

# A pathway for the biogenesis of *trans*-acting siRNAs in *Arabidopsis*

Manabu Yoshikawa, Angela Peragine, Mee Yeon Park, and R. Scott Poethig<sup>1</sup>

Department of Biology, University of Pennsylvania, Philadelphia, Pennsylvania 19104-6018, USA

The *Arabidopsis* genes, *TAS2* and *TAS1a*, produce structurally similar noncoding transcripts that are transformed into short (21-nucleotide [nt]) and long (24-nt) siRNAs by RNA silencing pathways. Some of these short siRNAs direct the cleavage of protein-coding transcripts, and thus function as *trans*-acting siRNAs (ta-siRNAs). Using genetic analysis, we defined the pathway by which ta-siRNAs and other short siRNAs are generated from these loci. This process is initiated by the miR173-directed cleavage of a primary poly(A) transcript. The 3' fragment is then transformed into short siRNAs by the sequential activity of SGS3, RDR6, and DCL4: SGS3 stabilizes the fragment, RDR6 produces a complementary strand, and DCL4 cleaves the resulting double-stranded molecule into short siRNAs, starting at the end with the miR173 cleavage site and proceeding in 21-nt increments from this point. The 5' cleavage fragment is also processed by this pathway, but less efficiently. The DCL3-dependent pathway that generates long siRNAs does not require miRNA-directed cleavage and plays a minor role in the silencing of these loci. Our results define the core components of a post-transcriptional gene silencing pathway in *Arabidopsis* and reveal some of the features that direct transcripts to this pathway.

[*Keywords*: PTGS; RNAi; miRNA; *trans*-acting siRNAs]

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MicroRNAs (miRNAs) and small interfering RNAs (siRNAs) are 20–24-nucleotide (nt) noncoding RNAs that repress gene expression through sequence-specific interactions with RNA or DNA (Bartel 2004; Tomari and Zamore 2005). These small RNAs serve as guide molecules for protein complexes that mediate RNA degradation, translational repression, or heterochromatin production. miRNAs and siRNAs are distinguished by the structure of their precursors and by their targets. miRNAs are cleaved from the short, imperfectly paired stem of a much larger foldback transcript and regulate the expression of transcripts to which they may have limited similarity. siRNAs arise from a long, perfectly paired double-stranded RNA (dsRNA) and typically direct the cleavage of transcripts to which they are completely complementary, including the transcript from which they are derived. Recently, we (Peragine et al. 2004) and others (Vazquez et al. 2004b; Allen et al. 2005; Williams et al. 2005) described a novel class of endogenous siRNAs in *Arabidopsis* termed “*trans*-acting siRNAs” (ta-siRNAs). ta-siRNAs are endogenous siRNAs that direct the cleavage of nonidentical transcripts. ta-siRNAs are therefore functionally similar to miRNAs in that they regulate the expression of genes to which they have limited similarity.

miRNAs were first discovered as components of the heterochronic pathway in *Caenorhabditis elegans* (Lee et al. 1993; Reinhart et al. 2000) and have since been found in abundance in animals and plants (<http://micromsa.sanger.ac.uk/sequences>), where they regulate a wide variety of developmental and physiological processes (Ambros 2004; Kidner and Martienssen 2005). The normal function of endogenous siRNAs is less well understood. siRNAs derived from transposons and highly repeated centromeric sequences have been identified in several organisms and are thought to contribute to the transcriptional silencing of these sequences (Aravin et al. 2001, 2003; Djikeng et al. 2001; Hamilton et al. 2002; Llave et al. 2002a; Rudenko et al. 2003; Sijen and Plasterk 2003; Chicas et al. 2004; Xie et al. 2004). Endogenous siRNAs are likely to have a broader range of functions in *C. elegans* and *Arabidopsis*, however, as both of these organisms also produce siRNAs from single copy protein-coding and noncoding transcripts (Llave et al. 2002a; Reinhart et al. 2002; Ambros et al. 2003; Xie et al. 2004).

Plants produce two major size classes of siRNAs from endogenous transcripts and transgenes: ≈24-nt siRNAs (long siRNAs), and ≈21-nt siRNAs (short siRNAs) (Hamilton and Baulcombe 1999; Tang et al. 2003). These types of siRNAs are produced by different pathways and have different functions. Genetic analysis in *Arabidopsis* indicates that long siRNAs are generated from transcripts produced by the RNA polymerase, POL IV (Herr

<sup>1</sup>Corresponding author.

E-MAIL [spoethig@sas.upenn.edu](mailto:spoethig@sas.upenn.edu); FAX (215) 898-8780.

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et al. 2005; Onodera et al. 2005; Yu et al. 2005), and require the RNA-dependent RNA polymerase (RdRp) RDR2, the Dicer-like protein DCL3 (Xie et al. 2004), the Argonaute protein AGO4 (Zilberman et al. 2003), and the methyl transferase HEN1 (Liu et al. 2004) for their biogenesis and/or stability. Long siRNAs mediate transcriptional silencing by promoting DNA and histone methylation (Hamilton and Baulcombe 1999; Zilberman et al. 2003; Liu et al. 2004; Xie et al. 2004). Although most endogenous siRNAs are of this type, the biological function of this class of siRNAs is unknown because morphological or physiological phenotypes associated with mutations in the above-mentioned genes have not been described. ta-siRNAs and other short siRNAs are associated with post-transcriptional gene silencing (PTGS, also known as RNAi) and require the RdRp RDR6/SDE1/SGS2 (hereafter called RDR6) and novel plant-specific protein SGS3 (Peragine et al. 2004; Vazquez et al. 2004b; Allen et al. 2005). Mutations in *RDR6* and *SGS3* affect the timing of vegetative phase change and floral morphogenesis (Peragine et al. 2004), implying that at least some of the endogenous targets of small siRNAs have important biological functions.

Five loci have been shown, or predicted, to generate ta-siRNAs in *Arabidopsis*. Three of these (*TAS1a*, *TAS1b*, and *TAS1c*) produce identical or very closely related ta-siRNAs that target a group of related genes of unknown function (Peragine et al. 2004; Vazquez et al. 2004b). *TAS3* produces a ta-siRNA directed against the auxin-related transcription factors *ARF2*, *ARF3*, and *ARF4* (Allen et al. 2005; Williams et al. 2005). *TAS2* has been predicted to produce ta-siRNAs that target the pentatricopeptide repeat (*PPR*) genes, At1g12770 and At1g63130 (Allen et al. 2005), although there is still no evidence for this function. Allen et al. (2005) showed that the production of siRNAs from *TAS2* and *TAS1a* in a heterologous system requires miR173-directed cleavage of their primary transcripts and presented evidence that the biogenesis of ta-siRNAs from *TAS3* may also be mediated by miRNA-directed cleavage.

Here we show that *TAS2* and *TAS1a* produce ta-siRNAs directed against *PPR* genes and are likely to represent homologous loci. These genes produce structurally related noncoding transcripts that are processed into both short and long siRNAs. Genetic analysis of the biogenesis of short siRNAs demonstrated that this class of siRNAs is produced exclusively from the miRNA-generated cleavage fragments of *TAS2* and *TAS1a* by a pathway involving SGS3, RDR6, and DCL4. Our results provide a comprehensive picture of this pathway and suggest the likely functions of these proteins in PTGS in plants.

