



## A simple model for the cloud adjacency effect and the apparent bluing of aerosols near clouds

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Received 18 July 2007; revised 7 February 2008; accepted 3 March 2008; published 2 May 2008.

[1] In determining aerosol-cloud interactions, the properties of aerosols must be characterized in the vicinity of clouds. Numerous studies based on satellite observations have reported that aerosol optical depths increase with increasing cloud cover. Part of the increase comes from the humidification and consequent growth of aerosol particles in the moist cloud environment, but part comes from 3-D cloud-radiative transfer effects on the retrieved aerosol properties. Often, discerning whether the observed increases in aerosol optical depths are artifacts or real proves difficult. The paper only addresses the cloud-clear sky radiative transfer interaction part. It provides a simple model that quantifies the enhanced illumination of cloud-free columns in the vicinity of clouds that are used in the aerosol retrievals. This model is based on the assumption that the enhancement in the cloud-free column radiance comes from enhanced Rayleigh scattering that results from the presence of the nearby clouds. This assumption leads to a larger increase of AOT for shorter wavelengths, or to a “bluing” of aerosols near clouds. The assumption that contribution from molecular scattering dominates over aerosol scattering and surface reflection is justified for the case of shorter wavelengths, dark surfaces, and an aerosol layer below the cloud tops. The enhancement in Rayleigh scattering is estimated using a stochastic cloud model to obtain the radiative flux reflected by broken clouds and comparing this flux with that obtained with the molecules in the atmosphere causing extinction, but no scattering.

**Citation:** Marshak, A., G. Wen, J. A. Coakley Jr., L. A. Remer, N. G. Loeb, and R. F. Cahalan (2008), A simple model for the cloud adjacency effect and the apparent bluing of aerosols near clouds, *J. Geophys. Res.*, 113, D14S17, doi:10.1029/2007JD009196.

### 1. Introduction

[2] Numerous studies based on satellite observations have reported a positive correlation between cloud amount and aerosol optical thickness (AOT) [e.g., *Sekiguchi et al.*, 2003; *Loeb and Manalo-Smith*, 2005; *Ignatov et al.*, 2005; *Zhang et al.*, 2005; *Kaufman et al.*, 2005a; *Matheson et al.*, 2005]. Recently, *Koren et al.* [2007], using MODIS data, showed that the average reflectance for cloud-free ocean scenes far away from clouds were up to 30% lower than those near cloud edges. The higher reflectances lead to higher AOTs retrieved in the vicinity of clouds. This positive correlation can be explained as a result of physical phenomena such as the humidification of aerosols in the relatively moist cloud environment or a transition between aerosol and clouds where the cloud signature is weak

(evaporation and/or activation of cloud drops) and the distinction between cloudy and cloud-free air becomes problematic. The term “twilight zone” was coined by *Koren et al.* [2007] to describe the regions around clouds which are neither precisely cloud-free nor precisely cloudy. On the other hand, part of the correlation can result from remote sensing artifacts such as cloud contamination of the cloud-free fields of view used in the aerosol retrievals. *Kaufman and Koren* [2006] noted that any “satellite analysis may be affected by potential cloud artifacts.”

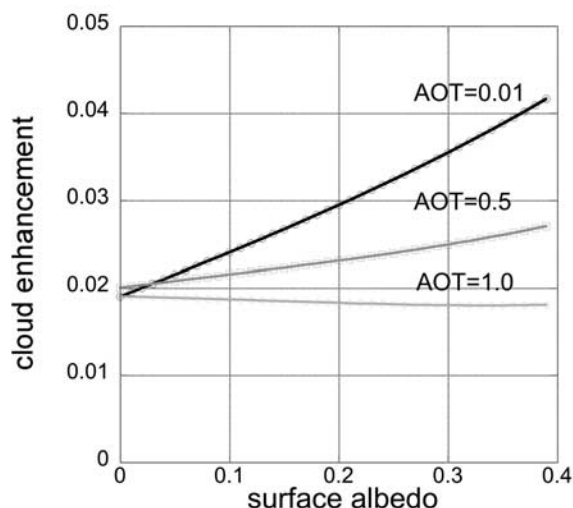
[3] There are two ways that clouds affect the retrievals of aerosols: (1) the existence of small amounts of subpixel sized clouds in pixels identified as being cloud-free and (2) an enhancement in the illumination of the cloud-free column through the reflection of sunlight by nearby clouds. When the pixels are relatively large (e.g., TOMS ~ 40 km, OMI ~ 15 km), only the first type (unresolved variability), cloud contamination is considered [e.g., *Torres et al.*, 2002; *Sinyuk et al.*, 2003]. The second type (resolved variability), also called the “cloud adjacency effect,” is more pronounced when satellite pixels are relatively small (e.g., MODIS and MISR ~ 0.5 km). *Kobayashi et al.* [2000], *Cahalan et al.* [2001], *Podgorny* [2003], *Wen et al.* [2001, 2006, 2007], and *Nikolaeva et al.* [2005] studied the cloud adjacency effect when cloud-free pixels are brightened (or shadowed) by

