

# MSTM

A multiple sphere  $T$ -matrix FORTRAN code for use on parallel  
computer clusters

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## About this document

This is the instruction manual for the MSTM Fortran-90 code. The code was originally released in January 2011. Code elements will be revised in response to bug fixes, user suggestions, and modifications/extensions; the revision date will appear in comment lines at the top of each module and/or subroutine of the code.

The current version of the manual (the one you are reading now) corresponds to revision 1.2, and was released on 21 February 2011. The revision history appears in [Sec. \(6\)](#).

Michael Mishchenko has contributed significantly both to the development of the code and its visibility. Development has also benefitted from the advice and encouragement provided, over the years, by Zhanna Dlugach, Bruce Draine, Piotr Flatau, Kirk Fuller, Joop Hovenier, Michael Kahnert, Nikolai Khlebtsov, Ludmilla Kolokolova, Li Liu, Pinar Menguç, George Mulholland, Olga Munoz, Antti Pentillä, Michael Wolff, and Thomas Wriedt.

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# 1 Purpose

MSTM is a FORTRAN-90 code for calculating the time-harmonic electromagnetic scattering properties of a group of spheres. The algorithm applies the multiple sphere T matrix method, and the results can be considered exact to the truncation error of the vector spherical wave function (VSWF) expansions used to represent the fields. The code can

- calculate the cross sections, asymmetry parameters, and far-field scattering matrix elements for both fixed and random orientations with respect to the incident wave,
- model both plane wave and Gaussian profile incident beams, and
- generate maps of the electric field distributions along any arbitrary plane and including points both within and external to the spheres.

The MSTM code is intended to replace the FORTRAN-77 `scsmtm.for` and `scsmf0.for` codes that were previously developed by the author. In revising the multiple sphere scattering codes, the programming goals were to develop a code which

- is as compiler- and machine-independent as possible,
- can be compiled and run on both serial and distributed-memory parallel processing platforms,
- optimally uses the memory and (for parallel platforms) processor resources of the machine, and
- allows for a wide range of calculation and output options without modification and recompilation of the code.

Those familiar with the old codes should have little difficulty working with MSTM. The new code replaces all static array dimensions with dynamic memory allocation, and this eliminates the need to adjust `PARAMETER` statements to meet the memory requirements of the machine and/or the calculation. The code also incorporates message passing interface (MPI) commands to implement execution on distributed memory, multiple processor compute clusters.

A summary of the mathematical formulation and algorithm is given in [Sec. \(2\)](#), and compilation and execution of the code are described in [Sec. \(3\)](#). An overview of the code structure, and directions on how to modify the code for specialized applications, is found in [Sec. \(5\)](#).

## 2 Mathematical Formulation

### 2.1 Interaction equations

In the most general sense, the purpose of the code is to render a complete description of the electromagnetic fields, in both the near and far field regions, that result from the excitation of a target of  $N_S$  spheres with a time-harmonic field. A target, illustrated in [Fig. 1](#), is specified by the size parameters  $x_i = ka_i = 2\pi a_i/\lambda$ , relative refractive indices  $m_i = m'_i + im''_i$ , where  $i = \sqrt{-1}$ , and positions relative to a common target origin  $\mathbf{r}_i = (X_i, Y_i, Z_i)$  for  $i = 1, 2, \dots, N_S$ . The spheres are taken in this description to be homogeneous and isotropic, although it is relatively simple to extend the formulation to account for layered and/or optically active spheres. However, the spheres cannot overlap.

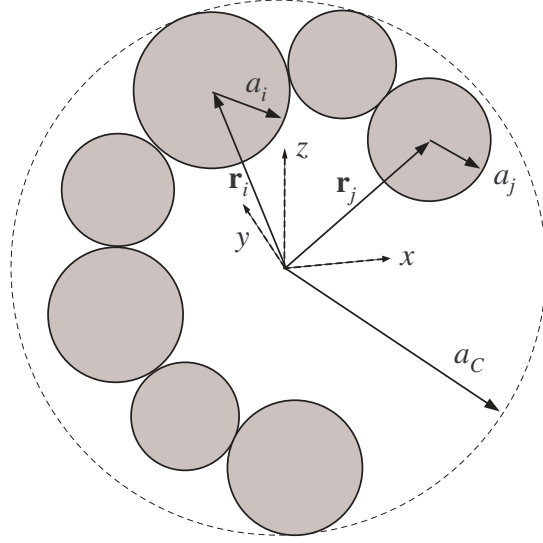


Figure 1: Ensemble configuration

The formulation represents an extension of Lorenz-Mie theory to the multiple sphere system. The field external to the spheres is represented by the superposition of the incident and scattered fields, except in this case the scattered field consists of components radiated from each sphere in the target;

$$\mathbf{E}_{ext} = \mathbf{E}_{inc} + \mathbf{E}_{sca} = \mathbf{E}_{inc} + \sum_{i=1}^{N_s} \mathbf{E}_{sca,i} \quad (1)$$

The incident and scattered fields, at the  $i^{th}$  sphere in the cluster, can be represented by regular and outgoing vector spherical wave function (VSWF) expansions, centered about the origin of the sphere;

$$\mathbf{E}_{inc} = \sum_{n=1}^{L_i} \sum_{m=-n}^n \sum_{p=1}^2 f_{mnp}^i \mathbf{N}_{mnp}^{(1)}(\mathbf{r} - \mathbf{r}_i) \quad (2)$$

$$\mathbf{E}_{sca,i} = \sum_{n=1}^{L_i} \sum_{m=-n}^n \sum_{p=1}^2 a_{mnp}^i \mathbf{N}_{mnp}^{(3)}(\mathbf{r} - \mathbf{r}_i) \quad (3)$$

In the above,  $\mathbf{N}_{mnp}$  denotes the VSWF of either type 1 (regular) or 3 (outgoing), of order  $n$ , degree  $m$ , and mode  $p = 1$  (TM) or 2 (TE). The functions are defined in the [Appendix](#). The incident field coefficients  $f_{mnp}^i$  will depend on the characteristics of the incident field, whereas the scattered field coefficients  $a_{mnp}^i$  are unknown and are sought from the solution. The order truncation limit  $L_i$  in [Eq. \(3\)](#) is chosen to provide an acceptable level of convergence of the series; this topic will be covered in more detail below.

Application of the continuity equations at the surface of each sphere results in a system of interaction

equations for the scattered field coefficients;

$$a_{mnp}^i - \bar{a}_{np}^i \sum_{\substack{j=1 \\ j \neq i}}^{N_S} \sum_{l=1}^{L_i} \sum_{k=-l}^l \sum_{q=1}^2 H_{mnp\,klq}^{i-j} a_{klq}^j = \bar{a}_{np}^i f_{mnp}^i \quad (4)$$

in which  $\bar{a}_{np}^i$  denote the Mie coefficients of the sphere and depend on the sphere size parameter and refractive index<sup>1</sup>, and  $H^{i-j}$  is the outgoing VSWF translation matrix which transforms the outgoing VSWF centered about origin  $j$  into an expansion of regular VSWF centered about origin  $i$ . Formulas for the translation matrix appear in the [Appendix](#).

Equation (4), in conjunction with [Eqs. \(1\)-\(3\)](#), represents the formal solution for the scattered field produced by the sphere ensemble. In the case of equal-sized spheres with equal truncation limits  $L_S$ , [Eq. \(4\)](#) forms a system of  $2N_S L_S (L_S + 2)$  linear equations for the set of scattering coefficients. The matrix  $H^{i-j}$  will be fully populated for an arbitrary translation between  $j$  and  $i$ , and correspondingly all orders/degrees/modes of the scattered field from a sphere  $j$  will (in general) influence a particular order/degree/mode of the field at  $i$ . This is in stark contrast to the isolated sphere case, in which each scattering order/degree/mode is excited only by the same harmonic component for the incident field. And it is in this respect that the multiple sphere solution departs – in a practical sense – from the single sphere Mie theory: the latter provides an *explicit* formula for the scattered field, whereas the former gives only an *implicit* relationship. That is, numerical methods (in the form of linear equation solvers) are needed to produce a useable solution. This characteristic has obvious relevance with regard to the order truncation limit  $L_i$ . Specifically, closure of [Eqs. \(4\)](#) requires an *a-priori* value of  $L_i$  for each sphere. In most situations  $L_i$  can be set using a Lorenz-Mie criterion for the isolated sphere  $i$ , yet there are specific situations in which interactions among neighboring spheres can have a significant effect on the convergence of [Eq. \(3\)](#) [1]. To accommodate such situations, the code allows for both automatic (based on the Lorenz-Mie criterion) and manual (user-set) specification of  $L_i$ .

Iterative methods are used in the code to obtain numerical solutions to [Eq. \(4\)](#). The main advantage of this approach, as opposed to direct solvers using matrix inversion, is that the translation matrices  $H^{i-j}$  can be factored into rotational and axial translational parts [2]. This results in a decoupling of order and degree, and leads to both faster matrix-vector multiplication and smaller matrix storage requirements. Our experience, and that of others, is that the biconjugate gradient method provides the most reliable, and fastest, solution to [Eq. \(4\)](#), as compared to over/under relaxation and order-of-scattering methods [3]. The number of iterations required for a solution depends on a host of parameters; i.e., the number, size parameters, and refractive indices of the spheres, and the proximity of the spheres to each other. In general, as the spheres become more widely separated the solution will converge faster. An important factor affecting convergence is whether any of the spheres is at or near a resonance mode; such conditions can lead to extremely small convergence rates and may be more effectively solved using direct methods [4].

## 2.2 Incident and total scattered field

Referring to [Fig. 2](#), the propagation direction  $\hat{z}'$  of the incident field is defined by an azimuth angle  $\alpha$  and polar angle  $\beta$  relative to the target coordinate frame. The angle  $\gamma$  appearing in [Fig. 2](#) is used to define the scattering plane, upon which the amplitude and scattering matrix elements are based. The procedure for calculating the amplitude and scattering matrix elements will be discussed in the following section, yet for now it is noted that determination of these properties, for a set incident direction, requires the solution to

<sup>1</sup>The  $\bar{a}_{np}$  coefficients used here are the negative of those formulated in previous works, e.g., Bohren and Huffman.

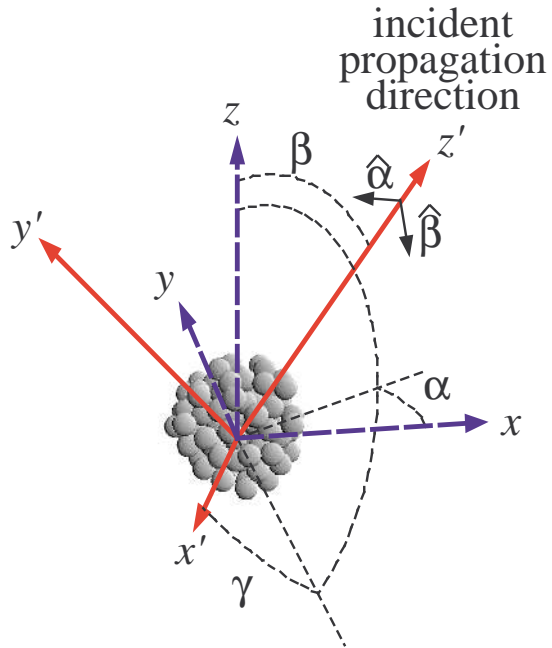


Figure 2: Target and incident field frames

Eq. (4) for two mutually orthogonal linear polarizations of the incident field. In the code, the two states correspond to polarization in the  $\hat{\beta}$  and  $\hat{\alpha}$  directions as illustrated in Fig. 2.

In addition to the standard case of plane wave incidence, the code also provides for the representation of collimated incident beams having a Gaussian amplitude distribution. In the Gaussian beam (GB) case, the incident field expansion coefficients centered about sphere  $i$ , appearing in Eqs. (2) and (4), cannot be related to those relative to some other origin by a simple phase shift relation. The general approach used in the code is to define the incident field expansion, for either the plane wave or GB case, relative to the target origin, and then use the VSWF translation theorem to obtain the values of  $f_{mnp}^i$ .

