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# A Scaling limit of a Hamiltonian of many nonrelativistic particles interacting with a quantized radiation field

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## Abstract

This paper presents a scaling limit of Hamiltonians which describe interactions of  $N$ -nonrelativistic charged particles in a scalar potential and a quantized radiation field in the Coulomb gauge with the dipole approximation. The scaling limit defines effective potentials. In one-nonrelativistic particle case, the effective potentials have been known to be Gaussian transformations of the scalar potential [J.Math.Phys.34(1993)4478-4518]. However it is shown that the effective potentials in the case of  $N$ -nonrelativistic particles are not necessary to be Gaussian transformations of the scalar potential.

# 1 INTRODUCTION

The main problem in this paper is to consider a scaling limit of a model in quantum electrodynamics which describes an interaction of many nonrelativistic charged particles and a quantized radiation field in the Coulomb gauge with the dipole approximation. For our discussion we may limit ourselves to the case of a fixed number  $N$  of the particles, since  $N$  does not change in time. The model we consider is called “the Pauli-Fierz model”, which has been a subject of great interests and by which real physical phenomena of charged particles and a quantized radiation field such as “Lamb shift” can be interpreted. There has been a considerable amount of literature on the Pauli-Fierz model with one-nonrelativistic charged particle, e.g., [1,2] from points of view of physics and [3,4,5,6,7,8] mathematical points of view. In particular, the authors of [5,6] have studied a scaling limit of the Pauli-Fierz model with one-nonrelativistic charged particle. We may well extend the scaling limit of one-particle system to  $N$ -particle system.

The authors of [5,6] defined Hamiltonians of the Pauli-Fierz model as self-adjoint operators  $H_\rho$  with an ultraviolet cut-off function  $\rho$  acting in the tensor product of the Hilbert space  $L^2(\mathbb{R}^d)$  and a Boson Fock space  $\mathcal{F}(\mathcal{W})$  over  $\mathcal{W} = \bigoplus_{r=1}^{d-1} L^2(\mathbb{R}^d)$ . Introducing scalings with respect to parameters  $c$  (the speed of light),  $m$  (the mass of the particle) and  $e$  (the charge of the particle), the authors have shown the existence of the strong resolvent limits of the scaled self-adjoint operators  $H_\rho^{REN}(\kappa) + V \otimes I$  with an infinite self-energy of the nonrelativistic particle subtracted with a scalar potential  $V$ , (we call the limit “the scaling limit of  $H_\rho + V \otimes I$ ”): In [6] we have proved the following:

*Let  $V$  and  $\rho$  satisfy some conditions and  $\Delta$  be the Laplacian in  $L^2(\mathbb{R}^d)$ . Then  $H_\rho^{REN}(\kappa) + V \otimes I$  is self-adjoint and bounded from below uniformly in sufficiently large  $\kappa > 0$  with*

$$s - \lim_{\kappa \rightarrow \infty} (H_\rho^{REN}(\kappa) + V \otimes I - z)^{-1} = \mathcal{S} \left\{ \left( -\frac{1}{2m_\infty} \Delta + V_{eff} - z \right)^{-1} \otimes P_0 \right\} \mathcal{S}^{-1},$$

*where  $z \in \mathbb{C} \setminus \mathbb{R}$ ,  $m_\infty$  is a positive constant,  $\mathcal{S}$  a unitary operator on  $L^2(\mathbb{R}^d) \otimes \mathcal{F}(\mathcal{W})$ ,  $P_0$  a*

projection on  $\mathcal{F}(\mathcal{W})$  and  $V_{eff}$  a multiplication operator defined by

$$V_{eff}(x) = (2\pi\alpha)^{-\frac{d}{2}} \int dy e^{-|x-y|^2/2\alpha} V(y),$$

where  $\alpha$  is a positive constant. The multiplication operator  $V_{eff}$  is called “the effective potential”.

One of the strongest methods to analyze the scaling limits in [5,6] was to find Bogoliubov transformations  $\mathcal{U}$ , which implements a unitary equivalence between the Pauli-Fierz Hamiltonians  $H_\rho$  and decoupled Hamiltonians of the form

$$\widetilde{H} = -\frac{1}{2\bar{m}}\Delta \otimes I + I \otimes H_b + \text{constant},$$

where  $\bar{m}$  is a positive constant and  $H_b$  is the free Hamiltonian of the quantized radiation field in  $\mathcal{F}(\mathcal{W})$ ; the authors of [5,6] show equations of the following type:

$$\left(H_\rho^{REN} + V \otimes I - z\right)^{-1} = \mathcal{U} \left(\widetilde{H} + \mathcal{U}^{-1}(V \otimes I)\mathcal{U} - z\right)^{-1} \mathcal{U}^{-1}. \quad (1.1)$$

In this paper, the Pauli-Fierz Hamiltonian  $H_{\bar{p}}$  with  $N$ -nonrelativistic charged particles in the Coulomb gauge with the dipole approximation are defined as operators acting in the Hilbert space  $\underbrace{L^2(\mathbb{R}^d) \otimes \dots \otimes L^2(\mathbb{R}^d)}_N \otimes \mathcal{F}(\mathcal{W}) \cong L^2(\mathbb{R}^{dN}) \otimes \mathcal{F}(\mathcal{W})$  by

$$\begin{aligned} H_{\bar{p}} &= \frac{1}{2m} \sum_{j=1}^N \sum_{\mu=1}^d \left(-i\hbar D_\mu^j \otimes I - eI \otimes A_\mu(\rho_j)\right)^2 + I \otimes H_b \\ &= -\frac{\hbar^2}{2m} \Delta \otimes I + I \otimes H_b + \frac{1}{2m} \sum_{j=1}^N \sum_{\mu=1}^d \left(2e\hbar i D_\mu^j \otimes A_\mu(\rho_j) + e^2 I \otimes A_\mu^2(\rho_j)\right), \end{aligned}$$

where  $D_\mu^j$  is the differential operator with respect to the  $j$ -th variable in the  $\mu$ -th direction,  $\Delta$  the Laplacian in  $L^2(\mathbb{R}^{dN})$ ,  $\hbar$  the Planck constant divided  $2\pi$  and  $A_\mu(\rho_j)$  the quantized radiation field in the  $\mu$ -th direction with an ultraviolet cut-off function  $\rho_j$  in the Coulomb gauge. Problems arising in the many particles system are as follows:

(i) Do there any Bogoliubov transformations such as (1.1) exist?

- (ii) What kind of scalar potentials  $V$  and sets of ultraviolet cut-off functions  $(\rho_1, \dots, \rho_N)$  do a scaling limit of the Hamiltonian  $H_{\vec{p}} + V \otimes I$  exist for? Furthermore, what kind of infinite self-energy should be subtracted from the original Hamiltonian  $H_{\vec{p}} + V \otimes I$ ?
- (iii) If the scaling limit exists, what form does the effective potential have?

With this motivation, we continue here to analyze a scaling limit of the Pauli-Fierz model with  $N$ -nonrelativistic charged particles.

We introduce the same scaling as [6] as follows;

$$c(\kappa) = c\kappa, e(\kappa) = e\kappa^{-\frac{1}{2}}, m(\kappa) = m\kappa^{-2}. \quad (1.2)$$

Introducing a pseudo differential operator  $E^{REN}(D, \kappa)$  in  $L^2(\mathbb{R}^{dN})$  with a symbol  $E^{REN}(p, \kappa)$  such that  $E^{REN}(p, \kappa) \rightarrow \infty$  as  $\kappa \rightarrow \infty$ , we define a Hamiltonian  $H_{\vec{p}}^{REN}(\kappa)$  by

$$H_{\vec{p}}^{REN}(\kappa) = -E^{REN}(D, \kappa) \otimes I + \kappa I \otimes H_b + \frac{1}{2m} \sum_{j=1}^N \sum_{\mu=1}^d \left( \kappa 2e\hbar i D_{\mu}^j \otimes A_{\mu}(\rho_j) + e^2 I \otimes A_{\mu}^2(\rho_j) \right).$$

For sufficiently large  $\kappa > 0$  and a scalar potential  $V$  with some conditions, we shall show that  $H_{\vec{p}}^{REN}(\kappa) + V \otimes I$  is essentially self-adjoint on  $D(-\Delta \otimes I) \cap D(I \otimes H_b)$  and bounded from below uniformly in sufficiently large  $\kappa > 0$ , and the existence of Bogoliubov transformations  $\mathcal{U}(\kappa)$ , which gives a unitary equivalence of  $H_{\vec{p}}^{REN}(\kappa) + V \otimes I$  and a self-adjoint operator  $\widetilde{H}_{\vec{p}}(\kappa) + C_{\kappa}(V)$  as follows;

$$(H_{\vec{p}}^{REN}(\kappa) + V \otimes I - z)^{-1} = \mathcal{U}(\kappa)(\widetilde{H}_{\vec{p}}(\kappa) + C_{\kappa}(V) - z)^{-1}\mathcal{U}^{-1}(\kappa),$$

where  $\widetilde{H}_{\vec{p}}(\kappa) = \widetilde{E}(D, \kappa) \otimes I + \kappa I \otimes H_b$ ,  $\widetilde{E}(D, \kappa)$  is a pseudo differential operator in  $L^2(\mathbb{R}^{dN})$  and  $C_{\kappa}(V) = \mathcal{U}^{-1}(\kappa)(V \otimes I)\mathcal{U}(\kappa)$  (Theorem 3.5). Then we see that  $\mathcal{U}(\kappa) \rightarrow \mathcal{U}(\infty)$  as  $\kappa \rightarrow \infty$  strongly (Theorem 3.4) and hence we get

$$s - \lim_{\kappa \rightarrow \infty} (H_{\vec{p}}^{REN}(\kappa) + V \otimes I - z)^{-1} = \mathcal{U}(\infty) \left\{ (E^{\infty}(D) + V_{eff} - z)^{-1} \otimes P_0 \right\} \mathcal{U}^{-1}(\infty),$$

where  $E^\infty(D)$  is a pseudo differential operator in  $L^2(\mathbb{R}^{dN})$  and  $V_{eff}$  a multiplication operator. (Theorems 3.6, 3.7). In the case of one-particle system the effective potential  $V_{eff}$  is a Gaussian transformation of a given scalar potential  $V$ . However, we shall see that in the  $N$ -particle system,  $V_{eff}$  is not necessary to be a Gaussian transformation. Actually it is determined by a matrix  $\tilde{\Delta}^\infty = (\tilde{\Delta}_{ij}^\infty)_{1 \leq i, j \leq N}$  defined by

$$\tilde{\Delta}_{ij}^\infty = \frac{1}{2} \frac{d-1}{d} \left( \frac{\hbar}{mc} \right) \frac{e^2}{\hbar c} \int_{\mathbb{R}^d} dk \frac{\hat{\rho}_i(k) \hat{\rho}_j(k)}{\omega(k)^3}, \quad (1.3)$$

where  $\omega(k) = |k|, k \in \mathbb{R}^d$ . In the case where  $\tilde{\Delta}^\infty$  is non-degenerate, the effective potential  $V_{eff}$  is Gaussian transformations of  $V$ .

The outline of this paper is as follows. In section 2, we define the Pauli-Fierz Hamiltonian with  $N$ -nonrelativistic charged particles in the Coulomb gauge with the dipole approximation and show its self-adjointness. Moreover we construct an exact solution to the Heisenberg equation from the point of view of the operator theory (Corollary 2.9). In section 3, when the scaling parameter  $\kappa > 0$  is sufficiently large, we show that a Bogoliubov transformation can be constructed, and define a renormalized self-adjoint operator  $H_{\tilde{p}}^{REN}(\kappa)$  which is the original Hamiltonian  $H_{\tilde{p}}(\kappa)$  with an infinite self-energy of the nonrelativistic charged particles subtracted. We shall show the existence of the scaling limit of  $H_{\tilde{p}} + V \otimes I$  and give an explicit form of the effective potential. We give a typical example of a scalar potential and a set of ultraviolet cut-off functions. In section 4, we give a physical interpretation of the matrix  $\tilde{\Delta}^\infty$ .

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## 2 THE PAULI-FIERZ MODEL AND EXACT SOLUTION

To begin with, let us introduce some preliminary notations. Let  $\mathcal{H}$  be a Hilbert space over  $\mathbb{C}$ . We denote the inner product and the associated norm by  $\langle \cdot, \cdot \rangle_{\mathcal{H}}$  and  $\| \cdot \|_{\mathcal{H}}$  respectively.



