# A sensitivity analysis of the importance of the dynamic parameters on the paver's performance

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**Abstract.** In order to research the impact of the vibration on working stability of the vibration compaction system (VCS) and increase the paver's performance, the acceleration responses at the screed surface under the excitation frequencies of the tampers and vibration screed are analyzed via the root mean square acceleration responses (RMSAR) at the bottom of the screed surface. A non-linear dynamic model of the VCS is then established to research the impact of the VCS's dynamic parameters on the performance of paver via the objective functions of the vertical, pitch and roll RMSAR of the vibration screed. The dynamic parameters are then optimized based on a multi-objective genetic algorithm to enhance the paver's performance. The experiment and simulation results show that the dynamic parameters greatly affect the paver's performance. The compression performance is quickly increased, while the working stability and paving quality are reduced with increasing the angular deviations of tampers and excitation frequencies of both the tampers and vibration screed and vice versa. Also, the paver's performance is significantly improved by using the optimal parameters of the VCS.

Keywords: vibration compaction system, asphalt paver, paver's performance, genetic algorithm.

## 1. Introduction

To pave the asphalt mixture on the various pavements road uniformly and rapidly, the vibration compaction system (VCS) of the asphalt paver equipped with a couple of tampers and vibration screed had been applied [1-5]. The tampers were employed to squeeze the mixture of the asphalt which was paved on the surface of pavements to become tighter, while the vibration screed was employed to enhance smoothness and finish the pavements. Three performance indices of the compression efficiency, paving quality and working stability were then used to evaluate the performance of the asphalt paver [1, 6].

The existed researches showed that the compression performance of the asphalt paver was greatly influenced by both the compacting forces generated by the tampers and asphalt material's properties [2, 7-11]. The research results of the effect of the temperature [12, 13] and plastic deformation [8, 14] of the hot mix asphalt materials on the paver's performance indicated that the asphalt density and compression efficiency significantly depended on the hot mix asphalt. To reach the desired value of density, the fuzzy clustering techniques were applied to quickly analyze the compaction data of the hot mix asphalt [7]. Based on the compaction monitoring system [15], the errors in the compaction process including the compaction delay, unequal compaction converge and temperature of the mixed asphalt were then controlled. However, the temperature deviation on the paving surface was still high, thus, an infrared temperature scanning bar system [16] was also investigated and applied to solve this issue. The above research results show that compression efficiency was significantly ameliorated via the application of modern technology to control the characteristics of the asphalt mixture and compacting forces of the tampers. However, the above studies only focused on analyzing the effect of asphalt material properties on compression efficiency. Therefore, the compacting force was only considered under the excitation frequency of tampers, while the compacting force was depended not only by the mass and excitation frequency but also by the angular deviations of tampers [1, 2, 6]. Consequently, the impact of angular deviations of tampers characterized by the design parameters of the VCS on compression efficiency should be considered to fully evaluate the paver's performance.

The influence of the design parameters of the VCS on the working stability and paving quality of the asphalt paver was then evaluated [1, 5, 6, 17]. Besides, the effect of the operating parameters of the VCS such as the moving speed, excitation frequencies, and mass of tampers on the pavement smoothness was also studied based on the acceleration response at the bottom of the vibration screed surface [18, 19]. The results showed that the vibration excitation of tampers significantly affected paving quality. Thus, a 2D model of the VCS was established to analyze the impact of excitation frequency of the tampers  $(f_1)$  and vibrator screed  $(f_2)$  on the accelerations and displacements of the front and rear screed [5]. The results showed that the vibration screed gained better service performance when the vibration frequency of  $f_1$  was in a range of 10-20 Hz and  $f_2$ was a range of 30-40 Hz. To enhance the working stability and paving quality, an excitation frequency range of both  $f_1$  and  $f_2$  from 0 to 45 Hz were optimized under the different pavements of the asphalt mixtures of SMA-13, AC-20, and AC-25 [2-4, 6]. The paving performance was then found at the excitation frequencies of  $f_1 = 15$  Hz and of  $f_2 = 32$  Hz [6]. All the above studies indicated that the performance of the asphalt paver was improved based on the optimization of the genetic algorithm. However, both the working stability and paving quality were still low under the optimal frequencies of  $f_1$  and  $f_2$ . In order to solve this issue, the eccentric distance of the eccentric shaft and mass of tampers were also optimized [20]. Based on the optimal results, the vibration amplitude at all nodes on the vibration screed was significantly decreased, thus, the surface quality of paving was also improved. In all the above studies, a 2D model was mostly used to analyze and optimize the parameters of the VCS. However, the actual width of the vibration screed is 9 or 12 m [5, 6], thus, the roll angle of vibration screed could significantly affected the working stability and paving quality. Conversely, this issue has not yet been concerned with the existed researches.

To fully reflect the impact of dynamic parameters of the VCS on the paver's performance, the experimental study is given to analyze the actual performance of the asphalt paver. A non-linear dynamic model with three dimensions (3D) of the VCS is then established to research the impact of the dynamic parameters on the compression efficiency, paving quality and working stability of the asphalt paver. To improve the paver's performance, multi-objective optimization of the genetic algorithm is then applied to optimize the dynamic parameters. The root mean square acceleration response (RMSAR) [5, 14] at the centre of gravity of vibration screed in all the vertical, pitch, and roll directions are chosen as the objectives to evaluate the compression efficiency ( $\overline{E}$ ), paving quality and working stability ( $\overline{Q}$ ). The innovation in the paper is that a 3D nonlinear dynamic model is given to fully evaluate the influence of the pitch and roll angles of the vibration screed on paving quality and working stability. All the dynamic parameters of the VCS are analyzed and optimized to improve the paver's performance based on the experimental study and numerical simulation.

