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### A Multi-Modal System for Road Detection and Segmentation

Xiao Hu<sup>1</sup>, Sergio A. Rodríguez F.<sup>1,2</sup>, Alexander Gepperth<sup>4</sup>

Abstract—Reliable road detection is a key issue for modern Intelligent Vehicles, since it can help to identify the drivable area as well as boosting other perception functions like object detection. However, real environments present several challenges like illumination changes and varying weather conditions. We propose a multi-modal road detection and segmentation method based on monocular images and HD multi-layer LIDAR data (3D point cloud). This algorithm consists of three stages: extraction of ground points from multilayer LIDAR, transformation of color camera information to an illumination-invariant representation, and lastly the segmentation of the road area. For the first module, the core function is to extract the ground points from LIDAR data. To this end a road boundary detection is performed based on histogram analysis, then a plane estimation using RANSAC, and a ground point extraction according to the point-toplane distance. In the second module, an image representation of illumination-invariant features is computed simultaneously. Ground points are projected to image plane and then used to compute a road probability map using a Gaussian model. The combination of these modalities improves the robustness of the whole system and reduces the overall computational time, since the first two modules can be run in parallel. Quantitative experiments carried on the public KITTI dataset enhanced by road annotations confirmed the effectiveness of the proposed method.

Keywords—multi-modal perception, monocular vision, LI-DAR, Intelligent Vehicle, road detection

#### I. INTRODUCTION

Intelligent Vehicles (IV) constitutes a research focus in recent years with promising benefits to society, including the prevention of accidents, optimal transportation planning and fuel conservation [11]. Among various tasks, IV needs to be able to perform road detection which greatly helps for scene understanding as well as boosting object (pedestrian, vehicle) detection functions by restricting the search space. Moreover, road detection could be an alternative solution for departure warning in cases when lane keeping assistance system fails (e.g. less structured roads without well-defined lane markers). However, it remains a complex task since the algorithm should be able to deal with surrounding objects (e.g. vehicles, pedestrian), different environments (e.g. urban, highways, off-road), road types (e.g. shape, color), and sensor exposure conditions (e.g. varying illumination, different viewpoints and weather conditions) [2]. Many approaches have been proposed in recent decades, using either passive sensors (e.g. vision), or active ones (e.g. LIDAR and RADAR) [2]. Monocular vision based road detection methods usually rely on features in terms of pixel properties such as intensity [5], color [13], [17] or texture [20], [25], [16], [15] and grouping technologies for segmentation. Among them, color features receive increasing popularity because of their superiority of representing the world and less physical restrictions. To efficiently deal with illumination changes and shadows, several popular color spaces have been introduced including HSI (Hue, Saturation and Intensity) [20], normalized RGB [22] and logchromaticity space [4]. Stereo vision algorithms not only consider 2D image features but also take advantage of 3D scene information for estimating free space and obstacles using V-Disparity Map techniques [24], [23], [17]. These approaches have improved monocular vision results by the use of a new imaging sensor which grants access to an environment structure prior (i.e. road plane assumption). The variety of sensors opens a large spectrum of possibilities for the use of multiple perception modalities. Multi-modal road detection presented in [6] combines a camera with a LIDAR sensor. [2] propose an interesting method which uses a Geographical Information Systems (GIS) and a GPS receiver to find the corresponding road patch. Later, this information is combined with the image from a monocular camera to obtain the final result.

Hereafter, a multi-modal system is proposed for road detection and segmentation. Two modalities are used, a monocular vision system and an HD multi-layer LIDAR (i.e. Velodyne). The data of both sensors are analyzed by means of three processing stages (see Fig.1). The first stage extracts the ground from 3D laser data providing the environment structure prior. The second, transforms the color image into an illumination-invariant gray scale space. Finally, road image regions are obtained by the combination of precomputed data in a probabilistic framework. The approach, detailed in this paper, addresses the common need of a road feature initialization step in methods using monocular vision [22], [2], [17], [24], [4]. To this end, the state-of-the-art usually assumes the lower part of the image being the road surface. However, this assumption is not respected under important pitch changes and in scenarios with heavy traffic. The latter situation implies that vehicles are quite close to the field of view of the camera limiting the visibility of the road surface. In contrast, our proposed method determines a potential road surface from 3D laser data and exploits this knowledge to identify image road features.

