

## A note on noetherian Hilbert rings

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**Introduction.** All rings considered here are commutative with identity. In this note, we give two examples of noetherian Hilbert rings. The most famous example of a noetherian Hilbert domain is an affine domain over a field. Such an integral domain is equidimensional, i.e. its all maximal ideals have the same height. Noetherian Hilbert domains with maximal ideals of different height are given in [1], [5], [6], [10] and [11]. Krull's example in [6] is obtained by a localization of  $K[X, Y]$ , where  $K$  is a countable, algebraically closed field. Heinzer in [5] constructs a noetherian Hilbert domain with maximal ideals of preassigned height, and subsequently in [1] and [10] the same examples as Heinzer's are constructed by making use of the following proposition in [4, (10. 5. 8)]: Let  $A$  be a noetherian ring and let  $s$  be a non-nilpotent element contained in  $\text{rad}(A)$ . Then  $A_s$  is a Hilbert ring.

By the way, in [6] and [11], two dimensional noetherian Hilbert domains with only a finite number of height one maximal ideals are constructed. However almost all noetherian Hilbert domains already known have the following property: Let  $\mathfrak{M}$  be a maximal ideal of a noetherian Hilbert domain  $A$ . Then, if  $n = \text{ht}(\mathfrak{M}) \geq 2$ ,  $A$  has infinitely many height  $n$  maximal ideals.

In Section 1, we show that if  $A$  is a noetherian ring containing an uncountable field and if  $S$  is a multiplicative subset of  $A$  generated by countably many elements of  $\text{rad}(A)$ , then  $S^{-1}A$  is a Hilbert ring. In Section 2, we construct a noetherian Hilbert domain with a preassigned number of maximal ideals of preassigned height by making use of a modification of Krull's method in [6, p. 371].

**Notation.** Let  $A$  be a ring. Then

$$\text{Max}(A) = \{\mathfrak{P} \in \text{Spec}(A); \mathfrak{P} \text{ is a maximal ideal in } A\},$$

$$\text{Ht}_n(A) = \{\mathfrak{P} \in \text{Spec}(A); \text{ht}(\mathfrak{P}) = n\},$$

$$\text{rad}(A) = \bigcap_{\mathfrak{P} \in \text{Max}(A)} \mathfrak{P}.$$

Let  $\mathfrak{p}$  be a prime ideal in a ring  $A$ . Then

$$U(\mathfrak{p}) = \{\mathfrak{P} \in \text{Spec}(A); \mathfrak{P} \supset \mathfrak{p} \text{ and } \text{ht}(\mathfrak{P}/\mathfrak{p}) = 1\}.$$

$\mathbf{C}$  = the field of complex numbers.

$\mathbf{N}$  = the set of natural numbers.

1. We need some preliminary results.

**LEMMA 1.** *Let  $A$  be a noetherian ring. Then  $A$  is a Hilbert ring if and*

only if  $U(\mathfrak{p})$  is an infinite set for any non-maximal prime ideal  $\mathfrak{p}$  in  $A$ .

PROOF. The assertion follows from Theorem 4 in [3].

LEMMA 2. Let  $A$  be a ring containing an uncountable set  $E$  such that  $a-b$  is a unit of  $A$  for all  $a \neq b$  in  $E$ . Then the following statements hold.

(a) Let  $I, I_n (n=1, 2, \dots)$  be ideals in  $A$ . If  $I$  is finitely generated and if  $I \subseteq \sum_{n=1}^{\infty} I_n$ , then  $I \subseteq I_n$  holds for some  $n$ .

(b) If  $A$  is noetherian, and if  $\mathfrak{P} \supset \mathfrak{p}$  are prime ideals in  $A$  such that  $ht(\mathfrak{P}/\mathfrak{p}) \geq 2$ , then  $U(\mathfrak{p}A_{\mathfrak{P}})$  is an uncountable set.

PROOF. (a) Let  $x_1, \dots, x_r$  be generators for  $I$ . Set  $H = \{x_1 + ax_2 + \dots + a^{j-1}x_j + \dots + a^{r-1}x_r; a \in E\}$ . Since  $E$  is an uncountable set, there is an integer  $n$  such that  $I_n$  contains  $r$ -elements  $x_1 + \dots + (a_i)^{j-1}x_j + \dots + (a_i)^{r-1}x_r$  ( $i=1, 2, \dots, r$ ) of  $H$ , where  $a_i \neq a_j$  if  $i \neq j$ . As is well-known, the determinant of  $r \times r$ -matrix  $((a_i)^{j-1})$  is  $\prod_{i>j} (a_i - a_j)$ . This is a unit in  $A$  by our assumption. Therefore  $I_n$  contains  $x_1, \dots, x_r$ . Thus  $I \subseteq I_n$ .

