



# Article A Hybrid ANN-GA Model for an Automated Rapid Vulnerability Assessment of Existing RC Buildings

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Abstract: Determining the risk priorities for the building stock in highly seismic-prone regions and making the final decisions about the buildings is one of the essential precautionary measures that needs to be taken before the earthquake. This study aims to develop an Artificial Neural Network (ANN)-based model to predict risk priorities for reinforced-concrete (RC) buildings that constitute a large part of the existing building stock. For this purpose, the network parameters in the network structure have been optimized by establishing a hybrid structure with the Genetic Algorithm (GA). As a result, the ANN model can make accurate predictions with maximum efficiency. The suggested ANN model is a feedforward back-propagation network model. It aims to predict the risk priorities for 329 RC buildings in the most successful way, for which the performance score was calculated using the Turkey Rapid Evaluation Method (2013). In this paper, a GA-ANN hybrid model was implemented in which the ANN, using the most successful gene revealed by the model, produced successful results in calculating the performance score. In addition, the required input parameters for obtaining more efficient results in solving such a problem and the parameters that need to be used in establishing such an ANN network structure have been optimized. With the help of such a model, the operation process will be eliminated. The created hybrid model was 98% successful in determining the risk priority in RC buildings.

Keywords: existing reinforced-concrete buildings; rapid visual screening; ANN; genetic algorithm

# 1. Introduction

Significant loss of life and property due to structural damages and failures is frequently caused by earthquakes and similar natural disasters that strike different world regions. Especially, the large-scale damages after earthquakes reveal the importance of precautionary measures that can be taken before earthquakes [1–4]. Designing earthquake-resistant structures and prioritizing existing vulnerable structures for further retrofitting plans are among the prominent measures that can extensively mitigate the following disasters since it does not seem possible to predict earthquakes with current technology [5–7]. Rocketing populations in urban areas and expanding building stocks day-by-day can pose severe risks of damage or failure depending on the characteristics of existing structures. In this context, determining the earthquake safety levels of building stock [8–11]. Many existing building stocks make it difficult to evaluate them in a reasonable timeframe and present challenges—like lack of qualified personnel and economic resources [12,13]. Even a detailed examination of the seismic safety level of a building can take days. Therefore, it does



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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). not seem reasonable to examine every existing structure in detail. As a solution, risk priorities can be decided on a regional basis using methods that will provide faster and more accurate results than time-consuming techniques for individual structures. In this regard, rapid screening methods are used to determine the risk priorities of buildings. Therefore, the preliminary earthquake safety levels of the building stock in any region can be determined, and risk priorities can be decided on a regional basis [14-16]. The data obtained as a result of these methods can decide which buildings will be subjected to detailed structural analyses within the scope of performance-based earthquake engineering. This will significantly reduce the number of risky buildings subjected to detailed structural analyses. In order to overcome the destructive effects of earthquakes on the structural parameters and responses of buildings and reduce human losses, different countries have developed various approaches and methodologies related to rapid screening techniques. These methods enable decision-makers to adopt vital measures available in a modern predisaster management sense while also playing a pivotal role in spatial planning and urban transformation [17–20]. These approaches were first put on a legal basis with the regulation published officially by the Ministry of Environment and Urbanization in 2013 in Turkey (PDRB-2013). With this regulation, the evaluation of risky structures and their regional prioritization are explained extensively for different structural systems. The performance scores for each building can be calculated based on the parameter values in this method. In this study, the performance scores were determined for 329 RC buildings to assess the earthquake risk priority using the Turkish rapid screening method by the authors within

the scope of this study. Soft Computing (SC) techniques, including ANN, GA, and Machine Learning (ML) tools, have found many opportunities in different science and engineering applications. These methods can make more enhanced predictions while also being applied to solve intricate problems very fast with high levels of efficiency and performance. When it comes to structural and earthquake engineering applications, these techniques play a pivotal role in simulation, modelling, optimization, regression and classification, etc. [21–25].

ANNs have been developed based on the concept of imitating the behaviour of biological systems and the human brain in terms of learning, adaptation, and memory ability. General architectures that can be considered for a neural network are Feedforward (single-layer and multiple-layer), Feedback (Recurrent), and Mesh architectures [26]. Some examples of ANN applications in the field of structural engineering include developing prediction models for the compressive strength and slump of concrete [27,28], prediction of the strength capacities of reinforced concrete members under different internal stresses [29,30], shear strength of reinforced masonry walls [31], a prediction model for lateral-torsional buckling resistance of slender steel cellular beams [32], structural crack detection [33], design and compression capacity prediction of concrete-filled steel tubular columns [34,35], compressive strength prediction of self-compacting and high-performance concrete [36], predicting the mechanical behaviour of semi-rigid steel structure connections [37], predicting cyclic hysteresis behaviour of reduced beam sections in steel buildings [38], damage assessment of pre-stressed concrete beams [39], fire resistance prediction of RC T-beams strengthened with carbon fibre reinforced polymers [40], structural health monitoring and damage identification of bridges [41,42], seismic damage prediction of RC buildings [43], and establishment of prediction models for the damage state, damage ratio, and seismic vulnerability assessment of buildings [44–47]. Additionally, Esteghamati and Flint (2021) determined that the most influential parameters resulting from machine learning are floor area, building height, lateral-resisting frame weight, and average beam section dimensions by making simulation-based seismic and environmental assessments of 720 mid-rise reinforced-concrete office buildings in South Carolina [48]. Abdullahi et al. (2022) proposed a framework that is sensitive to the uncertainties found in the optimization of engineering structures. The algorithm proposed for optimal shape design for gravity dams in this study includes a series of local and global time-varying/invariant performance indices [49]. Omoya et al. (2022) created a database to support post-earthquake damage