### 2. Experimental study and mathematical model

## 2.1. Experimental test

The dynamic parameters of the VCS including the excitation frequencies  $f_1$  and  $f_2$  of the tampers and vibrator screed, the angular deviations  $\alpha$ ,  $\beta_i$ , and  $\phi$  of excitation forces between the right and left sides of the first and second tampers, between tampers, and between the first and second tampers, as described in Fig. 5 and Eq. (10). In order to evaluate the vibration and stability of machines, the acceleration response calculated via the RMSAR was mainly applied [6, 11, 21]. This paper, the actual performance of the asphalt paver is analyzed based on the RMSAR of experimental results under the main excitations of  $f_1$  and  $f_2$ . The experimental process is

performed as follows:

(i) Preparing instruments: An asphalt paver including a screed width of 12 m, a dynamic test, and analysis system of Belgium LMS, and 3D accelerometers ICP are given to determine the acceleration responses at the bottom of the screed surface. The sensors are calibrated and installed at 13 locations on the front  $a_m$  and rear  $b_m$  of the screed surface, (m = 0.12). The experimental model is illustrated in Fig. 1.

(ii) Experimental process: To measure the acceleration response on the screed surface, multi-point measurements of the vertical acceleration responses [11] at the bottom of the screed surface are used. The measurement process is performed in two cases: (1) under excitation frequency of tampers  $f_1 = \{20\%, 40\%, 60\%, 80\%\}$  of  $f_{1max}$  and without the excitation of the vibrator screed,  $f_2 = 0$ ; (2) also under same vibration excitation of  $f_1$  and using  $f_2 = 50\%$  of  $f_{2max}$  of the vibrator screed. However, it is difficult to accurately determine the input excitation frequencies of  $f_1$  and  $f_2$ , thus, based on the maximum rotation angular velocity of tampers and vibrator screed are given by the operator as the vibration excitations for the experimental process. To simplify the analysis of results, the vibration excitation of the tampers and vibrator screed are defined by ratios  $\lambda$  and  $\gamma$  as follows:

$$\lambda = \frac{\omega_1}{\omega_{1max}} = \frac{f_1}{f_{1max}},\tag{1}$$
$$\gamma = \frac{\omega_2}{\omega_2} = \frac{f_2}{f_2}$$

$$\gamma = \frac{1}{\omega_{2max}} = \frac{1}{f_{2max}}.$$

Therefore, two experimental cases are rewritten by Eq. (1)  $\lambda = \{0.2, 0.4, 0.6, 0.8\}$  with  $\gamma = 0$  and (2) with  $\gamma = 0.5$ . The time of a test is 30 s.

(iii) Extracting data: Through the signal processor and displaying the results, as shown in Fig. 1(b), the measured data including the vertical acceleration responses and the RMSAR at measured positions on the screed surface are carried out.

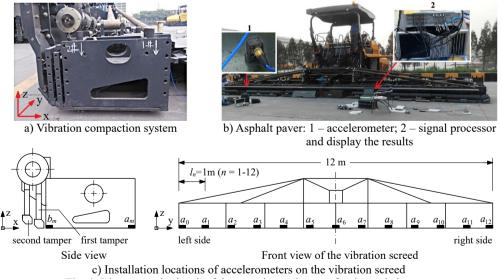


Fig. 1. Diagrammatic sketch of the experimental set-up for the asphalt paver

## 2.2. Experimental result and analysis

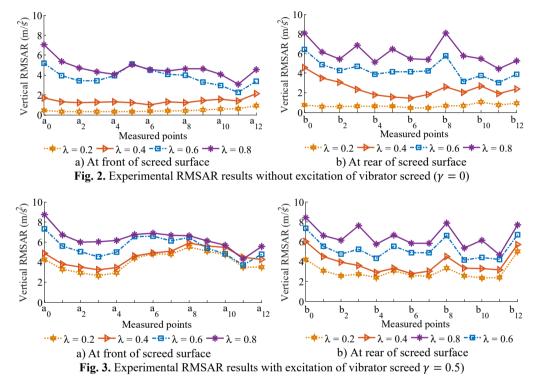
In order to evaluate the paver's performance, the indexes of the compression efficiency  $(\overline{E})$ ,

paving quality and working stability  $(\overline{Q})$  are proposed based on statistical theory [22] as follows:

$$\overline{E} = \frac{\sum_{i=1}^{n} X_{n}}{\sum_{i=1}^{n} X_{n}},$$
(3)

$$\overline{Q} = \frac{\sum_{i=2}^{n} |X_n - X_{n-1}|}{n-1},$$
(4)

where  $X_n$  is the RMSAR at the measured points n;  $\overline{E}$  is the average value of  $X_n$ ;  $\overline{Q}$  is the average deviation between the measured points of  $X_n$ ,  $n = \{a_m, b_m\}$ ; thus, both the bigger value of  $\overline{E}$  and the smaller value of  $\overline{Q}$  meaning that the paver's performance is better.