(b) Since  $A$  is noetherian,  $\mathfrak{P} = \bigcup_{\mathfrak{Q} \in W} \mathfrak{Q}$  hold, where  $W = \{\mathfrak{Q} \in U(\mathfrak{p}); \mathfrak{Q} \subset \mathfrak{P}\}$ . Suppose that  $U(\mathfrak{p}A_{\mathfrak{P}})$  is countable. Then by (a)  $\mathfrak{P} = \mathfrak{Q}$  for some  $\mathfrak{Q} \in W$ . This contradicts the assumption that  $ht(\mathfrak{P}/\mathfrak{p}) \geq 2$ .

LEMMA 3. Let  $A$  be a ring satisfying the following conditions either (a) or (b):

(a)  $A$  contains an uncountable field.

(b)  $A$  is a semi local ring such that  $A/\mathfrak{M}$  is uncountable for each maximal ideal  $\mathfrak{M}$  in  $A$ .

Then  $A$  contains an uncountable set  $E$  such that  $a-b$  is a unit in  $A$  for all  $a \neq b$  in  $E$ .

PROOF. If  $A$  satisfies the condition (a), there is nothing to prove. Suppose that  $A$  satisfies the condition (b). Let  $\mathfrak{M}_1, \dots, \mathfrak{M}_r$  be the maximal ideals in  $A$ . Let  $J$  be a set such that  $\text{card}(J) = \min \{\text{card}(A/\mathfrak{M}_i); i=1, \dots, r\}$ , where  $\text{card}(\ast)$  stands for the cardinality of  $\ast$ . Let  $S_i$  be a complete set of representatives for the non-zero elements of  $A/\mathfrak{M}_i$ , and let  $\{a_{ij}; j \in J, a_{ij} \neq a_{ih} \text{ if } j \neq h\}$  be a subset of  $S_i$ . For each  $j$ , there exists  $a_j$  of  $A$  such that  $a_j \equiv a_{ij} \pmod{\mathfrak{M}_i}$  for  $i=1, \dots, r$ . Then  $E = \{a_j; j \in J\}$  is a desired set (cf. [8, p. 94]).

LEMMA 4. Let  $A$  be a noetherian ring and let  $S$  be a multiplicative subset of  $A$  generated by countably many elements. Let  $\mathfrak{p}$  be a prime ideal such that  $\mathfrak{p} \cap S = \emptyset$ . If  $U(\mathfrak{p})$  is an uncountable set, then  $U(S^{-1}\mathfrak{p})$  is also an uncountable set.

PROOF. Considering  $A/\mathfrak{p}$ , we may assume that  $A$  is an integral domain and  $\mathfrak{p} = 0$ . Let  $S$  be the multiplicative subset in  $A$  generated by  $s_1, s_2, \dots, s_n, \dots$ .

For each  $s_n$ , there is only a finite number of height one prime ideals in  $A$  which contain  $s_n$ . Therefore  $Ht_1(S^{-1}A)$  is uncountable.

We can now prove the following:

**THEOREM 5.** *Let  $A$  be a noetherian ring satisfying the following conditions either (a) or (b):*

(a)  *$A$  contains an uncountable field.*

(b)  *$A$  is a semi local ring such that  $A/\mathfrak{M}$  is uncountable for each maximal ideal  $\mathfrak{M}$  in  $A$ .*

*Then, if  $S$  is a multiplicative subset of  $A$  generated by countably many elements of  $\text{rad}(A)$ ,  $S^{-1}A$  is a Hilbert ring.*

**PROOF.** Let  $S^{-1}\mathfrak{p}$  be an arbitrary non-maximal prime ideal in  $S^{-1}A$ , and let  $S^{-1}\mathfrak{P}$  be a maximal ideal containing  $S^{-1}\mathfrak{p}$ , where  $\mathfrak{p}, \mathfrak{P} \in \text{Spec}(A)$ . Since  $S$  is a subset of  $\text{rad}(A)$ ,  $\mathfrak{P}$  is a non-maximal prime ideal in  $A$ . Hence  $\dim(A/\mathfrak{p}) \geq 2$ . Therefore by Lemma 3 and (b) of Lemma 2,  $U(\mathfrak{p})$  is uncountable, so  $U(S^{-1}\mathfrak{p})$  is infinite by Lemma 4. Thus  $S^{-1}A$  is a Hilbert ring by Lemma 1.