## Results

### *At2g39680 (TAS2) is a source of ta-siRNAs*

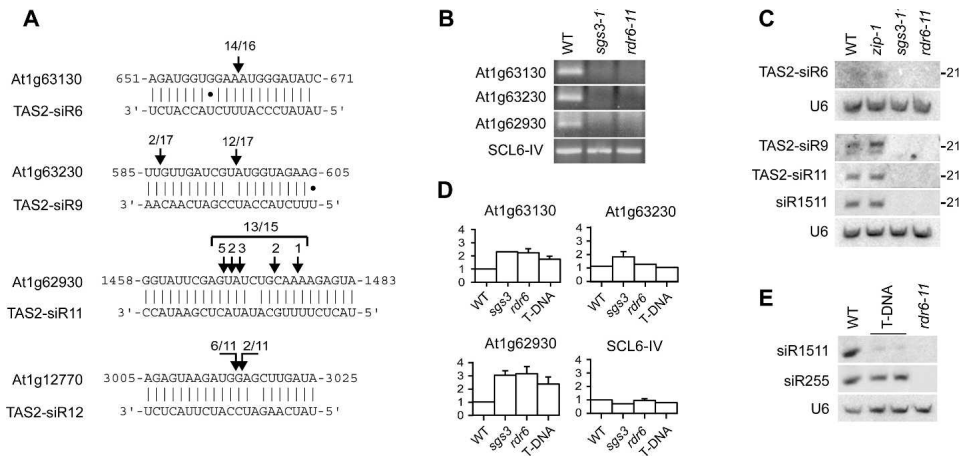
*At2g39680 (TAS2)* was identified in a microarray analysis of RNA levels in *zip-1*, *sgs3-11*, and *rdr6-11* (Peragine et al. 2004). Among the genes identified in this study, *TAS2* was unique in that it was elevated approximately fourfold in *rdr6-11* but was not affected by *zip-1* or *sgs3-*

*11*. A search of the ASRP database (Gustafson et al. 2005) revealed that many siRNAs have been cloned from *TAS2*. BLAST searches conducted with these cloned siRNAs did not reveal obvious targets. However, searches conducted with the hypothetical *TAS2* coding sequence indicated that it has four 20–30-nt regions with reverse complementarity to a family of *PPR* genes located on chromosome 1 (Fig. 1A; Lurin et al. 2004).

To determine if these *PPR* transcripts are cleaved at these complementary sites, we took advantage of an RNA ligase-mediated rapid amplification of 5' cDNA ends (RLM-5' RACE) protocol that has been widely used to identify cleavage sites in mRNA (Liu and Gorovskiy 1993). For each of the three transcripts tested (At1g63130, At1g63230, and At1g62930), RLM-5' RACE yielded PCR products of the expected size with wild-type RNA (Fig. 1B). Sequencing of these products revealed that each transcript is cleaved in the middle of the complementary region, at a site located 10 nt from a 3' A (Fig. 1A); this is the pattern expected for cleavage mediated by a miRNA or siRNA (Elbashir et al. 2001; Llave et al. 2002b; Kasschau et al. 2003). Three closely spaced cleavage sites were identified in At1g62930, suggesting that this gene is targeted by several related siRNAs (see below). Blots of low-molecular-weight (LMW) RNA hybridized with oligonucleotide probes complementary to the *TAS2* sequences in Figure 1A and to the cloned *TAS2* siRNA, siR1511, revealed 21-nt siRNAs corresponding to these sequences in wild-type and *zip-1* plants, but not in *sgs3-11* and *rdr6-11* (Fig. 1C). Consistent with this result, cleavage products from At1g63130, At1g63230, and At1g62930 could not be detected by RLM-5' RACE in these mutants (Fig. 1B); furthermore, quantitative RT-PCR performed with primers that flank the cleavage sites in At1g63130 and At1g62930 revealed that these transcripts are elevated two- to threefold in both mutants (Fig. 1D). *sgs3-11* and *rdr6-11* had only a small effect on the accumulation of At1g63230, indicating that siRNAs play a minor role in the regulation of this gene. To confirm that these transcripts are targets of *TAS2*-derived siRNAs, we examined the phenotype of a T-DNA insertion in *TAS2* (Fig. 2A) that reduces the production of siR1511 but does not affect the production of the *TAS1a* ta-siRNA, siR255 (Fig. 1E). The abundance of At1g63130, At1g63230, and At1g62930 in plants homozygous for SALK\_014168 was intermediate between the wild-type level and the level in *sgs3-11* or *rdr6-11* (Fig. 1D), consistent with the intermediate level of siR1511 in this mutant (Fig. 1E). We conclude that *TAS2* produces at least four distinct ta-siRNAs directed against *PPR* genes. SALK\_014168 does not have an obvious effect on shoot morphology (data not shown), so the hyperaccumulation of *PPR* transcripts in *sgs3-11* and *rdr6-11* does not account for their precocious vegetative phenotype (Peragine et al. 2004).

### *The primary transcripts of TAS2*

The transcripts produced from *TAS2* were characterized in detail to determine how ta-siRNAs are generated from



**Figure 1.** At2g39680 (*TAS2*) produces ta-siRNAs that target *PPR* genes. (A) Sequences in *TAS2* that have reverse complementarity to mRNAs encoding *PPR* proteins. Fractions indicate the number of sequenced RLM-5'RACE clones that terminated at the indicated position. (B) *sgs3-11* and *rdr6-11* prevent the formation of RLM-5'RACE products from *PPR* transcripts targeted by siRNAs from *TAS2*. Amplification of the miR171 target, *SCL6-IV*, occurs normally in these mutants. (C) Blots of LMW RNA hybridized with oligo nucleotide probes complementary to the *TAS2* sequences in A and to the cloned *TAS2* siRNA, siR1511. U6 was used as loading control. (D) Quantitative real-time RT-PCR analysis of the abundance of *PPR* transcripts in total RNA from wild type (WT), *sgs3-11*, *rdr6-11*, and plants homozygous for the SALK\_014168 T-DNA insertion. PCR was performed with primers that flank the cleavage site in these transcripts. Three to six biological replicates were performed for each sample. Values were normalized to EIF4A and are expressed as the ratio of the value relative to wild type. (E) Blot of LMW RNA from wild type (WT), two families of plants homozygous for the SALK\_014168 T-DNA insertion, and *rdr6-11* hybridized with probes to the indicated siRNAs.