The inner product is linear in  $\cdot$  and antilinear in  $*$ . The domain of an operator  $A$  in  $\mathcal{H}$  is denoted by  $D(A)$ . A notation The Fourier transformation of a function  $f$  is denoted by  $\hat{f}$  (resp.  $\check{f}$ ) and  $\bar{f}$  the complex conjugate of  $f$ . In this paper, summations over repeated Greek letters are understood. Let

$$\mathcal{W} \equiv \underbrace{L^2(\mathbb{R}^d) \oplus \dots \oplus L^2(\mathbb{R}^d)}_{d-1}.$$

We define the Boson Fock space over  $\mathcal{W}$  by

$$\mathcal{F}(\mathcal{W}) \equiv \bigoplus_{n=0}^{\infty} \otimes_s^n \mathcal{W} \equiv \bigoplus \mathcal{F}_n(\mathcal{W}),$$

where  $\otimes_s^0 \mathcal{W} \equiv \mathbb{C}$  and  $\otimes_s^n \mathcal{W}$  ( $n \geq 1$ ) denotes the  $n$ -fold symmetric tensor product. Put

$$\mathcal{F}^N(\mathcal{W}) \equiv \bigoplus_{n=0}^N \mathcal{F}_n(\mathcal{W}) \bigoplus_{n \geq N+1} \{0\}.$$

Moreover we define the finite particle subspace of  $\mathcal{F}(\mathcal{W})$  by

$$\mathcal{F}^\infty(\mathcal{W}) \equiv \bigcup_{N=0}^{\infty} \mathcal{F}^N(\mathcal{W}).$$

The annihilation operator  $a(f)$  and the creation operator  $a^\dagger(f)$  ( $f \in \mathcal{W}$ ) act on the finite particle subspace and leave it invariant with the canonical commutation relations (CCR): for  $f, g \in \mathcal{W}$

$$\begin{aligned} [a(f), a^\dagger(g)] &= \langle \bar{f}, g \rangle_{\mathcal{W}}, \\ [a^\sharp(f), a^\sharp(g)] &= 0, \end{aligned}$$

where  $[A, B] = AB - BA$ ,  $a^\sharp$  denotes either  $a$  or  $a^\dagger$ . Furthermore,

$$\langle a^\dagger(f)\Phi, \Psi \rangle_{\mathcal{F}(\mathcal{W})} = \langle \Phi, a(\bar{f})\Psi \rangle_{\mathcal{F}(\mathcal{W})}, \quad \Phi, \Psi \in \mathcal{F}^\infty(\mathcal{W}).$$

We define polarization vectors  $e^r$  ( $r = 1, \dots, d-1$ ) as measurable functions  $e^r : \mathbb{R}^d \rightarrow \mathbb{R}^d$  such that

$$e^r(k)e^s(k) = \delta_{rs}, \quad e^r(k)k = 0, \quad a.e. k \in \mathbb{R}^d.$$

In this paper, we fix polarization vectors  $e^r$ . The  $\mu$ -th direction time-zero smeared radiation field in the Coulomb gauge with the dipole approximation is defined as operators acting in  $\mathcal{F}(\mathcal{W})$  by

$$A_\mu(f) = \frac{1}{\sqrt{2}} \left\{ a^\dagger \left( \bigoplus_{r=1}^{d-1} \frac{\sqrt{\hbar} e_\mu^r \hat{f}}{\sqrt{c\omega}} \right) + a \left( \bigoplus_{r=1}^{d-1} \frac{\sqrt{\hbar} e_\mu^r \tilde{\hat{f}}}{\sqrt{c\omega}} \right) \right\}, \quad (2.1)$$

and the conjugate momentum

$$\Pi_\mu(f) = \frac{i}{\sqrt{2}} \left\{ a^\dagger \left( \bigoplus_{r=1}^{d-1} \sqrt{\hbar} \sqrt{c\omega} e_\mu^r \hat{f} \right) - a \left( \bigoplus_{r=1}^{d-1} \sqrt{\hbar} \sqrt{c\omega} e_\mu^r \tilde{\hat{f}} \right) \right\}, \quad (2.2)$$

where  $\tilde{g}(k) = g(-k)$ . Note that in the case where  $f$  is real-valued,  $A_\mu(f)$  and  $\Pi_\mu(f)$  are symmetric operators. Let  $\Omega = (1, 0, 0, \dots) \in \mathcal{F}(\mathcal{W})$ . It is well known that

$$\mathcal{L} \left\{ a^\dagger(f_1) \dots a^\dagger(f_n) \Omega, \Omega \mid f_j \in \mathcal{W}, j = 1, \dots, n, n \geq 1 \right\}$$

is dense in  $\mathcal{F}(\mathcal{W})$ . For a nonnegative self-adjoint operator  $h : \mathcal{W} \rightarrow \mathcal{W}$ , an operator  $\Gamma(e^{-th})$  is defined by

$$\begin{aligned} \Gamma(e^{-th}) a^\dagger(f_1) \dots a^\dagger(f_n) \Omega &= a^\dagger(e^{-th} f_1) \dots a^\dagger(e^{-th} f_n) \Omega, \\ \Gamma(e^{-th}) \Omega &= \Omega. \end{aligned}$$

The operator  $\Gamma(e^{-th})$  defines a unique strongly continuous one-parameter semigroup on  $\mathcal{F}(\mathcal{W})$ . Hence, by Stone's theorem, there exists a nonnegative self-adjoint operator  $d\Gamma(h)$  in  $\mathcal{F}(\mathcal{W})$  such that

$$\Gamma(e^{-th}) = e^{-td\Gamma(h)}.$$

The operator  $d\Gamma(h)$  is called "the second quantization of  $h$ ". Put  $\tilde{\omega} = \underbrace{\omega \oplus \dots \oplus \omega}_{d-1}$ . The free Hamiltonian  $H_b$  in  $\mathcal{F}(\mathcal{W})$  is defined by

$$H_b \equiv \hbar c d\Gamma(\tilde{\omega}).$$

Let  $M_d$  be a Hilbert space defined by

$$M_d = \left\{ f \mid \int |f(k)|^2 \omega(k)^d dk < \infty \right\},$$

with the inner product

$$\langle f, g \rangle_n = \int_{\mathbb{R}^d} \bar{f}(k) g(k) \omega(k)^n dk.$$

We have the following commutation relations on  $\mathcal{F}^\infty(\mathcal{W})$ ,

$$\begin{aligned} [A_\mu(f), A_\nu(g)] &= 0, & \hat{f}, \hat{g} &\in M_{-1}, \\ [\Pi_\mu(f), \Pi_\nu(g)] &= 0, & \hat{f}, \hat{g} &\in M_1, \\ [A_\mu(f), \Pi_\nu(g)] &= i\hbar \left\langle d_{\mu\nu} \tilde{f}, \hat{g} \right\rangle_{L^2(\mathbb{R}^d)}, & \hat{f}, \hat{g} &\in M_{-1} \cap M_0 \cap M_1, \end{aligned}$$

and on  $D(H_b^{\frac{3}{2}})$ ,

$$\begin{aligned} [H_b, A_\mu(f)] &= -i\hbar \Pi_\mu(f), & \hat{f} &\in M_{-1} \cap M_1, \\ [H_b, \Pi_\mu(f)] &= i\hbar c^2 A_\mu(-\Delta f), & \hat{f} &\in M_3 \cap M_1, \end{aligned}$$

where  $\Delta$  is the Laplacian in the  $L^2$ -sense and  $d_{\mu\nu}(k) = \sum_{r=1}^d e_\mu^r(k) e_\nu^r(k)$ . The Pauli-Fierz Hamiltonian with  $N$ -nonrelativistic charged particles interacting with the quantized radiation field in the Coulomb gauge with the dipole approximation is defined by

$$H_{\vec{p}} \equiv H_{\rho_1, \dots, \rho_N} \equiv \frac{1}{2m} \sum_{j=1}^N \sum_{\mu=1}^d \left( -i\hbar D_\mu^j \otimes I - eI \otimes A_\mu(\rho_j) \right)^2 + I \otimes H_b,$$

acting in

$$\underbrace{L^2(\mathbb{R}^d) \otimes \dots \otimes L^2(\mathbb{R}^d)}_N \otimes \mathcal{F}(\mathcal{W}) \cong L^2(\mathbb{R}^{dN}) \otimes \mathcal{F}(\mathcal{W}) \cong \int_{\mathbb{R}^{dN}}^\oplus \mathcal{F}(\mathcal{W}) dx,$$

where  $D_\mu^j$  is the  $L^2$ -derivative with respect to the  $j$ -th variable in the  $\mu$ -th direction,  $\rho_j$ 's serve as ultraviolet cut-off functions. We introduce a scaling with respect to the parameters  $c, e, m$  as (1.2). Throughout this paper, for objects  $A = A(c, e, m)$  containing the parameters  $c, e, m$ , we denote the scaled object by  $A(\kappa) \equiv A(c(\kappa), e(\kappa), m(\kappa))$ . We define a class of sets of functions as follows:

**Definition 2.1**  $\vec{\rho} = (\rho_1, \dots, \rho_N)$  is in  $P$  if and only if

- (1)  $\hat{\rho}_j, j = 1, \dots, N$  are rotation invariant,  $\hat{\rho}_j(k) = \hat{\rho}_j(|k|)$ , and real-valued,
- (2)  $\hat{\rho}_j/\omega, \hat{\rho}_j/\sqrt{\omega}, \hat{\rho}_j, \sqrt{\omega}\hat{\rho}_j \in L^2(\mathbb{R}^d)$ .

Moreover  $\vec{\rho}$  is in  $\tilde{P}$  if and only if in addition to (1) and (2) above

- (3) For all  $j = 1, \dots, N$ ,  $\hat{\rho}_j/\omega\sqrt{\omega} \in L^2(\mathbb{R}^d)$  and there exist  $0 < \alpha < 1$  and  $1 \leq \epsilon$  such that  $\hat{\rho}_i(\sqrt{s})\hat{\rho}_j(\sqrt{s})(\sqrt{s})^{d-2} \in Lip(\alpha) \cap L^\epsilon([0, \infty))$ , where  $Lip(\alpha)$  is the set of the Lipschitz continuous functions on  $[0, \infty)$  with order  $\alpha$ ,
- (4)  $\sup_k |\hat{\rho}_j(k)\omega^{\frac{d}{2}-\frac{3}{2}}(k)| < \infty, \sup_k |\hat{\rho}_j(k)\omega^{\frac{d}{2}-\frac{1}{2}}(k)| < \infty, j = 1, \dots, N$ .

Observe that Definition 2.1 (1) implies that  $\rho_j$ 's are real-valued functions. Hence  $A_\mu(\rho_j)$ 's are symmetric operators. Put

$$H_0 = -\frac{1}{2m}\hbar^2\Delta \otimes I + I \otimes H_b,$$

where  $\Delta$  is the Laplacian in  $L^2(\mathbb{R}^{dN})$ . It is well known that  $H_0$  is a nonnegative self-adjoint operator on  $D(H_0) = D\left(-\frac{1}{2m}\hbar^2\Delta \otimes I\right) \cap D(I \otimes H_b)$ .

**Theorem 2.2** ([3,4]) For  $\vec{\rho} \in P$  and  $\kappa > 0$ , the operator  $H_{\vec{\rho}}(\kappa)$  is self-adjoint on  $D(H_0)$  and essentially self-adjoint on any core of  $H_0$  and nonnegative.

Let  $\mathbf{F} = F \otimes I$ , where  $F$  denotes the Fourier transform in  $L^2(\mathbb{R}^{dN})$ . It is clear that operators  $\mathbf{F}H_{\vec{\rho}}\mathbf{F}^{-1}$  can be decomposable as follows:

$$\mathbf{F}H_{\vec{\rho}}(\kappa)\mathbf{F}^{-1} = \int_{\mathbb{R}^{dN}}^{\oplus} H_{\vec{\rho}}(p, \kappa) dp,$$

where

$$H_{\vec{\rho}}(p, \kappa) = \frac{1}{2m} \sum_{j=1}^N \sum_{\mu=1}^d \left( \kappa \hbar p_\mu^j - e A_\mu(\rho_j) \right)^2 + \kappa H_b.$$

**Theorem 2.3** ([3,4]) *For  $\vec{\rho} \in P$  and  $\kappa > 0$ , the operator  $H_{\vec{\rho}}(p, \kappa)$  is self-adjoint on  $D(H_0)$  and essentially self-adjoint on any core of  $H_b$  and nonnegative.*

Following [3,4,6], we shall construct a Heisenberg field concretely. The Heisenberg field  $A_\mu(f, t, \kappa)$  with the scaling parameter  $\kappa$  is defined by a solution to the Heisenberg equation:

$$\begin{aligned} \frac{d}{dt} A_\mu(f, t, \kappa) &= \frac{i}{\hbar} [H_{\vec{\rho}}(p, \kappa), A_\mu(f, t, \kappa)], \\ A_\mu(f, 0, \kappa) &= A_\mu(f, \kappa). \end{aligned}$$

In order to construct the Heisenberg field in a rigorous way, we shall prepare some technical lemmas. We define an  $N \times N$  matrix-valued function  $\mathbb{D}(z) = (D_{ij}(z))_{1 \leq i, j \leq N}$  by

$$D_{ij}(z) = m\delta_{ij} - \frac{e^2}{c^2} \frac{d-1}{d} \int_{\mathbb{R}^d} \frac{\hat{\rho}_i(k)\hat{\rho}_j(k)}{z - |k|^2} dk, \quad z \in \mathbb{C} \setminus [0, \infty).$$

**Lemma 2.4** *Let  $(\cdot, \cdot)$  denote the Euclidean inner product. Suppose  $\vec{\rho} \in \tilde{P}$ . Then the followings hold:*

- (1) *The functions  $D_{ij}(z, \kappa)$ ,  $1 \leq i, j \leq N$ ,  $\kappa > 0$  are analytic in  $\mathbb{C} \setminus [0, \infty)$ .*
- (2) *For  $s \in [0, \infty)$  and  $\kappa > 0$ , the pointwise limit  $D_{\pm ij}(s, \kappa) \equiv \lim_{h \rightarrow 0} D_{ij}(s \pm ih, \kappa)$  exists and has the following form*

$$\begin{aligned} D_{\pm ij}(s, \kappa) &= \frac{m}{\kappa^2} \delta_{ij} - \frac{1}{\kappa^3} \left( \frac{e^2}{c^2} \frac{\mathbf{V}_d}{2} \frac{d-1}{d} \right) H_{ij}(s) \pm \frac{2\pi i}{\kappa^3} \left( \frac{e^2}{c^2} \frac{\mathbf{V}_d}{2} \frac{d-1}{d} \right) K_{ij}(s), \\ K_{ij}(s) &= \hat{\rho}_i(\sqrt{s}) \hat{\rho}_j(\sqrt{s}) s^{\frac{d}{2}-1}, \\ H_{ij}(s) &= \lim_{\epsilon \rightarrow 0^+} \int_{|s-x| > \epsilon} \frac{K_{ij}(x)}{s-x} dx, \end{aligned}$$

where  $\mathbf{V}_d = 2\pi^{\frac{d}{2}}/\Gamma(\frac{d}{2})$  ( $\Gamma(z)$  is the gamma function). The convergence is uniform in  $s \in [0, \infty)$ ; for any  $\delta > 0$ , there exists  $h_0 > 0$  independent of  $s, \kappa$ , such that for  $0 < h \leq h_0$ ,

$$|D_{ij}(s \pm ih, \kappa) - D_{\pm ij}(s, \kappa)| \leq \frac{\delta}{\kappa^3}.$$

Moreover  $H_{ij}(s)$  is Lipschitz continuous in  $s \in [0, \infty)$  with the same order as that of  $K_{ij}$  and contained in  $L^\epsilon(\mathbb{R}^d)$  with some  $\epsilon \geq 1$ .