**THEOREM 6.** *Let  $A$  be a noetherian Hilbert ring such that  $U(\mathfrak{p})$  is uncountable for any non-maximal prime ideal  $\mathfrak{p}$  in  $A$ . Let  $S$  be a multiplicative subset of  $A$  generated by countably many elements. Then  $S^{-1}A$  is a Hilbert ring.*

**PROOF.** Let  $S^{-1}\mathfrak{p}$  be a non-maximal prime ideal in  $S^{-1}A$ , where  $\mathfrak{p} \in \text{Spec}(A)$ . Since  $U(\mathfrak{p})$  is uncountable,  $U(S^{-1}\mathfrak{p})$  is infinite by Lemma 4. Therefore  $S^{-1}A$  is a Hilbert ring.

**REMARK.** Let  $T$  be the multiplicative subset of  $\mathbf{C}[X]$  generated by  $\{X-a; a \in \mathbf{C}-\mathbf{N}\}$ , and let  $A=(T^{-1}\mathbf{C}[X])[Y]$ , where  $X, Y$  are indeterminates. Let  $S$  be the multiplicative subset in  $A$  generated by  $\{X-n; n=2, 3, \dots\}$ . Then  $A$  contains an uncountable field and  $\text{Max}(A)$  is uncountable, but  $S^{-1}A$  is not a Hilbert ring.

**2.** We shall consider the following question: Let  $\mathfrak{M}$  be a maximal ideal of a noetherian Hilbert domain  $A$ , and let  $n=ht(\mathfrak{M})$ . Then, do there exist infinitely many height  $n$  maximal ideals in  $A$ ?

Krull [6, p. 371] and Roberts [11] constructed two dimensional noetherian Hilbert domains with only a finite number of height one maximal ideals. Hence, if  $n=1$ , the above question is not true. We begin with some affirmative cases.

**PROPOSITION 7.** (a) *If  $A$  is a noetherian Hilbert domain, then the above question is true for  $A[X]$ , where  $X$  is an indeterminate.*

(b) *Let  $(A, \mathfrak{M})$  be a noetherian local domain, and let  $s$  be a non-zero element of  $\mathfrak{M}$ . Then the above question is true for  $A_s$  ( $A_s$  is a Hilbert domain by*

[4, (10. 5. 8)]).

For the proof of this proposition, we need the following lemmas.

LEMMA 8. (Theorem 1 in [7]). *Let  $\mathfrak{P}$  be a height  $n$  prime ideal in a noetherian ring. Then almost all the prime ideals directly above  $\mathfrak{P}$  have height  $n+1$ .*

LEMMA 9. *Let  $(A, \mathfrak{M})$  be a noetherian local domain. If there exists a height one prime ideal  $\mathfrak{q}$  in  $A$  such that  $ht(\mathfrak{M}/\mathfrak{q})=1$ , then  $\{\mathfrak{p} \in \text{Spec}(A); \mathfrak{M} \supset \mathfrak{p} \supset 0 \text{ is saturated}\}$  is an infinite set.*

PROOF. This is immediate from Proposition 1 in [7].

PROOF of Proposition 7. (a) Let  $\mathfrak{R}$  be a maximal ideal in  $A[X]$ . By Theorem 5 in [3],  $\mathfrak{M} = \mathfrak{R} \cap A$  is a maximal ideal in  $A$ . Then it is easy to see that there exist infinitely many maximal ideals in  $A[X]$ , containing  $\mathfrak{M}A[X]$ , of height  $ht(\mathfrak{R}) = ht(\mathfrak{M}) + 1$ .

(b) Let  $\mathfrak{p}_{A_s}$  be a maximal ideal in  $A_s$ , where  $\mathfrak{p} \in \text{Spec}(A)$ . We see then immediately that  $\dim(A/\mathfrak{p})=1$ . Let  $\mathfrak{M} \supset \mathfrak{p} \supset \mathfrak{p}_1 \supset \cdots \supset \mathfrak{p}_{n-1} \supset 0$  be a saturated chain of prime ideals in  $A$ , where  $n = ht(\mathfrak{p})$ . By applying Lemma 9 for  $A/\mathfrak{p}_1$ , we see that  $W_1 = \{\mathfrak{q} \in \text{Spec}(A); \mathfrak{M} \supset \mathfrak{q} \supset \mathfrak{p}_1, ht(\mathfrak{M}/\mathfrak{q}) = ht(\mathfrak{q}/\mathfrak{p}_1) = 1\}$  is an infinite set. Hence  $W_2 = \{\mathfrak{q} \in W_1; \mathfrak{q} \not\subseteq s, ht(\mathfrak{q}) = n\}$  is infinite by Lemma 8. Therefore  $\mathfrak{q}_{A_s}$  is a height  $n$  maximal ideal in  $A_s$  for each  $\mathfrak{q} \in W_2$ .