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**Figure 1.** Cloud-induced enhancement at  $0.47 \mu\text{m}$  as a function of surface albedo and AOT for a 15 by 15 km broken cumulus scene with cloud cover close to 60% and the average cloud optical depth of 14. This scene was described by *Wen et al.* [2007] as a “thick cloud” subscene (see their Figure 10a). Solar zenith angle is  $32^\circ$ , and viewing zenith angle is  $0^\circ$ . The aerosol particles are nonabsorbing, and an aerosol layer is below the cloud tops.

reflected light from surrounding clouds using 3-D radiative transfer calculations applied to LANDSAT, MODIS, and ASTER data as well as to numerically generated cloud fields including an isolated cubical cloud. Both cloud contamination and the cloud adjacency effect may substantially increase reflected radiation and thus lead to significant overestimates of the AOT. These two types of cloud effects, however, have different impacts on the retrieved AOT: subpixel clouds increase AOT by increasing the apparent contribution due to large particles (aerosol “coarse” mode), cloud adjacency mostly increases the apparent contribution due to small particles (aerosol “fine” mode). This short paper justifies and quantifies the second factor by using a simple stochastic cloud model to obtain the radiative flux reflected by broken clouds and comparing this flux with that obtained with the molecules in the atmosphere causing extinction, but no scattering.

[4] The next section discusses the factors that contribute to the enhancement of a cloud-free column through the cloud adjacency effect. Section 3 introduces a simple two-layer model of the cloud enhancement with broken clouds as the lower layer and molecular scattering as the upper layer. A Poisson stochastic cloud model used to obtain the upward flux reflected by broken clouds is briefly described in section 4. Section 5 compares the results of this simple model with those obtained from Monte Carlo calculations for broken cumulus clouds over Brazil observed by MODIS. Finally, section 6 summarizes the results and discusses their implications.

## 2. Cloud Enhancement and Its Contributors

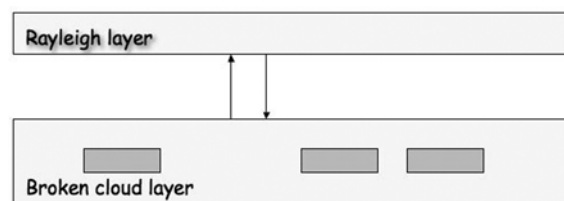
[5] Current methods used to retrieve AOT in cloud-free pixels account for sunlight reflected by the underlying surface and by the Rayleigh scattering due to molecules

in the atmosphere but not the sunlight reflected by surrounding clouds. Sunlight reflected by the surrounding clouds, however, is an additional source of radiation that reaches the sensor as a result of (1) reflection by the underlying surface, (2) scattering by the aerosol, and (3) scattering by molecules. The relative roles of these three contributions varies from scene to scene and depends on many factors, including wavelength, surface reflectance, nearest cloud distance, cloud optical depth, the vertical and horizontal distributions of clouds, AOT, the vertical distribution of aerosols (relative to clouds), the solar and satellite viewing angles.

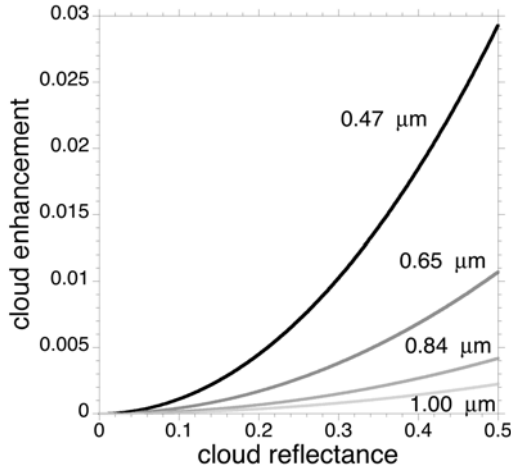
[6] *Wen et al.* [2006, 2007] gained insight into the cloud adjacency effect by performing synthesized aerosol retrievals in realistic broken cumulus fields over a biomass burning region in Brazil as observed by MODIS. They assumed that most aerosols were below the cloud tops and used 3-D and 1-D radiative transfer calculations to determine the average difference between the 3-D and 1-D reflectances for all cloud-free pixels as given by

