

A preliminary crown biomass table for even-aged *Picea abies* stands in Switzerland

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Summary

The assessment of biomass and biomass changes due to environmental influences requires not only stem or merchantable mass, but also branches and needles. They have been of relatively little importance to forest managers to date, but their inclusion in models can make the resulting predictions more precise. A hybrid method is described to derive regressions for site quality, needle and branch biomass for individual *Picea abies* trees. By using Swiss stand table data on tree density distributions, a traditional yield table for branch and needle biomass is computed, and from these, regressions are derived which use only age and site quality as independent variables. Three tables for typical site qualities are given. The tabulated regressions include pseudo-probability values, coefficients of determination and estimated standard error for the overall models.

These biomass fractions comprise a varying fraction of the tree, being important at low ages and much less so at later ages.

Introduction

There are two main directions to forest biomass structure research: ecological, related to the organic matter and energy cycling in the forest ecosystem, and forest biomass estimation for utilization purposes. Traditional stem volume yield tables have played a major role in the latter approach, but there is no comparable information on the temporal development of other parts of a tree, namely the branches, foliage and different categories of roots. Studies of carbon cycling are shifting to the global level, and there

are a number of both national and international programmes related to carbon uptake by plants (Kurz *et al.*, 1992; Kraeuchi, 1993; Nabuurs and Mohren, 1993). The development of methods to estimate the C pool and its annual turnover in forest biomass, involving about 70 per cent of the terrestrial carbon (Global BIOME Program, 1991), is therefore of interest. At present, the range of turnover estimates is extremely large, varying from 1 to 10 Gt a⁻¹ on the global level (Global BIOME Program, 1991; Kraeuchi, 1993), and for the territory of the former Soviet

Union between 200 Mt a⁻¹ (Zavarzin, 1992; Isaev *et al.*, 1993) and 4360 Mt a⁻¹ (Kolchugina and Vinson, 1993). These discrepancies indicate a major need for the improvement of methods to estimate forest biomass and carbon budgets in terrestrial ecosystems.

Early descriptions of forest productivity include harvest biomass data per hectare together with stand indices (e.g. mean height, site index, age, stand density). There is a wealth of compilations of biomass for different forest stands (Rodin and Bazilevich, 1967; Madgwick, 1970; Utkin, 1970; Pozdnyakov, 1975; Stanek and State, 1978; Gholz *et al.*, 1979; Reichle, 1981; Cannell, 1982; Valentine *et al.*, 1984; Alaback, 1986, 1987; Wharton and Cunia, 1987; Palumets, 1991). Attempts to describe the multivariate structure of forest biomass variability have been made, resulting in linear regression equations of the form $\ln w_i = L(A, \text{d.b.h.}, h, Z)$ (Usoltsev, 1983), or $(W_i/V) = L(A, V, S)$ (Onuchin and Borisov, 1984). (Symbols are listed at the end of the paper.) The latter model has been used in forest biomass inventory, and acceptable results for total crown biomass have been achieved (Usoltsev, 1995). For other components, such as foliage and roots, it has been observed that the model can be improved by using N and D_m instead of V (Usoltsev, 1988b; Usoltsev and Hoffmann, 1997). Consequently, regression equations of the form:

$$\ln (W_i/V) = L(A, S, D_m, N), \quad (1.1)$$

have been proposed (Usoltsev 1988a, b, 1995). A more recent method to estimate crown biomass exploits the pipe model (popularized by Shinzaki *et al.*, 1964a, b) using the stem diameter just below the start of the crown d.b.c. (White, 1993). Its use is the subject of another paper (Usoltsev *et al.*, 1997).

A large number of stand volume and yield tables has accumulated during the last 150 years of development of forest mensuration. Today, because of changing environmental conditions, less time-consuming methods for the estimation of analogous data for the other biomass compartments need to be adopted. In this paper, a method for combining traditional forest mensuration tables and models designed for stem volume with harvest biomass data is proposed. Unfortunately, root biomass could not be included, because there were no data available.