(3) Let  $\kappa > 0$  be sufficiently large. Put  $\mathbb{D}_\pm(s, \kappa) = (D_{\pm ij}(s, \kappa))_{1 \leq i, j \leq N}$ . Then there exists a positive constant  $d_1(\kappa)$  such that for  $(w_1, \dots, w_N) = \vec{w} \in \mathbb{C}^N$ ,

$$\inf_{s \in [0, \infty)} |(\mathbb{D}_\pm(s, \kappa)\vec{w}, \vec{w})| > d_1(\kappa)|\vec{w}|^2.$$

(4) Let  $\kappa > 0$  be sufficiently large. Then there exists a positive constant  $d_2(\kappa)$  such that for  $\vec{w} \in \mathbb{C}^N$ ,

$$\inf_{z \in \mathbb{C} \setminus [0, \infty)} |(\mathbb{D}(z, \kappa)\vec{w}, \vec{w})| > d_2(\kappa)|\vec{w}|^2.$$

*Proof:* The statements (1) and (2) are fundamental facts([9]). We shall prove (3). From (2) it follows that

$$(\mathbb{D}_\pm(s, \kappa)\vec{w}, \vec{w}) = \frac{m}{\kappa^2} \left\{ |\vec{w}|^2 - \frac{1}{\kappa} \frac{\lambda}{m} (H(s)\vec{w}, \vec{w}) \right\} \pm 2\pi i \frac{\lambda}{\kappa^3} (K(s)\vec{w}, \vec{w}),$$

where  $\lambda = \frac{e^2}{c^2} \frac{V_d}{2} \frac{d-1}{d}$ ,  $H(s) = (H_{ij}(s))_{1 \leq i, j \leq d}$ ,  $K(s) = (K_{ij}(s))_{1 \leq i, j \leq d}$ . Since  $H_{ij}$  is a Lipschitz continuous function and contained in  $L^\epsilon([0, \infty))$ , it is bounded. Hence we have

$$|(H(s)\vec{w}, \vec{w})| \leq N \times \sup_{s \in [0, \infty), 1 \leq i, j \leq d} |H_{ij}(s)| \cdot |\vec{w}|^2 \equiv \alpha |\vec{w}|^2.$$

Thus we can see that for sufficiently large  $\kappa > 0$

$$|(\mathbb{D}_\pm(s, \kappa)\vec{w}, \vec{w})| \geq \frac{m}{\kappa^2} \left( 1 - \frac{1}{\kappa} \frac{\lambda}{m} \alpha \right) |\vec{w}|^2.$$

Hence we get (3). We shall prove (4). From (2) it follows that for any  $\eta > 0$ , there exists  $\epsilon_0 > 0$  independent of  $s \in [0, \infty)$  and  $\kappa > 0$  such that for  $0 < \epsilon \leq \epsilon_0$ ,

$$|(\mathbb{D}_\pm(s, \kappa)\vec{w}, \vec{w})| - \frac{\eta}{\kappa^3} |\vec{w}|^2 \leq |(\mathbb{D}(s \pm i\epsilon, \kappa)\vec{w}, \vec{w})|.$$

Hence we have

$$|(\mathbb{D}(s \pm i\epsilon, \kappa)\vec{w}, \vec{w})| \geq \left\{ \frac{m}{\kappa^2} \left( 1 - \frac{1}{\kappa} \frac{\lambda}{m} \alpha \right) - \frac{\eta}{\kappa^3} \right\} |\vec{w}|^2. \quad (2.3)$$

On the other hand, put  $\Pi_{\epsilon_0} = \mathbb{C} \setminus \{x + iy | x \geq 0, |y| \leq \epsilon_0\}$ . Then we see that for  $x + iy \in \Pi_{\epsilon_0}$

$$\begin{aligned} (\mathbb{D}(x + iy, \kappa)\vec{w}, \vec{w}) &= \frac{m}{\kappa^2} \left( |\vec{w}|^2 - \frac{1}{\kappa} \frac{\lambda}{m} \int_0^\infty \frac{(x-s) |\sum_{i=1}^N w_i \hat{\rho}_i(\sqrt{s})|^2 s^{\frac{d}{2}-1}}{(x-s)^2 + y^2} ds \right) \\ &\quad + i \frac{\lambda}{\kappa^3} \int_0^\infty \frac{y |\sum_{i=1}^N w_i \hat{\rho}_i(\sqrt{s})|^2 s^{\frac{d}{2}-1}}{(x-s)^2 + y^2} ds. \end{aligned}$$

Noting that  $|ab|/a^2 + b^2 \leq 1/2$ , we have

$$\begin{aligned} \left| \int_0^\infty \frac{(x-s) |\sum_{i=1}^N w_i \hat{\rho}_i(\sqrt{s})|^2 s^{\frac{d}{2}-1}}{(x-s)^2 + y^2} ds \right| &\leq \frac{1}{2|y|} \int_0^\infty |\sum_{i=1}^N w_i \hat{\rho}_i(\sqrt{s})|^2 s^{\frac{d}{2}-1} ds, \\ &\leq \frac{1}{2\epsilon_0} \int_0^\infty \sum_{i=1}^N |\hat{\rho}_i(\sqrt{s})|^2 s^{\frac{d}{2}-1} ds |\vec{w}|^2 \\ &\equiv \frac{\beta}{\epsilon_0} |\vec{w}|^2. \end{aligned}$$

Since  $\epsilon_0$  is independent of  $\kappa > 0$ , we see that for sufficiently large  $\kappa > 0$ ,

$$|(\mathbb{D}(x + iy, \kappa)\vec{w}, \vec{w})| \geq \frac{m}{\kappa^2} \left( 1 - \frac{1}{\kappa} \frac{\lambda}{m} \frac{\beta}{\epsilon_0} \right) |\vec{w}|^2, \quad x + iy \in \Pi_{\epsilon_0}. \quad (2.4)$$

Combining (2.3) and (2.4), we get (4).  $\square$

From Lemma 2.4 (3) and (4) it follows that for sufficiently large  $\kappa > 0$ , there exist the inverse matrices to  $\mathbb{D}(z, \kappa)$  and  $\mathbb{D}_\pm(s, \kappa)$ , which satisfy

$$\sup_{s \in [0, \infty)} |(\mathbb{D}_\pm^{-1}(s, \kappa)\vec{w}_1, \vec{w}_2)| < \frac{1}{d_1(\kappa)} |\vec{w}_1| |\vec{w}_2|, \quad (2.5)$$

$$\sup_{z \in \mathbb{C} \setminus [0, \infty)} |(\mathbb{D}^{-1}(z, \kappa)\vec{w}_1, \vec{w}_2)| < \frac{1}{d_2(\kappa)} |\vec{w}_1| |\vec{w}_2|. \quad (2.6)$$

We set for  $\vec{\rho} \in \tilde{P}$  and sufficiently large  $\kappa > 0$

$$Q(k, \kappa) \equiv \mathbb{D}_+^{-1}(k^2, \kappa) \begin{pmatrix} \hat{\rho}_1(k) \\ \vdots \\ \hat{\rho}_N(k) \end{pmatrix} \equiv (Q_1(k, \kappa), \dots, Q_N(k, \kappa)).$$

For later use in Appendix, we note that for all  $s \in [0, \infty)$ ,

$$D_{+ij}(s, \kappa) - D_{-ij}(s, \kappa) = 2\pi i \frac{1}{\kappa^3} \left( \frac{e^2}{c^2} \mathbf{V}_d \frac{d-1}{d} \right) \hat{\rho}_i(\sqrt{s}) \hat{\rho}_j(\sqrt{s}) s^{\frac{d}{2}-1}. \quad (2.7)$$

Put  $\mathbb{D}^{-1}(z) = (D_{ij}^{-1}(z))_{1 \leq ij \leq N}$ ,  $\mathbb{D}_{\pm}^{-1}(s) = (D_{\pm ij}^{-1}(s))_{1 \leq ij \leq N}$ . Then (2.7) implies that

$$\frac{2\pi i}{\kappa^3} \left( \frac{e^2}{c^2} \mathbf{V}_d \frac{d-1}{d} \right) \sum_{k,l=1}^N D_{-ik}^{-1}(s, \kappa) D_{+jl}^{-1}(s, \kappa) \hat{\rho}_k(\sqrt{s}) \hat{\rho}_l(\sqrt{s}) s^{\frac{d}{2}-1} = D_{-ij}(s, \kappa) - D_{+ji}(s, \kappa). \quad (2.8)$$

**Remark 2.5**

(1) In [3,4,6], the authors define functions  $D_{\pm}(s)$  corresponding to  $\mathbb{D}_{\pm}(s)$  defined in this paper. The function  $1/D_{\pm}(s, \kappa)$  can be well defined for some  $\rho$  and any  $\kappa > 0$ . However, in our case, we do not know whether  $\mathbb{D}_{\pm}(s, \kappa)$  has the inverse or not for all  $\kappa > 0$ . But since, in this paper, we focus on an asymptotic behavior as  $\kappa \rightarrow \infty$ , it is sufficient to consider the case where  $\kappa$  is sufficiently large.

(2) For the proof of Lemma 2.4, we do not need Definition 2.1 (4).

We define operators  $G_h$  ( $h > 0$ ) by

$$(G_h f)(k) = \int_{\mathbb{R}^d} \frac{f(k')}{(k^2 - k'^2 + ih)(kk')^{\frac{d}{2}-1}} dk'.$$

It is well known and not so hard to see that  $G_h$  are bounded linear operators on  $L^2(\mathbb{R}^d)$  and the strong limits  $\lim_{h \rightarrow 0} G_h \equiv G$  exists ([4]). Furthermore  $G$  is skew symmetric ( $G^* = -G$ ).

For sufficiently large  $\kappa > 0$ , we can define the following operators:

$$T_{\mu\nu}(\kappa)f \equiv \delta_{\mu\nu}f + \frac{1}{\kappa^3} \frac{e^2}{c^2} \sum_{j=1}^N Q_j(\kappa) \omega^{\frac{d}{2}-1} G \omega^{\frac{d}{2}-1} d_{\mu\nu} \hat{\rho}_j f, \quad 1 \leq \mu, \nu \leq d.$$

**Lemma 2.6** Suppose that  $\vec{\rho} \in \tilde{P}$  and  $\kappa > 0$  is sufficiently large. Then the following holds.

(1)  $T_{\mu\nu}(\kappa)$  and  $T_{\mu\nu}^*(\kappa)$  are bounded operators on  $M_{\alpha}$ ,  $\alpha = -1, 0, 1$  and  $(T_{\mu\nu}(\kappa)f)^{\tilde{}} = T_{\mu\nu}(\kappa)\tilde{f}$ .



(2) Put  $D_{ij}^{-1}(0, \kappa) \equiv D_{\pm ij}^{-1}(0, \kappa)$  and let  $f \in M_{-1}$ . Then

$$\left\langle d_{\nu\alpha} \frac{Q_i(\kappa)}{\sqrt{\omega^3}}, \frac{1}{\sqrt{\omega}} T_{\mu\nu}(\kappa) f \right\rangle_{L^2(\mathbb{R}^d)} = \left\langle d_{\mu\alpha} \sum_{j=1}^N D_{ij}^{-1}(0, \kappa) \frac{\hat{\rho}_j}{\sqrt{\omega^3}}, \frac{f}{\sqrt{\omega}} \right\rangle_{L^2(\mathbb{R}^d)}, \quad i = 1, \dots, N.$$

(3)  $[\omega^2, T_{\mu\nu}^*(\kappa)] = -\sum_{i=1}^N \frac{1}{\kappa^3} \frac{e^2}{c^2} \langle Q_i(\kappa), \cdot \rangle_{L^2(\mathbb{R}^d)} d_{\mu\nu} \hat{\rho}_i$ .

(4)  $T_{\mu\nu}(\kappa) \hat{\rho}_j = \delta_{\mu\nu} \frac{m}{\kappa^2} Q_j(\kappa)$ .