The measured and statistical results of the vertical RMSAR at bottom of the screed surface under the various excitations of  $\lambda = \{0.2, 0.4, 0.6, 0.8\}$  with  $\gamma = 0$  and 0.5 are shown in Figs. 2 and 3, and listed in Table 1. With  $\gamma = 0$  (without the excitation of  $f_2$ ), observing the results in Fig. 2, it can see that the vertical RMSAR at the bottom of the screed surface is insignificantly skewed with  $\lambda = 0.2$  and 0.4. It implies that the pavement surface is relatively smooth but the compressive efficiency is low. When increasing excitation of  $\lambda$  to 0.6 or 0.8, the vertical RMSAR at the bottom of the screed surface is strongly enhanced, thus, the compressive efficiency of the VCS is increased. However, the vertical RMSAR at the measured points at front/rear positions on screed surface are greatly skewed, these results show that the VCS works unstable and the paving quality is low, especially at  $\lambda = 0.8$ . Consequently, the vibration excitation of  $f_1$  greatly affects the paver's performance. With  $\gamma = 0.5$  (adding 50 % of  $f_2$ ), Fig. 3 shows that the vertical RMSAR is skewed not only greater but also higher their values without the  $f_2$ . It is due to the effect of the  $f_2$  part from the main excitation of  $f_1$ . The calculated results in Table 1 also show that both the average values of  $\overline{E}$  and  $\overline{Q}$  are enhanced with increasing ratios of  $\lambda$  and  $\gamma$ . Thus, compressive efficiency is increased, while the paving quality and working stability are remarkably reduced. In order to evaluate the impact of the vibration on the screed shaking which directly affects the smoothness and stability of the VCS, the vertical (Z), pitch and roll ( $\varphi$  and  $\theta$ ) acceleration responses at the centre of gravity of the vibration screed can be calculated via the measured results of the vertical RMSAR at the front/rear points on the screed surface according to the kinetic relationship of screed. It is assumed that the effect of angle deformations of screed is negligible, thus, the acceleration responses of the vibration screed are calculated by [5, 11]:

$$\ddot{Z} = \frac{\ddot{Z}_{b_6} x_3 + \ddot{Z}_{a_6} x_4}{x_3 + x_4}, \quad \ddot{\varphi} = \frac{\ddot{Z}_{b_6} - \ddot{Z}_{a_6}}{x_3 + x_4}, \quad \ddot{\theta} = \frac{(\ddot{Z}_{b_1} - \ddot{Z}_{b_{11}})x_3 + (\ddot{Z}_{a_1} - \ddot{Z}_{a_{11}})x_4}{(l_{a_1} + l_{a_2})(x_3 + x_4)},$$
(5)

where  $\ddot{Z}_k$  is the vertical RMSAR at measured points,  $(k = a_1, a_6, a_{11}, b_1, b_6, b_{11})$ ;  $x_3, x_4$  and  $y_{a1}, y_{a2}$  are the distances between the centre of gravity of the vibration screed and the corresponding measurement points in the directions of x and y.

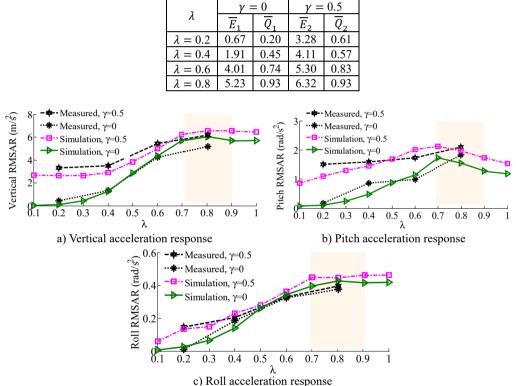


Table 1. The statistical results of the RMSAR on screed surface

Fig. 4. Measured results of the RMSAR at the centre of gravity of the vibration screed

Measured results of the RMSAR of the vibration screed under different excitations of  $\lambda = \{0.2, 0.4, 0.6, 0.8\}$  with  $\gamma = 0$  and 0.5 are shown in Fig. 4. Observing Figs. 4(a)-(c), the results indicate that the RMSAR values in all the vertical, pitch and roll directions are strongly changed by the ratios of  $\lambda$  and  $\gamma$ , particularly the  $\lambda$ . Their values are slightly increased by  $\lambda \leq 0.4$ , and strongly enhanced by  $\lambda > 0.4$ . Besides, the RMSAR values with  $\gamma = 0.5$  are also higher than without  $\gamma$ . This is due to the influence of increasing the vibration excitations of  $f_1$  and  $f_2$ . Thus, the compressive efficiency is significantly increased by increasing both the pitch and roll angles of the vibration screed, especially at  $\lambda = 0.8$ . To fully reflect the influence of dynamic

parameters on the paver's performance, especially the screed shaking, the other dynamic parameters of the VCS such as the angular deviations  $\alpha$ ,  $\beta_i$ , and  $\phi$  of the tampers also need to be concerned. However, it is difficult to analyze the effect of these angular deviations on the paver performance based on the experimental method. To solve this problem, a 3D dynamic model of the VCS is then concerned.