Our main aim in this note is to construct a noetherian Hilbert domain with a preassigned number of maximal ideals of preassigned height. For the construction of this example we require several lemmas.

For the rest of this section we assume that  $k$  is an algebraically closed field contained in the field of complex numbers  $\mathbb{C}$ . We denote by  $k^n (n \geq 2)$  the affine  $n$ -space over  $k$ , and also denote by  $A = k[X_1, \dots, X_n]$  the affine coordinate ring of  $k^n$ . For each pair of integers  $(r, m)$ , where  $1 \leq r \leq n-1$  and  $m \geq 0$ ,  $U_{r,m}$  is the linear subvariety of  $k^n$  defined as follows:  $U_{r,0} = \{(z_1, \dots, z_n) \in k^n; z_{r+1} = \cdots = z_n = 0\}$  and  $U_{r,m} = \{(z_1, \dots, z_n) \in k^n; z_{r+1} = m^{-1}, z_{r+2} = \cdots = z_n = 0\}$  if  $m \neq 0$ . It is clear that  $U_{r,0} \supset U_{r-1,m}$ .

Let  $V$  be a linear subvariety of  $k^n$ . We say that an irreducible closed subset  $L$  (in Zariski topology) of  $V$  is a hypersurface in  $V$  if  $\dim(L) = \dim(V) - 1$ .

LEMMA 9. *Let  $V$  and  $V_0$  be linear subvarieties of  $k^n$  given by  $X_n = u$  and  $X_n = 0$  respectively, where  $u \in k - \{0\}$ . If  $L$  is a hypersurface in  $V$  and  $Q_1, \dots, Q_s$  are points of  $k^n - V$ , then there is a hypersurface  $H$  in  $k^n$  such that (i)  $H \cap V = L$ , (ii)  $Q_1, \dots, Q_s \notin H$  and (iii)  $H \cap V_0$  does not meet the set  $E = \{(z_1, \dots, z_n) \in k^n; z_n = 0 \text{ and } |z_i| \leq 1 \text{ for } i = 1, \dots, n-1\}$ .*

PROOF. Since  $L$  is a hypersurface in  $V$ ,  $L$  is defined by an irreducible polynomial  $f$  in  $k[X_1, \dots, X_{n-1}]$ . Let  $(a_1, \dots, a_{n-1}, u)$  be a point of  $V-L$ . We then put  $F_t(X_1, \dots, X_n) = (X_n - ut)^{\text{deg}(f)} f((X_n - ut)^{-1}u(1-t)(X_1 - a_1t) + a_1, \dots, (X_n - ut)^{-1}u(1-t)(X_{n-1} - a_{n-1}t) + a_{n-1}t)$ , where  $t \in k$ . We first show that  $S = \{t \in k; F_t(Q_i) = 0 \text{ for some } i\}$  is a finite set. Suppose that  $F_t(b_1, \dots, b_n)$  is zero as a polynomial in  $t$  for some  $(b_1, \dots, b_n) \in k^n$ . Since  $F_1(b_1, \dots, b_n) = (b_n - u)^{\text{deg}(f)} \cdot f(a_1, \dots, a_{n-1})$ , we must have  $b_n = u$ . Therefore  $F_t(b_1, \dots, b_n) = (u - ut)^{\text{deg}(f)} \cdot f(b_1, \dots, b_{n-1})$ , and hence  $(b_1, \dots, b_n) \in L$ . This shows that  $F_t(Q_i)$  is not zero as a polynomial in  $t$  ( $i = 1, \dots, s$ ). Thus  $S$  is a finite set. On the other hand,  $F_t$  is an irreducible polynomial in  $A$  if  $t \neq 1$ . Therefore it defines a hypersurface  $H_t$  in  $k^n$ . Since  $F_t(X_1, \dots, X_{n-1}, u) = (u - ut)^{\text{deg}(f)} f(X_1, \dots, X_{n-1})$ , we have  $L = H_t \cap V$ . Moreover  $H_t \cap V_0$  is defined by  $F_t(X_1, \dots, X_{n-1}, 0) = (-ut)^{\text{deg}(f)} f(t^{-1}(t-1)X_1 + a_1, \dots, t^{-1}(t-1)X_{n-1} + a_{n-1})$ . Now choose a positive rational number  $\varepsilon$  so that  $\sum_{i=1}^n |z_i - a_i|^2 < \varepsilon$  implies  $f(z_1, \dots, z_n) \neq 0$ , and also choose  $t \in k - S \cup \{1\}$  such that  $1 < \varepsilon |t(t-1)|^2 n^{-1}$ . Then  $\text{Max}\{|z_1|, \dots, |z_n|\} \leq 1$  implies  $\sum_{i=1}^n |(t^{-1}(t-1)z_i + a_i) - a_i|^2 = \sum_{i=1}^n |t^{-1}(t-1)z_i|^2 < |t^{-1}(t-1)|^2 n < \varepsilon$ , hence  $F_t(z_1, \dots, z_{n-1}, 0) \neq 0$ . This shows that  $H_t \cap E = \emptyset$ . Therefore the proof is complete.

