# A Self-Stabilizing Minimal Dominating Set Algorithm with Safe Convergence

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## Abstract

A self-stabilizing distributed system is a faulttolerant distributed system that tolerates any kind and any finite number of transient faults, such as message loss and memory corruption. In this paper, we formulate a concept of safe convergence in the framework of self-stabilization. An ordinary self-stabilizing algorithm has no safety guarantee while it is in converging from any initial configuration. The safe convergence property guarantees that a system quickly converges to a safe configuration, and then, it gracefully moves to an optimal configuration without breaking safety. Then, we propose a minimal independent dominating set algorithm with safe convergence property. Especially, the proposed algorithm computes the lexicographically first minimal independent dominating set according to the process identifier as a priority. The priority scheme can be arbitrarily changed such as stability, battery power and/or computation power of node.

# 1. Introduction

Self-stabilization is a theoretical framework of nonmasking fault-tolerant distributed algorithms proposed by Dijkstra [2, 4]. Self-stabilizing algorithms can start execution from arbitrary (illegitimate) configuration and eventually configuration becomes legitimate. By this property, a self-stabilizing system tolerates any kind and any finite number of transient faults, such as message loss, memory corruption, and topology change.

By definition, a self-stabilizing system is guaranteed to converge to a legitimate configuration, as long as no transient faults occur enough long time. Although selfstabilization property works quite well for networks in which frequency of transient faults is low (compared to the time for convergence to legitimate configuration), it may not be suitable for dynamic networks, such as mobile ad hoc networks, in which transient faults and topology changes frequently occur.

## 1.1. Contribution of this paper

In this paper, we cope with a problem of safety guarantee during converging period by extending of selfstabilization, named *safe convergence*. Such a concept implicitly appears in several papers in the past, and we formulate the concept formally in this paper. A safely converging self-stabilizing system defines two classes of legitimate configurations. One is a set of configurations in which property or service is *feasible*, i.e., minimum quality of service is guaranteed. The other is a set of configurations in which property or service is *optimal*. We consider configuration in both classes satisfy property or service (a safety property) of a system.

When faults occur, it is better to converge to a feasible legitimate configuration as soon as possible. If no fault occurs for enough period of time, it is better to converge to an optimal legitimate configuration to provide the best quality of service. The safety convergence property requires that the system should not break a safety property while a system is moving from a feasible configuration to an optimal configuration.

Then, we consider the problem of minimal dominating set (MDS) with safe convergence. We assume, in this paper, that all processes are executed in parallel in each step for execution model (the synchronous model), and each process can read local variables of neighbor processes for communication model (the state-reading model). These models are adopted often in literature of self-stabilization.

Specifically, our algorithm computes a minimal dominating set in which no two dominators neighbor each other (i.e., a minimal independent dominating set, MIDS). In addition, our algorithm computes the lexicographically first MIDS according to the process identifier as a priority.

By our algorithm, configuration quickly moves to a feasible one in which a safety property (in our current setting, "dominating set is computed") is satisfied. Then, as long as no transient fault occur, a configuration eventually becomes an optimal one in which an MIDS is computed. By the safe convergence property, each configuration from a feasible one to an optimal one in the computation keeps the safety property.

Since we assume a synchronous scheduler, neighbor processes may update their local states simultaneously when a process updates its local state. Difficulty of designing such an algorithm comes from this concurrency. Each process can update its local state only when a safety property is not broken. On the other hand, when a configuration is feasible but not optimal, at least one process must make a move for convergence. Thus, designing an algorithm that satisfies these two requirements is not trivial under a synchronous scheduler. (If we assume a serial scheduler, the problem is trivial since there is no concurrency in process execution.)

Although we adopt process identifiers for priority scheme in this paper, we can adopt various priority (weighting) scheme, e.g., stability of a mobile node based on battery power and quality of wireless communication. Especially, a safely converging self-stabilizing system has a noble property in a situation such that process priorities dynamically changes. Consider a mobile ad hoc network in which stability of each node dynamically changes, and suppose that we adopt stability for priority scheme. Despite such a dynamic situation, configuration gracefully moves to optimal one with keeping a safety property.