At the target origin, the incident field expansion will appear as

$$\mathbf{E}_{inc}(\mathbf{r}) = \sum_{n=1}^L \sum_{m=-n}^n \sum_{p=1}^2 f_{mnp} \mathbf{N}_{mnp}^{(1)}(\mathbf{r}) \quad (5)$$

The order truncation limit  $L$  in Eq. (5) – which is chosen so that the expansion will yield an acceptable description of the incident field on each sphere in the ensemble – will typically depend on the size parameter  $ka_C$  where  $a_C$  is the circumscribing sphere radius illustrated in Fig. 1. For a plane wave (PW), the coefficients for the incident field VSWF expansion, centered about the target origin, are given by

$$\begin{pmatrix} f_{mnp, \hat{\beta}, PW} \\ f_{mnp, \hat{\alpha}, PW} \end{pmatrix} = -4\pi i^{n+1} e^{-im\alpha} \begin{pmatrix} \tau_{mp}(\cos\beta) \\ i\tau_{mn(3-p)}(\cos\beta) \end{pmatrix} \quad (6)$$

where  $\tau_{mnp}$  are derived from the vector spherical harmonic functions, and are given by

$$\tau_{mnp}(\cos \beta) = -\frac{1}{4} \left( \frac{2n+1}{\pi} \right)^{1/2} \left( (-1)^p \mathcal{D}_{-1m}^{(n)}(\cos \beta) + \mathcal{D}_{1m}^{(n)}(\cos \beta) \right) \quad (7)$$

with  $\mathcal{D}_{km}^{(n)}$  denoting the Wigner  $d$ -function [5], the definition of which appears in the [Appendix](#).

Along with a propagation direction and polarization angle, the GB is characterized by a focal point (taken here to be the target origin) and beam width  $\omega_0$ . For a beam propagating in the  $+z$  direction and polarized in the  $x$  direction, the amplitude distribution along the focal plane will be given by

$$\mathbf{E}_{inc}(x, y, 0) = \hat{\mathbf{x}} \exp\left(-\frac{x^2 + y^2}{\omega_0^2}\right) \quad (8)$$

The localized approximation is used in the code to provide a VSWF representation of the GB, which is valid for  $k\omega_0 \geq 5$  [6, 7]. The incident field expansion coefficients, for the expansion centered about the beam focal point, are given by

$$f_{mnp, \hat{s}, GB} = \bar{g}_n f_{mnp, \hat{s}, PW} \quad (9)$$

$$\bar{g}_n = \exp\left[-\left(\frac{n+1/2}{k\omega_0}\right)^2\right] \quad (10)$$

where  $\hat{s}$  denotes the specific polarization state.

Since [Eq. \(5\)](#) is assumed to provide a sufficiently accurate representation of the incident field at all spheres in the target, the sphere-centered expansion for the incident field can be obtained by application of the translation theorem to [Eq. \(5\)](#). This results in

$$f_{mnp, \hat{s}}^i = \sum_{l=1}^L \sum_{k=-l}^l \sum_{q=1}^2 J_{mnp, klq}^{i-0} f_{klq, \hat{s}} \quad (11)$$

where  $J^{i-0}$  is the regular VSWF translation matrix and  $f$  refers to either the PW or GB case.

In a manner analogous to that relating [Eq. \(2\)](#) to [Eq. \(5\)](#), the scattered field from the cluster can be represented by a single outgoing VSWF expansion centered about the cluster origin, so that

$$\mathbf{E}_{sca}(\mathbf{r}) = \sum_{n=1}^{L_T} \sum_{m=-n}^n \sum_{p=1}^2 a_{mnp} \mathbf{N}_{mnp}^{(3)}(\mathbf{r}) \quad (12)$$

$$a_{mnp} = \sum_{i=1}^{N_S} \sum_{l=1}^{L_i} \sum_{k=-l}^l \sum_{q=1}^2 J_{mnp, klq}^{0-i} a_{klq}^i \quad (13)$$

The truncation limit  $L_T$  in the expansion will depend on the distance  $|\mathbf{r}|$ , with  $L_T \rightarrow \infty$  (i.e., lack of convergence) as  $|\mathbf{r}| \rightarrow \text{Max} |\mathbf{r}_i|$ . In particular, the expansion in [Eq. \(12\)](#) will not be useful to characterize the near-field characteristics of the scattered electric field. However, [Eq. \(12\)](#) is completely valid in the far-field regions, and for this limit  $L_T$  becomes equal to the incident field truncation limit  $L$ .

### 2.3 Coordinate rotation, amplitude and scattering matrix, and cross sections

Referring again to Fig. 2, the scattering plane is defined as the  $z' - x'$  plane in the incident field coordinate frame. The incident field coordinate frame  $(x', y', z')$ , in turn, is obtained by a solid rotation of the target frame  $(x, y, z)$  through the Euler angles  $(\alpha, \beta, \gamma)$ . The expansion coefficients that describe the total scattered field, for incident polarization parallel or perpendicular to the scattering plane, are obtained by

$$a'_{mnp,\parallel} = a'_{mnp,\hat{\beta}} \cos \gamma + a'_{mnp,\hat{\alpha}} \sin \gamma \quad (14)$$

$$a'_{mnp,\perp} = a'_{mnp,\hat{\beta}} \sin \gamma - a'_{mnp,\hat{\alpha}} \cos \gamma \quad (15)$$

in which  $\hat{\beta}$  and  $\hat{\alpha}$  denote solutions corresponding to the two incident polarization states, and the prime denotes that the coefficients have been rotated from the target to the incident field coordinate frames, in that

$$a'_{mnp,\hat{s}} = \sum_{k=-n}^n \mathcal{D}_{mk}^{(n)}(\cos \beta) e^{ik\alpha} a_{knp,\hat{s}} \quad (16)$$

where  $a_{knp,\hat{s}}$  refer to the coefficients obtained from Eq. (13), using the sphere coefficients for incident polarization state  $\hat{s}$ . The amplitude matrix elements are obtained by using the the far-field asymptotic form of the outgoing VSWF, resulting in

$$S_1 = \sum_{n=1}^L \sum_{m=-n}^n \sum_{p=1}^2 (-i)^n a'_{mnp,\perp} \tau_{mn3-p}(\cos \theta') \quad (17)$$

$$S_2 = \sum_{n=1}^L \sum_{m=-n}^n \sum_{p=1}^2 (-i)^{n+1} a'_{mnp,\parallel} \tau_{mnp}(\cos \theta') \quad (18)$$

$$S_3 = \sum_{n=1}^L \sum_{m=-n}^n \sum_{p=1}^2 (-i)^{n+1} a'_{mnp,\perp} \tau_{mnp}(\cos \theta') \quad (19)$$

$$S_4 = \sum_{n=1}^L \sum_{m=-n}^n \sum_{p=1}^2 (-i)^n a'_{mnp,\parallel} \tau_{mn3-p}(\cos \theta') \quad (20)$$

in which  $\theta'$  denotes the scattering angle. Elements of the scattering matrix can be obtained directly from those of the amplitude matrix following the formulas presented in Bohren and Huffman [8].

The absorption cross section of sphere  $i$  is defined so that  $C_{abs,i} I_0$  is the rate at which the sphere absorbs energy from the incident wave of irradiance (at the focal point, for a GB)  $I_0$ . It is given by

$$C_{abs,i} = \frac{2\pi}{k^2} \sum_{n=1}^{L_i} \sum_{m=-n}^n \sum_{p=1}^2 \bar{b}_{np}^i |a_{mnp}^i|^2 \quad (21)$$

in which  $\bar{b}_{np}^i$  is a positive (or zero) real valued property solely of sphere  $i$  and is defined by

$$\bar{b}_{np}^i = -\text{Re} \left( \frac{1}{\bar{a}_{np}^i} + 1 \right) \quad (22)$$



The  $a_{mnp}$  coefficients in the above formula would correspond to either the parallel or perpendicular polarization values; the absorption cross section for unpolarized incident radiation would be the average of the two. The absorption cross section of the entire ensemble is obtained from the sum of the individual sphere cross sections;

$$C_{abs} = \sum_{i=1}^{N_s} C_{abs,i} \quad (23)$$

In a similar manner, an extinction cross section of an individual sphere can be defined so that  $I_0 C_{ext,i}$  is the rate at which the sphere removes energy from the incident wave. The optical theorem applied to the field scattered from the sphere gives

$$C_{ext,i} = -\frac{2\pi}{k^2} \text{Re} \sum_{n=1}^{L_i} \sum_{m=-n}^n \sum_{p=1}^2 a_{mnp}^i p_{mnp}^{i*} \quad (24)$$

As before, the scattering and incident field coefficients would correspond to the particular polarization state. The total ensemble extinction cross section would also be obtained from the sum of the parts;

$$C_{ext} = \sum_{i=1}^{N_s} C_{ext,i} \quad (25)$$

Unlike the absorption cross section, the extinction cross section for the individual sphere would be difficult – if not impossible – to experimentally measure. The definition of this quantity relies on the superposition model of the scattered field, and although this model serves perfectly well as a means to solve Maxwell’s equations for the ensemble, it is not obvious how the ‘partial’ fields scattered from the individual spheres could be discriminated in an experiment. The sphere extinction is also not bound by the isolated–particle inequality of  $C_{ext,i} > C_{abs,i}$ ; it is entirely possible for this inequality to be reversed or for  $C_{ext,i} < 0$  on the individual sphere level. And in this respect a scattering cross section is only meaningful on the target level, and is obtained from energy conservation via

$$C_{sca} = C_{ext} + C_{abs} \quad (26)$$

Finally, the *independent* scattering cross section of the sphere is *defined* as

$$C_{i-sca,i} = \frac{2\pi}{k^2} \sum_{n=1}^{L_i} \sum_{m=-n}^n \sum_{p=1}^2 |a_{mnp}^i|^2 \quad (27)$$

and, when multiplied by  $I_0$ , would represent the power transported from the sphere by the sphere’s scattered field. It is important to understand that the  $C_{i-sca,i}$  does not have the same additive properties as Eqs. (23) and (25); the sum of the sphere independent scattering cross sections will not equal  $C_{sca}$ . The usefulness of  $C_{i-sca,i}$  is that it provides, in combination with the absorption and extinction cross sections, a relative measure of the local incoherent (or multiply-scattered) field intensity in the target of spheres. By multiplying Eq. (4) through by  $a_{mnp}^{i*}$  and using the definitions of the cross sections, it can be shown that

$$\begin{aligned} C_{ext,i} - C_{i-sca,i} - C_{abs,i} &= \frac{2\pi}{k^2} \text{Re} \sum_{\substack{j=1 \\ j \neq i}}^{N_s} \sum_{k,l,q} \sum_{m,n,p} a_{mnp}^i H_{mnp\,klq}^{i-j} a_{klq}^j \\ &= C_{d-sca,i} \end{aligned} \quad (28)$$

in which  $C_{d-sca,i}$  denotes the *dependent* scattering cross section of the sphere, and represents the power flowing to/from the sphere due to the interaction of the sphere's scattered field with the multiply-scattered field. In this respect, the relative magnitudes of  $C_{d-sca,i}$  and  $C_{ext,i}$  indicates the relative degree to which the sphere is excited by the multiply-scattered and the incident fields.

## 2.4 The $T$ matrix relationships

Substitution of Eqs. (11) into Eq. (4) leads to

$$\frac{1}{\bar{a}_\mu^i} T_{\mu\nu}^i - \sum_{\substack{j=1 \\ j \neq i}}^{N_s} \sum_{\nu'} H_{\mu\nu'}^{i-j} T_{\nu'\nu}^j = J_{\mu\nu}^{i-0} \quad (29)$$

In the above and what follows, Greek subscripts are shorthand for the degree-order-mode triplet, i.e.,  $\mu = (mnp)$ . The sphere-target  $T^i$  matrix is defined so that

$$a_\mu^i = \sum_{\nu} T_{\mu\nu}^i f_\nu \quad (30)$$

and will typically have more columns than rows; the largest row and column order will be  $L_i$  and  $L$ , respectively.

Replacing Eq. (30) into Eq. (13) results in

$$a_\mu = \sum_{i=1}^{N_s} \sum_{\nu} \sum_{\nu'} J_{\mu\nu'}^{0-i} T_{\nu'\nu}^i f_\nu = \sum_{\nu} T_{\mu\nu} f_\nu \quad (31)$$

The cluster-centered  $T$  matrix treats the ensemble of spheres as a single – albeit nonspherical – particle. The cross sections of the target and the scattering matrix – in either fixed or random orientation – can be obtained analytically from its properties. However, the  $T$  matrix cannot predict the fields within the cluster or the cross sections of the individual spheres, because Eq. (12) will be valid only for radii that exceed the largest sphere-target origin distance. The detailed individual-sphere and near-field information – which is inaccessible from Eq. (31) – can, however, be obtained from the original superposition model.

Calculation of the target  $T$  matrix in the code is accomplished by iterative solution of Eq. (29) for a succession of  $\nu = (klq)$  values. Upon each solution, the column elements of the  $T$  matrix corresponding to  $\nu$  are obtained via the contraction in Eq. (31). The algorithm is described in detail in [9].

