

# RAIRO

## INFORMATIQUE THÉORIQUE

ROBERT KNAST

### **A semigroup characterization of dot-depth one languages**

*RAIRO – Informatique théorique*, tome 17, n° 4 (1983), p. 321-330.

[http://www.numdam.org/item?id=ITA\\_1983\\_\\_17\\_4\\_321\\_0](http://www.numdam.org/item?id=ITA_1983__17_4_321_0)

© AFCET, 1983, tous droits réservés.

L'accès aux archives de la revue « RAIRO – Informatique théorique » implique l'accord avec les conditions générales d'utilisation (<http://www.numdam.org/legal.php>). Toute utilisation commerciale ou impression systématique est constitutive d'une infraction pénale. Toute copie ou impression de ce fichier doit contenir la présente mention de copyright.

NUMDAM

*Article numérisé dans le cadre du programme  
Numérisation de documents anciens mathématiques*  
<http://www.numdam.org/>

## A SEMIGROUP CHARACTERIZATION OF DOT-DEPTH ONE LANGUAGES (\*)

by Robert KNAST <sup>(1)</sup>

Communicated by J.-F. PERROT

*Abstract.* — It is shown that one can decide whether a language has dot-depth one in the dot-depth hierarchy introduced by Brzozowski. The decision procedure is based on an algebraic characterization of the syntactic semigroup of a language of dot-depth 0 or 1.

*Résumé.* — On démontre que l'on peut décider si un langage est de hauteur 1 dans la hiérarchie de concaténation introduite par Brzozowski. L'algorithme de décision est basé sur une condition algébrique qui caractérise les semigroupes syntactiques des langages de hauteur inférieure ou égale à 1.

### 1. INTRODUCTION

Let  $A$  be a non-empty finite set, called alphabet.  $A^+$  (respectively  $A^*$ ) is the free semigroup (respectively free monoid) generated by  $A$ . Elements of  $A^*$  are called words. The empty word in  $A^*$  is denoted by  $\lambda$  (the identity of  $A^*$ ). The concatenation of two words  $x, y$  is denoted by  $xy$ . The length of a word  $x$  is denoted by  $|x|$ .

Any subset of  $A^*$  is called a language. If  $L_1$  and  $L_2$  are languages, then  $L_1 \cup L_2$  is their union,  $L_1 \cap L_2$  is their intersection, and  $\bar{L}_1 = A^* - L_1$  is the complement of  $L_1$  with respect to  $A^*$ . Also  $L_1 L_2 = \{w \in A^* \mid w = xy, x \in L_1, y \in L_2\}$  is the concatenation of  $L_1$  and  $L_2$ .

Let  $\sim$  be an equivalence relation on  $A^*$ . For  $x \in A^*$  we denote by  $[x]_{\sim}$  the equivalence class of  $\sim$  containing  $x$ . An equivalence relation  $\sim$  on  $A^*$  is a congruence iff for all  $x, y \in A^*$ ,  $x \sim y$  implies  $uxv \sim uyv$  for any  $u, v \in A^*$ .

The syntactic congruence of a language  $L$  is defined as follows: for  $x, y \in A^*$ ,  $x \equiv_L y$  iff for all  $u, v \in A^*$  ( $uxv \in L$  iff  $uyv \in L$ ). The syntactic semigroup of  $L$  is the quotient semigroup  $A^+ / \equiv_L$ .

Let  $\eta$  be any family of languages. Then  $\eta M(\eta B)$  will denote the smallest family of languages containing  $\eta$  and closed under concatenation (finite union and complementation respectively).

(\*) Received February 1981, revised May 1983.

(1) Institute of Mathematics, Polish Academy of Sciences, 61-725 Poznan, Poland.

Let  $\varepsilon = \{ \{ \lambda \}, \{ a \}; a \in A \}$  be the family of elementary languages. Then define:

$$\mathcal{B}_0 = \varepsilon B,$$

$$\mathcal{B}_k = \mathcal{B}_{k-1} MB \quad \text{for } k \geq 1.$$

This sequence  $(\mathcal{B}_0, \mathcal{B}_1, \dots, \mathcal{B}_k, \dots)$  is called the dot-depth hierarchy. A language  $L$  is of dot-depth at most  $k$  if  $L \in \mathcal{B}_k$ .