## 1.2. Related works

#### Safety and stabilization

There are many works on extensions of selfstabilization for quick convergence and guarantee of safety property. In [3], concept of superstabilization is proposed for dynamic network. When a topology changes (e.g., a process joins or leaves a network) and the system is in a legitimate configuration, the system gracefully and quickly converges to a configuration. In [6], concept of fault-containment is proposed. Suppose that states of f processes are corrupted when a system is in a legitimate configuration. Then, a fault-containing system converges in O(f) steps.

In [9, 8], the maximum flow routing on tree networks is proposed with the root process. The algorithm dynamically maintains the maximum flow route from each process to the route based on the current connection that dynamically change. In this sense, it maintains a safety after it stabilizes. The algorithm executes, in turn, a round for computing the correct flow and a round for updating a maximum flow tree. The root process controls execution of rounds so that each round is executed for enough long period of time to stabilize.

Unfortunately, above works consider only keeping safety in the event of faults or changes of system parameters in a legitimate configuration. That is, safety in converging configurations is not considered.

In [5], a concept safe stabilization is proposed. A safe self-stabilizing system guarantees that, for some given constant k, any k faults in a safe configuration does not lead to an unsafe configuration. Although a safe stabilizing system guarantees safety in convergence and thus very interesting, execution of such a system has a large overhead. It requires  $\Omega(D)$  steps so that each process makes a single move, where D is the diameter of a network.

On the other hand, our framework does not guarantee safety when faults occur in legitimate configuration, i.e., our framework does not mask faults in legitimate configuration. By this property, our framework proposed in this paper does not require any overhead, and implementation is much easier. Thus we believe that our framework is worth investigating.

The most related works to our current paper are [1] and [12]. In [1], a stabilizing loop-free routing protocol is proposed. Starting from illegitimate configuration, eventually configuration reaches a safe configuration in which routes contains no loops. Then, configuration reaches, with maintaining loop-less property, a configuration in which routes with maximal metric. The work in [12] also proposes a stabilizing routing algorithm with route preserving property such that any message sent to the root node is guaranteed to be delivered within a finite time regardless continuous changes of link costs. Starting from illegitimate configuration, configuration eventually reaches one with route preserving property. Then, with keeping this as a safety property, the protocol obtains the shortest path. In this paper, we formulate a concept implicit in these papers.

## The minimal dominating set (MDS) problem

Because the MDS problem is a fundamental prob-

lem on network topology, many self-stabilizing algorithms have been proposed so far. As a closely related problem, the maximal independent set (MIS) problem has been studied well. In [13], a self-stabilizing MIS algorithm under a serial scheduler (c-daemon)<sup>1</sup> is presented. In [11], a space-optimal self-stabilizing MIS algorithm under a distributed scheduler (d-daemon)<sup>2</sup> is presented. In [14], a self-stabilizing MDS algorithm under a synchronized scheduler is proposed. In [7], a self-stabilizing MIS algorithm under a synchronized scheduler is proposed. In [10] self-stabilizing MIS and MDS algorithms under a serial scheduler are proposed.

## 1.3. Organization of this paper

This paper is organized as follows. In section 2, we formally describe system model and safe self-stabilization. In section 3, we propose a safely self-stabilizing algorithm for the dominating set problem. In section 4, we show proof of correctness of the proposed algorithm, and show performance analysis. In section 5, we give conclusion of this paper and discuss future works.

## 2. Preliminary

#### 2.1. System model

Let  $V = (P_1, P_2, ..., P_n)$  be a set of processes and  $E \subseteq V \times V$  be a set of bidirectional communication links in a distributed system. The number of processes is denoted by n. Then, the topology of the distributed system is represented as a undirected graph G = (V, E). We assume that the graph is connected and simple. In this paper, we use "graphs" and "distributed systems" interchangeably.

A set of local variables defines local state of a process. By  $Q_i$ , we denote local state of process  $P_i \in V$ . A tuple of local state of each process  $(Q_1, Q_2, ..., Q_n)$  forms a *configuration* of a distributed system. Let  $\Gamma$  be a set of all configurations.

As communication model, we assume that each process can read local states of neighbor processes without delay. This model is called the *state-reading model*. Each process can update its own local state only, but each process can read local state of neighbor processes.