The remainder of this paper is as follows: First, the framework of the algorithm is outlined in Sect. II. The three main stages introduced previous are detailed consecutively in Sect. III, Sect. IV, Sect. V. Experimental results are demonstrated and discussed in Sect. VI. Finally, conclusions and future work are outlined in Sect. VII.

#### II. MODALITIES OF THE PERCEPTION SYSTEM

The perception set-up assumed for this study, makes use of a monocular vision system mounted facing forward and an HD multi-layer LIDAR installed on the roof of the

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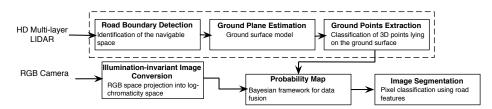


Figure 1: Outline of the multi-modal perception strategy

vehicle. Such a system set-up ensures a total inclusion of the 90° camera's field of view (FOV) in the HD multi-layer LIDAR range (range up to 120m). Here, we assume the HD multi-layer LIDAR data have been compensated and synchronized with images of the monocular camera as stated in [21]. Extrinsic calibration information between sensors is considered known. The coordinate system of the HD multi-layer LIDAR is denoted  $\mathcal L$  and its corresponding axes  $X^{\mathcal L}$ ,  $Y^{\mathcal L}$  and  $Z^{\mathcal L}$ . The monocular camera is represented through a pinhole projective model with no distortion and zero skew. Its frame,  $\mathcal C$ , and axes,  $X^{\mathcal C}$ ,  $Y^{\mathcal C}$  and  $Z^{\mathcal C}$  are illustrated in Fig. 2.

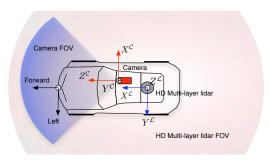


Figure 2: Coordinate systems for HD multi-layer lidar and camera.

# III. GROUND PLANE DETECTION USING HD MULTI-LAYER LIDAR

As introduced previously, the first module takes the LI-DAR point cloud as input and outputs ground points. There are three main sub-functions: (III-A) road boundary detection, (III-B), candidates selection and plane estimation(III-C) and ground points extraction according to the point-to-plane distance. Note that the proposed ground points extraction method is based on the detection of the road boundaries, which are assumed to exist.

#### A. Road Boundary Detection

Road boundary information is useful for road detection, e.g., to define road curvature. For a typical 2D vision system, this task is usually addressed by edge detection. For LIDAR sensors, a fast and simple method for curb detection relying on LIDAR scan histograms was proposed in [8]. LIDAR points are projected to 2D plane (i.e.  $X^{\mathcal{L}} - Y^{\mathcal{L}}$  plane), and a histogram is built along the axis orthogonal to the driving direction. It is easy to understand that a large number of LIDAR points will be accumulated on the road boundaries, producing two peaks in the histogram (see upper row in Fig. 3). However, this method is prone to fail in steering and roundabout scenarios as stated in [19] because the histogram may fade out (see lower row in Fig. 3). Here, temporal filtering is used to overcome difficulties in such situations.

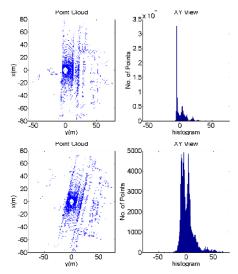


Figure 3: Road boundary detection based on histograms: the upper figure is the histogram when the vehicle runs almost straight; the lower figure is the histogram when the vehicle is steering.

#### B. Plane Estimation

In order to identify 3D LIDAR candidate points, we assume that road boundaries always "stand" on the road plane. That is to say, if the boundary is projected to the  $Z^{\mathcal{L}}$  axis (Fig.2), the points with the smallest values along the  $Z^{\mathcal{L}}$  axis are assumed to be close to the road plane (i.e. small pitch angles). Based on this assumption, a cell-based candidate selection process is performed for all boundary points. First, points are clustered into different cells of size  $\delta_{cell}$ , according to their  $X^{\mathcal{L}}$  coordinate. Then for each cell, the point with the lowest elevation in LIDAR frame (Fig.2) is selected and added to a list, see Fig. 4 as an example. Finally, the ground plane in 3D space is determined by fitting the obtained list of points to the model:

$$ax^{\mathcal{L}} + by^{\mathcal{L}} + cz^{\mathcal{L}} + d = 0 \tag{1}$$

with a, b, c, d being the plane parameters. The RANSAC algorithm is used here for robust plane parameters estimation. Compared to considering all LIDAR points, this method reduces the probability of outliers and results in a better and faster estimation.

