 have been investigated in the temperature range  $0.25 - 0.58 T_g$  for all the MGs in  
 583 addition to  $\alpha$  and  $\beta$  relaxation processes (Wang et al., 2017; Zhao et al., 2016). The fast  
 584  $\beta'$  relaxation possesses a fairly low activation energy, only about half of that of the  $\beta$

585 relaxation process. On the other hand, not all  $\beta$  relaxation in metallic glasses can be  
 586 observed as a distinctive and complete  $E''$  peak. By comparison, the  $\beta$  relaxation  
 587 emerges in the form of either a shoulder (Pd-, Mg-based MGs) or even an excess wing  
 588 (Zr-, Cu-based MGs). Therefore, to enable the model to be applicable to other MGs, the  
 589 theoretical calculation of temperature spectrum including the fast  $\beta'$  relaxation process  
 590 is given, by considering the appropriate values of activation energy and distribution  
 591 width, as shown in Fig. 6(a). Further, we also give the calculated curves of different  
 592 forms of  $\beta$  relaxations by selecting appropriate scaling parameter  $t_0$  in Fig. 6 (b).

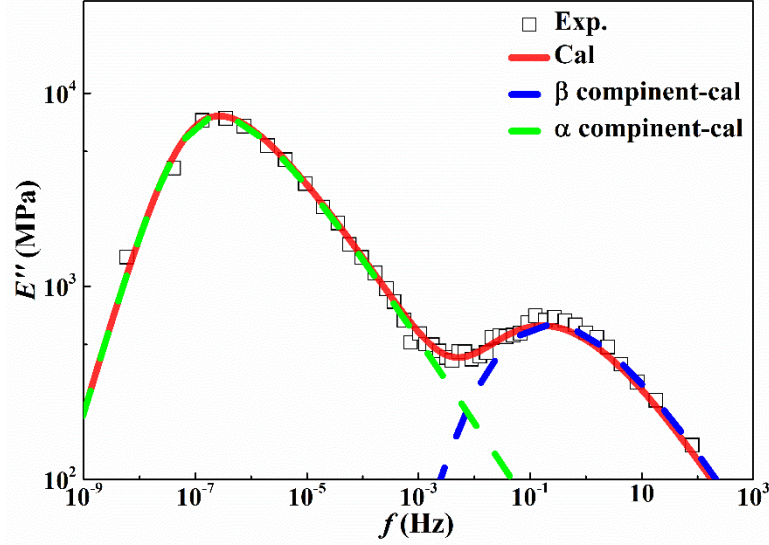


593

594 **Fig. 6** Theoretical calculations for the DMA isochronal curves. (a) Different relaxation  
 595 behaviors including the distinctive fast  $\beta'$  relaxation process; (b) Different forms  
 596 ('excess wing' and 'shoulder') of  $\beta$  relaxation.

### 597 5.1.2 Effect of testing frequency

598 To investigate the effect of driving frequency on the dynamic mechanical behavior,  
 599 a series of isothermal measurements were conducted over a large temperature range  
 600 (322 K–492 K; temperature interval 10 K) with varying test frequency (0.01–2 Hz).  
 601 Based on the time-temperature superposition (TTS) principle, the resultant master  
 602 curve (as shown in Fig. 7) covers a frequency range much greater than that of the  
 603 original test data. The red solid line is the best fit of the master curve of the loss modulus  
 604 according to Eq (25b), and it is shown to accurately describes the isothermal spectra of  
 605  $\alpha$  and  $\beta$  relaxation processes.