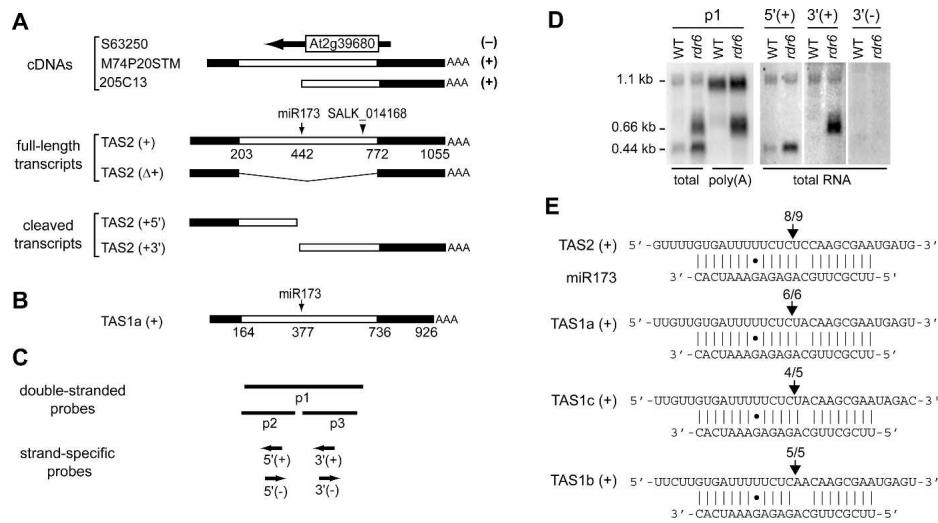
this locus. Several existing *TAS2* cDNAs were obtained from the *Arabidopsis* Biological Resource Center and re-sequenced (Fig. 2A). The predicted *TAS2* open reading frame (ORF) is present in a 659-bp clone that has no poly(A) tail (GenBank BT011210). This ORF is unusually short and is not conserved in other plants, and so is unlikely to actually encode a protein. The other cDNAs from this region have a poly(A) tail and are antisense to this clone. The longest of these, M74P20STM (GenBank DQ000174), is 993 nt. A 602-nt clone, 205C13 (GenBank DQ000172), terminates in the middle of M74P20STM but has the same 3' end, suggesting that it represents a derivative of this longer transcript. Because most siRNAs from this locus correspond to the strand represented by these clones, we refer to this strand as the (+) strand, and refer to the strand that contains the ORF as the (-) strand.

The primary *TAS2* transcripts were identified by performing 5' and 3' RACE with primers specific for (+) or (-) strands. Two (+) transcripts were identified by 3' RACE performed with a primer located near the 5' end of M74P20STM (Fig. 2A). Both transcripts have the same 3' end, but *TAS2(+)* (GenBank DQ000170) contains a 569-nt intron that is spliced out of *TAS2(Δ+)* (GenBank DQ000171). 5' RACE performed with a primer within this intron and a primer on the 3' side of the intron produced products with the same 5' end, suggesting *TAS2(+)* and *TAS2(Δ+)* are transcribed from the same promoter. This experiment also suggests that these transcripts have a 5' cap, since uncapped transcripts are not amplified by the standard 5' RACE protocol. The 5' end determined by this approach is located 62 nt upstream of the 5' end of the M74P20STM cDNA. Attempts to de-

termine the 5' and 3' ends of the (-) transcript failed, probably because of the low abundance of this transcript (see below).

To identify cleaved *TAS2(+)* transcripts, total floral RNA was subjected to RLM-5' RACE, using a primer located near the 3' end of *TAS2(+)*. This experiment yielded products that terminated at a single site within the intron in *TAS2(+)*. This site is identical to the 5' end of the cDNA 205C13 (Fig. 2A), indicating this cDNA corresponds to a 3' cleavage fragment of *TAS2(+)*. The corresponding 5' cleavage fragment was characterized by amplifying self-ligated transcripts by RT-PCR (Couttet et al. 1997). This experiment identified a transcript with a 5' end that matches the 5' end of the (+) transcript, and a 3' end that terminates at the cleavage site identified by RLM-5' RACE. Three out of 12 self-ligated clones had one or two untemplated adenines at their 3' end. Untemplated uridines are added to the 3' end of the cleavage fragments of some miRNA-targeted transcripts in *Arabidopsis* (Shen and Goodman 2004), suggesting that the 5' fragment of *TAS2(+)* may be processed in a similar fashion. We conclude that *TAS2(+)* is cleaved into a 442-nt 5' fragment, *TAS2(+5')*, and a 613-nt 3' fragment, *TAS2(+3')*, by an RNAi-like mechanism (Fig. 2A).

The transcripts produced from *TAS2* were further characterized by hybridizing blots of total and poly(A) RNA from wild-type Columbia (Col) and *rdr6-11* mutants with a double-stranded probe (p1) that spans this cleavage site, as well as with short strand-specific probes (Fig. 2C). All of the transcripts detected with the p1 probe hybridized to (+) strand-specific probes but not to (-) strand-specific probes, demonstrating that *TAS2* is transcribed primarily in the (+) orientation (Fig. 2D; data

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**Figure 2.** *TAS2(+)* and *TAS1(+)* are cleaved by miR173. (A) Schematic illustration of *TAS2* cDNAs and transcripts identified by 5' and 3' RACE, RLM-5'RACE, and RT-PCR of self-ligated RNA. (Black box) Exon; (open box) intron. (B) Structure of the unspliced *TAS1a(+)* transcript (Vazquez et al. 2004b). (C) DNA probes used in this study. Similarly positioned probes were used for *TAS2* and *TAS1a*. (D) Blots of total and poly(A)-selected RNA hybridized with the indicated *TAS2* probes. The abundance of the ≈1-kb polyadenylated (+) transcript is not affected by *rdr6-11*; however, the 0.44-kb nonadenylated 5' (+) fragment and the 0.66-kb polyadenylated 3' (+) fragment accumulate to high levels in this mutant. (E) *TAS2(+)* and *TAS1(+)* transcripts are cleaved at the miR173 target site. Fractions indicate the number of RLM-5' RACE clones that terminated at the indicated position.

not shown). In wild-type plants, p1 hybridized to a 1.1-kb (+) transcript and a 0.44-kb (+) transcript in total RNA, and a 1-kb (+) transcript in poly(A) RNA. The 1-kb polyadenylated (+) transcript and the 0.44-kb nonadenylated (+) transcript correspond, respectively, to *TAS2(+)* and *TAS2(+5')*; the relationship between this 1-kb transcript and the 1.1-kb transcript observed in total RNA is unclear because the apparent difference in the size of these transcripts could be an artifact of the difference in the amount of RNA loaded on these gels. *rdr6-11* plants had very high levels of *TAS2(+5')* and a polyadenylated 0.66-kb transcript corresponding to *TAS2(+3')* but had wild-type levels of the 1.1-kb (+) transcript (Fig. 2D). No hybridization was detected with (-) strand probes, indicating that *TAS2(+5')* and *TAS2(+3')* accumulate as single-stranded molecules in this mutant. These results indicate that the cleavage fragments of *TAS2(+)*—but not *TAS2(+)* itself—are the targets of an RDR6-dependent silencing pathway. The 3' fragment appears to be processed more efficiently than the 5' fragment because it is present at much lower levels than the 5' fragment in wild-type plants (Fig. 2D). The (-) transcript of *TAS2* is also present at very low levels because it was not detected with (-) strand probes.