## 2.5 Random orientation

The random orientation cross sections can be obtained by using the matrix relationships for the scattered and incident field, Eqs. (11) and (30), in Eqs. (21) and (24) and integrating the incident field over all propagation and polarization directions. Because the transformation between PW and GB representations in Eq. (9) is independent of propagation direction, the integration can be performed in a general manner without considering the specific form of the incident field. This results in

$$\langle f_{mnp} (f_{m'n'p'})^* \rangle = \delta_{m-m'} \delta_{n-n'} \delta_{p-p'} \bar{g}_n^2 \quad (32)$$

where  $\langle \dots \rangle$  denotes orientation averaging and  $\bar{g}_n$  is given by Eq. (10); note that the plane wave case will have  $\bar{g}_n \rightarrow 1$ . The formulas for the individual sphere random orientation cross sections are then

$$\langle C_{abs,i} \rangle = \frac{2\pi}{k^2} \sum_{\mu} \sum_{\nu} \bar{b}_{\mu}^i |T_{\mu\nu}^i|^2 \bar{g}_{\nu}^2 \quad (33)$$

$$\langle C_{i-sca,i} \rangle = \frac{2\pi}{k^2} \sum_{\mu} \sum_{\nu} |T_{\mu\nu}^i|^2 \bar{g}_{\nu}^2 \quad (34)$$

$$\langle C_{ext,i} \rangle = -\frac{2\pi}{k^2} \text{Re} \sum_{\mu} \sum_{\nu} J_{\nu\mu}^{0-i} T_{\mu\nu}^i \bar{g}_{\nu}^2 \quad (35)$$

As before, the total orientation-averaged absorption and extinction cross sections for the cluster will be the sum of the individual sphere values, and the total scattering cross section will be the difference between the total extinction and absorption cross sections.

The random-orientation scattering matrix can be obtained analytically from operations on the  $T$  matrix, and is represented as an expansion of generalized spherical functions [9]. The formulas for the expansion coefficients were originally derived for plane wave excitation, yet for GB excitation the generalized spherical function expansion for the scattering matrix can be calculated by making the simple transformation

$$T'_{mnp\,klq} = T_{mnp\,klq} \bar{g}_l \quad (36)$$

and then applying the plane wave formulas to  $T'$ .

### 3 Multiple sphere $T$ -matrix (MSTM) code

#### 3.1 Structure and compilation

The code is organized into the following five components:

**mstm-modules.f90:** Contains modules for data input, special function calculation, iterative linear equation solving, and scattering property calculation.

**mstm-main.f90:** The prepackaged main program, which reads input parameters from an input file, calls the subroutines corresponding to the calculation options, and writes output files.

**mpidefs-parallel.f90:** A module which defines the MPI commands appearing in the **mstm-modules.f90** and **mstm-main.f90** code blocks for use on multiprocessor platforms.

**mpidefs-serial.f90:** A module which defines MPI commands for use on single processor (serial) platforms.

**mstm-intrinsics.f90:** Compiler-specific (non-standard Fortran) functions for command-line argument retrieval and system time operations. The users must modify this module to suit their specific compiler.

Compilation of the code using the GNU *g95* on a MS-Windows, single processor machine would involve

```
g95 -o mstm.exe mpidefs-serial.f90 mstm-intrinsics.f90
      mstm-modules.f90 mstm-main.f90
```

This places the executable in the file `mstm.exe`. Compilation using the MPICH2 package for execution on a parallel machine would use

```
mpif90 -I/opt/mpich2-1.2.1p1/include -g -o mstm.out
      mpidefs-parallel.f90 mstm-intrinsics.f90
      mstm-modules.f90 mstm-main.f90
```

and would put the executable in `mstm.out`.

Other compilers follow the same basic plan. It is important to compile the module files in the order they are given. And please remember that the `mstm-intrinsics.f90` must be modified to match the command-line recognition and retrieval intrinsic functions of the compiler. The distribution has the intrinsics set up for *gfortran*.

### 3.2 Prepackaged main program

The `mstm-main.f90` program included with the distribution is designed to offer the most common calculation options and output formats, and should serve the computational purposes of most users. Modification of the main program for more specialized types of calculations should be straightforward for the programmer with moderate fortran experience. The code employs a highly modular structure, in which the various tasks involved in the solution are performed in specialized subroutines, and modification of the code to perform a specific task, such as averaging scattering matrix values over several target configurations or calculating near field values along a non-rectangular domain, will typically involve rearranging subroutine calls to produce the desired output. Customized coding is discussed in [Sec. \(5\)](#).

In using the code with the default main program, the properties of the sphere cluster and run variables are passed to the code using an input file. An input file can be designated by a command line argument; on a serial machine, with the executable named `mstm.exe` and an input file named `mstm-01.inp`, the command to execute the code would appear as

```
mstm mstm-01.inp
```

On a parallel machine the execution command will appear in the shell script used to submit the job. I am only familiar with running the code on the Auburn University College of Engineering HPCC [10], and you will need to consult with the appropriate gurus to set up a parallel job on your cluster.

The input file must be in the same directory as the executable. The default input file is `mstm.inp`; this file must be present if no command line argument is given.

The input file consists of paired lines; the first line of a pair representing a parameter ID, and the second representing the value or option for that parameter. The order of the paired lines is not important. If a pair corresponding to a particular parameter is not present, the code will use the default value.

An example of an input file, showing the first few input parameters, is shown below.

```
number_spheres
100
sphere_position_file
ran100.pos
output_file
test.dat
length_scale_factor
```

```
2.d0
real_ref_index_scale_factor
1.6d0
imag_ref_index_scale_factor
0.01d0
mie_epsilon
1.d-3
```

Note that the parameter ID, i.e., `number_spheres` or `output_file`, must appear as written above. A description of the parameters follows; default values are given in parentheses.

### 3.2.1 General options

`number_spheres`:  $N_S$ , the number of spheres in the cluster.

`sphere_position_file`: File name containing the sphere size, position, and (optionally) refractive index data. If the filename is blank, or if it is given the value `at_bottom`, sizes, positions, and refractive indices appear as the last lines in the input file, following a parameter ID of `sphere_sizes_and_positions`. The position file, or the appended position information at the bottom of the input file, should have  $N_S$  lines; if the number of lines is smaller than the input  $N_S$ , then  $N_S$  will be reduced to match the number of lines. All lines in the file must have either 4 or 6 columns. The first four correspond to the radius and  $X$ ,  $Y$ ,  $Z$  positions of the  $i^{\text{th}}$  sphere in the list. Units are arbitrary yet must be consistent for radius and position. The 5th and 6th columns, if present, denote the real and imaginary refractive index of the sphere. If these columns are not present the refractive index of the spheres is taken to be the same for all spheres and given by the scaling factors (see below). Default is `at_bottom`: sphere sizes and positions appearing at the bottom of the input file.

`output_file`: File name for file to which final calculation results are written; see [Sec. \(3.4.2\)](#) (`test.dat`).

`run_print_file`: File name for file to which intermediate output results are written; see [Sec. \(3.4.1\)](#). If blank, results are written to standard output (the screen). Default is blank.

`length_scale_factor`: Dimensionless length scale factor; the radii and positions obtained from the position file are multiplied by this factor, so that the size parameter of the  $i^{\text{th}}$  sphere is the scale factor times the radius.

`real_ref_index_scale_factor`: Multiplies the sphere real refractive index value from the position file; if refractive index values are explicitly given in the position file, then set this parameter to 1. If refractive index values do not appear in the position file (i.e., 4 column option), then the scale factor becomes the real refractive index value for all spheres.

`imag_ref_index_scale_factor`: Same idea as the above, except now applied to the imaginary part of the refractive index.

`mie_epsilon`: Convergence criterion for determining the number of orders to include in the Mie expansions for each sphere ( $10^{-4}$ ). Setting `mie_epsilon` to a negative integer  $-L$  forces all sphere expansion to include  $L$  orders.

`translation_epsilon`: Convergence criterion for estimating the maximum order of the  $T$  matrix for the cluster ( $10^{-3}$ ).

**solution\_epsilon:** Error criterion for solution of the interaction equations; solution is obtained when the normalized mean square error of the solution decreases below this value ( $10^{-10}$ ).

**max\_number\_iterations:** The maximum number of iterations used in the biconjugate gradient scheme for a particular right hand side. The code will send a message if the maximum number of iterations is exceeded (2000).

**max\_memory\_per\_processor:** The maximum memory used for translation matrix storage for each processor, in MB. Relevant only for parallel runs, this quantity should be somewhat less than the total memory available to a single processor (1500).

**min\_scattering\_angle\_deg:** The starting value of the scattering angle for scattering matrix computations, in degrees (0.0).

**max\_scattering\_angle\_deg:** Ending value of scattering angle, in degrees (180.0).

**number\_scattering\_angles:** The number of scattering angles, evenly spaced between the minimum and maximum values, for scattering matrix calculation (181).

**gaussian\_beam\_focal\_point:**  $X, Y$ , and  $Z$  coordinates of the Gaussian-profile incident beam, relative to the origin and scaling in the sphere position file (i.e., before **length\_scale\_factor** has been applied). This array defines the origin of the target coordinate system (0.0, 0.0, 0.0).

**gaussian\_beam\_constant:** Dimensionless parameter  $C_B = 1/k\omega_0$  (Eq. (8)) which characterizes the inverse width, at the focal point, of an incident Gaussian profile beam. Setting  $C_B = 0$  selects plane wave incidence. The localized approximation used to represent the Gaussian beam is accurate for  $C_B \leq 0.2$ . Default is the plane wave condition (=0.0), and this number is not scaled by the length scaled factor. Note that Gaussian beam options apply to both fixed orientation and random orientation calculations.

**fixed\_or\_random\_orientation:** Integer switch: = 0 for a fixed orientation, = 1 for random orientation results via the  $T$  matrix scheme. When = 0, input parameters corresponding to the  $T$  matrix solution are not pertinent to the run, and likewise for the fixed orientation parameters when = 1 (0).

### 3.2.2 Options for fixed orientation calculations

**incident\_azimuth\_angle\_deg:** The azimuth angle  $\alpha$  of the incident field propagation direction, relative to the sphere cluster coordinate system, in degrees (0.0).

**incident\_polar\_angle\_deg:** Polar angle  $\beta$  for propagation direction, degrees (0.0).

**scattering\_plane\_angle\_deg:** Angle  $\gamma$  which sets the scattering plane for scattering matrix calculations, per Fig. 2 and accompanying discussion. Note that if  $\alpha = \beta = 0$ ,  $\gamma$  corresponds to the azimuth angle  $\phi$  of the scattering plane relative to the cluster coordinate system (0.0).

**calculate\_scattering\_coefficients:** Integer switch selecting whether the sphere scattering coefficients  $a_{mp}^i$  are calculated from solution to Eq. (4) (=1), or read from a file generated from a previous solution (=0). The latter option is useful for generating near field maps on different planes without having to recalculate the scattering coefficients (1).

**scattering\_coefficient\_file:** File name for the file to which the scattering coefficients are written (amn-temp.dat).

**track\_iterations:** Integer switch selecting whether the error after each iteration to Eq. (4) is displayed in the run file (=1), or suppressed (=0). This option allows the user to track the convergence of the solution (1).

**calculate\_near\_field:** Integer switch for calculation of near field: = 0 for no near field calculations, = 1 to select near field calculations. The following 8 input parameters are pertinent only when the near field is calculated (0).

**near\_field\_plane\_coord:** Near field values are calculated in a rectangular grid lying in the plane denoted by this integer value, = 1:  $\hat{y} - \hat{z}$  plane; = 2:  $\hat{z} - \hat{x}$  plane; = 3:  $\hat{x} - \hat{y}$  plane. (1).

**near\_field\_plane\_position:** The distance of the calculation plane from the cluster coordinate origin, scaled by  $k$  (0.0).

**near\_field\_plane\_vertices:** Two pairs of numbers,  $(kX'_1, kY'_1)$ ,  $(kX'_2, kY'_2)$  which denote the vertices (opposite corners) of the rectangular region, in the near field plane, in which field calculations are made.  $X'$  and  $Y'$  refer to either  $(y, z)$ ,  $(z, x)$ , or  $(x, y)$  for **near\_field\_plane\_coord** = 1,2,3. The coordinates in the first pair must be smaller than those in the second pair. The coordinates are not scaled by the length scale factor; they are implicitly in size parameter units (i.e., scaled by  $k$ )  $(-10.0, -10.0, 10.0, 10.0)$ .

**spacial\_step\_size:** The spacial step size  $k\Delta x$  of calculation grid points (0.1).

**polarization\_angle\_deg:** A specific polarization state of the incident field is needed to calculate the near field. The field is taken to be linearly polarized, with a polarization angle of  $\gamma$  relative to the  $\hat{k}-\hat{z}$  plane. When  $\beta = 0$ ,  $\gamma$  becomes the azimuth angle of the incident electric field vector relative to the cluster coordinate system (0.0).