#### 2.3. Mathematical model of the VCS

In this study, a 3D dynamic model of the VCS which may fully assess the screed shaking on the paver's performance is built to analyze the dynamic parameters of the VCS, as plotted in Fig. 5, where Z,  $\varphi$ , and  $\theta$  are the vertical, pitch and roll motions at the centre of gravity of screed; m,  $m_{1i}$ , and  $m_{2i}$  are the mass of the screed, first and second tampers;  $k_{1i}$ ,  $k_{2i}$ ,  $k_j$  and  $c_{1i}$ ,  $c_{2i}$ ,  $c_j$  are the medium stiffness and damping coefficients of pavement at the bottom of the first/second tampers, and screed surface, respectively;  $F_{ti}$  and  $F_s$  are the vertical excitation forces produced by the rotating eccentric masses and excitation frequencies of the tampers and vibrator screed;  $x_j$  and  $y_{1,2}$ ,  $y_{ti}$  are the VSS's longitudinal and lateral distances, i = 1-8, j = 1-4.

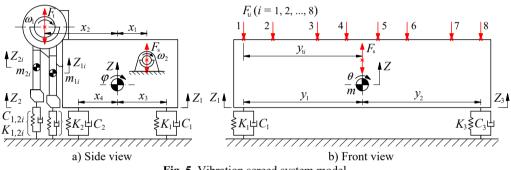


Fig. 5. Vibration screed system model

According to the nonlinear vibration theory and the VCS's dynamic model, the motion equations are written by:

$$m\ddot{Z} = F_{s} + \sum_{i=1}^{8} F_{ti} - \sum_{j=1}^{4} F_{j},$$

$$I_{y}\ddot{\varphi} = \sum_{i=1}^{8} F_{ti}x_{2} + \sum_{j=1}^{4} (-1)^{j+1}F_{j}x_{u} - F_{s}x_{1},$$

$$I_{x}\ddot{\theta} = \sum_{j=1}^{4} (-1)^{\nu}F_{j}y_{\nu} + \sum_{i=1}^{4} F_{ti}y_{ti} - \sum_{i=4}^{8} F_{ti}y_{ti}.$$
(6)

The vertical dynamic forces of the vibration screed-pavement interaction in the paving process is described by:

$$F_{j} = C_{j}\{\dot{Z} + (-1)^{j}x_{u}\dot{\varphi} + (-1)^{\nu+1}y_{\nu}\dot{\theta}\} + k_{j}\{Z + (-1)^{j}x_{u}\varphi + (-1)^{\nu+1}y_{\nu}\theta\},\tag{7}$$

where v = 1, u = j + 2 with j = 1, 2; v = 2, u = j with j = 3, 4.

With the mass  $m_s$  and distance  $e_s$  of the eccentric configuration of the vibrator screed, the excitation force of the vibrator screed is described as follows:

 $F_s = m_s e_s \omega_2^2 \sin \omega_2 t. \tag{8}$ 

The excitation force of the first/second tampers affecting the vibration screed can be calculated by:

$$F_{ti} = \begin{cases} -m_{1i}\ddot{Z}_{1i} + C_{1i}\dot{Z}_{1i} + K_{1i}Z_{1i} \\ -m_{2i}\ddot{Z}_{2i} + C_{2i}\dot{Z}_{2i} + K_{2i}Z_{2i} \end{cases}$$
(9)  
$$(Z_{1i} = e_{1i}\sin(\omega_{1i}t + \beta_{1i} + \zeta_{1i})$$

$$Z_{2i} = c_{2i} \sin(\omega_1 t + \beta_i + \zeta \alpha + \phi),$$
(10)

where  $e_{1i}$  and  $e_{2i}$  are the eccentric distances of tampers,  $\beta_i$  is the angular deviations of excitation forces between tampers,  $\alpha$  is the angular deviation of excitation forces between the right and left sides of the first/second tampers, and  $\phi$  is the angular deviation of excitation forces between the first/second tampers.

By replacing Eq. (10) into (9) and the mathematical transformation, the  $F_{ti}$  is rewritten by:

$$F_{ti} = m_{1i}e_{1i}\omega_1^2\sin(\omega_1 t + \beta_i + \zeta \alpha) + m_{2i}e_{2i}\omega_1^2\sin(\omega_1 t + \beta_i + \zeta \alpha + \phi) + C_{1i}e_{1i}\omega_1\cos(\omega_1 t + \beta_i + \zeta \alpha) + C_{2i}e_{2i}\omega_1\cos(\omega_1 t + \beta_i + \zeta \alpha + \phi) + K_{1i}e_{1i}\sin(\omega_1 t + \beta_i + \zeta \alpha) + K_{2i}e_{2i}\sin(\omega_1 t + \beta_i + \zeta \alpha + \phi),$$
(11)

where  $\zeta = 0$  with i = 1-4,  $\zeta = 1$  with i = 5-8.

By combining Eqs. (6)-(8) and (11), all the acceleration responses of the vibration screed in the vertical, pitch and roll directions are solved, and the vertical acceleration responses at front/rear points ( $a_m$  and  $b_m$ ) under the screed surface are thus calculated as:

$$\ddot{Z}_{\psi} = \ddot{Z} + (-1)^{\nu} x_{\nu+2} \ddot{\varphi} + (-1)^{\nu+1} y_n \ddot{\theta}, \tag{12}$$

where  $\psi$  is  $a_m$  or  $b_m$ ; v = 1 with m = 0-6; v = 2 with m = 7-12.