LEMMA 10. Let  $V$  be a linear subvariety of  $k^n$ ,  $L$  a hypersurface in  $V$ . Assume that  $\dim(V) \geq 1$ . If  $Q_1, \dots, Q_s \in k^n - V$ , then there is a hypersurface  $H$  in  $k^n$  such that (i)  $H \cap V = L$  and (ii)  $Q_1, \dots, Q_s \notin H$ . Moreover assume that  $V$  is defined by  $X_{r+1} = \dots = X_n = 0$  ( $r \geq 1$ ). Then  $H$  can be chosen so that it does not contain any linear subvarieties given by  $X_q = v, X_{q+1} = \dots = X_n = 0$  where  $n \geq q \geq r+1$  and  $v \in k$ .

PROOF. We may assume that  $V$  is defined by  $X_{r+1} = \dots = X_n = 0$  ( $r \geq 1$ ). Then  $L$  is defined by an irreducible polynomial  $f$  in  $k[X_1, \dots, X_r]$ . For each point  $\alpha = (a_{ij})$  of  $k^{r(n-r)}$  ( $1 \leq i \leq r$  and  $r+1 \leq j \leq n$ ), we put  $G(\alpha; X_1, \dots, X_n) = f(X_1 + \sum_{j=r+1}^n a_{1j}X_j, \dots, X_r + \sum_{j=r+1}^n a_{rj}X_j)$ . Since  $f$  is also an irreducible polynomial in  $k[X_1, \dots, X_n]$ , so is  $G(\alpha; X_1, \dots, X_n)$ , and therefore it defines a hypersurface  $H_\alpha$  in  $k^n$ . It is obvious that  $H_\alpha \cap V = L$  and  $Q_1, \dots, Q_s \notin H_\alpha$  for a suitable choice of  $\alpha \in k^{r(n-r)}$ . Finally assume that  $H_\alpha$  contains a linear subvariety given by  $X_q = v, X_{q+1} = \dots = X_n = 0$  ( $q \geq r+1, v \in k$ ). Then  $G(\alpha; X_1, \dots, X_r, 0, \dots, v, 0, \dots, 0) = f(X_1 + a_{1q}v, \dots, X_r + a_{rq}v) = 0$ , which is impossible. This completes the proof.

LEMMA 11. Assume that  $L$  is a hypersurface in  $U_{r,m}$  ( $m \neq 0$ ). Let  $Q_1, \dots, Q_s \in k^n - U_{r,m}$ . Then there is a hypersurface  $H$  in  $k^n$  such that (i)  $H \cap U_{r,m} = L$ , (ii)  $Q_1, \dots, Q_s \notin H$  and (iii)  $H$  does not contain any  $U_{r,m'}$  (where  $r = 1, \dots, n-1$  and  $m' = 0, 1, \dots$ ).

PROOF. It is clear that  $U_{r,0}, U_{r,m} \subset U_{r+1,0}$ . We may assume that  $Q_1, \dots, Q_s$

$\in U_{r+1,0}$  and  $Q_{s'+1}, \dots, Q_s \notin U_{r+1,0}$ . By Lemma 9, there is a hypersurface  $H_1$  in  $U_{r+1,0}$  such that  $H_1 \cap U_{r,m} = L$ ,  $Q_1, \dots, Q_s \notin H_1$  and  $U_{r',m'} \not\subset H_1$  for  $r' \leq r$  and  $m' \geq 0$ . By Lemma 10, there is a hypersurface  $H$  in  $k^n$  such that  $H \cap U_{r+1,0} = H_1$ ,  $Q_s, \dots, Q_s \notin H$  and  $U_{r',m'} \not\subset H$  for  $r' > r$  and  $m' \geq 0$ . It is now obvious that  $H$  satisfies the above properties (i), (ii) and (iii).