and recovery modelling by considering 3695 buildings affected by the 2014 South Napa, California earthquake. The Napa dataset indicated the usability of different modelling techniques to validate post-earthquake recovery simulation methodologies and predict damage [50]. Esteghamati et al. (2020) have created an open-access Inventory of Seismic Structural Evaluations, Performance Functions, and Taxonomies for Buildings (INSSEPT) that includes evaluations in terms of performance-based earthquake engineering for 222 buildings. The study provides data to determine the regional seismic risk analysis for buildings [51]. Ahmad et al. (2022) developed a new stacked long-short-term memory (LSTM) network for fragility curves that vary depending on many properties. In this network, where overlapping data with the previous stack is taken into account to connect each stack, the temporal dimension and time for training are shortened. The flexible model can be used in different structures [52]. Yuan et al. (2022) developed a multivariate classifier with multiple IMs to estimate earthquake intensity and diversity in a fragility estimation. The generating classifier, seismic fragility, and earthquake damage estimations can be made [53]. De-Miguel-Rodriguez et al. (2022) proposed a massive method to reveal the seismic vulnerability of the existing building stock. With the help of neural networks, the capacity curves of low-rise RC buildings can be obtained with a minimum error [54]. Kim and Song (2022) proposed a deep neural network (DNN)-based framework to determine post-earthquake structural damage. In the study, a steel structure was chosen as a sample building and two different earthquake records were applied to this structure. With the proposed framework, the damage that may occur after the earthquake is correctly defined in time [55].

On the other hand, GA is a subset of evolutionary computing techniques that are metaheuristic algorithms with key characteristics of being bio-inspired, population-based, and stochastic. Inspired by biological mechanisms for operations on chromosomes, reproduction, crossover, and mutation, this algorithm can perform parallel mathematical computations, examine several input variables and their fitness simultaneously, and refine the search and find the best candidate solution that satisfies the objective function [21]. Owing to its capabilities in manipulating many variables, discrete variables, consideration of constraints on possible solutions, and simultaneous search and provision of alternative solutions for a single problem, GA has found a variety of applications in identification, multi-objective optimization, and simulation problems. The dynamic and static identification of base-isolated bridges [56], optimal sensor placement for the identification of structural parameters [57], structural damage detection [58,59], topology and shape optimization of free-form space frames [60,61], optimal design of a passive control strategy for seismic protection of a wall-frame system [62], and the optimization of fuzzy rule weights for the development of global damage levels of buildings [63] can be mentioned among many other applications of GA in structural and earthquake engineering.

In the studies conducted in the literature, the input parameters used in the ANN network structure, the number of hidden layers, the number of neurons in the hidden layers, the activation functions used in the neurons, and the learning algorithm of the network are determined by trial-and-error methods. The network parameters found here are directly effective in producing the most successful results of the network [64]. Different ANN network structures built on the same problem produce different results. In the network structure used in this study, the network parameters form a five-dimensional solution space. Determining the parameters to produce the best results for the network within this solution space is almost impossible by trial and error because there are nearly infinite solutions in such a solution space. Even if the models established according to the network parameters determined by trial and error in the literature achieve an inevitable success, studies on whether the established network is the most successful network or a network structure close to the most successful network structure are insufficient. In such a solution space, the most successful network structure or network structure parameters close to the most successful network structure can be determined through optimization algorithms. In determining the desired ANN structure for solving a problem, establishing

hybrid structures with optimization algorithms is mandatory to produce the most successful result [65].

Within the scope of this study, it aims to predict the most successful risk priorities by implementing the results obtained from 329 RC buildings using an ANN and a GA. For this purpose, all suitable parameters found in an ANN network have been determined by establishing a hybrid structure with the GA. The chromosomes in each gene in the GA constitute the parameters in the network structure. The network model established to obtain the best network parameters is a feedforward back-propagation network model. Feedforward back-propagation network save frequently used in the literature and can achieve successful results [65]. Thanks to the capability of the hybrid structure, the necessary network parameters that should be used in solving such a problem are optimized. The proposed hybrid model enables rapid evaluations of existing RC buildings while decreasing classical approaches' time, energy, and costs.

In this study, a hybrid model was used for the first time for the Turkish Rapid Assessment Method, which is used to determine regional risk priorities in RC buildings. The performance score was obtained for each building using the parameters considered in this method. Since the method is a rapid evaluation method, detailed structural analyses were not needed at this stage. The hybrid model created with the ANN-GA was realized by obtaining the network parameters through the GA optimization technique rather than trial and error, which is familiar to the classical method. The obtained results demonstrated the success of the proposed hybrid model for the rapid evaluation of existing RC building stocks. This model has a flexible structure that can easily be applied to different problems. Feedforward back-propagation ANN networks are frequently used in the literature. There were significant challenges in trial and error in determining the most successful network structure. Some of them were to find the required number of inputs to establish the most successful ANN network structure, the number of hidden layers and neurons in the hidden layers, the activation functions used in each layer, and the learning algorithm used in the network structure. The main difference of this study from other studies in the literature is that all parameters in the established ANN network structure are determined in a hybrid structure with a GA to produce the most successful result. Thanks to the established ANN-GA hybrid structure, the ANN network is created in the most optimal way to produce the most successful result.

### 2. Rapid Visual Screening Technique

Conducting detailed and advanced finite element (FE) analyses to evaluate dynamic responses and seismic risk conditions of the large building stock in a vast area has a substantial computational burden and is very time-consuming. Therefore, applying a rapid, reliable, and efficient approach for a seismic vulnerability assessment of building stock, determining risk priorities, and filtering out buildings with high-risk levels for further analyses is vital for seismic risk mitigation and post-disaster crisis management plans [66]. To overcome these problems, Rapid Visual Screening (RVS), a preliminary survey to observe and record structural parameters, evaluate damage grades of buildings, and prioritize them for further comprehensive analyses, has proven to be a successful means [67–70].

A performance score is assigned to a building through the survey outside or partially inside the building. Although there are many different methods, the main logic is based on obtaining the final score by taking into account the parameters that affect the seismic behaviour of the structure. Various approaches can be applied to analyse the data obtained from an RVS method for further risk prioritization and damage level classifications. A detailed review of different AI and SC techniques that have been used in the literature to conduct an RVS and damage classification of existing buildings can be found in [23].