Yet another approach to describe the distribution of biomass within a tree is the process model approach. Here, physiological and other processes which determine forest production are formulated and combined into a model. Landsberg (1986) gives a basic set of equations governing weather influence, stand structure and microclimate, carbon balance of leaves of trees, nutrient dynamics and tree growth, and water relations, from the physiological point of view. Dixon (1990) discusses the main physiological processes from the modeler's point of view. Hierarchical and compartmentalized process models have been developed (e.g. Mitchell, 1975; Blake and Hoogenboom, 1988; Ford and Kiester, 1990; Bassow *et al.*, 1990; Isebrands *et al.*, 1990; Sievänen, 1993). Data for input consist of starting values of state variables, and of coefficients for the model equations which are estimated from process data, using mostly regression. This approach is not followed any further here, because stand tables cannot furnish these data.

Materials and methods

There are at least three major approaches to the problem of linking forest biomass data with yield table data. The first involves the use of recursive systems of regression equations (Amateis *et al.*, 1984; Borders and Bailey, 1986; Borders, 1989; Usoltsev, 1988a, 1989, 1990), where the dependent variable of one of the equations becomes the independent variable in the others. Such a recursive system can be constructed by augmenting equation (1.1) by the linear regressions:

$$\begin{aligned} V &= L(A, S) \\ N &= L(A, S) \\ D_m &= L(A, S) \end{aligned} \quad (1.2)$$

and

$$S = L(A, H_m). \quad (1.3)$$

S is used instead of mean height because of its wider use in yield tables and better predictive value.

Equations (1.1) to (1.3) were applied to aspen, birch, and stands of *Pinus sylvestris* L. in Northern Kazakhstan (Usoltsev, 1988b, 1989, 1990) and to stands of *Picea abies* and *Pinus sylvestris*

in the Middle Urals (Usoltsev *et al.*, 1994) where the qualities (1.2) and (1.3) were taken partly in analytical and partly in tabular form from yield tables. This approach rests on the assumption that stands with the same mean height, age, site index, mean diameter, tree density and stem volume agree in their distribution of biomass components.

The second approach is oriented to individual trees. It was suggested by Makarenko and Malenko (1984), and their biomass equations are of the form:

$$\sqrt[3]{w_i} = L(S, D_m, \text{d.b.h.}, h). \quad (2)$$

Makarenko *et al.* (1980) compiled yield tables for *Pinus sylvestris* stands in each of three regions of Northern Kazakhstan and described mathematically the age dynamics of the tree diameter distribution, also giving graphs of *h* vs. d.b.h. Makarenko and Malenko (1984) constructed stand biomass tables by using (2) and the graphs of *h* vs. d.b.h. The precision of these tables is roughly the same as that of the first approach, since it is assumed that two stands have the same biomass distribution if they have the same diameter distribution. This, however, is rather rare (Semechkina, 1978).

The third approach also uses biomass equations for individual trees, but does not take into account tree diameter distribution. Naturally, these estimates are less exact than those of the first two approaches, but they require less harvest biomass data. Root biomass tables for pine stands in Northern Kazakhstan of different age classes and ecological conditions were constructed in this manner (Usoltsev *et al.*, 1985; Usoltsev and Vanclay, 1993):

$$\ln w_i = (A, \text{d.b.h.}, h). \quad (3)$$

To reach the stand level, equations (3) were modified as:

$$\ln (W_i/N) = L(A, D_m, H_m) \quad (4.1)$$

and developed into a recursive system of equations ((4.1) and (4.2) taken together):

$$\begin{aligned} N &= L(A, S) \\ D_m &= L(A, S) \\ H_m &= L(A, S), \end{aligned} \quad (4.2)$$

where equations (4.2) were taken in a tabular expression from yield tables.

Results

Burger (1953) published biomass data for 189 Norway spruce trees, from 15 to 285 years old, harvested in even-aged stands with different ecological conditions. These data include tree height, age and social status, but not site index or tree volume. Therefore, elements from all three approaches were used to compile biomass tables derived from the Swiss yield tables for even-aged spruce stands ([Badoux], 1983). As an approximation to the missing site index, following the first approach, a regression equation in the form of (1.3) was derived from the yield table. Inspection of the graphs of $\ln(S)$ vs. $\ln(H_m)$ for constant *A* (see Figure 1) suggested the inclusion of terms up to the second order. Backwards stepwise regression produced:

$$\begin{aligned} \ln S &= L(A, H_m) = \\ &a_0 + a_1 (\ln A)^2 + a_2 (\ln H_m)^2 + a_3 (\ln A)(\ln H_m) \\ &+ a_4 (\ln A)^2 (\ln H_m) + a_5 (\ln A)(\ln H_m)^2 + a_6 \\ &(\ln A)^2 (\ln H_m)^2. \end{aligned} \quad (5)$$