$$\Delta\rho = \overline{r_{3D}(x,y)} - r_{1D}. \quad (1)$$

[7] The calculations were performed for a variety of surface albedos and AOTs at different wavelengths (see G. Wen et al., The role of molecular Rayleigh scattering in the enhancement of clear sky radiance in the vicinity of cumulus clouds, submitted to *Journal of Geophysical Research*, 2007). They referred to  $\Delta\rho$  as the “cloud-induced enhancement” or just “cloud enhancement.” Figure 1 illustrates the results calculated for the  $0.47 \mu\text{m}$  wavelength and nonabsorbing aerosols with 3 AOTs: 0.01, 0.5 and 1.0. For dark surfaces the enhancement is not sensitive to AOT. For bright surfaces, the enhancement decreases with AOT because the aerosol layer prevents photons reflected by the surface from reaching the satellite. The intercept with the vertical axis gives the enhancement for zero surface albedo and thus provides estimates for the contribution from Rayleigh scattering. As is indicated by the results in Figure 1, the contribution from molecular scattering dominates over aerosol scattering. The relative roles of molecular and aerosol scattering arise because the scattering angles encountered in the retrievals of aerosol properties are typically between  $100^\circ$  and  $140^\circ$ . For this range of angles the normalized phase functions for aerosols are much smaller than the Rayleigh phase function [e.g., *Liou*, 2002, p. 98]. Note that the cloud-induced enhancement is only weakly sensitive to the absorbing properties of aerosols. For the aerosol particles with the single scattering albedo of 0.9, as used in the MODIS aerosol retrieval algorithm for the biomass-burning regions in Brazil [*Remer et al.*, 2005], the enhancement for AOT = 0.5 is less than 5% smaller than that for the nonabsorbing aerosols.



**Figure 2.** A schematic two-layer model of a broken cloud field (lower layer) and Rayleigh scatterers (upper layer).



**Figure 3.** Cloud-induced enhancement as a function of cloud reflectance for four wavelengths: 0.47, 0.65, 0.84, and 1.00  $\mu\text{m}$ . The Rayleigh optical depth is taken to be 0.05 at 0.65  $\mu\text{m}$  and varies inversely with the fourth power of the wavelength. The solar zenith angle,  $\theta_0 = 60^\circ$ ; viewing zenith angle,  $\theta = 0^\circ$ ; and the surface is black.

[8] In summary, for dark surfaces and low-level clouds with aerosols below the cloud layer, sunlight reflected by the clouds and then scattered by molecules in the cloud-free columns is the key process for the enhancement of retrieved AOT, at least for the shorter wavelengths at which Rayleigh scattering is strong. Since the enhancement is due primarily to Rayleigh scattering and not very sensitive to AOT, the enhancement can be assessed knowing only the cloud properties and the (average) distance from a cloud-free pixel to a cloudy pixel.

### 3. A Simple Model for the Cloud-Induced Enhancement of Reflectances for Nearby Cloud-Free Columns

[9] Assume that the enhancement of the reflectance in the cloud-free column is due *entirely* to Rayleigh scattering. Consider a simple, two-layer model with broken clouds in the lower layer and a layer of molecules for the upper layer (Figure 2). Take the cloud enhancement to be the difference between the following two radiances: (1) one is reflected from a broken cloud field with a scattering Rayleigh layer above it and (2) one is reflected from the same broken cloud field but with the molecules in the upper layer causing extinction, but no scattering. In other words,

$$\Delta\rho = r_1 - r_2 \quad (2)$$

where

$$r_1(\theta_0, \theta) = R_m(\theta_0, \theta) + \frac{\alpha_c(\tau, \theta_0)T_m(\theta_0)t_m(\text{dif}, \theta)}{1 - \alpha_c(\tau, \theta_0)R_m(\text{dif})} \quad (3)$$

and

$$r_2(\theta_0, \theta) = R_m(\theta_0, \theta) + \alpha_c(\tau, \theta_0)T_m(\theta_0)t_m(\text{dif}, \theta). \quad (4)$$