*TAS2 is targeted by miR173 and is homologous to TAS1a, TAS1b, and TAS1c*

A comparison of the cleavage site in *TAS2(+)* with the sequence of known miRNAs revealed that miR173 can potentially pair with this sequence with one mismatch and a single G-U pair (Fig. 2E). As is typical for miRNA-

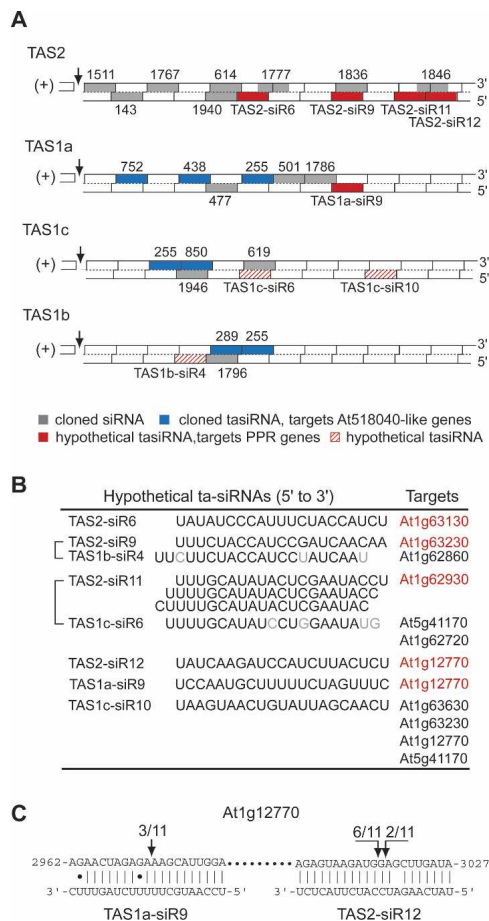
directed cleavage (Kasschau et al. 2003), the cleavage site in *TAS2(+)* is 10 nt from the 5' end of miR173. Three previously identified sources of ta-siRNAs—*TAS1a* (At2g27400/cluster64), *TAS1b* (At1g50055/cluster 28), and *TAS1c* (At2g39675/cluster 68)—have a sequence that is nearly identical to this putative miR173 target sequence and are cleaved at the same site within this sequence as *TAS2(+)* (Fig. 2E). *TAS1a* resembles *TAS2* in that it produces a 1-kb primary transcript with a single ≈570-nt differentially spliced intron (Vazquez et al. 2004b). The miR173 site is located within this intron in the same position as the miR173 site in *TAS2* (Fig. 2A,B). Although the *TAS1* loci do not have extended sequence similarity to *TAS2*, all three loci can theoretically produce siRNAs that target *PPR* genes (Fig. 3A,B). Indeed, the location of the cleavage sites in At1g12770 indicate that it is targeted by a ta-siRNA from *TAS1a*, as well as by a ta-siRNA from *TAS2* (Fig. 3C). These observations, and the fact that *TAS2* is immediately adjacent to *TAS1c* on chromosome 2, strongly suggest that *TAS1a*, *TAS1b*, *TAS1c*, and *TAS2* are paralogous loci.

*miRNA-directed cleavage is required for the biogenesis of short, but not long, siRNAs*

Indirect evidence that miR173-directed cleavage is required for the biogenesis of ta-siRNAs and other short siRNAs from *TAS2(+)* and *TAS1a(+)* is provided by the arrangement of short siRNAs within these transcripts (Fig. 3A; Vazquez et al. 2004b; Allen et al. 2005). Most of the siRNAs that have been cloned from these loci, as well as all the hypothetical ta-siRNAs that target *PPR* genes, are spaced in near perfect 20–21-nt increments



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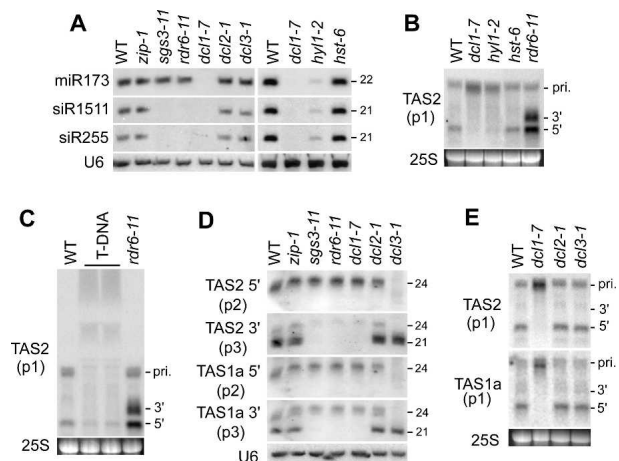
**Figure 3.** The position and predicted nucleotide sequence of *TAS2* and *TAS1* siRNAs. (A) siRNAs arising from the 3' fragment of *TAS2*, *TAS1a*, *TAS1c*, and *TAS1b* are positioned in 21-nt increments relative to the *miR173* cleavage site (arrow). The sequence of these siRNAs is available from <http://asrp.cgrb.oregonstate.edu>. siRNAs in boxes are within 2 nt of the site predicted by a 21-nt spacing pattern. (B) The sequence of hypothetical ta-siRNAs from *TAS2* and *TAS1a*, and the PPR genes targeted by these ta-siRNAs. Transcripts that are cleaved 10 nt from the 5' end of the ta-siRNA are in red. Sequences that are similar in *TAS2* and *TAS1a* are indicated by a bracket. (C) At1g12770 has two closely spaced cleavage sites potentially targeted by ta-siRNAs from *TAS2* and *TAS1a*.

and are in perfect register with the *miR173* cleavage site. Most significantly, the *TAS2* ta-siRNAs that target PPR genes are located in the sixth, ninth, 11th, and 12th positions from this site (Fig. 3A). This spacing pattern is consistent with the evidence that Dicer cleaves double-stranded RNA sequentially from the end of the molecule (Elbashir et al. 2001; Ketting et al. 2001; Zhang et al. 2002; Siolas et al. 2004) and suggests that that *miR173*-directed cleavage is essential for the production of ta-siRNAs because this event generates an end that is an appropriate substrate for DCL.

Loss-of-function mutations in *DCL1*, *HYL1*, and *HST* reduce or eliminate the accumulation of many miRNAs but have no effect on post-transcriptional transgene silencing (Park et al. 2002, 2005; Finnegan et al. 2003; Han

et al. 2004; Vazquez et al. 2004a), suggesting that these genes are involved primarily, if not exclusively, in the processing of miRNAs. *miR173* was absent in *dcl1-7*, reduced in *hyl-2*, and was not affected by *hst-6* (Fig. 4A). Consistent with the effect of these mutations on *miR173*, cleavage of *TAS2(+)* was completely abolished in *dcl1-7* and reduced in *hyl-2* but occurred normally in *hst-6* (Fig. 4B). The accumulation of siR1511 and siR255 was directly correlated the amount of transcript cleavage in these mutants: These siRNAs were missing in *dcl1-7*, present at reduced levels in *hyl-2*, and unaffected by *hst-6* (Fig. 4A). These results support the conclusion that the biogenesis of short siRNAs from *TAS2* and *TAS1a* requires miRNA-directed cleavage of their primary transcripts.