Within the scope of this study, the 2013 Turkish Rapid Evaluation method has been taken into consideration as a rapid evaluation method for RC buildings [71]. The parameters

considered in this research, which can be used for existing 1–7 stories RC buildings, are given below:

- Number of stories
- Type of structural system
- Current status and apparent quality
- Soft story/weak story
- Vertical irregularity
- Heavy overhangs
- Irregularity/torsion effect in plan
- Short column effect
- Adjacent/pounding effect
- Hill/slope effect
- Seismicity of the region and local soil class

The structural system type is one of the parameters in this research. The type of structural system directly affects the behaviour of a building under a seismic event. The inclusion of RC shear walls increases the earthquake resistance significantly compared to the pure RC frame. This study considers two types of commonly used RC structures, namely RC frame (RCF) and RC frame + shear wall (RCFW). The structural system score (YSP) is obtained depending on the structural system type of the building, and takes into account the total number of stories. There is no contribution when it is RCF, and the YSP value is taken as zero in this case. However, if it is RCFW, a positive YSP is added depending on the total number of stories. The basic logic here is based on the positive contribution of the RC shear walls to the seismic behaviour of the building. The types of structural systems considered are given in Figure 1. In order to determine whether there are RC shear walls in any building, a decision is made by entering the building and making observations. If it cannot be determined exactly whether RC shear walls exist in the building, the structural system should be considered RCF.

Δ	В

Figure 1. Structural system type (A) RC Frame (RCF) and (B) RC Frame with Shear Walls (RCFW).

The number of stories is the sum of all stories, including the foundation [71]. Studies conducted after previous earthquakes revealed that the damage to a building had a linear relationship with the number of stories [72]. The part with the most significant number of stories is considered in gradual structures. Figure 2 shows how to calculate the number of stories in the rapid assessment method considered in this study.

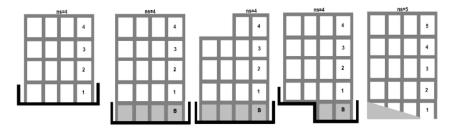


Figure 2. Determination of the number of stories (ns).

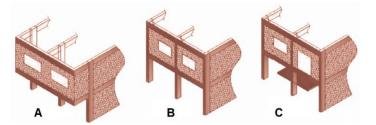
The strength of the materials that make up the building directly affects its seismic performance. The performance of workmanship and material quality during and after the construction process reveals the quality of the building [73–75]. The importance given to the quality of materials, workmanship, and the maintenance of the building is determined by the present condition and apparent quality. Therefore, it is classified as good, medium, and bad, respectively.

According to the ASCE-41 (American Society of Civil Engineers, 2017) [76], any story with a lateral stiffness less than 70% of its above story or less than 80% of the average stiffness of its immediate above stories is defined as a soft story. Given that the base floor is usually used for commercial purposes, the absence of sufficient stiffness in this floor compared to upper stories will result in a "soft story" in many buildings. In addition to the differences in stiffness and strength between stories, the variation in story height within a building is considered in the soft story/weak story parameter. Almost all earthquakes that occurred in Turkey have caused damage to structures with soft stories, and in case the structure did not have sufficient strength, total collapse of the structure and the soft story was induced [75,77–79]. Another parameter that has been taken into account to reflect the effect of the frame and changing story areas that do not continue vertically is the vertical irregularity. In other words, this phenomenon can be considered as the case of a substantial change in the stiffness, mass, and dimensions or interruption of vertical structural elements, such as columns, or lateral resisting systems, such as RC shear walls within the structure [62,77,80]. Some instances of vertical irregularity are depicted in Figure 3.

		1 11

Figure 3. Instances of vertical irregularity.

Another parameter is heavy overhangs, which indicate differences in story areas. Especially, heavy parapet balconies or overhangs made out of the frame systems of the buildings will create irregularity in the building mass. Buildings that are left towed on the ground story are also in the category of heavy overhangs. Therefore, it causes a change in the centre of mass of the buildings and increases the earthquake's effect on the building [75,78,81]. Examples of heavy overhangs are shown in Figure 4.



**Figure 4.** Examples of overhangs (**A**) with overhang, (**B**) no overhangs, and (**C**) balcony with no overhangs.

For the plan (horizontal) irregularity/torsion effect parameter, irregularities that will cause torsion in the zoning plan are assumed. This parameter is decided by considering the external geometric features of the building. In structures with irregular geometry or out-of-plane discontinuity of the lateral resisting system [80,82], additional torsion occurs due to torsional effects, which force the structure to twist [83–85]. Examples of this case of irregularity are shown in Figure 5.

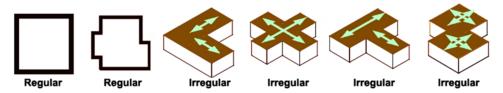


Figure 5. Samples of regular and irregular plans of the buildings.

Filling RC frames with half-height infill walls, creating band windows, and using intermediate beams on stairwells are the foremost causes of short column formation. The main factors causing the formation of the short column can be counted as mezzanines, mechanical stories, sloping land, stepped foundations, spans adjacent to the column, and stair landings. This negativity parameter is one of the leading causes of earthquake damage [86,87]. Some examples of short columns are illustrated in Figure 6.

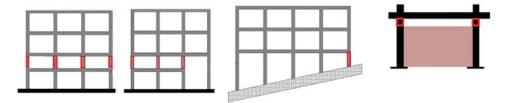


Figure 6. Samples of short column.

Another parameter is the hill/slope effect. If the building is clearly on a hill or on a slope with a high slope (more than 30°) it increases the effects of earthquakes to a certain extent. This situation, which can easily be observed from the street, should be taken into account when calculating the earthquake score of the building [71,88]. The relation of the building to the neighbouring structures has also been taken into account in this study. The location of adjacent buildings can affect seismic performance due to collision. The buildings located on the side are adversely affected by this situation, and, if the story levels of the adjacent building are different, this negativity increases even more. External observations will determine the situations where the collision effect is in question. For this parameter, first of all, the situation of the building with the neighbouring buildings should be determined (Figure 7).