**near\_field\_output\_file:** File name for the output electric field values. The format for the file is described in Sec. (3.4.3) (**nf-temp.dat**).

**near\_field\_output\_data:** Integer switch specifying the data to be written to the output file, = 0,  $|\mathbf{E}|^2$ ; = 1, complex  $\mathbf{E}$  vector; = 2, complex  $\mathbf{E}$  and  $\mathbf{H}$  vectors. See Sec. (3.4.3) (1).

**plane\_wave\_epsilon:** The incident field component for the near field calculations – for either the plane wave or Gaussian beam models – is calculated using a single, regular VWH expansion centered about the beam focal point. The plane wave epsilon is a convergence criterion for this expansion (0.01).

### 3.2.3 Options for random orientation calculations

**calculate\_t\_matrix:** Integer switch selecting whether the  $T$  matrix is read from a file (= 0), calculated in its entirety and written to a file (= 1), or calculated beginning with the next largest order of a partially-calculated  $T$  matrix read from a file, and appended to the same file (= 2). Option 0 allows for calculation of random properties for different incident beam configurations (plane wave or Gaussian) without having to recalculate the  $T$  matrix. Option 1 calculates the  $T$  matrix elements using the sequential solution of Eqs. (29) until a set convergence criterion is reached. Option 2 is included for situations in which option 1 is interrupted prior to convergence; the calculations will pick up where the interrupted run left off and continue until convergence (1).

**t\_matrix\_file:** File name for the file to which the  $T$  matrix is read (option 0), written (option 1), or read and appended (2). Note that the  $T$  matrix will be written to a file regardless of whether it is intended to be used again in subsequent runs: the file serves as temporary storage of  $T$  matrix columns during calculation (`tmatrix-temp.dat`).

**t\_matrix\_convergence\_epsilon:** Calculation of the  $T$  matrix is accomplished by solution of the interaction equations for a sequence of right hand sides, with each RHS corresponding to the order  $l$ , degree  $k$ , and mode  $q$  component of a generalized plane wave expansion centered about the focal point. For each order  $l$  the random-orientation extinction and scattering efficiencies of the cluster are calculated, and a converged  $T$  matrix is identified when the absolute difference in the efficiencies, from one order to the next, decreases below this convergence epsilon ( $10^{-6}$ ).

### 3.2.4 Termination of input data

Reading of options from the input file will terminate without error when the end-of file is reached. Alternatively, the input can be terminated by using the parameter ID of `end_of_options`; the input process will be closed when this line is reached, and ID/parameter values located after this line will have no influence on the run. This statement is useful for quick modification of an input file, in that ID/parameter pairs can be shuffled either before or after the `end_of_options` line within the same file to set up different runs. The `sphere_sizes_and_positions` ID has the same effect as `end_of_options` when sphere sizes and positions are read from a separate file, yet when the sizes/positions are appended to the input file the ID preceding the data must be `sphere_sizes_and_positions`.

## 3.3 Parallel considerations

The code employs parallelization during four computational tasks: 1) the matrix-vector product  $H^{i-j} a^j$  appearing in Eq. (4); 2) the solution of Eq. (29) for the different right-hand-side vectors; 3) computation of the expansion coefficients for the random orientation scattering matrix representation; and 4) calculation of the near field values. The last two steps involve a straightforward distribution of non-recursive computational tasks among the  $N_P$  processors used in the run. The first two tasks, however, occur simultaneously during calculation of the  $T$  matrix. The strategy used is to subdivide the  $N_P$  processors via  $N_P = N_1 \cdot N_2$ . Each member of the  $N_2$  group is involved in a solution, for given right-hand-side, to Eq. (29), and associated with this member are the  $N_1$  processors which are used to perform the matrix-vector product during iteration. The maximum efficiency is obtained when  $N_1$  is made as small as possible and, by extension,  $N_2$  as large as possible; this minimizes the overall amount of data transfer among processors required to complete a  $T$  matrix calculation. In general, the minimum value of  $N_1$  will be determined by the ratio of the memory required to store the complete set of translation matrix elements to the memory available to a single processor, with the latter quantity user-set by the variable `max_memory_per_processor`.

In fixed orientation calculations the matrix-vector product in Eqs. (4) is computed using the minimum of  $(N_S, N_P)$  processors. That is, for a calculation involving 10 spheres, run on 30 processors, 20 of the processors will be idle during solution of Eq. (4). All processors will be put to use for subsequent near field calculations, if performed.



## 3.4 Output

### 3.4.1 Run (intermediate) print file

The purpose of the run output is to inform the user of the options and parameters of the calculation, including the number of equations in Eq. (4), the memory used to store the translation matrices, and an estimate of the time required to complete the calculation. Intermediate output, of a form dependent on the run options (i.e., fixed or random) will appear in the run print file during execution. Warnings and errors will also appear here.

Runs on parallel platforms should have the run print file set to a filename as opposed to the standard output; parallel jobs typically have the standard output redirected to a file, and choosing a set run print file will result in a somewhat cleaner output than what would be produced by redirection. Each write statement to the run file is followed, in the code, by a flush statement, and this allows the user to follow the output, without appreciable buffer delay, by refreshing the file in the viewing application.

### 3.4.2 Output file

Information included in the output file includes

- The relevant run parameters and options for the run, i.e., fixed or random, incident angles, GB, etc.
- A listing of the sphere properties, enumerated in the same order as given in the position file. Sphere data includes the size parameter and  $k$  – scaled position with respect to the focal point, refractive index, the unpolarized extinction, independent scattering, and absorption efficiencies, and the ratio of actual to Lorenz-Mie absorption efficiencies. For fixed orientation the efficiencies are computed from the average of the  $\beta$  and  $\alpha$  incident polarization values, and will correspond to values for unpolarized incident radiation. Both the fixed and random orientation efficiency results account for the specified GB conditions.
- The total extinction, scattering, and absorption efficiencies of the target, for unpolarized incident radiation and defined with respect to the volume–mean size parameter, and the asymmetry parameter.
- For fixed orientation calculations, the total efficiency factors for incident polarization parallel and perpendicular to the scattering plane (new in revision 1.2).
- The scattering matrix values for the set range of scattering angles. The phase function  $S_{11}$  is normalized so that

$$\frac{1}{2} \int_0^\pi S_{11}(\theta) \sin \theta d\theta = 1 \quad (37)$$

and the remaining elements are scaled by  $S_{11}$  at the same angle, i.e.,  $S_{12}(\theta)/S_{11}(\theta)$ . The listing is limited to the matrix values  $S_{ij}$  with  $j \geq i$ . In the case of random orientation the lower diagonal elements can be obtained from symmetry. Users who need the full scattering matrix for fixed orientation will need to modify the output statements in the main program.

- For random orientation runs, the coefficients for the generalized spherical function expansion of the random orientation scattering matrix. The expansion includes orders 0 to  $2L$ , where  $L$  is the order of the  $T$  matrix. Users can consult the main program to see the use of these coefficients in calculating scattering matrix values.

### 3.4.3 Near field file

The first line in the near field file contains the pair  $N_{F,X'}$  and  $N_{F,Y'}$ , which are the number of data points in the  $X'$  and  $Y'$  directions:

$$N_{F,X'} = 1 + \frac{X'_2 - X'_1}{\Delta x}, \quad N_{F,Y'} = 1 + \frac{Y'_2 - Y'_1}{\Delta x} \quad (38)$$

The second line contains the number  $N_{I.S.}$ , which is the number of spheres with boundaries that intersect the near field plotting plane. The next  $N_{I.S.}$  lines contain  $kX'_{i,I.S.}$ ,  $kY'_{i,I.S.}$ , and  $R_{i,I.S.}$ , which denote the  $X'$  and  $Y'$  position of the  $i^{th}$  intersecting sphere's origin when projected onto the plotting plane, and the radius of the circle formed on the plane by the intersection of the sphere. This information can be used with a graphics package to draw the locations of the sphere boundaries on top of the field plots. The remaining  $N_F = N_{F,X'} \cdot N_{F,Y'}$  lines in the file contain the calculation points and field values. The first pair of numbers is the position  $kX'$ ,  $kY'$  of the data point, and the remaining columns for the line depend on the choice of `near_field_output_data`. For option 0 the single number  $|\mathbf{E}|^2$  is written, option 1 writes the real and complex parts of the 3 cartesian components of the complex vector  $\mathbf{E}$  (six numbers in all), and option 2 writes the complex electric  $\mathbf{E}$  and magnetic  $\mathbf{H}$  field vectors (12 numbers). The cartesian components are based on the target reference frame. The electric and magnetic field values are scaled to the corresponding field amplitudes of the incident field at the target origin. Using this convection, the magnetic field values for a general VSWF expansion are calculated by

$$\mathbf{H}(\mathbf{r}) = \frac{\mathbf{m}}{\mathbf{i}} \sum_{n=1}^{\mathbf{m}} \sum_{m=-n}^n \sum_{p=1}^2 g_{mnp} \mathbf{N}_{mn3-p}(\mathbf{r}) \quad (39)$$

in which  $g$  denote the coefficients of the expansion ( $a$ ,  $f$ , or the internal field coefficients for regions inside a sphere) and  $\mathbf{m}$  is the local refractive index, which will be 1 for regions outside a sphere. Observe that the operation  $3 - p$  switches the mode from one to the other.

## 4 Examples

### 4.1 Calculation of random orientation properties for a spherical cluster

The first example will demonstrate how MSTM is used to calculate the random-orientation properties of a spherical cluster of spheres. The target is shown in Fig. 3, and consists of  $N_S = 375$  spheres distributed uniformly into a spherical volume with an average volume fraction of  $c = 0.1$ . The spheres are identical, with size parameter  $x_S = 2$  and refractive index  $\mathbf{m} = 1.31 + 0.1\mathbf{i}$ . The size parameter of the circumscribing sphere of the target is  $x_C = x_S(N_S/c)^{1/3} = 31.1$ .

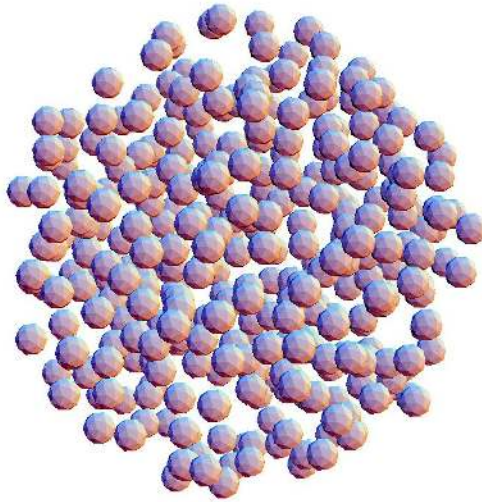


Figure 3:  $N_S = 375$  spherical cluster

The input file for the run is

```
number_spheres
375
sphere_position_file
ran375fvp1.pos
output_file
example_1.dat
run_print_file
run.dat
length_scale_factor
2.d0
real_ref_index_scale_factor
1.31d0
imag_ref_index_scale_factor
0.1d0
fixed_or_random_orientation
1
min_scattering_angle_deg
0.d0
max_scattering_angle_deg
180.d0
number_scattering_angles
361
gaussian_beam_constant
0.0d0
gaussian_beam_focal_point
0.d0,0.d0,0.d0
```

```
calculate_t_matrix
1
```

Options/parameters not listed are taken to be the default values; the options relating to the GB are actually the default conditions (i.e., a plane wave) and could have been omitted. Options relating to fixed orientation calculations – which are not relevant to this run – could also have appeared in the file with no harm done.

