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# A line-binned treatment of opacities for the spectra and light curves from neutron star mergers

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#### **ABSTRACT**

The electromagnetic observations of GW170817 were able to dramatically increase our understanding of neutron star mergers beyond what we learned from gravitational waves alone. These observations provided insight on all aspects of the merger from the nature of the gamma-ray burst to the characteristics of the ejected material. The ejecta of neutron star mergers are expected to produce such electromagnetic transients, called kilonovae or macronovae. Characteristics of the ejecta include large velocity gradients, relative to supernovae, and the presence of heavy r-process elements, which pose significant challenges to the accurate calculation of radiative opacities and radiation transport. For example, these opacities include a dense forest of bound-bound features arising from near-neutral lanthanide and actinide elements. Here we investigate the use of fine-structure, line-binned opacities that preserve the integral of the opacity over frequency. Advantages of this area-preserving approach over the traditional expansion—opacity formalism include the ability to pre-calculate opacity tables that are independent of the type of hydrodynamic expansion and thus eliminate the computational expense of calculating opacities within radiation-transport simulations. Tabular opacities are generated for all 14 lanthanides as well as a representative actinide element, uranium. We demonstrate that spectral simulations produced with the line-binned opacities agree well with results produced with the more accurate continuous Monte Carlo Sobolev approach, as well as with the commonly used expansion-opacity formalism. The agreement between the line-binned and expansion-opacity results is explained as arising from the similarity in their opacities in the limit of low optical depth, where radiation transport is important in the ejecta. Additional investigations illustrate the convergence of opacity with respect to the number of included lines, and elucidate sensitivities to different atomic physics approximations, such as fully and semirelativistic approaches.

**Key words:** gravitational waves – opacity – radiative transfer – stars: neutron.

## 1 INTRODUCTION

The merger of two neutron stars has been proposed both as the source of short-duration gamma-ray bursts (Narayan, Paczynski & Piran 1992) and the site of *r*-process production (Lattimer & Schramm 1974; Symbalisty & Schramm 1982). Simulations confirmed that the neutron-rich, dynamical ejecta from neutron star mergers (NSMs) robustly produced *r*-process elements from the second through third *r*-process peaks (Rosswog et al. 1999, 2014; Just et al. 2015). With the gravitational wave (Abbott et al. 2017a)

and subsequent follow-up observations in the electromagnetic spectrum (Abbott et al. 2017b) of a nearby merger event, astronomers were able, for the first time, to validate these theories and, to some extent, determine the yields from these NSMs.

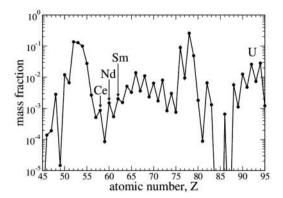
On the surface, the light curves and spectra seem to be a validation of the ejecta predicted by the merger simulations. Theorists had proposed a two-component model for the ejecta consisting of a neutron-rich dynamical ejecta (producing heavy *r*-process elements) and a higher electron fraction wind ejecta (light *r*-process and iron peak elements) (Metzger & Berger 2012; Metzger 2017). A significant number of lanthanide and actinide elements are predicted to be present in the ejecta (see e.g. Fig. 1). The high opacities associated with these elements produced in the heavy *r*-process

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**Figure 1.** Predicted elemental abundances for the ejecta produced in a  $1.4 + 1.4 \, \mathrm{M}_{\odot}$  neutron star merger (Grossman et al. 2014; Rosswog et al. 2014), approximately 1 d after merger. A few labels are provided for easy identification of various lanthanide and actinide elements considered in this work.

support an argument for late-time, infra-red emission, whereas the wind ejecta would produce early-time UV/optical emission. With observations of both an early UV/optical and later infra-red emission, astronomers could place constraints on the amount of ejecta in each component. Many groups attempted such studies, producing a wide range of results (for a review, see Côté et al. 2017; Ji, Drout & Hansen 2019) and, although all agree that roughly  $0.01\,\mathrm{M}_\odot$  was ejected in the merger, the exact amount, and the exact composition of the ejecta still remains a subject of debate.

The differences in the yield predictions arise from different assumptions in the structure of the ejecta, the composition of the ejecta and the opacities. In many simulations, the ejecta was modelled in spherical symmetry. By varying the velocity, mass, and composition of the ejecta, these models produce a range of emission spectra that can be combined to form multicomponent models (Cowperthwaite et al. 2017; Pian et al. 2017). Multidimensional models can include more detailed ejecta profiles. For example, a set of models included two-component ejecta properties with the dynamical, heavy r-process rich ejecta driven along the orbital plane and a more spherical wind ejecta (Kasen et al. 2017; Tanvir et al. 2017; Troja et al. 2017; Tanaka et al. 2018; Wollaeger et al. 2018). These structures can produce different emission properties for the same production rate of heavy r-process elements. Additionally, even for the same model morphology, there remain differences in the light-curve predictions from the various modelling groups. For example, a major difference is a redward shift in the peak emission produced by different groups. We discuss this shift in Section 4.2 and show that this behaviour is not due to the different ways in which the opacities are implemented in the radiation-transport simulations, which has been previously suggested, for example, in Kasen et al. 2017.

The range of opacities used also varies dramatically. Many models rely on constant opacity values, varying from 0.2 to  $30 \, \mathrm{cm^2 \, g^{-1}}$ . Only a few models used detailed, frequency-dependent opacities based on atomic physics calculations and these calculations are still limited to a few lanthanide elements used as surrogates for the heavy r-process elements (Barnes et al. 2016; Kasen et al. 2017; Tanaka et al. 2018; Wollaeger et al. 2018). Calculating accurate opacities for lanthanides and actinides pushes the frontier in atomic physics research due to the complexity in modelling the interaction of many bound electrons, some of which occupy a partially filled f shell. Generating a complete set of opacities requires making

approximations in the atomic physics calculations that can significantly alter NSM spectral simulations.

In addition, the implementation of these opacities in NSM modelling also varies from group to group. Due to the existence of a strong velocity gradient in the ejecta, Doppler effects must be included in the opacities. This requirement typically includes both a correction to the optical depth of each line (Sobolev 1960) and effects on line broadening, leading to a variety of expansion-opacity approaches (Castor 2004). These methods require line lists that can be difficult to include in their entirety in light-curve calculations due to the large number of lines associated with lanthanide and actinide elements. In this work, we propose using pre-computed, binned line contributions, which allows opacities to be generated in a compact tabular form for convenient use in transport calculations. This line-binned approach is guaranteed to preserve the integral of the opacity over frequency and supersedes our earlier, preliminary attempt to achieve this goal using line-smeared opacities.

This paper presents a first set of lanthanide opacities, as well as a representative actinide (uranium) opacity, to be used in light-curve calculations for NSMs. In Section 2 we review the methods and some of the uncertainties in the atomic physics calculations for these heavy opacities, discussing relevant approximations, such as semi-versus fully relativistic models and configuration interaction. In Section 3 we present a range of line-binned opacities for NSM calculations, illustrating similarities and differences in the lanthanide and uranium opacities. Motivation and justification for our line-binned opacity approach is presented in Section 4. We summarize with a brief discussion of the implications of our results for observations.

#### 2 ATOMIC PHYSICS CONSIDERATIONS

### 2.1 Computational framework

In this work we use the Los Alamos suite of atomic physics and plasma modelling codes (see Fontes et al. 2015b and references therein) to generate the fundamental data and opacities needed to simulate the characteristics (time to peak, spectra, luminosities, decay times, etc.) associated with neutron star mergers. For a given element, a model is composed of the atomic structure (energies, wavefunctions, and oscillator strengths) and photoionization cross-sections. Both the fully and semirelativistic (SR) capabilities of the suite are used in this work.

The fully relativistic (FR) approach is based on bound- and continuum-electron wavefunctions that are solutions of the Dirac equation, while the SR approach uses solutions of the Schrödinger equation with relativistic corrections. A FR calculation begins with the RATS atomic structure code (Fontes et al. 2015b) using the Dirac-Fock-Slater method of Sampson and co-workers (Sampson, Zhang & Fontes 2009). An SR calculation begins with the CATS atomic structure code (Abdallah, Clark & Cowan 1988) using the Hartree-Fock method of Cowan (Cowan 1981). These calculations produce detailed, fine-structure data that include a complete description of configuration interaction for the specified list of configurations. Two variant, relativistic calculations are also considered that include incomplete amounts of configuration interaction (see Section 2.2). After the atomic structure calculations are complete, both the FR and SR methods use the GIPPER ionization code to obtain the relevant photoionization cross-sections in the distortedwave approximation. The photoionization data are used to generate the bound-free contribution to the opacity and are not expected to be too important for the present application, due to the range of relevant

photon energies, but are included for completeness. Therefore, they are calculated in the configuration-average approximation, rather than fine-structure detail, in order to minimize the computational time.

The atomic level populations are calculated with the ATOMIC code from the fundamental atomic data. The code can be used in either local thermodynamic equilibrium (LTE) or non-LTE mode (Hakel & Kilcrease 2004; Magee et al. 2004; Hakel et al. 2006; Colgan et al. 2016; Fontes, Colgan & Abdallah 2016). The LTE approach was chosen for the present application, which requires only the atomic structure data in calculating the populations. At the relevant times, the ejecta densities are low enough that collective, or plasma, effects are not important and simple Saha-Boltzmann statistics is sufficient to produce accurate level populations. The populations are then combined with the oscillator strengths and photoionization cross-sections in ATOMIC to obtain the monochromatic opacities, which are constructed from the standard four contributions: bound-bound (b-b), bound-free (b-f), free-free (f-f) and scattering. Specific formulae for these contributions are readily available in various textbooks, such as Huebner & Barfield (2014).

Here, we reproduce only the expression for the bound-bound contribution, as it is useful in understanding the subsequent expression for line-binned opacities, as well as the discussion of such quantities in Section 3:

$$\kappa_{\nu}^{b-b} = \frac{\pi e^2}{\rho m_e c} \sum_{i} N_i |f_i| L_{i,\nu} , \qquad (1)$$

where  $\nu$  is the photon energy,  $\rho$  is the mass density,  $N_i$  is the number density of the initial level in transition i,  $f_i$  is the oscillator strength describing the photoexcitation of transition i, and  $L_{i,\nu}$  is the corresponding line profile function. The line-binned opacities proposed in this work are comprised of discrete frequency (or wavelength) bins that contain a sum over all of the lines centred within a bin. An expression for this discrete opacity is obtained from the continuous opacity displayed in equation (1) by replacing the line profile with  $1/\Delta\nu_i$ , i.e.

$$\kappa_{\nu,j}^{\text{bin}} = \frac{1}{\Delta \nu_j} \frac{\pi e^2}{\rho m_e c} \sum_{i \in \Delta \nu_j} N_i |f_i|, \qquad (2)$$

where  $\Delta v_j$  represents the frequency width of a bin denoted by integer index j. So, the summation encompasses all lines i with centres that reside in bin j. It is straightforward to show that numerical integration of equation (2) over all bins produces the same value as that obtained by analytically integrating equation (1) because the line profile function is normalized to one when integrating over all frequencies. The form of equation (1) is clearly independent of the type of expansion, which is a distinct advantage over methods that assume a homologous flow, such as the expansion-opacity approach. However, the line-binned opacities are expected to be significantly different than those produced with more traditional approaches. Physical arguments and numerical examples in support of using these line-binned, area-preserving opacities to model kilonovae is provided in Section 4.

The line-binned opacities in equation (2) can be readily cast in a tabular form, using a discrete temperature/mass-density grid, that is commonly employed in radiation-hydrodynamics simulations. In the latter approach, discrete photon frequency groups are chosen to model the flow of radiation, and the groups are typically much less resolved compared to the frequency bins. If a particular group is denoted by integer index *g*, and the group boundaries exactly align with specific bin boundaries, then (unweighted) group opacities can

**Table 1.** Ionization energies for the first three ion stages of four representative elements considered in this work. Values are presented for the fully relativistic (FR) and semirelativistic (SR) methods described in the text, as well as from the NIST ASD (Kramida et al. 2018).

Ion stage	I	onization energy (eV	")
	FR	SR	NIST
Ceı	4.91	5.24	5.54
Ce II	10.5	11.2	10.9
Ce III	18.0	19.6	20.2
Ndı	4.58	4.97	5.53
NdII	10.9	11.1	10.7
NdIII	19.2	20.5	22.1
SmI	4.83	5.33	5.64
Sm II	10.6	10.7	11.1
Sm III	20.3	21.6	23.4
Uı	4.00	5.48	6.19
UII	12.1	11.7	11.6
UIII	17.4	19.2	19.8

be computed in a straightforward manner from equation (2) via the formula

$$\kappa_{\nu,g}^{\text{group}} = \frac{1}{\Delta \nu_g} \sum_{j \in \Delta \nu_g} \Delta \nu_j \, \kappa_{\nu,j}^{\text{bin}} \,, \tag{3}$$

where  $\Delta v_g$  is the frequency width of group g. If a bin overlaps with more than one group, its opacity contribution is distributed across those groups in proportion to the area in each group. Thus, by combining equations (2) and (3),  $\Delta v_j$  vanishes and one obtains new 'binned' opacities, where the new 'bins', called 'groups', are now defined by the multigroup structure,  $\Delta v_g$ . These multigroup opacity data are used in the SUPERNU radiation-transport code for our light-curve and spectral simulations (see Section 4.2).

When constructing opacity tables, a practical consideration involves the choice of an oscillator strength cut-off value, which we denote by  $f_c$ . Rather than evaluating the summation in equation (2) over all available lines, we consider only lines with an oscillator strength above some prescribed value. For the conditions of interest for modelling kilonovae, we found that a value of  $f_c = 10^{-6}$  is typically sufficient to produce converged results. Unless otherwise noted, this cut-off value was used when constructing all of the opacity data discussed in this work.

### 2.2 Baseline atomic models

As mentioned in Section 1, atomic models were created for all 14 lanthanides and one representative actinide (uranium). In order to obtain converged opacities for the range of temperatures and densities in our simulations, only the first four ion stages of each element were considered, similar to the choice made by Kasen, Badnell & Barnes (2013). A list of configurations chosen for each element is provided in Table A1 of Appendix A. Since Nd was used as a representative element in the recent study by Kasen et al. (2013), we chose an identical list of configurations for that element in order to make meaningful comparisons. As expected, the number of Nd levels is identical to those appearing in Table 1 of that earlier work. The number of lines is slightly higher in the present listing, possibly due to the retention of small oscillator strengths that do

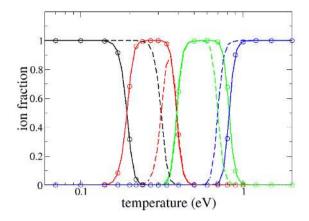
<sup>&</sup>lt;sup>1</sup>Based on this analysis, the  $4f^46s^16p^1$  configuration appears to have been left out of Table 1 of Kasen et al. (2013).

not affect the modelling in a significant way. We did some tests to include higher lying configurations, but found that the displayed list is sufficient to produce converged opacities due to the relatively low temperature and densities of the ejecta. Therefore, the configuration lists for the other elements were chosen in a similar fashion. The configurations for Ce II and Ce III are also identical to those chosen by Kasen et al. (2013). The number of levels and lines differ strongly in this case. We cross-checked the values between our FR and SR calculations, which agree well, so those earlier values appear to be in error.

As an indication of the quality of our atomic structure calculations, the ionization energies for the FR and SR models are presented in Table 1, along with the values from the NIST Atomic Spectra Database (ASD) (Kramida et al. 2018), for the following four representative elements: Ce (Z = 58), Nd (Z = 60), Sm (Z =62), and U (Z = 92). The overall agreement is good, with the worst comparisons occurring for the neutral ion stage (particularly for uranium), which is typically the most difficult to calculate due to the presence of more bound electrons and the need to accurately describe the correlation between them. As expected, the SR values are more accurate than the FR values for these nearneutral ions for two reasons. First, the Hartree-Fock approach uses a better (non-local) description of the exchange interaction between the bound electrons than the Dirac-Fock-Slater method, which uses the Kohn-Sham local-exchange approximation (Kohn & Sham 1965; Sampson et al. 2009). Secondly, the SR approach uses semi-empirical scale factors to modify the radial integrals that appear in the configuration-interaction calculation (Cowan 1981). In any event, the inaccuracies in the ionization energies have been removed in both the FR and SR models of this study by replacing the calculated values with those appearing in the NIST data base. All level energies within an ion stage were shifted by the same amount when implementing this procedure. Of course, inaccuracies in the calculated ionization energies are reflected in the individual level energies as well, but the line positions are determined by taking the difference of energies within the same ion stage. So some beneficial cancellation is expected in this regard when systematic shifts are present within a given ion stage. The NIST ionizationenergy correction was applied to all of the models considered in this work. An illustration of the ionization balance that is obtained with these improved energies is provided in Fig. 2 for Nd at a mass density of  $\rho = 10^{-13}\,\mathrm{g\,cm^{-3}}$ , corresponding to the ejecta density at  $\sim$ 1 d after the merger (see fig. 3 in Rosswog et al. 2014). Due to the relatively low densities associated with the dynamical ejecta, a single ion stage is dominant over a broad range of temperatures. This behaviour is typical for all of the elements considered in this work because the ionization energy of each of their first three ion stages is similar (see e.g. Table 1).

#### 2.3 Variant models

In addition to calculating FR and SR models, two less-accurate (but faster to compute) FR models were generated in order to test the sensitivity of the kilonova emission to the quality of the atomic data. Configuration interaction (CI) is a method to better describe the correlation between the bound electrons of an atom or ion, and typically results in improved level energies and oscillator strengths (for a more detailed explanation, see, for example, Cowan 1981; Fontes et al. 2015b). The use of CI is crucial for obtaining reasonably accurate atomic structure data for the near-neutral heavy elements considered here. However, due to the smearing of lines caused by the large velocity gradients in the ejecta, it is possible that differing



**Figure 2.** Ionization-stage fraction versus temperature for Nd at a typical mass density of  $\rho=10^{-13}~{\rm g~cm^{-3}}$ , calculated with the fully relativistic (FR) approach. The black curves and circles refer to Nd I, the red ones to Nd II, the green ones to Nd III, and the blue ones to Nd IV. The solid curves use NIST-corrected ionization energies (Kramida et al. 2018), while the dashed curves use uncorrected values. The circles indicate explicit temperatures at which (NIST-corrected) opacities were calculated for use in the simulation of spectra and light curves.