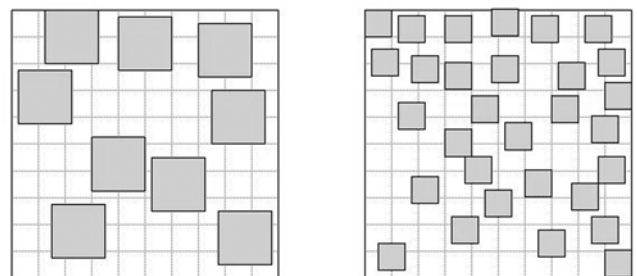
[10] Here subindex “m” stands for “molecule” while “c” stands for “cloud.”  $R_m(\theta_0, \theta)$  is the reflectance for a molecular layer with no clouds below (this term is irrelevant here since it is canceled in calculating  $\Delta\rho$ ). Cloud reflectance,  $\alpha_c$ , is the critical parameter in this simple model because, in addition to cloud optical depth,  $\tau$ , and solar zenith angle (SZA),  $\theta_0$ , it is also a function of the cloud brokenness as will be discussed below.  $T_m$  is the transmittance through the molecular layer with direct sunlight incident from above while  $t_m$  is the transmission through the molecular layer for diffuse illumination from below. Finally,  $R_m(\text{dif})$  is the reflectance of the molecular layer illuminated by diffuse radiation from below. Note that with the exception of  $\alpha_c$ , all the quantities in (2)–(4) are 1-D and are calculated using a standard plane-parallel radiative transfer code. For simplicity, the surface is assumed to be black. Contributions from nonzero surface reflectances can be readily included in  $\alpha_c$ .

[11] In summary, a simple two-layer model with a broken cloud field below and Rayleigh scattering molecular layer above is used to quantify the cloud-induced enhancement of Rayleigh scattering. The enhancement comes from the enhanced illumination of the molecular layer through the reflection of sunlight by the surrounding clouds. The main unknown is the reflectance for a broken cloud field. If we assume that the clouds are plane-parallel rather than broken then  $\alpha_c$  will be overestimated. Since  $\Delta\rho$  in (2)–(4) is an increasing function with respect to  $\alpha_c$  (Figure 3), the plane-parallel approximation will also overestimate the effect of clouds on cloud-free pixels.

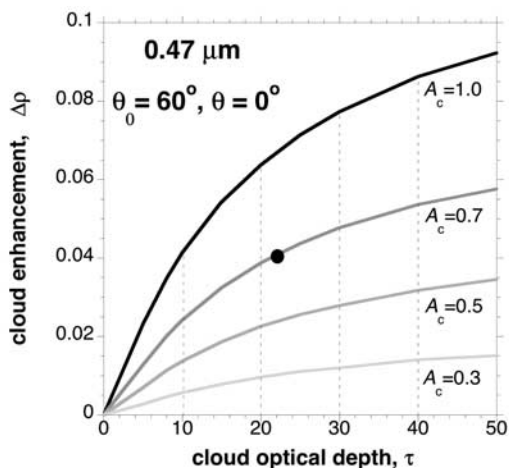
[12] The next section will describe the calculation of  $\alpha_c$  for a broken cloud field using a stochastic model. The advantage of using a stochastic model is that the output is “generic.” It is averaged over many realizations of a cloud field with given statistical properties.

### 4. Poisson Stochastic Model for Broken Clouds

[13] The one-layer Poisson model for broken clouds originally proposed by *Titov* [1990] is used to calculate the cloud reflectance for broken cloudy regions. *Kassianov* [2003] generalized this one-layer model to multilayer broken cloud fields while *Zhuravleva and Marshak* [2005] compared the results of one-layer model with those generated using fractal cloud fields. The main parameters in the model are as follows: (1) cloud fraction,  $A_c$ ; (2) averaged



**Figure 4.** An example of the Poisson distribution of broken cloud fields with cloud fraction  $A_c = 0.3$  for a 10 by 10 km area. For a cloud vertical thickness of 1 km, (left) cloud aspect ratio  $\gamma = 0.5$  and (right)  $\gamma = 1$ .



**Figure 5.** Cloud-induced enhancement  $\Delta\rho$  and cloud optical depth  $\tau$  for four cloud fractions,  $A_c = 1.0, 0.7, 0.5,$  and  $0.3$ .  $A_c = 1$  corresponds to the plane-parallel approximation. The aspect ratio is  $\gamma = 1$ ; solar zenith angle,  $\theta_0 = 60^\circ$ ; view zenith angle,  $\theta = 0^\circ$ ; and the surface is black. As an example, the solid circle indicates the expected cloud-free radiance enhancement due to nearby clouds with  $\tau = 22$  and  $A_c = 0.7$ .

cloud optical depth  $\tau$ ; and (3) cloud aspect ratio,  $\gamma$ , which is defined as the ratio of cloud vertical to horizontal dimensions. In addition, the single scattering albedo and the cloud droplet scattering phase function along with the surface albedo are specified. For the shortwave calculations performed here, the droplet single-scattering albedo is set to unity and the C1 phase function [Deirmendjian, 1969] was used. Figure 4 shows an example of two broken cloud fields with  $A_c = 0.3$  and  $\gamma = 0.5$  and  $1$ . The output of the stochastic model is the domain (and ensemble) averaged upward and downward fluxes with downward fluxes subdivided into diffuse and direct components.