#### C. Ground Points Extraction

Once the road plane has been estimated, the orthogonal distance between any 3D point and the plane is computed. Based on this criterion, ground points are extracted through a thresholding procedure. Let  $dis_i$  be the orthogonal distance to the ground plane:

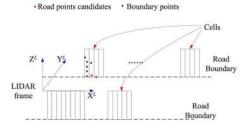


Figure 4: Assumption and candidates selection procedure.

$$dis_i = \frac{ax_i^{\mathcal{L}} + by_i^{\mathcal{L}} + cz_i^{\mathcal{L}} + d}{\sqrt{a^2 + b^2 + c^2}}$$
 (2)

and the set of 3D points lying on the ground plane selected by the following criterion:

$$p_{i,\,t} = \left\{ \begin{array}{l} p_{g,\,t} \;, \; \text{if} \; |dis_i| < dis_{thr} \\ p_{ng,\,t} \;, \; \; \text{otherwise} \end{array} \right), \; i = 1 \cdots N_{p,\,t} \quad \text{(3)}$$

where,  $p_{i,t}$  denotes the  $i^{th}$  3D point,  $p_{g,t}$  and  $p_{ng,t}$  correspond to ground and non-ground point class labels, respectively.  $dis_{thr}$  is a threshold which is manually set (e.g. typically 0.15m) and  $N_{p,t}$  stands for the total number of 3D scan points at time t. This technique may fail, which usually implies an radical decrease of the number of ground points,  $N_{g,t-1}$ . In that case, even if the selection procedure is already taken, many points are still far from the real ground plane (see Fig. 5). The estimated plane is not reliable as it is illustrated in the blue zone in the left image of Fig. 6). To cope with this problem, a short-term memory approach [20], [26] is employed as follows:

Let t be the current index time. Then, the ground points at t-1,  $P_{t-1}$ , are memorized and predicted,  $P_{t|t-1}$ , by the means of a constant speed evolution model:

$$x_{t}^{\mathcal{L}} = x_{t-1}^{\mathcal{L}} - v_{x} * T_{e}$$

$$y_{t}^{\mathcal{L}} = y_{t-1}^{\mathcal{L}} - v_{y} * T_{e}$$

$$z_{t}^{\mathcal{L}} = z_{t-1}^{\mathcal{L}} - v_{z} * T_{e}$$
(4)

where  $x_t^{\mathcal{L}}$ ,  $y_t^{\mathcal{L}}$ ,  $z_t^{\mathcal{L}}$  are the point coordinates at time t in the  $\mathcal{L}$  frame,  $x_{t-1}, y_{t-1}, z_{t-1}$  are point coordinates at time t-1 in  $\mathcal{L}$  frame,  $v_x, v_y, v_z$  are the speed vector components of host vehicle.  $T_e$  is the period. 3D points beyond a certain range (typically around 60m ground plane assumption remains meaningful) are discarded.



Figure 5: Projection of selected candidates into the RGB image. The right figure shows an example case where ground plane classification fails.

The 3D scan points  $P_{t|t-1}$  predicted using the evolution model stated in Eq. III-C, are kept if  $N_{g,\,t} \ll N_{g,\,t|t-1}$  where  $N_{g,\,t}$  and  $N_{g,\,t|t-1}$  are respectively the cardinalities of the sets  $P_t$  and  $P_{t|t-1}$ . That is to say, the cardinality of the ground point set should not change too fast over time given the frequency of HD multi-layer lidar (10 Hz) and the fact that vehicle always runs on the road. The classified points



Figure 6: Results of the same frame without (left) and with (right) Short-Memory Technology.

are then replaced by the predicted ones in current frame (see Fig. 6).

This method can roughly detect ground points regardless of any objects that may be close to the vehicle. The ground points set includes 3D points lying on the road plane and other low-rise obstacles.