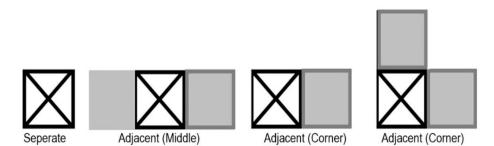


Figure 7. The location of a sample building relative to the neighbouring buildings.

After determining the position of the building relative to the adjacent buildings according to Figure 7, it should be decided whether the story levels in neighbouring buildings are the same or different, as sketched-up in Figure 8.

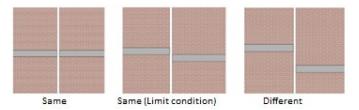


Figure 8. Story levels in neighbouring buildings.

It is a known fact that the local conditions of the underlying soil will directly affect and change the characteristics of seismic waves and may cause damage to existing overlaying structures. Local soil groups and local site classes considered in this study are shown in Tables 1 and 2, respectively. For the 329 RC buildings considered in this study, local soil classes were determined by considering the soil survey reports made by the relevant public institutions and organizations.

Table 1. Local soil groups [89].

Soil Group	Description of Soil Group	Standard Penetration (N/30)	Relative Density (%)	Unconfined. Compressive Strength (kPa)	Drift Wave Velocity (m/s)
А	1. Massive volcanic rocks, non-weathered sound metamorphic rocks, stiff cemented sedimentary rocks	_	_	>1000	>1000
	2. Very dense sand, gravel	>50	85-100	_	>700
	3. Hard clay and silty clay	>32	_	>400	>700
В	<ol> <li>Soft volcanic rocks such as tuff and agglomerate, weathered cemented sedimentary rocks with planes of discontinuity.</li> <li>Dense sand, gravel.</li> </ol>	_ 30–50	- 65-85	500–1000	700–1000 400–700
С	<ol> <li>Very stiff clay, silty clay</li> <li>Highly weathered soft metamorphic rocks and cemented sedimentary rocks with planes of discontinuity</li> </ol>	16–32 –	_	200–400 <500	300–700 400–700
	2. Medium dense sand and gravel.	10–30	35–65	_	200-400
	3. Stiff clay and silty clay	8–16	_	100-200	200-300
D	1. Soft, deep alluvial layers with high ground water level	_	_	_	<200
D	2. Loose sand.	<10	<35	_	<200
	3. Soft clay and silty clay	<8	_	<100	<200

Table 2. Local site classes [89].

Local Site Class	Soil Group According to Table 1 and Topmost Soil Layer Thickness (h1)
Z1	Group (A) soils
21	Group (B) soils with h1 $\leq$ 15 m
72	Group (B) soils with $h1 > 15 \text{ m}$
	Group (C) soils with $h1 \le 15 \text{ m}$
<b>Z</b> 3	Group (C) soils with 15 m < h1 $\leq$ 50 m
25	Group (D) soils with $h1 \le 10 \text{ m}$
Z4	Group (C) soils with $h1 > 50 m$
	Group (D) soils with $h1 > 10 m$

The seismicity of the region was also taken into account in this work. On the map shown in Figure 9, regions with ground accelerations of 0.40 g and greater are considered as the first-degree earthquake zone, regions with expected ground accelerations of 0.30–0.40 g are the second-degree earthquake zone, regions with expected ground accelerations of 0.20–0.30 g are the third-degree earthquake zone, regions with expected ground accelerations of 0.10–0.02 g represent the fourth-degree earthquake zone, and regions with expected ground accelerations of less than 0.10 g indicate the fifth-degree earthquake region [90,91].

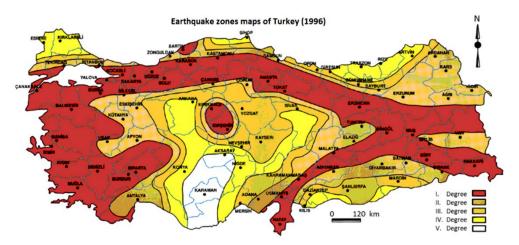


Figure 9. Earthquake zones map of Turkey [92].

The structural system type is taken into account as a positive base point. No additional score is given for buildings with the RCF system, but a positive base score (Op) is given for buildings with the RCFW structural system. The structural system and baseline scores are shown in Table 3.

	Base Score			Base Scor				Structural Sys	tem Score (YSP)
Total Number of	Dase Store		Structur	al System					
Stories		Dange	r Zone		DOD	DODAN			
-	Ι	II	III	IV	– RCF	RCFW			
1 and 2	90	120	160	195	0	100			
3	80	100	140	170	0	85			
4	70	90	130	160	0	75			
5	60	80	110	135	0	65			
6 and 7	50	65	90	110	0	55			

Table 3. Base and structural system scores [71].

While determining the danger zone for the examined building, the local soil classes and earthquake zones recommended in the previous earthquake code (TSDC-2007) are taken into account, and the selection is made according to Table 4. The danger zone is determined according to Table 4 by taking into account the local soil classes obtained from public institutions and the earthquake zone.

For all negative parameters, except the apparent quality, determinations will be made as "yes" or "no". Negative parameter values (Oi) corresponding to these determinations will be taken as 1 and 0 for "yes" and "no" states, respectively. Suppose the apparent quality rating is "good". In that case, the negativity parameter value (Oi) will be taken as 0; if it is "moderate", 1 will be considered; and if it is "poor", 2 will be taken. The negative coefficients corresponding to each parameter are shown in Table 5.