**Table 2.** Number of lines per ion stage of neodymium for the FR, FR-SCNR and FR-SCR models (see the text).

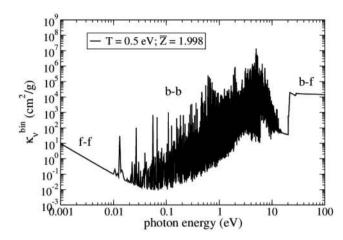
Ion stage		# of lines	
	FR	FR-SCNR	FR-SCR
Ndı	25224 451	14330 369	2804 438
NdII	3958 977	3222 445	783 275
NdIII	233 822	137 192	51 036
Nd IV	5784	5393	2051

amounts of CI could produce similar spectra, which, if true, would provide more confidence in the fidelity of the simulated spectra, at least from an atomic physics perspective.

In order to test this concept, we generated two additional FR models for Nd: one that includes CI between only those basis states that arise from the same relativistic configuration and one that includes CI between only those basis states that arise from the same non-relativistic configuration. These models are referred to here as 'FR-SCR' and 'FR-SCNR', respectively (see Fontes et al. 2015b; Fontes et al. 2016 for additional details). The FR-SCR model is less accurate than the FR-SCNR model, which is less accurate than the FR model described above. All three FR models contain the same number of fine-structure levels, but their energies differ due to the different CI treatments. Additionally, each model contains a different number of lines, as displayed in Table 2. The variant models have fewer lines than the baseline FR model, and those transitions that are common to the three models will typically be described by different oscillator strengths.

### 3 SAMPLE OPACITIES AND TABLES

In order to illustrate the basic characteristics of the opacities used in this study, the LTE monochromatic opacity for Nd is displayed in Fig. 3 for typical ejecta conditions of  $T=0.5\,\mathrm{eV}$  and  $\rho=10^{-13}\,\mathrm{g}\,\mathrm{cm}^{-3}$ . The left-hand panel displays the complete opacity, with all four contributions (b–b, b–f, f–f, and scattering), while the right-hand panel shows the contributions that arise only from free electrons (f–f and scattering) in order to give some indication



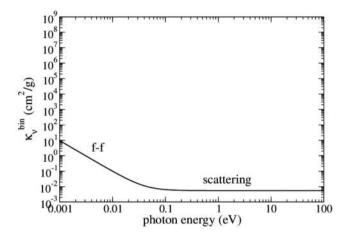


Figure 3. The LTE line-binned opacity for neodymium at  $T=0.5\,\mathrm{eV}$  and  $\rho=10^{-13}\,\mathrm{g\,cm^{-3}}$ . The left-hand panel displays the complete opacity, which includes the bound-bound, bound-free, free-free, and scattering contributions. The right-hand panel displays only the contributions due to free electrons, i.e. the free-free and scattering contributions. The average charge state,  $\overline{Z}$ , for these conditions is listed in the legend of the left-hand panel.

of the massive differences that occur when the bound electrons are taken into account. The b-b contribution was calculated via the line-binned expression in equation (2). The f-f and scattering contributions were obtained from the simple, analytic formulas (Huebner & Barfield 2014) associated with Thomson and Kramers, respectively. The gap between the b-b features and the onset of the b-f edge occurring at  $\sim$ 20 eV is due to missing lines that would be present if more excited configurations had been included in the model. Our transport calculations have minimal intensity at these energies. We note that a mean charge state of  $\overline{Z}=1.998$  is obtained for these conditions, indicating that the opacity is dominated by Nd III.

In this example, the inclusion of the line features dramatically increases the opacity in the optical range (1.65–3.26 eV or 0.751–0.380  $\mu m)$  by up to eight orders of magnitude. The absorption in the near-infrared range below 1.65 eV (0.496–1.65 eV or 10.0–0.751  $\mu m)$  is also greatly increased, by a few orders of magnitude in this case, indicating that spectra would more likely be observed in the mid-infrared range, at least for these specific conditions.

#### 3.1 Examples of line-binned opacities

A more detailed Nd opacity example is provided in Fig. 4, with the conditions ( $T = 0.5 \,\text{eV}$ ,  $\rho = 10^{-13} \,\text{g cm}^{-3}$ ) being the same as those given in Fig. 3. Line-binned opacities are presented for the four models described in Section 2: FR, FR-SCNR, FR-SCR, SR. The models produce qualitatively similar results, but there are visible quantitative differences, as exemplified by the Planck mean opacities displayed in each panel. The Planck mean opacity is defined in the standard way, i.e.

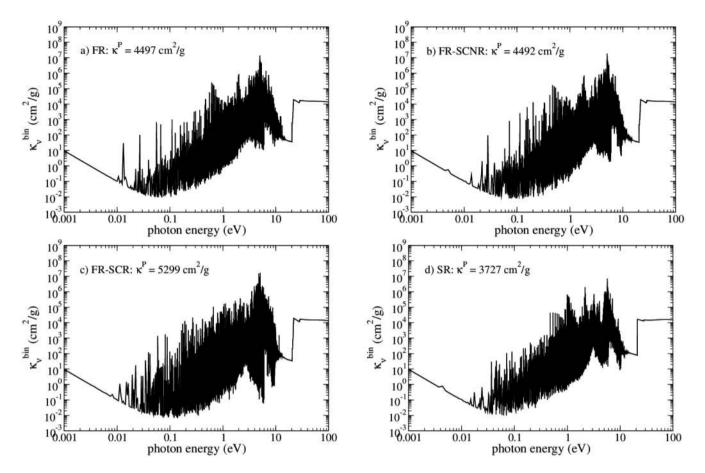
$$\kappa^{\mathrm{P}} \equiv \int_0^\infty B_{\nu}(T) \kappa_{\nu}' \, \mathrm{d}\nu / \int_0^\infty B_{\nu}(T) \, \mathrm{d}\nu \,, \tag{4}$$

where  $B_{\nu}(T)$  is the Planck function and  $\kappa'_{\nu}$  indicates that the scattering contribution is omitted from the monochromatic opacity. This mean value of the frequency-dependent opacity permits rough quantitative comparisons between models. The SR model produces the smallest mean value, given by  $3727 \, \mathrm{cm}^2 \, \mathrm{g}^{-1}$ , while the least accurate FR-SCR model has a value of 5299 cm<sup>2</sup> g<sup>-1</sup>, resulting in a variation of 42 per cent. The most accurate FR model produces an intermediate value of 4497 cm<sup>2</sup> g<sup>-1</sup>, with the FR-SCNR yielding a similar result. These differences allow us to test the sensitivity of

the kilonova light curves and spectra (see Section 4) to changes in the underlying atomic physics models that are used to construct the opacity.

Next, we consider a broader range of elements at a cooler temperature, presenting line-binned opacities for the four representative elements (Ce, Nd, Sm, and U) at T = 0.3 eV,  $\rho = 10^{-13}$  g cm<sup>-3</sup> in Fig. 5. Results are displayed for both FR and SR results in order to compare these two different atomic physics models for a range of elements. The opacities for all of these elements display similar qualitative behaviours: line-dominated absorption that increases with photon energy, with a peak at  $\sim$ 3 eV, and a bound-free edge at an energy of  $\sim 10 \, \text{eV}$ . These similarities are not surprising because the charge state distribution for each element is dominated by the second ion stage, i.e.  $\overline{Z} \approx 1$ , at these conditions. Therefore, the bound-bound contribution to the opacity is dominated by lines associated with the singly ionized stage for each element in this example. As explained in Section 2.2, and demonstrated in Fig. 2 and Table 1, a single ion stage is dominant over a broad range of temperature at such low densities for a given element. Furthermore, the dominant stage is typically the same for all lanthanide (and actinide) elements due to the similarity in the ionization potentials of their ion stages.

Despite these comparable trends, an inspection of the frequencydependent opacities also reveals the differences inherent in the underlying atomic energy-level structure for each element. The detailed line structure is visibly different in each panel of Fig. 5 due to fundamental atomic physics theory concepts such as the angular momentum coupling between the various bound electrons, quantum selection rules for the absorption of photons between different energy levels, etc. There are also notable differences when comparing the FR and SR values for a given element. The Planck mean opacity is, once again, presented in each panel of Fig. 5 for comparison purposes. The ratio of the FR to SR value of the Planck mean is 2.43, 2.47, 1.74, and 0.889 for Ce, Nd, Sm, and U, respectively. The variation in this set of ratios gives a basic indication of the uncertainty in the opacities due to the choice of physics models for this group of four elements. Within the FR or SR model, the maximum ratio occurs between Ce and U, with a value of 7.80 or 2.85, respectively. These two values provide a rough estimate of how the opacity can vary between different elements calculated within the same physical framework. Of course, representing such complex, frequency-dependent absorption features by a single mean



**Figure 4.** The LTE line-binned opacity for neodymium at  $T = 0.5 \,\text{eV}$  and  $\rho = 10^{-13} \,\text{g cm}^{-3}$  using four different models described in the text: (a) FR, (b) FR-SCNR, (c) FR-SCR, and (d) SR. The Planck mean opacity, obtained via integration of the line-binned opacity, is also listed in each panel.

value is a gross approximation. A more realistic comparison should investigate the use of different opacity models in the simulation of kilonova emission, which we consider in Section 4.

As a final illustration, we present in Figs 6 and 7 the line-binned opacity for all 14 lanthanide elements, as well as uranium, at two sets of characteristic conditions. These results were calculated with the SR model. Once again, a characteristic ejecta density of  $\rho=10^{-13}\,\mathrm{g\,cm^{-3}}$  was chosen for both figures. A temperature of  $T=0.1\,\mathrm{eV}$  was chosen in Fig. 6 to highlight opacities with a b-b contribution that is dominated by the first, i.e. neutral, ion stage for all 15 elements. A higher temperature of  $T=0.3\,\mathrm{eV}$  was chosen in Fig. 7 to compare opacities with a b-b contribution that is dominated by the second, i.e. singly ionized, ion stage of each element.

The qualitative trends in these two figures resemble those discussed above for Figs 4 and 5. For example, the opacity for all 15 elements in Figs 6 and 7 displays line-dominated absorption that increases with photon energy, peaking at an energy of about 2–3 eV. In Fig. 6, the signature f–f and scattering contributions (see Fig. 3) are absent because the underlying atomic processes of inverse bremsstrahlung and electron scattering, respectively, require the presence of free electrons. Since the charge state distribution is dominated by the neutral ion stage in this case,  $\overline{Z}\approx 0$  and the free electron density is relatively small. On the other hand, the f–f and scattering contributions are clearly present in Fig. 7 for which the temperature, and free electron density, is higher.

In order to provide some qualitative analysis of the line-binned opacities for the 14 lanthanide elements displayed in Figs 6 and 7, we present Figs 8 and 9, respectively. In each of the two panels in

Fig. 8, the solid black curve (with circles) represents the number of lines in the first ion stage, which is the dominant stage for these conditions, for each element. The number of lines for the various ion stages can also be found in Table A1. Superimposed on this black curve is a red dashed curve (with squares) that represents the mean opacity obtained from the frequency-dependent opacities in Fig. 6. The red dashed curve in the left-hand panel represents the Planck mean opacity displayed in equation (4). The red dashed curve in the right-hand panel represents the Rosseland mean opacity defined by the standard harmonically averaged expression

$$[\kappa^{R}]^{-1} \equiv \int_{0}^{\infty} B'_{\nu}(T) [\kappa_{\nu}]^{-1} d\nu / \int_{0}^{\infty} B'_{\nu}(T) d\nu , \qquad (5)$$

where  $B'_{\nu}(T)$  is the partial derivative of the Planck function with respect to temperature.

As expected from basic atomic physics considerations, the number of lines in the first ion stage of the 14 lanthanide elements peaks at Gd (Z=64), near the centre of the range. The energy level structure of Gd is the most complicated due to the presence of the half-filled  $4f^7$  subshell, as well as a  $5d^1$  subshell, in the ground-state configuration. This combination of open subshells results in a maximum in the number of fine-structure levels that are allowed by quantum physics, i.e. according to the rules of angular momentum coupling of the bound electrons and the Pauli exclusion principle. This large number of levels corresponds to a maximum in the number of lines (or transitions) displayed in Fig. 8. However, this peak in the number of lines corresponds to relatively low values in the Planck and Rosseland mean opacities. This is a

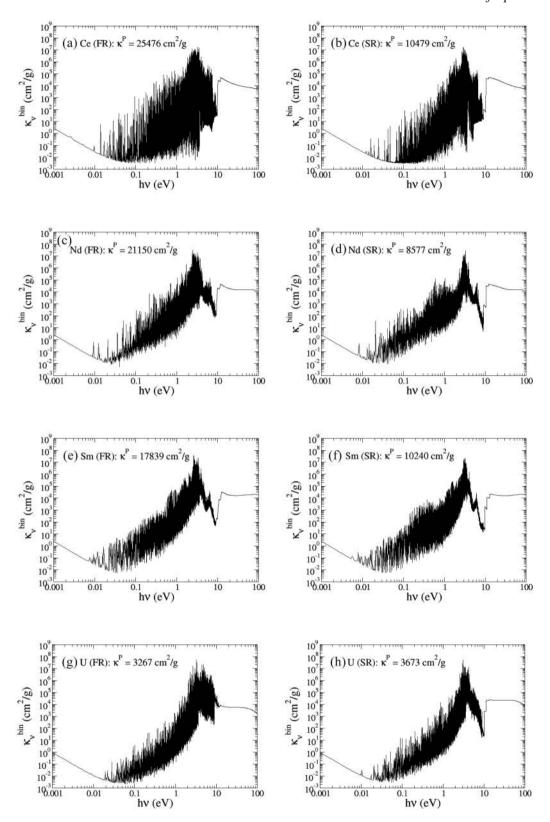


Figure 5. The LTE line-binned opacities for four representative elements (Ce, Nd, Sm, and U) at T = 0.3 eV and  $\rho = 10^{-13}$  g cm<sup>-3</sup>. Panels (a) and (b) represent Ce, panels (c) and (d) represent Nd, panels (e) and (f) represent Sm, and panels (g) and (h) represent U. The panels in the left-hand column were calculated with the FR approach, while panels in the right-hand column were calculated with the SR approach. The Planck mean opacity, obtained via integration of the line-binned opacity, is also listed in each panel.

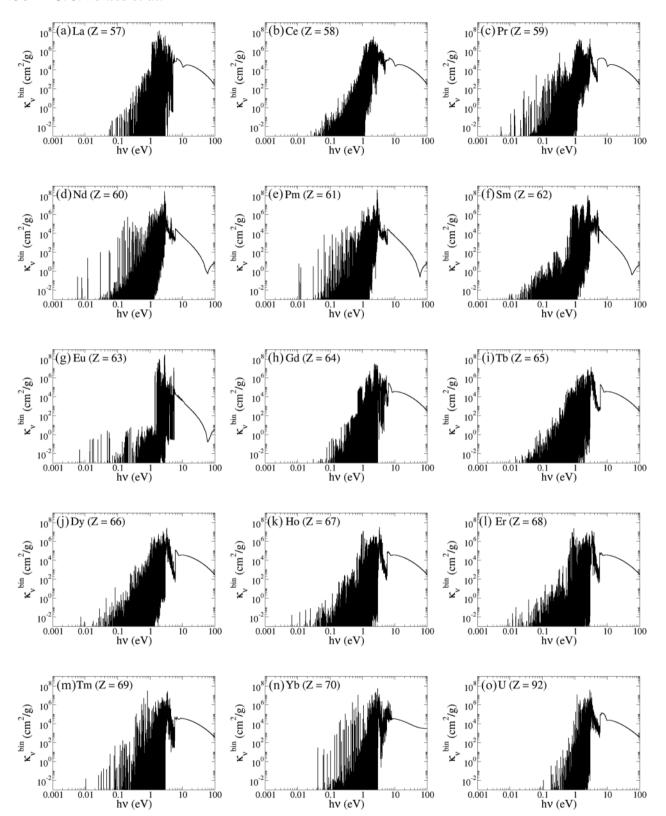


Figure 6. The LTE line-binned opacity for all 14 lanthanide elements, as well as uranium, at  $T = 0.1 \,\text{eV}$  and  $\rho = 10^{-13} \,\text{g cm}^{-3}$ . For these conditions, the bound-bound contribution to the opacity is dominated by the first, i.e. neutral, ion stage of each element. Panels (a)–(n) display results for Z = 57–70, while panel o displays the uranium (Z = 92) result.

counterintuitive result since the existence of more lines is expected to increase the chances of photon absorption, which corresponds to higher opacities. In addition, note that the adjacent element Eu (Z=63), which also contains the  $4f^2$  subshell in its ground

configuration, similarly corresponds to relatively low values of the two mean opacities. This behaviour can be understood from the fact that the half-filled  $4f^3$  subshell is semistable with respect to energy, i.e. it takes more energy to excite an electron from this type of