Coefficients and goodness of fit are shown in Table 1. To eliminate the bias introduced by taking the logarithm of *S*, *a*₀ should be replaced by *a*₀ + (s.d.)²/2, following Finney (1941) and Baskerville (1972). This device is also recommended for equations (6) and (7). Based on the second approach, but using age instead of *S* and *D*_{*m*} in (2), the following regression equations for branches and foliage dry mass were derived from Burger's data:

$$\begin{aligned} \ln w_i &= L(A, \text{d.b.h.}, S) = \\ &a_0 + a_1 (\ln A) + a_2 (\ln \text{d.b.h.}) + a_3 (\ln A) \\ &(\ln \text{d.b.h.}) + a_4 S + a_5 (\ln S) + a_6 (\ln v) \end{aligned} \quad (6)$$

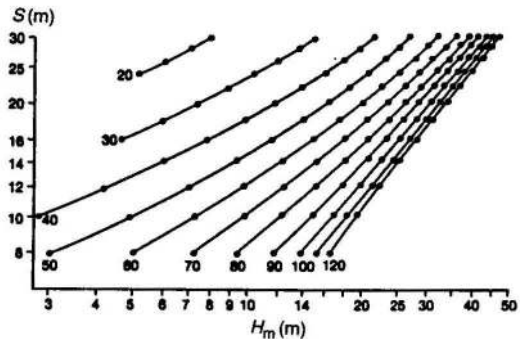


Figure 1. Relationship between site index *S* and mean height *H*_{*m*} for different stand ages, labelled in years.

Table 1: Regression coefficients and goodness of fit for equation (5) for site quality. Pseudo-probabilities for all coefficients are <0.00015

a_0	a_1	a_2	a_3	a_4	a_5	a_6	n	R^2	s.d.
3.8172	-0.1353	0.1332	0.2918	-0.06253	-0.1086	0.02527	131	0.997	0.0208

Table 2: Coefficients and goodness of fit for equations (6) for dry biomass (kg) of foliage and branches. All a_i of foliage have $p < 0.01$, those of branches have $p < 0.00002$, except for $p(a_4) = 0.025$, $p(a_5) = 0.039$, all $p^* < 0.008$, except for $p(a_2)^* < 0.047$

	a_0	a_1	a_2	a_3	a_4	a_5	a_6	n	R^2	s.d.
$\ln(w_f)$	-0.8923	-0.6451	2.2608	-	-0.0460	-	-	168	0.888	0.3760
$\ln(w_b)$	0.8939	-2.2271	-1.5053	0.7733	-0.1737	3.1575	-	161	0.888	0.3747
$\ln(w_b)^*$	9.8422	-3.3238	-1.4918	1.0899	-	-	-0.4642	97	0.919	0.3124

see Table 2. $(\ln v)$ is not significant in $(\ln w_f)$, but if this term is included in $(\ln w_b)$ (see line 3 labelled $\ln(w_b)^*$ in Table 2), a slightly higher R^2 and a smaller s.d. are obtained than without this term. The use of v became possible by retrieving the volumes from the original data records, but they were recorded for only 97 sample trees. Stem volume v was not used in the subsequent computations for several reasons. First, too many of already scarce data would be lost without much gain. Second, sticking to the larger data set would tend to give better general predictions, against fitting a smaller set more precisely. Thus Table 3 was derived by applying (6) and using the coefficients of the first two lines of Table 2 to each diameter class and subsequent summation. Borrowing from the third approach (4), S from (5) was used, generating from these tabulated data the relationship:

$$\ln W_i = L(A, S) = a_0 + a_1 (\ln A) + a_2 (\ln A)^2 + a_3 (\ln A)^3 + a_4 (\ln S) + a_5 (\ln S)^2 + a_6 (\ln S)^3 + a_7 (\ln A)(\ln S) + a_8 (\ln A)(\ln S)^2 + a_9 (\ln A)^2 (\ln S) + a_{10} (\ln A)^2 (\ln S)^2 + a_{11} (\ln A)^3 (\ln S) + a_{12} (\ln A)^3 (\ln S)^2. \quad (7)$$

for foliage and branches. The coefficients are given in Table 4. In addition to the measured data, artificial data were introduced, using $W_i = 1$, $A = 2$, at for every $S = 8, 10, \dots, 30$, to achieve reasonable extrapolation for ages less than the minimum age given in the yield table.