606

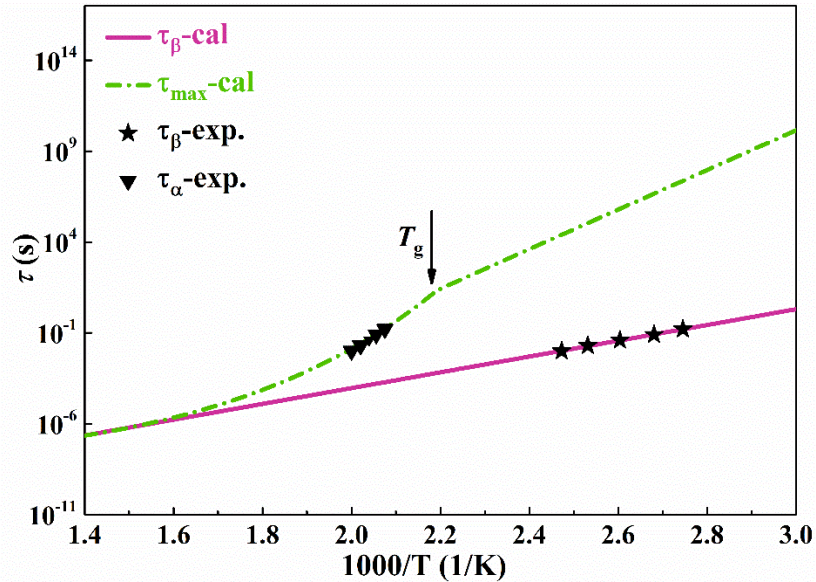
607 **Fig. 7** Symbols: Master curve of the loss modulus  $E''$  for  $\text{La}_{60}\text{Ni}_{15}\text{Al}_{25}$  metallic glass.  
 608 Red solid line: calculated curve. The dashed lines denote individual contributions to the  
 609  $\alpha$  and  $\beta$  relaxations, respectively.

610 The limitation of validity of a master curve for analysis based on TTS needs to be  
 611 considered. In fact, the TTS is not valid for the whole temperature range. That is  
 612 because it assumes that the shape of the spectrum at each temperature is the same but  
 613 shifted in the frequency or time scales, which is obviously incorrect. Therefore, the TTS  
 614 is generally used to show different relaxation processes, rather than to study the  
 615 dynamic characteristics of  $\alpha$  or  $\beta$  relaxation processes. Consequently, the scaling  
 616 parameter  $t_0$  in the model, which is related to the shift between  $\alpha$  and  $\beta$  relaxations, is  
 617 adjusted to obtain a better agreement with the experimental data.

### 618 5.1.3 Evolution of characteristic times

619 One of the intriguing questions in the study of the relaxation behavior of metallic  
 620 glasses is how to separate the contribution of  $\alpha$  and  $\beta$  relaxation in the master curve,  
 621 which has been discussed above. As shown in Fig. 8, the change of relaxation time for  
 622 the  $\alpha$  and  $\beta$  processes as a function temperature can be described based on the current  
 623 model, which is in good agreement with the experimental data (solid symbols). It can  
 624 be noted that the variation of  $\tau_\beta$  with temperature is consistent with the Arrhenius  
 625 equation over the whole temperature (purple solid line). For the characteristic time  $\tau_{\max}$ ,  
 626 it obeys the VFT equation in the metastable equilibrium ( $T > T_g$ ). As decreasing  
 627 temperature,  $\tau_{\max}$  increases very rapidly. The increase corresponds not only to the  
 628 decrease in thermal activation but also to the increase of cohesion (decrease of specific  
 629 volume and configurational entropy). The latter is the basis of the VFT law.  
 630 Furthermore, the VFT behavior followed at high temperatures changes to an Arrhenius-  
 631 like response below  $T_g$  rather than to the vertical asymptote as sometimes described. At  
 632  $T < T_g$ , the system is in an iso-configurational state and the correlation parameter  $\chi$  is  
 633 constant. Therefore, an Arrhenius law is observed for both  $\tau_{\max}$  and  $\tau_\beta$ . An analysis of

634 hierarchically correlated movements might well explain the surprising transition  
 635 behavior of characteristic time  $\tau_{\max}$  from one side to the other side of  $T_g$ . In fact, the  
 636 understanding of characteristic time and atomic mobility is of importance to physical  
 637 aging and mechanical properties of metallic glasses (Casalini and Roland, 2009; Luo et  
 638 al., 2017; Qiao et al., 2016a; Yu et al., 2017).