(5)  $T_{\mu\nu}^*(\kappa) d_{\nu\alpha} T_{\alpha\beta}(\kappa) = d_{\mu\beta}$ .

(6)  $e_\mu^r T_{\mu\nu}(\kappa) d_{\nu\alpha} T_{\alpha\beta}(\kappa) e_\beta^s = \delta_{rs}$ .

*Proof:* See Appendix. □

In the rest of this section, we fix sufficiently large  $\kappa > 0$  and omit  $\kappa$  in notations for simplicity. Define  $\hat{A}_\mu(f) = A_\mu(\hat{f})$  and  $\hat{\Pi}_\mu(f) = \Pi_\mu(\hat{f})$ . We put

$$\begin{aligned} B^{(r)}(f, p) &= \frac{1}{\sqrt{2}} \left\{ \hat{A}_\mu \left( \frac{1}{\sqrt{\hbar}} T_{\mu\nu}^* e_\nu^r \sqrt{c\omega} f \right) + i \hat{\Pi}_\mu \left( \frac{1}{\sqrt{\hbar}} T_{\mu\nu}^* e_\nu^r \frac{f}{\sqrt{c\omega}} \right) \right. \\ &\quad \left. + \sum_{j=1}^N \hbar p_\nu^j \left\langle \frac{e}{\sqrt{\hbar}} \frac{Q_j e_\nu^r}{(c\omega)^{\frac{3}{2}}}, f \right\rangle_{L^2(\mathbb{R}^d)} \right\}, \quad f \in M_0, \\ B^{\dagger(r)}(f, p) &= \frac{1}{\sqrt{2}} \left\{ \hat{A}_\mu \left( \frac{1}{\sqrt{\hbar}} \bar{T}_{\mu\nu}^* \bar{e}_\nu^r \sqrt{c\omega} \tilde{f} \right) - i \hat{\Pi}_\mu \left( \frac{1}{\sqrt{\hbar}} \bar{T}_{\mu\nu}^* \bar{e}_\nu^r \frac{\tilde{f}}{\sqrt{c\omega}} \right) \right. \\ &\quad \left. + \sum_{j=1}^N \hbar p_\nu^j \left\langle \frac{e}{\sqrt{\hbar}} \frac{\bar{Q}_j e_\nu^r}{(c\omega)^{\frac{3}{2}}}, f \right\rangle_{L^2(\mathbb{R}^d)} \right\}, \quad f \in M_0, p = (p^1, \dots, p^N) \in \mathbb{R}^{dN}. \end{aligned}$$

By the definition of  $A_\mu(f)$  and  $\Pi_\mu(f)$ , for the vector of the form  $\mathbf{f} = f_1 \oplus \dots \oplus f_{d-1} \in \mathcal{W}$ , we see that for  $p = (p^1, \dots, p^N) \in \mathbb{R}^{dN}$

$$\begin{aligned} B(\mathbf{f}, p) &\equiv \sum_{r=1}^{d-1} B^{(r)}(f_r, p) = a^\dagger(\mathbf{W}_-\mathbf{f}) + a(\mathbf{W}_+\mathbf{f}) + \sum_{j=1}^N \langle \mathbf{L}_j p^j, \mathbf{f} \rangle_{L^2(\mathbb{R}^d)}, \\ B^\dagger(\mathbf{f}, p) &\equiv \sum_{r=1}^{d-1} B^{\dagger(r)}(f_r, p) = a^\dagger(\bar{\mathbf{W}}_+\mathbf{f}) + a(\bar{\mathbf{W}}_-\mathbf{f}) + \sum_{j=1}^N \langle \bar{\mathbf{L}}_j p^j, \mathbf{f} \rangle_{L^2(\mathbb{R}^d)}, \quad (2.9) \end{aligned}$$

where

$$\mathbf{W}_\pm = (W_\pm^{(r,s)})_{1 \leq r,s \leq d-1},$$

$$\mathbf{L}_j = (L_{\mu j}^r)_{1 \leq \mu \leq d, 1 \leq r \leq d-1} = \begin{pmatrix} L_{1j}^1 & \cdots & L_{dj}^1 \\ \vdots & & \vdots \\ L_{1j}^{d-1} & \cdots & L_{dj}^{d-1} \end{pmatrix}, j = 1, \dots, N,$$

where

$$W_+^{(r,s)} f = \frac{1}{2} \left( \frac{1}{\sqrt{\omega}} e_\mu^r T_{\mu\nu}^* e_\nu^s \sqrt{\omega} + \sqrt{\omega} e_\mu^r T_{\mu\nu}^* e_\nu^s \frac{1}{\sqrt{\omega}} \right) f,$$

$$W_-^{(r,s)} f = \frac{1}{2} \left( \frac{1}{\sqrt{\omega}} e_\mu^r T_{\mu\nu}^* \tilde{e}_\nu^s \sqrt{\omega} - \sqrt{\omega} e_\mu^r T_{\mu\nu}^* \tilde{e}_\nu^s \frac{1}{\sqrt{\omega}} \right) \tilde{f},$$

$$L_{\mu j}^r = \frac{e\sqrt{\hbar} e_\mu^r Q_j}{\sqrt{2c^3 \omega^3}}.$$

We see that, by Lemma 2.6 (1),  $\mathbf{W}_\pm$  is a bounded operator on  $\mathcal{W}$ . By virtue of Lemma 2.6 (5) and (6), one can easily see that  $\mathbf{W}_\pm$  satisfy the following algebraic relations:

$$\begin{aligned} \mathbf{W}_+^* \mathbf{W}_+ - \mathbf{W}_-^* \mathbf{W}_- &= I, \\ \overline{\mathbf{W}}_+^* \mathbf{W}_- - \overline{\mathbf{W}}_-^* \mathbf{W}_+ &= 0, \\ \mathbf{W}_+ \mathbf{W}_+^* - \overline{\mathbf{W}}_- \overline{\mathbf{W}}_-^* &= I, \\ \mathbf{W}_- \mathbf{W}_+^* - \overline{\mathbf{W}}_+ \overline{\mathbf{W}}_-^* &= 0. \end{aligned} \tag{2.10}$$

We put  $\mathcal{W}_\alpha = \underbrace{M_\alpha \oplus \dots \oplus M_\alpha}_{d-1}$ ,  $\alpha \in \mathbb{R}$ . These relations (2.10) imply that on  $\mathcal{F}^\infty(\mathcal{W})$  for  $\mathbf{f}, \mathbf{g} \in \mathcal{W}_0$ ,

$$\begin{aligned} [B(\mathbf{f}, p), B^\dagger(\mathbf{g}, p)] &= \langle \mathbf{f}, \mathbf{g} \rangle_{\mathcal{W}}, \\ [B^\sharp(\mathbf{f}, p), B^\sharp(\mathbf{g}, p)] &= 0, \end{aligned}$$

and for  $\Phi, \Psi \in \mathcal{F}^\infty(\mathcal{W})$ ,

$$\langle B^\dagger(\mathbf{f}, p)\Phi, \Psi \rangle_{\mathcal{F}(\mathcal{W})} = \langle \Phi, B(\bar{\mathbf{f}}, p)\Psi \rangle_{\mathcal{F}(\mathcal{W})}.$$

**Lemma 2.7** For  $\mathbf{f} \in \mathcal{W}_0 \cap \mathcal{W}_2$ ,  $p \in \mathbb{R}^{dN}$  and  $\bar{\rho} \in \tilde{P}$ , we have

$$[H_{\bar{\rho}}(p), B^\sharp(\mathbf{f}, p)] = \pm B^\sharp(\hbar c \tilde{\omega} \mathbf{f}, p), \text{ on } \mathcal{F}^\infty(\mathcal{W}) \cap D(H_b^{\frac{3}{2}}), \quad (2.11)$$

where  $+$  (resp.  $-$ ) corresponds to  $B^\dagger$  (resp.  $B$ ).

*Proof:* Suppose that  $\mathbf{f} \in \mathcal{W}_{-2} \cap \mathcal{W}_0 \cap \mathcal{W}_2$ . Then by Lemma 2.6 (3) and (4), one can directly see that (2.11) holds. Next by a limiting argument, one can get (2.11) for  $\mathbf{f} \in \mathcal{W}_0 \cap \mathcal{W}_2$ .  $\square$

Define

$$\begin{aligned} A(\mathbf{f}, p) &\equiv \frac{1}{\sqrt{2}} (B^\dagger(\mathbf{f}, p) + B(\bar{\mathbf{f}}, p)), \\ \Pi(\mathbf{f}, p) &\equiv \frac{i}{\sqrt{2}} (B^\dagger(\mathbf{f}, p) - B(\bar{\mathbf{f}}, p)), \quad \mathbf{f} \in \mathcal{W}_0. \end{aligned}$$

We can easily see that the operators  $A(\mathbf{f}, p)|_{\mathcal{F}^\infty(\mathcal{W})}$  and  $\Pi(\mathbf{f}, p)|_{\mathcal{F}^\infty(\mathcal{W})}$  are essentially self-adjoint by the Nelson analytic vector theorem [10, Theorem X.39]. We denote the self-adjoint extensions by the same symbols.

**Theorem 2.8** Suppose  $\bar{\rho} \in \tilde{P}$ . Then for  $\mathbf{f} \in \mathcal{W}_0$

$$\exp\left(\frac{t}{\hbar} H_{\bar{\rho}}(p)\right) A(\mathbf{f}, p) \exp\left(-\frac{t}{\hbar} H_{\bar{\rho}}(p)\right) = A(e^{i c \tilde{\omega} t} \mathbf{f}, p), \quad (2.12)$$

$$\exp\left(\frac{t}{\hbar} H_{\bar{\rho}}(p)\right) \Pi(\mathbf{f}, p) \exp\left(-\frac{t}{\hbar} H_{\bar{\rho}}(p)\right) = \Pi(e^{i c \tilde{\omega} t} \mathbf{f}, p). \quad (2.13)$$

*Proof:* We only show an outline of the proof. For simplicity, put  $A(e^{i c \tilde{\omega} t} \mathbf{f}, p) = A(\mathbf{f}, p, t)$ . Let  $C^\infty(H_b) = \bigcap_{n=1}^\infty D(H_b^n)$ . We can easily see that, by Lemma 2.7,  $\langle e^{iA(\mathbf{f}, p, t)} \Psi, \Phi \rangle$ ,  $\Psi, \Phi \in C^\infty(H_b) \cap \mathcal{F}^\infty(\mathcal{W})$ ,  $\mathbf{f} \in \mathcal{W}_{-2} \cap \mathcal{W}_0 \cap \mathcal{W}_2$ , is differentiable in  $t$  with

$$\frac{d}{dt} \langle e^{iA(\mathbf{f}, p, t)} \Psi, \Phi \rangle_{\mathcal{F}(\mathcal{W})} = \left\langle \frac{i}{\hbar} e^{iA(\mathbf{f}, p, t)} \Psi, H_{\bar{\rho}}(p) \Phi \right\rangle_{\mathcal{F}(\mathcal{W})} - \left\langle \frac{i}{\hbar} H_{\bar{\rho}}(p) \Psi, e^{-iA(\mathbf{f}, p, t)} \Phi \right\rangle_{\mathcal{F}(\mathcal{W})} \quad (2.14)$$

From (2.14) it follows that

$$\frac{d}{dt} \langle e^{-i \frac{t}{\hbar} H_{\bar{\rho}}(p)} e^{iA(\mathbf{f}, p, t)} e^{i \frac{t}{\hbar} H_{\bar{\rho}}(p)} \Psi, \Phi \rangle_{\mathcal{F}(\mathcal{W})} = 0, \quad \Psi, \Phi \in D(H_b), \mathbf{f} \in \mathcal{W}_0.$$

Hence

$$e^{isA(\mathbf{f},p,0)} = e^{i\frac{t}{\hbar}H_{\vec{\rho}}(p)} e^{isA(\mathbf{f},p,t)} e^{-i\frac{t}{\hbar}H_{\vec{\rho}}(p)}, \quad \text{on } C^\infty(H_b) \cap \mathcal{F}^\infty(\mathcal{W}). \quad (2.15)$$

By a limiting argument, one can see that (2.15) holds for  $\Phi, \Psi \in D(H_b), \mathbf{f} \in \mathcal{W}_0$ . Since the both sides of (2.15) are one parameter unitary groups in  $s \in \mathbb{R}$ , Stone's theorem yields (2.12). (2.13) is quite similar to (2.12). Thus we get the desired result.  $\square$

For  $t \in \mathbb{R}$ , we define operators in  $\mathcal{F}(\mathcal{W})$  by

$$A_\mu(f, t|p) = \frac{1}{\sqrt{2}} \sum_{r=1}^{d-1} \left\{ B^{\dagger(r)} \left( \frac{\sqrt{\hbar}}{\sqrt{c\omega}} e^{ic\omega t} e_\nu^r \bar{T}_{\mu\nu} \hat{f}, p \right) + B^{(r)} \left( \frac{\sqrt{\hbar}}{\sqrt{c\omega}} e^{-ic\omega t} e_\nu^r T_{\mu\nu} \tilde{\hat{f}}, p \right) \right\} \\ - e \sum_{i,j=1}^N \hbar p_\nu^i \left\langle d_{\mu\nu} D_{ij}^{-1}(0) \frac{\hat{\rho}_j}{\sqrt{(c\omega)^3}}, \frac{\hat{f}}{\sqrt{c\omega}} \right\rangle_{L^2(\mathbb{R}^d)}, \quad \hat{f} \in M_{-1}, \mu = 1, \dots, d.$$