The dot-depth hierarchy was introduced in [3]. It was proved in [2] that it is infinite if the alphabet has two or more letters. In [4] it was shown that  $(\mathcal{B}_0, \mathcal{B}_1, \dots)$  forms a hierarchy of  $+ -$  varieties of languages. Therefore, in the rest of the paper we consider languages as subsets of  $A^+$ . For an excellent and general presentation of problems related to this paper the reader is referred to Brzozowski's survey paper [1] or the above mentioned monograph of Eilenberg [4].

In [6] Simon conjectured that a language  $L$  is in  $\mathcal{B}_1$  iff its syntactic semigroup  $S_L$  is finite and there exists an integer  $n > 0$  such that for each idempotent  $e$  in  $S_L$ , and any elements  $a, b \in S_L$ :

$$(eab)^n eae = (eab)^n e = ebe(aebe)^n.$$

Simon also proved that  $L \in \mathcal{B}_1$  implies this condition. By an example we show that this conjecture fails. We present a necessary and sufficient condition for a syntactic semigroup to be the syntactic semigroup of a language of dot-depth at most one. The main result is as follows: Let  $L$  be a language and let  $S_L$  be its syntactic semigroup. Then  $L \in \mathcal{B}_1$  iff  $S_L$  is finite and there exists an integer  $n > 0$  such that for all idempotents  $e_1, e_2$  in  $S_L$  and any elements  $a, b, c, d \in S_L$ :

$$(e_1 a e_2 b)^n e_1 a e_2 d e_1 (c e_2 d e_1)^n = (e_1 a e_2 b)^n e_1 (c e_2 d e_1)^n.$$

We will refer to this as the "dot-depth one" condition. This semigroup characterization gives a decision procedure for testing whether or not a regular language is in  $\mathcal{B}_1$ .

In the proof of this characterization we use a theorem on graphs from [5].

We will say that a language  $L \subset A^+$  is a  $\sim$  language, if  $L$  is a union of congruence classes of  $\sim$ . Let  $L$  be a language and let  $S_L$  be its syntactic semigroup. The class  $[x] \equiv_L$ , as an element of  $S_L$ , will be also denoted by  $\underline{x}$ , where  $x \in A^+$ . Then  $x \equiv_L y$  iff  $\underline{x} = \underline{y}$  in  $S_L$ .

## 2. BASIC CONGRUENCE $_m \sim_k$ [6]

Let  $k, m$  be integers,  $k \geq 1, m \geq 0$ . Let  $v = (w_1, w_2, \dots, w_m)$  be an  $m$ -tuple of words  $w_i$  of length  $k$ , i. e.  $|w_i| = k, w_i \in A^* i = 1, 2, \dots, m$ . We say that  $v$  occurs in

$x, x \in A^*$  (we write  $v \in x$ ), if  $x = u_i w_i v_i$ , for some  $u_i, v_i \in A^*$  ( $i = 1, 2, \dots, m$ ) such that  $|u_j| < |u_{j+1}|, j = 1, 2, \dots, m - 1$ .

Let us set:

$$\tau_{m,k}(x) = \{v \mid v \in (A^k)^m \text{ and } v \in x\}.$$

By convention  $\tau_{0,k}x = \emptyset$ .

For  $x \in A^*$  and  $n \geq 0$  define  $f_n(x)$  as follows: if  $|x| \leq n$ , then  $f_n(x) = x$ ; otherwise  $f_n(x)$  is the prefix of  $x$  of length  $n$ . Similarly,  $t_n(x) = x$  if  $|x| \leq n$ , and  $t_n(x)$  is the suffix of length  $n$  of  $x$  otherwise.