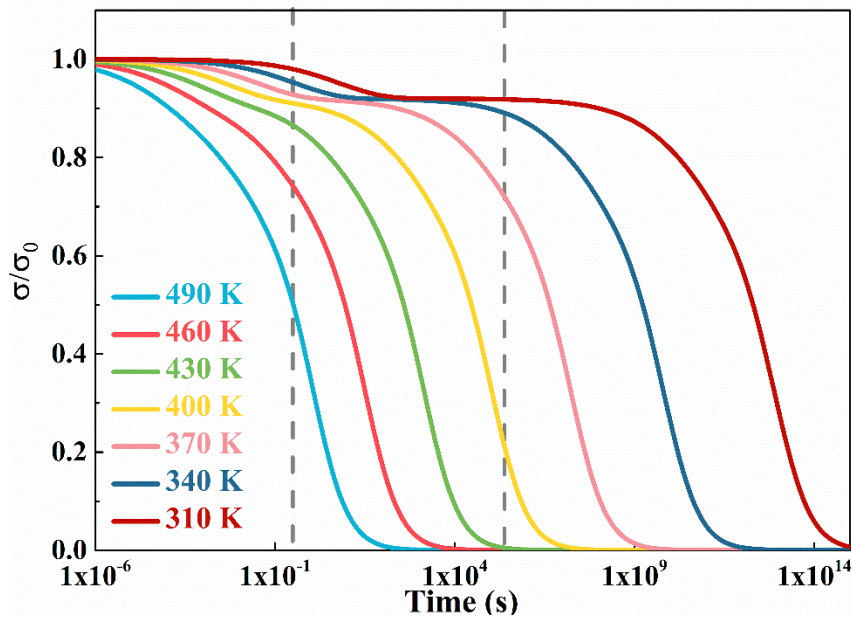


639

640 **Fig. 8** Variation of the characteristic times for  $\alpha$  and  $\beta$  relaxations, respectively, as a  
 641 function of temperature. Black symbols stand for the experimental values of  $\tau_\alpha$  and  $\tau_\beta$   
 642 determined by the relation  $\omega\tau = 1$  at the peak of the loss modulus; Purple solid line  
 643 represents the variation of  $\tau_\beta$  with temperature calculated from Arrhenius law; Green  
 644 dashed line denotes the variation of  $\tau_{\max}$  with temperature according to the theoretical  
 645 model proposed in this work.

646 According to the current knowledge, two main kinetic processes are related to  
 647 relaxation in glassy state, i.e., the fast  $\beta$  Johari-Goldstein (JG) relaxation and the slow  
 648  $\alpha$  relaxation described in Fig. 8. It may have explained the two-step stress relaxation  
 649 phenomenon found in recent works (Bruns et al., 2021; Luo et al., 2017; Soriano et al.,  
 650 2021). They found a surprising gradual change of stress relaxation process from a  
 651 single-step to a two-step decay upon cooling. The faster relaxation process is likely due  
 652 to the microscopic dynamics dominated by the anomalous stress, and the slower one is  
 653 related to diffusive motion at larger scales with a broad distribution of relaxation times.  
 654 The fast decay observed by them and the fast JG relaxation process were incompatible  
 655 on both the time scale and the activation energy, which we believe may be due to three  
 656 reasons as explained in the following. Primarily, one reason is the limit of the time scale  
 657 of the experimental observation ( $1 \sim 10^5$  s), which leads to that a part of stress relaxation  
 658 caused by fast  $\beta$  relaxation is difficult to be detected because its response time is too  
 659 short; Secondly, the plastic deformation caused by the coalescence of the neighboring  
 660 SMDs may be accompanied by the recovery of isolated SMDs; Thirdly, the deformation  
 661 unit will lose its independence, not only due to the coalescence of SMDs, but also due

662 to the diffusion of defects between two neighboring SMDs. Such a mechanism is well-  
 663 known in the kinetics of dislocation. Fig. 9 shows the stress relaxation profiles  
 664 calculated by Eq. (25a) at different temperatures. The stress  $\sigma(t)$  have been normalized  
 665 by the initial stress  $\sigma_0$ . As observed in the figure, the stress decreases by a single-step  
 666 fashion near  $T_g$ . However, as the temperature decreases, the stress relaxation is  
 667 gradually decoupled into well-defined two steps. It should be noted that the two-step  
 668 stress relaxation behavior of metallic glasses in non-equilibrium state is closely related  
 669 to the state of glass and provides a new clue to understand the nonelastic deformation,  
 670 as well as dynamic heterogeneity as expected in metallic glasses. Therefore, the  
 671 consistency between experiment and theoretical calculation deserves further studies.