The run was executed on the COE HPCC on 128 processors. The file `run.dat` provides a listing of the intermediate results:

```
input file is mstm.inp
position data has 4 records
number of spheres, volume size parameter: 375 0.14422E+02
position file:ran375fvpl.pos
output file:example_1.dat
length, ref. indx. scale factors: 2.000 1.310 0.100
thetamin, thetamax, num. theta: 0.0 180.0 361
epsmie, epssofn, max number iterations: 0.1000E-03 0.1000E-09 2000
plane wave incidence
random orientation calculations
t matrix calculated, stored in file tmatrix-temp.dat
t matrix convergence epsilon: 0.1000E-03
number of processors, number groups, memory per processor: 128 128 917.796
maximum translation matrix storage: 917.7960 MB
minimum translation matrix storage: 917.7960 MB
maximum sphere order: 5
estimated T matrix order: 39
number of equations: 26250
time per iteration: 5.45 sec
time per group solution: 1.65 min
estimated t matrix calculation time: 41.28 min
```

n	# its	qxct	qabs	qsca	error	est. time rem.
1	16	0.34450E-01	0.11447E-01	0.23003E-01	0.34450E-01	41.28 min
2	16	0.87042E-01	0.29132E-01	0.57910E-01	0.52592E-01	41.28 min
3	16	0.15827E+00	0.53259E-01	0.10501E+00	0.71231E-01	41.28 min
4	16	0.24947E+00	0.84319E-01	0.16515E+00	0.91197E-01	41.28 min
5	16	0.36046E+00	0.12224E+00	0.23822E+00	0.11099E+00	41.28 min
6	16	0.49013E+00	0.16672E+00	0.32340E+00	0.12967E+00	41.28 min
7	16	0.63884E+00	0.21770E+00	0.42114E+00	0.14871E+00	41.28 min
8	16	0.80638E+00	0.27489E+00	0.53150E+00	0.16755E+00	39.52 min
9	16	0.99261E+00	0.33865E+00	0.65396E+00	0.18623E+00	39.52 min
10	16	0.11980E+01	0.40888E+00	0.78915E+00	0.20542E+00	39.52 min
11	14	0.14224E+01	0.48543E+00	0.93692E+00	0.22432E+00	40.83 min
12	14	0.16638E+01	0.56818E+00	0.10956E+01	0.24146E+00	40.83 min
13	15	0.19214E+01	0.65672E+00	0.12646E+01	0.25754E+00	36.57 min
14	15	0.21965E+01	0.75106E+00	0.14454E+01	0.27516E+00	36.57 min
15	15	0.24885E+01	0.85160E+00	0.16369E+01	0.29199E+00	36.57 min
16	15	0.27937E+01	0.95747E+00	0.18362E+01	0.30516E+00	36.88 min

(and after some time...)

```
35 14 0.74080E+01 0.27411E+01 0.46668E+01 0.15455E-02 10.28 min
```

```

36 15 0.74084E+01 0.27415E+01 0.46670E+01 0.48490E-03 9.07 min
37 15 0.74086E+01 0.27416E+01 0.46670E+01 0.14070E-03 6.65 min
38 15 0.74086E+01 0.27416E+01 0.46670E+01 0.37832E-04 4.51 min
T matrix converged, order: 38
execution time: 46.72 min
t matrix read time: 20.85 sec
d matrix calculation, order+degree per proc.: 46.32
d matrix time: 10.26 sec
scat matrix coef time: 1.88 sec

```

The processors for this particular run are set up so that each processor independently performs a solution to Eq. (29), i.e.,  $N_1 = 1$  and  $N_2 = 128$  (Sec. (3.3)). This requires the processor to store the complete set of translation matrices, which amount to around 1 GB. The number of equations in the listing refers to the number of unknowns in Eqs. (4). The columns show the current order to the  $T$  matrix solution, the average number of iterations required for a solution, the current values of random-orientation efficiency factors (defined relative to the volume mean size parameter), the error, and the estimated time required for the solution to complete. The time estimate is just that – it can be too low or too high – but it typically is in the right ballpark. Note that the first set of solutions to Eqs. (29) performed by the 128 processors covered orders 1-7 (7 orders would require  $2 \cdot 7 \cdot 9 = 126$  solutions, including degree and mode), and the first seven orders listed therefore have the same estimated time remaining. The  $T$  matrix for this run converged in 38 orders, and the actual execution time was 46.7 min. The last few lines list the times associated with calculating the expansion coefficients for the random orientation scattering matrix; this calculation will typically be a small fraction of the overall computation.

The output file is `example_1.dat`, and a selection of the file is shown in Fig. 4. The sphere positions for the position file used in the calculations are ordered from the center of the cluster outwards, and the results show a relative decrease in absorption for spheres closer to the center; this is expected because propagation of radiation into the cluster is attenuated by absorption.

## 4.2 Electric field distribution in a slab of spheres

This example run calculates the electric field distribution in and about a cylindrically-shaped cluster. The target, illustrated in Fig. 5, consists of  $N_S = 3000$  identical spheres with  $x_S = 4$ ,  $m = 1.6 + 0.01i$ , uniformly and randomly packed into a circular cylinder of radius = axial length, with an average volume fraction of 0.5. The target is excited with an  $\hat{x}$ -polarized Gaussian profile beam of width  $k\omega_0 = 20$  (`gaussian_beam_constant=0.05`) which propagates along the axis of the cylindrical target and is focussed on the target center.

The input file for the run is as follows

```

number_spheres
3000
sphere_position_file
cyl3000fvp5.pos
output_file
example_2a.dat
run_print_file
run.dat
length_scale_factor
4.d0

```

```

ran375fvp1.pos
output file:
example_1.dat
length, ref. indx. scale factors:
2.000 1.310 0.100
thetamin, thetamax, num. theta:
0.0 180.0 361
epsmie, epssoin, max number iterations:
0.1000E-03 0.1000E-09 2000
plane wave incidence
random orientation calculations
t matrix calculated, stored in file
tmatrix-temp.dat
t matrix convergence epsilon:
0.1000E-03
sphere S.P., pos. (x,y,z), ref. index, Qext, Qsca, Qabs, Qabs/LM
1 2.0000 0.0000 0.0000 0.0000 1.3100 0.1000 0.10087E+01 0.26675E+00 0.29631E+00 0.5226
2 2.0000 -0.0410 -0.0254 -4.4516 1.3100 0.1000 0.10135E+01 0.27136E+00 0.30091E+00 0.5307
3 2.0000 -4.2862 -1.7329 1.2910 1.3100 0.1000 0.10196E+01 0.28554E+00 0.31585E+00 0.5571
4 2.0000 1.5268 4.5862 3.1316 1.3100 0.1000 0.10430E+01 0.28520E+00 0.31553E+00 0.5565

(more lines of single sphere data)

372 2.0000 16.7016 21.8880 -14.0950 1.3100 0.1000 0.10057E+01 0.41317E+00 0.45802E+00 0.8078
373 2.0000 2.9126 30.5920 -3.8676 1.3100 0.1000 0.10105E+01 0.41457E+00 0.45963E+00 0.8107
374 2.0000 -2.0096 29.2300 10.0800 1.3100 0.1000 0.10268E+01 0.39928E+00 0.44265E+00 0.7807
375 2.0000 5.9862 16.6838 -25.4620 1.3100 0.1000 0.10139E+01 0.42103E+00 0.46751E+00 0.8246

total ext, abs, scat efficiencies, w.r.t. xv, and asym. parm
0.74086E+01 0.27416E+01 0.46670E+01 0.90907E+00
scattering matrix elements
theta s11 s22 s33 s44 s21 s32 s31 s42 s41
0.00 0.5955E+02 0.1000E+01 0.1000E+01 0.1000E+01 0.0000E+00 -0.1151E-04 0.0000E+00 0.0000E+00 0.6108E-04
0.50 0.5859E+02 0.1000E+01 0.1000E+01 0.1000E+01 -0.3356E-04 -0.1169E-04 0.3421E-04 0.1241E-08 0.1788E-10
1.00 0.5577E+02 0.1000E+01 0.1000E+01 0.1000E+01 -0.1343E-03 -0.1222E-04 0.1377E-03 0.5026E-08 0.4036E-10
1.50 0.5134E+02 0.1000E+01 0.1000E+01 0.1000E+01 -0.3027E-03 -0.1312E-04 0.3132E-03 0.1155E-07 -0.3886E-10
2.00 0.4564E+02 0.1000E+01 0.1000E+01 0.1000E+01 -0.5391E-03 -0.1445E-04 0.5657E-03 0.2118E-07 -0.4380E-09

(more lines of scattering matrix data)

178.50 0.1665E-02 0.6225E+00 -0.62223E+00 -0.2470E+00 0.9107E-02 0.1497E-05 -0.6214E-02 0.4856E-05 -0.7344E-04 -0.2340E-02
179.00 0.1689E-02 0.6194E+00 -0.6194E+00 -0.2398E+00 0.4139E-02 0.3002E-06 -0.2816E-02 0.2644E-05 -0.3256E-04 -0.2327E-02
179.50 0.1704E-02 0.6176E+00 -0.6176E+00 -0.2354E+00 0.1049E-02 0.1893E-07 -0.7122E-03 0.7962E-06 -0.8127E-05 -0.2319E-02
180.00 0.1709E-02 0.6170E+00 -0.6170E+00 -0.2339E+00 0.1044E-12 0.1866E-27 -0.7087E-13 0.7651E-16 -0.8052E-15 -0.2316E-02
scattering matrix expansion coefficients
w a11 a22 a33 a32 a23 a31 a34 a44 a12 a13 a14 a24 a15 a25 a35 a45
0 0.4667E+01 0.0000E+00 0.0000E+00 0.0000E+00 0.0000E+00 0.4534E+01 0.0000E+00 0.0000E+00 0.0000E+00 0.0000E+00 0.0000E+00 0.1248E-03
1 0.1273E+02 0.0000E+00 0.0000E+00 0.0000E+00 0.0000E+00 0.1268E+02 0.0000E+00 0.0000E+00 0.0000E+00 0.0000E+00 0.0000E+00 0.2969E-03
2 0.1933E+02 0.7498E-01 0.2142E+02 -0.2034E-08 -0.4474E-03 0.1944E+02 -0.6211E+00 0.2837E+00 -0.5535E-04 0.1097E-04 0.8525E-03
3 0.2574E+02 0.1080E+00 0.2647E+02 -0.1340E-08 -0.2164E-03 0.2562E+02 -0.5417E+00 0.1565E+00 0.1092E-03 0.9939E-04 0.2072E-02

(the rest of the scattering matrix expansion coefficients, up to w = 2L = 76)

```

Figure 4: Output file listing for example 1.

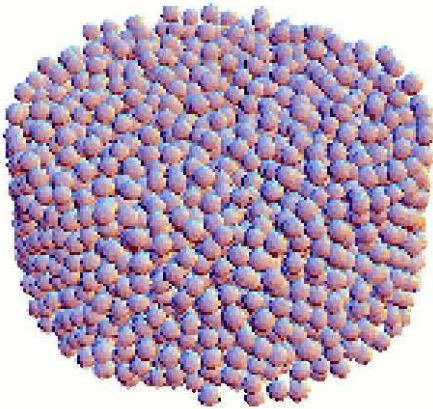


Figure 5:  $N_S = 3000$  sphere target

```
real_ref_index_scale_factor
1.6d0
imag_ref_index_scale_factor
0.01d0
fixed_or_random_orientation
0
track_iterations
1
calculate_scattering_coefficients
1
scattering_coefficient_file
amn_cylslab.dat
scattering_plane_angle_deg
0.d0
incident_azimuth_angle_deg
0.d0
incident_polar_angle_deg
0.d0
gaussian_beam_constant
0.05d0
gaussian_beam_focal_point
0.d0,0.d0,0.d0
calculate_near_field
1
near_field_plane_coord
1
near_field_plane_position
```

```
0.d0
near_field_plane_vertices
-80.d0,-80.d0,80.d0,80.d0
spacial_step_size
.2d0
polarization_angle_deg
0.d0
near_field_output_file
nf-temp.dat
near_field_output_data
1
plane_wave_epsilon
0.01d0
```

The job was run on 128 processors. After about 10 minutes of run time, the intermediate output file `run.dat` contains

```
input file is mstm.inp
position data has 4 records
number of spheres, volume size parameter: 3000 0.57690E+02
position file:cyl3000fvp5.pos
output file:example_2a.dat
length, ref. indx. scale factors: 4.000 1.600 0.010
thetamin, thetamax, num. theta: 0.0 180.0 181
epsmie, epssoln, max number iterations: 0.1000E-03 0.1000E-09 2000
gaussian incident beam: 1/width: 0.0500
beam focal point: 0.000 0.000 0.000
fixed orientations calculations
scattering plane, incident alpha, beta: 0.00 0.00 0.00
common expansion epsilon: 0.1000E-02
scattering coefficients calculated, stored in file amn_cylslab.dat
near field calculated, stored in file nf-temp.dat
near field data output option: 2
near field plane, position: 1 0.000
near field plane vertices: -80.000 -80.000 80.000 80.000
spacial step size: 0.2000
polarization angle, deg.: 0.00
plane wave epsilon: 0.1000E-01
number of processors, number groups, memory per processor: 128 1 1107.756
maximum translation matrix storage:1134.3418 MB
minimum translation matrix storage:1087.0775 MB
maximum sphere order: 7
estimated T matrix order: 101
number of equations: 378000
time per iteration: 38.04 sec
iter, err: 1 0.30257E+02
iter, err: 2 0.25037E+03
iter, err: 3 0.35267E+04
iter, err: 4 0.58164E+01
iter, err: 5 0.74634E+01
iter, err: 6 0.37805E+02
```



```
iter, err: 7 0.14465E+03
iter, err: 8 0.27319E+02
```