There is evidence that miRNAs associate with their target transcripts during transcription (Bao et al. 2004), but when and where they direct the cleavage of these transcripts is still unknown. The effect of SALK\_014168 on the accumulation of the 5' cleavage fragment of *TAS2(+)* suggests that cleavage of this transcript occurs after transcription is completed. Because the SALK\_014168 T-DNA is inserted 3' of the *miR173* cleavage site (Fig. 2A), it should not interfere with the production of the 5' fragment if this fragment is generated during transcription. However, plants homozygous for this T-DNA produce reduced amounts of the 5' fragment of *TAS2(+)*, and also produce an array of large transcripts



**Figure 4.** Production of ta-siRNAs from *TAS2(+)* and *TAS1a(+)* requires *miR173*-directed cleavage. Blots of LMW RNA (A,C) or total RNA (B,D) hybridized with the indicated probes. (A) Mutations that interfere with the biogenesis of *miR173* have correlated effects on the accumulation of siR1511 and siR255. (B) Cleavage of *TAS2(+)* in *dcl1-7*, *hyl1-2*, and *hst-6* is inversely correlated with the abundance of *miR173* in these mutants. (C) A T-DNA-inserted 3' of the *miR173* cleavage site destabilizes *TAS2(+)* and reduces the accumulation of the 5' cleavage fragment. (D) The 5' and 3' portions of *TAS2* and *TAS1a* produce different size classes of siRNAs. Production of 24-nt siRNAs requires DCL3 but not DCL1. (E) *dcl2-1* and *dcl3-1* have no effect on the accumulation of *TAS2(+)* and *TAS1a(+)* or their cleavage products. See Figure 2B for the location of the probes used in these experiments.

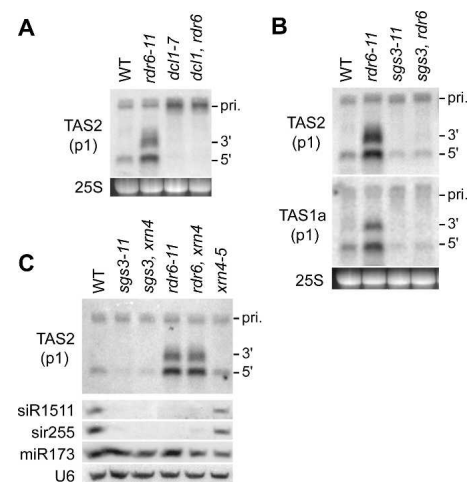
that probably correspond to partially degraded cleaved and uncleaved transcripts containing the T-DNA (Fig. 4C). This result demonstrates that the presence of the T-DNA destabilizes *TAS2(+)* and suggests that the reduced accumulation of 5' fragment can be attributed to the post-transcriptional instability of the mutant transcript.

To obtain a more complete picture of the processing of *TAS2* and *TAS1a*, blots of LMW RNA and total RNA from various mutants were hybridized with long, double-stranded probes to the 5' (p2) and 3' (p3) parts of these transcripts, as well as with a probe (p1) that spans the miR173 cleavage site. Blots of LMW RNA revealed that the 5' region of these transcripts produces predominantly long siRNAs, whereas the 3' region produces both long and short siRNAs, with short siRNAs being the more abundant class (Fig. 4D). *zip-1* and *dcl1-7* had no effect on the silencing of these loci. *sgs3-11*, *rdm6-11*, and *dcl1-7* prevented the accumulation of short siRNAs, but had little or no effect on the accumulation of long siRNAs, whereas *dcl3-1* exclusively prevented the accumulation of long siRNAs. In contrast to *dcl1-7*, *dcl2-1* and *dcl3-1* had no effect on the accumulation of the primary *TAS2* and *TAS1a* transcripts or their cleavage fragments (Fig. 4E). These results demonstrate that the biogenesis of long siRNAs does not require miRNA-directed cleavage and also indicate that long siRNAs are generated by a pathway distinct from the one that produces small siRNAs. The long siRNA pathway does not appear to contribute significantly to the silencing of *TAS2(+)* and *TAS1a(+)* because the accumulation of these transcripts is not affected by *dcl3-1* (Fig. 4E).

#### *SGS3* and *RDR6* act sequentially on the *TAS2(+)* and *TAS1a(+)* cleavage fragments

It is evident from the elevated level of *TAS2(+3')* and *TAS2(+5')* in *rdm6-11* that these fragments are silenced by the RDR6 pathway. Is the primary *TAS2(+)* transcript also silenced by this pathway? Although we did not observe an increase in *TAS2(+)* in *rdm6-11* plants (Fig. 2D), this transcript may be protected by its association with miR173-primed RNA-induced silencing complex (RISC). To eliminate this possibility, we examined the accumulation of *TAS2(+)* in *dcl1-7 rdm6-11* double mutants, in which miR173 is absent and *TAS2(+)* is not cleaved. Double mutants had the same amount of *TAS2(+)* as *dcl1-7* (Fig. 5A), confirming that this transcript is not a substrate for the RDR6 pathway.

*SGS3* acts in same pathway as *RDR6* (Beclin et al. 2002; Peragine et al. 2004; Vazquez et al. 2004b), but its specific function and the order in which these genes operate is still unknown. To address these questions, we examined the accumulation of *TAS2(+)* and *TAS1a(+)* in *sgs3-11* and *sgs3-11 rdm6-11* double mutants. In contrast to *rdm6-11*—which causes a significant increase in the level of the cleavage fragments of these transcripts—*sgs3-11* reduced the accumulation of the 5' fragment, and completely eliminated the 3' fragment (Fig. 5B). *sgs3-11 rdm6-11* double mutants had the same low level



**Figure 5.** Epistasis analysis of the function of *SGS3*, *RDR6*, and *XRN4*. Blots of total RNA (A–C) and LMW RNA (C) hybridized with the indicated probes. (A) *dcl1-7 rdm6-11* double mutants are indistinguishable from *dcl1-7*. (B) *sgs3-11* reduces the accumulation of the *TAS2(+)* and *TAS1a(+)* cleavage fragments and is epistatic to *rdm6-11*. (C) *xrn4-1* does not affect the accumulation of *TAS2(+)* and its cleavage fragments (*top*) and also has no effect on siRNAs derived from *TAS2* and *TAS1a* (*bottom*).

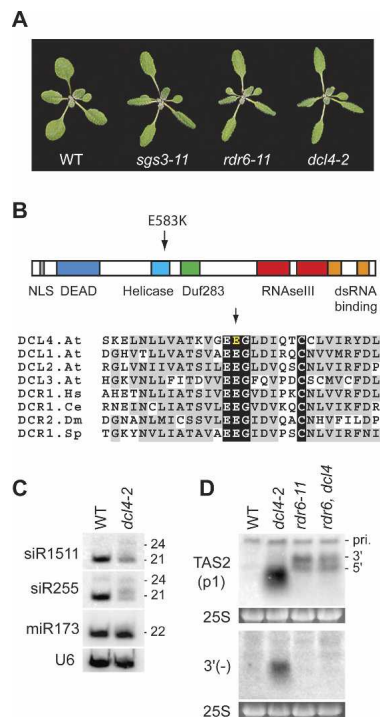
of these fragments as *sgs3-11*, indicating that *SGS3* is required for the accumulation of these cleavage fragments in *rdm6-11* mutants; i.e., *sgs3-11* is completely epistatic to *rdm6-11*. These results indicate that *SGS3* directly or indirectly stabilizes these cleavage fragments and acts before *RDR6*.