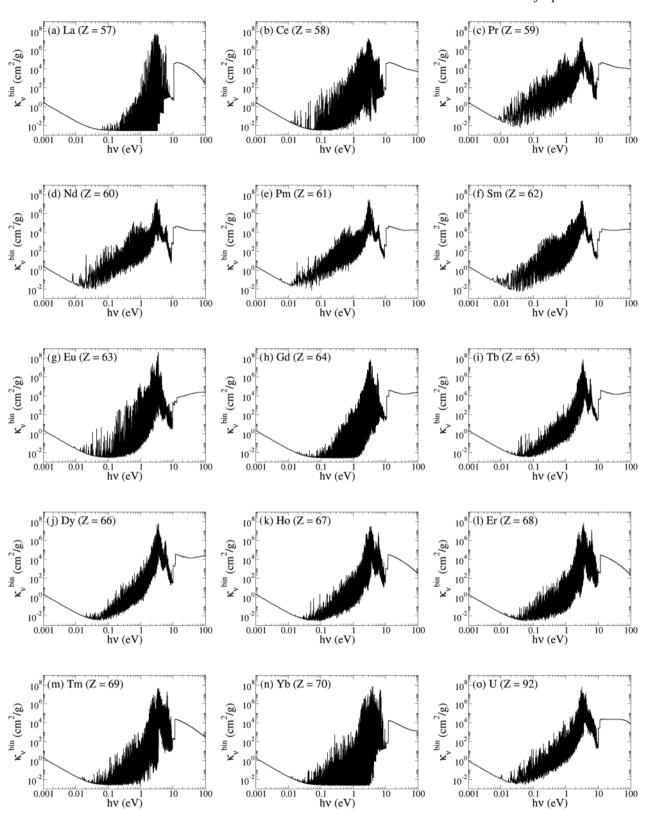
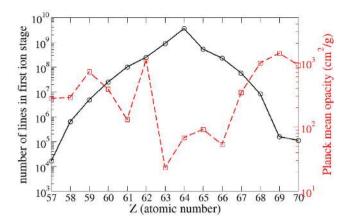


Figure 7. The LTE line-binned opacity for all 14 lanthanide elements, as well as uranium, at  $T = 0.3 \,\text{eV}$  and  $\rho = 10^{-13} \,\text{g cm}^{-3}$ . For these conditions, the bound-bound contribution to the opacity is dominated by the second, i.e. singly ionized, ion stage of each element. Panels (a)–(n) display results for Z = 57-70, while panel (o) displays the uranium (Z = 92) result.

configuration than it does from the adjacent ground configurations that contain the  $4f^6$  or  $4f^8$  subshell. Thus, the energy-level structure associated with an element possessing a  $4f^7$  subshell in its ground state can be somewhat different than the other lanthanides, and a

significant fraction of the allowed radiative transitions can occur at higher energies than for the other lanthandides. For example, according to the NIST data base (Kramida et al. 2018), the first excited state of Eu I occurs at an energy of  $\sim 1.6 \, \text{eV}$ . This value



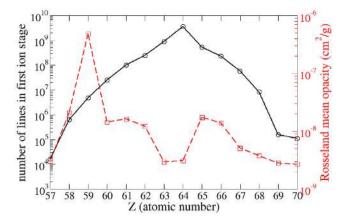
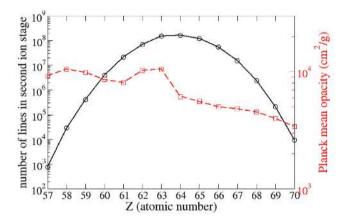


Figure 8. The number of lines in the first ion stage versus atomic number, Z (see Table A1). The mean opacity associated with the line-binned opacities presented in Fig. 6 is also plotted versus Z. Results are presented for all 14 lanthanide elements (Z = 57-70). In both panels, the number of lines for the first ion stage is represented by the black solid curve (with circles). This curve is associated with the left-hand y axis in each panel. The red dashed curves (with squares) are associated with the right-hand y axis in each panel, and represent the Planck mean opacity in the left-hand panel and the Rosseland mean opacity in the right-hand panel. The mean opacities were calculated at  $T = 0.1 \, \text{eV}$  and  $\rho = 10^{-13} \, \text{g cm}^{-3}$ , corresponding to the conditions used in Fig. 6.



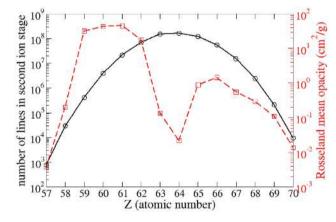


Figure 9. The number of lines in the second ion stage versus atomic number, Z (see Table A1). The mean opacity associated with the line-binned opacities presented in Fig. 7 is also plotted versus Z. Results are presented for all 14 lanthanide elements (Z = 57-70). In both panels, the number of lines for the second ion stage is represented by the black solid curve (with circles). This curve is associated with the left-hand y axis in each panel. The red dashed curves (with squares), associated with the right-hand y axis in each panel, represent the Planck mean opacity in the left-hand panel and the Rosseland mean opacity in the right-hand panel. The mean opacities were calculated at T = 0.3 eV and  $\rho = 10^{-13}$  g cm<sup>-3</sup>, corresponding to the conditions used in Fig. 7.

is a factor 2-60 higher than the first excited state in the neutral stage of most of the other lanthanides, indicating that the energylevel structure of Eu I is different. Consequently, the number of lines appearing in the Eu (panel g) and Gd (panel h) opacities displayed in Fig. 6 is indeed higher than the number for the other elements, but a significant fraction of those lines occurs at relatively higher energies, above ~1 eV in this case. Since the Planck and Rosseland weighting functions peak at photon energies of  $\sim 2.8 \times T$  and  $\sim 3.8 \times T$ , respectively, those higher energy lines do not contribute as much to the mean opacities. Similar trends are observed in Fig. 7 for which the second ion stage is dominant. The maximum number of lines occurs for Gd, but the Rosseland mean value, represented by the red dashed curve in the right-hand panel, is at a minimum. The Planck mean does not display such a definitive minimum value, but there is a significant drop at Gd when traversing the red dashed curve displayed in the left-hand panel from lower to higher values of Z.

The above trends suggest that, counter to conventional wisdom, the elements in the middle of the lanthanide range might not produce the strongest contributions to the opacity of dynamical ejecta in kilonovae. We emphasize that the use of Planck and Rosseland mean values in the above analysis is for illustrative purposes and should be interpreted with a measure of caution. A study of the relative importance of the various lanthanide elements to the ejecta opacity could be investigated with kilonova simulations that employ the frequency-dependent opacities, which is beyond the scope of this work.

#### 3.2 Line-smeared opacities

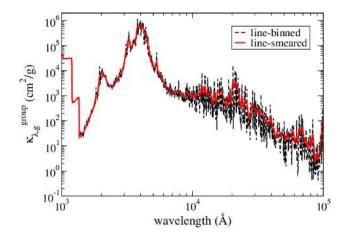
In previous work (Fontes et al. 2015a, 2017), we presented a preliminary attempt to generate tabular opacities that also preserved the integral of the monochromatic opacities over frequency. This effort employed a line-smeared approach to artificially broaden the lines in such a manner that they could be sufficiently resolved with a typical photon energy grid (see Section 3.4) employed in a tabular framework. These line-smeared opacities were also used in a detailed study of NSM light curves and spectra (Wollaeger et al. 2018). Thus, some commentary about how the line-smeared and line-binned opacities compare is provided here.

The present line-binned approach accomplishes the desired goal of preserving the area under the opacity curve without the concern of losing b-b opacity due to a lack of photon energy resolution. The use of a discrete sum in the line-binned approach, see equation (2), ensures that the area will be conserved and, furthermore, allows finestructure detail to be more easily captured in a tabular representation of the opacities. That sum includes the exact contribution of each line to the integral of the opacity, independent of any thermal (or other) broadening that might exist, which eliminates any chance of losing bound-bound opacity that could occur if the photon energy grid is insufficient to resolve narrowly broadened lines. On the other hand, the line-smeared approach employs a sum of the type displayed in equation (1), which evaluates the *monochromatic* (not binned) opacity, i.e. the opacity is evaluated at each point on a prescribed photon energy grid, which can exclude lines with profiles,  $L_{i, \nu}$ , that are narrower than the spacing of the prescribed energy grid.

In order to highlight the differences between the line-binned and line-smeared approaches, as well as to demonstrate some practical issues associated with generating multigroup opacities, we consider some typical numerical values. For a typical ejecta temperature of  $T = 1 \,\mathrm{eV}$ , the energy-grid spacing below photon energies of  $\sim$ 10 eV, which encompasses both the optical and IR bands, is  $\Delta v_i =$  $1.25 \times 10^{-3}$  eV. The logarithmically spaced multigroup grid that is used in the SUPERNU radiation-transport code for the present work is prescribed as  $\Delta \lambda_g / \lambda_g = \Delta \nu_g / \nu_g = 0.0047$  (see Section 4.2). Consequently, the group width is  $\Delta v_g = 4.7 \times 10^{-4} \, \text{eV}$  at a photon energy of 0.1 eV,  $\Delta v_g = 4.7 \times 10^{-3}$  at a photon energy of 1 eV, and  $\Delta v_g = 4.7 \times 10^{-2} \,\text{eV}$  at a photon energy of 10 eV. So, for this example, the value of  $\Delta v_g$  is more than an order-of-magnitude greater than  $\Delta v_i$  at higher photon energies, but is about an orderof-magnitude smaller than  $\Delta v_i$  at lower photon energies. Thus, the group structure is sometimes more resolved and sometimes less resolved compared to the bin structure. The prescription for obtaining multigroup opacity data, which are used in the SUPERNU code, from line-binned or line-smeared tabular data is straightforward, as previously described in the text surrounding equation (3).

Next, we consider an emission line that is centred at an energy of 1 eV, which has a thermal (Doppler) width of approximately  $7 \times 10^{-6}$  eV for a typical lanthanide at this characteristic temperature of 1 eV. In this example, we see that thermally broadened line profiles of interest are woefully under-resolved by our standard photon energy grid. It would take several orders of magnitude more energy points to adequately resolve such profiles, which would render the corresponding monochromatic opacity calculation intractable due to the amount of computational time required to evaluate the line profiles of so many narrow lines at so many energy points. Thus, the line-smeared approach is not guaranteed to resolve all of the lines with the prescribed photon-energy grid. The artificial broadening that is applied in the line-smeared approach may still not be sufficiently wide to be resolved by the energy grid that we employ when evaluating equation (1), which, as we have previously stated, is a monochromatic formula, rather than a discrete, binned formula, such as equation (2).

As an integrated example, we present a comparison of the LTE Nd multigroup opacity,  $\kappa_{\lambda, \rm g}^{\rm group}$ , generated with the line-binned and line-smeared methods in Fig. 10 for the characteristic conditions of  $T=0.3\,{\rm eV}$  and  $\rho=10^{-13}\,{\rm g\,cm^{-3}}$ . The multigroup opacities were generated using the wavelength version of the frequency-group opacity displayed in equation (3), with a group structure of 1024 logarithmically spaced wavelength points (see Section 4.2 for details). As expected, the overall agreement between the two curves



**Figure 10.** The LTE multigroup opacity of Nd at  $T=0.3\,\mathrm{eV}$  and  $\rho=10^{-13}\,\mathrm{g\,cm^{-3}}$ . Results are presented for the line-binned (dashed black curve) and line-smeared (solid red curve) methods using 1024 wavelength groups (see the text for details).

is good, but, as expected, the line-binned curve clearly displays more fine-structure detail at higher wavelengths. This additional detail in the line-binned curve is a result of summing the unbroadened lines within a wavelength bin, rather than smearing the lines across a number of bins, in conjunction with the fact that the resolution of the groups are on par with or smaller than the resolution of the bins at higher wavelengths. Despite these differences, the line-binned and line-smeared opacities yield similar kilonova spectra (see Section 4.2.2 and, specifically, Fig. 14).

### 3.3 Expansion opacities

In order to provide meaningful comparisons with other works, we also consider the more traditional approach of expansion opacities when modelling light curves and spectra in Section 4. Therefore, a brief overview of this approach is provided here. As mentioned previously, the expansion-opacity method (Sobolev 1960; Castor 1974; Karp et al. 1977) employed by Kasen et al. (2013), Barnes & Kasen (2013) to simulate kilonovae light curves applies to the bound–bound contribution to the opacity and involves a discrete sum over all lines. The approach relies on the assumption of a homologous expansion and is characterized by an expansion time,  $t_{\rm exp}$ . The relevant wavelength range is divided into bins denoted by index j,  $\Delta \lambda_j$ , and all lines within a bin are summed to obtain the opacity for that range. The expression for the opacity associated with bin (or group) j is given by

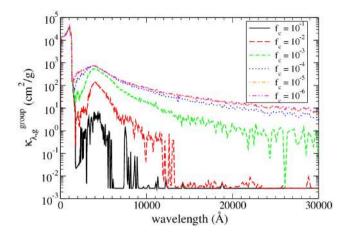
$$\kappa_{\exp}^{\text{b-b}}(\Delta \lambda_j) = \frac{1}{\rho c t_{\exp}} \sum_{i \in \Delta \lambda_j} \frac{\lambda_i}{\Delta \lambda_j} (1 - e^{-\tau_i}), \qquad (6)$$

where  $t_{\rm exp}$  is the time since mass ejection, the summation index i extends over all bound–bound transitions that reside in bin j,  $\lambda_i$  is the rest wavelength associated with transition i, and  $\tau_i$  is the corresponding Sobolev optical depth, i.e.

$$\tau_i = \frac{\pi e^2}{m_e c} N_i |f_i| t_{\exp} \lambda_i , \qquad (7)$$

which is the Doppler-corrected line optical depth. Due to the presence of  $t_{\rm exp}$  in the exponential of equation (6), it is not convenient to construct tables of the expansion opacities for kilonova modelling.

As a specific example, we present in Fig. 11 the expansion opacity of Nd at  $T=4000\,\mathrm{K}$  (0.345 eV) and  $\rho=10^{-13}\,\mathrm{g\,cm^{-3}}$ , generated



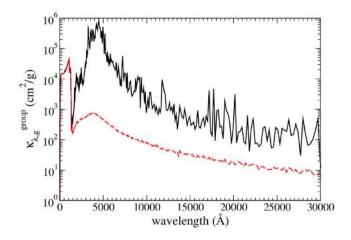
**Figure 11.** The LTE opacity of Nd at  $T=4000\,\mathrm{K}$  (0.345 eV) and  $\rho=10^{-13}\,\mathrm{g\,cm^{-3}}$  using the expansion-opacity method. The time since ejection was chosen to be  $t_{\rm exp}=1\,\mathrm{d}$ , along with a wavelength group structure of  $\Delta\lambda=0.01\lambda$ . These specifications are the same as those considered in fig. 8 of Kasen et al. (2013). The various curves correspond to different values of the oscillator strength cut-off parameter,  $f_c$ , as follows: solid (black) curve represents  $10^{-1}$ , long-dashed (red) curve represents  $10^{-2}$ , short-dashed (green) curve represents  $10^{-3}$ , dotted (blue) curve represents  $10^{-4}$ , dash-dotted (orange) curve represents  $10^{-5}$ , and dash-double-dotted (magenta) curve represents  $10^{-6}$ . Convergence is obtained for a value of  $f_c=10^{-6}$ .

with the FR model. In this example, the opacity is plotted versus wavelength instead of energy, and the particular conditions were chosen in order to facilitate a direct comparison with fig. 8 of Kasen et al. (2013).

There are six curves displayed in Fig. 11, corresponding to different values of the oscillator strength cut-off,  $f_c = 10^{-x}$ , with x = 1-6. Convergence is demonstrated for  $f_c = 10^{-6}$ , which is the same value that we chose when constructing our tabular, line-binned opacities. (See Section 2.1.) The use of such tabular opacities is particularly convenient when exploring opacity models constructed with different line strength cut-off values. A sensitivity study of light-curve and spectral modelling to the value of  $f_c$  is presented in Section 4.

A comparison of Fig. 11 with fig. 8 of Kasen et al. (2013) allows a relatively direct comparison of the underlying opacity data employed in each investigation, in contrast to comparisons of spectral quantities resulting from radiation transport simulations that sample the opacity over a range of physical conditions. We note that the upper four curves in Fig. 11 are qualitatively similar to the curves displayed in fig. 8 of Kasen et al. (2013), with the peak value of the b-b contribution occurring at  $\sim$ 5000 Å and monotonically decreasing at higher wavelengths. However, the peak value is about three times larger in the present case, providing a rough measure of the uncertainty in current opacity calculations as they pertain to kilonova conditions.

This discrepancy is somewhat surprising due to the fact that the same list of configurations, resulting in the same number of lines (see the Nd data listed in Table A1), was used in both cases. The differences are perhaps an indication of how difficult it is to perform accurate atomic structure calculations for such complicated atoms and ions. An alternative explanation is that the curves displayed in fig. 8 of Kasen et al. (2013) were generated with a less complete set of lines. This potential explanation is supported by the observation that the short-dashed (green) curve in Fig. 11, which was generated with a value of  $f_c = 10^{-3}$ , is in better agreement with Kasen et al. (2013) over the entire wavelength range. This improved agreement includes the large oscillatory behaviour at high wavelengths, al-



**Figure 12.** The LTE opacity of Nd at  $T = 4000 \, \text{K}$  (0.345 eV) and  $\rho = 10^{-13} \, \text{g cm}^{-3}$  using the line-binned (solid, black curve) and expansion-opacity (dashed, red curve) methods to obtain the b-b contribution. For the expansion-opacity calculation, the time since ejection was chosen to be  $t_{\text{exp}} = 1 \, \text{d}$ . In both cases, a wavelength group structure of  $\Delta \lambda = 0.01 \lambda$  was chosen. These specifications are the same as those considered in fig. 8 of Kasen et al. (2013) and fig. 7 of Fontes et al. (2017).

though our green curve is still a factor of two higher at the peak value occurring at  $\sim 5000$  Å. Another qualitative difference occurs at higher wavelengths, where there appear to be more points in the curves of Kasen et al. (2013). We were able to obtain similar behaviour (not shown) in the high-wavelength region by employing a linearly spaced wavelength grid, rather than the logarithmically spaced grid obtained from the prescription  $\Delta \lambda = 0.01 \lambda$ .