#### D. Ground point classification algorithm

#### Algorithm III.1

**Input:** - 3D point cloud from HD multi-layer LIDAR **Output:** - Ground points

- 1: ▶Build histogram and extract road boundary
- 2:  $\blacktriangleright$ Cluster points into relative cells of size  $\delta_{cell}$
- 3: for each cell do
- 4: ►Add the lowest point to List
- 5: end for
- 6: ▶Fit a plane by RANSAC using listed 3D points
- 7: Compute point-plane distance for given point cloud
- 8: Selected points  $P_{i,t}$  satisfying  $|dis_i| < dis_{th}$
- 9:  $\blacktriangleright$  Do prediction for road points of previous frame  $P_{t|t-1}$
- 10: **if**  $N_{g, t|t-1} \gg N_{g, t}$  **then**
- 11:  $\blacktriangleright P_t = P_{t|t-1}$  and  $N_{q,t} = N_{q,t|t-1}$
- 12: **else**
- 13: **end if**

#### IV. IMAGE SPACE TRANSFORMATION

In the second module, the color image is transformed to a representation that is robust to shadow and illumination changes simultaneously.

#### A. Illumination-Invariant Image Tranformation

RGB color images are usually transformed to other color spaces (e.g., HSI [20], log-chromaticity space [4]) in order to obtain features that are independent of shadows and lighting condition. In this module, the log-chromaticity space widely used [24], [7] is applied. [9] pointed that an illuminantinvariant image  $I_{\theta}$  could be generated given the assumptions of Lambertian surfaces and Planckian light sources, which was extented to approximately suitable conditions by [4]. In the log-chromaticity space (Fig. 7) defined by the two axes  $\{\log(\frac{R}{G}), \log(\frac{B}{G})\}$ , color surfaces of different chromaticities are represented by parallel lines and the same color surface at different illuminations is located on a single straight line. By projecting the values of the log-chromaticity space along an orthogonal axis defined with an angle  $\theta$  to the horizontal axis, a grayscale image  $I_{\theta}$  can be obtained.  $\theta$  depends on the device and can be calibrated [4].

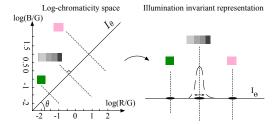


Figure 7: Log-chromaticity space and the corresponding illumination invariant representation.

# V. PROBABILISTIC FRAMEWORK FOR IMAGE-LIDAR DATA COMBINATION

The third module serves as the fusion center where the projection of ground points and the gray image are fused to compute a Gaussian model as well as a probability map. Road areas are finally classified and segmented.

#### A. Probability Map

A similar probability map is generated here for road classification and segmentation to that of [3], [1]. The larger the probability is, the more likely the pixel belongs to the road class. The image is divided into two classes for computing the probability: sky area and non-sky area. Pixels of sky area cannot be road pixels, i.e., the corresponding probability should be 0. This could also be seen as a sky removal procedure. For pixels within the non-sky area, a parametric model based on Gaussian functions is used for computing the probabilities, see Sec. V-A2.

1) Sky Removal: The horizon line is used here to classify the sky and non-sky area: any area over the horizon line is considered sky area and vice versa. Regard to the estimation of horizon line, a straightforward method inspired by [14] is used here, where a virtual point, denoted  $P_{\infty}$ , which lies on the ground plane and is 2000 meters away from the LIDAR sensor is created (Eq.5) under the assumption that the camera height is identical to the height of the LIDAR sensor. After projection to the camera frame  $\mathcal{C}$ , the corresponding pixel, denoted as  $pixel_{\infty}$ , should be close to the horizon line. Based on this ideal, the horizon line can be easily estimated. The probabilities of pixels above the horizon line are set to 0.

$$P_{\infty} = (2000, 0, -\overline{h}) \quad with \ \overline{h} = \frac{1}{N_{g,t}} \sum_{i=1}^{N_{g,t}} Z_i^{\mathcal{L}}$$
 (5)

$$pixel_{\infty} = \mathcal{M}_{\mathcal{L}}^{\mathcal{C}} \cdot P_{\infty} \tag{6}$$

where,  $\mathcal{M}_{\mathcal{L}}^{\mathcal{C}}$  represents the  $4\times 4$  transformation matrix from the LIDAR frame to the camera frame (Fig.2). All coordinates are expressed using homogeneous representation for easy computation.