An algorithm of each process  $P_i$  is given as a set of guarded commands (GCs):

$$*[ Guard_1 \to Act_1 \Box Guard_2 \to Act_2 \\ \Box Guard_3 \to Act_3 \Box \cdots ]$$

Each  $Guard_j$  (j = 1, 2, ...) is called a guard, and it is a predicate on  $P_i$ 's local state and local states of its neighbors. Each  $Act_j$  is called a *action*, and it updates local state of  $P_i$ ; next local state is computed from current local states of  $P_i$  and its neighbors. For each process  $P_i$ , process identifier and a set of neighbor processes  $N_i$  are given as a constant. We say that  $P_i$  is *privileged* in a configuration  $\gamma$  if and only if at least one guard of  $P_i$  is true in  $\gamma$ . An atomic step of each process  $P_i$  consists of the following three sub-steps (1) read local states of neighbor processes and evaluate guards, (2) execute a command that is associated to a true guard, and (3) update its local state. In this paper, we that assume the *synchronized model* for process execution such that every privileged process executes atomic steps in parallel.

#### 2.2. Self-stabilization and safe convergence

For any configuration  $\gamma$ , let  $\gamma'$  be any configuration that follows  $\gamma$ . Then, we denote this transition relation by  $\gamma \to \gamma'$ . For any configuration  $\gamma_0$ , a *computation* E starting from  $\gamma_0$  is a maximal (possibly infinite) sequence of configurations  $E = \gamma_0, \gamma_1, \gamma_2, \dots$  such that  $\gamma_t \to \gamma_{t+1}$  for each  $t \ge 0$ .

**Definition 1** (Self-stabilization) Let  $\Gamma$  be the set of all configurations. A system S is self-stabilizing with respect to  $\Lambda$  such that  $\Lambda \subseteq \Gamma$  if and only if it satisfies the following two conditions:

- Convergence: Starting from an arbitrary configuration, a configuration eventually becomes one in Λ, and
- Closure: For any configuration λ ∈ Λ, any configuration γ that follows λ is also in Λ.

Each  $\lambda \in \Lambda$  is called a legitimate configuration.  $\Box$ 

**Definition 2** (Safely converging selfstabilization) Let  $\Gamma$  be the set of all configurations, and let  $\Lambda_O \subseteq \Lambda_F \subseteq \Gamma$ . A self-stabilizing system S is safely converging with respect to  $(\Lambda_F, \Lambda_O)$  if and only if it satisfies the following three conditions:

- S is self-stabilizing with respect to  $\Lambda_F$ .
- Safe Convergence: For any execution starting from configuration in  $\Lambda_F$ , configuration eventually reaches one in  $\Lambda_O$ .
- S is self-stabilizing with respect to  $\Lambda_O$ .

 $<sup>^1\</sup>mathrm{At}$  each step, a serial scheduler selects a process arbitrarily from a set of eligible processes.

 $<sup>^2\</sup>mathrm{At}$  each step, a distributed scheduler selects a non-empty set of processes arbitrarily from a set of eligible processes.



Figure 1. Safely converging self-stabilization:  $\Gamma, \Lambda_F$  and  $\Lambda_O$ 

Each  $\gamma \in \Lambda_F$  is called a feasibly legitimate configuration, and each  $\gamma \in \Lambda_O$  is called a optimally legitimate configuration.  $\Box$ 

Concept of safe converging self-stabilization is depicted in Figure 1. Time complexity of a safe selfstabilizing algorithm is measured by the time to reach  $\Lambda_F$  and the time to reach  $\Lambda_O$ . Formal definition is as follows.

**Definition 3** Let S be a safely converging selfstabilizing system with respect to  $(\Lambda_F, \Lambda_O)$ . The first convergence time is the number of steps to reach a configuration in  $\Lambda_F$  for any starting configuration in  $\Gamma$ . The second convergence time is the number of steps to reach a configuration in  $\Lambda_O$  for any starting configuration in  $\Lambda_F$ .

## 2.3. Minimal independent dominating set

Minimal dominating set (MDS) is formally defined as follows.

**Definition 4** A dominating set of a graph G = (V, E)is a subset  $V' \subseteq V$  such that, for any  $u \in V \setminus V'$ , there exists  $v \in V'$  such that  $(u, v) \in E$ . A dominating set V' of G is minimal if no proper subset of V' is a dominating set of G.