Form Lemma 2.5 (2) (5) and (6) it follows that

$$A_\mu(f, 0|p) = A_\mu(f). \quad (2.16)$$

**Corollary 2.9** Suppose  $\vec{\rho} \in \tilde{P}$ . Then the operator  $A_\mu(f, t|p)$  is the Heisenberg field with

$$\exp \left( i \frac{t}{\hbar} H_{\vec{\rho}}(p) \right) A_\mu(f) \exp \left( -i \frac{t}{\hbar} H_{\vec{\rho}}(p) \right) = A_\mu(f, t|p). \quad (2.17)$$

*Proof:* It is enough to show (2.17) for a real-valued function  $f$ . For a real-valued function  $f$  such that  $\hat{f} \in M_{-1}$ , we can see that

$$A_\mu(f) = \frac{1}{\sqrt{2}} \sum_{r=1}^{d-1} \left\{ B^{\dagger(r)} \left( \frac{\sqrt{\hbar}}{\sqrt{c\omega}} e_\nu^r \bar{T}_{\mu\nu} \hat{f}, p \right) + B^{(r)} \left( \frac{\sqrt{\hbar}}{\sqrt{c\omega}} e_\nu^r T_{\mu\nu} \hat{f}, p \right) \right\} \\ - e \sum_{i,j=1}^N \hbar p_\nu^i \left\langle d_{\mu\nu} D_{ij}^{-1}(0) \frac{\hat{\rho}_j}{\sqrt{(c\omega)^3}}, \frac{\hat{f}}{\sqrt{c\omega}} \right\rangle.$$

Hence (2.17) follows from Theorem 2.8.  $\square$

**Corollary 2.10** Suppose  $\vec{\rho} \in \tilde{P}$ . Then for  $\Phi \in D(H_b)$ ,

$$\exp \left( i \frac{t}{\hbar} H_{\vec{\rho}}(p) \right) B^\dagger(\mathbf{f}, p) \exp \left( -i \frac{t}{\hbar} H_{\vec{\rho}}(p) \right) \Phi = B^\dagger(e^{ic\omega t} \mathbf{f}, p) \Phi$$

*Proof:* Note that  $D(B^\sharp(\mathbf{f}, p)) \subset D(H_b) = D(H_{\bar{p}}(p))$ . Thus from (2.12) and (2.13) it follows that on  $D(H_b)$

$$\begin{aligned} e^{i\frac{t}{\hbar}H_{\bar{p}}(p)}A(\mathbf{f}, p)e^{-i\frac{t}{\hbar}H_{\bar{p}}(p)} &= \frac{1}{\sqrt{2}} \left\{ e^{i\frac{t}{\hbar}H_{\bar{p}}(p)}B^\dagger(\mathbf{f}, p)e^{-i\frac{t}{\hbar}H_{\bar{p}}(p)} + e^{i\frac{t}{\hbar}H_{\bar{p}}(p)}B(\bar{\mathbf{f}}, p)e^{-i\frac{t}{\hbar}H_{\bar{p}}(p)} \right\}, \\ &= \frac{1}{\sqrt{2}} \left\{ B^\dagger(e^{i\tilde{c}\tilde{\omega}t}\mathbf{f}) + B(e^{-i\tilde{c}\tilde{\omega}t}\bar{\mathbf{f}}) \right\}, \\ e^{i\frac{t}{\hbar}H_{\bar{p}}(p)}\Pi(\mathbf{f}, p)e^{-i\frac{t}{\hbar}H_{\bar{p}}(p)} &= \frac{i}{\sqrt{2}} \left\{ e^{i\frac{t}{\hbar}H_{\bar{p}}(p)}B^\dagger(\mathbf{f}, p)e^{-i\frac{t}{\hbar}H_{\bar{p}}(p)} - e^{i\frac{t}{\hbar}H_{\bar{p}}(p)}B(\bar{\mathbf{f}}, p)e^{-i\frac{t}{\hbar}H_{\bar{p}}(p)} \right\} \\ &= \frac{i}{\sqrt{2}} \left\{ B^\dagger(e^{i\tilde{c}\tilde{\omega}t}\mathbf{f}) - B(e^{-i\tilde{c}\tilde{\omega}t}\bar{\mathbf{f}}) \right\}. \end{aligned}$$

Thus the corollary follows. □

### 3 BOGOLIUBOV TRANSFORMATIONS AND SCALING LIMITS

In this section, we construct a unitary operator which implements a unitary equivalence of the Pauli-Fierz Hamiltonian and a decoupled Hamiltonian. Moreover we investigate a scaling limit of the Pauli-Fierz Hamiltonian. Unless otherwise stated in this section, we suppose that  $\kappa > 0$  is sufficiently large. Since the bounded operators  $W_-^{r,s}(\kappa)$  have integral kernels

$$W_-^{(r,s)}(k, k', \kappa) = \frac{1}{\kappa^3} \frac{e^2}{c^2} \frac{e_\mu^r(k) e_\mu^s(k') \sum_{j=1}^N \hat{\rho}_j(k) \bar{Q}_j(k', \kappa)}{2(|k| + |k'|)(|k||k'|)^{\frac{1}{2}}},$$

such that  $W_-^{(r,s)}(\kappa) \in L^2(\mathbb{R}^d \times \mathbb{R}^d)$ , the operator  $\mathbf{W}_-(\kappa)$  is a Hilbert Schmidt operator on  $\mathcal{W}$ . Then from (2.9) and (2.10) it follows that there exist two unitary operators  $U(\kappa)$  ( $p$  independent) and  $S(p, \kappa)$  such that ([6, Section III])

$$U^{-1}(\kappa)S(p, \kappa)^{-1}B^\sharp(\mathbf{f}, p, \kappa)S(p, \kappa)U(\kappa) = a^\sharp(\mathbf{f}), \quad \mathbf{f} \in \mathcal{W}. \quad (3.1)$$

Concretely  $S(p, \kappa)$  is given by

$$S(p, \kappa) = \exp \left( \sum_{i,j=1}^N \frac{e\hbar}{\kappa^2} p_\mu^i \left\{ a \left( \bigoplus_{r=1}^{d-1} \frac{e_\mu^r D_{ij}^{-1}(0, \kappa) \hat{\rho}_j}{\sqrt{2\hbar c^3 \omega^3}} \right) - a^\dagger \left( \bigoplus_{r=1}^{d-1} \frac{e_\mu^r D_{ij}^{-1}(0, \kappa) \hat{\rho}_j}{\sqrt{2\hbar c^3 \omega^3}} \right) \right\} \right).$$

**Theorem 3.1** Suppose  $\tilde{p} \in \tilde{P}$ . Then putting  $S(p, \kappa)U(\kappa) = \mathcal{U}(p, \kappa)$ , we see that  $\mathcal{U}(p, \kappa)$  maps  $D(H_b)$  onto itself with

$$\mathcal{U}(p, \kappa)H_{\tilde{p}}(p, \kappa)\mathcal{U}^{-1}(p, \kappa) = \kappa H_b + E(p, \kappa), \quad (3.2)$$

where

$$\begin{aligned} E(p, \kappa) &= \frac{\hbar^2}{2m} \sum_{i=1}^N \sum_{\mu=1}^d \left( \kappa p_\mu^i + \kappa \tilde{p}_\mu^i(\kappa) \right)^2 + \square(\kappa), \\ \tilde{p}_\mu^i(\kappa) &= \sum_{j=1}^N p_\nu^j \Delta_{\nu\mu}^{ji}(\kappa), \\ \Delta_{\nu\mu}^{ji}(\kappa) &= \frac{1}{\kappa^3} \frac{e^2}{2c^2} \sum_{k=1}^N \sum_{r,s=1}^{d-1} \left\langle \frac{e_\nu^r D_{jk}^{-1}(0, \kappa) \hat{\rho}_k}{\sqrt{\omega^3}}, \left( I + \mathbf{W}_-(\kappa) \mathbf{W}_+^{-1}(\kappa) \right)^{(r,s)} \frac{e_\mu^s \hat{\rho}_i}{\sqrt{\omega}} \right\rangle_{L^2(\mathbb{R}^d)}, \\ \square(\kappa) &= \frac{e^2 \hbar}{4mc} \sum_{i=1}^N \sum_{r,s=1}^{d-1} \left\langle \frac{e_\mu^r \hat{\rho}_i}{\sqrt{\omega}}, \left( I - \mathbf{W}_-(\kappa) \mathbf{W}_+^{-1}(\kappa) \right)^{(r,s)} \frac{e_\mu^s \hat{\rho}_i}{\sqrt{\omega}} \right\rangle_{L^2(\mathbb{R}^d)}. \end{aligned}$$

*Proof:* For simplicity, we omit the symbol  $\kappa$ . Put  $\mathcal{U}(p)\Omega \equiv \Omega(p)$ . From [6, Proposition 2.4, Lemma 5.9] it follows that  $\Omega(p) \in D(H_b)$ . Then  $\Omega(p) \in D(B(\mathbf{f}, p))$ . By virtue of Corollary 2.10 and (3.1), we can see that for all  $\mathbf{f} \in \mathcal{W}$

$$B(\mathbf{f}, p) \exp\left(i \frac{t}{\hbar} H_{\tilde{p}}(p)\right) \Omega(p) = 0. \quad (3.3)$$

The equation (3.3) implies that there exists a positive constant  $E(p)$  such that

$$\exp\left(i \frac{t}{\hbar} H_{\tilde{p}}(p)\right) \Omega(p) = \exp\left(i \frac{t}{\hbar} E(p)\right) \Omega(p). \quad (3.4)$$

Hence from Corollary 2.10, (3.1), (3.4) and the denseness of

$$\mathcal{L} \left\{ B^\dagger(\mathbf{f}_1) \dots B^\dagger(\mathbf{f}_n) \Omega(p), \Omega(p) \mid \mathbf{f}_j \in \mathcal{W}, j = 1, \dots, n, n \geq 1 \right\},$$

one can get (3.2) (we refer to [6, Lemma 5.12]). Noting that ([6, Lemma 2.2])

$$a \left( \bigoplus_{r=1}^{d-1} \frac{\sqrt{\hbar} e_\mu^r \hat{\rho}_i}{\sqrt{2c\omega}} \right) \Omega(p) = \left\{ -\tilde{p}_\mu^i - a^\dagger \left( \bigoplus_{r=1}^{d-1} \sum_{s=1}^{d-1} \left( \mathbf{W}_- \mathbf{W}_+^{-1} \right)^{(r,s)} \frac{\sqrt{\hbar} e_\mu^s \hat{\rho}_i}{\sqrt{2c\omega}} \right) \right\} \Omega(p),$$

one can easily get  $E(p)$  by

$$E(p) = \frac{\langle H_{\bar{p}}(p)\Omega(p), \Omega \rangle_{\mathcal{F}(\mathcal{W})}}{\langle \Omega(p), \Omega \rangle_{\mathcal{F}(\mathcal{W})}}.$$

This completes the proof.  $\square$

The positive constant  $E(p, \kappa)$  can be rewritten as

$$E(p, \kappa) = \frac{\kappa^2 \hbar^2}{2m} p^2 + E^{REN}(p, \kappa) + \tilde{E}(p, \kappa),$$

where

$$\begin{aligned} \tilde{E}(p, \kappa) &= \frac{\kappa^2 \hbar^2}{2m} \sum_{i,j=1}^N \sum_{\mu,\nu=1}^d p_{\mu}^i b_{\mu\nu}^{ij}(\kappa) p_{\nu}^j, \\ b_{\mu\nu}^{ij}(\kappa) &= \sum_{k=1}^N \sum_{\alpha=1}^d \left( \frac{\Delta_{\nu\alpha}^{jk}(\kappa) + \overline{\Delta_{\nu\alpha}^{jk}(\kappa)}}{2} \right) \left( \frac{\Delta_{\mu\alpha}^{ik}(\kappa) + \overline{\Delta_{\mu\alpha}^{ik}(\kappa)}}{2} \right), \\ E^{REN}(p, \kappa) &= E(p, \kappa) - \frac{\kappa^2 \hbar^2}{2m} p^2 - \tilde{E}(p, \kappa). \end{aligned} \tag{3.5}$$

Let  $M(K)$  be the set of  $K \times K$  complex matrices. Note that since  $(b_{\mu\nu}^{ij}(\kappa))_{1 \leq i, j \leq N, 1 \leq \mu, \nu \leq d} \in M(N) \otimes M(d) \cong M(dN)$  is nonnegative and symmetric, we have  $\tilde{E}(p, \kappa) \geq 0$  for  $p \in \mathbb{R}^{dN}$ .

