

## A NOTE ON SINGULAR INTEGRALS

R. FEFFERMAN<sup>1</sup>

**ABSTRACT.** In this article we discuss what happens when we consider a convolution operator whose kernel is a Calderón-Zygmund kernel multiplied by a bounded radial function. Some generalizations are obtained.

The purpose of this note is to obtain the following results.

**THEOREM.** Let  $\Omega(x)/|x|^n = K(x)$  be a Calderón-Zygmund kernel in  $R^n$ ,  $n > 2$  (i.e., let  $\Omega(x)$  be homogeneous of degree 0,  $\int_{S^{n-1}} \Omega(x) d\sigma(x) = 0$ , and suppose  $\Omega$  satisfies a Lipschitz condition of positive order on  $S^{n-1}$ ). Let  $h(x)$  be any bounded radial function, and put  $H(x) = h(x) \cdot K(x)$ . Then the convolution operator  $T(f) = f * H$  is bounded on  $L^p(R^n)$ , if  $1 < p < \infty$ .

Notice that such singular integrals cannot be treated by use of the classical arguments, since, in general, nothing can be said about integrals like

$$\int_{|x| > 2|y|} |H(x+y) - H(x)| dx,$$

because  $H$  could be so rough.

Nevertheless, we shall prove the somewhat stronger theorem below, whose theme is that smoothness in the radial direction for a convolution kernel is unnecessary in order to have the boundedness of the corresponding operator.

**THEOREM.** (a) Suppose that for each  $r > 0$ , we are given a function  $\Omega_r$ , defined on  $S^{n-1}$  in such a way that the family  $\{\Omega_r\}$  is uniformly in the Dini class (i.e., if  $\omega^*(\delta) = \sup\{|\Omega_r(x) - \Omega_r(y)| : x, y \in S^{n-1}, |x - y| < \delta, r > 0\}$ , then  $\int_0^1 \omega^*(\delta) d\delta / \delta < \infty$ ) and also  $\int_{S^{n-1}} \Omega_r(x) d\sigma(x) = 0$ . Let

$$H(x) = \Omega_{|x|}(x/|x|)/|x|^n.$$

Then  $\|H * f\|_2 \leq C \|f\|_2$ .

(b) Suppose in part (a) we replace the Dini class by a Lipschitz class of some positive order. Then  $\|H * f\|_p \leq C_p \|f\|_p$ ,  $1 < p < \infty$ .

At this point, we would like to remark that in this work, we were very much motivated by the work of E. M. Stein on maximal spherical averages (see [3]).

**PROOF OF (a).** We estimate the Fourier transform of  $H$ :

$$\hat{H}(\xi) = \int_{R^n} \frac{\Omega_{|x|}(x/|x|)}{|x|^n} e^{-i\xi \cdot x} dx = \int_0^\infty [\int_{S^{n-1}} \Omega_r(x') d\sigma(x')]^\wedge(r\xi) \frac{dr}{r}.$$

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Let us get an estimate for  $[\Omega_r(x')d\sigma(x')]^\Lambda(\xi)$ . By the spherical symmetry we may assume  $\xi = (|\xi|, 0, 0, \dots, 0)$ .

Then if  $S_t = \{(x_1, x_2, \dots, x_n) \in S^{n-1} : x_1 = t\}$ , we have

$$[\Omega_r(x')d\sigma(x')]^\Lambda(\xi) = \int_0^\pi e^{i|\xi|\cos\theta} \int_{S_{\cos\theta}} \Omega_r(t)d\sigma_{n-2}^\theta(t)d\theta$$

where  $d\sigma_{n-2}^\theta$  is the unit of surface area on the sphere  $S_{\cos\theta}$ . Changing variables this becomes

$$\begin{aligned} & \int_{-1}^{+1} e^{i|\xi|s} \int_{S_s} \Omega_r(t)d\sigma_{n-2}^s(t) \frac{1}{\sqrt{1-s^2}} ds \\ &= \int_{-1}^{+1} e^{i|\xi|s} (1-s^2)^{(n-3)/2} \int_{S^{n-2}} \Omega(s, \sqrt{1-s^2} t')d\sigma_{n-2}(t')ds \end{aligned}$$