Danger Zone	Earthquake Zone According to TSDC-2007	Soil Class According to TSDC-2007
Ι	1	Z3/Z4
	1	Z1/Z2
II	2	Z3/Z4
	2	Z1/Z2
III	3	Z3/Z4
<b>TT</b> 7	3	Z1/Z2
IV	4	All soil types

Table 4. Earthquake zones determined according to TSDC-2007 [71].

Table 5. Negative parameter values (Oi) [71].

<b>NT</b> (* *)	Cas	e 1	Case 2		
Negativity Parameter	ParameterParameterDetectionValue		Parameter Detection	Parameter Value	
Soft story	None	0	Available	1	
Heavy overhangs	None	0	Available	1	
Apparent quality	Good	0	Moderate (bad)	1 (2)	
Short column	None	0	Available	1	
Hill/slope effect	None	0	Available	1	
Irregularity in plan	None	0	Available	1	

The suggested values for each parameter are shown in Table 6, and the selection is made according to the number of stories.

Table	<b>6.</b> I	Negati	vity	parameter	score	(OP <sub>i</sub> )	[71].
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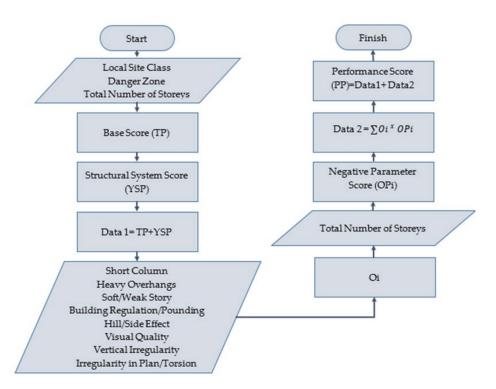
Total		Negativity Parameter Scores (OP)									
Number	Soft	Apparent	Heavy	Sto	orey Level	/Building St	atus	Vertical	Irregularity/	Ch ant	Hill/Slope
of Stories	Storey	Quality	Over- hangs	Same Middle	Same Corner	Different Corner	Different Middle	Irregularity	Torsion Effect in Plan	Short Column	Effect
1,2	-10	-10	-10	0	-10	-5	-15	-5	-5	-5	-3
3	-20	-10	-20	0	-10	-5	-15	-10	-10	-5	-3
4	-30	-15	-30	0	-10	-5	-15	-15	-10	-5	-3
5	-30	-25	-30	0	-10	-5	-15	-15	-10	-5	-3
6,7	-30	-30	-30	0	-10	-5	-15	-15	-10	-5	-3

The building performance score (PP) is calculated according to Equation (1) after the total negativity score is determined by multiplying the negativity parameter values given in Table 3 by the negativity parameter points given in Table 4.

$$TP = PP + \sum_{i=1}^{n} O_i \times OP_i + YSP$$
(1)

Here, TP is the base score; YSP indicates the structural system score. The flowchart of the 2013 Turkish Rapid Screening Method (PDRB-2013) [71] has been presented in Figure 10.

Within the scope of this study, a total of 329 existing RC buildings in the province of Bitlis (Turkey) were taken into account. The distributions of RC buildings according to the considered parameters in this study are shown in Figure 11.





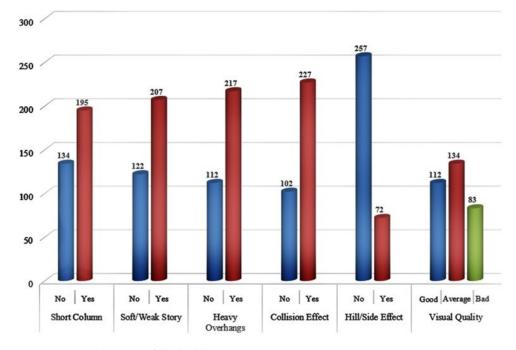
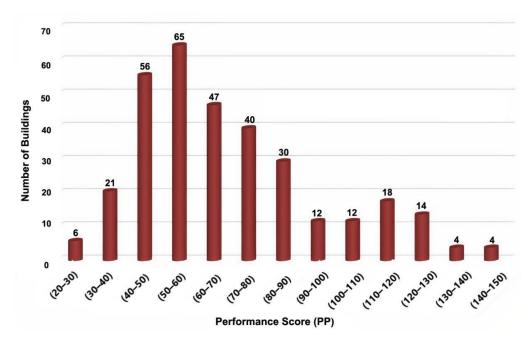
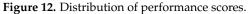


Figure 11. Distributions of the buildings.

In this work, a hybrid model will be created based on ANN and GA approaches to determine the risk priorities of RC buildings. It is aimed that the intended hybrid structure will most successfully predict the structural system scores given in Figure 12, which are the performance scores obtained for the 329 buildings considered in this study.





#### 3. Artificial Neural Network (ANN)

ANN is a learning technique inspired by biological neurons in living organisms. A network of neurons is formed through many interconnected neurons. This network is capable of accomplishing complex tasks and processes with impeccable speed and accuracy [93]. The structure of each neuron cell in an ANN is shown in Figure 13.

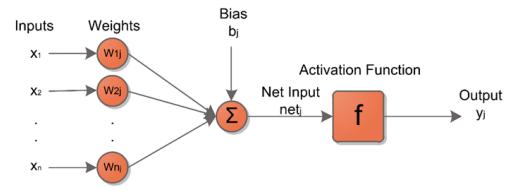


Figure 13. Neuron cell structure.

In Figure 13, each input data generated for the network is multiplied by the weights determined by the network. The obtained results are sent to the addition function in the neuron. Here, the bias value is added to the values collected with each other. The bias value provides the translation of the activation function. A net input is obtained for the neuron by adding the bias value. The net input value obtained for the neuron is passed through the activation function and the net output for that neuron cell is obtained.

Neuron cells, whose structure is presented in Figure 13, come together to form the network structure in an ANN. In order to obtain the desired output information in the created network structures, the network must be trained with the input data presented to the network. In order to train the network, algorithms that are supervised and unsupervised have been applied in the literature [94]. A supervised learning algorithm is efficient for feedforward networks, but back-propagation algorithms can also be applied [95]. A feedforward back-propagation ANN structure is presented in Figure 14.