2) Parametric Gaussian Model: The subsequent calculations make use of the illuminant-invariant image in log-chromaticity in subsection IV-A and Fig. 7. Road pixels corresponding to the points in log-chromaticity space should be very close. After projection to the axis defined by  $\theta$ , their distribution should be within a narrow band due to various noises. A parametric Gaussian function model (defined with mean value  $\mu$  and variance  $\sigma^2$ ) is used here

for representing the distribution. The pre-computed ground points are projected into the camera frame and then used as a representative training data for computing the Gaussian parameters  $\mu$  and  $\sigma^2$ . As this model is computed frame by frame and the ground points are located in different patches of the road, it can adapt to varying environments and conditions (e.g. shadow covers part of the road). At this point, it is possible to score all pixels of the non-sky area according to the parametric models. Individual pixels are assigned a score by passing its illumination-invariant feature value through a Gaussian using the learned parameters. The output is a pixel—wise map indicating similarity to the road model and is obtained by:

$$prob_{x,y} = \exp\left(-\frac{(I_{\theta_{x,y}} - \mu)^2}{2\sigma^2}\right) \tag{7}$$

where, x and y are the pixel coordinates.

### B. Classification and Segmentation

The model-based classification [24], [4], [18] is applied to the probability map for road pixel identification as follows:

$$pixel = \begin{cases} road & \text{if } prob_i > T_{\lambda} \\ non-road & \text{otherwise} \end{cases}$$
 (8)

where  $T_{\lambda}$  is a threshold set so as to keep the most probable road pixels.

After classification, a connected-components segmentation is operated with road pixels serving as seeds. In order to cope with probability map errors and to remove outliers lay closely to road area, e.g., points on the grassland, we only select the patch with the most road pixels included as the proper road region. Finally, a flood-fill algorithm is applied for filling small holes.

#### C. Summary

#### Algorithm V.2 Multimodal Road Detection Algorithm

**Input:** - Point cloud;

- Color Image;

Output: - Road identified image;

- 1: ▶ Run Ground Points Extraction algorithm and get ground points
- 2: ▶ Transform color image to illuminant-invariant image
- 3: ▶ Project ground points to image and initialize the ground pixels
- 4: ▶ Build the parametric model using ground pixels
- 5: ► Compute probability map
- 6: ► Classification using thresholding
- 7: ► Run component-connect algorithm
- 8: Select the component with the maximum number of ground pixels
- 9: ▶ Run flood fill algorithm to fill the holes

#### VI. EXPERIMENTS

To evaluate the performance of the proposed approach, the database of the KITTI [12] Vision Benchmark Suite is used. The KITTI dataset is collected in real-world scenes, e.g., city, road, residential area. In addition, a comparison is made between the proposed method and the traditional histogram based approach. The test system includes: a

computer with Pentium 3200 processor and 3 GB memory running a Linux operating system. The program is currently implemented in MATLAB.

#### A. Results

The tests that were carried include different samples with the presence of nearby objects and high saturation. Some of them may be viewed in column (a) of Fig 8.

The parameters of the proposed method were set as follows: size of cell  $\delta_{cell}$  for candidates selection: 0.15m; the threshold  $dis_{thr}$  for ground points extraction: 0.15m; the invariant direction  $\theta$  for illumination-invariant image transformation: 45°; the threshold  $T_{\lambda}$  for road classification: 0.68.  $T_{\lambda}$  is set by learning.

The performance of the proposed method is validated and compared to the road detection algorithm in [4]. Example results are shown in Fig 8. In these images, road areas are painted to cyan color. The two right-most columns show the differences between the proposed method and the method in [4]. As expected, the method in [4] fails in some situations because its assumption about the initial seed zone does not hold. For example, when seeds are all located on a lane marking, a failure occurs which is also mentioned in [4]. In this case, the histogram used for classification can only be a representative of lane marking instead of the road area as a whole. Another instance is shown in Fig 8, where seeds are selected from an object and not from the true road area. In contrast, the proposed method works well in these scenarios since it does not rely on the initial guess of seeds. Even in hard scenarios of heavy traffic, it is possible to acquire good estimations of road area rather than false detections. More results in streaming video format can be accessed<sup>1</sup>. The algorithm implemented in MATLAB code requires around 600 ms processing time per frame (size of  $1242 \times 375$ ), so a C++ implementation can be expected to achieve realtime capability, with additional speed-ups possible through the parallelization of the first two modules which do not depend upon each other.