As a final opacity comparison, we present in Fig. 12 the linebinned and expansion opacities of Nd at the same conditions used for Fig. 11. There are two curves in the figure, one representing the bound-bound contribution obtained from the current line-binned approach, equation (2), and the other from the expansion-opacity method, equation (6). Both curves were generated with the FR model. (For completeness, we mention that the two corresponding curves generated with the SR model (not shown) are quantitatively similar to the two FR curves displayed in this figure.) We note that, for these conditions, the line-binned approach produces an opacity that is one or more orders of magnitude greater than the expansion method over much of the wavelength range. As expected, these trends are very similar to the behaviour exhibited in the corresponding comparison of line-smeared versus expansion opacities in fig. 7 of Fontes et al. (2017). In general, the area-preserving opacities are expected to be equal to or greater than the expansion opacities based on a simple examination of the mathematical behaviour of equations (6) and (7) versus equation (2). (Compare, also, equation 8 to equation 9 in the upcoming Section 4.1.) As a consequence, the luminosity is expected to be diminished when line-binned opacities, rather than expansion values, are used when simulating light curves. However, the deviation in the resulting light curves depends on a broad range of conditions that are relevant for a complete simulation, and cannot be determined from a simple opacity comparison carried out at a specific set of conditions.

#### 3.4 Opacity tables

In order to perform radiation-transport calculations in an efficient manner, opacity tables were generated for the 15 elements discussed above using prescribed temperature and density grids that span the range of conditions of interest. The temperature grid consists of 27 values (in eV): 0.01, 0.07, 0.1, 0.14, 0.17, 0.2, 0.22, 0.24, 0.27, 0.3, 0.34, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1.0, 1.2, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5, and 5.0. Specific temperature values are also indicated by circles in the ionization balance plot of Fig. 2. The density grid contains 17 values ranging from  $10^{-20}$  to  $10^{-4}$  g cm<sup>-3</sup>, with one value per decade. Our photon energy grid is the same 14 900-point grid that is used in standard Los Alamos tabular opacity efforts, e.g. Colgan et al. (2016). The grid is actually a temperature-scaled u = hv/kT grid with a non-uniform spacing that is designed to provide accurate Rosseland and Planck mean opacities. A description of this grid is available in table 1 of Frey et al. (2013).

# 4 MOTIVATION AND JUSTIFICATION FOR LINE-BINNED OPACITIES

In this section, we provide motivation for a straightforward approach to numerical solutions of the thermal radiation transport equations in kilonovae. Specifically, we discuss how an approach involving straight discretization of opacity in the relevant phase space (i.e. space, time, angle and frequency) may be applicable to the kilonova scenario. Straight discretization is a traditional numerical approach that makes good sense when properties of the phase space are smooth on scales of an affordable discrete resolution. That said, bound-bound transitions dominate the opacity in Type Ia supernovae (SNe) and kilonovae, and their frequency distribution is generally not smooth for affordable energy resolutions. As a result, a different type of radiation transport scheme, taken from the Ia SNe literature, i.e. the expansion-opacity formalism, has provided the primary path, to date, for simulating emerging light curves and spectra from kilonova models (Barnes & Kasen 2013; Kasen et al. 2013, 2017; Tanaka et al. 2018). While both Ia SNe and kilonovae do have line-dominated opacities, as well as homologously expanding ejecta, we argue that there are key differences between their physical conditions that permit this alternative, straight-discretization numerical approach, i.e. equation (2), to be considered in calculating the kilonova emergent light.

The expansion-opacity approach tracks the flow of energy through the iron- and lanthanide-rich expanding ejecta of the kilonova. This approach is aimed at capturing the spatial diffusion of radiation when the opacity is dominated by a thick forest of lines (bound-bound interactions). At deep optical depths within the kilonova ejecta, a thick forest of lines exists, but it is not obvious that the energy flow in this region is dominated by the diffusion of radiation. In particular, the expected magnitude of the net outward radiative flux should be diminished as a result of the uniform spatial heating in the kilonova ejecta, as compared to the centrally condensed heating source in a Ia SN.

Furthermore, the time-scales for energy flow associated with the expansion motion of the ejecta (which is much higher in a kilonova) can be compared and is found to dominate over the time-scale for radiation diffusion. The typical expansion velocity in a kilonova is  $v_{\rm exp}/c \approx 0.1$ –0.2, which translates to a relatively shallow radiative zone with optical depth  $\tau < 10$ –20. As such, details of radiative transport at higher optical depths are not as crucial for computing observables. Indeed, the pre-existing radiation energy, which scales like  $\sim T^4 \sim t^{-4}$  (where t represents the time since merger), is quickly decimated by the expansion and superseded by instantaneous nuclear heating, which behaves like  $\sim t^{-1.3}$  (Korobkin et al. 2012; Grossman et al. 2014).

Given these qualitative arguments, as well as the more quantitative analysis that follows in Section 4.2.3, capturing the accurate diffusion of radiation in the high optical depth regions may not be of paramount importance to accurately model kilonova emission. As alluded to above, this is an important point since relaxing this requirement would allow alternative transport methodologies to be valid. In the remainder of this section, we present a comparison of two approaches for determining the average opacity used in multigroup transport calculations: (1) the expansion-opacity formalism and (2) a straight discretization, or line-binned-opacity, formalism. In the limit of small optical depths, where radiation is expected to carry the flow of energy, both approaches reduce to the same result (see the discussion following equation 9). In intermediate optical depth regimes, it is not obvious which approach is more physically valid, and the line-binned-opacity approach offers a reasonable, alternate bound on kilonovae opacity. This alternative approach brings with it some advantageous numerical properties that we enumerate, following a brief recap of each approach. This brief synopsis serves to cast these approaches from an optical depth perspective, which is often more intuitive than the opacity perspective presented in Section 2.

#### 4.1 Review of optical depth formulae

Two different expressions for the optical depth are employed in the radiation transport simulations considered below. Each of the optical depths presented here involves a sum over lines (denoted by summation index i) within a wavelength bin,  $\Delta \lambda_j$ , which can be related to a spatial zone for a homologous flow. The optical depth that corresponds to the expansion opacity in equation (6) is given by (Pinto & Eastman 2000)

$$\tau_{\exp}^{b-b}(\Delta \lambda_j) = \sum_{i \in \Delta \lambda_j} (1 - e^{-\tau_i}), \qquad (8)$$

where, again,  $\tau_i$  is the Sobolev optical depth of line i given by equation (7). This formulation has the property that it limits the total contribution to the optical depth from a single line to 1, but uses the full opacity for low optical depths per line.

The optical depth that corresponds to the line-binned opacity in equation (2) is obtained by requiring the optical depth due to lines in a wavelength bin to be equal to the sum of the Sobolev optical depths of all the lines that fall within the wavelength bin. The result is

$$\tau_{\lambda,j}^{\text{bin}} = \sum_{i \in \Delta \lambda_j} \tau_i \,. \tag{9}$$

For small values of  $\tau_i$ , it is straightforward to use a Taylor series expansion to show that equation (8) reduces to equation (9). For large values of  $\tau_i \gg 1$ , it is easy to see that equation (9) is always greater than equation (8).

An advantage of this line-binned approach is that the opacities can be pre-tabulated for any explosion scenario, as it does not include details of the expansion properties in its definition. There are also advantages in computational efficiency that come with the ability to pre-tabulate. The large line lists necessary for a converged opacity are taken into account only once during the creation of the table, and then all subsequent simulations amortize that cost. In addition, tabulated opacities are commonly used by radiation-hydrodynamic codes from fields outside of astrophysical light-curve applications (e.g. high-energy density experimental physics at facilities like the Omega Laser at Laboratory for Laser Energetics, the Z-Machine at Sandia National Laboratory and the National Ignition Facility at LLNL). Thus, if a tabulated opacity approach can be shown to be valid for the modelling of kilonova light curves, then other mature

Table 3. Legend symbols for various opacity implementations considered in this section, along with the figures in which they appear. The Smeared-Tab and Binned-Tab calculations employ pre-tabulated opacity data generated on the temperature and density grids described in Section 3.4. The Binned-Inl, Expansion-Inl, and Sobolev calculations use explicitly generated line contributions to the opacity within the SUPERNU code, without interpolation on temperature and density. SR stands for semirelativistic atomic models and FR stands for fully relativistic atomic models.  $f_c$  is the oscillator strength cut-off value used in the atomic models.

Symbols	Method	Variations	Figures
Sobolev	SUPERNU-internal (inline) opacities using direct treatment of lines with the Sobolev approximation; see Section 4.2.1	$(SR, f_c = 10^{-3})$	13
Smeared-Tab	Tabulated opacities with smeared lines; see Section 3.2	$(SR, f_c = 10^{-6})$	14
Binned-Tab	Tabulated opacities with binned lines; see equations (6), (3) and (9)	$(SR, f_c = 10^{-6})$	14,16
Binned-Inl	SUPERNU-internal (inline) opacities with binned lines; see equations (6) and (9)	$(SR, f_c \in \{10^{-1}, 10^{-3}, 10^{-4}, 10^{-6}\}); (FR, SR, f_c = 10^{-6}); (FR-SCR, FR-SCNR, f_c = 10^{-6})$	13,17,18; 19; 20
Expansion-Inl	SUPERNU-internal (inline) opacities with expansion line treatment; see equation (8)	$(SR, f_c \in \{10^{-1}, 10^{-3}, 10^{-4}, 10^{-6}\}); (FR, SR, f_c = 10^{-6}); (FR-SCR, FR-SCNR, f_c = 10^{-6})$	13, 17,18; 19; 20
Nd, Ce, Sm, U	Element symbols for tabulated, line-binned opacities	SR,FR	21

code bases could be more easily brought to bear on kilonova lightcurve challenges.

#### 4.2 Tests of line-binned opacities for kilonovae

In this section, we present light curves and spectra from simulations using line-binned or expansion opacities for a 1D model with semianalytic ejecta and pure Nd, Ce, Sm, or U. These elements are meant to represent the composition of dynamical ejecta from the NSM. The problems have an ejecta mass of  $1.4 \times 10^{-2} \,\mathrm{M}_{\odot}$  and mean ejecta speed of 0.125c (maximum speed of 0.25c). We simulate two forms of the problem: (1) a 'simplified' version, which is more efficient to simulate with a direct Sobolev line treatment, is presented in Section 4.2.1 to motivate the utility of the linebinned opacity treatment and (2) a 'full' version is presented in Section 4.2.2 to explore sensitivities to variations in the opacity data and photon energy grouping. All problems simulated here assume LTE, where opacities are calculated using a gas temperature and photon interaction with a line is purely absorbing and thermally redistributive. These approximations are the same ones that are made in traditional expansion-opacity kilonova simulations performed by other authors, e.g. Kasen et al. 2017; Tanaka et al. 2018. This option corresponds to the choice of  $\epsilon = 1$  in equations (7) and (8), in conjunction with equation (11), of Kasen, Thomas & Nugent (2006).

To model the radiative transfer, we employ the Monte Carlo code SUPERNU (Wollaeger & van Rossum 2014), with some improvements to the accuracy of the discrete diffusion optimization (Wollaeger et al., in preparation). The span of wavelength simulated is 1000 to 128 000 Å with 1024 logarithmically spaced groups, unless otherwise noted. Consequently, the corresponding group spacings of  $\Delta \lambda_g / \lambda_g = 0.0047$  permit particles emitted anywhere to traverse multiple groups via redshift before escaping the ejecta. For the purpose of this study, the expansion-opacity formalism was also implemented in SUPERNU. This capability allows for self-consistent comparisons to be performed between line-binned and expansionopacity simulations, i.e. the same fundamental atomic physics data are used in both cases, thereby eliminating any uncertainty that might occur when comparing with works from other groups. The radiation-transport approximations associated with the expansionopacity implementation are the same as those described at the end of the previous paragraph, i.e. we make the assumption of complete thermal redistribution ( $\epsilon = 1$ ) after a photon is absorbed in a line. Thus, the only difference between expansion-opacity and

line-binned simulations is whether one uses  $1 - \exp(-\tau_i)$  or  $\tau_i$  in equation (8) of Kasen et al. (2006).

All of the opacity implementations examined here are summarized in Table 3, which includes definitions of the symbols that appear in the subsequent figure legends. Unless otherwise noted in those legends, the chosen element is Nd, the atomic data are taken from the SR model, and the oscillator strength cut-off value is  $f_c = 10^{-6}$ , i.e. only oscillator strengths that satisfy  $f_i > f_c$  are included in the model. Variations on these default choices are explained in the table. Note that we use the term 'line-binned' to refer to bound-bound opacities that are generated using both the fully tabulated approach described in Section 3.4, and an inline approach, internal to the SUPERNU code, that explicitly solves the Saha-Boltzmann equation to obtain atomic level populations and construct the line opacities. In the tabular case, SUPERNU interpolates on temperature and density to obtain the opacity at required conditions, while no such interpolation is required for the inline approach. We differentiate between these two approaches with distinct legend labels: 'Binned-Tab' for tabulated and 'Binned-Inl' for inline. The expansion opacity calculations, labeled 'Expansion-Inl', are always performed internally to SUPERNU, since the expansion time must be used to perform the calculation. For the simulations with element labels, all opacities are fully tabulated and line-binned. The remaining bound-free, free-free, and scattering contributions to the opacity are always calculated from the tables. As explained in Section 3.4, the opacity tables employ a grid with 27 temperature points and 17 density points, ranging from 0.01 to 5 eV and logarithmically spaced from  $10^{-20}$  to  $10^4$  g cm<sup>-3</sup>, respectively.

# 4.2.1 Simplified problem: a comparison of approximate methods with the fully resolved solution

In order to provide numerical justification for our line-binned opacities, we consider a simplified version of the test problem described above, and employ the direct Sobolev treatment of lines, following Kasen et al. (2006). In implementing this more precise line treatment, equations (13) and (15) of Kasen et al. (2006) are used to determine when a photon comes into resonance with a line and, if so, whether an absorption occurs, respectively. In addition, we continue to make the assumption of complete thermal redistribution ( $\epsilon = 1$ ) after a photon is absorbed. No attempt is made to take into account fluorescence or to use branching ratios to determine the fate of a photon after absorption occurs. A description

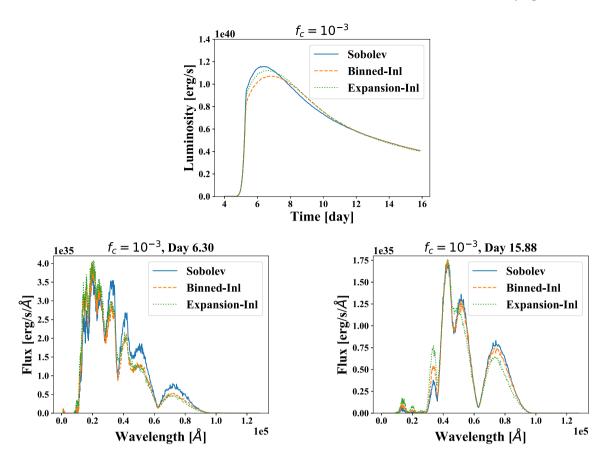


Figure 13. Bolometric luminosity (top panel) and radiative flux at 6.3 d (bottom left-hand panel) and 15.88 d (bottom right-hand panel) for the simplified test problem described in the text. Results are shown for the continuous Monte Carlo Sobolev (solid blue), line-binned (dashed orange), and expansion-opacity (dotted green) methods. For consistency, these methods all employ line contributions to the opacity that were generated within the SUPERNU code, rather than via the pre-tabulated approach. Also, an oscillator strength cut-off value of  $f_c = 10^{-3}$  was used in all cases.

of the implementation of this direct Sobolev method in the SUPERNU code is provided in Appendix B.

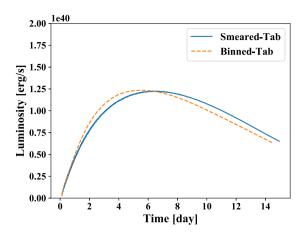
This particular usage of the direct Sobolev treatment is different from its application in Kasen et al. (2006), in which it was employed to test the validity of the thermal redistribution approximation. Here, we assume that complete thermal redistribution is already valid, because that is a primary assumption of nearly everyone who does detailed-opacity kilonova simulations, and use the direct Sobolev line treatment to provide an exact solution to that approximate ( $\epsilon = 1$ ) problem. This unconventional usage of the direct line treatment allows us to consider a test case that isolates the particular opacity method of interest, while all other physics choices remain the same. This characteristic is useful to investigate how the two approximate opacity methods perform, compared to the exact line treatment, for the complex range of conditions that are relevant for kilonova light-curve and spectral modelling.

This simplified version of the problem starts at 4 d post-merger, uses SR oscillator strength data for Nd with a cut-off value of  $f_c=10^{-3}$ , and includes only bound-bound opacity. These features make the problem faster to solve on a moderate number of CPUs (~30), without discrete diffusion acceleration. Moreover, solving radiative transfer with only bound-bound contributions further isolates the potential source of discrepancy that can arise from the different opacity methods. We have provided a description of this simplified problem in Appendix C so that other groups can use it as a test case to produce meaningful comparisons between their work and

the present effort. While no test problem is perfect, we reiterate that the simplified one being proposed here has the advantage of exercising the different opacity implementations over a large range of conditions that occur in an actual kilonova simulation.

In Fig. 13, we compare results obtained with the direct Sobolev treatment to line-binned and expansion-opacity simulations. In order to avoid confusion, we note that the Sobolev treatment is not the same as the expansion-opacity approach and, furthermore, is more accurate than the expansion-opacity approach. Light curves are displayed in the top panel and spectra in the Bottom left-hand and right-hand panels of the figure. In the top panel, we see very good agreement between all three light curves, with the maximum differences occurring at the peak. The direct Sobolev ('Sobolev') light-curve displays the greatest peak value, which occurs at 6.3 d. The expansion-opacity ('Expansion-Inl') simulation displays the next highest peak, and the line-binned ('Binned-Inl') curve displays the lowest peak value. The three peak values are within 8 per cent of each other. As expected, the expansion-opacity result is brighter than the line-binned result (see discussion in Section 4.1), but they differ by a maximum of only 5 per cent, which occurs at the peak. While the direct Sobolev treatment is the most accurate of these three methods, it is computationally intractable for more realistic simulations. The expansion and line-binned approaches provide a measure of the uncertainty arising from different opacity implementations.