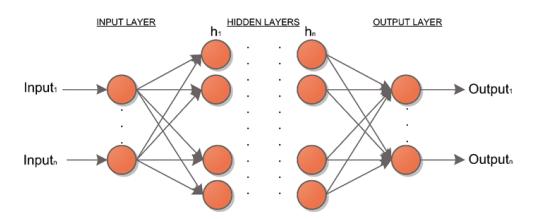


Figure 14. Structure of a feedforward back-propagation ANN.

In the feedforward back-propagation network structure presented in Figure 14, the outputs obtained from each neuron cell are used as input information for the next neuron cell. Error detection for each output neuron is done in the last step. The latent weights between neurons are changed in the backward pass. For neurons, hidden layer errors are measured and are returned to them. In this study, a feedforward back-propagation ANN has been implemented to determine the risk priorities of RC buildings.

## 4. Genetic Algorithm

GA is an adaptive direct probability search optimization method inspired by the evolutionary theory and the genetic mechanism [96]. Better optimization results can often be achieved faster when solving more complex combinatorial optimization problems compared with traditional GA optimization algorithms [97]. The steps in performing a GA technique are as follows:

Step 1: Set initial parameters and create the initial population.

- Step 2: Calculate the fitness value of each individual
- Step 3: Perform selection of individuals
- Step 4: Perform crossover operation on individuals
- Step 5: Apply mutation operation to individuals
- Step 6: Return to Step 2 until the stopping criterion is met

GA uses a random initial population of solutions found in the solution space. Each individual in the population is called a gene. In each generation, the individuals in the population are subjected to selection, crossover, and mutation processes to form new individuals. GA is a frequently used technique in the literature to solve multidisciplinary optimization problems [98,99].

#### 5. The Hybrid Model and Results

In this part of the study, a hybrid structure of the ANN model to evaluate RC buildings will be created using the GA, and the parameters in the model will be optimized. In a feedforward back-propagation ANN model, the outputs obtained from each neuron cell are used as input data for the neuron in the next layer. For this reason, the number of layers and the number of neuron cells in the network structure directly affect the network performance. At the same time, the activation functions and training algorithms used in neuron cells are other factors that affect the performance of the established network structure. In this framework, it is essential to create the most suitable structure for the problem when choosing the number of inputs in an ANN network structure, the number of hidden layers, the number of neurons in each layer, and the activation functions used in each layer, as well as the training algorithms. In many studies in the literature, network structures are determined by trial and error while establishing a certain success. In the network structures established network is the

most suitable or even close to it and is a successful network structure for the problem. Determining suitable structures according to the problems is almost impossible with classical methods. Even if it can be determined how well an artificial neural network has performed in experimental observations based on trial-and-error methods, determining a structure with the best performance is a separate optimization problem. The creation of the best network structure or a network structure close to the best one will only be possible by establishing a hybrid structure with optimization algorithms [65]. Validation data for the ANN network structure to train the network and obtain the optimum parameters for the suggested hybrid structure are the performance scores presented in Figure 12 that were obtained from 329 RC buildings in Bitlis, Turkey. A hybrid model was created with ANN and GA to determine the risk priorities of reinforced-concrete buildings. It is aimed that the created hybrid structure will most successfully predict the data set given in Figure 12. The flowchart of the hybrid model created for this purpose is given in Figure 15.

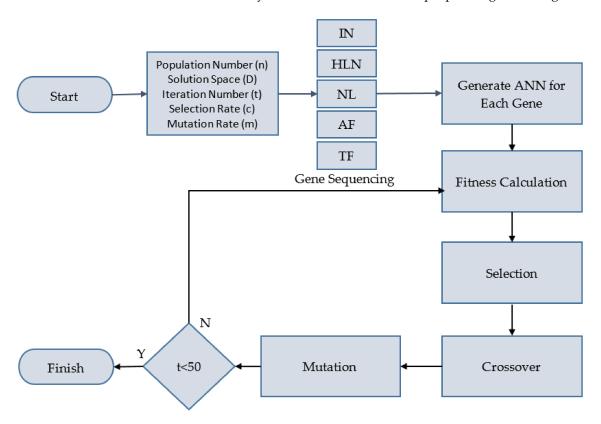


Figure 15. GA-ANN hybrid model.

As the first step in the flowchart of the hybrid structure shown in Figure 15, the initial population for GA will be created. In GA, each individual will create an ANN network structure within itself. Here, each individual consists of network parameters that directly affect the performance of the ANN. Each gene structure contains the parameters shown in Figure 15. In the individual structures presented in Figure 15, IN is the number of inputs in the network structure that will occur in each individual, HLN is the number of hidden layers in the network structure in each individual, NL is the number of neurons in the hidden layers in the network structure in each individual, AF is the activation function to be used in each layer in the network structure, and TF represents the training function to be used in each network. In the proposed hybrid structure, the initial population, the IN

is randomly determined among the input values given in Equation (2) for the network structures in each individual.

 $IN = \left\{ \begin{array}{c} Number of stories, Short column, Soft/weak story, Heavy overhang, \\ Pounding effect, Hill/slope effect, Visual quality \end{array} \right\} (2)$ 

The HLN value for each gene (G) is determined randomly according to the restriction functions given in Equation (3) and the NL values in Equation (4).

$$G_{a}HLN_{i}(x) = \left\{ \begin{array}{cc} 1, \ x < 1 \\ x, \ 1 \leq x \leq 10 \\ 10, \ x > 20 \end{array} \right\} \tag{3}$$

$$G_{a}NL_{i}(k) = \left\{ \begin{array}{cc} 1, \ k < 1 \\ k, \ 1 \leq k \leq 10 \\ 10, \ k > 10 \end{array} \right\}$$
(4)

Equation (3) represents the restriction function used to determine the HLN value of a gene in every iteration, while Equation (4) is the restriction function used to determine the NL value of a gene in every iteration. Both restriction functions given in Equations (3) and 4 are used for generating populations of the GA and mutation operations applied to the genes. AF values in the gene structure are randomly determined from Equation (5), and TF values are specified from Equation (6).

$$= \left\{ \begin{array}{c} \text{trainb, traincgb, traincgf, traincgp, traingd, traingda, traingdm,} \\ \text{traingdx, trainoss, trainrp, trainscg, rainbfg, trainc, trainr} \end{array} \right\}$$
(5)

 $TF = \{ tan sig, logsig, hardlim, hardlims, radbas, purelin \}$ (6)

After the initial population was created, the initial parameters of the GA were determined, as presented in Table 7, as a result of the experimental studies. The network parameters in the ANN structure will be determined using the GA.