The phenotype of *sgs3-11* suggests that *SGS3* somehow protects the cleavage fragments of *TAS2(+)* and *TAS1a(+)* from RNases that act on single-stranded RNA. One such RNase is *XRN4*. *XRN4* is a cytoplasmically localized 5'-3' exonuclease that degrades the 3' cleavage fragments of some miRNA-targeted genes (Souret et al. 2004) and decapped mRNAs (Gazzani et al. 2004). It is of particular interest because there is evidence that it competes with *RDR6* (Gazzani et al. 2004). However, *xrn4-5* had no effect on the accumulation of *TAS2(+)* or its cleavage products, either as a single mutant, or in combination with *sgs3-11* or *rdm6-11* (Fig. 5C). *xrn4-5* also had no effect on the accumulation of *TAS2* or *TAS1a* siRNAs (Fig. 5C). These results demonstrate that *TAS2* is not a substrate of *XRN4*. The possibility that *TAS2* is a substrate of the related exonucleases *XRN2* or *XRN3* (Souret et al. 2004) remains to be tested.

#### Small siRNAs are generated by *DCL4*

Screens of EMS-mutagenized plants produced a recessive mutation that is morphologically indistinguishable from *sgs3-11* and *rdm6-11* but complements both of these mutations (Fig. 6A). This mutation was mapped by genetic crosses to a region on chromosome 5 containing *DCL4* and was found to be associated with a point mutation in the helicase domain of *DCL4* that converts glutamic acid

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**Figure 6.** DCL4 is a component of the SGS3/RDR6 pathway and acts downstream of RDR6. (A) Two-week-old wild-type and mutant plants. The vegetative phenotype of *dcl4-2* is identical to that of *sgs3-11* and *rdr6-11*. (B) The domain structure of the DCL4 protein (Schauer et al. 2002) and the location of the *dcl4-2* mutation. *dcl4-2* affects a glutamic acid that is completely conserved in Dicers from *Arabidopsis* (At), *Homo sapiens* (Hs), *C. elegans* (Ce), *Drosophila melanogaster* (Dm), and *S. pombe* (Sp). (C) *dcl4-2* interferes with the biogenesis of siR1511 and siR255 but does not affect miR173. (D) *dcl4-2* accumulates an array of small transcripts, some of which hybridize to an oligonucleotide probe specific for the (-) strand of TAS2(3'). These small transcripts are not produced in *rdr6-11 dcl4-2* double mutants.

at position 583 to lysine (Fig. 6B). This nonconservative mutation (*dcl4-2*) is in the middle of a short amino acid sequence that is perfectly conserved in Dicers from *Arabidopsis*, humans, *Drosophila*, *C. elegans*, and *Schizosaccharomyces pombe* and is therefore likely to have a major effect on the function of DCL4. A T-DNA insertion in DCL4 (*dcl4-1*; FLAG\_330A04) generated in the Ws ecotype has a morphological phenotype similar to that of *dcl4-2*, indicating that this phenotype is attributable to DCL4.

*dcl4-2* had normal levels of miR173 (Fig. 6C) and did not affect the accumulation of the primary TAS2(+) transcript (Fig. 6D), demonstrating that DCL4 is not required for the biogenesis or function of this miRNA. However, mutant plants had reduced amounts of the 21-nt forms of siR1511 and siR255 and accumulated unusually large forms of these siRNAs (Fig. 6C). This result suggests that DCL4 is directly involved in the production of these siRNAs and that other DCLs are capable of acting on the substrates of this enzyme in a *dcl4-2* mutant background. This conclusion is supported by the effect of

*dcl4-2* on the processing of the TAS2(+) cleavage fragments. Blots of total RNA hybridized with the p1 probe revealed that *dcl4-2* accumulates an array of transcripts smaller than 400 nt (Fig. 6D). These transcripts also hybridize to a probe for the (-) strand of the 3' fragment (Fig. 6D), suggesting that at least some of these transcripts are aberrantly processed, double-stranded forms of the 3' cleavage fragment. Consistent with this interpretation, *rdr6-11 dcl4-2* double mutants lacked these small transcripts, and instead accumulated single-stranded, full-length 5' and 3' fragments of TAS2(+). That is, double mutants were indistinguishable from *rdr6-11*. This result demonstrates that RDR6 is required for the production of the small transcripts present in *dcl4-2*, and thus acts before DCL4. The observation that *rdr6-11* blocks the production of (-) transcripts complementary to TAS2(+3') is evidence that RDR6 functions as a RdRp. The epistatic relationship between *rdr6-11* and *dcl4-2* is also significant because it demonstrates that the substrate of DCL4 is double-stranded RNA.

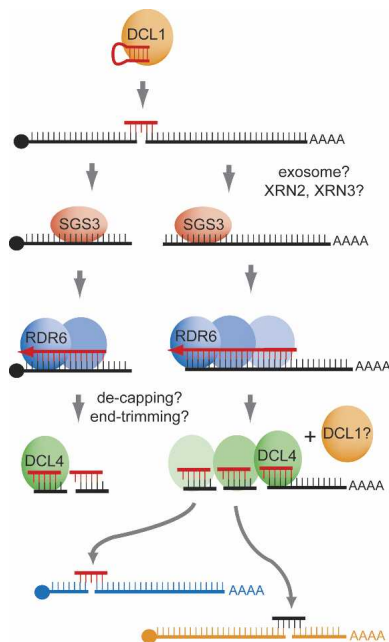
## Discussion

In this paper, we describe the pathway by which small siRNAs are generated from the TAS2 and TAS1a loci in *Arabidopsis*. Although these transcripts have the special feature of being cleaved by a miRNA, this pathway is unlikely to be restricted to this class of transcripts because two of its components, SGS3 and RDR6, are required for PTGS of sense transgenes and for resistance to some viruses (Dalmay et al. 2000b; Mourrain et al. 2000; Beclin et al. 2002; Himber et al. 2003; Muangsan et al. 2004). The lessons learned from this analysis of the processing of TAS2 and TAS1a may therefore have broad implications for the mechanism of PTGS in plants.

### The SGS3/RDR6/DCL4 pathway

A model for the way in which small siRNAs are generated from TAS2 and TAS1a is illustrated in Figure 7. This process is initiated by the miR173-mediated cleavage of a capped, polyadenylated transcript. The resulting cleavage fragments are then bound by SGS3, or by proteins associated with SGS3, thus protecting them from degradation by enzymes that act on single-stranded RNA. RDR6 transforms the fragments into double-stranded molecules, and DCL4 subsequently cleaves these fragments into 21-nt siRNAs. Although both the 5' and 3' cleavage fragments of TAS2(+) and TAS1a(+) are processed by SGS3, RDR6, and DCL4, the 3' fragment is processed more efficiently by this pathway than the 5' fragment. This difference may be explained by the substrate specificity of Dicer. In vitro studies of siRNA biogenesis in animals indicate that Dicer cleaves siRNAs from the end of a dsRNA substrate and prefers molecules that have a 1- or 2-nt 3' overhang (Elbashir et al. 2001; Zhang et al. 2002, 2004; Siolas et al. 2004). Because of polarity of RNA synthesis, RDR6-mediated transcription of the 3' fragment will frequently terminate at the 5'





**Figure 7.** Model for the biogenesis of short siRNAs from *TAS2(+)* and *TAS1a(+)*. The biogenesis of short siRNAs is initiated by cleavage of these transcripts by miR173. This event produces fragments that are protected from degradation by SGS3 and are subsequently transcribed by RDR6. The resulting double-stranded fragments are then cleaved into small siRNAs by DCL4 and possibly DCL1, starting at the end of the fragment. Some of these small siRNAs function as ta-siRNAs. The 3' fragment is particularly susceptible to DCL4 because transcription by RDR6 frequently terminates at the miR173 cleavage site, yielding a duplex end. The 5' fragment is less susceptible to DCL4 because transcription frequently begins internal to the 3' end of this fragment, leaving a single-stranded end. Cleavage from the 5' end of this fragment is inhibited by the 5' cap.