As far as the spectral examples are concerned, there are differences in the heights of several features. The spectra at the peak



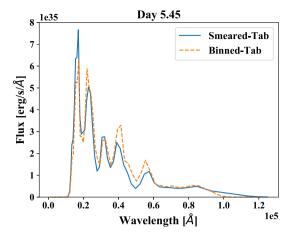


Figure 14. For the Nd ejecta test problem, a comparison of bolometric luminosities (left-hand panel) and spectra (right-hand panel), at 5.45 d post-merger, computed with line-smeared (solid blue curve) and line-binned (dashed orange curve) opacities. These results were computed with 100 groups, consistent with the simulations of Wollaeger et al. (2018).

of the light curve (bottom left-hand panel), occurring at 6.3 d, display an interesting trend: the Sobolev result is the highest curve above 0.3 Å, and the lowest curve below that wavelength. The two more approximate curves agree reasonably well across the entire wavelength range. On the other hand, at the later time of 15.88 d (bottom right-hand panel), our line-binned approach agrees better than the expansion-opacity approach with the more accurate Sobolev spectrum across much of the wavelength range. From a theoretical perspective, the lack of a clear trend of agreement when comparing the two approximate results to the direct Sobolev spectra suggests that neither one of the approximate methods provides an obviously better prediction of kilonova spectra, at least in the context of this simplified problem. The relatively good agreement between the three calculations provides additional support for our linebinned approach. From a practical perspective, these differences in feature heights are relatively small and are not sufficient to explain discrepancies between theory and observation. We also note that there is no redward shift in the peak emission of the line-binned curve when comparing the three methods. (see the next section for a detailed discussion about redwards shifts.) However, the simplified problem analysed here offers only a partial investigation of the relevant parameter space and so we consider more physically complete models in the next section.

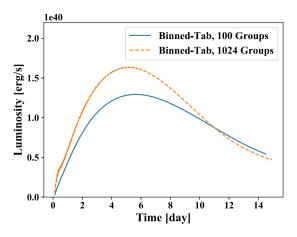
#### 4.2.2 Full problem: sensitivity studies

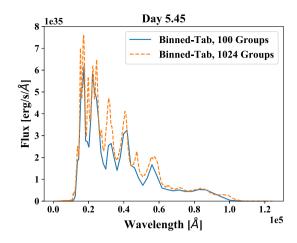
For the full problem, the time-span is extended, ranging from  $10^4$  s to  $20\,\mathrm{d}$  in the comoving frame ( $\sim 14$  observer days), with 400 logarithmically spaced time-steps. All opacity contributions are included as well. We vary the parameters of this problem to examine how light curves and spectra are affected by changes to the opacity data and opacity discretization method. The variations include: group averaging methods (line-smeared versus line-binned versus expansion-opacity), number of photon energy groups, tabulated versus inline-generated opacities, oscillator strength cut-off value, atomic physics model (SR versus FR variants), and choice of element. As mentioned in the previous section, use of the direct Sobolev treatment is impractical in simulations of the full problem and therefore is not considered in the present analysis.

Fig. 14 displays a comparison of the bolometric luminosity and spectra, at 5.45 d post-merger, calculated with line-binned and line-smeared opacities, both computed with the tabular approach. These

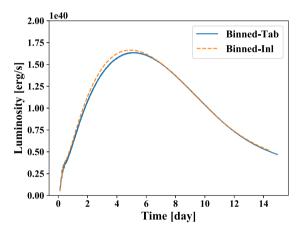
results were calculated with 100 energy groups, which is the same resolution used in our detailed study of kilonovae light curves and spectra (Wollaeger et al. 2018). The good agreement between the two calculations indicates that the line-smeared approach used in that detailed study produces similar results to the currently proposed line-binned approach at this group resolution.

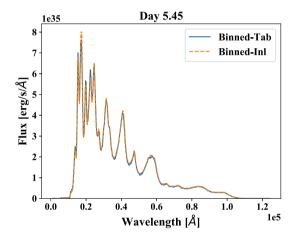
In Fig. 15, we next present a group resolution study by displaying line-binned results computed with 100 and 1024 groups. Once again, bolometric luminosities are presented in the left-hand panel and spectra, at 5.45 d post-merger, are presented in the right-hand panel. In this case, we see a difference of about 25 percent in the peak luminosity indicating that the higher number of groups is required to obtain better convergence. Significant differences are also observed in the spectra displayed in the right-hand panel of this figure, providing further evidence that 100 groups is not generally sufficient to produce converged spectra. This lower group resolution is a deficiency of Wollaeger et al. 2018, but does not significantly impact the conclusions of that work. First, the primary goal of that effort was to establish variations in the kilonova signal with respect to changes in morphology, composition, and r-process heating. The group resolution does not affect those trends. Additionally, the authors showed that 100 groups is virtually converged for the model with mixed dynamical ejecta composition (see fig. 7 in Wollaeger et al. 2018). That particular model was used in their section on detection prospects, and all dependent works. The 1024 groups represent the standard resolution chosen for the test-problem simulations considered in this work and have been found to produce converged results. For completeness, we note that additional calculations with 4096 groups were carried out (not shown) and the resulting light curves and spectra agreed well with those produced with 1024 groups. For completeness, we note that the behaviour of a smaller number of groups yielding a lower luminosity has been encountered and discussed in previous work. The increase in luminosity with group resolution arises from the increase in 'porosity' of the opacity, i.e. from an increase in the spacing between the line features. In Wollaeger et al. (2018), we added a group resolution study that showed convergence at O(100– 1000) groups. For this work, we tested up to an even higher 4096 groups (not shown), observing no significant change at 1024-group resolution and above. On the other hand, 100 groups is somewhat under-resolved, resulting in a lower luminosity, as demonstrated in Fig. 15.





**Figure 15.** For the Nd ejecta test problem, a comparison of bolometric luminosities (left-hand panel) and spectra (right-hand panel), at 5.45 d post-merger, computed with line-binned opacities. Results are presented for 100 (solid blue curve) and 1024 (dashed orange curve) groups.





**Figure 16.** Comparison of tabulated (solid blue curve) and inline (dashed orange curve), line-binned opacity implementations. Luminosities are displayed in the left-hand panel and spectra are displayed in the right-hand panel. The good agreement between these two implementations indicates that our method for processing the tabular opacity data does not introduce any significant error in the simulations.

We have also compared the fully tabulated, line-binned approach to the corresponding inline multigroup implementation, which solves the Saha–Boltzmann equations using the actual ejecta temperatures and densities. As mentioned previously, in the fully tabulated approaches (both smeared and binned), the opacity is instead obtained within SUPERNU by interpolation on a pre-computed density–temperature table, which can introduce some inaccuracy. Fig. 16 displays the bolometric luminosity and spectra for tabulated versus inline, line-binned calculations. The good agreement between these two sets of calculations indicates that potential errors arising from interpolation of the tabular bound–bound opacities are insignificant, and, furthermore, that the method for distributing the tabular, line–binned opacities across group boundaries (see Section 2.1) is adequate.

We next investigated the sensitivity of the emission to the oscillator strength cut-off value,  $f_c$ . Figs 17 and 18 display plots of light curves and spectra, respectively, for different oscillator strength cut-offs. Each panel contains two curves that were generated with the inline, line-binned, and expansion-opacity methods. Results are shown for cut-off values of  $f_c \in \{10^{-1}, 10^{-3}, 10^{-4}, 10^{-6}\}$ . These four cut-offs correspond to including a total of 2814, 1225 925, 6447 337, and 22710 094 lines, respectively. These results indicate that the opacity changes significantly when lowering  $f_c$  from  $10^{-1}$ 

to  $10^{-3}$ , but the effect of including additional lines appears to level off at  $10^{-4}$ , which is consistent with the behaviour exhibited by the opacity curves in the earlier Fig. 11. We also note that the same convergence behaviour is exhibited for both the line-binned and expansion-opacity methods, although the light curves and spectra display some quantitative differences, even for the most inclusive atomic model generated with  $f_c=10^{-6}$ . For example, there is about a 30 per cent difference in the peak luminosity when comparing expansion-opacity and line-binned light curves for this full problem, versus only 5 per cent mentioned in the previous section for the simplified problem. However, we again note that these disparities are not sufficient to explain discrepancies between theory and observation.

In Fig. 19, we compare light curves using either SR or FR atomic data, and either the line-binned or expansion-opacity method. The bolometric luminosities (left-hand panel) agree reasonably well between opacity discretization methods, but the spectra agree better between the two types of atomic data. Fig. 20 displays light curves and spectra for the FR-SCR and FR-SCNR variants of the FR model, which have less fidelity, i.e. less configuration interaction, than the default FR model. Unlike the SR versus FR comparison in Fig. 19, the bolometric luminosities do not group together according to the opacity discretization method, near peak luminosity. This

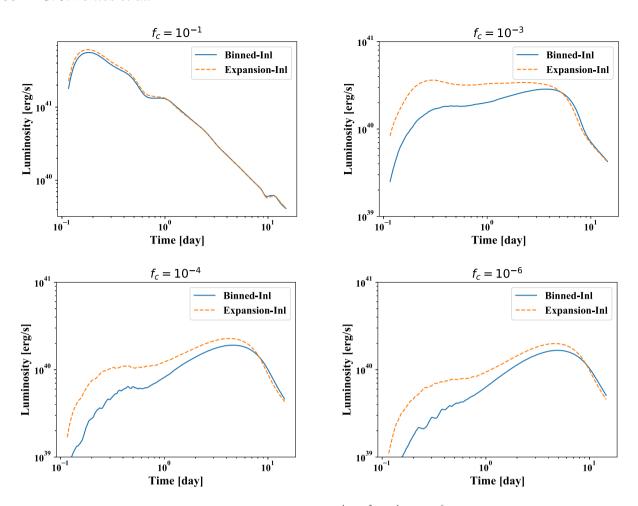


Figure 17. Bolometric luminosity for oscillator strength cut-off values of  $f_c = 10^{-1}$ ,  $10^{-3}$ ,  $10^{-4}$ , and  $10^{-6}$ . Results are presented for line-binned (solid blue curve) and expansion (dashed orange curve) inline opacities. There is a relatively strong dependence on  $f_c$  down to a value of  $10^{-4}$ , below which the light curves do not change too much.

behaviour indicates a stronger sensitivity to the amount of finestructure detail that is included in the atomic physics models, relative to a sensitivity to the discretization method. Additionally, the FR-SCNR light curves and spectra are more closely in agreement with those of the FR and SR models, which is consistent with theoretical expectations (see Section 2.3 and Table 2).

It is interesting to note that the spectral responses to the above suite of parameter variations do not show significant shifts in the wavelength band at which peak emission occurs. This suggests that the area-preserving opacity technique, and these other numerical resolution choices employed in our earlier work (Wollaeger et al. 2018), do not appear to explain why the spectra presented therein is shifted toward red wavelengths, as compared to results produced by other authors who use the expansionopacity treatment (e.g. Kasen et al. 2017; Tanaka et al. 2018). Clearly, the parameter study presented here is not exhaustive and further methodical, sensitivity studies of other key properties will be needed (e.g. ejecta characteristics such as density, elemental composition and velocity distributions, as well as details in the atomic data employed by each group). As discussed in Section 4.2.1, simplified test problems provide a valuable path to isolating the impact of various assumptions employed by the full kilonova modelling codes, and future work should attempt to define such tests.

It is also worth noting that there is a common assumption among all groups that the opacity contribution from the mixing of heavy elements present in the ejecta can be reasonably represented by a surrogate, single-element lanthanide (Nd is commonly assumed). By considering different elements here, we demonstrate that the choice of element can have a significant impact on the light curves and spectra as well. Fig. 21 displays bolometric luminosity for linebinned opacity tables of Nd along with Sm, Ce, and U. Sm and Ce differ from Nd in atomic number by only +2 and -2, respectively, but produce significantly brighter light curves, by about a factor of two at 5.45 d post-merger. Moreover, Ce has a bright early transient for both SR and FR opacity, presumably due to its relatively low opacity that results from a smaller number of 4f electrons. Nd and U are homologues, i.e. they appear in the same column of the periodic table due to the similar electron structure (with the principal quantum number of their valence shells differing by one), but U displays a peak luminosity that is about five times brighter and appears earlier by about 3 d. The corresponding spectra for these four elements are presented in Fig. 22. The peak emission varies in value by about a factor of 2.5 for the SR calculations and a factor of 4.3 for the FR calculations, and its wavelength location ranges from  $\sim$ 10 000–30 000 Å. This type of sensitivity indicates that using a more complete set of lanthanide elements to simulate kilonovae, rather than a single representative element, may be appropriate.

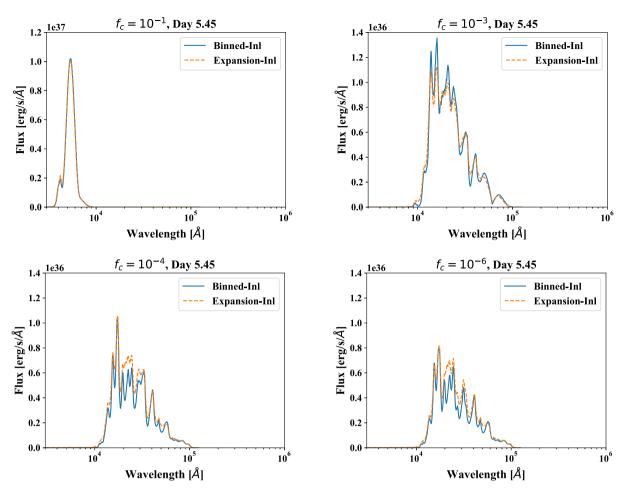


Figure 18. Spectra at day 5.45 for oscillator strength cut-off values of  $f_c = 10^{-1}$ ,  $10^{-3}$ ,  $10^{-4}$ , and  $10^{-6}$ . Results are presented for line-binned (solid blue curve) and expansion (dashed orange curve) inline opacities. There is a strong dependence on  $f_c$  down to a value of  $10^{-4}$ , with significant differences still occurring in certain line features for the most complete model with  $f_c = 10^{-6}$ .

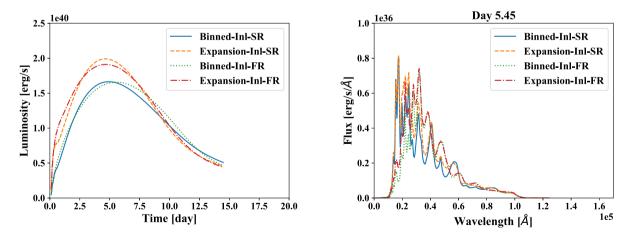


Figure 19. Comparison of line-binned and expansion-opacity results using semirelativistic (SR) and fully relativistic (FR) atomic data to calculate opacities. Luminosities are displayed in the left-hand panel and spectra are displayed in the right-hand panel.

Finally, we provide some basic timing information to demonstrate the efficiency of the tabular, line-binned opacity method compared to the corresponding inline approach, when simulating kilonovae emission. For the case of  $f_c = 10^{-6}$ , SR atomic data and pure

Nd, the total time, in seconds, spent in the opacity calculations per MPI rank is: 0.1162 for tabular line-binned ('Binned-Tab'), 167.001 for inline line-binned ('Binned-Inl') and 284.538 for expansion-opacity ('Expansion-Inl'). (For reference, in our simulations the

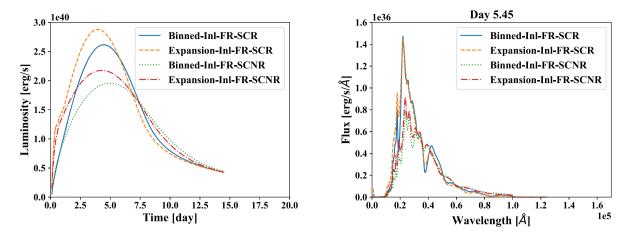


Figure 20. Comparison of line-binned and expansion opacity results using the different configuration-interaction variations of FR atomic data (SCR and SCNR) to calculate opacities. Luminosities are displayed in the left-hand panel and spectra are displayed in the right-hand panel.

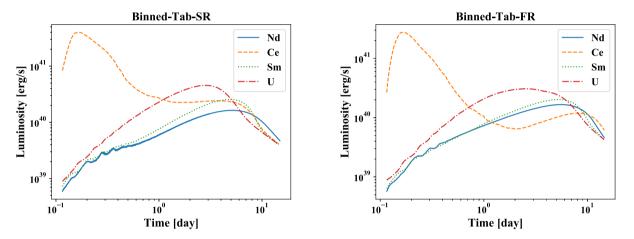


Figure 21. Bolometric luminosity for different elements: Nd (blue solid), Ce (orange dashed), Sm (green dotted), and U (red dot-dashed) using tabulated semirelativistic (left-hand panel) or fully relativistic (right-hand panel) binned opacities.

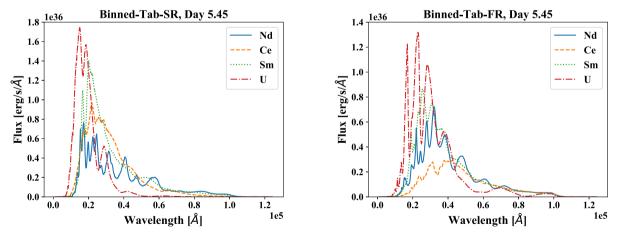
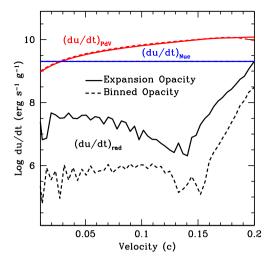


Figure 22. Spectra at 5.45 d for different elements: Nd (blue solid), Ce (orange dashed), Sm (green dotted), and U (red dot-dashed) using tabulated semirelativistic (left-hand panel) or fully relativistic (right-hand panel) binned opacities.

total mean time spent in the radiation transport logic, for  $\sim\!10^6$  particles per MPI rank, is 1060.51 s.) Thus, the tabular approach is approximately three orders of magnitude faster than the inline approach due to the amount of time required to obtain the atomic level populations and to construct the line-binned opacities for every

required temperature density. Also of note is the fact that the inline expansion-opacity calculation requires a factor of 1.7 more time than the corresponding line-binned calculation. The additional cost in the expansion-opacity method is due to the evaluation of the exponentials for each line.