This shows that the calculation consists of solving  $3.78 \times 10^5$  complex-valued equations for the two incident polarization states. This solution will take some time to converge via iteration – almost nine hours, in this case – and that is why the `track_iterations` option is set; it allows one to gauge whether the solution is getting anywhere. The final lines of the run file appear as

```
iter, err: 850 0.37878E-09
iter, err: 851 0.20156E-09
iter, err: 852 0.17621E-09
max iterations, soln error: 937 0.84522E-10
execution time: 8.576 hours
near field calculations
plane, position: 1 0.000
rectangular plot vertices:
min: -80.000 -80.000
max: 80.000 80.000
number of plotting points, step size: 641601 0.200
max plane wave order: 127
estimated time remaining: 6.79 min
estimated time remaining: 4.96 min
estimated time remaining: 3.17 min
estimated time remaining: 1.56 min
estimated time remaining: 0.00 sec
```

The near field grid specified in the input file consists of the square on the  $y - z$  plane of  $-80 \leq ky \leq 80$  and  $-80 \leq kz \leq 80$ , with a step size of  $\Delta ky = \Delta kz = 0.2$ . The polarization angle is 0, i.e., in the  $\hat{\beta}$  direction, and since  $\beta = 0$  (incidence along the  $z$  axis), the incident polarization points in the  $x$  direction. The plane on which the field is mapped is therefore perpendicular to the incident polarization direction. Figure 6 shows results from the calculations. Plotted is the real part of the  $x$  component of electric field. The plot on the left is the grid generated by the original input file, and the plot on the right provides a higher resolution map in the region of the focal point. Numbers for the second plot were generated from a recalculation of the field distribution using the scattering coefficients generated from the original calculation. Per the information in `run.dat`, calculation of the near field values required around 7 minutes, as opposed to around 9 hours to calculate the scattering coefficients. The input file for this second run is

```
number_spheres
3000
sphere_position_file
cyl3000fvp5.pos
output_file
temp.dat
run_print_file
run.dat
length_scale_factor
4.d0
real_ref_index_scale_factor
1.6d0
imag_ref_index_scale_factor
0.01d0
```

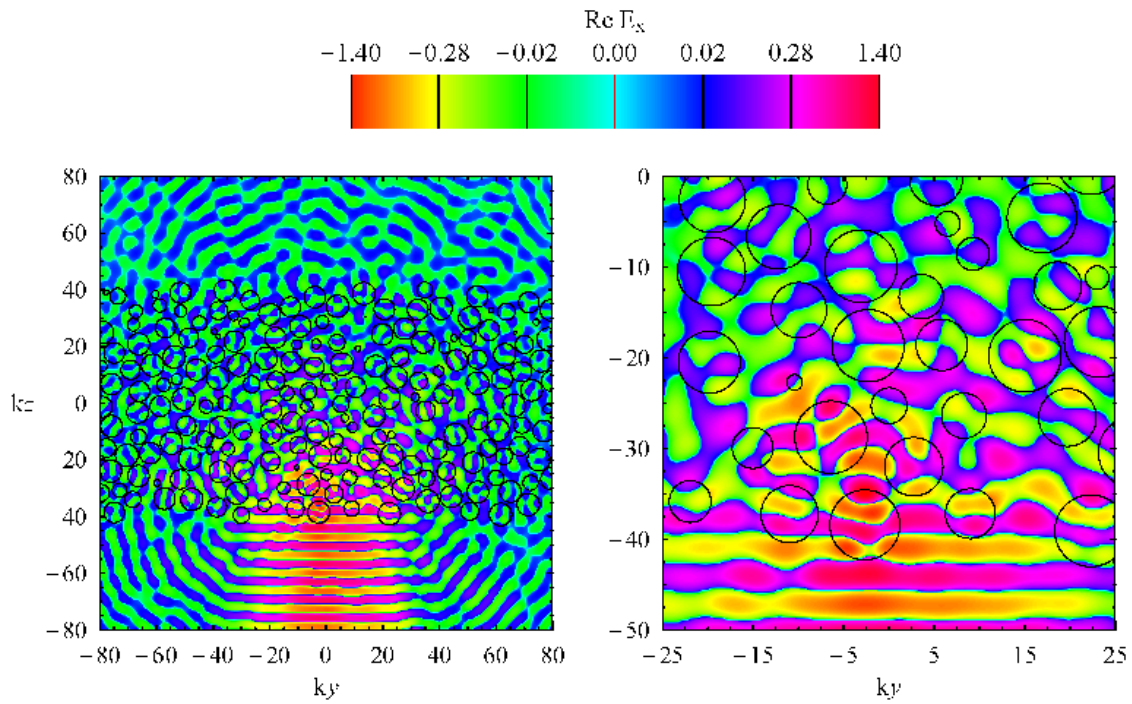


Figure 6:  $\text{Re} \hat{\mathbf{x}} \cdot \mathbf{E}$  distributions,  $y - z$  plane,  $x = 0$ .

```

fixed_or_random_orientation
0
calculate_scattering_coefficients
0
scattering_coefficient_file
amn_cylslab.dat
scattering_plane_angle_deg
0.d0
incident_azimuth_angle_deg
0.d0
incident_polar_angle_deg
0.d0
gaussian_beam_constant
0.05d0
gaussian_beam_focal_point
0.d0,0.d0,0.d0
calculate_near_field
1
near_field_plane_coord
1
near_field_plane_position

```

```

0.d0
near_field_plane_vertices
-25.d0,-50.d0,25.d0,0.d0
spacial_step_size
.1d0
polarization_angle_deg
0.d0
near_field_output_file
nf-temp.dat
near_field_output_data
2
plane_wave_epsilon
0.01d0

```

A 3-D representation of the field – in which the  $z$  axis is represented by time – is shown in the animation [cyl-z-movie5.avi](#). The animation shows a color density plot of  $|\mathbf{E}|^2$  in the  $x-y$  planes, with the animation variable being the  $z$  position of the plane. Sphere boundaries are denoted by black lines, and they grow and diminish as the plane sweeps through the spheres. The localization and diffusion of the field can be directly observed. The frames for the animation were created by a simple modification of the main program, and this modification is discussed in the next section.

## 5 Code elements and customization

The prepackaged main program allows for a wide set of calculation and output options, yet many users may prefer to modify the main program to enable a looped set of calculations (i.e., over a set range of sphere size parameters or incident angles) or to generate output not provided by the standard package (such as scattering matrix values over a hemisphere or near field values on a non-rectangular grid). The purpose of this section is to describe the basic structure, calculation sequence, and subroutine calling conventions, with the intention of giving the user sufficient information to modify the code to their own purposes.

### 5.1 Standard main program structure

The listing for the standard main program is sparsely commented. Given here is a more detailed description of the code. The preamble (declarations) is skipped, yet note that the `implicit none` convention is used throughout and all variables must be explicitly declared.

1. Read input file parameters and options (using either the default input file or that specified from the command line):

```

printinputdata=1
numargs=mstm_nargs()
if(numargs.eq.0) then
    inputfile='mstm.inp'
else
    call mstm_getarg(inputfile)
endif
call inputdata(inputfile,printinputdata)

```

`mstm_nargs` and `mstm_getarg` is aliases for command line number of arguments and retrieval. These functions/subroutines need to be defined appropriate to the user's compiler in `mstm-intrinsics.f90`.

2. Retrieve run and sphere variables, and allocate arrays:

```
call getspheredata(number_spheres=nsphere)
allocate(xsp(nsphere),rpos(3,nsphere),nodr(nsphere),ntran(nsphere), &
         ri(nsphere),sphereblk(nsphere),sphereoff(nsphere))
call getspheredata(sphere_size_parameters=xsp,sphere_positions=rpos, &
                 sphere_refractive_indices=ri,volume_size_parameter=xv)
call getrunparameters(mie_epsilon=epsmie,translation_epsilon=epstran, &
                    solution_epsilon=epsoln,max_number_iterations=niter, &
                    fixed_or_random_orientation=fixedorrandom,output_file=outfile, &
                    min_scattering_angle_deg=theta1d,max_scattering_angle_deg=theta2d, &
                    number_scattering_angles=numtheta,gaussian_beam_constant=cbeam, &
                    gaussian_beam_focal_point=gbfocus,run_print_unit=runprintunit, &
                    max_memory_per_processor=maxmbperproc)
if(numtheta.gt.0) then
    allocate(smt(4,4,numtheta))
endif
endif
```

The arrays `xsp`, `rpos`, `nodr`, `ntran`, `ri` are the size parameters,  $x, y, z$  positions, refractive indices, and sphere-based and target-based order limits for the spheres. The subroutines `getspheredata` and `getrunparameters` retrieve the sphere and run information as read from the input and sphere position files.

3. Calculate single-sphere properties (Mie coefficients, expansion order limits, etc.):

```
call miecoefcalc(nsphere,xsp,ri,epsmie)
call getmiedata(sphere_order=nodr,max_order=nodrmax,number_equations=neqns, &
              sphere_block=sphereblk,sphere_block_offset=sphereoff)
```

The arrays `sphereblk`, `sphereoff` are used to define the address of the scattering coefficients for a particular sphere in a single, compacted array containing the scattering coefficients for all the spheres. `neqns` is the number of complex equations in Eq. (4).

4. Initiate the MPI environment and determine the distribution of processors into groups for  $T$  matrix calculation:

```
call ms_mpi(mpi_command='init')
call ms_mpi(mpi_command='size',mpi_size=numprocs)
call ms_mpi(mpi_command='rank',mpi_rank=rank)
call mpisetup(nsphere,nodr,fixedorrandom,maxmbperproc,runprintunit)
call mpirottrmtrxsetup(nsphere,nodr,rpos,(1.d0,0.d0))
call ms_mpi(mpi_command='barrier')
```

Precisely what happens in this step depends on whether the code is run on a serial (compiled with `mpidefs-serial.f90`) or a parallel (`mpidefs-parallel.f90`) machine. Note that only aliases of the MPI instructions appear in the main program and the subroutines in `mstm-modules.f90`; the connections between the aliases and the actual MPI instructions are contained in either `mstm-parallel`

or `mstm-serial`. When a serial job is run, the MPI instructions basically do nothing except return. The structure of the MPI alias subroutine calls will be discussed in a subsequent section. `numprocs` and `rank` are the number of processors and rank (processor ID) for the run. Subroutine `mpisetup` partitions the processors into groups for solution of Eq. (29). Subroutine `rottranmtrxsetup` calculates and stores the translations matrices for the interaction equations.

5. Determine the orders required to expand the sphere-based expansions about the target origin:

```
call tranorders(nsphere,nodr,rpos,epstran,ntran,nodrt)
```

6. The code now reaches a branch based on the fixed or random orientation option.

If `fixed_or_random_orientation = 1` (random orientation option), then

- 6.a. Calculate the  $T$  matrix per the sequential solutions to Eq. (29) and write/append to file, or read the  $T$  matrix from the specified file:

```
call getrunparameters( calculate_t_matrix=calctmatrix,t_matrix_file=tmatrixfile, &
    t_matrix_convergence_epsilon=epstcon)
allocate(qext(nsphere,1), qabs(nsphere,1))
if(calctmatrix.ge.1) then
    if(rank.eq.0) time1=mytime()
    call tmatrixsoln(neqns,nsphere,nodr,nodrt,xsp,rpos,epssoln,&
        epstcon,niter,calctmatrix,tmatrixfile,qext,qabs,qsc,istat)
    if(rank.eq.0) then
        time2=mytime()-time1
        call timewrite(runprintunit,' execution time:',time2)
    endif
    call rottranmtrxclear()
else
    if(rank.eq.0) then
        open(3,file=tmatrixfile)
        read(3,*) nodrt
        close(3)
        write(runprintunit,('' t matrix order:'' ,i5)') nodrt
        call flush(runprintunit)
    endif
    nodrta(1)=nodrt
    call ms_mpi mpi_command='bcast',mpi_send_buf_i=nodrta, &
        mpi_number=1,mpi_rank=0)
    nodrt=nodrta(1)
    call ms_mpi(mpi_command='barrier')
endif
```

The subroutine `tmatrixsoln` is called only to calculate elements of the  $T$  matrix, either from the beginning or from the next order of a partially-calculated matrix. If `calctmatrix = 0`, indicating the  $T$  matrix already exists in the file, the file is opened and the order `nodrt` of the  $T$  matrix is read.