Now, for  $x, y \in A^*$  and  $k \geq 0, m \geq 0$  we define:

$$\begin{aligned} x_m \sim_k y \text{ iff } & x = y \text{ if } |x| \leq m + k - 1 \\ & \text{or } f_k(x) = f_k(y), t_k(x) = t_k(y) \\ & \text{and } \tau_{m,k+1}(x) = \tau_{m,k+1}(y) \text{ otherwise.} \end{aligned}$$

In the case  $k = 0$  we write  $\tau_m$  instead  $\tau_{m,0}$  and  $_m \sim$  instead  $_m \sim_0$ . If  $m = 1$ , we also write  $\tau$  instead  $\tau_1$ .

PROPOSITION 1: (a)  $_m \sim_k$  is a congruence of finite index on  $A^*$ ; (b)  $x_m \sim_k y$  implies  $x_{m-1} \sim_k y$ , for  $m \geq 1$  and all  $x, y \in A^*$ ; (c)  $w(xw)^m \sim_k w(xw)^{m+1}$ , for  $w, x \in A^*$  and  $|w| = k$ ; (d)  $(w_1 x w_2 y)^m \sim_k w_1 x w_2 v w_1 (u w_2 v w_1)^m \sim_k (w_1 x w_2 y)^m \sim_k w_1 (u w_2 v w_1)^m$ , for  $w_1, w_2, x, y, u, v \in A^*$  and  $|w_1| = |w_2| = k$ .

Proof: The verification of (a), (b) and (c) is straightforward.

(d) By (b):

$$\tau_{m,k+1}(x) = \tau_{m,k+1}(y)$$

implies:

$$\tau_{j,k+1}(x) = \tau_{j,k+1}(y),$$

for all  $x, y \in A^*$  and  $j \in \{0, 1, \dots, m\}$ . If

$$v_1 = (w_1, \dots, w_i) \in (A^{k+1})^i$$

and

$$v_2 = (v_1, \dots, v_j) \in (A^{k+1})^j,$$

we denote by  $(v_1, v_2)$  the  $i+j$ -tuple  $(w_1, \dots, w_i, v_1, \dots, v_j) \in (A^{k+1})^{i+j}$ .

Evidently:

$$\tau_{m, k+1}((w_1 x w_2 y)^m w_1) \subseteq \tau_{m, k+1}((w_1 x w_2 y)^m w_1 x w_2) \\ \subseteq \tau_{m, k+1}((w_1 x w_2 y)^{m+1} w_1).$$

Using (c), we have:

$$\tau_{m, k+1}((w_1 x w_2 y)^m w_1 x w_2) = \tau_{m, k+1}((w_1 x w_2 y)^m w_1).$$

Similarly:

$$\tau_{m, k+1}(w_2 v w_1 (u w_2 v w_1)^m) = \tau_{m, k+1}(w_1 (u w_2 v w_1)^m).$$

Since  $|w_1| = |w_2| = k$ , by the above conclusions from (b) and (c):

$$\tau_{m, k+1}((w_1 x w_2 y)^m w_1 x w_2 v w_1 (u w_2 v w_1)^m) = \bigcup_{\substack{i+j=m \\ m \geq i, j \geq 0}} \{(v_1, v_2) \mid v_1 \\ \in \tau_{i, k+1}((w_1 x w_2 y)^m w_1 x w_2), v_2 \in \tau_{j, k+1}(w_2 v w_1 (u w_2 v w_1)^m)\} \\ = \bigcup_{\substack{i+j=m \\ m \geq i, j \geq 0}} \{(v_1, v_2) \mid v_1 \in \tau_{i, k+1}((w_1 x w_2 y)^m w_1), v_2 \in \tau_{j, k+1}(w_1 (u w_2 v w_1)^m)\} \\ = \tau_{m, k+1}((w_1 x w_2 y)^m w_1 (u w_2 v w_1)^m). \quad \square$$

**THEOREM 2 (Simon [6]):** *A language  $L$  is of dot-depth at most one,  $L \in \mathcal{B}_1$ , iff  $L$  is  $a_m \sim_k$  language for some  $m, k \geq 0$ .*

### 3. GRAPHS AND THE INDUCED SYNTACTIC GRAPH CONGRUENCE

First we briefly recall Eilenberg's terminology for graphs [4]. A directed graph  $G$  consists of two sets, an alphabet  $A$  and a set of vertices  $V$ , along with two functions:  $\alpha, \omega : A \rightarrow V$ . Elements of  $A$  are also called edges in this case.