Maximal independent set (MIS) is defined as follows.

**Definition 5** An independent set of a graph G = (V, E) is a subset  $V' \subseteq V$  such that, for any  $u, v \in V'$ ,  $(u, v) \notin E$ . An independent set V' of G is maximal if no proper superset of V' is an independent set of G.  $\Box$ 

It is clear that any MIS is also a MDS. Minimal independent dominating set (MIDS) is defined as follows.

**Definition 6** An minimal independent dominating set of a graph G = (V, E) is a subset  $V' \subseteq V$  such that V' is a minimal dominating set, and V' is an independent set.

## 3. Proposed Algorithm

Figure 2 shows a minimal independent dominating set algorithm we propose. In the proposed algorithm, each process  $P_i$  uses two variables  $d_i$  and  $m_i$  as described as follows.

- $d_i \in \{0, 1\}$ : This value is 1 (resp. 0) if  $P_i$  is a dominator (resp. dominate).
- $m_i \in N_i \cup \{P_i\}$ : When  $P_i$  is a dominatee, it uses this variable to designate a neighbor process to dominate  $P_i$  by assignment  $m_i = P_j (\in N_i)$ .

Assignment  $m_i := P_j(\in N_i)$  does not imply that  $P_i$  is a dominatee. We have  $m_i = P_j(\in N_i)$  and  $d_i = 1$  when  $P_i$  wants to turn to be a dominatee from a dominator. This is for safety, and details will be explained later.

Let us explain idea of the proposed algorithm which is shown in Figure 2. As shown in Figure 2, we use a macro  $IndDom_i(P_j)$  for each process  $P_i$  and its neighbor  $P_j \in N_i$ . It is defined to be true if and only if  $(d_j = 1) \land (m_j = P_j)$  is true. That is,  $P_j$  is a dominator and it does not designate its neighbor to dominate  $P_j$ . We say that such a process  $P_j$  is an *independent* dominator, and  $IndDom_i(P_j)$  is true.

Each process  $P_i$  changes its local variables according to the following rules.

- Rule 1. When identifier of  $P_i$  is the largest among those of its neighbors,  $P_i$  becomes an independent dominator.
- Rule 2. When  $P_i$  has no independent dominators in its neighbors,  $P_i$  becomes an independent dominator.
- Rule 3. When identifier of  $P_i$  is the largest among those of independent dominators in its neighbors,  $P_i$  becomes an independent dominator.
- Rule 4. When identifier of  $P_i$  is not the largest among those of independent dominators in its neighbors, the value of  $m_i$  is maintained to be the largest among them. For safety,  $P_i$  becomes a dominator.

external variable

**process set**  $N_i$ ; — a dynamic set of neighbor processes (read only and automatically updated). variables

int  $d_i \in \{0, 1\}$ ; —  $P_i$  is a dominator (resp. dominatee) if  $d_i = 1$  (resp. 0).

## **process name** $m_i \in N_i \cup \{P_i\}$ ; — a dominator process that $P_i$ depends on. The value can be $P_i$ itself. macro

 $MaxLocally_i \equiv \forall P_j \in N_i : P_i > P_j$  — True iff the process identifier is the maximum among neighbors.  $IndDom_i(P_i) \equiv (d_i = 1) \land (m_i = P_i)$  — True iff a neighbor  $P_i$  is an independent dominator.  $ExNeighIndDom_i \equiv \exists P_i \in N_i : IndDom_i(P_i)$  — True iff there exists an independent dominator.  $MaxNeighIndDom_i \equiv \max\{P_j \in N_i : IndDom_i(P_j)\}$  — The maximum independent dominator in neighbors. NoneDepends<sub>i</sub>  $\equiv \forall P_j \in N_i : m_j \neq P_i$  — True iff no neighbor depends on  $P_i$ . actions

\* [

**Rule 1:** If identifier of  $P_i$  is the locally largest,  $P_i$  becomes an independent dominator.

MaxLocally;

 $\wedge ((d_i \neq 1) \lor (m_i \neq P_i))$ 

 $\rightarrow$   $d_i := 1; m_i := P_i;$ 

**Rule 2:** If there is no independent dominator in neighbors,  $P_i$  becomes an independent dominator 

 $\neg MaxLocally_i \land \neg ExNeighIndDom_i$ 

 $\wedge ((d_i \neq 1) \lor (m_i \neq P_i))$  $\rightarrow$   $d_i := 1; m_i := P_i;$ 

**Rule 3:** If identifier of  $P_i$  is the largest among independent dominators in neighbor,

 $P_i$  becomes an independent dominator.