Equations (7) should not be used beyond ages A greater than 120 years, because the yield tables only give tree densities up to this age.

Discussion

A very precise representation of site quality has been derived in (5). By adding $s.d.^2/2$ to the constant term, bias can be corrected. It can now be used wherever age and mean height are available. Although the stand tables were generated by visually smoothing growth data, the residuals of (5) show a reasonably normal behaviour, with only eight out of 131 deviating from normal at the tails.

The regressions (6) for branch and needle biomass of individual trees depend on d.b.h., age and site quality only. Following from the value of s.d. and because of the natural logarithm in (6), we get a *relative* error for predicting biomass of about 40 per cent. Thus, the entries of Table 3 should be seen as being precise to at most the first digit. They have not been rounded to one significant figure to avoid the introduction of another source of imprecision and to make it easier to assess their generation *vis-à-vis* future improvements. As can be seen from the tables, the foliage biomass for each site quality varies very little with age, showing a broad maximum in the middle of the age range. Within the range of prediction branch biomass

drops to a minimum at about 50 years of age and rises from there with old age and site quality. The minimum of branch biomass with age varies with site quality and is highest for the medium site quality.

Although equation (6) suffers from a considerable lack of precision, it was decided to approximate the totals of Table 3 as precisely as these data permitted, arriving at equation (7) and Table 4, using age and site quality alone. Although Burger's data include trees older than 120, (7) cannot be used for ages above 120 years, because stand table density data are lacking.

This material is preliminary. First, Burger's data were taken from experimental and some *ad hoc* plots. During the last 70 years ecological conditions may have changed, and with them, site index (Keller, 1978; Sennov, 1983). Consequently, there is a need to gather new field data. Second, root biomass distributions are also needed. Third, an attempt to obtain more tree volumes for Burger's original data should be made.

Conclusions

For carbon balance calculations there is a particular need of forest biomass tables which comprise more than stem volume. In this paper it is shown how recursive regression analysis can combine forest inventory data with biomass harvest data. Careful analysis of functional relationships can lead to good empirical formulae.

Although only a relatively small data set has been available, the results suggest that it is possible to estimate the biomass of foliage and branches from stand inventory data. However, uncertainties remain, which need to be resolved by collecting more data on the biomass of particular forest components, such as branches, leaves and needles, and most urgently, on roots. These are seldom considered in national forest inventories, but current changes in the requirements of such inventories mean that in the future, more data may be collected that are relevant to biomass estimation and the whole issue of carbon sequestration in forests.

Table 3: Dry biomass (kg ha^{-1}) of foliage (first line) and of branches (second line), and tree density (ha^{-1} , third line, from the yield table), according to site index, stand age, and diameter class (cm). Bias correction applied (a) Site index = 14

Age	Diameter class (cm)													
	Total	2	6	10	14	18	22	26	30	34	38	42	46	50
40	10 480	27	1 342	3 387	5 296	427								
	14 484	177	3 166	5 010	5 761	369								
	2 894	268	1 093	869	635	29								
50	11 200	3	565	1 583	3 828	3 250	1 766	205						
	13 282	17	1 275	2 448	4 613	3 252	1 522	156						
	1 918	38	531	469	530	255	88	7						
60	11 461		180	948	1 823	3 751	2 747	1 796	216					
	13 074		391	1 520	2 389	4 227	2 744	1 623	179					
	1 350		190	316	284	331	154	69	6					
70	11 420		27	494	1 035	2 452	3 731	2 521	1 075	86				
	13 365		56	817	1 455	3 056	4 222	2 633	1 047	79				
	1 003		31	182	178	239	231	107	33	2				
80	11 358		5	272	661	715	2 549	3 913	2 479	714	51			
	13 871		10	461	989	973	3 213	4 631	2 780	763	52			
	770		6	109	124	76	172	181	83	18	1			
90	11 167			115	386	558	1 318	2 665	2 963	2 205	898	59		
	14 568			201	608	820	1 828	3 522	3 758	2 698	1 064	68		
	608			50	78	64	96	133	107	60	19	1		
100	10 969			43	125	587	860	1 497	2 406	2 643	1 854	886	68	
	15 509			77	207	923	1 298	2 185	3 408	3 650	2 503	1 171	88	
	495			20	27	72	67	80	93	77	42	16	1	
110	10 621			56	353	664	898	1 654	2 453	2 574	1 457	511		
	16 417			98	590	1 082	1 432	2 590	3 779	3 906	2 183	757		
	407			13	46	55	51	68	76	62	28	8		
120	10 246				217	536	632	897	1 648	2 237	2 116	1 451	511	
	17 360				385	938	1 095	1 538	2 804	3 779	3 552	2 421	848	
	339				30	47	38	39	54	57	43	24	7	