Two letters (or edges)  $a, b \in A$  are called consecutive if  $a\omega = b\alpha$ . Let  $D \subset A^2$  be the set of all words  $ab$  such that  $a$  and  $b$  are non-consecutive. Then the set of all paths of  $G$  is:

$$P = A^+ - A^* D A^*.$$

Functions  $\alpha, \omega$  can be extended to  $\alpha, \omega : P \rightarrow V$  in the following way: if  $p = a_1 a_2 \dots a_n \in P, a_1, a_2, \dots, a_n \in A$ , then  $p\alpha = a_1\alpha, p\omega = a_n\omega$ . For each vertex  $v$  we adjoin to  $P$  a trivial path  $1_v$  where  $1_v\alpha = 1_v\omega = v$ . If  $p = a_1 a_2 \dots a_n \in P$ , then the length of  $p, |p| = n$ .

A path  $p$  is called a loop if  $p\alpha = p\omega$ . We say that two paths  $p_1$  and  $p_2$  are consecutive if  $p_1\omega = p_2\alpha$ . In this case the concatenation  $p_1 p_2$  is again a path. Two paths  $p_1$  and  $p_2$  are coterminial if  $p_1\alpha = p_2\alpha$  and  $p_1\omega = p_2\omega$ .

An equivalence relation  $\sim$  on  $P$  is called a graph congruence if it satisfies the following conditions:

- (i) if  $p_1 \sim p_2$ , then  $p_1$  and  $p_2$  are coterminal;
- (ii) if  $p_1 \sim p_2$  and  $p_3 \sim p_4$  and  $p_1, p_3$  are consecutive, then  $p_1 p_3 \sim p_2 p_4$ .

For trivial paths, by convention we set  $\tau_m(1_v) = \emptyset$ . Thus the relation  $_m \sim ({}_m \sim_1)$  is also defined on  $P$ . In [5] the following theorem is proved:

**THEOREM 3:** *Let  $\sim$  be a graph congruence of finite index on  $P$  satisfying the condition:*

$$(A) \quad (p_1 p_2)^n p_1 p_4 (p_3 p_4)^n \sim (p_1 p_2)^n (p_3 p_4)^n,$$

for some  $n \geq 1$  and  $p_1, p_2, p_3, p_4 \in P$ . (Note that  $p_1 p_2$  and  $p_3 p_4$  must be loops about the same vertex).

Then there exists an integer  $m \geq 1$  such that for any two coterminal paths  $x$  and  $y$ ,  $x_m \sim y$  implies  $x \sim y$ .

We will use this theorem in proving the semigroup characterization of languages of dot-depth at most one ( $\mathcal{B}_1$ ).

Let  $A$  be a finite alphabet. Define a graph  $G_k = (V, E, \alpha, \omega)$  for  $k \geq 0$  as follows:

$$V = \{ w \mid w \in A^* \text{ and } |w| = k \}$$

is the set of vertices,

$$E = \{ (w_1, \sigma, w_2) \mid \sigma \in A, w_1, w_2 \in V \text{ and } t_k(w_1 \sigma) = w_2 \},$$

is the set of edges (letters)

$$\alpha, \omega : E \rightarrow V, (w_1, \sigma, w_2) \alpha = w_1, (w_1, \sigma, w_2) \omega = w_2.$$

Let  $P$  be the set of all paths in  $G_k$ , including the empty path over each vertex from  $V$ . Now, let us define the mapping:

$$: A^k A^* \rightarrow P,$$

recursively as follows:

$$\bar{x} = 1_x \quad \text{if } x \in A^k,$$

$$\bar{x} \bar{\sigma} = \bar{x}(t_k(x), \sigma, t_k(x \sigma)).$$

For  $k=0$ , by convention  $A^0 = \{ \lambda \}$ . One can verify that the mapping  $\bar{\phantom{x}}$  is bijective. It follows from the definition that  $|x| = k+h$ ,  $h \geq 0$  iff  $|\bar{x}| = h$ .