Table 7. GA parameter and values.

GA Parameters	Values
Population Number (n)	20
Solution Space (D)	5
Selection Rate (c)	0.9
Mutation Rate (m)	0.03
Iteration Number (T)	50

In the next step of the created hybrid model, the fitness value of each gene is calculated according to the fitness function given in Equation (7). Each gene creates an ANN within itself. The input parameters in the ANN network structure created for each gene, the number of hidden layers, the number of neurons in the hidden layers, the activation functions used in the neurons, and the learning algorithm of the network are determined by the GA to produce the most successful result in the solution space.

$$f(G_i) = MSE(ANN_i)$$
(7)

In Equation (7), the definitions are as follows:  $f(G_i)$ : fitness value of the ith gene; MSE: Mean squared error; ANNi: Artificial neural network created for the ith gene.

The change in weights in the learning process in ANNs is directly related to the learning rate. A low learning rate value can cause slow and ineffective training, while a significant value causes the network to never converge to some weights [100]. Therefore, the learning rate in the network structures created for each gene was determined as 0.3. The network's success created by each gene is measured according to the MSE value. The

AF =

MSE value is determined by taking the average of the squares of the differences in the earthquake scores to be estimated and the values estimated by the network structures. Success in fitness values of each gene affects their chances of survival in the next selection step. The next step is the selection process. The roulette wheel method was chosen in the selection step because of its ability to select the appropriate parents in the formation of the new generation by calculating the probability values of the parents [101]. The success that genes have achieved according to the fitness value of each gene in the roulette wheel method increases the probability of survival of that gene.

Moving to the next step, i.e., the crossover process, the single-point crossover was used as the crossover method in the hybrid model. The crossover point between genes was determined randomly at each crossover. The next step is the mutation process. The restriction functions given in Equations (3) and (4) were used for the gene to be mutated in the mutation process. The created hybrid model was run with 50 iterations, as presented in Table 7. After completion of the iterations, the most successful gene was determined according to the fitness values. The parameters obtained by the most successful gene in the hybrid model are presented in Table 8.

Table 8. Network parameters and values created with the most successful gene.

Network Parameters	Values
Input	Number of stories, short column, soft/weak story, heavy overhang, pounding effect, hill-slope effect, visual quality
Number of hidden layers	6
Number of neurons in hidden layers	8-5-1-10-8-4
Activation functions in hidden layers	Tansig-logsig-purelin-tansig-purelin-logsig
Number of neurons in the output layer	1
Activation function in the output layer	purelin
Training function	trainbr
MSE	15, 35

The ANN network parameters presented in Table 8 have been determined using the applied GA in a five-dimensional solution space and have produced the most successful results. Different inputs to the network structures, number of hidden layers, activation func-

tions in the layers, and the parameters' changes in the learning functions could affect the success of the proposed hybrid model in reaching the correct results from the same type of data. The network structure produced by the most successful gene obtained with the hybrid model is presented in Figure 16.

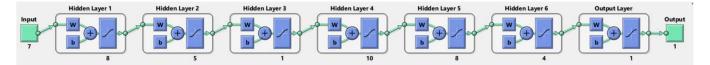
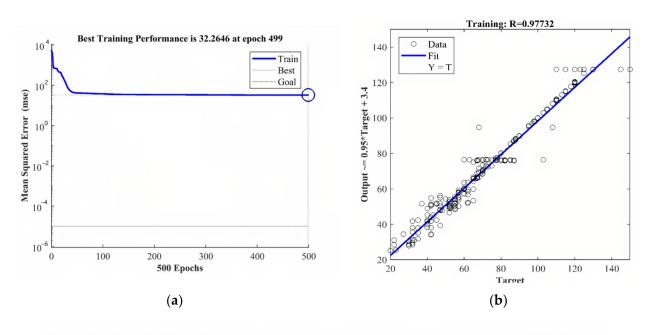
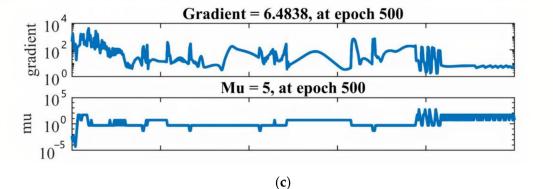


Figure 16. ANN network structure generated by the most successful gene.

In the ANN model given in Figure 16, different inputs are given to the network. In the ANN model with six hidden layers, the activation functions used in the middle layers were determined as shown in Table 8. The performance of the ANN in the hybrid model that is determined by the most successful gene in terms of learning is shown in Figure 17.





**Figure 17.** Performance of the ANN in the hybrid model generated by the most successful gene in terms of training. (a) Performance, (b) Regression, (c) Network training graphs.

