

## A RESULT CONCERNING ADDITIVE FUNCTIONS IN HERMITIAN BANACH \*-ALGEBRAS AND AN APPLICATION

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**ABSTRACT.** Let  $\mathcal{A}$  be a complex hermitian Banach \*-algebra with an identity element  $e$ . Suppose there exists an additive function  $f: \mathcal{A} \rightarrow \mathcal{A}$  such that  $f(a) = -a^*af(a^{-1})$  holds for all normal invertible elements  $a \in \mathcal{A}$ . We prove that in this case  $f$  is of the form  $f(a) = f(ie)k$ , where  $a = h + ik$ . Using this result we generalize S. Kurepa's extension of Jordan-Neumann characterization of pre-Hilbert space.

This research has been inspired by the work of S. Kurepa [2, 3] and P. Vrbová [6]. All algebras and vector spaces in this paper will be over the complex field. Algebras are assumed to have an identity element, which will be denoted by  $e$ . An algebra  $\mathcal{A}$  is called a \*-algebra if there exists an involution (conjugate-linear anti-isomorphism of period two)  $a \mapsto a^*$  on  $\mathcal{A}$ . An element  $h \in \mathcal{A}$  is said to be hermitian if  $h^* = h$ , and  $u \in \mathcal{A}$  is said to be unitary if  $u^*u = uu^* = e$ . An element  $a \in \mathcal{A}$  will be called normal if  $a^*a = aa^*$ . It is easy to see that each element  $a \in \mathcal{A}$  has a unique decomposition  $a = h + ik$  with hermitian  $h$  and  $k$ . An element  $a \in \mathcal{A}$  is normal if and only if  $h$  and  $k$  commute.

A \*-algebra which is also a Banach algebra is called a Banach \*-algebra. A Banach \*-algebra is called hermitian if each hermitian element has real spectrum. Let  $\mathcal{A}$  be a hermitian Banach \*-algebra and let  $h \in \mathcal{A}$  be a hermitian element. It is convenient to write  $h > 0$  ( $h \geq 0$ ) if the spectrum of  $h$  is positive (nonnegative). The notation  $h > k$  ( $h \geq k$ ) means  $h - k > 0$  ( $h - k \geq 0$ ). The most important hermitian Banach \*-algebras are  $B^*$ -algebras (i.e. Banach \*-algebras in which  $\|a^*a\| = \|a\|^2$  is fulfilled for all  $a$ ). For basic facts concerning hermitian Banach \*-algebras, we refer the reader to V. Pták's paper [5].

Let  $X$  and  $\mathcal{A}$  be a vector space and an algebra, respectively. Suppose that  $X$  is a left  $\mathcal{A}$ -module. A left  $\mathcal{A}$ -module  $X$  will be called unitary if  $ex = x$  holds for all  $x \in X$ , and will be called irreducible if for each pair  $x, y \in X$ ,  $x \neq 0$ , there exists  $a \in \mathcal{A}$  such that  $ax = y$ .

First we shall consider the following result.

**THEOREM 1.** *Let  $\mathcal{A}$  be a hermitian Banach \*-algebra. Suppose there exists an additive function  $f: \mathcal{A} \rightarrow \mathcal{A}$  such that  $f(a) = -a^*af(a^{-1})$  holds for all normal invertible elements  $a \in \mathcal{A}$ . In this case  $f(a) = f(ie)k$  is fulfilled for all  $a = h + ik$ .*

**REMARK.** If  $\mathcal{A}$  is the complex number field, then the theorem above reduces to a result due to P. Vrbová [6].

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For the proof of Theorem 1 we need the lemma below. We omit the proof since it is an easy consequence of Ford's square root lemma [1 or 5, (1.5)].