(b) Site index = 20

Age	Diameter class (cm)																		
	Total	2	6	10	14	18	22	26	30	34	38	42	46	50	54	58	62	66	70
30	10 865	52	782	2 393	3 779	3 860													
	16 232	649	2 608	4 467	4 814	3 695													
	2 708	556	697	672	496	287													
40	12 256		336	1 402	2 095	3 519	3 059	1 667	177										
	16 508		1 137	2 972	3 266	4 361	3 157	1 477	138										
	1 725		361	474	331	315	174	65	5										
50	12 480		66	592	1 233	1 828	3 685	3 043	1 381	652									
	16 092		214	1 311	2 130	2 621	4 553	3 323	1 357	583									
	1 167		82	231	225	189	242	137	45	16									
60	12 564			294	653	1 109	1 394	3 140	3 193	1 557	931	292							
	16 514			675	1 226	1 792	1 996	4 067	3 796	1 717	961	284							
	839			129	134	129	103	159	117	43	20	5							
70	12 375			130	335	670	723	1 180	2 619	3 148	2 319	1 057	195						
	17 290			308	676	1 196	1 173	1 766	3 659	4 141	2 892	1 257	222						
	630			63	76	86	59	66	106	96	55	20	3						
80	12 040			43	125	343	573	755	1 609	2 527	2 669	1 843	1 192	360					
	18 459			106	269	668	1 036	1 280	2 587	3 874	3 924	2 609	1 630	477					
	486			23	31	48	51	46	71	84	69	38	20	5					
90	11 801				86	179	438	517	861	1 478	2 008	2 113	1 822	1 334	873	93			
	19 988				195	376	870	979	1 566	2 592	3 412	3 489	2 932	2 095	1 341	140			
	388				23	27	42	34	41	53	56	47	33	20	11	1			
100	11 582				42	87	175	483	530	808	1 306	1 848	2 012	1 869	1 334	784	304		
	21 876				100	195	379	1 010	1 076	1 598	2 527	3 503	3 743	3 418	2 402	1 391	532		
	318				12	14	18	34	27	31	39	44	39	30	18	9	3		
110	11 205				13	41	73	294	388	514	913	1 461	1 698	1 640	1 534	1 229	857	549	
	23 724				33	98	171	672	870	1 136	1 987	3 138	3 603	3 442	3 186	2 530	1 749	1 110	
	263				4	7	8	22	21	21	29	37	35	28	22	15	9	5	
120	10 810					11	43	189	314	301	506	822	1 238	1 717	1 714	1 627	1 261	830	237
	25 705					28	109	470	772	734	1 226	1 977	2 962	4 085	4 057	3 834	2 959	1 940	552
	221					2	5	15	18	13	17	22	27	31	26	21	14	8	2