end of this fragment; that is, at the miR173 cleavage site. If RDR6 adds untemplated nucleotides to the 3' end of the newly transcribed strand—as has been found in the case of a tomato RdRp (Schiebel et al. 1993)—this will generate a duplex end with a 3' overhang and make this fragment an ideal substrate for DCL4 or a competing DCL. The observation that small siRNAs from the 3' fragment are in exact register with the miR173 cleavage site provides strong support for this model. In contrast, initiation of transcription at random positions on the 5' cleavage fragment will frequently yield molecules that have a single-stranded 3' end, preventing processing of this end by Dicer; processing from the 5' end is likely to be inhibited by the presence of the 5' cap. These considerations suggest that processing of the 5' fragment into ta-siRNAs (Allen et al. 2005) requires a special mechanism for directing RDR6 to the 3' end of these fragments.

miRNA-directed cleavage cannot be the only factor that directs transcripts to this pathway, because most miRNA-targeted transcripts are not silenced by SGS3 and RDR6 (Peragine et al. 2004; Vazquez et al. 2004b). One factor that may account for the susceptibility of *TAS2* is the presence of an antisense transcript. Al-

though we were unable to detect this transcript on Northern blots, a cDNA representing the (–) strand of *TAS2* has been identified. Hybridization between *TAS2(+)* and this transcript could yield primary siRNAs that serve as primers for RDR6. Because the (–) transcript overlaps extensively with the 3' cleavage fragment, these primers would favor transcription of this fragment. Another factor that may direct the SGS3/RDR6/DCL4 pathway to *TAS2(+)* and *TAS1a(+)* is the presence of an unspliced intron. It is noteworthy that the miR173 site is located in this intron and that all of the ta-siRNAs generated from these transcripts are confined to this intron. Most *Arabidopsis* genes targeted by miRNAs have the miRNA target site in their coding region, and it is possible that the location of a miRNA target site in an intron, or in another post-transcriptionally processed part of a transcript (e.g., the 3' UTR) somehow makes the transcript unusually susceptible to PTGS. This hypothesis is consistent with the observation that the miR390 target site in *TAS3* is located in a predicted 3' UTR (Allen et al. 2005). Nonsense-mediated decay of mRNAs is mediated by an interaction between the RNA splicing apparatus and the proteins involved in translation (Maquat 2004), and it may be that interactions between the RNA splicing apparatus and RISC are important for directing transcripts to the SGS3/RDR6/DCL4 pathway. The fact that *TAS2(+)* and *TAS1a(+)* are noncoding transcripts may also be important because there is evidence in mammals that untranslated mRNAs are much more susceptible to RNAi than translated mRNAs (Gu and Rossi 2005).

#### *The functions of RDR6, SGS3, and DCL4*

Beclin et al. (2002) showed that RDR6 and SGS3 are required for PTGS of sense transgenes but not hairpin transgenes, from which they concluded that these proteins are required for the production of duplex RNA from a single-stranded template. This conclusion is supported in the case of RDR6 by its similarity to a tomato RNA-dependent RNA polymerase (Dalmay et al. 2000b; Mourrain et al. 2000) and evidence that is required for the phenomenon of transitivity (Vaistij et al. 2002) and for the amplification and spread of transgene silencing (Himber et al. 2003). Our observation that *rdr6-11* blocks the production of the *TAS2(-3')* transcripts in an *dcl4-1* mutant background provides additional support for this conclusion and is the first direct evidence that RDR6 functions as an RdRp.

The biochemical function of SGS3 is less well defined. The effect of *sgs3-11* on the accumulation of the cleavage fragments of *TAS2(+)* and *TAS1a(+)* demonstrates that SGS3 somehow stabilizes these single-stranded fragments, but whether it has a more direct role in the transformation of these transcripts into dsRNA is unknown. We suspect that SGS3 is actually required for the function of RDR6 because *sgs3-11* eliminates the production of small siRNAs, and is completely epistatic to *rdr6-11*. If SGS3 merely has a protective function, one would imagine that *sgs3-11* would have a less severe phenotype



than *rd6-11* because transcripts that escape degradation in *sgs3-11* mutants could be processed by RDR6. Whether SGS3 binds directly to RNA and, if so, the specific features that it recognizes, also remains to be determined. SGS3 encodes a coiled-coil protein with a zinc finger domain that could mediate RNA binding (Bateman 2002). It also has a conserved domain of unknown function, termed the XS domain. Other *Arabidopsis* proteins that have an XS domain have a second conserved domain called the XH domain, and it has been suggested that SGS3 functions in collaboration with one or more proteins that have this domain (Bateman 2002).

The Dicer responsible for PTGS in *Arabidopsis* has remained a mystery because none of the three previously described DCLs are good candidates. DCL1 is required for the biogenesis of miRNAs (Park et al. 2002) but is not required for PTGS of a hairpin transgene (Finnegan et al. 2003). DCL2 is required for the biogenesis of siRNAs from some viruses but has no apparent role in the generation of endogenous long or short siRNAs (Xie et al. 2004), and DCL3 is required exclusively for the production of long siRNAs (Xie et al. 2004), which have no apparent role in PTGS (Hamilton et al. 2002; Himber et al. 2003). The observations that *dcl4-2* reduces the abundance of siR1511 and siR255 and causes incomplete processing of cleavage fragments of *TAS2(+)* and *TAS1a(+)* suggests that DCL4 generates small siRNAs from these fragments. However, it is still unclear if it is the only DCL with this function because we have no evidence that *dcl4-2* is a null mutation. The small siRNAs present in *dcl4-2* could be the product of this mutant protein or could be generated by another DCL that also operates on these cleavage fragments. The best candidate is DCL1 because this enzyme generates 21–22-nt miRNAs. The presence of 24-nt forms of siR255 and siR1511 in *dcl4-2* suggests that DCL3 is also capable of processing these fragments. This issue will be resolved by the phenotypes of other *dcl4* alleles and the phenotypes of double mutants between *dcl4* and mutations in other DCL genes. DCL4 is the only DCL in *Arabidopsis* that lacks a PAZ domain (Schauer et al. 2002) and resembles the *Drosophila* dicer DCR2 in this respect (Lee et al. 2004). DCR2 is required for the biogenesis of siRNAs (Lee et al. 2004) and participates with R2D2 in loading siRNAs into RISC (Liu et al. 2003; Denli et al. 2004; Pham et al. 2004; Tomari et al. 2004). DCL4 may perform a similar function because its C-terminal domain has been shown to interact with the R2D2 homolog, DRB4 (Hiraguri et al. 2005).