LEMMA 2. *Let  $\mathcal{A}$  be a hermitian Banach  $*$ -algebra. For each  $h > 0$  there corresponds  $u > 0$ , such that  $u^2 = h$ . Moreover,  $u$  commutes with each element which commutes with  $h$ .*

PROOF OF THEOREM 1. Let us first prove that

$$(1) \quad f(h) = 0$$

holds for all  $h \in \mathcal{A}$ ,  $0 < h < e$ . Since in this case  $e - h^2 > 0$ , there exists, by Lemma 2, a hermitian element  $k$ , such that  $k$  commutes with  $h$ , and  $e - h^2 = k^2$ , whence it follows that  $u = h + ik$  is a unitary element. Therefore, according to the requirements of the theorem, we have

$$f(h) + f(ik) = f(u) = -u^*uf(u^{-1}) = -f(h - ik) = -f(h) + f(ik),$$

whence it follows  $f(h) = 0$ . Let us prove that

$$(2) \quad f(te) = 0$$

for each real number  $t$ . If  $0 \leq t < 1$ , then (2) follows from (1). It is easy to see that  $f(e) = 0$ . Therefore (2) holds for all  $t \in [0, 1]$ . If  $t > 1$ , we have  $0 < t^{-1} < 1$ , whence  $f(te) = -t^2f(t^{-1}e) = 0$ , which proves that (2) holds for all nonnegative real numbers and therefore also for all real numbers. Let us prove that

$$(3) \quad f(h) = 0$$

for all hermitian  $h \in \mathcal{A}$ . Therefore, let  $h$  be an arbitrary hermitian element, and let us choose a real number  $t$  such that  $te + h > e$ . Then  $0 < (te + h)^{-1} < e$ . According to (1) we have  $f(te + h) = -(te + h)^2f((te + h)^{-1}) = 0$ . Hence  $f(h) = f(-te)$  and, according to (2),  $f(h) = 0$ . Now we intend to prove that

$$(4) \quad f(ih) = hf(ie)$$

holds for all  $h \in \mathcal{A}$ ,  $0 < h < e$ . From  $0 < h < e$  it follows that  $h - h^2 > 0$ . By Lemma 2 there exists a hermitian element  $k$ , such that  $k$  commutes with  $h$ , and that  $h - h^2 = k^2$ . The element  $a = k + ih$  is normal, since  $h$  and  $k$  commute. Since  $a$  can be expressed in the form  $a = h(h^{-1}k + ie)$ , it is obvious that  $a$  is invertible (recall that  $\mathcal{A}$  is by assumption hermitian). Therefore using the requirements of the theorem and (3) we obtain

$$\begin{aligned} f(ih) &= f(k) + f(ih) = f(a) = -a^*af(a^{-1}) = -a^*af((a^*a)^{-1}a^*) \\ &= -(h^2 + k^2)f((h^2 + k^2)^{-1}(k - ih)) = -hf(h^{-1}(k - ih)) \\ &= -hf(h^{-1}k) + hf(ie) = hf(ie). \end{aligned}$$

Let us prove that

$$(5) \quad f(ite) = tf(ie)$$

holds for each real number  $t$ . If  $0 \leq t \leq 1$ , then (5) follows from (4). If  $t > 1$ , then  $0 < t^{-1} < 1$ , and we have

$$f(ite) = -t^2f((ite)^{-1}) = -t^2f(-it^{-1}e) = t^2t^{-1}f(ie) = tf(ie),$$

which proves that (5) holds for all nonnegative real numbers and therefore also for all real numbers. We shall prove that

$$(6) \quad f(ih) = hf(ie)$$

is fulfilled for each hermitian element  $h \in \mathcal{A}$ . Therefore, let  $h$  be an arbitrary hermitian element, and let us choose a real number  $t$  such that  $te + h > e$ . Then  $0 < (te + h)^{-1} < e$ . According to (4) we have

$$\begin{aligned} f(i(te + h)) &= -(te + h)^2 f((i(te + h))^{-1}) \\ &= (te + h)^2 (te + h)^{-1} f(ie) = (te + h)f(ie). \end{aligned}$$

Using the additivity of the function  $f$  and (5), we obtain  $f(ih) = hf(ie)$ . From (3), (6) and the fact that each  $a \in \mathcal{A}$  can be expressed in the form  $a = h + ik$ , where  $h$  and  $k$  are hermitian, it follows  $f(a) = kf(ie)$ , which completes the proof of the theorem.