If  $\rho$  is a congruence relation on  $A^*$ , then by  $\bar{\rho}$  we will denote the induced congruence on  $P$  defined in the following way: for  $\bar{x}, \bar{y} \in P$ ,  $x, y \in A^k A^*$ ,  $x \rho y$  if  $x, y$  are coterminal paths and  $x \rho y$ . One can verify that  $\bar{\rho}$  is a graph congruence on  $P$ .

PROPOSITION 4: Let  $G_k$  be a graph for  $k \geq 1$  and  $P$  be the set of all paths of  $G_k$ . Let  $x \in A^k A^*$ . If  $x = x_1 x_2$ , then  $\bar{x} = \overline{x_1 t_k(x_1) x_2}$ , for  $|x_1| \geq k$ .

Proof: If  $|x| = k$ , then the only decomposition possible is  $x = x\lambda$ . But  $\bar{x} = 1_x = 1_x 1_x = \overline{x\lambda} = \overline{x t_k(x)\lambda}$ . Induction assumption: the proposition is true for  $x$  such that  $|x| = k + h, h \geq 0$ . Suppose  $x = x_1 x_2 \sigma$ , where  $|x_1 x_2| = k + h$  and  $|x_1| \geq k$ . By definition:

$$\bar{x} = \overline{x_1 x_2 (t_k(x_1 x_2), \sigma, t_k(x_1 x_2 \sigma))}.$$

By the induction assumption:

$$\overline{x_1 x_2} = \overline{x_1 t_k(x_1) x_2}.$$

Hence:

$$\bar{x} = \overline{x_1 t_k(x_1) x_2 (t_k(x_1 x_2), \sigma, t_k(x_1 x_2 \sigma))}.$$

Again by definition:

$$\overline{t_k(x_1) x_2 \sigma} = \overline{t_k(x_1) x_2 (t_k(t_k(x_1) x_2), \sigma, t_k(t_k(x_1) x_2 \sigma))}.$$

Thus  $\bar{x} = \overline{x_1 t_k(x_1) x_2 \sigma}$  because  $t_k(x_1 x_2) = t_k(t_k(x_1) x_2)$ . Thus the induction step holds.  $\square$

LEMMA 5: Let  $x \in A^k A^*$  and  $\bar{x} = a_1 a_2 \dots a_n, a_j \in E, j = 1, 2, \dots, n$ . Then for  $i \in \{1, 2, \dots, n\}$   $a_i = (w, \sigma, t_k(w\sigma))$  iff  $x = x_1 w \sigma x_2$  for some  $x_1, x_2 \in A^*$  and  $|x_1 w \sigma| = k + i$ .

Proof: Suppose  $f_{k+i}(x) = x_1 w \sigma$ . By Proposition 3  $\bar{x} = \overline{x_1 w \sigma x_2}$ . By the definition of  $\bar{\quad}$  it follows from Proposition 3 that  $\overline{w \sigma x_2} = (w, \sigma, t_k(w\sigma)) \overline{t_k(w\sigma) x_2}$ . Also by the definition of  $\bar{\quad}$   $|x_1 w| = i - 1$ , because  $|x_1 w \sigma| = k + i - 1$ . Hence  $a_i = (w, \sigma, t_k(w\sigma))$ .

The converse follows in the similar way.  $\square$

PROPOSITION 6: For any  $x, y \in A^k A^*$ :

$$x_m \sim_k y \text{ implies } \bar{x}_m \sim \bar{y},$$

where  $\bar{x}, \bar{y} \in P$  of  $G_k$ .

Proof: If  $|x| \leq m + k$ , then  $x = y$  and consequently,  $\bar{x}_m \sim \bar{y}$ . Otherwise, let  $\tau_{m, k+1}(x) = \tau_{m, k+1}(y) \neq \emptyset$ . It follows from Lemma 5 that  $((\dot{w}_1, \sigma_1, v_1), \dots, (\dot{w}_m, \sigma_m, v_m)) \in \tau_m(\bar{x})$  implies  $(\dot{w}_1 \sigma_1, \dots, \dot{w}_m \sigma_m) \in \tau_{m, k+1}(x) = \tau_{m, k+1}(y)$ . Hen-

ce, again by Lemma 4  $((w_1, \sigma_1, v_1), \dots, (w_m, \sigma_m, v_m)) \in \tau_m(\bar{y})$ . Thus,  $\tau_m(\bar{x}) \subseteq \tau_m(\bar{y})$ . By symmetry,  $\tau_m(\bar{y}) \subseteq \tau_m(\bar{x})$ .