**Figure 23.** The time rate of energy change per gram associated with different mechanisms: PdV work is represented by red curves, nuclear decay by blue curves, and radiation flow by black curves. The solid curves indicate results obtained from the expansion-opacity method and dashed curves from the line-binned opacities. Results are presented at 2 d post-merger for the full problem considered in this work.

#### 4.2.3 Full problem: theoretical analysis

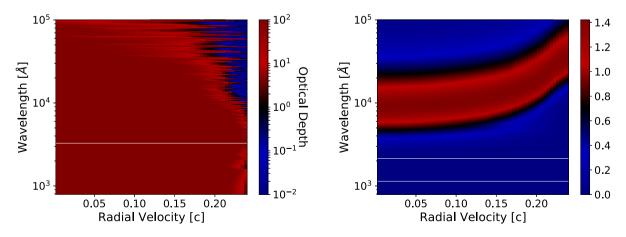
In order to understand why the line-binned and expansion-opacity results are fairly similar, despite the fact that their opacities can differ by more than three orders of magnitude at high optical depths, we first review the basic physics of light curves. Based on the conventional wisdom associated with Arnett's rule (Arnett 1979, 1982), a light curve depends sensitively on the optical depth into the interior of the star. However, that study focused on light curves of thermonuclear supernovae where the radioactive isotope is in the interior of the ejecta and the energy released in the decay must diffuse outward in order to power the light curve. In a more recent work (Arnett, Fryer & Matheson 2017), Arnett and co-workers argued that if the power source is more distributed, then the Arnett rule does not apply. This situation is exactly the case for kilonovae, where radioactive isotopes are evenly distributed in the ejecta.

With the Arnett-rule intuition being inappropriate for kilonovae, we must develop a kilonova physics model. First, we consider the energy sources and sinks in the outward moving ejecta. Fig. 23 displays the rate of energy change per unit mass of the expanding ejecta at 2 d from three different effects: PdV work (expansion) which cools the systems, nuclear decay energy from the decay of radioactive isotopes and radiative transport. Note that, in the inner ejecta, the radiative transport has a minor effect (less than a few per cent) on the energetics, even with the higher rate resulting from expansion opacities.

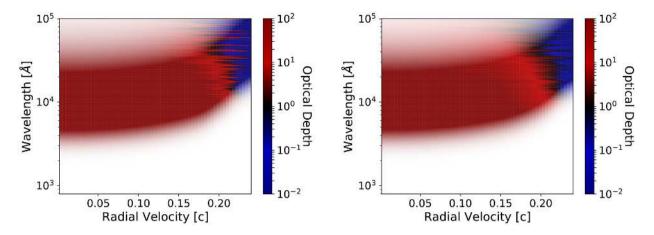
Next, we consider the flow of radiation energy through the kilonova by first plotting the optical depth, taking into account redshift through the comoving frame. This quantity is displayed as a contour plot in the left-hand panel of Fig. 24 for the full problem at 2 d using line-binned opacities. This type of contour plot provides some insight into where photons of a certain wavelength are able to escape the ejecta. Specifically, the black region in this plot indicates were the optical depth to the surface is approximately one. In the right-hand panel of Fig. 24, we also provide the corresponding contour plot of the Planck function as a function of wavelength and radial velocity, which indicates where the thermal photons are being created.

By combining these two contour plots, we can gain an understanding of where photons are both being created *and* escaping the ejecta. The combination is performed by using the Planck function as a transparency overlay on to the optical depth. The results are presented in Fig. 25, in which the left-hand panel represents the expansion-opacity results and the right-hand panel represents the line-binned-opacity results. These optical depth contours display significant similarities, despite large differences that can occur in the opacities generated with the two different methods. As a consequence, the explosion evolution and the temperature in this inner region (see Fig. 26) is very similar in both opacity models.

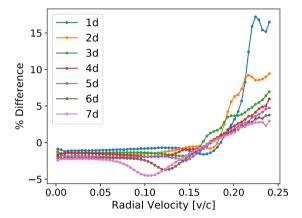
On the other hand, the temperature evolution in the outer region, as well as the emission, is affected by the opacity at the photosphere. There, the differences in line-binned and expansion opacities are smaller, and, not surprisingly, our simulated light curves and spectra are not so different. As an illustration of this concept, we present in Fig. 27 a plot of optical depth versus radial velocity for a representative wavelength,  $2.01 \times 10^4$  Å, in the *K* band. As a guide to the eye, a horizontal line has been plotted at  $\tau = 10$ . We note that above  $\tau = 10$  the line-binned and expansion-opacity curves diverge significantly, but below  $\tau = 10$ , where the photosphere occurs, the curves are quite similar. While this example focuses on the *K* band,



**Figure 24.** Left-hand panel: A contour plot of the optical depth, taking into account redshift through the comoving frame, as a function of wavelength and radial velocity using line-binned opacities. Right-hand panel: A contour plot of the corresponding Planck function as a function of wavelength and radial velocity. Results in both panels are presented for the full problem considered in this work at 2 d post-merger.



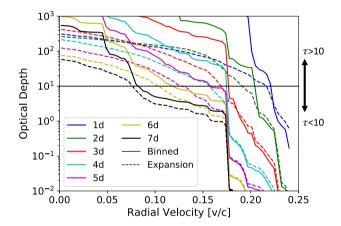
**Figure 25.** Contour plots of the optical depth as a function of wavelength and radial velocity using expansion opacities (left-hand panel) and line-binned opacities (right-hand panel). These optical depths were obtained by overlaying the Planck function and optical-depth contours in Fig. 24, generated with the appropriate opacity models. Again, these results are presented for the full problem considered in this work at 2 d post-merger.



**Figure 26.** Temperature difference (expressed as a relative percentage) versus radial velocity at 2 d for the full problem. The relative difference is calculated according to the formula  $(T_{\rm exp} - T_{\rm bin})/T_{\rm exp}$ , where  $T_{\rm exp}$  is the temperature obtained from the expansion-opacity model and  $T_{\rm bin}$  is the temperature from the line-binned model. Each curve represents the temperature difference at a different number of days, as displayed in the legend, ranging from 1–7 d.

the same trends were found to exist for the H and J bands, all of which are of potential interest for kilonova modelling.

In an attempt to better understand the similarities and differences in emission from a frequency-dependent perspective, we investigated the behaviour of multigroup opacities at different optical depths. For example, in Fig. 28, we provide a comparison of multigroup Nd opacities, calculated with the expansion and linebinned methods, at low and high optical depths. Since optical depth varies as a function of wavelength, it is not possible to choose a single optical depth that is valid for a particular temperature and density. Instead, for the low optical-depth comparison in Fig. 27 we chose the conditions that correspond to an optical depth of one for the 5-d curve displayed in Fig. 27. Similarly, the conditions used in the right-hand panel correspond to those occurring at an optical depth of ten associated with the same 5-d curve in Fig. 27. The agreement between the two methods is slightly better at low optical depth, which supports our detailed analysis, but the improved agreement is not dramatic. This behaviour is consistent with our investigations and understanding of this problem, i.e. it

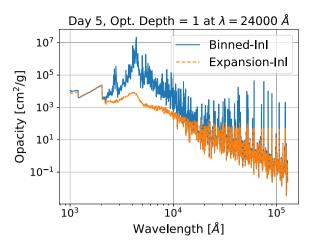


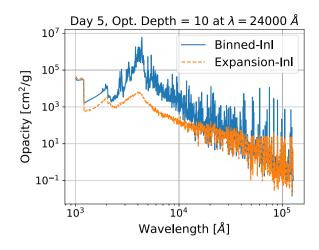
**Figure 27.** Optical depth versus radial velocity for a representative wavelength,  $2.01 \times 10^4$  Å, in the K band for the full problem. Each curve represents the optical depth at a different number of days, as displayed in the legend, ranging from 1–7 d. The solid curves indicate results obtained from the line-binned opacities and dashed curves from the expansion-opacity method. A horizontal line is plotted at  $\tau=10$  in order to guide the eye. Results are presented for the full problem considered in this work.

is difficult to reduce the relevant physics to single temperaturedensity comparisons that clearly show patterns of the desired nature. Instead, the kilonova emission simulations appear to indicate the importance and interplay of opacities over a range of wavelengths, temperatures and densities.

#### 5 SUMMARY

We have proposed an area-preserving (line-binned), tabular approach for calculating opacities relevant to the modelling of kilonova light curves and spectra. The line-binned approach is superior to the previous line-smeared approach because the former is guaranteed to preserve the integral of the opacity. The area-preserving approach differs from the more widely employed expansion-opacity method in how the line contribution to the opacity is calculated. However, our alternative approach was demonstrated to produce similar emission results compared to both the expansion-opacity method and to the more accurate, direct implementation of Sobolev line transfer for a simplified problem (see Fig. 13). In fact, light





**Figure 28.** Comparison of multigroup Nd opacities calculated with the line-binned and expansion methods at low (left-hand panel) and high (right-hand panel) optical depths (see the text for details). The multigroup opacities were calculated with the standard 1024 wavelength groups described in the text.

curves produced by the approximate line-binned and expansionopacity methods were found to be lower than the direct Sobolev treatment by a maximum of 8 per cent and 3 per cent, respectively. As expected, our line-binned light curve is less than or equal to the expansion-opacity light curve, with a maximum difference of 5 per cent occurring at peak for the simplified test case. The spectral features show greater variability in the level of agreement between the three opacity implementations, with our line-binned approach agreeing well with the direct line treatment at late times. Additional theoretical analysis was provided to explain the good agreement between the emission produced with the line-binned and expansionopacity methods. It was found that, while the two methods produce significantly different opacities at high optical depth, the time rate of energy change in those regions of the dynamical ejecta is dominated by PdV work (expansion) and nuclear decay, not radiation transport. On the other hand, at low optical depth, where radiation transport becomes important, the two methods generate similar opacities (see Figs 23-28).

We considered a more complete ('full') problem to study the errors associated with choices for the oscillator strength cut-off value,  $f_c$ , which determines the number of lines that are used in a particular simulation (see Figs 17 and 18), as well as sensitivities to the use of variant FR and SR atomic models (see Figs 19 and 20). We found that light curves are typically converged at a value of  $f_c = 10^{-4}$ . Increasing the cut-off value by one order of magnitude,  $f_c = 10^{-3}$ , results in a 50 per cent error in the peak of the curve and a factor of three or so in the early-time behaviour. For the converged light curves produced with this full problem, a larger difference of about 30 per cent was obtained in the peak luminosity when comparing expansion-opacity and line-binned simulations. Obtaining convergence for all major spectral features typically required a lower tolerance of  $f_c = 10^{-6}$ . As for SR versus FR models, the light curves were found to be relatively insensitive to this option, but certain spectral features displayed visible differences, and the FR calculation produced emission that is shifted toward slightly higher (redder) wavelengths.

The choice of element comprising the ejecta was also investigated and found to be a significant factor in predictions of the brightness and shape of the bolometric luminosity light curve, as well as the corresponding spectra, for single-element simulations. (see Figs 21 and 22). The peak luminosity varied by factors of 2–5 when considering lanthanides and an actinide with several *f*-shell valence electrons in the ground state of their neutral ion

stages. The magnitude of the peak spectral emission differed by similar amounts, i.e. factors of 2.5–4.3. A study of the relative importance of various elements in multi-element light-curve and spectral simulations is reserved for future work.

From a practical, computational perspective, the tabular linebinned approach presented here is more efficient than the traditional expansion-opacity method because the opacities are independent of the particular type of expansion and can therefore be precomputed on a grid of temperatures and densities. It was found that the tabular approach requires three orders of magnitude less time than the expansion-opacity method to generate the relevant opacities for typical kilonova simulations. Tabular opacities for all 14 lanthanide elements, as well as for a representative actinide element, uranium, have been generated using the line-binned approach, and we plan to make these data publicly available via a new data base developed in collaboration with NIST.

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# APPENDIX A: LIST OF CONFIGURATIONS USED IN THIS WORK

Table A1 in this appendix contains a list of configurations that were used in calculating the energy levels and oscillator strengths for the 14 lanthanide elements and sole actinide (uranium) element considered in this work. Based on the relevant conditions of kilonova ejecta, only the first four ion stages were calculated for each element. The list of configurations was chosen to obtain a good representation of the lowest lying energy levels that are necessary to: (i) obtain converged atomic level populations via Saha–Boltzmann statistics and (ii) calculate converged opacities with respect to the number of bound–bound transitions in the photon energy range of interest. The choice of configurations was based on the energy-level entries in the NIST data base (Kramida et al. 2018) as well as ab initio atomic structure calculations.

**Table A1.** A list of configurations, number of fine-structure levels, and number of (electric dipole) absorption lines for the various ion stages considered in this work. A completely filled Xe core is assumed for the 14 lanthanide elements, while a filled Rn core is assumed for uranium. For the first two ion stages of ytterbium, Yb I and II, the orbital angular momentum symbol  $\ell$  represents the range of values  $\ell = s, p, d, f$ , and g.

on stage	Configurations	# of levels	# of lines
Laı	$5d^16s^2$ , $5d^26s^1$ , $5d^3$ , $5d^16s^16p^1$ , $4f^16s^2$ , $6s^26p^1$ ,	366	16 163
	$5d^26p^1$ , $4f^15d^16s^1$ , $4f^16s^16p^1$ , $4f^15d^2$ , $4f^15d^16p^1$	_	_
La II	$5d^2$ , $5d^16s^1$ , $4f^16s^1$ , $6s^2$ , $4f^15d^1$ ,	79	768
	$5d^{1}6p^{1}$ , $6s^{1}6p^{1}$ , $4f^{1}6p^{1}$ , $4f^{2}$	_	_
a III	$5d^1, 4f^1, 6s^1, 6p^1$	7	8
La IV	$5p^6, 5p^54f^1, 5p^56s^1, 5p^55d^1, 5p^56p^1$	39	211
Ceı	$4f^26s^2$ , $4f^15d^16s^2$ , $4f^15d^26s^1$ , $4f^15d^16s^16p^1$ , $4f^25d^16s^1$ ,	2546	626 112
	$4f^26s^16p^1$ , $4f^15d^3$ , $4f^16s^26p^1$ , $4f^15d^26p^1$ , $4\hat{f}^25d^2$ , $4f^25d^16p^1$	_	_
Ce II	$4f^26s^1$ , $4f^25d^1$ , $4f^26p^1$ , $4f^15d^2$ , $4f^16s^2$ ,	519	28 887
	$4f^{1}5d^{1}6s^{1}$ , $4f^{1}5d^{1}6p^{1}$ , $4f^{1}6s^{1}6p^{1}$ , $5d^{3}$ , $4f^{3}$	_	_
Ce III	$4f^2$ , $4f^16s^1$ , $4f^15d^1$ , $4f^16p^1$ , $5d^2$ , $5d^16s^1$	62	452
Ce IV	$4f^1$ , $6s^1$ , $5d^1$ , $6p^1$	7	8
r I	$4f^{3}6s^{2}$ , $4f^{2}5d^{1}6s^{2}$ , $4f^{2}5d^{2}6s^{1}$ , $4f^{3}5d^{1}6s^{1}$ ,	7362	4750 354
	$4f^{3}5d^{2}$ , $4f^{2}5d^{1}6s^{1}6p^{1}$ , $4f^{3}5d^{1}6p^{1}$ , $4f^{3}6s^{1}6p^{1}$	_	_
r II	$4f^36s^1, 4f^35d^1, 4f^36p^1, 4f^25d^2,$	2145	412 027
	$4f^{2}5d^{1}6s^{1}$ , $4f^{2}5d^{1}6p^{1}$ , $4f^{2}6s^{1}6p^{1}$	_	_
r III	$4f^3$ , $4f^26s^1$ , $4f^25d^1$ ,	361	12 394
	$4f^26p^1$ , $4f^15d^2$ , $4f^15d^16s^1$	_	_
r IV	$4f^2$ , $4f^16s^1$ , $4f^15d^1$ , $4f^16p^1$	49	296