In order to assess the effect of the dynamic parameters on the paver's performance as well as compare the simulation results with test results, the RMSAR at points under the screed surface and at the centre of gravity of the vibration screed is calculated by [21, 23]:

$$RMSAR_{\psi} = \sqrt{\frac{1}{T} \int_0^T [\ddot{Z}_{\psi}(t)]^2 dt},$$
(13)

$$RMSAR_{w} = \sqrt{\frac{1}{T} \int_{0}^{T} [a_{w}(t)]^{2} dt},$$
(14)

where w is the symbol for Z,  $\varphi$ , or  $\theta$ ;  $\ddot{z}_{\psi}(t)$  is the acceleration in the vertical direction at  $a_m$  and  $b_m$  calculated as in Eq. (12);  $a_w(t)$  is the acceleration in the vertical direction of w; T is the simulation time.

#### 3. Simulation and discussion

To verify the accuracy of the mathematical model as well as analyze the impact of dynamic parameters on the paver's performance, an excitation frequency range of tampers characterized by the ratios of  $\lambda = \{0.1, 0.2, ..., 1.0\}$  with two different excitations of the vibrator screed  $\gamma = 0$  and 0.5 are simulated and compared with the measured results under the same experimental condition. The lumped parameters of the VCS are listed as in Table 2.

#### A SENSITIVITY ANALYSIS OF THE IMPORTANCE OF THE DYNAMIC PARAMETERS ON THE PAVER'S PERFORMANCE. VANLIEM NGUYEN, JIANRUN ZHANG

Table 2. Euliped parameters of the VCB									
Parameter	Value	Parameter	Value						
m / kg	3083	<i>e<sub>s</sub></i> / m	2.5×10 <sup>-3</sup>						
m <sub>11,18</sub> / kg	78	<i>e</i> <sub><i>i</i>1</sub> / m	3×10-3						
<i>m</i> <sub>12,17</sub> / kg	110	<i>e</i> <sub>i2</sub> / m	3×10-3						
<i>m</i> <sub>13,16</sub> / kg	70	$\omega_{1max}$ / rpm	1250						
m <sub>14,15</sub> / kg	69	$\omega_{2max}$ / rpm	2700						
m <sub>21,28</sub> / kg	80	$K_{1,3}$ / N m <sup>-1</sup>	$3.57 \times 10^{6}$						
m <sub>22,27</sub> / kg	114	$K_{2,4}$ / N m <sup>-1</sup>	3.06×10 <sup>6</sup>						
m <sub>23,26</sub> / kg	71	$K_{i1,2}$ / N m <sup>-1</sup>	0						
m <sub>24,25</sub> / kg	72	<i>C</i> <sub>1,3</sub> / Ns m <sup>-1</sup>	58×10 <sup>3</sup>						
<i>x</i> <sub>1</sub> / m	0.114	C <sub>2,4</sub> / Ns m <sup>-1</sup>	68×10 <sup>3</sup>						
<i>x</i> <sub>2</sub> / m	0.2	$C_{i1,2}$ / Ns m <sup>-1</sup>	0						
<i>x</i> <sub>3</sub> / m	0.332	β / °	90; 180						
<i>x</i> <sub>4</sub> / m	0.163	α / °	60						
<i>y</i> <sub>1</sub> / m	6	φ / °	{90; 120; 180}						
<i>y</i> <sub>2</sub> / m	6	<i>i</i> = 1-12							
<i>y</i> <sub>2</sub> / III	0	$l = 1^{-12}$							

Table 2. Lumped parameters of the VCS

The comparison results of the RMSAR at the centre of gravity of the vibration screed are shown in the same Figs. 4(a)-(c). The results indicate that the characteristic curves of the RMSAR with the simulation are similar to the measured results. Therefore, the VCS's model can be reliable to assess the simulation results.

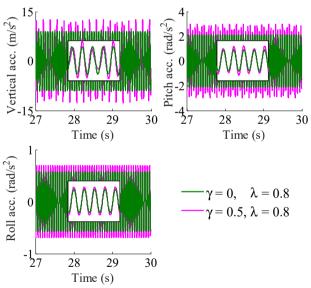


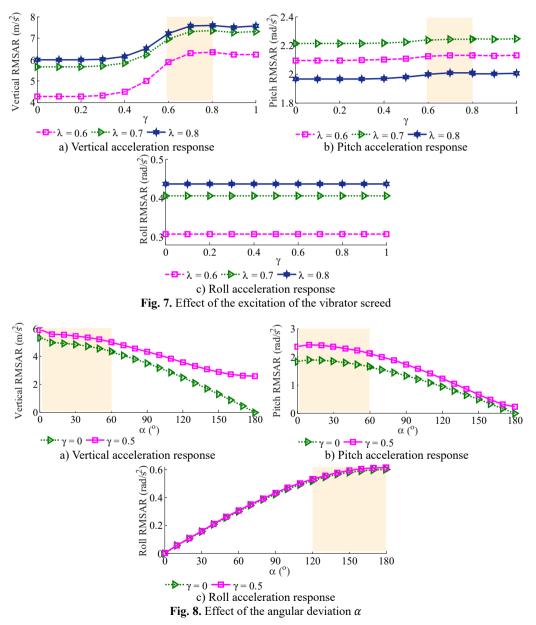
Fig. 6. Acceleration responses of the vibration screed