[14] Note that two (averaged cloud optical depth,  $\tau$ , and cloud fraction,  $A_c$ ) out of the three principal input parameters can be determined from the MODIS Cloud Product (MOD06). The third parameter (cloud aspect ratio  $\gamma$ ) is not readily available. Fortunately, as is shown in the next section, the cloud enhancement is not very sensitive to the aspect ratio, at least for small solar zenith angles.

[15] A simple one-layer stochastic model is used to derive cloud reflectances as a function of the average cloud optical depth, cloud fraction, and cloud aspect ratio for broken cloud regions. The clouds are distributed in space according to a Poisson distribution so that the average distance from a cloud-free pixel to a cloud edge is uniquely determined by cloud fraction and cloud aspect ratio.

## 5. Results

[16] Figure 5 shows the cloud-induced enhancement  $\Delta\rho$  as a function of cloud optical depth for  $0.47 \mu\text{m}$  and four cloud fractions:  $A_c = 1.0, 0.7, 0.5,$  and  $0.3$ . The aspect ratio  $\gamma = 1$ , the solar zenith angle  $\theta_0 = 60^\circ$ , the view zenith angle  $\theta = 0^\circ$ , and the surface albedo,  $\alpha_s = 0.0$ . Note that the case of  $A_c = 1.0$  represents unbroken clouds and corresponds to the plane-parallel approximation. Of course, there is no cloud-free column with aerosol retrievals in this case, so

the calculated enhancement will not be applied. Figure 5 depicts an example of a look-up table (LUT) that can be used to estimate the expected average enhancement of cloud-free radiances in the vicinity of clouds. Consider a broken cloud scene with 70% cloud cover and an average cloud optical depth of 22 illuminated by the sun with a zenith angle of  $60^\circ$ . The average clear-sky reflectance at  $0.47 \mu\text{m}$  in the nadir direction will likely be 0.04 larger than its 1-D counterpart that does not account for the cloud adjacency effect.

[17] To assess the merits of the above approach, estimates of the cloud enhancement were made for the two 68 by 80 km broken cloud scenes in biomass-burning regions of Brazil studied by *Wen et al.* [2007]. Both scenes were simultaneously observed by MODIS and ASTER. The first cloud scene (centered at  $0.0^\circ\text{N}, 53.78^\circ\text{W}$  and acquired on 25 January 2003) was described by *Wen et al.* [2006] while the retrieved cloud parameters for the second scene (centered at  $17.1^\circ\text{S}, 42.16^\circ\text{W}$  and acquired on 9 August 2001) were described and analyzed by *Marshak et al.* [2006].

[18] The first scene had cloud fraction  $A_c = 0.53$  and cloud optical depth  $\tau = 12$  (std = 10), and the solar zenith angle was  $\theta_0 = 32^\circ$ . (Cloud optical depth is averaged over cloudy pixels). The surface was covered by vegetation with a low albedo of 0.011 at  $0.47 \mu\text{m}$  and 0.025 at  $0.65 \mu\text{m}$ . For this scene, *Wen et al.* [2007] found an average cloud enhancement of 0.015 (std = 0.005) at  $0.47 \mu\text{m}$  and 0.004 (std = 0.008) at  $0.65 \mu\text{m}$  (marked as squares in Figure 6 (top)). Two 15 by 15 km subsets of this scene with thick ( $\tau = 14$ , std = 8, and  $A_c = 0.59$ ) and thin ( $\tau = 7$ , std = 6, and  $A_c = 0.51$ ) broken clouds were also examined using high-resolution cloud fields retrieved from ASTER data in 3-D Monte Carlo simulations of the radiance fields. The cloud-induced enhancement was found to be 0.019 and 0.012 at  $0.47 \mu\text{m}$  for thick and thin clouds and 0.01 and 0.0018 at  $0.65 \mu\text{m}$  (marked as circles in Figure 6 (top)). In addition, Figure 6 shows asymptotic values (marked as ovals in Figure 6 (top)) corresponding to the enhancements at the largest distances from cloud edges [see *Wen et al.*, 2007, Figure 7]. At the greatest distances from the clouds, cloud shadows are generally avoided thereby giving a more representative estimate of the 3-D effects than that obtained by averaging over all of the cloud-free pixels, some being darkened by shadows.