 $\neg MaxLocally_i \land ExNeighIndDom_i \land (P_i > MaxNeighIndDom_i)$ 

 $\wedge ((d_i \neq 1) \lor (m_i \neq P_i))$ 

 $\rightarrow$   $d_i := 1; m_i := P_i;$ 

**Rule 4:** If identifier of  $P_i$  is not the largest among independent dominators in neighbor,  $P_i$  depends on the process with the largest identifier among them, and (for safety)  $P_i$  becomes a dominator.

 $\neg$ MaxLocally<sub>i</sub>  $\land$  ExNeighIndDom<sub>i</sub>  $\land$  ( $P_i < MaxNeighIndDom_i$ )  $\land$  ( $m_i \neq MaxNeighIndDom_i$ )

 $\wedge ((d_i \neq 1) \lor (m_i \neq MaxNeighIndDom_i))$  $\rightarrow$   $d_i := 1; m_i := MaxNeighIndDom_i;$ 

**Rule 5:**  $P_i$  turns to be a dominate only when (1) identifier is not the largest among independent dominators in neighbors, (2)  $P_i$  depends on the process with the largest identifier among them, and (3) (for safety) no neighbor depends on  $P_i$ .

 $\neg$ MaxLocally<sub>i</sub>  $\land$  ExNeighIndDom<sub>i</sub>  $\land$  ( $P_i <$ MaxNeighIndDom<sub>i</sub>)  $\land$  ( $m_i =$ MaxNeighIndDom<sub>i</sub>)  $\land$  NoneDepends<sub>i</sub>  $\wedge (d_i \neq 0)$ 

 $\rightarrow d_i := 0;$ 

## Figure 2. SC-MIDS: A safely converging self-stabilizing algorithm for MIDS

• Rule 5.  $P_i$  turns to be a dominate if there exists an independent dominator in its neighbor, provided that the value of  $m_i$  is property maintained by Rule 4 and, for safety, no neighbor  $P_i \in N_i$ designates  $P_i$  to dominate  $P_j$ .

Let  $\Gamma$  be a set of all configurations. First, we define *Doms* as follows.

**Definition 7** For each configuration  $\gamma \in \Gamma$ , we define  $Doms(\gamma) \equiv \{P_i \in V : d_i = 1\}, which is called a set of$ dominator processes in  $\gamma$ . 

Sets of legitimate configurations  $\Lambda_F$  and  $\Lambda_O$  of the proposed algorithm are defined as follows.

**Definition 8** A set of feasibly legitimate configurations  $\Lambda_F \subseteq \Gamma$  is defined as follows.

$$\Lambda_F = \{ \gamma \in \Gamma : \forall P_i \in V : (d_i = 0) \Rightarrow (m_i \in N_i \\ \land d_j = 1, \text{ where } P_j = m_i \text{ in } \gamma ) \}$$

A set of optimally legitimate configurations  $\Lambda_O \subseteq \Lambda_F$ is defined as follows.

$$\Lambda_O = \{ \gamma \in \Lambda_F : Doms(\gamma) \text{ is the lexicographically} \\ \text{first minimal independent dominating set} \\ \text{of } G \} \qquad \Box$$

Note: Although  $\Lambda_O$  is called *optimal*, a configuration in the set is *not* the *minimum* independent dominating set, but it is *minimal*.

By definition of  $\Lambda_F$ , it is clear that  $Doms(\gamma)$  is a dominating set of G for any  $\gamma \in \Lambda_F$ . Further,  $\Lambda_F$ is a set of configuration in which a dominating set is computed, and for each dominate  $P_i$ , its dominator  $P_j$  designated by  $m_i$  is a dominator. Because  $\Lambda_O$  is the lexicographically first minimal dominating set of G, it contains only one configuration. For simplicity of notation in the proof, we say that "a configuration  $\gamma$  is a dominating set" if  $Doms(\gamma) = \{P_i \in V : d_i = 1\}$  is a dominating set of G.