672

673 **Fig. 9** Stress relaxation curves from 310 to 490 K calculated by Eq. (25a). The  
 674 magnitude of stress  $\sigma$  has been normalized by the initial value  $\sigma_0$ .

675 *5.2 Non-linear regime: Finite deformation tests*

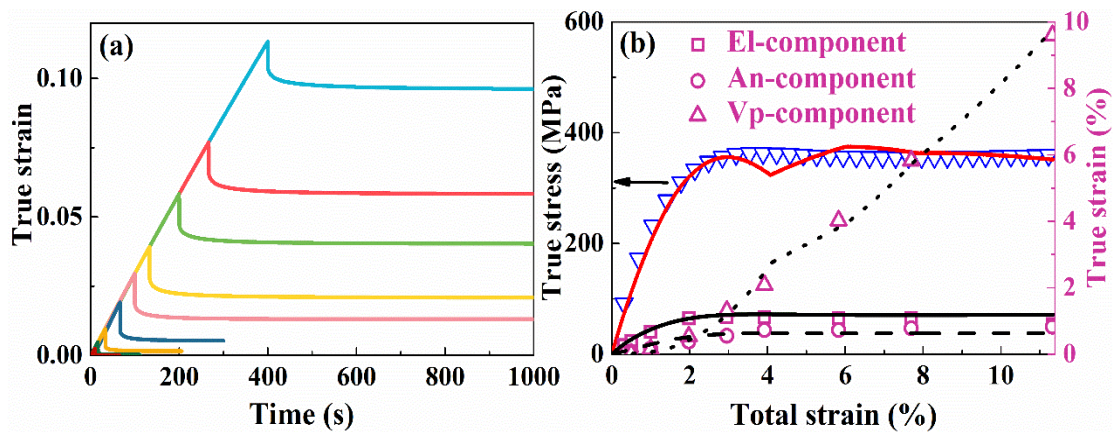
676 In section 5.1, a good description for the dynamic mechanical relaxation  
 677 phenomenon with small amplitude oscillatory loading conditions was obtained. With  
 678 the confidence that the previous validation of the model brings to us, another important  
 679 goal is to apply the model to the finite strain experiments of large deformation.

680 *5.2.1 Uniaxial tensile tests at a given strain rate*

681 The deformation behavior of metallic glasses is very sensitive to temperature. At low  
 682 temperature, the deformation is not homogeneous, and takes place locally with quite  
 683 higher degree than the rest part. However, with the increase of temperature ( $T > 0.8 T_g$ ),  
 684 especially in the supercooled liquid region, the nonelastic deformation is rather  
 685 homogeneous in the entire solid, which not only has important application value in  
 686 molding, but also is of significance for understanding microstructural evolution of

687 nonelastic deformation of metallic glasses, so it has attracted extensive attention.

688 Previous reports have emphasized on the effect of temperature, strain rate, stress,  
689 physical aging and hydrostatic pressure on macroscopic deformation (Schuh et al., 2007;  
690 Shi and Falk, 2007). In order to determine the contribution of elastic, anelastic and  
691 plastic deformation components and the evolution law of each component in the  
692 deformation process, several samples must be unloaded after deformation up to  
693 different strain levels under uniaxial tension. The recovered part embeds the elastic  
694 (instantly reversible) and anelastic (reversible but delayed) contributions, whereas the  
695 irreversible permanent deformation is called plastic, as shown in Fig. 10(a). In Fig.  
696 10(b), it is shown that the elastic and anelastic parts onset at the beginning of the  
697 deformation and tend to be stable in the stage of steady-state flow. The plastic  
698 deformation occurs latter, but increases rapidly at a stable growth rate. In the current  
699 work, the theoretical predictions based on the hierarchically correlated movements of  
700 the evolution of the total stress, elastic, anelastic and plastic contributions during the  
701 finite tensile test at the given strain rate of  $3 \times 10^{-4} \text{ s}^{-1}$  have been confronted to the  
702 experimental results. In spite of the success of the model, the evolution of deformation  
703 units (SMDs, STZs, ...) and their potential activation sites (QPDs, free volume, ...), in  
704 the process of competition between stress induced production of defects and thermal  
705 annihilation, should be clarified. It is important to note that defect is a feature of the  
706 glass structure and can be identified based on the fluctuations of density, enthalpy and  
707 entropy. However, an SMD/STZ is not a structural defect and is defined by its  
708 transience, that is, it cannot be identified at a single instant in time (Schuh et al., 2007).