Let  $X$  and  $\mathcal{A}$  be a complex vector space and a complex \*-algebra, respectively. Suppose that  $X$  is a left  $\mathcal{A}$ -module. A mapping  $B(\cdot, \cdot): X \times X \rightarrow \mathcal{A}$  is called an  $\mathcal{A}$ -bilinear form if

$$1^\circ B(a_1x_1 + a_2x_2, y) = a_1B(x_1, y) + a_2B(x_2, y), \quad x_1, x_2, y \in X, \quad a_1, a_2 \in \mathcal{A},$$

$$2^\circ B(x, a_1y_1 + a_2y_2) = B(x, y_1)a_1^* + B(x, y_2)a_2^*, \quad x, y_1, y_2 \in X, \quad a_1, a_2 \in \mathcal{A}.$$

A mapping  $Q: X \rightarrow \mathcal{A}$  is called an  $\mathcal{A}$ -quadratic form if

$$3^\circ Q(x + y) + Q(x - y) = 2Q(x) + 2Q(y), \quad x, y \in X,$$

$$4^\circ Q(ax) = aQ(x)a^*, \quad x \in X, \quad a \in \mathcal{A}.$$

Let us consider two examples of  $\mathcal{A}$ -bilinear forms.

EXAMPLE 1. Let  $\mathcal{A}$  be a \*-algebra and  $\mathcal{L} \subset \mathcal{A}$  a left ideal. Considering  $\mathcal{L}$  as a left  $\mathcal{A}$ -module, one can introduce an  $\mathcal{A}$ -bilinear form  $B(\cdot, \cdot)$  as follows  $B(x, y) = xy^*$ ,  $x, y \in \mathcal{L}$ .

EXAMPLE 2. Let  $X$  be a Hilbert space and let us denote by  $L(X)$  the algebra of all bounded linear operators of  $X$  into itself. Let the involution on  $L(X)$  be the adjoint operation.  $X$  can be considered as a unitary irreducible left  $L(X)$ -module (multiplication by  $A \in L(X)$  is operator action on  $X$ ). A simple calculation shows that the mapping  $B(\cdot, \cdot): X \times X \rightarrow L(X)$  defined by the relation  $B(x, y)z = (z, y)x$ , where  $(\cdot, \cdot)$  denotes the inner product in  $X$ , is an  $\mathcal{A}$ -bilinear form.

It is easy to see that each  $\mathcal{A}$ -bilinear form gives rise to the  $\mathcal{A}$ -quadratic form by the relation  $Q(x) = B(x, x)$ . It seems natural to ask whether the converse is also true. More precisely, we consider the following

PROBLEM. Let  $X$  and  $\mathcal{A}$  be a vector space and a \*-algebra, respectively. Suppose that  $X$  is a left  $\mathcal{A}$ -module, and that there exists an  $\mathcal{A}$ -quadratic form  $Q: X \rightarrow \mathcal{A}$ . Does there exist an  $\mathcal{A}$ -bilinear form  $B(\cdot, \cdot): X \times X \rightarrow \mathcal{A}$  such that  $Q(x) = B(x, x)$  holds for all  $x \in X$ ?

It follows from a result of S. Kurepa [3] that the answer to the question above is affirmative if  $\mathcal{A}$  is the complex number field. His result can be formulated as follows.

THEOREM 3 (S. KUREPA [3]). *Let  $X$  be a vector space over the complex field  $C$ . Suppose there exists a mapping  $Q: X \rightarrow C$  such that  $Q(x + y) + Q(x - y) = 2Q(x) + 2Q(y)$ ,  $Q(\lambda x) = |\lambda|^2 Q(x)$  holds for all pairs  $x, y \in X$  and all  $\lambda \in C$ . Under these conditions the mapping  $B(\cdot, \cdot): X \times X \rightarrow C$  defined by*

$$B(x, y) = \frac{1}{4}(Q(x + y) - Q(x - y)) + \frac{i}{4}(Q(x + iy) - Q(x - iy))$$

is additive in both arguments, and  $B(\lambda x, y) = \lambda B(x, y), B(x, \lambda y) = \bar{\lambda} B(x, y)$  hold for all pairs  $x, y \in X$  and all  $\lambda \in C$ . For each  $x \in X$  the relation  $Q(x) = B(x, x)$  is fulfilled.

REMARKS. The theorem above can be considered as an extension of the well-known result due to P. Jordan and J. von Neumann which characterizes pre-Hilbert space among all normed spaces. It should be mentioned that P. Vrbová [6] has obtained a simple proof of S. Kurepa’s theorem. Using Theorem 1 and an approach from [6] we prove the result below which can be considered as a generalization of Theorem 3.

