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A solid-state ¹⁷O NMR study of platinum-carboxylate complexes: carboplatin and oxaliplatin

Xianqi Kong, Victor Terskikh, Abouzar Toubaei, and Gang Wu

Abstract: We report synthesis and solid-state NMR characterization of two ¹⁷O-labeled platinum anticancer drugs: cis-diammine(1,1cyclobutane^{[17}O₄]dicarboxylato)platinum(II) (carboplatin) and ([¹⁷O₄]oxalato)[(1R, 2R)-(-)-1,2-cyclohexanediamine)]platinum(II) (oxaliplatin). Both ¹⁷O chemical shift (CS) and quadrupolar coupling (QC) tensors were measured for the carboxylate groups in these two compounds. With the aid of plane wave DFT computations, the ¹⁷O CS and QC tensor orientations were determined in the molecular frame of reference. Significant changes in the ¹⁷O CS and QC tensors were observed for the carboxylate oxygen atom upon its coordination to Pt(II). In particular, the ¹⁷O isotropic chemical shifts for the oxygen atoms directly bonded to Pt(II) are found to be smaller (more shielded) by 200 ppm than those for the non-Pt-coordinated oxygen atoms within the same carboxylate group. Examination of the ¹⁷O CS tensor components reveals that such a large ¹⁷O coordination shift is primarily due to the shielding increase along the direction that is within the O=C–O–Pt plane and perpendicular to the O–Pt bond. This result is interpreted as due to the σ donation from the oxygen nonbonding orbital (electron lone pair) to the Pt(II) empty d_{yz} orbital, which results in large energy gaps between σ (Pt–O) and unoccupied molecular orbitals, thus reducing the paramagnetic shielding contribution along the direction perpendicular to the O–Pt bond. We found that the ¹⁷O QC tensor of the carboxylate oxygen is also sensitive to Pt(II) coordination, and that ¹⁷O CS and QC tensors provide complementary information about the O–Pt bonding.

Key words: solid-state NMR, oxygen-17, tensor, anticancer drug, platinum-carboxylate complex.

Résumé : Nous présentons la synthèse et la caractérisation par RMN du solide de deux médicaments anticancéreux radiomarqués à l'oxygène-17 (¹⁷O₄) à base de platine : le cis-diammine(1,1-cyclobutane-[¹⁷O₄]dicarboxylato)platine(II) [carboplatine] et le ([¹⁷O₄]oxalato)](1R,2R)-(-)-1,2-cyclohexanediamine)]platine(II) [oxaliplatine]. Nous avons mesuré les tenseurs de déplacement chimique et de couplage quadrupolaire de l'¹⁷O relatifs aux groupements carboxylate de ces deux composés. À l'aide de calculs fondés sur la théorie de la fonctionnelle de la densité à base d'ondes planes, nous avons déterminé les orientations des tenseurs de déplacement chimique et de couplage quadrupolaire de l'17O dans le cadre de référence moléculaire. Nous avons observé des variations importantes de ces tenseurs relatifs aux atomes d'oxygène des groupements carboxylate au moment de leur coordination à l'atome Pt(II). En particulier, on observe que les déplacements chimiques isotropiques de l'17O relatifs aux atomes d'oxygène directement liés à l'atome de Pt(II) sont plus faibles (blindés) de 200 ppm que ceux relatifs aux atomes d'oxygène faisant partie du même groupement carboxylate mais qui ne sont pas coordonnés à l'atome de platine. L'examen des composantes du tenseur de déplacement chimique de l'17O révèle que la grande amplitude du déplacement chimique de l'17O au moment de la coordination est principalement le fait d'une augmentation du blindage dans la direction parallèle au plan O=C-O-Pt et perpendiculaire à la liaison O-Pt. Ces résultats sont interprétés comme étant attribuables à l'effet inductif donneur s de l'orbitale non liante de l'atome d'oxygène (doublet non liant) à l'orbitale vide d_{vz} de l'atome de Pt(II), donnant lieu à un large bande interdite entre l'orbitale s(Pt-O) et les orbitales moléculaires vacantes, et de fait, réduisant la contribution du blindage paramagnétique dans la direction perpendiculaire à la liaison O-Pt. Nous avons observé que le tenseur de coupage quadripolaire de l'17O des atomes d'oxygène des groupements carboxylate est aussi sensible à la coordination au Pt(II) et que les tenseurs de déplacement chimique et de couplage quadrupolaire de l'¹⁷O fournissent des renseignements complémentaires à propos de la liaison O-Pt. [Traduit par la Rédaction]

Mots-clés : RMN du solide, oxygène-17, tenseur, médicament anticancéreux, complexe de carboxylate de platine.