[19] The second scene had cloud fraction,  $A_c = 0.4$  and cloud optical depth,  $\tau = 8$  (std = 8) and solar zenith angle,  $\theta_0 = 41^\circ$  (Figure 6, bottom). The surface was much more heterogeneous than the surface for the first scene. It was also much brighter at shorter wavelengths with an average albedo of 0.04 at  $0.47 \mu\text{m}$ , 0.07 at  $0.65 \mu\text{m}$  (and 0.2 at  $0.84 \mu\text{m}$ ). For this scene, *Wen et al.* [2007] found an asymptotic cloud enhancement of 0.006 at  $0.47 \mu\text{m}$  and 0.003 at  $0.66 \mu\text{m}$  (ovals) at a distance of about 3 km away from the cloud edges (see their Figure 9). The average values (squares) for the cloud-free pixels selected by the MODIS AOT retrieval algorithm [*Remer et al.*, 2005] have been included.

[20] As the results in Figure 6 indicate, the estimates based on the stochastic model can serve as a good first-order approximation to the cloud-induced enhancement calculated with a Monte Carlo code. The stochastic model underestimates somewhat the enhancement, at least for the

particular scenes studied. Clearly, the enhancement is much smaller than would be obtained with a plane-parallel approximation ( $A_c = 1$ ).

[21] Figure 6 (top) also illustrates the sensitivity of the modeled cloud enhancement,  $\Delta\rho$ , to cloud aspect ratio. For three wavelengths (0.47, 0.65, and 0.84  $\mu\text{m}$ ) and cloud fraction  $A_c = 0.6$  the cloud enhancement as a function of optical depth  $\tau$  is given for three cloud aspect ratios:  $\gamma = 0.5, 1, 2$ . For a fixed cloud geometrical thickness of 1 km, this means that the average cloud horizontal dimension varies from 500 m to 2 km. The uncertainties caused by an unknown (but reasonable) aspect ratio are of the order of 5–10%. Because of the increased sensitivity of the upward flux to cloud aspect ratio, for small cloud fractions and large solar zenith angles the modeled enhancements become more sensitive to cloud aspect ratio.

[22] Figure 6 (bottom) also shows the effect of surface albedo. For small cloud fraction the contribution of a bright surface to the total cloud-induced enhancement can be significant. It is interesting to note that, in contrast to plane-parallel clouds, the surface contribution to the total enhancement does not decrease with cloud optical depth. It is almost constant. This is a special feature of broken cloud

fields where the radiation reflected by the surface in cloud-free regions goes directly to a satellite detector rather than being attenuated by the clouds.

[23] Finally, we discuss the effect of the enhancement on the Angström exponent in the vicinity of clouds. The Angström exponent characterizes the dependency of aerosol optical thickness on wavelength and is related to the average size of the particles in the aerosol: the smaller the particles, the larger the exponent.

[24] Let  $\Delta\tau_\lambda$  be the cloud-induced Rayleigh enhancement in the retrieved AOT at wavelength  $\lambda$ . Then for two wavelengths  $\lambda_1 < \lambda_2$ , we have

$$\Delta\tau_{\lambda_1} > \Delta\tau_{\lambda_2}. \quad (5)$$

[25] If  $\tau_{a\lambda}$  is the “true” AOT at wavelength  $\lambda$  and  $\alpha_{\text{true}}$  and  $\alpha_{\text{apparent}}$  are the “true” and the cloud-induced “apparent” Angström exponents, respectively, then

$$\left(\frac{\lambda_2}{\lambda_1}\right)^{\alpha_{\text{true}}} = \frac{\tau_{a\lambda_1}}{\tau_{a\lambda_2}} < \frac{\tau_{a\lambda_1} + \Delta\tau_{\lambda_1}}{\tau_{a\lambda_2} + \Delta\tau_{\lambda_2}} = \left(\frac{\lambda_2}{\lambda_1}\right)^{\alpha_{\text{apparent}}}. \quad (6)$$