#### 3.1. Effect of the dynamic parameters

#### 3.1.1. Effect of excitation frequency of tampers

The tempers are used to compact the asphalt pavement, thus, the excitation frequency of the tampers not only affects the stability of paving density but also influences the vibration of the screed surface, which causes the unevenness of the paving surface [14]. Under the vibration excitation of tampers characterized by the ratios of  $\lambda = \{0.1, 0.2, ..., 1.0\}$  with  $\gamma = 0$  and 0.5, the simulation results in the same Fig. 4 show that the RMSAR in all vertical, pitch and roll motions

are remarkably affected by the ratio of  $\lambda$  in both two cases of  $\gamma$ . Especially, all values of the RMSAR are maximum at a range of  $0.6 \le \lambda \le 0.9$  corresponding the excitation frequency of tampers,  $12.5 \le f_1 \le 18.7$  Hz. Therefore, the compressive efficiency of the VCS is also the maximum at this frequency range. However, the paving quality and working stability are significantly reduced due to increasing the maximum paver's pitch and roll vibrations. Observing Fig. 4(a), the vertical RMSAR is the largest at  $\lambda = 0.8$  ( $f_1 = 16.6$  Hz), concurrently, both pitch and roll RMSAR in Figs. 4(b)-(c) are slightly reduced. Thus, the excitation of tampers at  $\lambda = 0.8$  can significantly improve the paver's performance. At a ratio of  $\lambda = 0.8$  with  $\gamma = 0$  and 0.5, the acceleration responses at the centre of gravity of the vibration screed are plotted as in Fig. 6.



#### 3.1.2. Effect of excitation frequency of vibrator screed

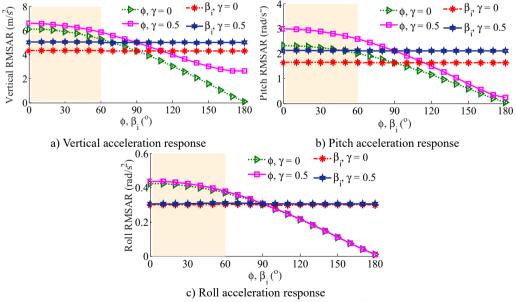
An excitation frequency range of vibrator screed characterized by the ratio of  $\gamma = \{0.1, 0.2, ..., 1.0\}$  with three maximum excitations of the tampers including  $\lambda = \{0.6, 0.7, 0.8\}$  is respectively simulated to evaluate the impact of the excitation frequency of  $f_2$  on the paver's performance.

The simulation results of the vertical, pitch and roll RMSAR at the centre of gravity of the vibration screed are shown in Fig. 7. Observing Fig. 7(a), it can see that the vertical RMSAR is lightly affected at  $0 \le \gamma \le 0.5$  and  $0.8 \le \gamma \le 1.0$ , and it is strongly increased at  $0.6 \le \gamma \le 0.8$  corresponding the excitation frequency of  $27 \le f_2 \le 36$  Hz in all three cases of  $\lambda = \{0.6, 0.7, 0.8\}$ . Contrariwise, both the pitch and roll RMSARs are insignificantly affected by the excitation of the vibrator screed, as depicted in Figs. 7(b)-(c). This is due to the vibrator screed is installed near the centre of gravity of the vibration screed. Besides, with  $\lambda = 0.8$ , the vertical RMSAR is the largest, while the pitch RMSAR is the smallest. Thus, the paver's performance is significantly improved at  $0.6 \le \gamma \le 0.8$  with  $\lambda = 0.8$ , corresponding the excitation frequencies of  $f_1 = 16.6$  Hz and  $27 \le f_2 \le 36$  Hz.

#### 3.1.3. Effect of the angular deviation $\alpha$

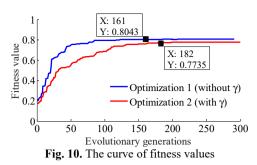
To analyze the impact of the angular deviation  $\alpha$  on the pave's performance, a range of  $\alpha = \{0^{\circ}, 10^{\circ}, 20^{\circ}, ..., 180^{\circ}\}$  is simulated at a ratio of  $\lambda = 0.8$  with  $\gamma = 0$  and 0.5. The results of the vertical, pitch and roll RMSAR of the vibration screed are plotted in Fig. 8.

The simulation results show that the pave's performance is significantly affected by the angular deviation of  $\alpha$ . Both the vertical and pitch RMSARs are reduced while the roll RMSAR is enhanced with increasing the angular deviation of  $\alpha$  in both cases of  $\gamma = 0$  and 0.5. The maximum values of the vertical and pitch RMSARs are obtained at  $0^{\circ} \le \alpha \le 60^{\circ}$ . It implies that the compressive efficiency of the VCS is the largest. Additionally, with the screed's width 12 m, the screed's roller vibration strongly affects on paving quality and working stability of the VCS. However, the minimum RMSAR of the screed's roll vibration is also obtained at  $0^{\circ} \le \alpha \le 60^{\circ}$ . Consequently, in order to enhance the paver's performance, the angular deviation of  $\alpha$  in a range of  $0^{\circ} \le \alpha \le 60^{\circ}$  should be chosen.





A SENSITIVITY ANALYSIS OF THE IMPORTANCE OF THE DYNAMIC PARAMETERS ON THE PAVER'S PERFORMANCE. VANLIEM NGUYEN, JIANRUN ZHANG



### 3.1.4. Effect of the angular deviations $\phi$ and $\beta_i$

Similarly, the same initial conditions of the excitations of  $\lambda = 0.8$  with  $\gamma = 0$  and 0.5, both the angular deviations of  $\phi$  and  $\beta_i$  in a range from 0° to 180° are also simulated, respectively. With the impact of the angular deviation  $\phi$ , the simulation results are plotted in Fig. 9. All the vertical, pitch and roll RMSARs are quickly decreased in both cases of  $\gamma = 0$  and 0.5 with increasing the angular deviation  $\phi$ , especially from 90° to 180°. The minimum RMSARs of the screed's pitch and roll vibrations are obtained with an angular deviation range of  $120^\circ \le \phi \le 180^\circ$ . Therefore, both the paving quality and working stability are significantly improved due to decreasing the screed shaking.