THEOREM 4. Let  $X$  be a vector space and  $\mathcal{A}$  a commutative hermitian Banach  $*$ -algebra. Let  $X$  be a unitary  $\mathcal{A}$ -module, and suppose that there exists an  $\mathcal{A}$ -quadratic form  $Q: X \rightarrow \mathcal{A}$ . In this case the mapping  $B(\cdot, \cdot): X \times X \rightarrow \mathcal{A}$  defined by

$$B(x, y) = \frac{1}{4}(Q(x + y) - Q(x - y)) + \frac{i}{4}(Q(x + iy) - Q(x - iy))$$

is an  $\mathcal{A}$ -bilinear form. For all  $x \in X$  the relation  $Q(x) = B(x, x)$  holds.

PROOF. Let us first prove that the function  $S(\cdot, \cdot)$  defined by the relation  $S(x, y) = Q(x + y) - Q(x - y)$  is additive in both variables. This part of the proof goes through as in the proof of Theorem 3 (see [3] and also [4] for some generalizations), but we shall write it down for the sake of completeness. It is easy to see that  $Q(0) = 0$  and  $Q(-x) = Q(x), x \in X$ . For arbitrary elements  $x, y, u \in X$  we have

$$\begin{aligned} S(x + y, 2u) &= Q(x + y + 2u) - Q(x + y - 2u) \\ &= Q((x + u) + (y + u)) + Q((x + u) - (y + u)) \\ &\quad - Q((x - u) + (y - u)) - Q((x - u) - (y - u)). \end{aligned}$$

Using the relation  $Q(x + y) + Q(x - y) = 2Q(x) + 2Q(y)$  we obtain

$$\begin{aligned} S(x + y, 2u) &= 2Q(x + u) + 2Q(y + u) - (2Q(x - u) + 2Q(y - u)) \\ &= 2S(x, u) + 2S(y, u). \end{aligned}$$

Hence

$$(7) \quad S(x + y, 2u) = 2S(x, u) + 2S(y, u).$$

Putting  $y = 0, x = z$  we obtain  $S(z, 2u) = 2S(z, u)$ . Substituting  $z$  by  $x + y$  and using (7) we finally obtain

$$2S(x + y, u) = S(x + y, 2u) = 2S(x, u) + 2S(y, u)$$

which proves that the function  $S(\cdot, \cdot)$  is additive in the first variable. Since  $S(x, y) = S(y, x)$  for all pairs  $x, y \in X$  (this follows from the relation  $Q(-x) = Q(x)$ ) it follows that the function  $S(\cdot, \cdot)$  is additive also in the second variable. From the fact that  $S(\cdot, \cdot)$  is additive in both variables, it follows that the same is true for the function  $B(\cdot, \cdot)$  defined by the relation  $B(x, y) = \frac{1}{4}S(x, y) + \frac{i}{4}S(x, iy)$ . Therefore, since it is easy to see that  $Q(x) = B(x, x)$  holds for all  $x \in X$ , it remains to prove that

$$(8) \quad B(ax, y) = aB(x, y), \quad B(x, ay) = a^*B(x, y)$$

is fulfilled for all pairs  $x, y \in X$  and all  $a \in \mathcal{A}$ . Now we are going to use the condition  $Q(ax) = a^*aQ(x)$ . First of all it follows from the condition above that

$$(9) \quad S(ax, y) = a^*aS(x, a^{-1}y)$$

holds for all pairs  $x, y \in X$  and all invertible  $a \in \mathcal{A}$ . Let us prove that  $B(\cdot, \cdot)$  satisfies the relations

$$(10) \quad B(ix, y) = iB(x, y),$$

$$(11) \quad B(x, iy) = -iB(x, y)$$

Indeed,

$$\begin{aligned} 4B(ix, y) &= S(ix, y) + iS(ix, iy) = S(x, -iy) + iS(x, y) \\ &= i(S(x, y) - iS(x, -iy)) = i(S(x, y) + iS(x, iy)) = 4iB(x, y) \end{aligned}$$

which proves (10). Furthermore,

$$\begin{aligned} 4B(x, iy) &= S(x, iy) + iS(x, -y) = S(x, iy) - iS(x, y) \\ &= -i(S(x, y) + iS(x, iy)) = -4iB(x, y). \end{aligned}$$