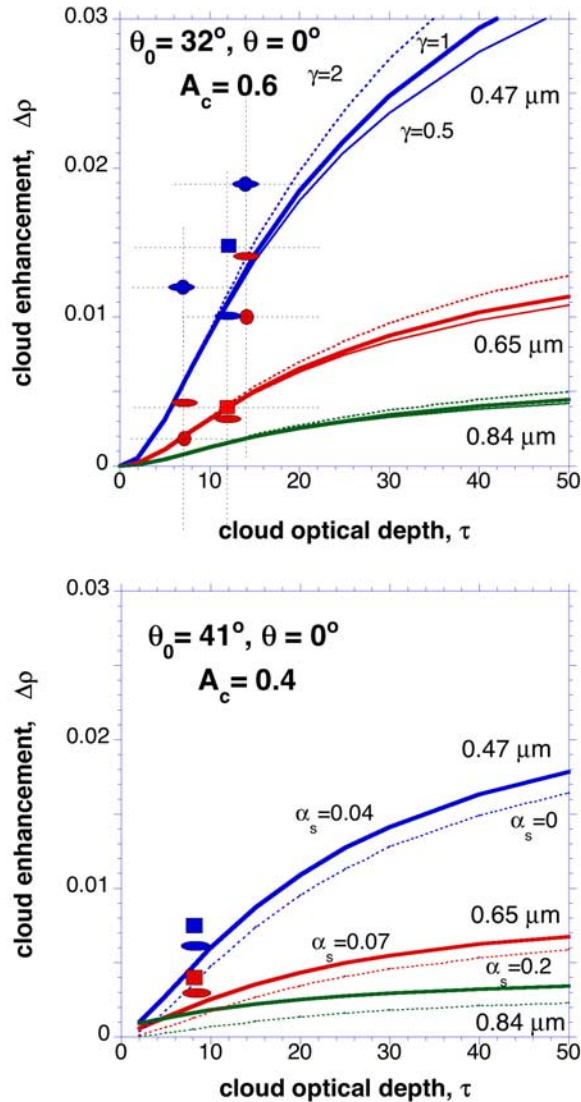
[26] The last inequality is valid if

$$\frac{\tau_{a\lambda_1}}{\tau_{a\lambda_2}} < \frac{\Delta\tau_{\lambda_1}}{\Delta\tau_{\lambda_2}} \quad (7)$$

which is true at least for  $\alpha_{\text{true}} = 0$  (thus  $\tau_{a\lambda_1} = \tau_{a\lambda_2}$ ) and can be violated only for unrealistically large  $\alpha_{\text{true}}$  (say,  $>3-4$ ). It follows immediately from (6) that

$$\alpha_{\text{true}} < \alpha_{\text{apparent}}; \quad (8)$$

that is, the cloud adjacency effect increases the Angström exponent. On the basis of (7), we also see that for highly



**Figure 6.** Cloud-induced enhancement  $\Delta\rho$  and cloud optical depth,  $\tau$ , for three wavelengths: 0.47, 0.65, and 0.84  $\mu\text{m}$ . (top) Cloud fraction,  $A_c = 0.6$ ; solar zenith angle,  $\theta_0 = 32^\circ$ ; and view zenith angle,  $\theta = 0^\circ$ . These conditions correspond to the first broken Cu scene studied by *Wen et al.* [2007]. Thick solid lines are  $\Delta\rho$  calculated using (2)–(4) with aspect ratio  $\gamma = 1$ , dotted lines are with  $\gamma = 2$ , and thin solid lines are with  $\gamma = 0.5$ . The surface is black. Solid blue and red squares, circles, and ovals are from *Wen et al.* [2007] at 0.47 and 0.66  $\mu\text{m}$ . Squares correspond to the scene average values, circles correspond to two subsenes with thick and thin clouds, and ovals correspond to asymptotic values. The dotted lines coursing through the symbols give one standard deviation. (bottom) Cloud fraction,  $A_c = 0.4$ ; solar zenith angle,  $\theta_0 = 41^\circ$ ; and view zenith angle,  $\theta = 0^\circ$ . These conditions correspond to the second broken Cu scene studied by *Wen et al.* [2007] and by *Marshak et al.* [2006]. The aspect ratio  $\gamma = 1$ . Dotted lines are  $\Delta\rho$  calculated using (2)–(4) for a black surface. Solid lines are for the  $\Delta\rho$  that correspond to the MODIS-retrieved surface spectral albedos:  $\alpha_s = 0.04$  at 0.47  $\mu\text{m}$ ,  $\alpha_s = 0.07$  at 0.65  $\mu\text{m}$ , and  $\alpha_s = 0.2$  at 0.84  $\mu\text{m}$ . Solid ovals and squares are also from *Wen et al.* [2007]. Ovals correspond to the actual asymptotic values while squares are the average enhancements for those pixels that were selected by the MODIS AOT retrieval algorithm (see text for details).

polluted environments (large  $\alpha_{\text{true}}$ ), this effect is much smaller than for clean environments (small  $\alpha_{\text{true}}$ ). Note that the cloud adjacency effect is opposite to cloud contamination where subpixel-scale clouds increase the “coarse” mode fraction thereby decreasing the Angström exponent.