Nevertheless, the proposed method encounters failures. The analysis of failures reveals two main reasons: 1) in some frames, the roof of a observed car is misdetected because it looks almost white which is quite similar to the remote road pixels under oversaturated illumination. The two classes are very difficult to discriminate. The same problem of illumination-invariant features under extreme saturation is also mentioned in [1], [4]. Improvements may be obtained by improving the acquisition system [4] or an additional pass of comparison to LIDAR points. 2) ground points are misdetected in some conditions where no road boundary can be found. This can be addressed by improving the ground points extraction.

#### B. Quantitative Evaluation

Quantitative evaluation is performed on the UMM dataset detailed in [10]. UMM dataset consists of 95 images selected from several different videos. All these images are manually segmented to generate the ground truth. Performance evaluation is provided using pixel-wise measures

Table I: Pixel-wise measures used to evaluate the performance of the detection results.

Pixel-wise measure	Definition
Precision	$\frac{TP}{TP+FP}$
Recall	$\frac{TP}{TP+FN}$
F1-measure	2 Precision Recall Precision+Recall
$\mathbf{F}_{\mathbf{max}}$	argmax(F1-measure)
	$\tau$

Table II: Performance evaluation on KITTI-UMM dataset.

Method	F <sub>max</sub>	Precision	Recall
Proposed method	81.84 %	72.66 %	93.68 %
V-disparity	80.64 %	80.35 %	80.93 %
BL	76.17 %	65.02 %	91.95 %

defined in Table I: where TP is the number of correctly labeled road pixels, FP is number of non-road pixels erroneously labeled as road and FN is the number of road pixels erroneously marked as non-road. Precision and Recall provide different insights in the performance of the method: Low precision means that many background pixels are classified as road, whereas low recall indicates failure to detect the road surface. Finally, F1-measure (or effectiveness) is the tradeoff using weighted harmonic mean between precision and recall [1]. The maximum F1-measure is used here for comparison by varying the classification threshold  $\boldsymbol{\tau}$ (corresponds to  $T_{\lambda}$  in the proposed method), yielding  $F_{\text{max}}$ . All three measures range from 0 to 1, and higher values are desired. The performance of the proposed method is validated and compared to state-of-the-art algorithms based on a similar illumination-invariant feature and V-disparity [24]. For fair comparison, we used the program provided by the authors and keep their parameter settings since their parameters were learnt from the same dataset. The baseline (BL) detailed in [10] is also added for comparison. Note that since the images are extracted from different videos and consequently isolated, the short-memory technology of our method is shut down. A summary of results is listed in Table II. As shown, the proposed method outperforms the V-disparity based method and baseline in terms of F<sub>max</sub> and recall. However, the proposed method has a lower precision, which means that there are many false detections in the proposed method. The reason for this phenomenon is the failure of the ground points detection method in some cases as explained in the previous section.

#### VII. CONCLUSION AND FUTURE WORK

In this paper, a novel multi-modal based road detection approach has been presented. The approach can be divided into three modules with the first two modules can run in parallel. Ground points are extracted in the first module while an illumination-invariant feature representation is computed simultaneously in the second module. Through the registration, they are fused in the third module through a parametric model for building a probability map. Final classification and segmentation of road area is done by applying basic segmentation and region growing algorithms to this map. Experiments are performed on a public data set in order to validate the proposed method. Furthermore, the algorithm is compared with one state-of-the-art methods in a qualitative way. From the experimental results, the proposed

<sup>&</sup>lt;sup>1</sup>https://www.youtube.com/watch?v=B7pwyyxDCj4

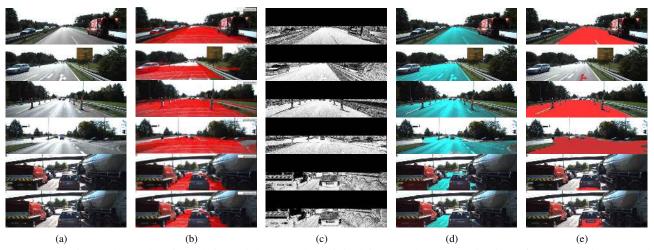


Figure 8: Experimental Results: from left to right, (a) The original image. (b) The projection of ground points. (c) The probability map. (d) Road detection result of the proposed method. (e) Road detection result by [4].

method demonstrates its efficiency of extracting road area from the image under different and severe conditions where state-of-the-art methods may fail.

As future work, the real-time implementation will be achieved by programming in C++, code optimization and parallel computing. More robust ground points extraction methods and multiple Gaussian models will also be tested.

#### ACKNOWLEDGMENTS

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