Introduction

In recent years, solid-state ¹⁷O NMR spectroscopy has been shown to be a useful technique for direct detection and characterization of oxygen nuclei in organic and biological molecules.^{1–4} The remarkable sensitivity of ¹⁷O quadrupolar coupling (QC) and chemical shift (CS) tensors toward molecular interactions such as hydrogen bonding and metal-ligand interactions makes ¹⁷O an important NMR probe, capable of providing information that sometimes is difficult to obtain with the more conventional ¹H, ¹³C, and ¹⁵N NMR studies. Over the past decade, ¹⁷O QC and CS tensors have been experimentally determined for many oxygen-containing organic functional groups. At the present time the solid-state ¹⁷O NMR method finds its use not only for studying protein-ligand complexes, ⁵ but also for obtaining dynamic information about molecular motions in organic solids.⁶ The most recent advances in solid-state ¹⁷O NMR include studies of pharmaceuticals^{7.8} and paramagnetic coordination compounds.⁹ Another significant recent development is the application of dynamic nuclear polarization

V. Terskikh. Department of Chemistry, Queen's University, 90 Bader Lane, Kingston, ON K7L 3N6, Canada; Department of Chemistry, University of Ottawa, Ottawa, ON K1N 6N5, Canada.

Corresponding author: Gang Wu (e-mail: gang.wu@chem.queensu.ca).

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X. Kong, A. Toubaei, and G. Wu. Department of Chemistry, Queen's University, 90 Bader Lane, Kingston, ON K7L 3N6, Canada.



(DNP) to drastically boost the sensitivity of solid-state $^{17}\mathrm{O}$ NMR experiments $^{10-12}$

In the present work, we use solid-state ¹⁷O NMR to study two platinum anticancer drugs: carboplatin and oxaliplatin (Scheme 1). Although several thousand platinum-based anticancer drug molecules have been developed in research laboratories over the past 30 years, only about two dozen have ever gone into clinical trials. Carboplatin and oxaliplatin are the only two Pt compounds that have gained international marketing approval.¹³ Both carboplatin and oxaliplatin show significantly less toxicity than cisplatin, the first commercial platinum-based drug. While both solution and solid-state NMR techniques utilizing ¹H, ¹³C, ^{14/15}N, ¹⁹⁵Pt, and ³⁵Cl as nuclear probes have been extensively employed to study Pt anticancer drugs,^{14–19} ¹⁷O NMR has not yet been explored in the studies of this class of drug molecules.

In carboplatin and oxaliplatin, the mode of bonding between the carboxylate group and the Pt(II) metal center can be described as being monodentate, O=C-O-Pt(II), where the 4 atoms are essentially in the same plane. While there have been a number of experimental solid-state ¹⁷O NMR and computational studies on the ¹⁷O QC and CS tensors in carboxylic acids and their salts,^{20–35} none of the previous studies have dealt with a carboxylate (-COO-) group bound to Pt(II). The primary goals of the present study are (i) to experimentally determine the ¹⁷O CS and QC tensors in these important Pt(II) anticancer drugs and (ii) to examine the effect of bonding to a transition metal on these ¹⁷O NMR tensors. In carboplatin and oxaliplatin, since the mode of bonding is O=C-O-Pt(II), the non-Pt-coordinated oxygen atom can serve as an internal reference, making it easier to examine the effect of metal binding on ¹⁷O NMR tensors. Another reason for us to choose these two platinum anticancer drugs is that their crystal structures have been reported.^{36,37} The known crystal structures would allow us to perform plane wave DFT calculations of the ¹⁷O NMR tensors in these compounds. These calculations have been proven to produce reliable tensor orientations in the molecular frame of reference and thus can aid the interpretation of the experimental data.