We define  $H_{\bar{p}}^{REN}(p, \kappa)$  and  $\tilde{H}_{\bar{p}}(p, \kappa)$  by

$$\begin{aligned} H_{\bar{p}}^{REN}(p, \kappa) &= -E^{REN}(p, \kappa) + \kappa H_b + \frac{1}{2m} \sum_{j=1}^N \sum_{\mu=1}^d \left( -2\kappa e \hbar p_{\mu}^j A_{\mu}(\rho_j) + e^2 A_{\mu}(\rho_j)^2 \right), \\ \tilde{H}_{\bar{p}}(p, \kappa) &= \tilde{E}(p, \kappa) + \kappa H_b. \end{aligned}$$

Then one can see that

$$\begin{aligned} H_{\bar{p}}^{REN}(\kappa) &\equiv \mathbf{F}^{-1} \left( \int_{\mathbb{R}^{dN}}^{\oplus} H_{\bar{p}}^{REN}(p, \kappa) dp \right) \mathbf{F} \\ &= -E^{REN}(D, \kappa) \otimes I + \kappa I \otimes H_b \\ &\quad + \frac{1}{2m} \sum_{j=1}^N \sum_{\mu=1}^d \left( -2\kappa e \hbar i D_{\mu}^j \otimes A_{\mu}(\rho_j) + e^2 I \otimes A_{\mu}(\rho_j)^2 \right), \\ \tilde{H}_{\bar{p}}(\kappa) &\equiv \mathbf{F}^{-1} \left( \int_{\mathbb{R}^{dN}}^{\oplus} \tilde{H}(p) dp \right) \mathbf{F} \\ &= \tilde{E}(D, \kappa) \otimes I + \kappa I \otimes H_b, \end{aligned}$$

where  $E^{REN}(D, \kappa)$  and  $\tilde{E}(D, \kappa)$  are pseudo differential operators on  $L^2(\mathbb{R}^{dN})$  with symbols  $E^{REN}(p, \kappa)$  and  $\tilde{E}(p, \kappa)$  respectively.

**Theorem 3.2** *Suppose  $\tilde{\rho} \in \tilde{P}$ . Then  $H_{\tilde{\rho}}^{REN}(\kappa)$  and  $\widetilde{H}_{\tilde{\rho}}(\kappa)$  are essentially self-adjoint on any core of  $H_0$  and bounded from below.*

*Proof:* By the definition of  $E^{REN}(D, \kappa)$  and  $\tilde{E}(D, \kappa)$ ,  $H_{\tilde{\rho}}^{REN}(\kappa)$  and  $\widetilde{H}_{\tilde{\rho}}(\kappa)$  are symmetric.

For  $f \in D(-\Delta)$ , there exist  $d_1(\kappa)$  and  $d_2(\kappa)$  such that

$$\begin{aligned} \|\tilde{E}(D, \kappa)f\|_{L^2(\mathbb{R}^{dN})} &\leq d_1(\kappa)\|-\Delta f\|_{L^2(\mathbb{R}^{dN})}, \\ \|E^{REN}(D, \kappa)f\|_{L^2(\mathbb{R}^{dN})} &\leq d_2(\kappa)\|-\Delta f\|_{L^2(\mathbb{R}^{dN})}. \end{aligned}$$

Hence, similar to the proof of Theorem 2.2, the Nelson commutator theorem yields desired results.  $\square$

**Remark 3.3** *Write*

$$E(p, \kappa) = \frac{\hbar^2 \kappa^2}{2m} p^2 + \sum_{\mu=1}^d \sum_{i=1}^N \frac{\hbar^2 \kappa^2}{m} p_{\mu}^i \tilde{p}_{\mu}^i(\kappa) + \sum_{\mu=1}^d \sum_{i=1}^N \frac{\hbar^2 \kappa^2}{2m} \tilde{p}_{\mu}^i(\kappa)^2 + \square(\kappa). \quad (3.6)$$

*Then the first and second terms on the right hand side of (3.6) diverge as  $\kappa \rightarrow \infty$  for  $p \neq 0$ , but the rest terms not. Actually we see that*

$$\begin{aligned} \lim_{\kappa \rightarrow \infty} \frac{\hbar^2 \kappa^2}{2m} \sum_{\mu=1}^d \sum_{i=1}^N \tilde{p}_{\mu}^i(\kappa)^2 &= \frac{1}{2m} \left( \frac{e^2}{2mc^2} \right) \left( \frac{d-1}{d} \right)^2 \sum_{\alpha=1}^d \sum_{k=1}^N \left( \sum_{j=1}^N \hbar p_{\alpha}^j \left\langle \frac{\hat{\rho}_j}{\sqrt{\omega^3}}, \frac{\hat{\rho}_k}{\sqrt{\omega}} \right\rangle_{L^2(\mathbb{R}^d)} \right)^2, \\ &\equiv E^{\infty}(p). \end{aligned}$$

*Then, by (3.2), concerning an asymptotic behavior of  $H_{\tilde{\rho}}(\kappa)$  as  $\kappa \rightarrow \infty$ , we should subtract the first and second terms in the right hand side of (3.6) from the original Hamiltonian  $H_{\tilde{\rho}}(\kappa)$ . However one can not say that  $\tilde{p}_{\mu}^i(\kappa)^2$  is real and nonnegative for any  $p \in \mathbb{R}^{dN}$ . To guarantee the nonnegative self-adjointness of the Hamiltonian  $H_{\tilde{\rho}}^{REN}(p, \kappa)$  with the divergence terms subtracted, we should define  $\tilde{E}(p, \kappa)$  such as (3.5). In this sense, we may say that the operator  $H_{\tilde{\rho}}^{REN}(\kappa)$  has an interpretation of the Hamiltonian  $H_{\tilde{\rho}}(\kappa)$  with the infinite self-energy of the nonrelativistic particles subtracted.*



We define

$$\mathcal{U}(\kappa) = \mathbf{F}^{-1} \left( \int_{\mathbb{R}^{dN}}^{\oplus} \mathcal{U}(\kappa, p) dp \right) \mathbf{F}.$$

Then we have the following theorem.

**Theorem 3.4** *Suppose that  $\vec{\rho} \in \tilde{P}$ . Then*

$$\begin{aligned} s - \lim_{\kappa \rightarrow \infty} \mathcal{U}(\kappa) &= \exp \left( \sum_{j=1}^N \frac{e\hbar}{m} D_{\mu}^j \otimes \left\{ a \left( \bigoplus_{r=1}^{d-1} \frac{e_{\mu}^r \hat{\rho}_j}{\sqrt{2\hbar c^3 \omega^3}} \right) - a^{\dagger} \left( \bigoplus_{r=1}^{d-1} \frac{e_{\mu}^r \hat{\rho}_j}{\sqrt{2\hbar c^3 \omega^3}} \right) \right\} \right), \\ &\equiv \mathcal{U}(\infty). \end{aligned} \quad (3.7)$$

*Proof:* From [6, Theorem 3.11] it follows (3.7).  $\square$

We take scalar potentials  $V$  to be real-valued measurable functions on  $\mathbb{R}^{dN}$  and put

$$C_{\kappa}(V) = \mathcal{U}^{-1}(\kappa)(V \otimes I)\mathcal{U}(\kappa), \quad C(V) = \mathcal{U}^{-1}(\infty)(V \otimes I)\mathcal{U}(\infty). \quad (3.8)$$

We introduce conditions **(V-1)** and **(V-2)** as follows.

**(V-1)** For sufficiently large  $\kappa > 0$ ,  $D(\tilde{E}(D, \kappa)) \subset D(V)$  and for  $\lambda > 0$ ,  $V(\tilde{E}(D, \kappa) + \lambda)^{-1}$  is bounded with

$$\lim_{\lambda \rightarrow \infty} \|V(\tilde{E}(D, \kappa) + \lambda)^{-1}\| = 0, \quad (3.9)$$

where the convergence is uniform in sufficiently large  $\kappa > 0$ .

**(V-2)** For  $\lambda > 0$ ,  $V(\tilde{E}(D, \kappa) + \lambda)^{-1}$  is strongly continuous in  $\kappa$  and

$$s - \lim_{\kappa \rightarrow \infty} V(\tilde{E}(D, \kappa) + \lambda)^{-1} = V(E^{\infty}(D) + \lambda)^{-1}.$$

The condition (3.9) yields that, by the Kato-Rellich theorem and commutativity of  $\mathcal{U}(\kappa)$  and  $(\tilde{E}(D, \kappa) + \lambda)^{-1}$ , operators  $\tilde{E}(D, \kappa) \otimes I + C_{\kappa}(V)$  are essentially self-adjoint on any core of  $D(\tilde{E}(D, \kappa) \otimes I)$  and uniformly bounded from below in sufficiently large  $\kappa > 0$ . Moreover since  $I \otimes H_b$  is nonnegative and commute with  $\tilde{E}(D, \kappa) \otimes I$ , one can see that

$$\tilde{H}_{\vec{\rho}}(V, \kappa) \equiv \tilde{E}(D, \kappa) \otimes I + C_{\kappa}(V) + \kappa I \otimes H_b$$

is essentially self-adjoint on any core of  $D(\tilde{E}(D, \kappa) \otimes I + \kappa I \otimes H_b)$  and uniformly bounded from below in sufficiently large  $\kappa > 0$ . In particular,  $D(H_0)$  is a core of  $\widetilde{H}_{\tilde{\rho}}(V, \kappa)$ . Put

$$H_{\tilde{\rho}}^{REN}(V, \kappa) \equiv H_{\tilde{\rho}}^{REN}(\kappa) + V \otimes I.$$

**Theorem 3.5** *Let  $\tilde{\rho} \in \tilde{P}$ . Suppose that  $V$  satisfies **(V-1)** and **(V-2)**. Then, for sufficiently large  $\kappa > 0$ , the operator  $H_{\tilde{\rho}}^{REN}(V, \kappa)$  is essentially self-adjoint on  $D(H_0)$  and bounded from below uniformly in sufficiently large  $\kappa > 0$ . Moreover the unitary operator  $\mathcal{U}(\kappa)$  maps  $D(H_0)$  onto itself and for  $z \in \mathbb{C} \setminus \mathbb{R}$  or  $z < 0$  with  $|z|$  sufficiently large,*

$$(H_{\tilde{\rho}}^{REN}(V, \kappa) - z)^{-1} = \mathcal{U}(\kappa) (\widetilde{H}_{\tilde{\rho}}(V, \kappa) - z)^{-1} \mathcal{U}^{-1}(\kappa). \quad (3.10)$$

*Proof:* Since  $\mathcal{U}(\kappa)$  maps  $D(I \otimes H_b)$  onto itself (see Theorem 3.1) and  $-\Delta \otimes I$  commutes with  $\mathcal{U}(\kappa)$  on  $D(-\Delta \otimes I)$ ,  $\mathcal{U}(\kappa)$  maps  $D(H_0)$  onto itself. Put

$$S_0^\infty(\mathbb{R}^{dN}) = \{f \in L^2(\mathbb{R}^{dN}) \mid \hat{f} \in C_0^\infty(\mathbb{R}^{dN})\}.$$

At first, by Theorem 3.1, we see that for  $\Phi \in S_0^\infty(\mathbb{R}^{dN}) \hat{\otimes} D(H_b)$ ,

$$H_{\tilde{\rho}}^{REN}(V, \kappa)\Phi = \mathcal{U}(\kappa) \widetilde{H}_{\tilde{\rho}}(V, \kappa) \mathcal{U}^{-1}(\kappa)\Phi. \quad (3.11)$$

By a limiting argument we can extend (3.11) to  $\Phi \in D(H_0)$ . Since  $D(H_0)$  is a core of  $\widetilde{H}_{\tilde{\rho}}(V, \kappa)$  and  $\mathcal{U}(\kappa)$  maps  $D(H_0)$  onto itself, the right hand side of (3.11) is essentially self-adjoint on  $D(H_0)$ . So is the left hand side of (3.11). (3.10) can be easily shown.  $\square$

We want to consider a scaling limit of  $H_{\tilde{\rho}}^{REN}(V, \kappa)$  as  $\kappa \rightarrow \infty$ . In [5], a general theory of the strong resolvent limit of self-adjoint operators including abstract versions like as the self-adjoint operator  $\widetilde{H}_{\tilde{\rho}}(V, \kappa)$  has been established. We shall apply the theory in [5] with a little modification. Let  $V$  satisfy **(V-1)**. Then since  $D(C(V)) \supset D(-\Delta) \hat{\otimes} D(H_b)$ , one can define, for  $\Phi \in \mathcal{F}(\mathcal{W})$  and  $\Psi \in D(H_b)$ , a symmetric operator  $E_{\Phi, \Psi}(C(V))$  with  $D(E_{\Phi, \Psi}(C(V))) = D(-\Delta)$  by

$$\langle f, E_{\Phi, \Psi}(C(V))g \rangle_{L^2(\mathbb{R}^{dN})} = \langle f \otimes \Phi, C(V)(g \otimes \Psi) \rangle_{\mathcal{F}}, \quad f \in L^2(\mathbb{R}^{dN}), g \in D(-\Delta).$$

In particular, we call  $E_{\Omega, \Omega}(C(V)) \equiv E_{\Omega}(C(V))$  “the partial expectation of  $C(V)$  with respect to  $\Omega$ ” ([5, Section II]).

**Theorem 3.6** *Let  $\vec{\rho} \in \tilde{P}$ . Suppose that  $V$  satisfies the conditions (V-1) and (V-2). Then for  $z \in \mathbb{C} \setminus \mathbb{R}$  or  $z < 0$  with  $|z|$  sufficiently large,*

$$s - \lim_{\kappa \rightarrow \infty} (H_{\vec{\rho}}^{REN}(V, \kappa) - z)^{-1} = \mathcal{U}(\infty) \left\{ (E^{\infty}(D) + E_{\Omega}(C(V)) - z)^{-1} \otimes P_0 \right\} \mathcal{U}^{-1}(\infty), \quad (3.12)$$

where  $P_0$  is the projection from  $\mathcal{F}(\mathcal{W})$  to the one dimensional subspace  $\{\alpha\Omega | \alpha \in \mathbb{C}\}$ .