709

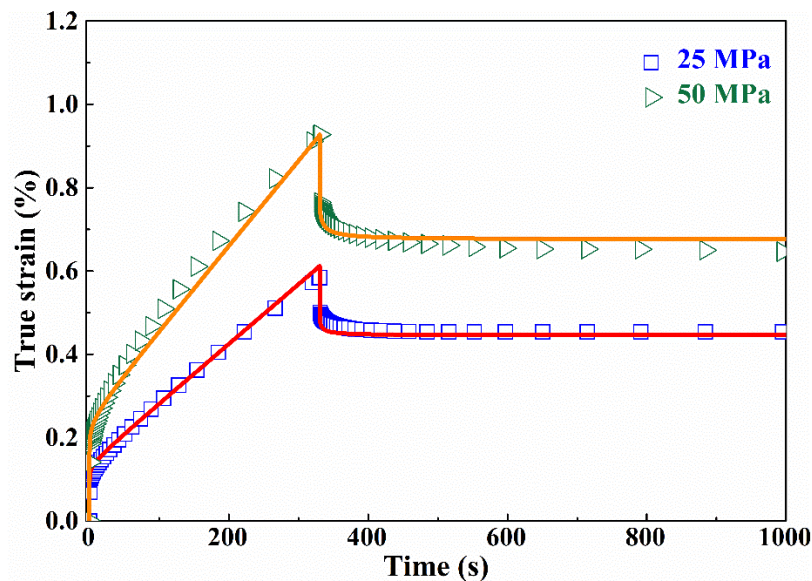
710 **Fig. 10** (a) Experimental true strain-time curve for  $\text{La}_{60}\text{Ni}_{15}\text{Al}_{25}$  metallic glass in a set  
711 of uniaxial tensile and subsequent recovery measurements. (b) Evolution of the elastic,  
712 anelastic and viscoplastic strains during deformation. The test temperature is 430 K and  
713 the strain rate is  $3 \times 10^{-4} \text{ s}^{-1}$ . The peak stress is about 370 MPa. The elastic modulus  
714 is calculated as 29.8 GPa from the initial slope of stress-strain curve. Symbols:  
715 experimental data; Curves: theoretical calculations.

716

717 *5.2.2 Creep and recovery*



718 The creep recovery experiments were carried out at a given temperature (i.e., 430 K)  
719 with different uniaxial tensile stresses (25 and 50 MPa, respectively). **The creep time is**  
720 **330 s.** As shown in Fig. 11, it is interesting to point out that the creep behavior of the  
721 model alloy exhibits two states: the transient state and the steady-state stage. Indeed,  
722 the two-stage phenomenon occurs at low stress levels. **In contrast, at high-stress levels,**  
723 **three stages should appear in the creep process: following the steady-state stage is the**  
724 **tertiary stage where the strain rate starts surging, as reported in the previous literature**  
725 **(Zhu et al., 2021).** It should be pointed out that the third stage of creep behavior under  
726 **high is not shown in the current work, because the inhomogeneous deformation (shear**  
727 **localization) reflected in the third stage cannot be described by the current version of**  
728 **hierarchical correlation theory. In this case, the separation of flow into shear bands and**  
729 **remaining matrix should be consider, which lies beyond the scope of the current**  
730 **investigation.** The origin of the two distinct cases lies in the fact that the chemical  
731 potential under thermo-mechanical coupling is a function of defect concentration and  
732 stress state. At low stress levels, the chemical potential is dominated by the thermal  
733 effect, which tends to smooth out the heterogeneity of the metallic glass. In comparison,  
734 at high stress levels, the stress concentration dominates that increasing of the  
735 heterogeneity of metallic glasses (Zhu et al., 2021). Three deformation components can  
736 be derived from the recovery curves. According to the preceding analysis, the anelastic  
737 and plastic contributions are associated with the expansion and coalescence of  
738 deformation units, respectively. In fact, the evolution of anelastic strain can be studied  
739 by the small-deformation experiments at low temperature. Since the values of  
740 deformation was very small, almost comparable to those of elastic deformation, SMDs  
741 accommodating anelastic deformation are isolated and did not interact with each other  
742 (Atzmon and Ju, 2014; Ju et al., 2011; Lei et al., 2020).