# 4. Proof of Correctness

In this section, we show proof of correctness of the proposed algorithm. In the proof we use  $I_i$  for each  $P_i \in V$  which is defined as follows.

$$I_i \equiv (d_i = 0) \Rightarrow (m_i \in N_i \land d_j = 1, \text{ where } P_j = m_i)$$

The relation of  $I_i$  and  $\Lambda_F$  is as follows.

$$\gamma \in \Lambda_F \Leftrightarrow \forall P_i \in V : I_i \text{ in } \gamma$$

**Lemma 1** (One step convergence to  $\Lambda_F$ ) Let  $\gamma$  be any configuration in  $\Gamma$ , and  $\gamma'$  be a configuration such that  $\gamma \to \gamma'$ . Then, we have  $\gamma' \in \Lambda_F$ .

*Proof:* It is enough to show that  $I_i$  holds at each process  $P_i \in V$  in  $\gamma'$ . As a contrary, we assume that there exists a process  $P_i$  in  $\gamma'$  such that  $\neg I_i$ .

• In case  $P_i$  is not executed in  $\gamma$ :

Since  $P_i$  is not executed and  $I_i$  can be false only when  $d_i = 0$ , guards of Rules 1–4 must be false and  $d_i = 0$  in  $\gamma$ . (Note that a process that has a true guard is executed by assumption of the execution model.) Since  $d_i = 0$  in  $\gamma'$ , the following conditions hold in  $\gamma$ :

- $-\neg MaxLocally_i$  (by Rule 1)
- $ExNeighIndDom_i$  (by Rule 2)
- $-P_i < MaxNeighIndDom_i$  (by Rule 3)
- $-m_i = MaxNeighIndDom_i$  (by Rule 4)

Then,  $m_i \in N_i$  holds in  $\gamma$ , and thus we have  $d_j = 1$ , where  $P_j = m_i$ . This implies  $I_i$  in  $\gamma'$  and a contradiction.

• In case  $P_i$  is executed in  $\gamma$ :

Because  $d_i = 0$  in  $\gamma'$ ,  $P_i$  is executed Rule 5 in  $\gamma$ . (Otherwise, any other rule results in  $d_i = 1$ .) Then  $m_i = MaxNeighIndDom_i$  is true in  $\gamma$  because it is a part of the condition of Rule 5. This implies that  $m_i \in N_i$  in  $\gamma$ . Since Rule 5 does not change the value of  $m_i$ , we have  $m_i \in N_i$  in  $\gamma'$ .

Let  $P_j$  be a process given by the value of  $m_i$ . By definition of  $MaxNeighIndDom_i$ ,  $d_j = 1 \land m_j = P_j$  holds in  $\gamma$ . Because  $NoneDepends_j$  is a part of the condition of Rule 5,  $P_j$  never execute Rule 5 in  $\gamma$ , and thus  $d_j = 1$  in  $\gamma'$ . This implies  $I_i$  holds in  $\gamma'$  and a contradiction.

Since there exists no  $P_i$  such that  $\neg I_i$  in  $\gamma'$ , we have  $\gamma' \in \Lambda_F$ .

**Lemma 2** (Closure of  $\Lambda_F$ ) Let  $\gamma$  be any configuration in  $\Lambda_F$ , and  $\gamma'$  be any configuration such that  $\gamma \to \gamma'$ . Then, we have  $\gamma' \in \Lambda_F$ .

*Proof:* By Lemma 1, this lemma trivially holds.  $\Box$ 

**Lemma 3** (Convergence to  $\Lambda_O$ ) Let  $\gamma$  be any configuration in  $\Lambda_F$ . For any execution starting from  $\gamma$ , configuration  $\gamma'$  reaches one such that  $\gamma' \in \Lambda_O$ , and configuration never changes thereafter.

**Proof:** Let  $\gamma_0 = \gamma$ , and for each  $t \ge 1$ , let  $\gamma_t$  be the *t*-th configuration in a computation starting from  $\gamma$ . Note that  $\gamma_t$  be the configuration by execution of the *t*-th step. For simplicity of explanation, for each integer  $x \ge 1$ , we call four steps from the 4x - 3-th step to the 4x-th step as the *x*-th phase.