Each gene in the population within the hybrid structure creates an ANN structure within itself. The success of the genes in the population is determined according to the MSE values of each network. The closer the MSE value of each gene is to zero, the higher the success of that network in learning. In Figure 17, the performance of the ANN structure created by the gene with the lowest MSE value in the population is presented. In the performance graph presented in Figure 17a, the network has learned from iteration zero to the 110th iteration. It can be said that the network switches to over-learning in later iterations. Since the target line and the fit line overlap in the regression graph presented in Figure 17b, and the data is concentrated in the target and the fit lines region, it can be said that the rate of estimation in the training process is high. Figure 17c shows the validation vectors used to stop the network training at the point set by the training algorithm in the network training graphs. The gradient and mu values indicate how the weight values of the neural network change during iteration [102]. The graphs presented in Figure 17c show that the network structure created with these outputs of the training process presents a successful situation. The performance score predicted by the most successful gene obtained with the hybrid model has been illustrated in Figure 18.

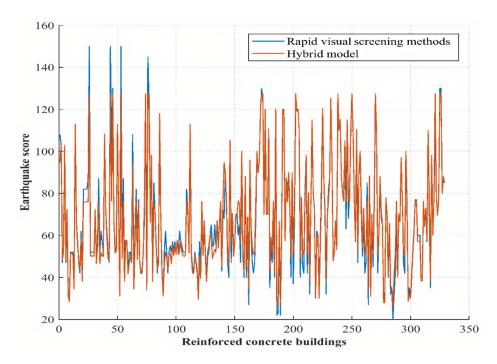


Figure 18. Performance scores of RC buildings.

According to the real data, the network structure revealed by the hybrid model in Figure 18 has been estimated correctly with an average error of 0.04. The estimation success for each RC building is depicted in Figure 19.

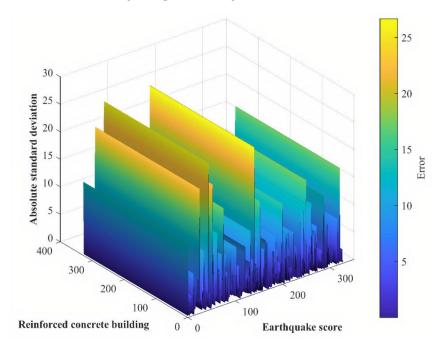


Figure 19. Standard deviation performance of ANN formed by the most successful gene.

The ANN structure obtained from the proposed hybrid model in this study has produced successful results in estimating the structural performance scoring that is calculated to determine regional earthquake risks in RC buildings.

# 6. Discussion and Conclusions

In the pre-disaster preparation phase of modern disaster management, one of the processes is to decide whether the seismic performance of the building stock in the region that might be affected by the earthquake is sufficient. In light of this information, it is necessary to determine the buildings with insufficient earthquake performance and decide on demolition and retrofitting when necessary. Many buildings in stock do not make detailed structural analyses for individual structures possible. Thus, simplified approaches are specified to minimize the number of buildings in large stock for further comprehensive, advanced analyses. For the first time in Turkey, risk prioritization of buildings has been defined under PDRB-2013 for different building types. This study used the rapid assessment method for RC structures in this regulation. One of the limitations of using this method is that RC structures are between 1–7 stories. This method is not used in RC structures with more than 7-stories. Within the scope of this study, 329 RC buildings in the province of Bitlis, located in the Lake Van Basin, which has a high seismic risk in Turkey, were considered. While selecting these buildings, each neighborhood in the city center of Bitlis was taken into account. In order to adequately represent the building stock in each neighborhood, an average of 25 RC buildings was selected where the method can be applied. The short column in 58%, soft/weak story in 63%, heavy overhang in 66%, collision effect in 69%, and hill-slope effect in 22% of the buildings has been examined. The existence of any or a combination of these negative features in structures will directly affect their seismic behavior. Since these parameters, which are taken into account in the rapid assessment method, are obtained using post-earthquake damage data, they provide information about the behavior of structures under the influence of possible earthquakes. Especially, the existence of soft story and heavy overhang damages are commonly observed in earthquakes. In addition, the quality of the building is also significant under the effect of earthquakes. The negativity score recommended for the soft story and overhang in this rapid evaluation method reveals the vulnerability of this negativity parameter. Another vulnerable parameter is the visual quality of the building. The presence of one of them alone increases the earthquake vulnerability and increases the risk priority. The presence of several of them together will increase the earthquake vulnerability even more. Therefore, it is necessary to avoid these parameters as much as possible during the building design phase. However, if making these negative parameters is necessary, the structural design should be done by taking the necessary precautions. Care should be taken to ensure that the criteria, such as sufficient strength, continuity, and ductility, are at the levels stipulated in earthquake regulations.

Risk priorities can be determined on a regional basis based on performance scores obtained for the buildings. Therefore, it helps to identify the necessary buildings and prioritize the detailed analysis. These performance score results can only be used to determine risk priorities for RC. Therefore, it cannot surely be decided whether the risky buildings comply with the earthquake regulations. This is just a rapid assessment, as stated in the earthquake code. Therefore, the final results of the structures are determined from a detailed analysis.

In line with the purpose of this study, the most optimal ANN model was created with a hybrid structure to quickly and easily calculate the structural result scores used in determining the regional earthquake risk priorities of RC buildings. A feedforward back-propagation ANN model was implemented to estimate the earthquake score within the created model. The network parameters in the ANN structure directly affect the network's efficiency. The input parameters to the ANN structure are those which are applied in the Turkish rapid assessment method for determining the risk priorities of an existing RC building stock. In addition to input parameters, the number of hidden layers, the number of neurons in the hidden layers, the activation functions used in the neurons, and the learning algorithm parameters of the network were examined to produce the most successful result in the GA in the solution space. The proposed hybrid structure specified the most successful network parameters for this problem, which could not be found by a

trial-and-error method. By establishing this hybrid ANN-GA model, besides determining the network parameters in the solution space, the input parameters to be used for this network structure are also optimized.

The earthquake score predictions of the hybrid model were verified with the actual data, and successful results were obtained. The presented model also determined the input parameters that should be applied for such a problem. In addition, the suggested hybrid structure could eliminate the calculations related to the classical methods and helps calculate the earthquake score of any RC building without the need for experts in this field. This model can be developed for rapid assessment methods used in different countries, and the general framework can be adapted to other types of structures (e.g., masonry buildings).

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