- 6.b. Calculate the random orientation efficiency factors, the scattering matrix expansion coefficients, and the scattering matrix values for the specified range of scattering angles:

```

nblkt=nodrt*(nodrt+2)
nodrg=nodrt*2
allocate(smc(4,4,0:nodrg))
call ranorientscatmatrix(xv,nsphere,nodrt,nodrg,cbeam, &
    tmatrixfile,smc,qext,qabs)
if(rank.eq.0) then
    qexttot=sum(qext(:,1)*xsp*xsp)/xv/xv
    qabstot=sum(qabs(:,1)*xsp*xsp)/xv/xv
    qscatot=qexttot-qabstot
    asymparm=dbl(smc(1,1,1)/smc(1,1,0))/3.d0
    call ranorientscatmatrixcalc(numtheta,theta1d,theta2d,&
        1,smc,nodrg,smt)
endif

```

smc is the array of scattering matrix expansion coefficients and smt is the  $4 \times 4 \times \text{numtheta}$  array of scattering matrix values. Only the upper triangular elements are computed.

7. If `fixed_or_random_orientation = 0` (fixed orientation option), then

7.a. Calculate the sphere scattering coefficients per solution to Eq. (4) for the set  $\alpha$ ,  $\beta$  and write to file, or read sphere scattering coefficients from file:

```

call getrunparameters(calculate_scattering_coefficients=calcamn, &
    scattering_coefficient_file=amnfile, &
    scattering_plane_angle_deg=phideg, &
    incident_azimuth_angle_deg=alphadeg, &
    incident_polar_angle_deg=betadeg, &
    track_iterations=trackiterations)
alpha=alphadeg*pi/180.d0
beta=betadeg*pi/180.d0
phi=phideg*pi/180.d0
allocate(amnp(neqns,2))
allocate(qext(nsphere,2), qabs(nsphere,2))
if(calcamn.eq.1) then
    if(rank.eq.0) time1=mytime()
    call fixedorsoln(neqns,nsphere,nodr,alpha,beta,cbeam,gbfocus, &
        xsp,rpos,epssoln,epstran,niter,amnp,qext,qabs,qscamaxerr, &
        maxiter,trackiterations,istat)
    if(rank.eq.0) then
        time2=mytime()-time1
        write(runprintunit,'('' max iterations, soln error:'' , &
            & i6,e13.5)') maxiter,maxerr
        call timewrite(runprintunit,' execution time:',time2)
        open(3,file=amnfile)
        do i=1,nsphere
            write(3,'(6e13.5)') qext(i,:),qabs(i,:),qscamaxerr(i,:)
            allocate(amnp1(0:nodr(i)+1,nodr(i),2), &
                amnp2(0:nodr(i)+1,nodr(i),2))
            ip1=sphereoff(i)+1
            ip2=sphereoff(i)+sphereblk(i)
            amnp1=reshape(amnp(ip1:ip2,1),(/nodr(i)+2,nodr(i),2/))

```

```

        amnp2=reshape(amnp(ip1:ip2,2),(/nodr(i)+2,nodr(i),2/))
        do n=1,nodr(i)
            do m=-n,n
                if(m.le.-1) then
                    ma=n+1
                    na=-m
                else
                    ma=m
                    na=n
                endif
                write(3,'(4e17.9)') amnp1(ma,na,1),amnp2(ma,na,1)
                write(3,'(4e17.9)') amnp1(ma,na,2),amnp2(ma,na,2)
            enddo
        enddo
        deallocate(amnp1,amnp2)
    enddo
    close(3)
endif
else
    if(rank.eq.0) then
        open(3,file=amnfile)
        do i=1,nsphere
            read(3,'(4e13.5)') qext(i,:),qabs(i,:),qsca(i,:)
            allocate(amnp1(0:nodr(i)+1,nodr(i),2), &
                amnp2(0:nodr(i)+1,nodr(i),2))
            do n=1,nodr(i)
                do m=-n,n
                    if(m.le.-1) then
                        ma=n+1
                        na=-m
                    else
                        ma=m
                        na=n
                    endif
                    read(3,'(4e17.9)') amnp1(ma,na,1),amnp2(ma,na,1)
                    read(3,'(4e17.9)') amnp1(ma,na,2),amnp2(ma,na,2)
                enddo
            enddo
            ip1=sphereoff(i)+1
            ip2=sphereoff(i)+sphereblk(i)
            amnp(ip1:ip2,1)=reshape(amnp1(0:nodr(i)+1,1:nodr(i),1:2), &
                (/sphereblk(i)/))
            amnp(ip1:ip2,2)=reshape(amnp2(0:nodr(i)+1,1:nodr(i),1:2), &
                (/sphereblk(i)/))
            deallocate(amnp1,amnp2)
        enddo
        close(3)
    endif
    nsend=neqns*2
    call ms_mpi(mpi_command='bcast',mpi_send_buf_dc=amnp, &

```

```

        mpi_number=nsend,mpi_rank=0)
endif

```

For most applications, the VSWH expansion functions such as  $a_{mnp}$  are stored in a rank-3 matrix, with elements given by

$$a_{mnp} = \begin{cases} a(n+1, -m, p), & -n \leq m \leq -1 \\ a(m, n, p), & 0 \leq m \leq n \end{cases} \quad (40)$$

The dimensions of the array  $a$  are  $a(0:L+1, L, 2)$ , where  $L$  is the maximum order. The subroutine `fixedorsoln` returns a rank-2 array `amnp(neqns, 2)`, in which the second dimension denotes the incident polarization state ( $\beta$  or  $\alpha$ ) and the first dimension contains the corresponding scattering coefficients for the spheres, in fortran-convention compacted order.

- 7.b. Calculate the sphere efficiency factors, the common-origin, rotated scattered field expansion, and the scattering matrix values for the set range of scattering angles:

```

qext(:,1)=(qext(:,1)+qext(:,2))*0.5d0
qabs(:,1)=(qabs(:,1)+qabs(:,2))*0.5d0
qsca(:,1)=(qsca(:,1)+qsca(:,2))*0.5d0
qexttot=sum(qext(:,1)*xsp*xsp)/xv/xv
qabstot=sum(qabs(:,1)*xsp*xsp)/xv/xv
qscatot=qexttot-qabstot
call rottranmtrxclear()
allocate(amnp0(0:nodrt+1,nodrt,2,2),pmnp0(0:nodrt+1,nodrt,2,2))
do k=1,2
  call amncommonorigin(neqns,nsphere,nodr,ntran,nodrt,rpos, &
    amnp(1:neqns,k),amnp0(0:,1:,1:,k))
  call rotvec(alpha,beta,0.d0,nodrt,nodrt,amnp0(0:,1:,1:,k),1)
enddo
allocate(gmn(0:2))
call s1lexpansion(amnp0,nodrt,0,1,gmn)
asyparm=dbl(gmn(1)/gmn(0))/3.d0
do i=1,numtheta
  thetad=theta1d+(theta2d-theta1d)*(i-1)/max(1.d0,dbl(numtheta-1))
  costheta=cos(thetad*pi/180.d0)
  call scatteringmatrix(amnp0,nodrt,xv,costheta,phi,sa,smt(:, :, i))
enddo
deallocate(amnp0,pmnp0,gmn)

```

8. Write calculation results to output file. These steps are straightforward and need no explanation aside from the code list.
9. If `fixed_or_random_orientation = 0` and `calculate_near_field = 1`, calculate the near field values on the specified array and write to the near field output file.

```

if(fixedorrandom.eq.0) call getrunparameters(calculate_near_field=calcnf)
if(fixedorrandom.eq.0.and.calcnf.eq.1) then
  call getrunparameters(near_field_plane_coord=nfplane, &
    near_field_plane_position=nfplanepos,near_field_plane_vertices=nfplanevert, &
    spacial_step_size=deltax,polarization_angle_deg=gamma_deg, &

```



```

        near_field_output_file=nfoutfile,near_field_output_data=nfoutdata, &
        plane_wave_epsilon=epspw)
nfoutunit=2
if(rank.eq.0) then
    open(nfoutunit,file=nfoutfile)
endif
gamma=gammadeg*pi/180.d0
call nearfieldgridcalc(neqns,nsphere,nodr,alpha,beta,cbeam,xsp,rpos,ri,amnp, &
    nfplane,nfplanepos,nfplanevert,deltax,gamma,nfoutunit,epspw, &
    nfoutdata,runprintunit)
if(rank.eq.0) then
    close(nfoutunit)
endif
endif

```

## 5.2 MPI alias instructions

To facilitate the compilation and execution of the code on both serial and parallel machines, the main program and the subroutine modules employ aliases to the standard MPI instruction set. The association of the aliases with the MPI commands is performed solely within `mpidefs-serial.f90` or `mpidefs-parallel.f90`. The general format of the call to the alias subroutine is as follows

```

call ms_mpi(mpi_command=command,mpi_recv_buf_type=recvbuf, &
    mpi_send_buf_type=sendbuf,mpi_number=number, &
    mpi_comm=comm,mpi_group=group,mpi_rank=rank, &
    mpi_size=size,mpi_new_comm=newcom, &
    mpi_new_group=newgroup,mpi_new_group_list=newgrouplist, &
    mpi_operation=operation)

```

All of the arguments are optional with the exception of `mpi_command`, and usage of the subroutine involves the keyword format as shown above. The arguments are as follows:

`mpi_command`: Character string denoting MPI command. Options are 'init', 'finalize', 'size', 'rank', 'group', 'incl', 'create', 'barrier', 'bcast', 'send', 'recv', 'reduce', and 'allreduce', and the commands correspond directly to the associated MPI standard instructions.

`mpi_send_buf_type`: Send buffer for MPI operations. Options for *type* are *i* (integer), *r* (single precision real), *c* (single precision complex), *dp* (double precision), and *dc* (double precision complex). If the command is either 'reduce' or 'allreduce', and `mpi_send_buf_type` is not present in the argument list, the subroutine defaults to `mpi_send_buf_type = mpi_in_place`. The variable associated with the buffer must be an array of at least rank 1; if you need to send a single scalar element, you need to equate it to a scratch array of rank 1 and length 1. For example,

```

integer :: nodrt
call ms_mpi(mpi_command='bcast',mpi_send_buf_i = nodrt, ...

```

will likely produce a compilation error. The way to do it is

```

integer :: nodrt, nodrt_temp(1)
nodrt_temp(1)=nodrt
call ms_mpi(mpi_command='bcast',mpi_send_buf_i = nodrt_temp, ...
nodrt=nodrt_temp(1)

```

`mpi_recv_buf_type`: Receive buffer for MPI operations. Options for *type* are the same as above.

`mpi_number`: Number of buffer elements sent/received. Integer.

`mpi_comm`: MPI communicator, integer. Default (if not present) is `mpi_comm_world`.

`mpi_group`: MPI group, integer.

`mpi_rank`: MPI rank, integer.

`mpi_size`: MPI size (number of processors in group and/or communicator), integer.

`mpi_new_comm`: MPI communicator handle for new group creation, integer. Associated with 'create' command.

`mpi_new_group`: MPI group ID for new group creation, integer. Associated with 'incl' command

`mpi_new_group_list`: Listing of processor ranks for new group , integer rank 1 array. Associated with 'incl' command.

`mpi_operation`: Operation command for 'reduce' and 'allreduce' commands. Options are `ms_mpi_max`, `ms_mpi_min`, and `ms_mpi_sum`. These three variables are integers and are defined globally once the 'init' command has been called, so the sum operation would be invoked by `mpi_operation = ms_mpi_sum`, as written.

Observe that there is no `mpi_type` argument to the `ms_mpi` subroutine. The buffer type is now implicit in the type of the send and/or receive buffer, i.e., `i`, `r`, etc.

### 5.3 Code modification and customizing

The user with average fortran knowledge should be able to modify the main program to suit their specialized computational needs. As an example, the modified main program `mstm-nfloop.f90` was used to create the 'frames' of the near field animation in `cyl-z-movie5.avi`. The preamble to the code is not included in this description. The point of the code is to generate a file of 2-D maps, each at some point  $z$  on the  $x - y$  plane, of the field magnitude in the cylindrically-shaped target excited by a GB. The scattering coefficients have been previously calculated and are stored in the file `amn_cyl.dat`.