743

744 **Fig. 11** Comparison between theoretical calculations (lines) and experiments (symbols)  
745 for creep at 430 K.

746 As shown by the comparison with experimental results in Fig. 11, the model is able  
747 to capture the evolution of strain with time during creep and recovery. In spite of the  
748 success of the model, the two aspects of structural evolution and characteristic time  
749 need to be further explained in the description of creep and subsequent recovery process.  
750 In the case of the microstructural evolution, a single correlation factor is adopted at a  
751 given stress for simplicity, which is appropriate for the steady-state flow in the second  
752 stage. In fact, if researchers want to describe the micro evolution process more  
753 accurately, the unloading experiments after deformation up to different strain should be  
754 performed. For the second case, when we remove the stress, the characteristic time for  
755 recovery of deformation for the anelasticity should be longer than that of anelasticity  
756 during the creep process.

757

## 758 **6. Concluding remarks**

759 In this work, a theoretical framework for universal description of the non-elastic  
760 deformation of metallic glasses has been presented based on the hierarchically  
761 correlated molecular theory and quasi point defect theory. This model describes a much-  
762 simplified conceptual scenario of atomic rearrangement. The activation, expansion and  
763 coalescence of SMDs are associated with anelastic (reversible) and plastic (permanent)  
764 deformation as described in Fig. 1. Hierarchical correlation effects are supposed to  
765 occur during atomic movements, i.e., the atomic movements involve more and more  
766 atoms and require increasing time to be completed. On the one hand, it is worth to point  
767 out that the current research considers the microstructural heterogeneity on the nano-  
768 scale. We could not consider the defects from the perspective of the macrostructure, i.e.  
769 cavities and notches. It has been proved that these cavities and notches are closely  
770 related to the deformation behavior of the glass solids (Chen and Dai, 2016; Pan et al.,  
771 2015; Sha et al., 2019; Singh et al., 2013). **On the other hand, the current analysis is**  
772 **applicable only in the case of homogeneous deformation. Therefore, plastic instability,**  
773 **necking phenomena and the formation of crazes are not taken into account. In fact, it is**  
774 **obvious that the macroscopic inhomogeneous deformation at lower temperature such**  
775 **as serrated flow, initiation and propagation of shear bands is also affected by the**  
776 **microstructural defects (Chen et al., 2013; Tong et al., 2016; Xie et al., 2019). The**  
777 **mechanical aspects of plastic instability are difficult to quantify. In this case, the**  
778 **description should consider the separation of flow into shear bands covering a fraction**  
779  **$f$  of the volume and remaining matrix covering a fraction  $(1-f)$ , which lies beyond the**  
780 **scope of the current investigation.**

781 A validation of the current model has been achieved for  $\text{La}_{60}\text{Ni}_{15}\text{Al}_{25}$  metallic glass:  
782 a good description has been obtained not only for the small-strain linear viscoelastic  
783 dynamic mechanical response but also for the large-strain plastic deformation behavior.  
784 This provides a bridge between the dynamic mechanical analysis and the conventional  
785 mechanical tests, such as creep and uniaxial tensile tests. Actually, the current model

786 has the potential to be applied to describe various relaxation behaviors in other MGs,  
787 such as fast  $\beta'$  relaxation process. In addition, the model also provides a theoretical  
788 description for the two-step relaxation phenomenon in deep glassy state, which is  
789 helpful to understand the relaxation mechanism and plastic deformation behavior of the  
790 generic amorphous materials from a new perspective.

791

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