*Proof:* By (V-1) and (V-2), we see that

(V-1)' For sufficiently large  $\kappa > 0$ ,  $D(\tilde{E}(D, \kappa)) \subset D(C_{\kappa}(V))$  and for  $\lambda > 0$ ,

$C_{\kappa}(V)(\tilde{E}(D, \kappa) + \lambda)^{-1}$  is bounded with

$$\lim_{\lambda \rightarrow \infty} \|C_{\kappa}(V)(\tilde{E}(D, \kappa) + \lambda)^{-1}\| = 0,$$

where the convergence is uniform in sufficiently large  $\kappa > 0$ .

(V-2)' For  $\lambda > 0$ ,  $C_{\kappa}(V)(\tilde{E}(D, \kappa) + \lambda)^{-1}$  is strongly continuous in  $\kappa$  and

$$s - \lim_{\kappa \rightarrow \infty} C_{\kappa}(V)(\tilde{E}(D, \kappa) + \lambda)^{-1} = C(V)(E^{\infty}(D) + \lambda)^{-1}.$$

From [5, Section II], (V-1)' and (V-2)' imply that

$$s - \lim_{\kappa \rightarrow \infty} (\widetilde{H}_{\vec{\rho}}(V, \kappa) - z)^{-1} = (E^{\infty}(D) + E_{\Omega}(C(V)) - z)^{-1} \otimes P_0.$$

Thus by Theorems 3.4 and 3.5, we get (3.12).  $\square$

We want to see  $E_{\Omega}(C(V))$  more explicitly. For  $\vec{\rho} \in \tilde{P}$ , let  $\tilde{\Delta}^{\infty} = (\tilde{\Delta}_{ij}^{\infty})_{1 \leq i, j \leq d}$ , where  $\tilde{\Delta}_{ij}^{\infty}$  is defined in (1.3). Let  $\mathbf{I}_{d \times d}$  denote  $d \times d$ -identity matrix. Since  $\Delta^{\infty} \equiv \tilde{\Delta}^{\infty} \otimes \mathbf{I}_{d \times d} \in$

$M(N) \otimes N(d) \cong M(dN)$  is a nonnegative symmetric matrix, there exist unitary matrices  $\mathbf{T} \in M(dN)$  so that

$$\mathbf{T}\Delta^\infty\mathbf{T}^{-1} = \begin{pmatrix} \lambda_1\mathbf{I}_{d \times d} & & & \\ & \lambda_2\mathbf{I}_{d \times d} & & \\ & & \ddots & \\ & & & \lambda_N\mathbf{I}_{d \times d} \end{pmatrix}, \quad (3.13)$$

where  $\lambda_1 \geq \lambda_2 \dots \geq \lambda_N \geq 0$ .

**Theorem 3.7** Suppose  $\lambda_1 \geq \lambda_2 \dots \geq \lambda_K > 0$ ,  $\lambda_{K+1} = \dots = \lambda_N = 0$  and fix a unitary operator  $\mathbf{T}$  in (3.13). Let  $x = (x_1, \dots, x_N)$ ,  $x_j \in \mathbb{R}^d$ ,  $j = 1, \dots, N$  and  $V$  satisfy

$$\int_{\mathbb{R}^{dK}} dy_1 \dots dy_K |V| \circ \mathbf{T}^{-1}(y_1, \dots, y_K, (\mathbf{T}x)_{K+1}, \dots, (\mathbf{T}x)_N) \exp\left(-\frac{\sum_{j=1}^K |(\mathbf{T}x)_j - y_j|^2}{2\lambda_1 \dots \lambda_K}\right) < \infty. \quad (3.14)$$

Moreover we suppose that the left hand side of (3.14) is locally bounded. Then the partial expectation  $E_\Omega(C(V))$  is given by a multiplication operator  $V_{eff}$ ;

$$V_{eff}(x) = (2\pi\lambda_1 \dots \lambda_K)^{-\frac{d}{2}} \int_{\mathbb{R}^{dK}} dy_1 \dots dy_K V \circ \mathbf{T}^{-1}(y_1, \dots, y_K, (\mathbf{T}x)_{K+1}, \dots, (\mathbf{T}x)_N) \\ \times \exp\left(-\frac{\sum_{j=1}^K |(\mathbf{T}x)_j - y_j|^2}{2\lambda_1 \dots \lambda_K}\right).$$

In particular, in the case where  $\tilde{\Delta}^\infty$  is non-degenerate,  $V_{eff}$  is given by

$$V_{eff}(x) = (2\pi \det \tilde{\Delta}^\infty)^{-\frac{d}{2}} \int_{\mathbb{R}^{dN}} V(y) \exp\left(-\frac{|x - y|^2}{2 \det \tilde{\Delta}^\infty}\right) dy.$$

*Proof:* Suppose  $V \in \mathcal{S}(\mathbb{R}^{dN})$ , which is the set of the rapidly decreasing infinitely continuously differentiable functions on  $\mathbb{R}^{dN}$ . Then the direct calculation shows that for  $f, g \in L^2(\mathbb{R}^{dN})$

$$\langle f, E_\Omega(C(V))g \rangle_{L^2(\mathbb{R}^{dN})} = \langle f, V_{eff}g \rangle_{L^2(\mathbb{R}^{dN})}. \quad (3.15)$$

We next consider the case where  $V$  is bounded. In this case we can approximate  $V$  by a sequence  $\{V_n\}_{n=1}^\infty$ ,  $V_n \in \mathcal{S}(\mathbb{R}^{dN})$ , such that

$$\|V - V_n\|_\infty \rightarrow 0 \quad (n \rightarrow \infty),$$

where  $\|\cdot\|_\infty$  denotes the sup norm. Then we have

$$E_\Omega(C(V_n)) \rightarrow E_\Omega(C(V)) \quad (n \rightarrow \infty),$$

strongly. Moreover  $(V_n)_{eff}(x) \rightarrow V_{eff}(x)$  for all  $x \in \mathbb{R}^{dN}$ . Thus for  $f, g \in L^2(\mathbb{R}^{dN})$ , (3.15) follows for such  $V$ . Finally, let  $V$  satisfy (3.14). Define

$$V_n = \begin{cases} V(x) & |V(x)| \leq n, \\ n & |V(x)| > n. \end{cases}$$

Hence for  $f \in L^2(\mathbb{R}^{dN})$  and  $g \in D(-\Delta)$ , we have

$$\langle f, E_\Omega(C(V_n))g \rangle_{L^2(\mathbb{R}^{dN})} \rightarrow \langle f, E_\Omega(C(V))g \rangle_{L^2(\mathbb{R}^{dN})} \quad (n \rightarrow \infty).$$

On the other hand, since the left hand side of (3.14) is locally bounded, we can see that for  $f \in C_0^\infty(\mathbb{R}^{dN})$  and  $g \in D(-\Delta)$ ,

$$\langle f, (V_n)_{eff}g \rangle_{L^2(\mathbb{R}^{dN})} \rightarrow \langle f, V_{eff}g \rangle_{L^2(\mathbb{R}^{dN})} \quad (n \rightarrow \infty),$$

which completes the proof. □

**Remark 3.8** *In Theorem 3.7, in the case where  $\tilde{\Delta}^\infty$  is non-degenerate, since the left hand side of (3.14) is continuous in  $x \in \mathbb{R}^{dN}$ , it is necessarily locally bounded.*

We call  $V_{eff}$  “the effective potential with respect to  $V$ ”. We give some examples of scalar potentials  $V$  and ultraviolet cut off functions  $\rho$ .

**Example 3.9** ([non-degenerate case]) *Let*

$$\tilde{\Delta}_{ij}^\infty = \delta_{ij} \frac{1}{2} \frac{d-1}{d} \left( \frac{\hbar}{mc} \right)^2 \frac{e^2}{\hbar c} \int_{\mathbb{R}^d} dk \frac{\hat{\rho}_i(k)^2}{\omega(k)^3}.$$

*Then there exist positive constants  $\delta_1$  and  $\delta_2$  such that for sufficiently large  $\kappa > 0$*

$$\delta_1 |p|^2 \leq \tilde{E}(p, \kappa) \leq \delta_2 |p|^2. \quad (3.16)$$

Let  $d = 3$  and  $V$  be the Coulomb potential;

$$V(x_1, \dots, x_N) = -\sum_{j=1}^N \frac{\alpha_j}{|x_j|} + \sum_{i \neq j} \frac{\beta_{ij}}{|x_i - x_j|}, \quad \alpha_j \geq 0, \beta_{ij} \geq 0.$$

Then  $V$  is the Kato class potential ([10], Theorem X.16). Namely for any  $\epsilon > 0$ , there exists  $b \geq 0$  such that  $D(V) \supset D(-\Delta)$  and

$$\|V\Phi\|_{L^2(\mathbb{R}^{3N})} \leq \epsilon \|-\Delta\Phi\|_{L^2(\mathbb{R}^{3N})} + b \|\Phi\|_{L^2(\mathbb{R}^{3N})}. \quad (3.17)$$

Together with (3.16) and (3.17), one can see that  $V$  satisfies  $(\mathbf{V} - 1)$ ,  $(\mathbf{V} - 2)$  and for any  $t > 0$

$$\int_{\mathbb{R}^{3d}} |V|(y) e^{-t|x-y|^2} dy < \infty.$$

Then the scaling limit of the Pauli-Fierz Hamiltonian with the Coulomb potential exists and has the effective potential given by

$$V_{eff}(x) = (2\pi\gamma)^{-\frac{3}{2}} \int_{\mathbb{R}^{3N}} V(y) e^{-\frac{|x-y|^2}{2\gamma}} dy,$$

$$\gamma = \left\{ \frac{1}{3} \left( \frac{\hbar}{mc} \right)^2 \frac{e^2}{\hbar c} \right\}^N \prod_{j=1}^N \left( \int_{\mathbb{R}^3} dk \frac{\hat{\rho}_j^2(k)}{\omega(k)^3} \right).$$

Moreover

$$E^\infty(D) = -\frac{1}{2m} \left( \frac{e^2}{2mc^2} \right) \left( \frac{d-1}{d} \right)^2 \otimes_{j=1}^N \left\| \frac{\hat{\rho}_j}{\omega} \right\|^4 \hbar^2 \Delta_j,$$

where  $\Delta_j$ ,  $j = 1, \dots, N$ , is the Laplacian in  $L^2(\mathbb{R}^d)$ .

**Example 3.10 ([non-degenerate case])** Let  $\tilde{\Delta}^\infty$  be non-degenerate and  $V$  be the Phillips perturbation with respect to  $-\Delta$  ([12]). Then (3.16) holds with some  $\delta_1$  and  $\delta_2$ . Hence  $V$  satisfies  $(\mathbf{V} - 1)$ ,  $(\mathbf{V} - 2)$  and for any  $t > 0$

$$\int_{\mathbb{R}^{dN}} |V|(y) e^{-t|x-y|^2} dy < \infty.$$

Hence the scaling limit of the Pauli-Fierz Hamiltonian with Phillips perturbation exists and has the effective potential in Theorem 3.7.

**Example 3.11** ([degenerate case]) *Let  $V$  be a real-valued bounded function. Then  $V$  satisfies the conditions  $(V - 1)$  and  $(V - 2)$ . Hence the scaling limit of the Pauli-Fierz Hamiltonian with the scalar potential  $V$  exists for all  $\vec{\rho} \in \tilde{P}$ .*

**Example 3.12** ([degenerate case]) *Let  $\rho_i = \rho$ ,  $i = 1, \dots, N$  and  $V$  satisfy  $(V - 1)$ ,  $(V - 2)$  and the assumption stated in Theorem 3.7. Then  $\text{rank } \tilde{\Delta}^\infty = 1$  and the non-zero eigenvalue  $C$  is given by*

$$C = \frac{N d - 1}{2} \frac{1}{d} \left( \frac{\hbar}{mc} \right)^2 \frac{e^2}{\hbar c} \int_{\mathbb{R}^d} dk \frac{\hat{\rho}(k)^2}{\omega(k)^3}.$$

*Thus the scaling limit of the Pauli-Fierz Hamiltonian with the ultraviolet cut-off function  $\rho$  exists and has the following effective potential:*

$$V_{eff}(x) = (2\pi C)^{-\frac{d}{2}} \int_{\mathbb{R}^d} dy_1 V \circ \mathbf{T}^{-1}(y_1, (\mathbf{T}x)_2, \dots, (\mathbf{T}x)_N) \exp\left(-\frac{|(\mathbf{T}x)_1 - y_1|^2}{2C}\right).$$

*Moreover*

$$E^\infty(D) = -\frac{1}{2m} \left( \frac{N^2 e^2}{2mc^2} \right) \left( \frac{d-1}{d} \right)^2 \left\| \frac{\hat{\rho}}{\omega} \right\|^4 \hbar^2 \Delta,$$

*where  $\Delta$  is the Laplacian in  $L^2(\mathbb{R}^{dN})$ .*

## 4 CONCLUDING REMARK

As is seen in Theorem 3.7, the effective potential  $V_{eff}$  is characterized by the matrix-valued functional  $\tilde{\Delta}^\infty = \tilde{\Delta}^\infty(\vec{\rho})$ , which has the following mathematical meaning; putting