- The initial lines assign values to the sphere data and run parameters. The variables `zmin`, `zmax`, and `numcases` are the minimum and maximum  $kz$  positions and the number of frames.

```

nsphere=3000
scalefac=4
rreal=1.6
riimag=0.01
alphadeg=0.d0
betadeg=0.d0
amnfile='amn_cyl.dat'
spherefile='cyltest.pos'
outfile='test2.dat'
nfoutfile='nf-cyl-z.dat'
cbeam=0.05d0

```

```

gbfocus=(/0.d0,0.d0,0.d0/)
runprintunit=3
nfoutunit=2
epsmie=1.d-4
epstran=1.d-6
epssoln=1.d-10
epspw=0.01d0
niter=2000
numcases=401
zmin=-50.d0
zmax=50.d0
nfplane=3
nfplanevert(1,1)=-50.d0
nfplanevert(1,2)=50.d0
nfplanevert(2,1)=-50.d0
nfplanevert(2,2)=50.d0
nfoutdata=0
gammadeg=0.d0
deltax=0.5d0

```

- This sets up the sphere property arrays, and reads the position data from the position file.

```

allocate(xsp(nsphere),rpos(3,nsphere),nodr(nsphere),ntran(nsphere), &
         ri(nsphere),sphereblk(nsphere),sphereoff(nsphere), &
         qext(nsphere,2), qabs(nsphere,2), qext(nsphere,2))
open(1,file=spherefile)
do i=1,nsphere
  read(1,*) xspfile,rposfile
  xsp(i)=xspfile*scalefac
  rpos(:,i)=rposfile(:)*scalefac
  ri(i)=dcmplx(rireal,riimag)
enddo
xv=scalefac*dble(nsphere)**(1.d0/3.d0)
close(1)
alpha=alphadeg*pi/180.d0
beta=betadeg*pi/180.d0
gamma=gammadeg*pi/180.d0

```

- This calculates the Mie coefficients and order limits. It is important to use the same `epsmie` value here as was used when originally calculating the scattering coefficients; this will insure that the order limits calculated by `miecoefcalc` are the same as those appearing in the scattering coefficient file `amn_cyl.dat`.

```

call miecoefcalc(nsphere,xsp,ri,epsmie)
call getmiedata(sphere_order=nodr,max_order=nodrmx,number_equations=neqns, &
               sphere_block=sphereblk,sphere_block_offset=sphereoff)

```

- This reads the scattering coefficients from the file

```

if(allocated(amnp)) deallocate(amnp)

```

```

allocate(amnp(neqns,2))
open(3,file=amnfile)
do i=1,nsphere
  read(3,'(4e13.5)') qext(i,:),qabs(i,:),qsca(i,:)
  allocate(amnp1(0:nodr(i)+1,nodr(i),2),amnp2(0:nodr(i)+1,nodr(i),2))
  do n=1,nodr(i)
    do m=-n,n
      if(m.le.-1) then
        ma=n+1
        na=-m
      else
        ma=m
        na=n
      endif
      read(3,'(4e17.9)') amnp1(ma,na,1),amnp2(ma,na,1)
      read(3,'(4e17.9)') amnp1(ma,na,2),amnp2(ma,na,2)
    enddo
  enddo
  ip1=sphereoff(i)+1
  ip2=sphereoff(i)+sphereblk(i)
  amnp(ip1:ip2,1)=reshape(amnp1(0:nodr(i)+1,1:nodr(i),1:2),(/sphereblk(i)/))
  amnp(ip1:ip2,2)=reshape(amnp2(0:nodr(i)+1,1:nodr(i),1:2),(/sphereblk(i)/))
  deallocate(amnp1,amnp2)
enddo
close(3)

```

- Initialize the MPI environment.

```

call ms_mpi(mpi_command='init')
call ms_mpi(mpi_command='size',mpi_size=numprocs)
call ms_mpi(mpi_command='rank',mpi_rank=rank)
call ms_mpi(mpi_command='barrier')

```

- and this is the loop to calculate the frames. Each frame is appended to the near field output file `nfoutfile`. The option `near_field_output_data = 0` (= `nfoutdata` in the code) is used, so that the single real number  $|E|^2$  is written for each point; this results in a much smaller data file.

```

if(rank.eq.0) then
  open(nfoutunit,file=nfoutfile)
  open(runprintunit,file='run_raw.dat')
  call writerundata(runprintunit)
endif
do case=1,numcases
  nfplaneupos=zmin+(zmax-zmin)*dble(case-1)/dble(numcases-1)
  call nearfieldgridcalc(neqns,nsphere,nodr,alpha,beta,cbeam,xsp,rpos,ri,amnp, &
    nfplane,nfplaneupos,nfplanevert,deltax,gamma,nfoutunit,epspsw, &
    nfoutdata,runprintunit)
enddo
if(rank.eq.0) then
  close(nfoutunit)

```

```

        close(runprintunit)
    endif
    call ms_mpi(mpi_command='finalize')
end

```

## 6 Revisions

### 1.2 (21 February 2011)

- The normalization of the phase function  $S_{11}$  was changed to

$$\frac{1}{2} \int_0^\pi S_{11}(\theta) \sin \theta d\theta = 1$$

- Fixed orientation results now output the efficiency factors for parallel and perpendicular polarization.
- A bug was fixed in the calculation of the fixed orientation scattering matrix. Additional bug fixes for calling of `flush` subroutine and opening/closing the input file.
- An appendix was added to the manual, for defining the definitions of the various mathematical quantities. This appendix will expand with time.

## Appendix: Mathematical relations and definitions

The purpose of this section is to identify the various mathematical quantities appearing in the formulation, and to link the quantities with their coding. Two resources will be used for coding, being 1) the **MSTM** Fortran-90 subroutines and functions, and 2) the *Mathematica* symbolic mathematics package. More often than not, the definitions of functions presented here will not entirely line up with "traditional" or "established" definitions. In particular, the normalizations used for harmonic functions can be different than reported elsewhere.

### Vector Spherical Wave Functions

The VSWF used in the formulation and the code are defined by

$$\mathbf{N}_{mn2}^{(\nu)}(\mathbf{r}) = \left( \frac{2}{n(n+1)} \right)^{1/2} \nabla \times \left( \mathbf{r} \psi_{mn}^{(\nu)}(\mathbf{r}) \right) \quad (41)$$

$$\mathbf{N}_{mn1}^{(\nu)}(\mathbf{r}) = \frac{1}{k} \nabla \times \mathbf{N}_{mn2}^{(\nu)}(\mathbf{r}) \quad (42)$$

where  $\psi$  denotes the scalar wave function;

$$\psi_{mn}^{(\nu)}(\mathbf{r}) = \begin{cases} j_n(kr) Y_{mn}(\cos \theta, \phi) & \nu = 1 \\ h_n(kr) Y_{mn}(\cos \theta, \phi) & \nu = 3 \end{cases} \quad (43)$$

with  $j_n$  and  $h_n = j_n + i y_n$  representing the spherical Bessel and Hankel functions and  $Y_{mn}$  denoting the spherical harmonic,

$$Y_{mn}(\cos \theta, \phi) = \left( \frac{2n+1}{4\pi} \frac{(n-m)!}{(n+m)!} \right)^{1/2} P_n^m(\cos \theta) e^{im\phi} \quad (44)$$

where  $P_n^m$  is the Associated Legendre function.

The cartesian components of the VSWF are given as

$$\begin{aligned} (\hat{\mathbf{x}} + i\hat{\mathbf{y}}) \cdot \mathbf{N}_{mn1}^{(\nu)}(\mathbf{r}) = & - \left( \frac{2}{n(n+1)(2n+1)} \right)^{1/2} \left[ n \left( \frac{(n+m+1)(n+m+2)}{2n+3} \right)^{1/2} \psi_{m+1n+1}^{(\nu)}(\mathbf{r}) \right. \\ & \left. - (n+1) \left( \frac{(n-m)(n-m-1)}{2n-1} \right)^{1/2} \psi_{m+1n-1}^{(\nu)}(\mathbf{r}) \right] \end{aligned} \quad (45)$$

$$\begin{aligned} (\hat{\mathbf{x}} - i\hat{\mathbf{y}}) \cdot \mathbf{N}_{mn1}^{(\nu)}(\mathbf{r}) = & \left( \frac{2}{n(n+1)(2n+1)} \right)^{1/2} \left[ n \left( \frac{(n-m+1)(n-m+2)}{2n+3} \right)^{1/2} \psi_{m-1n+1}^{(\nu)}(\mathbf{r}) \right. \\ & \left. + (n+1) \left( \frac{(n+m)(n+m-1)}{2n-1} \right)^{1/2} \psi_{m-1n-1}^{(\nu)}(\mathbf{r}) \right] \end{aligned} \quad (46)$$

$$\begin{aligned} \hat{\mathbf{z}} \cdot \mathbf{N}_{mn1}^{(\nu)}(\mathbf{r}) = & \left( \frac{2}{n(n+1)(2n+1)} \right)^{1/2} \left[ n \left( \frac{(n+m+1)(n-m+1)}{2n+3} \right)^{1/2} \psi_{mn+1}^{(\nu)}(\mathbf{r}) \right. \\ & \left. + (n+1) \left( \frac{(n+m)(n-m)}{2n-1} \right)^{1/2} \psi_{mn-1}^{(\nu)}(\mathbf{r}) \right] \end{aligned} \quad (47)$$

$$(\hat{\mathbf{x}} + i\hat{\mathbf{y}}) \cdot \mathbf{N}_{mn2}^{(\nu)}(\mathbf{r}) = -i \left( \frac{2(n-m)(n+m+1)}{n(n+1)} \right)^{1/2} \psi_{m+1n}^{(\nu)}(\mathbf{r}) \quad (48)$$

$$(\hat{\mathbf{x}} - i\hat{\mathbf{y}}) \cdot \mathbf{N}_{mn2}^{(\nu)}(\mathbf{r}) = -i \left( \frac{2(n+m)(n-m+1)}{n(n+1)} \right)^{1/2} \psi_{m-1n}^{(\nu)}(\mathbf{r}) \quad (49)$$

$$\hat{\mathbf{z}} \cdot \mathbf{N}_{mn2}^{(\nu)}(\mathbf{r}) = -im \left( \frac{2}{n(n+1)} \right)^{1/2} \psi_{mn}^{(\nu)}(\mathbf{r}) \quad (50)$$

These functions are calculated in the subroutine

```
subroutine vwhcalc(rpos,ri,nodr,ittype,vwh)
```

and inspection of the subroutine will reveal the roles of the arguments.

## Wigner $D$ functions

The definition of the  $\mathcal{D}_{mk}^{(n)}$  functions used in the code is

$$\mathcal{D}_{mk}^{(n)}(x) = (-1)^{m+k} \left[ \frac{(n-k)!(n+k)!}{(n-m)!(n+m)!} \left( \frac{1+x}{2} \right)^{m+k} \left( \frac{1-x}{2} \right)^{k-m} \right]^{1/2} P_{n-k}^{(k-m,k+m)}(x) \quad (51)$$

where  $P_{n-k}^{(k-m,k+m)}(x)$  is the Jacobi Polynomial. The *Mathematica* function definition for the  $\mathcal{D}_{mk}^{(n)}$  function is

```

drot[m_, n_, k_, x_] := (-1)^(m + k) ((n - k)! (n + k)! / (n - m)! (n + m)!)^(1/2)
((1 + x)/2)^(m + k)/2 ((1 - x)/2)^(k - m)/2 JacobiP[n - k, k - m, k + m, x]

```

The functions are calculated in MSTM via recurrence relations in the subroutine

```

subroutine rotcoef(cbe, kmax, nmax, dc)

```

which returns an array for  $|k| \leq \text{kmax}$  and  $n = 0, 1, \dots, \text{nmax}$ ,  $|m| \leq n$ . The function argument  $\text{cbe} = x$ . The addressing convention is

$$\text{dc}(\mathbf{k}, \mathbf{n} * (\mathbf{n} + 1) + \mathbf{m}) = \mathcal{D}_{km}^{(n)}$$

## Translation matrix elements

The explicit formula for the translation matrix elements is

$$J_{mnpklq}(\mathbf{r}) = -(-1)^m [4\pi(2n+1)(2l+1)]^{1/2} i^{n-l} \sum_{|n-l|+|p-q|, 2}^{n+l-|p-q|} \frac{i^w}{(2w+1)^{1/2}} C_{-mn\ kl}^w C_{-1n\ 1l}^w \psi_{k-m\ w}^{(1)}(\mathbf{r}) \quad (52)$$

The "2" appearing in the summation limit denotes that  $w$  runs over every other value, starting with the lower and ending with the upper limit. The formula for  $H$  is identical, except that the outgoing wave function is used. The  $C$  quantities are shorthand for the Clebsch–Gordan coefficients,

$$C_{mn\ kl}^w = C((n, m), (l, k), (w, m + k)) \quad (53)$$

The *Mathematica* definition of the CG coefficients is simply

```

cc[m_, n_, k_, l_, w_] := ClebschGordan[{n, m}, {l, k}, {w, m + k}]

```

The MSTM code uses a recursive procedure to calculate the CG coefficients, employing both downward and upwards recursion.

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