- 1. The first phase (i.e., from the 1st to the 4th steps).
  - The 1st step: We focus on the process, say  $P_M$ , with the maximum process identifier in the network G. We have  $d_M = 1 \wedge m_M = P_M$  by Rule 1 in  $\gamma_1$ . Note that  $P_M$  may or may not execute Rule 1 in  $\gamma_0$ . If  $d_M = 1 \wedge m_M = P_M$  is false,  $P_M$  executes Rule 1, and otherwise, it updates none of these variables. Since Rule 1 is the only rule that  $P_M$  may execute,  $P_M$  never execute thereafter, and the variable values of  $P_M$  are fixed in this configuration.
  - The 2nd step: We focus on neighbor processes of  $P_M$ . Since  $MaxNeighIndDom_j = P_M$  and  $P_j < P_M$  for each  $P_j \in N_M$ , by Rule 4, we have  $P_j = 1$  and  $m_j = P_M$  in  $\gamma_2$ . Since  $P_M$  has the maximum process identifier, each  $P_j \in N_M$  never change the value of  $m_j$  thereafter.
  - The 3rd step: We focus on neighbor  $P_k$  of each neighbor of  $P_M$ . Since  $m_j \neq P_j$  for each  $P_j \in N_M$ , each  $P_k \in (N_j \setminus N_M \setminus \{P_M\})$  selects some  $P_\ell$  not in  $N_M$  by Rule 3 and 4.

• The 4th step: Again we focus on neighbors of  $P_M$ . Let  $P_j$  be any neighbor of  $P_M$ . Then, by executions of processes in the 3rd step, we have  $m_k \neq P_j$  for each process  $P_k \in P_j$ . Thus  $P_j$  executes Rule 5. Since  $P_M$  has the maximum process identifier, each  $P_j$  never change the values of  $d_j$  and  $m_j$  thereafter. Note that the value of  $d_j$  (resp.  $m_j$ ) is 0 (resp.  $P_M$ ).

In the first phase, the process with the maximum process identifier and its neighbors decide their variable values, and they never change their local variables thereafter.

When the first phase is finished, each  $P_j \in N_M$  is not an independent dominator because  $d_j = 0 \land m_j \neq P_j$  holds.

Let us observe behavior of processes after the first phase. By Rule 4, when  $P_i$  selects the value of  $m_i$ from neighbors, it selects an independent dominator with the largest process identifier among independent dominators in neighbors. By Rule 5, each process  $P_i$  becomes a dominate only when it is a dominator, and the value of  $m_i$  has the largest process identifier among independent dominators in neighbors. Because of these rules, each  $P_k \in (V \setminus N_M \setminus \{P_M\})$  never select  $P_j \in N_M$  and  $P_M$  for the value of  $m_k$ . Therefore, we can ignore  $P_M$  and processes in  $N_M$  in the following execution.

Let  $G_1$  be a graph by deleting processes  $\{P_M\} \cup N_M$  and corresponding edges from G. Next, we consider graph  $G_1$ .

- 2. The second phase (i.e., from the 5th to the 8th steps).
  - The 5th step: We focus on the process, say  $P_{M'}$  with the maximum process identifier in  $G_1$ . By Rule 3, we have  $d_{M'} = 1 \wedge m_{M'} = P_{M'}$  in  $\gamma_5$ , because process identifier of  $P_{M'}$  is larger than any independent dominators in neighbors in  $G_1$ . Note that  $P_M$  (discussed in the first phase) is not a neighbor of  $P_{M'}$  in G. Then, the values of  $d_{M'}$  and  $m_{M'}$  never change thereafter.
  - The 6th step: The same as the 2nd step.
  - The 7th step: The same as the 3rd step.
  - The 8th step: The same as the 4th step.

When the second phase is finished, as in the case of the first phase, the process with the maximum process identifier in  $G_1$  becomes a dominator, its neighbors become dominatees, and variables values of them never change in the following execution. Let  $G_2$  be the graph by deleting these processes and corresponding edges.