Since  $f_k(x) = f_k(y)$  and  $t_k(x) = t_k(y)$ , then  $\bar{x}$  and  $\bar{y}$  are coterminial.

Consequently,  $\bar{x}_m \sim \bar{y}$ .  $\square$

PROPOSITION 7: Let  $L \subseteq A^+$  and let  $S_L$  be the finite syntactic semigroup of  $L$ , satisfying the condition: there exists  $m, m > 0$ , such that for all idempotents  $e_1, e_2$  in  $S_L$  and any elements  $a, b, c, d \in S_L$ :

$$(e_1 a e_2 b)^m e_1 a e_2 d e_1 (c e_2 d e_1)^m = (e_1 a e_2 b)^m e_1 (c e_2 d e_1)^m.$$

Then the congruence  $\equiv_L$  on  $P$  of  $G_K$  for  $k = \text{card } S_L + 1$ , induced by the syntactic congruence  $\equiv_L$  satisfies condition (A) of Theorem 2 and is of finite index on  $P$ .

Proof: Since  $G_k$  is finite and  $\equiv_L$  is of finite index on  $A^+$ , then  $\equiv_L$  is of finite index on  $P$ .

We have to show that there is an integer  $n, n > 0$  such that:

$$(A) \quad (p_1 p_2)^n p_1 p_4 (p_3 p_4)^n \equiv_L (p_1 p_2)^n (p_3 p_4)^n,$$

for  $p_1, p_2, p_3, p_4 \in P$ .

Since  $p_1 p_2$  and  $p_3 p_4$  are loops about the same vertex and since paths  $p_1$  and  $p_4$  are consecutive by (A), then  $p_1 \alpha = p_2 \omega = p_3 \alpha = p_4 \omega = w$ , and  $p_1 \omega = p_2 \alpha = p_3 \omega = p_4 \alpha = v$  for some  $w, v \in A^k$ . Therefore we may assume that  $p_1 = \overline{wu_1}$ ,  $p_2 = \overline{vu_2}$ ,  $p_3 = \overline{wu_3}$ ,  $p_4 = \overline{vu_4}$  for some  $u_1, u_2, u_3, u_4 \in A^*$  such that  $t_k(wu_1) = t_k(wu_3) = v$ ,  $t_k(vu_2) = t_k(vu_4) = w$ . Consequently:

$$(p_1 p_2)^n p_1 p_4 (p_3 p_4)^n = \overline{w(u_1 u_2)^n u_1 u_4 (u_3 u_4)^n}.$$

Similarly:

$$(p_1 p_2)^n (p_3 p_4)^n = \overline{w(u_1 u_2)^n (u_3 u_4)^n}.$$

By the definition of  $\equiv_L$  it is sufficient to show that there exists  $n, n > 0$ , such that:

$$w(u_1 u_2)^n u_1 u_4 (u_3 u_4)^n \equiv_L w(u_1 u_2)^n (u_3 u_4)^n,$$

i. e.:

$$(1) \quad \underline{w(u_1 u_2)^n u_1 u_4 (u_3 u_4)^n} = \underline{w(u_1 u_2)^n (u_3 u_4)^n}.$$

Let  $s \in S_L$ . Since  $S_L$  is finite, then  $s^r$  is an idempotent for some  $r \geq 1$  ([4],



Proposition 4.2, p. 68). Now, since  $S_L$  satisfies the dot-depth one condition, there is  $m \geq 1$  such that:

$$s^r (ss^r)^m = s^r (ss^r)^{m+1}$$

i. e.  $s^r s^m = s^r s^m s$ . It follows that there exists an integer  $q$  such that for any  $s \in S_L$   $s^q = s^{q+1}$  i. e.  $S_L$  is aperiodic.