Now we intend to prove that for the function  $f: \mathcal{A} \rightarrow \mathcal{A}$ , defined by the relation

$$(12) \quad f(a) = B(ax, y) - B(x, ay),$$

where  $x$  and  $y$  are fixed vectors, the requirements of Theorem 1 are fulfilled. Since the additivity of the function above follows from the fact that  $B(\cdot, \cdot)$  is additive in both variables, it remains to show that  $f(a) = -a^*af(a^{-1})$  holds for all invertible  $a \in \mathcal{A}$ . We have

$$\begin{aligned} 4f(a) &= S(ax, y) + iS(ax, iy) - (S(x, ay) + iS(x, iay)) \\ &= S(ax, y) + iS(ax, iy) - (S(ay, x) + iS(iay, x)). \end{aligned}$$

Using (9) we obtain

$$\begin{aligned} 4f(a) &= a^*a(S(x, a^{-1}y) + iS(x, ia^{-1}y)) - a^*a(S(y, a^{-1}x) + iS(iy, a^{-1}x)) \\ &= 4a^*a(B(x, a^{-1}y) - B(a^{-1}x, y)) = -4a^*af(a^{-1}). \end{aligned}$$

According to Theorem 1 we have  $f(h + ik) = f(ie)k$  for all hermitian  $h$  and  $k$ . In particular,  $f(h) = 0$  which implies

$$(13) \quad B(hx, y) = B(x, hy)$$

for all hermitian  $h \in \mathcal{A}$  and all pairs  $x, y \in X$ . If we put  $a = ih$ ,  $h$  hermitian, we obtain

$$B(ihx, y) - B(x, ihy) = f(ih) = hf(ie) = h(B(ix, y) - B(x, iy)).$$

Using (10), (11) and (13) we obtain

$$(14) \quad B(hx, y) = hB(x, y).$$

Therefore according to (10), (11), (13) and (14) it follows that (8) holds. The proof of the theorem is complete.

REMARK. It would be interesting to know whether Theorem 4 holds also in the noncommutative case.

We conclude with the following purely algebraic result.

**THEOREM 5.** *Let  $X$  be a vector space and  $\mathcal{A}$  a commutative  $*$ -algebra. Let  $X$  be a unitary irreducible  $\mathcal{A}$ -module, and suppose that there exists an  $\mathcal{A}$ -quadratic form  $Q: X \rightarrow \mathcal{A}$ . In this case the mapping  $B(\cdot, \cdot): X \times X \rightarrow \mathcal{A}$  defined by*

$$B(x, y) = \frac{1}{4}(Q(x+y) - Q(x-y)) + \frac{i}{4}(Q(x+iy) - Q(x-iy))$$

*is an  $\mathcal{A}$ -bilinear form. For all  $x \in X$  the relation  $Q(x) = B(x, x)$  holds.*

**PROOF.** It remains to prove that

$$(15) \quad S(hx, y) = hS(x, y), \quad S(x, hy) = hS(x, y),$$

where  $S(x, y)$  stands for  $Q(x+y) - Q(x-y)$ , holds for all pairs  $x, y \in X$  and each hermitian  $h \in \mathcal{A}$ , since the rest of the proof goes through as in the proof of Theorem 4. Therefore, let  $x, y \in X$ ,  $h \in \mathcal{A}$ ,  $h^* = h$  be arbitrary, and let us prove (15). We may assume that  $x \neq 0$ , since there is nothing to prove if  $x = y = 0$ . By the requirements of the theorem there exists  $a \in \mathcal{A}$  such that  $y = ax$ . We have

$$\begin{aligned} S(hx, y) &= S(hx, ax) = Q((h+a)x) - Q((h-a)x) \\ &= (h+a)^*(h+a)Q(x) - (h-a)^*(h-a)Q(x) \\ &= h((e+a)^*(e+a) - (e-a)^*(e-a))Q(x) \\ &= h(Q((e+a)x) - Q((e-a)x)) = hS(x, y). \end{aligned}$$

Similarly, we obtain that the relation  $S(x, hy) = hS(x, y)$  holds. The proof of the theorem is complete.

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