3. The third and following phases.

We repeatedly apply the same discussion for the second phase. In each phase t > 2, the process with the maximum process identifier in  $G_{t-1}$  becomes a dominator and its neighbors become dominatees, and graph  $G_t$  is defined. (This discussion is repeated as long as graph is not empty.)

It is clear that at least one process is eliminated at each phase, graph becomes empty within n phases. Let  $\gamma'$  be the corresponding configuration when a graph becomes empty. Then, each condition of the rules becomes false at each process, and no process makes a move thereafter.

Since, in  $\gamma'$ , no two dominators are neighbors each other and each dominate has a dominator in neighbors,  $Doms(\gamma')$  is an MIDS of G(V, E). It is clear that  $Doms(\gamma')$  is the lexicographically first one by the discussion above.

**Theorem 1** SC-MIDS is safely converging selfstabilizing with respect to  $(\Lambda_F, \Lambda_O)$ , and the first (resp. second) convergence time is at most 1 (resp. O(D)), where D is the diameter of network.

**Proof:** Convergence to  $\Lambda_F$  is shown by Lemma 1, and convergence time to  $\Lambda_F$  is one. Closure of to  $\Lambda_F$  is shown by Lemma 2. Hence, SC-MIDS is self-stabilizing with respect to  $\Lambda_F$ . Safe convergence property is shown by Lemma 3. Convergence to  $\Lambda_O$  and closure of to  $\Lambda_O$ are shown by Lemma 3. This fact and Lemma 1 prove that SC-MIDS is self-stabilizing with respect to  $\Lambda_F O$ .

Let us derive convergence time. By Lemma 1, the first convergence time is one. From the proof of Lemma 3, we observe that a dominator and at least one dominatee are eliminated at each phase except the last one. Thus the second convergence time is trivially O(n). We show below that the second convergence time is in fact O(D).

Observe the proof for convergence (Lemma 3). In each phase, there is at least one process that is elected as an independent dominator and the values of local variables are surely fixed forever. For each t =1, 2, 3, ..., let  $X_t \subseteq V$  be a set of such processes at phase t. Note that  $X_t \cap X_{t'} = \emptyset$  for each t and t' such that  $t \neq t'$ , and a set  $\bigcup_t X_t$  is the lexicographically first independent dominating set of G.

Let t be any phase such that t > 1. For each process  $P_i$  in  $X_t$  to be elected as a dominator, the following conditions hold.

- $P_i$  is not a process with the locally largest process identifier. (Otherwise,  $P_i$  would be elected in phase 1 by Rule 1.)
- At least one of  $P_j \in N_i$  such that  $P_j > P_i$  is a dominator at the beginning of phase t-1, every such  $P_j$  turns to be dominated by some  $P_k \in X_{t-1}$  at the end of phase t-1, and each neighbor  $P_j \in N_i$ such that  $P_j > P_i$  is a dominate at the beginning of phase t. (Otherwise,  $P_i$  would not be in  $X_t$ .)
- $P_i$  is a process with the locally largest process identifier among independent dominators in neighbors in phase t. (Otherwise,  $P_i$  would not be in  $X_t$ .)

Thus, the decision of a process in  $X_t$  at phase t depends on the decisions of processes in  $X_{t-1}$  and its neighbors at phase t-1. It is clear that the number of phases to converge is determined by the length of such a dependency which is at most D, the diameter of the network. Therefore, D phases are enough to converge, and the second convergence time is O(D).

# 5. Conclusion

In this paper, we proposed a concept of safe convergence in self-stabilization and an algorithm for the minimal independent dominating set (MIDS) problem that computes the lexically first one. By our algorithm, a system converges to a configuration of a dominating set in one step, and it converges to a configuration of a minimal dominating set in O(D) steps, where D is the diameter of a network.

We adopted process identifiers as a priority for selecting a dominator for simplicity. In fact, we can adopt other metrics as a priority, such as the number of neighbors (i.e.,  $|N_i|$ ), battery power, and computational power for example. Process identifiers are used for breaking a tie.

We assumed the synchronized model for execution and state-reading model for communication in this paper. We believe that our algorithm is correct under the distributed scheduler in which arbitrary set of processes is selected to execute. Extension of the algorithm for the message passing model is left for future task.

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