Table A1 - continued

Ion stage	Configurations	# of levels	# of lines
NdI	$4f^46s^2$ , $4f^35d^16s^2$ , $4f^45d^16s^1$ , $4f^45d^2$ , $4f^35d^16s^16p^1$ , $4f^45d^16p^1$ , $4f^46s^16p^1$	18 104	25224 451
NdII	$4f^46s^1$ , $4f^45d^1$ , $4f^46p^1$ , $4f^35d^2$ , $4f^25d^16s^1$ , $4f^35d^16p^1$ , $4f^36s^16p^1$	6888	3958 977
Nd III	$4f^4$ , $4f^36s^1$ , $4f^35d^1$ , $4f^36p^1$ , $4f^25d^2$ , $4f^25d^2$ , $4f^25d^2$ 6s <sup>1</sup> , $4f^45d^2$ 6s <sup>1</sup>	1650 -	233 822
NdIV	$4f^3$ , $4f^2$ 6 $s^1$ , $4f^2$ 5 $d^1$ , $4f^2$ 6 $p^1$	241	5784
PmI	$4f^{5}6s^{2}, 4f^{4}5d^{1}6s^{2}, 4f^{5}5d^{1}6s^{1}, 4f^{5}5d^{2},$ $4f^{4}5d^{1}6s^{1}6p^{1}, 4f^{5}5d^{1}6p^{1}, 4f^{5}6s^{1}6p^{1}$	37 504	102137 397
Pm II	$4f^56s^1, 4f^55d^1, 4f^56p^1, 4f^45d^2,$ $4f^45d^16s^1, 4f^45d^16p^1, 4f^46s^16p^1$	16 595	21306 571
Pm III	$4f^{5}$ , $4f^{4}6s^{1}$ , $4f^{4}5d^{1}$ , $4f^{4}6p^{1}$ , $4f^{5}5d^{2}$ , $4f^{2}5d^{1}6s^{1}$ , $4f^{2}5d^{2}6s^{1}$	5274	2262 145
Pm IV	$4f^4$ , $4f^36s^1$ , $4f^35d^1$ , $4f^36p^1$	817	57 765
SmI	$4f^{6}6s^{2}, 4f^{6}5d^{1}6s^{2}, 4f^{6}5d^{1}6s^{1}, 4f^{6}5d^{2},$ $4f^{5}5d^{1}6s^{1}6p^{1}, 4f^{6}5d^{1}6p^{1}, 4f^{6}6s^{1}6p^{1}$	60 806	249301 825
Sm II	$4f^66s^1$ , $4f^65d^1$ , $4f^66p^1$ , $4f^55d^2$ , $4f^55d^16s^1$ , $4f^55d^16p^1$ , $4f^56s^16p^1$	29 970 –	67743 385
Sm III	$4f^{6}$ , $4f^{5}6s^{1}$ , $4f^{5}5d^{1}$ , $4f^{5}6p^{1}$ , $4f^{4}5d^{2}$ , $4f^{4}5d^{2}$ , $4f^{4}5d^{2}$ , $4f^{4}5d^{2}$ , $4f^{4}5d^{2}$ , $4f^{4}5d^{2}$	13 170	13318 114
Sm IV	$4f^5$ , $4f^46s^1$ , $4f^45d^1$ , $4f^46p^1$	1994	320 633
EuI	$4f^{7}6s^{2}, 4f^{6}5d^{1}6s^{2}, 4f^{7}5d^{1}6s^{1}, 4f^{7}5d^{2}, 4f^{6}5d^{2}6s^{1}, 4f^{6}5d^{1}6s^{1}6p^{1}, 4f^{7}5d^{1}6p^{1}, 4f^{7}6s^{1}6p^{1}, 4f^{7}6s^{1}6d^{1}, 4f^{7}6s^{1}7s^{1}, 4f^{7}6s^{1}7p^{1}$	110 887	902515 995
Eu II	$4f^{7}6s^{1}, 4f^{7}5d^{1}, 4f^{7}6p^{1}, 4f^{6}5d^{2}, 4f^{6}5d^{1}6s^{1},$ $4f^{6}5d^{1}6p^{1}, 4f^{6}6s^{1}6p^{1}, 4f^{7}6d^{1}, 4f^{7}7s^{1}$	46 213	152795 763
Eu III	$4f^{7}$ , $4f^{6}6s^{1}$ , $4f^{6}5d^{1}$ , $4f^{6}5d^{1}$ , $4f^{6}6p^{1}$ , $4f^{5}5d^{2}$ , $4f^{5}5d^{1}6s^{1}$	17 058	13844 004
Eu IV	$4f^6, 4f^56s^1, 4f^55d^1, 4f^56p^1$	3737	1045 697
GdI	$4f^86s^2$ , $4f^75d^16s^2$ , $4f^75d^26s^1$ , $4f^75d^26p^1$ , $4f^76s^26p^1$ , $4f^76s^16p^2$ , $4f^75d^3$ , $4f^85d^16s^1$ , $4f^85d^2$ , $4f^75d^16s^16p^1$ , $4f^85d^16p^1$ , $4f^86s^16p^1$	228 048	3583 266 975
GdII	$4f^86s^1, 4f^85d^1, 4f^86p^1, 4f^75d^2, 4f^76s^2,$ $4f^75d^16s^1, 4f^75d^16p^1, 4f^76s^16p^1$	46 733	160595 610
Gd III	$4f^{8}$ , $4f^{7}6s^{1}$ , $4f^{7}5d^{1}$ , $4f^{7}6p^{1}$ , $4f^{6}5d^{2}$ , $4f^{6}5d^{1}6s^{1}$ , $4f^{5}5d^{2}6s^{1}$	39 324	113346746
GdIV	$4f^{3}, 4f^{6}6s^{1}, 4f^{6}5d^{1}, 4f^{6}6p^{1}$	5323	2073 701
Tbı	$4f^{9}6s^{2}$ , $4f^{8}5d^{1}6s^{2}$ , $4f^{8}5d^{2}6s^{1}$ , $4f^{8}6s^{2}6p^{1}$ , $4f^{9}5d^{1}6s^{1}$ , $4f^{9}5d^{2}$ , $4f^{8}5d^{1}6s^{1}6p^{1}$ , $4f^{9}5d^{1}6p^{1}$ , $4f^{9}6s^{1}6p^{1}$	84 779 -	530258 427
TbII	$4f^{9}6s^{1}, 4f^{9}5d^{1}, 4f^{9}6p^{1}, 4f^{8}6s^{2}, 4f^{8}5d^{2},$ $4f^{8}5d^{1}6s^{1}, 4f^{8}5d^{1}6p^{1}, 4f^{8}6s^{1}6p^{1}$	40 502	120186 078
Tb III	$4f^9$ , $4f^86s^1$ , $4f^85d^1$ , $4f^86p^1$ , $4f^75d^2$ , $4f^75d^16s^1$ , $4f^95d^26s^1$	48 048	166697 126 –
Tbıv	$4f^8$ , $4f^76s^1$ , $4f^75d^1$ , $4f^76p^1$	5983	2545 968
Dy I	$4f^{10}6s^2$ , $4f^95d^16s^2$ , $4f^95d^16s^16p^1$ , $4f^{10}5d^16s^1$ , $4f^{10}6s^16p^1$ , $4f^{10}6s^16d^1$ , $4f^{10}6s^17s^1$ , $4f^{10}6s^17p^1$ , $4f^96s^26p^1$ , $4f^95d^26s^1$ , $4f^{10}6p^2$ , $4f^{10}5d^16p^1$	55 116	229181 735 -
Dy II	$4f^{10}6s^{1}, 4f^{10}5d^{1}, 4f^{10}6p^{1}, 4f^{9}5d^{2}, 4f^{9}6s^{2},$ $4f^{9}5d^{1}6s^{1}, 4f^{9}5d^{1}6p^{1}, 4f^{9}6s^{1}6p^{1}$	26 968	55381 943
Dy III	$4f^{10}, 4f^{9}6s^{1}, 4f^{9}5d^{1},$ $4f^{9}6p^{1}, 4f^{8}5d^{2}, 4f^{8}5d^{1}6s^{1}$	20 834	12685 641
Dy IV	$4f^9$ , $4f^86s^1$ , $4f^85d^1$ , $4f^86p^1$	5194	1943 961
Ної	$4f^{11}6s^2$ , $4f^{10}5d^16s^2$ , $4f^{10}5d^16s^16p^1$ , $4f^{11}5d^16s^1$ , $4f^{11}6s^16p^1$ , $4f^{11}6s^16d^1$ , $4f^{11}6s^17s^1$ , $4f^{11}6s^17p^1$ , $4f^{10}6s^26p^1$ , $4f^{10}5d^26s^1$ , $4f^{11}6p^2$ , $4f^{11}5d^16p^1$	26 759 -	57149 392 -
Но п	$4f^{11}6s^{1}, 4f^{11}5d^{1}, 4f^{11}6p^{1}, 4f^{10}6d^{2}, 4f^{10}6s^{2},$ $4f^{10}5d^{1}6s^{1}, 4f^{10}5d^{1}6p^{1}, 4f^{10}6s^{1}6p^{1}$	13 970	15323 035
Ho III Ho IV	$4f^{11}, 4f^{10}6s^1, 4f^{10}5d^1, 4f^{10}6p^1$ $4f^{10}, 4f^{9}6s^1, 4f^{9}5d^1, 4f^{9}6p^1$	1837 3549	259 812 915 339
ErI	$4f^{12}6s^2$ , $4f^{11}5d^16s^2$ , $4f^{11}5d^16s^16p^1$ , $4f^{12}5d^16s^1$ , $4f^{12}6s^16p^1$ , $4f^{12}6s^16d^1$ ,	9904	8223 793
Er II	$4f^{12}6s^{1}7s^{1}, 4f^{12}6s^{1}7p^{1}, 4f^{11}6s^{2}6p^{1}, 4f^{11}5d^{2}6s^{1}, 4f^{12}6p^{2}, 4f^{12}5d^{1}6p^{1}$ $4f^{12}6s^{1}, 4f^{12}5d^{1}, 4f^{12}6p^{1}, 4f^{11}5d^{2}, 4f^{11}6s^{2},$	5333	2432 666
Er III	$4f^{11}5d^{1}6s^{1}, 4f^{11}5d^{1}6p^{1}, 4f^{11}6s^{1}6p^{1}$ $4f^{12}, 4f^{11}6s^{1}, 4f^{11}5d^{1}, 4f^{11}6p^{1}$ $4f^{11}, 4f^{10}6s^{1}, 4f^{10}5d^{1}, 4f^{10}6p^{1}$	- 723	- 42 671 250 812
Er IV	4j, $4j$ $0s$ , $4j$ $3u$ , $4j$ $0p$	1837	259 812

Table A1 - continued

Ion stage	Configurations	# of levels	# of lines
Гті	$4f^{13}6s^2$ , $4f^{12}5d^16s^2$ , $4f^{12}5d^16s^16p^1$ , $4f^{13}5d^16s^1$ , $4f^{13}6s^16p^1$ ,	1684	155 754
	$4f^{13}6s^{1}6d^{1}$ , $4f^{13}6s^{1}7s^{1}$ , $4f^{13}6s^{1}7p^{1}$ , $4f^{12}6s^{2}6p^{1}$ , $4f^{13}6p^{2}$ , $4f^{13}5d^{1}6p^{1}$	_	_
Γm II	$4f^{13}6s^1$ , $4f^{13}5d^1$ , $4f^{13}6p^1$ , $4f^{12}5d^2$ , $4f^{12}6s^2$ ,	1484	205 258
	$4f^{12}5d^{1}6s^{1}, 4f^{12}5d^{1}6p^{1}, 4f^{12}6s^{1}6p^{1}$	_	_
m III	$4f^{13}$ , $4f^{12}6s^1$ , $4f^{12}5d^1$ , $4f^{12}6p^1$	202	3797
m IV	$4f^{12}$ , $4f^{11}6s^1$ , $4f^{11}5d^1$ , $4f^{11}6p^1$	723	42 671
Yb I	$4f^{14}6s^{1}6\ell^{1},4f^{14}6s^{1}7\ell^{1},4f^{14}6s^{1}8\ell^{1},4f^{14}6s^{1}9\ell^{1},4f^{14}6s^{1}10\ell^{1},4f^{14}6s^{1}11\ell^{1},$	1117	111 828
	$4f^{14}5d^{1}6s^{1}, 4f^{14}5d^{1}6p^{1}, 4f^{14}6s^{1}5f^{1}, 4f^{14}6s^{1}5g^{1}, 4f^{14}6p^{2}, 4f^{14}5d^{2}, 4f^{13}5d^{1}6s^{2},$	_	_
	$4f^{13}5d^26s^1$ , $4f^{13}5d^16s^16p^1$ , $4f^{13}6s^26p^1$ , $4f^{13}6s^16p^2$ , $4f^{13}6s^26d^1$ , $4f^{13}5d^26p^1$	_	_
'b II	$4f^{14}6\ell^{1}, 4f^{14}7\ell^{1}, 4f^{14}8\ell^{1}, 4f^{14}5d^{1}, 4f^{14}5f^{1}, 4f^{14}5g^{1},$	292	9673
	$4f^{13}5d^2$ , $4f^{13}6s^2$ , $4f^{13}5d^16s^1$ , $4f^{13}5d^16p^1$ , $4f^{13}6s^16p^1$	_	_
/b III	$4f^{14}$ , $4f^{13}6s^1$ , $4f^{13}5d^1$ , $4f^{13}6p^1$ , $4f^{13}6d^1$ , $4f^{13}6f^1$ ,	171	3192
	$4f^{13}5f^{1}$ , $4f^{13}7s^{1}$ , $4f^{13}7p^{1}$ , $4f^{13}7d^{1}$ , $4f^{13}7f^{1}$	_	_
/b IV	$4f^{13}$ , $4f^{12}6s^1$ , $4f^{12}5d^1$ , $4f^{12}6p^1$	202	3797
Jı	$5f^47s^2$ , $5f^36d^17s^2$ , $5f^46d^17s^1$ ,	16882	20948 831
	$5f^46d^2$ , $5f^36d^17s^17p^1$ , $5f^46d^17p^1$	_	_
Uп	$5f^37s^2$ , $5f^47s^1$ , $5f^46d^1$ , $5f^47p^1$ ,	6929	4016742
	$5f^36d^2$ , $5f^36d^17s^1$ , $5f^36d^17p^1$ , $5f^37s^17p^1$	_	_
JIII	$5f^4$ , $5f^37s^1$ , $5f^36d^1$ , $5f^37p^1$ ,	1650	233 822
	$5f^26d^2$ , $5f^26d^17s^1$ , $5f^16d^27s^1$	_	_
J IV	$5f^3$ , $5f^27s^1$ , $5f^26d^1$ , $5f^27p^1$	241	5,784

# APPENDIX B: SOBOLEV IMPLEMENTATION IN THE SUPERNU CODE

In this appendix, we discuss the implementation of a direct Sobolev treatment in the SuperNu code. To do so, we write down the equations and describe details of the algorithm.

The Sobolev method needs to be made compatible with Implicit Monte Carlo (IMC; Fleck & Cummings 1971). Consequently, it is instructive to revisit the basic equations implemented in SUPERNU, which like the SEDONA code, assumes the homologous approximation (Kasen et al. 2006),

$$\vec{v} = \frac{\vec{r}}{t} \quad , \tag{B1}$$

where  $\vec{v}$  is velocity and t is time. Incorporating equation (B1) into the O(v/c) transport equation gives (see, for instance, Castor 2004)

$$\frac{1}{c}\frac{D\psi}{Dt} + \hat{\Omega} \cdot \nabla \psi + \frac{1}{ct}\lambda \frac{\partial \psi}{\partial \lambda} + \frac{3}{ct}\psi = j - \rho \kappa \psi , \qquad (B2)$$

where  $\hat{\Omega}$  is the direction unit vector,  $\lambda$  is wavelength,  $\psi$  is angular intensity, j is emissivity, and  $\kappa$  is opacity. All quantities, except the spatial coordinate and time, are evaluated in the comoving frame. The corresponding IMC formulation requires an equation for the internal energy (or temperature of the matter). Assuming LTE, after performing a linear temporal expansion in  $T^4$  of the Lagrangian internal energy equation (Fleck & Cummings 1971), the IMC equations in SUPERNU are

$$\frac{1}{c} \frac{D\psi}{Dt} + \hat{\Omega} \cdot \nabla \psi + \frac{1}{ct_n} \lambda \frac{\partial \psi}{\partial \lambda} + \frac{3}{ct_n} \psi$$

$$= f_n \rho_n \kappa_n B_n + \frac{b_n \kappa_n}{4\pi \kappa_{P,n}} (1 - f_n)$$

$$\times \int_{4\pi} d\Omega' \int_0^\infty d\lambda' \rho_n \kappa'_n \psi' - f_n \rho_n \kappa_n \psi , \qquad (B3a)$$

$$\rho_n c_{v,n} \frac{DT}{Dt} = \rho_n f_n \int_{4\pi} d\Omega \int_0^\infty d\lambda (\kappa_n \psi - \kappa_n B_n) , \qquad (B3b)$$

$$f_n = \frac{1}{1 + c\Delta t_n \kappa_{P,n} 4acT_n^3/c_{v,n}},$$
 (B3c)

where quantities subscripted with n are evaluated at the beginning of time-step n,  $f_n$  is the Fleck factor, B is the Planck function b is the normalized Planck function,  $\kappa_P$  is the Planck opacity, and  $c_v$  is heat capacity. For simplicity, we have neglected contributions from r-process decay and Thomson scattering (though generally speaking, these are included in the simulations). It is worth noting that the Fleck factor introduces an 'effective scattering' term into the transport equation (the second term on the right-hand side of equation B3a). Monte Carlo particles undergoing an effective scattering event are thermally redistributed in their wavelengths (the  $b_n \kappa_n / \kappa_{P,n}$  coefficient is the redistribution kernel).

In SUPERNU, the opacity is typically discretized into groups in wavelength; these groups are defined in the comoving frame (local to each fluid parcel). However, the wavelength for Monte Carlo particles is left continuous. Consequently, the first two equations in equation (B3) become

$$\frac{1}{c} \frac{D\psi}{Dt} + \hat{\Omega} \cdot \nabla \psi + \frac{1}{ct_n} \lambda \frac{\partial \psi}{\partial \lambda} + \frac{3}{ct_n} \psi$$

$$= f_n \rho_n \kappa_{g,n} B_{g,n} + \frac{b_{g,n} \kappa_{g,n}}{4\pi \kappa_{P,n}} (1 - f_n)$$

$$\times \int_{4\pi} d\Omega' \sum_{g'} \rho_n \kappa'_{g',n} \psi' - f_n \rho_n \kappa_{g,n} \psi , \qquad (B4a)$$

$$\rho_n c_{v,n} \frac{DT}{Dt} = \rho_n f_n \int_{4\pi} d\Omega \sum_{g} \left( \int_{g} d\lambda \kappa_{g,n} \psi - \kappa_{g,n} B_{g,n} \Delta \lambda_{g} \right) , \qquad (B4b)$$

where subscript g denotes a group-averaged quantity,  $b_g = \int_g d\lambda \, b/\Delta \lambda_g$ , and the Planck opacity is evaluated via a sum over groups,  $\kappa_P = \sum_g \kappa_g b_g$ . Since the particle wavelength is left continuous, the particles Doppler shift continuously across groups as they stream through space. This particle behaviour corresponds

to having left the third term on the left-hand side of equation (B4a) to remain continuous in wavelength.

Alternatively, we may keep some of the opacity continuous in wavelength. To obtain the Sobolev formulation of the IMC equations, we simply substitute

$$\kappa_n = \tilde{\kappa}_{g,n} + \kappa_n^{b-b} \tag{B5}$$

for  $\kappa_{g,n}$  in equations (B4), where  $\tilde{\kappa}_{g,n}$  are all continuum contributions (discretized into groups) and  $\kappa_n^{b-b}$  is a monochromatic superposition of Dirac delta distributions corresponding to the line contributions. For our Sobolev test problem, we neglect  $\tilde{\kappa}_{g,n}$ .