Let  $Z$  be a subset of  $\mathbf{C}^n$ . We denote by  $Z'$  and  $Z^*$  the closures of  $Z$  with respect to the Zariski topology and the usual topology on  $\mathbf{C}^n$  respectively.

LEMMA 12. *Let  $Z$  be an irreducible closed subvariety of  $k^n$ . Then  $Z' = Z^*$  in  $\mathbf{C}^n$ .*

PROOF. See [12].

LEMMA 13. *Let  $H_1, \dots, H_s$  be hypersurfaces in  $k^n$ , and let  $Z$  be an irreducible closed subvariety of  $k^n$  with  $\dim(Z) \geq 1$ . Further let  $r$  be an integer ( $1 \leq r \leq n-1$ ). If  $Z \not\subset U_{r,m}$  and  $Z \not\subset H_i$  for every  $m (= 0, 1, \dots)$  and  $i (= 1, \dots, s)$ , then  $Z - \bigcup_{m=0}^{\infty} U_{r,m} \cup H_1 \cup \dots \cup H_s$  is an infinite set.*

PROOF. We may assume that  $k = \mathbf{C}$ . In fact, by Lemma 12,  $(\bigcup_{m=0}^{\infty} U_{r,m} \cup H_1 \cup \dots \cup H_s)^* = \bigcup_{m=0}^{\infty} U_{r,m}^* \cup H_1^* \cup \dots \cup H_s^* = \bigcup_{m=0}^{\infty} U'_{r,m} \cup H'_1 \cup \dots \cup H'_s$ . Therefore to prove that  $Z - \bigcup_{m=0}^{\infty} U_{r,m} \cup H_1 \cup \dots \cup H_s$  is an infinite set, it is enough to show that  $Z' - \bigcup_{m=0}^{\infty} U'_{r,m} \cup H'_1 \cup \dots \cup H'_s$  is an infinite set. Thus we may assume that  $k = \mathbf{C}$ . If  $Z \not\subset U_{r+1,0}$ , then  $Z \not\subset U_{r+1,0} \cup H \cup \dots \cup H_s$ . Consequently  $Z - U_{r+1,0} \cup H_1 \cup \dots \cup H_s$  is an infinite set and therefore so is  $Z - \bigcup_{m=0}^{\infty} U_{r,m} \cup H_1 \cup \dots \cup H_s$ . We now consider the case  $Z \subset U_{r+1,0}$ . Replacing  $k^n$  by  $U_{r+1,0}$ , we may assume that  $r+1 = n$ . We then use induction on  $d = \dim(Z)$ . First suppose that  $d = 1$ . Since  $Z$  is uncountable and  $\bigcup_{m=0}^{\infty} (Z \cap U_{n-1,m}) \cup (Z \cap H_1) \cup \dots \cup (Z \cap H_s)$  is countable,  $Z - \bigcup_{m=0}^{\infty} U_{r,m} \cup H_1 \cup \dots \cup H_s$  is uncountable. Therefore the assertion has been established for the case  $d = 1$ . Suppose next that  $d > 1$ . We put  $\mathcal{W}$  = the set of all irreducible closed subvarieties  $W$  of  $Z$  with  $\dim(W) = d-1$ .  $\mathcal{W}$  is an uncountable set. Assume that  $Z \subset \bigcup_{m=0}^{\infty} U_{n-1,m} \cup H_1 \cup \dots \cup H_s$ ; then every element of  $\mathcal{W}$  is contained in at least one of  $U_{n-1,m}$  or  $H_i$  by the induction hypothesis. Therefore it is an irreducible component of some of  $Z \cap U_{n-1,m}$  or  $Z \cap H_i$ . Therefore  $\mathcal{W}$  is a countable set. This is a contradiction. This shows that  $Z \not\subset \bigcup_{m=0}^{\infty} U_{n-1,m} \cup H_1 \cup \dots \cup H_s$ . We can now choose an irreducible closed subvariety  $Z_1$  of  $Z$  so that  $\dim(Z_1) = 1$  and  $Z_1 \not\subset \bigcup_{m=0}^{\infty} U_{n-1,m} \cup H_1 \cup \dots \cup H_s$ . Since  $Z_1 - \bigcup_{m=0}^{\infty} U_{n-1,m} \cup H_1 \cup \dots \cup H_s$  is an infinite set, so is  $Z - \bigcup_{m=0}^{\infty} U_{n-1,m} \cup H_1 \cup \dots \cup H_s$ . The lemma is thereby proved.