With the impact of the angular deviation  $\beta_i$ , the results are also given in the same Fig. 9. Observing Figs. 9(a)-(c), it can see that the RMSARs are insignificantly affected by the angular deviation  $\beta_i$  in both cases of  $\gamma = 0$  and 0.5, this is due to the angular deviations ( $\beta_i$ ) of excitation forces between tampers are symmetrically arranged following the tampers. Consequently, in order to enhance the compressive efficiency as well as improve the paving quality and working stability of the VCS, the angular deviation  $\phi$  in a range of  $120^\circ \le \phi \le 180^\circ$  and the initial angular deviations  $\beta_i$  should be used.

Based on the analysis results of the impact of the dynamic parameters on the paver's performance, it can be deduced that when the compressive efficiency of the VCS is increased then the both paving quality and working stability is reduced and vice versa. It is difficult to simultaneously satisfy all the objectives of the compression efficiency, paving quality and working stability. Thus, to improve the paver's performance, the dynamic parameters { $\lambda$ ,  $\gamma$ ,  $\alpha$ , and  $\phi$  } of the VCS should be optimized based on the above analysis results.

#### 3.2. Optimization of the VCS's dynamic parameters

#### 3.2.1. Optimization of dynamic parameters based on the genetic algorithm

The purpose of GA is to seek the minimum or maximum of different objectives via the computational techniques and algorithm program which is developed based on natural selection principles [23-25]. Therefore, GA is defined as finding a vector  $a = [a_1, a_2, a_3, ..., a_x]^T$  to obtain the minimum or maximum values of the vector of objective functions G(a) as follow:

$$G(a) = [g_1(a), g_2(a), g_3(a), \dots, g_x(a)]^T.$$
(15)

Depending on  $x_p(a) \le 0$ , p = 1, 2, 3, ..., P,  $y_q(a) = 0$ , q = 1, 2, 3, ..., Q, where P is number of inequality constraints, Q is number of equality constraints.

Basic steps of GA include the original population and encode; fitness evaluation; genetic operation and termination criterion [25]. This paper, the dynamic parameters of the VCS need to be optimized to improve the paver's performance. Accordingly, both the minimum values of the pitch and roll RMSARs at the centre of gravity of the vibration screed ( $RMSAR_{\varphi}$  and  $RMSAR_{\theta}$ )

are chosen as the objective functions. The steps of GA are described as follows:

(i) Set up the original population and encode: To optimize the dynamic parameters of  $\lambda$ ,  $\gamma$ ,  $\alpha$ , and  $\phi$ ; four initial parameters including  $0.6 \le \lambda \le 0.9$ ,  $0.6 \le \gamma \le 0.8$ ,  $0^\circ \le \alpha \le 60^\circ$ , and  $120^\circ \le \phi \le 180^\circ$  are chosen based on the analysis results. Then, these four initial parameters are linked into a chromosome described as a vector  $\alpha = [\lambda, \gamma, \alpha, \phi]^T$ . Thus, the chromosomes of,  $\gamma$ ,  $\alpha$ , and  $\phi$  are encoded by  $G = [b, c, d, e]^T = [g_i]_{1 \ge 4}^T$ , i = 0-20, in which:

$$\begin{cases} b = 10\lambda = [60, \dots, b_i, \dots, 90], \\ c = 10\gamma = [60, \dots, c_i, \dots, 80], \end{cases} \begin{cases} d = \alpha = [0, \dots, d_i, \dots, 60], \\ e = \phi = [120, \dots, e_i, \dots, 180], \\ b_{i+1} = b_{i-1} + 2, \\ c_{i+1} = c_{i-1} + 3, \\ d_{i+1} = d_{i-1} + 6, \\ e_{i+1} = e_{i-1} + 6. \end{cases}$$
(16)

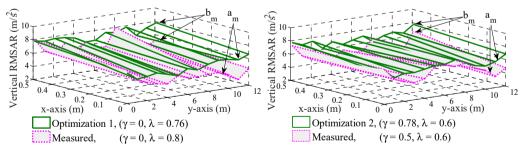
Additionally, the individuals in the original population are randomly created, and each gene in an individual is also randomly chosen by a value of  $0 \le g_i \le 20$  as in Eq. (16). The size of the original population is set up to 100.

(ii) Fitness value (objective functions): In order to find the objective functions in the paper, the fitness value ( $\chi$ ) is given based on Eq. (14) as follow [23, 24]:

$$\chi = \frac{1}{RMSAR_{\varphi} + RMSAR_{\theta}}.$$
(17)

Based on the GA program and via the mathematical model of the VCS, the individuals for higher fitness values are updated before the evolution process ends, then the optimal individuals can be achieved.