We claim that (1) holds for  $n > m, q$ . First we will show that if  $|u_1 u_2| > 0$  ( $|u_3 u_4| > 0$ ) then we may consider  $u_1, u_2$  ( $u_3, u_4$  respectively) such that  $|u_1|, |u_2| \geq k$  ( $|u_3|, |u_4| > k$  respectively). Since  $n > q$ , then by the aperiodicity of  $S_L$ :

$$\underline{w}(u_1 u_2)^n = \underline{w}(u_1 u_2)^{n(2k+1)}.$$

Let us define:

$$\tilde{u}_1 = (u_1 u_2)^k u_1, \quad \tilde{u}_2 = u_2 (u_1 u_2)^k.$$

Evidently:

$$|\tilde{u}_1|, |\tilde{u}_2| \geq k, \quad t_k(w \tilde{u}_1) = v, \quad t_k(v \tilde{u}_2) = w$$

and:

$$\underline{w}(u_1 u_2) = w(\tilde{u}_1 \tilde{u}_2)^n.$$

Similarly, we may proceed for  $u_3$  and  $u_4$ .

Now, we consider the full case if  $|u_1 u_2|, |u_3 u_4| > 0$ . The other cases if  $|u_1 u_2| = 0$  or  $|u_3 u_4| = 0$  follow in the same way. By the above, instead of proving (1) it is sufficient to show that:

$$(2) \quad \underline{w}(u_1 v u_2 w)^n u_1 v u_4 w (u_3 v u_4 w)^n = \underline{w}(u_1 v u_2 w)^n (u_3 v u_4 w)^n,$$

holds.

Now, since  $|w| = |v| = k > \text{card } S_L + 1$ , then  $w = w_1 w_2 w_3$  and  $v = v_1 v_2 v_3$  for  $w_1, w_3, v_1, v_3 \in A, w_2, v_2 \in A^+$  such that  $\underline{w}_1 = \underline{w}_1 \underline{w}_2^i, v_1 = v_1 v_2^i$  for any  $i \geq 0$ . So as before, we can choose  $i$  such that  $\underline{w}_2^i$  and  $v_2^i$  are idempotents in  $S_L$ . Thus (2) can be rewritten in a form:

$$\underline{w}_1 e_1 (ae_1 be_1)^n ae_2 de_1 (ce_2 de_1)^n w_3 = \underline{w}_1 e_1 (ae_2 be_1)^n (ce_2 de_1)^n w_3,$$

where:  $e_1 = \underline{w}_2^i, \quad e_2 = v_2^i, \quad a = \underline{w}_3 u_1 v_1,$   
 $b = \underline{v}_3 u_2 w_1, \quad c = \underline{w}_3 u_3 v_1$

and  $d = \underline{v}_3 \underline{u}_4 \underline{w}_1$ . Thus by the dot-depth one condition, (2) holds.  $\square$

4. SEMIGROUP CHARACTERIZATION OF  $\mathcal{B}_1$

Now we are in a position to prove our main result.

**THEOREM 8:** *Let  $L$  be a language,  $L \subseteq A^+$  and let  $S_L$  be its syntactic semigroup. Then the following are equivalent:*

- (i)  $L \in \mathcal{B}_1$ ;
- (ii)  $L$  is a  ${}_m \sim_k$  language for some  $m, k \geq 1$ ;
- (iii)  $S_L$  is finite and there is an integer  $n > 0$  such that for all idempotents  $e_1, e_2$  in  $S_L$  and any elements  $a, b, c, d$  in  $S_L$ :

$$(e_1 a e_2 b)^n e_1 a e_2 d e_1 (c e_2 d e_1)^n = (e_1 a e_2 b)^n e_1 (c e_2 d e_1)^n.$$

*Proof:* (i)  $\Leftrightarrow$  (ii) by Theorem 2;

(ii)  $\Rightarrow$  (iii) : by (a) of Proposition 1  $S_L$  is finite.