( $d\sigma_{n-2}^s$  the unit of area on  $S_s$ ) where we have changed variables so that the inner integral is taken over a unit sphere,  $S^{n-2}$ . If we set

$$I(s) = \int_{S_s} \Omega(s, \sqrt{1-s^2} t')d\sigma_{n-2}(t')$$

then<sup>2</sup>

$$\begin{aligned} & \left| \int_{-1}^{+1} e^{i|\xi|s} (1-s^2)^{(n-3)/2} I(s) ds \right| \\ & \leq \int_{1-10/|\xi| < |s| < 1} (1-s^2)^{(n-3)/2} ds \\ & \quad + \int_{|s| < 1-10/|\xi|} \left| \left[ 1 - \left( s + \frac{\pi}{|\xi|} \right)^2 \right]^{(n-3)/2} I\left( s + \frac{\pi}{|\xi|} \right) \right. \\ & \qquad \qquad \qquad \left. - (1-s^2)^{(n-3)/2} I(s) \right| ds \\ & \leq \frac{C}{|\xi|^{(n-1)/2}} + \int_{|s| < 1-10/|\xi|} \left| \left[ 1 - \left( s + \frac{1}{|\xi|} \right)^2 \right]^{(n-3)/2} \right. \\ & \qquad \qquad \qquad \left. - (1-s^2)^{(n-3)/2} \right| \left| I\left( s + \frac{1}{|\xi|} \right) \right| ds \\ & \quad + \int_{|s| < 1-10/|\xi|} (1-s^2)^{(n-3)/2} \left| I\left( s + \frac{1}{|\xi|} \right) - I(s) \right| ds \\ & \leq \frac{C}{|\xi|^{1/2}} + \int_{|s| < 1-10/|\xi|} (1-s^2)^{(n-3)/2} \left| I\left( s + \frac{1}{|\xi|} \right) - I(s) \right| ds. \end{aligned}$$

<sup>2</sup>We warn the reader that in some inequalities we have ignored unimportant multiplicative constants.

Now

$$\begin{aligned} & \left| I\left(s + \frac{1}{|\xi|}\right) - I(s) \right| \\ & \leq \sup_{t' \in S^{n-2}} \left| \Omega_r\left(s + \frac{1}{|\xi|}, \sqrt{1 - \left(s + \frac{1}{|\xi|}\right)^2} t'\right) - \Omega_r(s, \sqrt{1 - s^2} t') \right| \\ & \leq \omega^*\left(\frac{1}{|\xi|^{1/2}}\right). \end{aligned}$$

So putting this together we see that

$$|\Omega_r(x') d\sigma(x')^\wedge(\xi)| \leq C \left[ \frac{1}{|\xi|^{1/2}} + \omega^*\left(\frac{1}{|\xi|^{1/2}}\right) \right].$$

This is the estimate required for  $|\xi| \geq 1$ . For  $|\xi| < 1$ ,  $|\Omega_r(x') d\sigma(x')^\wedge(\xi)| \leq C|\xi|$ , since  $\widehat{\Omega_r d\sigma}(0) = 0$  ( $\int_{S^{n-1}} \Omega_r d\sigma = 0$ ) and  $[\Omega_r d\sigma]^\wedge$  is smooth, being the Fourier transform of a compactly supported measure. Then

$$|\hat{H}(\xi)| \leq \int_0^\infty J(r|\xi|) \frac{dr}{r} = \int_0^\infty J(r) \frac{dr}{r}$$

where

$$J(r) = \begin{cases} c \left[ \frac{1}{r^{1/2}} + \omega^*\left(\frac{1}{r^{1/2}}\right) \right], & r \geq 1, \\ cr, & r < 1. \end{cases}$$

Of course  $\int_0^\infty J(r) dr/r < \infty$ , since, for example,

$$\int_1^\infty \omega^*\left(\frac{1}{r^{1/2}}\right) \frac{dr}{r} \sim \int_0^1 \omega^*(\delta) \frac{d\delta}{\delta}.$$

This finishes the proof of (a).

Now, in order to prove an  $L^p$  estimate  $1 < p < \infty$ , we shall view the singular integral as roughly speaking, a singular integral along a curve, using the methods of Nagel, Rivière and Wainger [2].

Consider the operators  $T_\alpha$ , for  $\alpha$  complex, defined by  $T_\alpha f = I_\alpha(f * H(x)/|x|^\alpha)$ , where  $I_\alpha(f)(\xi) = |\xi|^{-\alpha} \hat{f}(\xi)$ . We shall first observe that if  $-\eta < \text{Re } \alpha < +\eta$ ,  $T_\alpha$  is bounded on  $L^2(\mathbb{R}^n)$ . Then for  $0 < \text{Re } \alpha < \eta$ , if we can show that  $T_\alpha$  is bounded on all  $L^p(\mathbb{R}^n)$ ,  $1 < p < \infty$ , by Stein's interpolation theorem, that  $T_0$  is bounded on all the classes  $L^p$ .