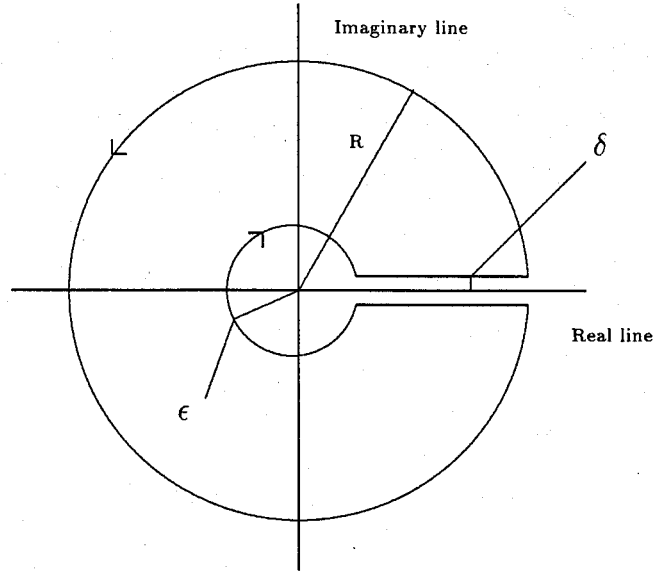
$$\mathcal{U}(\infty)(x_i \otimes I) \mathcal{U}^{-1}(\infty) - x_i \otimes I \equiv \Delta x_i, \quad i = 1, \dots, N,$$

we see that the partial expectation of  $\Delta x_i \Delta x_j$  with respect to  $\Omega$  is as follows;

$$E_\Omega[(\Delta x_i \Delta x_j)] = \tilde{\Delta}_{ij}^\infty(\vec{\rho}) I.$$

In one-nonrelativistic particle case, A.Arai [5] shows that the partial expectation  $E_{\Omega}[(\Delta x)^2]$  with respect to  $\Omega$  may be interpreted as the mean square fluctuation in position of one-nonrelativistic particle ([2]). In this sense,  $\tilde{\Delta}_{ij}^{\infty}(\vec{\rho})$  may also be interpreted as correlation of fluctuations in position of the  $i$ -th and the  $j$ -th nonrelativistic particles under the action of quantized radiation fields.



Figure 1: Cut Plane  $\mathbb{C}_{R, \delta, \epsilon}$ 

## 5 APPENDIX

In this Appendix we prove Lemma 2.6. For simplicity, in this proof, we omit  $\kappa$  in notations and put

$$\lambda = \frac{e^2}{c^2}, \quad \widehat{G} = \omega^{1-\frac{d}{2}} G \omega^{1-\frac{d}{2}}, \quad \widehat{G}_t = \omega^{1-\frac{d}{2}} G_t \omega^{1-\frac{d}{2}}, \quad t > 0.$$

(1) : This follows from the definition of  $T_{\mu\nu}$  and  $T_{\mu\nu}^*$ , and Definition 2.1 (4).

(2) : For  $f \in M_{-1}$ ,

$$\begin{aligned} \langle \omega^{-\frac{3}{2}} Q_i, \omega^{-\frac{1}{2}} d_{\nu\alpha} T_{\mu\nu} f \rangle_{L^2(\mathbb{R}^d)} &= \langle d_{\mu\alpha} \omega^{-\frac{3}{2}} Q_i, \omega^{-\frac{1}{2}} f \rangle_{L^2(\mathbb{R}^d)} \\ &\quad + \sum_{j=1}^N \langle d_{\nu\alpha} \omega^{-\frac{3}{2}} Q_i, \lambda \omega^{-\frac{1}{2}} Q_j \widehat{G} d_{\mu\nu} \hat{\rho}_j f \rangle_{L^2(\mathbb{R}^d)} \\ &= I + II \end{aligned}$$

Using (2.8), one can see that

$$II = \lim_{t \rightarrow 0} \lambda \sum_{j=1}^N \int \frac{\overline{Q_i}(k) Q_j(k) d_{\mu\nu}(k') d_{\nu\alpha}(k) \hat{\rho}_j(k') f(k')}{(k^2 - k'^2 + it) k^2} dk dk'$$

$$\begin{aligned}
&= \lim_{t \rightarrow 0} \lambda \sum_{j=1}^N \frac{d-1}{d} \mathbf{V}_d \int \frac{\sum_{k,l=1}^N D_{-ik}^{-1}(s) D_{+jl}^{-1}(s) \hat{\rho}_k(\sqrt{s}) \hat{\rho}_l(\sqrt{s}) s^{\frac{d}{2}-1} F_j(k')}{(s - k'^2 + it)s} ds dk' \\
&= \lim_{t \rightarrow 0} \frac{1}{2\pi i} \sum_{j=1}^N \int \frac{1}{(s - k'^2 + it)s} (D_{-ij}^{-1}(s) - D_{+ji}^{-1}(s)) F_j(k') ds dk',
\end{aligned}$$

where  $F_j(k') = d_{\mu\alpha}(k') \hat{\rho}_j(k') f(k')$ . Using the contour integral on the cut plane  $\mathbb{C}_{R,\delta,\epsilon}$  (Figure 1), by (2.5) and (2.6), we have

$$\begin{aligned}
&\frac{1}{2\pi i} \int_0^\infty \frac{1}{(s - k'^2 + it)s} (D_{-ij}^{-1}(s) - D_{+ji}^{-1}(s)) ds \\
&= \lim_{\epsilon \rightarrow 0} \frac{1}{2\pi i} \int_\epsilon^\infty \frac{1}{(s - k'^2 + it)s} (D_{-ij}^{-1}(s) - D_{+ji}^{-1}(s)) ds \\
&= \lim_{\epsilon \rightarrow 0} \lim_{R \rightarrow \infty, \delta \rightarrow 0} -\frac{1}{2\pi i} \int_{\mathbb{C}_{R,\delta,\epsilon}} \frac{D_{ij}^{-1}(z)}{(z - k'^2 + it)z} dz - \frac{D_{ij}^{-1}(0)}{-k'^2 + it} \\
&= -\frac{D_{ij}^{-1}(k'^2 - it)}{k'^2 - it} - \frac{D_{ij}^{-1}(0)}{-k'^2 + it}.
\end{aligned}$$

Then

$$\begin{aligned}
II &= \lim_{t \rightarrow 0} \sum_{j=1}^N \int \frac{F_j(k') D_{ij}^{-1}(0)}{k'^2 - it} - \frac{D_{ij}^{-1}(k'^2 - it) F_j(k')}{k'^2 - it} dk' \\
&= -\left\langle d_{\mu\alpha} \omega^{-\frac{3}{2}} Q_i, \omega^{-\frac{1}{2}} f \right\rangle_{L^2(\mathbb{R}^d)} + \left\langle d_{\mu\alpha} \omega^{-\frac{3}{2}} \sum_{j=1}^N D_{ij}^{-1}(0) \hat{\rho}_j, \omega^{-\frac{1}{2}} f \right\rangle_{L^2(\mathbb{R}^d)}.
\end{aligned}$$

Hence we get (2).

(3),(4) : They are direct calculations.

(5) : For  $f, g \in M_0$ ,

$$\begin{aligned}
\langle T_{\mu\nu}^* d_{\nu\alpha} T_{\alpha\beta} f, g \rangle_{L^2(\mathbb{R}^d)} &= \langle d_{\mu\beta} f, g \rangle_{L^2(\mathbb{R}^d)} + \lambda \sum_{j=1}^N \langle d_{\nu\beta} f, Q_i \hat{G} d_{\mu\nu} \hat{\rho}_i g \rangle_{L^2(\mathbb{R}^d)} \\
&\quad + \lambda \sum_{j=1}^N \langle d_{\mu\alpha} Q_j \hat{G} d_{\alpha\beta} \hat{\rho}_j f, g \rangle_{L^2(\mathbb{R}^d)} \\
&\quad + \lambda^2 \frac{d-1}{d} \sum_{i,j=1}^N \langle Q_i \hat{G} d_{\alpha\beta} \hat{\rho}_i f, Q_j \hat{G} d_{\mu\alpha} \hat{\rho}_j g \rangle_{L^2(\mathbb{R}^d)} \\
&= I + II + III + IV.
\end{aligned}$$

Then,

$$\begin{aligned} IV &= \lim_{t \rightarrow 0} \lambda^2 \sum_{i,j,k,l=1}^N \mathbf{V}_d \frac{d-1}{d} \int \frac{D_{-ik}^{-1}(s) D_{+jl}^{-1}(s) \hat{\rho}_k(\sqrt{s}) \hat{\rho}_l(\sqrt{s}) s^{\frac{d}{2}-1} F_{ij}(k', k'')}{(s - k'^2 - it)(s - k''^2 + it)} ds dk' dk'', \\ &\equiv \lim_{t \rightarrow 0} IV_t, \end{aligned}$$

where  $F_{ij}(k', k'') = d_{\mu\alpha}(k'') \hat{\rho}_j(k'') d_{\alpha\beta}(k') \hat{\rho}_i(k') \bar{f}(k') g(k'')$ . By using the cut plane integral method as in (2), we have

$$\begin{aligned} IV_t &= \sum_{i,j=1}^N \int \frac{-\lambda D_{ij}^{-1}(k' + it) F_{ij}(k', k'')}{k'^2 - k''^2 + 2it} + \frac{-\lambda D_{ij}^{-1}(k'' - it) F_{ij}(k', k'')}{k''^2 - k'^2 - 2it} dk' dk'' \\ &= -\lambda \sum_{i,j=1}^N \left\langle d_{\alpha\beta} f, D_{ji}^{-1}(\cdot + it) \hat{\rho}_i \hat{G}_{2t} d_{\mu\alpha} \hat{\rho}_j g \right\rangle_{L^2(\mathbb{R}^d)} \\ &\quad - \lambda \sum_{i,j=1}^N \left\langle d_{\mu\alpha} D_{ij}^{-1}(\cdot + it) \hat{\rho}_j \hat{G}_{2t} d_{\alpha\beta} \hat{\rho}_i f, g \right\rangle_{L^2(\mathbb{R}^d)}. \end{aligned}$$

By a limiting argument as  $t \rightarrow 0$ , we get

$$\lim_{t \rightarrow 0} IV_t = -II - III.$$

(6) : For  $f, g \in M_0$ ,

$$\begin{aligned} \left\langle e_\mu^r T_{\mu\nu} d_{\nu\alpha} T_{\alpha\beta} e_\beta^s f, g \right\rangle_{L^2(\mathbb{R}^d)} &= \langle \delta_{rs} f, g \rangle_{L^2(\mathbb{R}^d)} - \lambda \langle e_\beta^r \rho_j \hat{G} \bar{Q}_j e_\beta^s f, g \rangle_{L^2(\mathbb{R}^d)} \\ &\quad - \lambda \langle f, e_\mu^s \rho_j \hat{G} \bar{Q}_j e_\mu^r g \rangle_{L^2(\mathbb{R}^d)} \\ &\quad + \lambda^2 \langle d_{\mu\beta} \rho_j \hat{G} \bar{Q}_j e_\beta^s f, \rho_j \hat{G} \bar{Q}_j e_\mu^r g \rangle_{L^2(\mathbb{R}^d)} \\ &= I - II - III + IV. \end{aligned}$$

We see that

$$\begin{aligned} IV &= \lambda^2 \lim_{\epsilon \rightarrow 0} \sum_{i,j=1}^N \mathbf{V}_d \frac{d-1}{d} \int \frac{\hat{\rho}_j(\sqrt{s}) \hat{\rho}_i(\sqrt{s}) s^{\frac{d}{2}-1} H_{ij}(k', k'')}{(s - k'^2 - i\epsilon)(s - k''^2 + i\epsilon)} ds dk' dk'' \\ &= \lambda \lim_{\epsilon \rightarrow 0} \sum_{i,j=1}^N \int \left( \frac{D_{ij}(k'^2 + i\epsilon)}{k'^2 - k''^2 + 2i\epsilon} + \frac{D_{ij}(k''^2 - i\epsilon)}{k''^2 - k'^2 - 2i\epsilon} \right) H_{ij}(k', k'') dk' dk'' \\ &= \lambda \lim_{\epsilon \rightarrow 0} \sum_{i,j=1}^N \langle f, e_\mu^s Q_j D_{ij}(\cdot + i\epsilon) \hat{G}_{2\epsilon} \bar{Q}_i e_\mu^r g \rangle_{L^2(\mathbb{R}^d)} \\ &\quad + \lambda \sum_{i,j=1}^N \langle e_\mu^r Q_i \bar{D}_{ij}(\cdot - i\epsilon) \hat{G}_{2\epsilon} \bar{Q}_j e_\mu^s f, g \rangle_{L^2(\mathbb{R}^d)}, \end{aligned}$$

where  $H_{ij}(k', k'') = Q_j(k')\overline{Q}_i(k'')e_\mu^s(k')e_\mu^r(k'')\bar{f}(k')g(k'')$ . Note that  $\sum_{j=1}^N Q_j D_{+ij} = \hat{\rho}_i$ .

Then

$$\begin{aligned} &= \lambda \sum_{i=1}^N \langle f, e_\mu^s \hat{\rho}_i \widehat{G} \overline{Q}_i e_\mu^r g \rangle_{L^2(\mathbb{R}^d)} + \lambda \sum_{j=1}^N \langle e_\mu^r \hat{\rho}_j \widehat{G} \overline{Q}_j e_\mu^s f, g \rangle_{L^2(\mathbb{R}^d)} \\ &= II + III. \end{aligned}$$

Hence we get the desired results. □

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