#### *The evolution and function of TAS1 and TAS2*

*TAS2* produces four different siRNAs directed against a recently expanded family of *PPR* genes, so it is not unreasonable to predict that *TAS2* evolved from a member of this family. Two features that distinguish *TAS2(+)* from its *PPR* targets (aside from the fact that it is a non-coding transcript) are the presence of a miR173 target site and the fact that the region of similarity between *TAS2* and its *PPR* targets lies in a large, differentially

spliced intron. In contrast, the *PPR* genes targeted by *TAS2* either have no introns or a few small ones: The target sites for *TAS2* ta-siRNAs reside in coding regions of these genes. Two key events in the evolution of *TAS2* may have been the acquisition of a poorly spliced intron and the acquisition of a miRNA target site within this intron. Interestingly, the *PPR* family from which *TAS2* probably evolved is the same family that spawned the miRNA, miR161 (Allen et al. 2004). This suggests that pressure to coordinately repress the expression of recently duplicated genes is an important factor in the evolution of gene silencing mechanisms in plants.

Although *TAS2* has no significant similarity to the *TAS1* loci outside the miR173 site, there is convincing evidence that these loci are evolutionarily related. In addition to the structural similarity between *TAS2(+)* and *TAS1a(+)* and the similarity in the way these transcripts are processed, all three *TAS1* loci are theoretically capable of producing at least one siRNA that targets the same family of *PPR* genes targeted by *TAS2*. Furthermore, *TAS2* and *TAS1c* are immediately adjacent to each other on chromosome 2 and are in the same orientation. This organization suggests that *TAS2* and *TAS1c* arose by a direct duplication and that *TAS1a* and *TAS1b* arose from *TAS1c* after it had diverged from *TAS2*. If *TAS2* and the *TAS1* loci are indeed paralogs, the number of unique ta-siRNA loci that have been identified in *Arabidopsis* would be reduced to two: *TAS1/TAS2* and *TAS3*.

The observation that T-DNA insertions in *TAS2* and *TAS1a* (Vazquez et al. 2004b) do not have a major effect on shoot morphology indicates that these genes do not account for the developmental phenotype of mutations in *SGS3*, *RDR6*, and *DCL4*. *TAS3* targets auxin-related genes known to be important in shoot morphogenesis, and it is possible that defects in the production of ta-siRNAs from this locus are responsible for this developmental phenotype. Defining the endogenous targets of the SGS3/RDR6/DCL4 pathway, and the biological functions of these targets, are important goals for future research.

## Materials and methods

### *Genetic stocks and growth conditions*

The stocks of *zip-1*, *sgs3-11*, *rd6-11*, *xrn4-5*, *dcl1-7*, *dcl2-1*, *dcl3-1* *hst-6*, and *hyl1-2* used in this study were in a Columbia (Col) genetic background and have been described previously (Golden et al. 2002; Peragine et al. 2004; Souret et al. 2004; Vazquez et al. 2004a; Xie et al. 2004; Park et al. 2005). Double mutants were identified in F2 families using the allele-specific PCR primers described in these studies and were propagated as homozygous lines, except in the case of *sgs3-11 dcl1-7*, which was maintained as a *sgs3-11/sgs3-11 +/dcl1-7* stock. *dcl4-2* was identified in a screen of M2 families from EMS-mutagenized Col seeds and was backcrossed to Col three times before characterization. *dcl4-2 rd6-11* double mutants were identified using the PCR assay for *rd6-11* described previously (Peragine et al. 2004) and a dCAPs marker for *dcl4-2*. Amplification with the dcl4CAP primers followed by digestion with MboII yields two fragments in wild-type DNA and a single fragment in *dcl4-2*.

Plants homozygous for the SALK\_014168 T-DNA insertion were identified using the 014168-LP and 014168-RP primers. Plants were grown in soil in chambers under continuous fluorescent illumination (22°C). Sequences of the PCR primers and oligonucleotide probes used in this study are provided in Supplementary Tables 1 and 2.

#### Northern analysis

Total RNA was isolated from flowers as previously described (Peragine et al. 2004). Poly(A) mRNA was isolated from total RNA by two rounds of purification using the Oligotex mRNA Midi kit (QIAGEN). LMW RNA was purified from total RNA according to Dalmay et al. (2000a). Total or poly(A) RNA were separated on agarose gels, blotted, and probed with randomly primed <sup>32</sup>P-labeled probes (Stratagene) or with end-labeled oligonucleotide probes as previously described (Peragine et al. 2004). Double-stranded probes were hybridized overnight at 68°C in PerfectHyb Plus buffer (Sigma) according to the manufacturer's instructions. Oligonucleotide probes were hybridized overnight at 55°C in ULTRAhyb-oligo buffer (Ambion). Following hybridization, blots were washed twice in 2× SSC and 0.5% SDS for 30 min at 55°C. LMW RNA was separated on 15% gels and transferred electrophoretically to Hybond N+ membranes. LMW blots were processed at 40°C using ULTRAhyb-oligo buffer (oligonucleotide probes) or 38°C using PerfectHyb Plus buffer (ds probes) as described above. Double-stranded probes were generated from Col genomic DNA by PCR using the following primers: TAS2p1 (TAS2pr1 and TAS2pr3), TAS2p2 (TAS2pr1 and TAS2pr2), TAS2p3 (TAS2pr3 and TAS2pr4), TAS1ap1 (TAS1aRACE1 and TAS1apr1), and TAS1ap2 (ASRP752S and ASRP1927AS).

#### Transcript analysis

5' and 3' RACE were carried out using the GeneRacer Kit (Invitrogen). To identify the 5' end of capped transcripts, the GeneRacer adaptor was ligated to mRNA (125 ng) previously treated with calf intestinal phosphatase (CIP) and tobacco acid phosphatase (TAP) according to the manufacturer's instructions. RLM-5'RACE was performed by directly ligating this adaptor to untreated mRNA. Fragments were amplified with Platinum Pfx DNA polymerase (Invitrogen) or Taq DNA polymerase, purified, cloned into the TOPO (Invitrogen) or pGEM-T Easy (Promega) cloning vectors, and then sequenced. RNA was amplified by nested PCR, using the adaptor primers supplied by the manufacturer in combination with gene-specific primers. RLM-5'RACE of the PPR and TAS1(+) transcripts was performed using RACE1 primers, followed by RACE2 (Supplementary Table 1). 5' RACE of TAS2(+) was performed using TAS2pr5, followed by TAS2pr6, TAS2pr7, or TAS2pr8. 3' RACE of TAS2(+) was performed using the GeneRacer 3' adaptor primers in combination with TAS2pr9 and TAS2pr10.

Self-ligated transcripts were generated as previously described (Couttet et al. 1997). Briefly, total RNA (4 μg) from Col flowers was treated with RQ1 DNase (Promega), CIP, and TAP and incubated in a volume of 400 μL with T4 RNA ligase for 16 h at 16°C. cDNA synthesis was carried out using SuperScriptII reverse transcriptase (Invitrogen) and TAS2pr11, and the resulting products were amplified by PCR using the TAS2pr12 and pr13 primers. PCR fragments were gel-purified, cloned into the TOPO cloning vector, and then sequenced.

#### RT-PCR

Total RNA was purified after extraction using RNeasy and RNase-Free DNase (Qiagen). Further removal of genomic DNA

was accomplished by treating samples with RQ1 DNase and then extracting RNA with acidic phenol/chloroform. Quantitative real-time RT-PCR was carried out using the primers 62930-F and 62930-R for At1g62930, 63130-F and 63130-R for At1g63130, 63230-F and 63230-R for At1g63230, SCL6-IV-F and SCL6-IV-R for SCL6-IV, and EIF4A-F and EIF4A-R for EIF4A.

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