To see that  $T_\alpha$  is bounded on  $L^2$  wherever  $|\text{Re } \alpha|$  is small, we use the method of part (a):

$$T_\alpha f = f * H_\alpha,$$

where

$$\hat{H}_\alpha(\xi) = \int_0^\infty [\Omega_r(x') d\sigma(x')]^\wedge(r\xi) \frac{dr}{r^{1+\alpha}} \cdot |\xi|^{-\alpha},$$

and the type of reasoning used in part (a) shows that  $\hat{H}_\alpha(\xi)$  is bounded if  $|\operatorname{Re} \alpha|$  is small. (We use here the fact that  $\Omega_r$  is Lipschitz.)

Finally if  $\operatorname{Re} \alpha > 0$  it follows that

$$\int_{|x|>2|y|} |H_\alpha(x+y) - H_\alpha(x)| dx \leq C_\alpha, \tag{\sim}$$

where  $C_\alpha$  does not depend on  $y$ . By the methods of Calderón and Zygmund [1],  $T$  is bounded on all  $L^p$ , and we are done.

To see  $(\sim)$ , we shall prove that

$$\int_{|x|>2} |H_\alpha(x+y) - H_\alpha(x)| dx \leq C_\alpha, \tag{\approx}$$

assuming  $|y| = 1$ .

Then we observe that  $H_\alpha(\delta x) = \delta^{-n} \tilde{H}_\alpha(x)$  where  $\tilde{H}_\alpha$  differs from  $H_\alpha$  only in the way the family  $\Omega_r$  is parameterized;  $\omega^*$  is invariant in the passage from  $H_\alpha$  to  $\tilde{H}_\alpha$  so that it is indeed enough to prove  $(\approx)$ :

$$H_\alpha = c_\alpha \cdot \frac{1}{|t|^{n-\alpha}} * \frac{\Omega_{|t|}(t')}{|t|^{n+\alpha}}.$$

Let

$$H_\alpha^1 = c_\alpha \frac{1}{|t|^{n-\alpha}} * X_{|t|<1}(t) \frac{\Omega_{|t|}(t')}{|t|^{n+\alpha}}, \quad H_\alpha^2 = c_\alpha \frac{1}{|t|^{n-\alpha}} * X_{|t|>1} \frac{\Omega_{|t|}(t')}{|t|^{n+\alpha}}$$

and assume  $\operatorname{Re} \alpha > 0$ .

We have, for  $|x| > 2$ ,

$$\begin{aligned} |H_\alpha^1(x)| &= \left| c_\alpha \int_{|t|<1} \left[ \frac{1}{|x-t|^{n-\alpha}} - \frac{1}{|x|^{n-\alpha}} \right] \frac{\Omega_{|t|}(t')}{|t|^{n+\alpha}} dt \right| \\ &\leq \int_{|t|<1} \frac{|t|}{|x|^{n-\operatorname{Re} \alpha + 1}} \cdot \frac{1}{|t|^{n+\operatorname{Re} \alpha}} dt \leq \frac{C_\alpha}{|x|^{n-\operatorname{Re} \alpha + 1}} \end{aligned}$$

and

$$\int_{|x|>2} |H_\alpha^1(x)| dx \leq C_\alpha.$$

As for  $H_\alpha^2$ , we have

$$\begin{aligned} \int_{|x|>2} |H_\alpha^2(x+y) - H_\alpha^2(x)| dx &\leq \left\| \left[ \frac{1}{|x+y|^{n-\alpha}} - \frac{1}{|x|^{n-\alpha}} \right] * \frac{\Omega_{|x|}(x')}{|x|^{n+\alpha}} \right\|_1 \\ &\leq \left\| \frac{1}{|x+y|^{n-\alpha}} - \frac{1}{|x|^{n-\alpha}} \right\|_{L^1(|x|>1)} \left\| \frac{\Omega_{|x|}(x')}{|x|^{n+\alpha}} \right\|_{L^1(|x|>1)} < \infty. \end{aligned}$$

This concludes the proof of (b).

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DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CHICAGO, CHICAGO, ILLINOIS 60637