The following is a corollary to Lemma 13.

LEMMA 14. *Let  $H_1, \dots, H_s$  be hypersurfaces in  $k^n$ , and let  $Z$  an irreducible closed subvariety of  $k^n$  with  $\dim(Z) \geq 1$ . Further let  $\mathcal{V}$  be a subset of  $\{U_{r,m}; r$*

$=1, \dots, n-1$  and  $m=1, 2, \dots$ . If  $Z \not\subset V$  and  $Z \not\subset H_i$  for every  $V \in \mathcal{V}$  and  $i$ , then  $Z - \bigcup_{V \in \mathcal{V}} V \cup H_1 \cup \dots \cup H_s$  is an infinite set.

**PROOF.** Choose a positive integer  $r$  such that  $Z \not\subset U_{r,0}$  and  $Z \subset U_{r+1,0}$ . Since  $Z \cap V = \emptyset$  for every  $V \in \mathcal{V}$  such that  $\dim(V) \geq r+1$ , and since  $V \subset U_{r,0}$  for every  $V \in \mathcal{V}$  such that  $\dim(V) < r$ , it is sufficient to prove that  $Z - \bigcup_{m=0}^{\infty} U_{r,m} \cup H_1 \cup \dots \cup H_s$  is an infinite set, but this is obvious by Lemma 13.

We now proceed to the construction of a noetherian Hilbert domain with a preassigned number of maximal ideals of preassigned height.

Assume that  $k$  is a countable, algebraically closed field contained in  $\mathbb{C}$ . Let  $1 \leq r_1 < \dots < r_s < n$  be a sequence of positive integers, and let  $m_1, \dots, m_s$  be a sequence of positive integers or  $\infty$ . Choose a subset  $\mathcal{V}$  of  $\{U_{r,m}; r=1, \dots, n-1, m=1, 2, 3, \dots\}$  so that the number of elements  $V$  of  $\mathcal{V}$  with  $\dim(V) = n-r_i$  is  $m_i$  for each  $i=1, \dots, s$ . We now define  $\mathbf{P}_1$  = the set of all irreducible closed subvarieties of  $k^n$  which are hypersurfaces in some elements of  $\mathcal{V}$ , and  $\mathbf{P}_2$  = the product of  $\mathbb{N}$  and the set of all irreducible closed subvarieties  $C$  of  $k^n$  such that  $\dim(C) \geq 1$  and  $C \not\subset \bigcup_{V \in \mathcal{V}} V$ . Since  $k$  is countable, so are  $\mathbf{P}_1$  and  $\mathbf{P}_2$ . Therefore we can put  $\mathbf{P}_1 = \{P_i; i \in \mathbb{N}\}$  and  $\mathbf{P}_2 = \{(\xi_i, C_i); i \in \mathbb{N}\}$ . We shall construct, in succession, positive integers  $e_1, e_2, \dots$ , points  $Q_1, Q_2, \dots$  of  $k^n$  and hypersurfaces  $H_1, H_2, \dots$  in  $k^n$  as follows. We put  $e_1 = 1$ . Let  $Q_1 \in C_1 - \bigcup_{V \in \mathcal{V}} V$ , and let  $V_1$  be an element of  $\mathcal{V}$  in which  $P_1$  is a hypersurface. By Lemma 11, there is a hypersurface  $H_1$  in  $k^n$  such that  $V \not\subset H_1$  for every  $V \in \mathcal{V}$ ,  $H_1 \cap V_1 = P_1$  and  $Q_1 \in H_1$ . For each positive integer  $i (> 1)$ , we choose an element  $V_i$  of  $\mathcal{V}$  in which  $P_i$  is a hypersurface. Suppose that we can choose positive integers  $e_1, \dots, e_{t-1}$ , points  $Q_1, \dots, Q_{t-1}$  of  $k^n$  and hypersurfaces  $H_1, \dots, H_{t-1}$  in  $k^n$  such that  $Q_i \in C_{e_i} - \bigcup_{V \in \mathcal{V}} V \cup H_1 \cup \dots \cup H_{i-1}$ ,  $Q_i \in H_i$ ,  $V \not\subset H_i$  for every  $V \in \mathcal{V}$  and  $H_i \cap V_i = P_i$  for  $i=1, \dots, t-1$ . Since  $\{i; C=C_i\}$  is an infinite set for every irreducible closed subvariety  $C$  of  $k^n$  such that  $\dim(C) \geq 1$  and  $C \not\subset \bigcup_{V \in \mathcal{V}} V$ , the set  $\{i > e_{t-1}; C_i \not\subset H_1 \cup \dots \cup H_{t-1}\}$  is not empty. Then we put  $e_t = \text{Min}\{i > e_{t-1}; C_i \not\subset H_1 \cup \dots \cup H_{t-1}\}$ . By Lemma 14, we can choose a point  $Q_t$  of  $C_{e_t} - \bigcup_{V \in \mathcal{V}} V \cup H_1 \cup \dots \cup H_{t-1}$ ,  $Q_t \neq Q_1, \dots, Q_{t-1}$ . Then by Lemma 11, there is a hypersurface  $H_t$  in  $k^n$  such that  $V \not\subset H_t$  for every  $V \in \mathcal{V}$ ,  $H_t \cap V_t = P_t$  and  $Q_1, \dots, Q_t \in H_t$ . We shall now prove that the above sequence  $H_1, H_2, \dots$  of hypersurfaces in  $k^n$  has the following properties:

- (a) if  $V$  is an element of  $\mathcal{V}$ , then every proper closed subvariety of  $V$  is contained in some  $H_t$ ;
- (b)  $V \not\subset H_t$  for all  $t \in \mathbb{N}$  and  $V \in \mathcal{V}$ ;
- (c)  $L - \bigcup_{i=1}^{\infty} H_i$  is an infinite set for every positive dimensional irreducible closed subvariety  $L$  of  $k^n$  which is not contained in any  $V \in \mathcal{V}$  and  $H_t$ .

In fact (a) and (b) are obvious by the construction of  $H_t$ . To prove (c), note that  $\{i \in \mathbb{N}; L = C_i\}$  is an infinite set. Suppose now that  $L = C_i$  for some  $i \in \mathbb{N}$ .

Then there is an integer  $j$  such that  $e_{j-1} < i \leq e_j$ . If  $i < e_j$ , then  $L = C_i \subset H_1 \cup \dots \cup H_{j-1}$  from the definition of  $e_j$ , which is a contradiction. Therefore  $i = e_j$ ; hence  $J = \{i \in \mathbb{N}; L = C_{e_j}\}$  is an infinite set. Since  $Q_j \in L - \bigcup_{i=1}^{\infty} H_i$  for  $j \in J$ , the assertion (c) is proved.

Let now  $f_i$  be a defining polynomial of  $H_i$  in  $A = k[X_1, \dots, X_n]$  for each  $i \in \mathbb{N}$ , and let  $S$  be a multiplicative subset of  $A$  generated by  $f_1, f_2, \dots$ . We then put  $R = S^{-1}A$ .

**THEOREM 15.**  *$R$  is a noetherian Hilbert domain such that (i)  $\dim(R) = n$ , (ii)  $\{r_1, \dots, r_s, n\} = \{ht(\mathfrak{m}); \mathfrak{m} \in \text{Max}(R)\}$  and (iii)  $m_i$  is the number of maximal ideals in  $R$  with height  $r_i$  for each  $i = 1, \dots, s$ .*

**PROOF.** First, (a) and (b) imply that  $\mathfrak{p}(V)R$  is a maximal ideal in  $R$  for every  $V \in \mathcal{V}$ , where  $\mathfrak{p}(V)$  is the prime ideal in  $A$  corresponding to  $V$ . Next, (c) implies that if  $\mathfrak{p}$  is a prime ideal in  $R$  such that  $ht(\mathfrak{p}) < n$  and  $\mathfrak{p} \neq \mathfrak{p}(V)R$  for every  $V \in \mathcal{V}$ , then  $\mathfrak{p}$  is contained in infinitely many height  $n$  maximal ideals in  $R$ . Therefore the proof is complete.

**REMARK.** By the above property (c),  $ht(\mathfrak{p}) + \dim(R/\mathfrak{p}) = n$  for any non-maximal prime ideal  $\mathfrak{p}$  in  $R$ , but  $R$  has a maximal ideal, of which height is less than  $n$ . Therefore  $R$  is another counterexample with relation to Remark 2.6 in [9]: If  $A$  is a noetherian ring such that  $ht(\mathfrak{p}) + \dim(A/\mathfrak{p}) = \dim(A)$  for any non-maximal prime ideal  $\mathfrak{p}$  in  $A$ , then does  $A$  satisfy that  $ht(\mathfrak{M}) = \dim(A)$  or 1 for any maximal ideal  $\mathfrak{M}$  in  $A$ ? (cf. [2], p. 478).

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