(iii) Genetic operation: The genetic operation includes the process of crossover, mutation, and selection. Herein, the probability of the crossover and mutation process used in 300 generations is 0.95 (95 %) and 0.05 (5 %). Thus, in the crossover process, 95 % of parents are selected and 5 % of children are then created with exact copies of their parents. With probability 5 % of the mutation process, each individual undergoes a mutation process in which the values of genes in a chromosome are randomly changed with a probability of 0.05 to create new genes in individuals [23]. After that, only individuals that satisfy the adaptability are selected to perform the crossover process of the next generation. When satisfying the stop condition, the crossover process ends.



a) Without the excitation of the vibrator screedb) With the excitation of the vibrator screedFig. 11. Optimal results of vertical RMSAR at the bottom of the screed surface

<b>Table 5.</b> The optimal dynamic parameters of the VCS							
Parameter	Initial value	Optimization 1	Optimization 2				
λ	0.6	$0.76, (f_1 = 15.9 \text{ Hz})$	$0.6, (f_1 = 12.5 \text{ Hz})$				
γ	0.6	$0, (f_2 = 0)$	$0.78, (f_2 = 35.1 \text{ Hz})$				
α	0°	30.5°	55.6°				
$\phi$	90°	160.5°	145.8°				

**Table 3.** The optimal dynamic parameters of the VCS

Without $\gamma$	$\overline{E}_1$	$\overline{Q}_1$	With $\gamma$ ( $\lambda = 0.6$ )	$\overline{E}_2$	$\overline{Q}_2$					
Experiment ( $\lambda = 0.8$ )	5.23	0.93	Experiment ( $\gamma = 0.5$ )	5.30	0.83					
Optimization 1 ( $\lambda = 0.76$ )	6.27	0.64	Optimization 2 ( $\gamma = 0.78$ )	6.16	0.62					
Improvement / %	16	31	Improvement / %	14	25					

Table 4. The comparison results of the paver's performance

## 3.2.2. Optimal results

To optimize the dynamic parameter of the VCS, the initial dynamic parameters of  $\lambda = \gamma = 0.6$ ,  $\alpha = 0^{\circ}$ ,  $\phi = 90^{\circ}$  are used to simulate with the simulation time of 10 s, while other parameters are unchanged in the simulation process. The fitness value in two cases of  $\gamma = 0$  and 0.5 is shown in Fig. 10. The optimal results indicate that maximum values of  $\chi$  are limited from the 161th evolutionary generation to the end with  $\gamma = 0$ , and from the 182th evolutionary generation to the optimal parameters are listed in Table 3.

Based on the optimal parameters, the vertical RMSAR at the bottom of the screed surface are respectively analyzed as follow: Without the excitation of the vibrator screed ( $\gamma = 0$ ), the optimal parameter of  $\lambda = 0.76$  ( $f_1 = 15.9$  Hz) also closes to the ratio of  $\lambda = 0.8$  in the experiment, thus, the vertical RMSAR of the optimal parameters with  $\lambda = 0.76$  are compared with the ratio of  $\lambda = 0.8$  in the initial experiment, as plotted in Fig. 11(a). Besides, the indexes of the paver's performance are also listed in Table 4. The comparison results in Fig. 11(a) show that the vertical RMSAR at the front/rear points of the screed surface is not only higher but also relatively evenly distributed under the screed surface in comparison with measured results of  $\lambda = 0.8$ . This is the result of the dynamic parameters of  $\lambda$ ,  $\phi$  and  $\alpha$  is optimized. The comparison results in Table 4 also show that the  $\overline{E}_1$  value is increased by 16 % while the  $\overline{Q}_1$  value is greatly reduced by 31 % in comparison with the experiment. Thus, the paver's performance is significantly improved.

With adding the excitation of the vibrator screed, the optimal parameter of  $\lambda = 0.6$   $(f_1 = 12.5 \text{ Hz})$  is also equal to the ratio of  $\lambda = 0.6$  in the experiment, concurrently the optimal value of  $\gamma = 0.78$  also closes to the ratio of  $\gamma = 0.5$  in the experiment. Thus, the results of the optimal parameters with  $\gamma = 0.78$  are compared with the ratio of  $\gamma = 0.5$  in the initial experiment at the same ratio of  $\lambda = 0.6$ , as plotted in Fig. 11(b) and listed in the same Table 4. The optimal results show that the vertical RMSARs at the front/read points under the screed surface are higher and more stable than the measured results with the original parameters. Besides, both the  $\overline{E}_2$  and  $\overline{Q}_2$  values are respectively improved by 14 % and 25 %. It means that the compressive efficiency, paving quality and working stability are simultaneously improved.

Consequently, it can be concluded that the optimal parameters not only enhance compression efficiency but also improve paving quality and working stability of the asphalt paver in the paving process.

### 4. Conclusions

The impact of dynamic parameters of the VCS on the paver's performance is researched and analyzed via the experiment and numerical simulation. The parameters are then optimized to improve the paver's performance. The results can be summarized as follows:

1) Dynamic parameters of the VCS significantly affect the screed shaking and the paver's performance. The compression efficiency is increased while the paving quality and working stability are reduced with increasing the excitation frequencies of tampers  $f_1$  and vibrator screed  $f_2$  and reducing the angular deviations  $\alpha$  and  $\phi$ .

2) The compression efficiency, paving quality and working stability are significantly improved by the optimal dynamic parameters of  $\lambda$ ,  $\gamma$ ,  $\phi$ , and  $\alpha$ . However, the vibration excitation of tampers  $f_1$  and vibrator screed  $f_2$  can be changed and depended on the operator in the paving process. Therefore, the paver's performance can be further improved by control of the dynamic parameters. 3) Research results can add to the body of knowledge and serve as a basis for studying optimal design or control of dynamic parameters of the VCS to improve paver's performance.

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