For fully discrete opacity, we also discretize the bound-bound contribution,  $\kappa_n^{b-b} \to \kappa_{\nu,n}^{b-b}$ . Using the formulae from the paper,

$$\kappa_{g,n}^{b-b} = \frac{1}{\rho_n c t_n} \frac{1}{\Delta \lambda_g} \sum_{i \in \Lambda \lambda_n} \begin{cases} \lambda_i \tau_i, & \text{for binned,} \\ \lambda_i (1 - e^{-\tau_i}), & \text{for expansion,} \end{cases}$$
(B6)

where i is a line index and  $\tau_i$  is the corresponding Sobolev optical depth. It is straightforward to see that the expansion opacity formula reduces to the binned opacity formula for  $\tau_i \ll 1$ . It is also straightforward to see that the line-binned formula is in fact a direct integral-average of the monochromatic opacity over wavelength under the assumption that the line profiles are Dirac delta distributions:

$$\kappa_{g,n}^{b-b} = \frac{1}{\rho_n c t_n} \frac{1}{\Delta \lambda_g} \sum_{i \in \Delta \lambda_g} \lambda_i \tau_i 
= \frac{1}{\rho_n c t_n} \frac{1}{\Delta \lambda_g} \sum_{i \in \Delta \lambda_g} t_n \frac{\pi e^2}{m_e c} N_i |f_i| \lambda_i^2 
= \frac{1}{\rho_n} \frac{1}{\Delta \lambda_g} \sum_{i \in \Delta \lambda_g} \frac{\pi e^2}{m_e c^2} N_i |f_i| \lambda_i^2 ,$$
(B7)

where  $N_i$  is the number density of the initial level for line i and  $f_i$  is the oscillator strength.

#### B1 Monte Carlo algorithm with discrete opacity

The algorithm for the system of equations with fully discretized opacity (equations B4) is as follows:

- (i) Store particles from time step n-1, sample source particles in time step n:
  - (1) integrate first term on right-hand side of equation (B4a) in  $\Omega$ , sum over groups, and integrate over the volume of a spatial cell, then partition the energy across the prescribed number of source particles for the cell,
  - (2) sample each particle's position in the cell, in time-step n, and direction  $(\hat{\Omega})$ ,
  - (3) sample each particle's wavelength ( $\lambda$ ) by first sampling the group from a cumulative distribution function (CDF) generated by  $b_{g,n}\kappa_{g,n}/\kappa_{P,n}$ , then uniformly within the sampled group.
  - (ii) Transport the updated set of particles. For each particle:
  - (1) Calculate the set of velocity distances (Kasen et al. 2006) to stream to each possible next particle event:

$$d_{\rm es} = -\frac{\ln(\xi)}{t_n(1 - f_n)\rho_n \kappa_{g,n}},$$
(B8a)

$$d_{\text{red}} = c(\lambda_{g+1/2} - \lambda)/\lambda , \qquad (B8b)$$

$$d_b = |\vec{r}_b(\hat{\Omega}) - \vec{r}| , \qquad (B8c)$$

 $d_{\text{end}} = c(t_{n+1} - t)/t_n , \qquad (B8d)$ 

where  $d_{\rm es}$ ,  $d_{\rm red}$ ,  $d_b$ , and  $d_{\rm end}$  are distances to effective scattering, redshift out of the group edge, the cell boundary along  $\hat{\Omega}$ , and to the end of time-step n. The quantity  $\xi \in [0, 1]$  is a uniformly sampled random number.

(2) Select the event from the minimum distance *d*, and update the particle's properties via streaming:

$$t' = t + \frac{t_n d}{c} \,, \tag{B9a}$$

$$\vec{r}' = \vec{r} + d\hat{\Omega} , \qquad (B9b)$$

$$E' = Ee^{-(1-f_n)\rho_n \kappa_{g,n} t_n d} , \qquad (B9c)$$

$$\lambda' = \lambda \left( 1 + \frac{d}{c} \right) , \tag{B9d}$$

where, for simplicity, the update to the spatial position has been written in Cartesian coordinates.

- (3) Add  $E(1 e^{-(1-f_n)\rho_n\kappa_{g,n}t_nd})$  to the tally of absorbed energy in the time-step.
- (4) If the particle effectively scatters, sample  $\hat{\Omega}$  isotropically and resample  $\lambda$  thermally from  $b_{g,n}\kappa_{g,n}/\kappa_{P,n}$ .
- (5) If the particle redshifts out of the group, set g to g+1 for the next round of distance calculations.
- (6) If the particle crosses the cell boundary, use the adjacent cell's properties for the next round of distance calculations. If the edge of the simulation grid is reached, tally the particle as escaping flux for the observables (light curves and spectra).
- (7) If the particle reaches the end of the time-step, stop transporting and store it for n + 1.
- (iii) Account for redshift on particle energy weights using operator-split equation (Abdikamalov et al. 2012),

$$\frac{\partial \psi}{\partial t} + \frac{\psi}{t_n} = 0 , \tag{B10}$$

which has solution  $E'' = E'e^{-\Delta t_n/t_n}$  for each particle energy weight.

(iv) With the energy absorption tallied during the transport of the particles, update the internal energy of the ejecta with equation (B4b) (the absorbed energy tally is represented by the term with  $\psi$  on the right-hand side).

The above algorithm applies to both expansion and line-binned opacity treatments. The only difference between the approaches to treating line-binned and expansion opacity is in how the bound-bound contribution of  $\kappa_{n,g}$  is calculated (in equation B6). Again, equation (B6) shows that the expansion and line-binned opacity methods approach one another when  $\tau_i \ll 1$ .

### **B2** Monte Carlo algorithm with Sobolev line treatment

The Sobolev algorithm is nearly the same, but with modifications to steps 1 and 2 resulting from incorporating equation (B5) into equations (B4). This algorithm is intended to be as close to that of Kasen et al. (2006) as possible, within the context of IMC and SUPERNU. The Sobolev algorithm is as follows:

- (i) Store particles from time step n-1, sample source particles in time-step n:
  - (1) integrate first term on the right-hand side of equation (B4a) in  $\Omega$ , sum over groups, and integrate over the volume of a spatial cell, then partition the energy across the prescribed number of source particles for the cell,

- (2) sample each particle's position in the cell, in time-step n, and direction  $(\hat{\Omega})$ ,
- (3) sample each particle's wavelength ( $\lambda$ ) by first sampling the group from a CDF generated by  $b_{g,n}\kappa_{g,n}/\kappa_{P,n}$ , then
  - (a) uniformly within the sampled group if

$$\xi < \frac{\tilde{\kappa}_{g,n}}{\kappa_{g,n}} , \qquad (B11)$$

where  $\xi \in [0, 1]$  is a uniformly sampled random number, or

(b) at a line centre  $\lambda_i$ , using a CDF constructed from  $1 - e^{-\tau_i}$  as the probability for line *i*.

The expansion opacity formula (see equation B6) is used for  $\kappa_{g,n}^{b-b} = \kappa_{g,n} - \tilde{\kappa}_{g,n}$ .

- (4) For each particle,
- (i) Calculate the set of velocity distances (Kasen et al. 2006) to stream to each possible next particle event:

$$d_{\rm es} = -\frac{\ln(\xi)}{t_n(1 - f_n)\rho_n\tilde{\kappa}_{g,n}}, \tag{B12a}$$

$$d_{\text{red}} = c(\lambda_{g+1/2} - \lambda)/\lambda , \qquad (B12b)$$

$$d_b = |\vec{r}_b(\hat{\Omega}) - \vec{r}| , \qquad (B12c)$$

$$d_{\text{Sob}} = c(\lambda_i - \lambda)/\lambda$$
, (B12d)

$$d_{\text{end}} = c(t_{n+1} - t)/t_n , \qquad (B12e)$$

where  $d_{\rm es}$ ,  $d_{\rm red}$ ,  $d_b$ ,  $d_{\rm Sob}$ , and  $d_{\rm end}$  are distances to effective scattering due to continuum opacity, redshift out of the group edge, the cell boundary along  $\hat{\Omega}$ , to resonance with line i, and to the end of time step n. The quantity  $\xi \in [0, 1]$  is a uniformly sampled random number.

(ii) Select the event from the minimum distance *d*, and update the particle's properties via streaming:

$$t' = t + \frac{t_n d}{c} , \qquad (B13a)$$

$$\vec{r}' = \vec{r} + d\hat{\Omega} , \qquad (B13b)$$

$$E' = Ee^{-(1-f_n)\rho_n\tilde{\kappa}_{g,n}t_nd} . \tag{B13c}$$

$$\lambda' = \lambda \left( 1 + \frac{d}{c} \right) , \tag{B13d}$$

where, for simplicity, the update to the spatial position has been written in Cartesian coordinates.

- (iii) Add  $E(1 e^{-(1-f_n)\rho_n\tilde{\kappa}_{g,n}t_nd})$  to the tally of absorbed energy in the time-step.
- (iv) If the particle effectively scatters, sample  $\hat{\Omega}$  isotropically and resample  $\lambda$  thermally from  $b_{g,n}\kappa_{g,n}/\kappa_{P,n}$ .
- (v) If the particle redshifts out of the group, set g to g+1 for the next round of distance calculations.
- (vi) If the particle crosses the cell boundary, use the adjacent cell's properties for the next round of distance calculations. If the edge of the simulation grid is reached, tally the particle as escaping flux for the observables (light curves and spectra).
- (vii) If the particle comes into resonance with line i, sample if interaction with the line occurs,

$$\xi < 1 - e^{-(1 - f_n)\tau_i}$$
, (B14)

where  $\xi \in [0, 1]$  is again a uniformly sampled random number. Note that we have introduced an 'effective line scattering', to be consistent with the IMC formulation.

(1) If an interaction occurs, sample  $\hat{\Omega}$  isotropically and resample  $\lambda$  thermally from  $b_{g,n}\kappa_{g,n}/\kappa_{P,n}$ , where the line contribution to the discrete opacity is calculated with the expansion-opacity formula (following Kasen et al. 2006). Within the span of the newly sampled group, g', sample whether the emission is from the continuum.

$$\xi' < \frac{\tilde{\kappa}_{g',n}}{\kappa_{g',n}} \quad , \tag{B15}$$

where  $\xi'$  is another uniform random variable. If so, uniformly sample  $\lambda$  in g'. if not, sample  $\lambda$  at one of the line centres in g' with a CDF constructed from  $1 - e^{-\tau_{i'}}$  as the probability for each new line i'.

(2) If  $\xi \ge 1 - e^{-(1 - f_n)\tau_i}$ , lower the particle energy,

$$E' = Ee^{-f_n\tau_i} , \qquad (B16)$$

and add  $E(1-e^{-f_n\tau_i})$  to the absorption tally, but keep the particle's direction  $\hat{\Omega}$  and wavelength  $\lambda=\lambda_i$  unchanged.

- (viii) If the particle reaches the end of the time-step, stop transporting and store it for n + 1.
- (5) Account for redshift on particle energy weights using operator-split equation (Abdikamalov et al. 2012),

$$\frac{\partial \psi}{\partial t} + \frac{\psi}{t_n} = 0 \quad , \tag{B17}$$

which has solution  $E'' = E'e^{-\Delta t_n/t_n}$  for each particle energy weight.

(6) With the energy absorption tallied during the transport of the particles, update the internal energy of the ejecta with equation (B4b) with equation (B5) substituted for  $\kappa_{g,n}$  in the first term on the right-hand side (this term is solved for by the tally of absorbed energy).

#### **B3** Motivation for Sobolev emission method

Assuming  $\psi$  represents the intensity of photons that have streamed to line i, the absorption rate in the Sobolev formulation is

$$\mathcal{A}_i = \psi(1 - e^{-\tau_i}) \,, \tag{B18}$$

which is in units of erg s<sup>-1</sup> cm<sup>-2</sup> Hz<sup>-1</sup> sr<sup>-1</sup> (as is  $\psi$ ). In a Monte Carlo framework, equation (B18) corresponds to the sampling procedure in equation (B14) (with equations B14 and B16, we have employed a variance reduction technique, implicit capture, which preserves the equation (B18) as the expectation value). To obtain an absorption rate density, equation (B18) can be multiplied by a spatial Dirac delta distribution over the particle path-length,

$$\mathbb{A}_i = \mathcal{A}_i \delta(x - x_i) = \psi(1 - e^{-\tau_i}) \delta(x - x_i) , \qquad (B19)$$

where x is the photon path-length and  $x_i$  is the path-length at which the photon may interact with line i. Equation (B19) is in units of erg s<sup>-1</sup> cm<sup>-3</sup> Hz<sup>-1</sup> sr<sup>-1</sup>, consistent with the emissivity, j, in equation (B2). Using the identity,

$$\delta(x - x_i) dx = \delta(\lambda - \lambda_i) d\lambda , \qquad (B20)$$

where dx and  $d\lambda$  are infinitesimal path-length and wavelength, and using

$$\frac{\mathrm{d}\lambda}{\mathrm{d}x} = \frac{\lambda}{ct} \,, \tag{B21}$$

implied from equation (B2), the wavelength can be substituted for path-length in the absorption rate density for line i,

$$\mathbb{A}_i = \psi(1 - e^{-\tau_i})\delta(\lambda - \lambda_i) \frac{\lambda}{ct} . \tag{B22}$$

In full equilibrium,

$$\psi = B. \tag{B23}$$

Requiring detailed balance, the emission rate density (or emissivity) for line i is

$$j_i = \mathbb{A}_i = B(1 - e^{-\tau_i})\delta(\lambda - \lambda_i)\frac{\lambda}{ct} . \tag{B24}$$

In the implementation, we have discretized the Planck function over group, but this is a sub-dominant error with our 1024 group structure. The total emissivity is

$$j = \sum_{i} j_{i} = \sum_{i} B(1 - e^{-\tau_{i}})\delta(\lambda - \lambda_{i}) \frac{\lambda}{ct} , \qquad (B25)$$

which, with the discretization of the Planck function over groups, can be refactored as

$$j = \sum_{g} B_g \sum_{i \in \Delta \lambda_g} (1 - e^{-\tau_i}) \delta(\lambda - \lambda_i) \frac{\lambda}{ct} .$$
 (B26)

Equation (B26) can be sampled exactly/analytically in two steps:

(i) sample group wavelength interval  $\lambda_g$  with a CDF generated by integrating j over each group,

$$\int_{g} d\lambda \ j = B_{g} \sum_{i \in \Delta \lambda_{g}} (1 - e^{-\tau_{i}}) \frac{\lambda_{i}}{ct} , \qquad (B27)$$

which, when divided by  $\int d\lambda \ j = \sum_{g'} \int_{g'} d\lambda \ j$ , is the probability of being emitted in group g,

(ii) sample line  $i \in \Delta \lambda_g$  with a CDF generated by integrating j over intervals about each of the lines,

$$\int_{i} d\lambda \ j = B_g (1 - e^{-\tau_i}) \frac{\lambda_i}{ct} \,, \tag{B28}$$

which, when divided by the right-hand side of equation (B27), gives the probability of getting emitted at line i of group g.

# APPENDIX C: DESCRIPTION OF SIMPLIFIED TEST CASE

In this appendix, we describe the ejecta and initial conditions of the simplified test problem that is employed in Section 4.2.1 to compare the line-binned and expansion-opacity discretizations to our direct Sobolev implementation. The equations for the state of the ejecta are (Wollaeger et al. 2018)

$$v(r,t) = \frac{r}{t} \quad , \tag{C1a}$$

$$\rho(r,t) = \rho_0 \left(\frac{t}{t_0}\right)^{-3} \left(1 - \frac{r^2}{(v_{\text{max}}t)^2}\right)^3 , \qquad (C1b)$$

$$T(r,t) = T_0 \left(\frac{t}{t_0}\right)^{-1} \left(1 - \frac{r^2}{(v_{\text{max}}t)^2}\right)$$
, (C1c)

where r is the radius, v is velocity,  $\rho$  is density, T is radiation temperature,  $\rho_0$  is the initial maximum density,  $t_0$  is the initial time,  $v_{\rm max}$  is maximum ejecta velocity, and  $T_0$  is the initial maximum temperature. We set  $v_{\rm max} = 0.25c$  and  $t_0 = 4$  d. For an ejecta mass of  $1.4 \times 10^{-2} \, {\rm M}_{\odot}$ , this gives  $\rho_0 = 2.51 \times 10^{-15} \, {\rm g \, cm}^{-3}$ .

The initial innermost temperature is  $T_0 = 5.70 \times 10^3$  K. The initial matter temperature is set to the initial radiation temperature.

For the source, we use (Korobkin et al. 2012)

$$\dot{\varepsilon} = \dot{\varepsilon}_0 \left(\frac{t}{t_0}\right)^{-1.3} \,, \tag{C2}$$

where  $\dot{\varepsilon}$  and  $\dot{\varepsilon}_0$  are the specific heating rate and initial specific heating rate. For  $t_0 = 4 \, d$ ,  $\dot{\varepsilon}_0 = 8.2 \times 10^8 \, erg \, s^{-1} \, g^{-1}$ .

The composition of the ejecta is assumed to be 100 per cent Nd. We neglect bound–free, free–free, and Thomson scattering contributions to the opacity. We also neglect lines with  $f_c < 10^{-3}$ .

The numerical resolutions are as follows:

- (i) 64 uniform spatial cells from 0 to 0.25c,
- (ii) 180 logarithmic time-steps from day 4 to day 16,
- (iii) 1024 logarithmic wavelength groups from  $10^3$  to  $1.28 \times 10^5$  Å,
  - (iv)  $2^{18} = 262144$  source particles generated per time-step.

The mass per spatial cell is obtained by analytically integrating the density profile over volume and the temperature per cell is obtained by evaluating the temperature profile at radial cell centres.

We do not apply the observer-time correction to the simplified problem, which is (Lucy 2005)

$$t_{\text{obs}} = t \left( 1 - \frac{\mu v_{\text{max}}}{c} \right) , \tag{C3}$$

where  $\mu$  is the dot product of the particle direction with the radial unit vector. Consequently, the time t for each particle is not adjusted to  $t_{\rm obs}$  when it is tallied as escaping flux. This choice permits the SUPERNU code to print out flux for more time-steps, and should not affect the comparison between methods.

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