## 6. Summary and Discussion

[27] A simple model was described for estimating the cloud-induced enhanced reflectances of cloud-free columns in the vicinity of clouds. The enhancement was assumed to be due entirely to Rayleigh scattering. For the shorter wavelengths where molecular scattering is relatively large, attributing the enhancement to the illumination of the Rayleigh scattering atmosphere by sunlight reflected from nearby clouds proved reasonable (Figure 1) for scenes with dark surfaces, broken, low-level cumulus clouds, and an aerosol layer below the cloud tops. The enhancement in Rayleigh scattering was estimated using a stochastic cloud model (Figure 4) to obtain the radiative flux reflected by broken clouds and comparing this flux with that obtained with the molecules in the atmosphere causing extinction, but no scattering as given by (2)–(4).

[28] The results of numerical simulations of the enhancement [Wen *et al.*, 2007] were shown to be in relatively good agreement (Figure 6) with the simple model, although the model underestimates somewhat the enhancement for the particular scenes studied, cumulus cloud fields retrieved from collocated MODIS and ASTER images over a biomass burning region in Brazil.

[29] The one-layer Poisson stochastic cloud model [Tiov, 1990] uses cloud optical depth,  $\tau$ , droplet single scattering albedo and scattering phase function, cloud fraction,  $A_c$ , cloud aspect ratio,  $\gamma$ , and surface albedo to estimate reflectances for broken cloud fields. The optical depth and cloud fraction are given in the MODIS Cloud Product (MOD06). They can be used as a first approximation to quantify the cloud-induced enhancement from precalculated look-up tables (see Figure 5, for an example). The cloud aspect ratio is not readily available but the error due to an incorrect cloud aspect ratio is 5–20% excluding very low sun and small cloud fractions. For clouds distributed in space according to a Poisson distribution, the average distance from a cloud-free pixel to the nearest cloud is uniquely determined by cloud fraction and cloud aspect ratio.

[30] The assumption that the enhancement of the cloud-free column is due to molecular scattering leads naturally to a larger increase of AOT for shorter wavelengths, or to a “bluing” of aerosols near clouds (see (8)). As a result, in contrast to cloud contamination by subpixel clouds, the cloud adjacency effect will increase the apparent aerosol “fine” mode fraction rather than the “coarse” mode fraction. Recent findings in the MODIS cloud and aerosol products indicate that the AOT and its fine mode fraction increase in the vicinity of clouds [Kaufman *et al.*, 2005a, 2005b] though Kaufman *et al.* [2005a] notes that this increase is due to transition from pure marine aerosol to smoke (or pollution).

[31] Since MODIS and CERES are on the same spacecraft, another approach to estimating spectral upward fluxes for broken cloud fields is to use the CERES data. Using

CERES fluxes rather than a stochastic cloud model requires the use of a theoretical radiative transfer model to convert broadband fluxes to spectral fluxes. A simpler approach would be to ignore the wavelength dependence in the anisotropy as given by the CERES Angular Distribution Models (ADMs) [Loeb *et al.*, 2005] and use the ADMs to determine spectral fluxes from the MODIS radiances. This approach, however, can lead to large errors at the 10 by 10 km scale of the MODIS Aerosol Product and needs further study.

[32] The enhanced illumination of cloud-free columns is a key part of characterizing aerosol properties in the vicinity of clouds. In satellite based studies of cloud-aerosol interactions, changes in the properties of the aerosol due to the cloud environment must be separated from the apparent changes that come from 3-D cloud-radiative transfer effects on the retrieved aerosol properties.

[33] The simple model presented here should be taken as limited to the case of low-level clouds over dark surfaces with the aerosol below the cloud tops and shorter wavelengths where molecular scattering dominates. The model may well prove inappropriate for scenes with highly reflecting surfaces, with upper level clouds, or in which a substantial fraction of the aerosol lies above the low-level clouds. In such cases molecular scattering will not necessarily have the dominant role that it has for the low-level cloud and aerosol systems studied here.

[34] **Acknowledgments.** This work was supported by the Department of Energy (under grant DE-AI02-95ER61961 to NASA’s GSFC) as part of the Atmospheric Radiation Measurement (ARM) program, by NASA’s Radiation Program Office (under grants 621-30-86 and 622-42-57); by the National Oceanic and Atmospheric Administration Climate Program Office’s Atmospheric Composition and Climate program (under grant NA06OAR4310083); and by NASA’s CALIPSO Project (under grant NAS1-99104). We thank C. Chiu, A. Davis, I. Koren, T. Varnai, W. Wiscombe, and T. Zhuravleva for stimulating discussions. We are grateful to all four anonymous reviewers and Alexander Ignatov for their thoughtful comments.

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