(c) Site index = 26

Age	Diameter class (cm)																							
	Total	2	6	10	14	18	22	26	30	34	38	42	46	50	54	58	62	66	70	74	78	82	86	
20	10 251	100	1 306	1 930	2 028	3 009	1 878																	
	17 352	2 023	5 385	3 795	2 448	2 524	1 177																	
	3 400	1 082	1 181	550	270	227	90																	
30	12 070	6	370	1 227	2 145	1 949	3 373	2 179	777	43														
	14 362	86	1 410	2 614	3 119	2 130	2 935	1 569	476	23														
	1 870	91	435	454	371	191	210	93	24	1														
40	12 515		20	516	1 253	2 238	1 868	2 803	2 394	964	459													
	13 957		71	1 165	2 080	2 951	2 051	2 642	1 980	711	306													
	1 193		28	230	261	264	140	144	89	27	10													
50	12 484			136	453	1 050	1 548	2 073	2 609	2 071	1 351	947	245											
	14 043			321	833	1 602	2 036	2 409	2 726	1 973	1 185	771	186											
	815			70	109	143	134	123	112	67	34	19	4											
60	12 258			33	170	411	822	1 064	1 636	2 831	2 332	1 640	925	394										
	14 766			80	340	707	1 252	1 467	2 070	3 322	2 561	1 695	906	367										
	587			19	46	63	80	71	79	103	66	37	17	6										
70	11 984				90	183	409	610	712	1 567	2 304	2 367	1 675	1 012	637	416								
	15 896				194	348	706	972	1 059	2 195	3 057	2 994	2 029	1 177	714	451								
	444				27	31	44	45	38	63	72	59	34	17	9	5								
80	11 764				43	98	171	448	413	548	1 115	1 656	2 170	1 856	1 364	1 069	710	102						
	17 402				98	202	328	809	706	894	1 745	2 495	3 159	2 618	1 869	1 426	924	130						
	345				14	18	20	36	24	24	38	45	48	34	21	14	8	1						
90	11 214					60	95	242	383	508	626	682	1 215	1 670	1 667	1 415	1 152	758	541					
	18 838					135	201	488	740	948	1 132	1 199	2 081	2 791	3 052	2 268	1 811	1 171	822					
	276					12	12	21	24	24	23	20	29	33	31	20	14	8	5					
100	10 942					28	44	75	164	296	483	542	861	1 324	1 519	1 521	1 307	1 151	809	688	129			
	20 951					68	102	168	354	625	994	1 093	1 705	2 576	2 910	2 871	2 434	2 117	1 470	1 236	230			
	226					6	6	7	11	15	19	17	22	28	27	23	17	13	8	6	1			
110	10 597						28	51	70	167	287	450	515	845	1 058	1 492	1 301	1 166	1 046	863	850	408		
	23 148						69	123	167	393	664	1 027	1 164	1 886	2 339	3 268	2 825	2 510	2 236	1 830	1 791	854		
	188						4	5	5	9	12	15	14	19	20	24	18	14	11	8	7	3		
120	10 505							19	40	141	407	255	383	420	600	941	1 367	1 338	1 169	1 020	919	772	716	
	26 194							51	104	365	1 048	653	975	1 064	1 512	2 359	3 414	3 329	2 897	2 518	2 261	1 893	1 751	
	168							2	3	8	18	9	11	10	12	16	20	17	13	10	8	6	5	

Table 4: Coefficients and goodness of fit of equation (7) for dry biomass (kg) of foliage and branches ($t\ ha^{-1}$). All a_i of foliage have $p < 0.0002$, those of branches have $p < 0.016$, except for $p(a_6) = 0.079$, $p(a_{12}) = 0.145$

	a_0	a_1	a_2	a_3	a_4	a_5	a_6	a_7
$\ln(W_f)$	7.0126	-6.6481	3.2511	-0.3633	-8.0522	1.7832	-0.1890	6.8172
$\ln(W_b)$	6.7973	-1.7212	-	0.0535	-12.0978	3.1221	-0.2793	9.8377
	a_8	a_9	a_{10}	a_{11}	a_{12}	n	R^2	s.d.
$\ln(W_f)$	-0.3544	-2.0172	0.0484	0.1785	-	130	1.000	0.0219
$\ln(W_b)$	-1.3199	-2.0897	0.2016	0.1201	-7.802×10^{-6}	130	1.000	0.0400

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List of symbols

A	age of tree (years)
a_i	coefficients of regression equations
d.b.c.	stem diameter just below the start of the crown (cm).
d.b.h.	stem diameter at breast height (cm).
D_m	mean diameter at breast height (cm) = $(\sum b.h.d._i)/n$, summed over the stand
h	tree height (m)
H_m	stand mean height (m)
L	polynomial of arguments in following brackets and of logarithms of these arguments
\ln	natural logarithm
n	number of data points used in analysis
N	tree density (ha^{-1})
p	probability of regression coefficient
R^2	coefficient of determination of an estimated model
s.d.	estimated standard deviation of residuals
S	site index, i.e. height of 100 thickest trees (on 1 ha) at age 50 years (m)
v	stem volume (dm^3)
V	stem volume ($m^3\ ha^{-1}$)
w_i	dry biomass (subscripts: b = branches, f = foliage) for a tree (kg)
W_i	dry biomass (subscripts: b = branches, f = foliage) for a stand ($t\ ha^{-1}$)
Z	stem density (ha^{-1}) divided by the stem density taken from a corresponding yield table

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