Now, let  $e_1 = \underline{z}_1, e_2 = \underline{z}_2, a = \underline{x}, b = \underline{y}, c = \underline{u}, d = \underline{v}$  for some  $z_1, z_2, x, y, u, v \in A^+$ . Define  $w_1 = z_1^h, w_2 = z_2^h$  for  $h$  such that  $|w_1|, |w_2| \geq k$ . Consequently,  $e_1 = \underline{w}_1, e_2 = \underline{w}_2$ . By (d) of Proposition 1 for  ${}_m \sim_k$ :

$$(\underline{w}_1 \underline{x} \underline{w}_2 \underline{y})^m \underline{w}_1 \underline{x} \underline{w}_2 \underline{v} \underline{w}_1 (\underline{u} \underline{w}_2 \underline{v} \underline{w}_1)^m = (\underline{w}_1 \underline{x} \underline{w}_2 \underline{y})^m \underline{w}_1 (\underline{u} \underline{w}_2 \underline{v} \underline{w}_1)^m.$$

Thus  $S_L$  satisfies the dot-depth one condition with  $n = m$ .

(iii)  $\Rightarrow$  (ii): suppose  $S_L$  satisfies the dot-depth one condition with  $n$ . Let  $k = \text{card } S + 1$ . By Proposition 7 the induced syntactic congruence  $\overline{\equiv}_L$  on  $P$  of  $G_k$ , satisfies the condition (A) of the theorem on graphs with some  $n_1 > n, q$ , and is of finite index on  $P$ . Hence by Theorem 3 there exists  $m$  such that for any two coterminal paths  $x, y$ .

$$\overline{x}_m \sim \overline{y} \quad \text{implies} \quad \overline{x} \overline{\equiv}_L \overline{y}.$$

Now, consider  $x, y \in A^k A^*$ , and the congruence  ${}_m \sim_k$ . We have that  $x {}_m \sim_k y$  implies  $\overline{x}_m \sim \overline{y}$  and that  $\overline{x}, \overline{y}$  are coterminal. Hence,  $x {}_m \sim_k y$  implies  $\overline{x} \overline{\equiv}_L \overline{y}$  and consequently,  $x \overline{\equiv}_L y$ . If  $|x| \leq k$ , then  $x {}_m \sim_k y$  implies  $x = y$  and consequently,  $x \overline{\equiv}_L y$ . Thus  $L$  is a  ${}_m \sim_k$  language.  $\square$

It is easy to see that if a syntactic semigroup satisfies the dot-depth one condition, then it also satisfies the condition: there exists an integer  $n > 0$  such that for any idempotent  $e$  in  $S_L$  and any elements  $a, b \in S_L$ :

$$(e a e b)^n e a e = (e a e b)^n e = e b e (a e b e)^n.$$

The following example shows that the converse is not true.

Let  $A = \{0, 1, 2, 3\}$  and let  $L = (01^+ \cup 02^+)^* 01^+ 3(2^+ 3 \cup 1^+ 3)^*$ . The syntactic semigroups  $S_L$  of  $L$  satisfies the above condition, but it fails the dot-depth one condition. By Theorem 8  $L \notin \mathcal{B}_1$ . On the other hand one can verify that  $L \notin \mathcal{B}_1$ , apart from Theorem 8, using (d) of Proposition 1 and proving that for any  $m, k$   $L$  cannot be a  $m \sim_k$  language.

#### REFERENCES

1. J. A. BRZOWSKI, *Hierarchies of a Periodic Languages*, R.A.I.R.O., Informatique Théorique, Vol. 10, No. 8, 1976, pp. 33-49.
2. J. A. BRZOWSKI and R. KNAST, *The Dot Depth Hierarchy of Star-Free Languages is Infinité*, J. Computer and System Sc., Vol. 16, No. 1, 1978, pp. 37-55.
3. R. S. COHEN and J. A. BRZOWSKI, *Dot-Depth of Star-Free Events*, J. Computer and System Sc., Vol. 5, 1971, pp. 1-16.
4. S. EILENBERG, *Automata, Languages and Machines*, Vol. B, Academic Press, New York, 1976.
5. R. KNAST, *Some Theorems on Graph Congruences*, R.A.I.R.O., Informatique Théorique, Vol. 17, No. 4, pp. 331-342.
6. I. SIMON, *Hierarchies of Events with Dot-Depth One*, Dissertation, University of Waterloo, Canada, 1972.