Experimental section

Synthesis of 17O-labeled Pt(II) complexes

Preparations of [¹⁷O₄]carboplatin and [¹⁷O₄]oxaliplain were carried out by following literature procedures^{38,39} with necessary modifications for ¹⁷O isotopic incorporation. Schematic diagrams illustrating the employed synthetic strategies are given in the Supplementary data, and the synthetic details are described below.

Dipotassium 1,1-cyclobutane-[1704]-dicarboxylate

1,1-Cyclobutanedicarboxylic acid (300 mg) was dissolved in 40% ¹⁷O-enriched water (310 μ L, purchased from CortecNet) and heated at 80 °C for 22 h. After cooling to room temperature (RT), the solution was neutralized by addition of a solution of KOH (233 mg) in EtOH (8 mL). After the mixture was left in a refrigerator for 20 min, the white solid was collected by filtration, washed with EtOH (3 × 3 mL), and then dried under vacuum. A total of 366 mg of the title compound were obtained.

[1704]Carboplatin

cis-Diaminodiiodoplatinum(II) (567 mg, 1.17 mmol) and AgNO₂ (400 mg, 2.34 mmol) were added to H₂O (30 mL), and the solution was stirred in an oil bath at 45-50 °C (under darkness) for 3 h. After cooling to RT, the insoluble material was removed by filtration through a pad of Celite. The filter pad was washed with H₂O (5 mL). The filtrate and washing were combined in a flask containing dipotassium 1,1-cyclobutane-[17O4]-dicarboxylate (244 mg, 1.10 mmol), forming a clear solution. The mixture was concentrated to dryness on a rotary evaporator at 55 °C. The residual material was treated in H₂O (5 mL) followed by addition of 2 drops of 30% ammonium hydroxide solution. The mixture was heated briefly to dissolve most of the solid and filtered (a small amount of vellowish insoluble material was thus removed), and the filtrate was concentrated to dryness. The residual material was treated with H_2O (1 mL), heated briefly, then cooled to 4 °C. The solid material was collected, washed with cold H_2O (2 × 0.5 mL) and ethanol (0.5 mL), and dried under vacuum. The title compound was obtained as a white, free-flow crystalline solid (220 mg, yield 54%). ¹H NMR (500 MHz, D₂O): δ 1.80 ppm (qt, 2H, J = 7.75 Hz), 2.78 ppm (t, 4H, J = 7.75 Hz). ¹⁷O NMR (67.7 MHz, D₂O): δ 126.8 ppm (br, 2O), 310.0 ppm (br, 2O). The ¹⁷O NMR spectrum is shown in the Supplementary data.

Dipotassium [1704]oxalate

Oxalic acid (1.2 g) was treated with a mixture of 40% ¹⁷O-enriched water (1 mL) and 1,4-dioxane (10 mL) at 60 °C for 66 h. After the solvent was recovered with an N₂ flow passing through the flask into a cold trap, 2.3 g of solid was obtained (46% of the mass was residual solvent). This solid material was then added to a solution of KOH (2.0 g) in water (10 mL). The solution was concentrated (rotary evaporation at <50 °C) to a solid residue, which was further treated with EtOH (25 mL). The white solid was collected by filtration and washed with EtOH (3 × 10 mL). After drying the solid, a total of 2.3 g of the title compound were obtained.

[170] Oxaliplatin

Dichloro(R,R-cyclohexane-1,2-diamine)platinum(II) (540 mg, 1.42 mmol) and AgNO₂ (460 mg, 2.70 mmol) were added to 30 mL of H₂O. In the dark, the mixture was stirred in an oil bath at 90 °C for 5 min, and then cooled for over 1 h to RT. The mixture was stirred at RT overnight. Insoluble material was removed by filtration through a pad of Celite, and then the pad was washed with H₂O (5 mL). The filtrate was collected in a 100 mL flask, to which dipotassium ¹⁷O₄]oxalate (220 mg, 1.32 mmol) was then added. The mixture was concentrated to dryness on a rotary evaporator at 35-40 °C. The residual material was treated with H₂O (5 mL). The solid material was collected by filtration and washed with cold H_2O (4 × 3 mL, and 2 × 4 mL). After drying the solid under vacuum, the title compound was obtained as a white powder (285 mg, yield 54%). ¹H NMR (600 MHz, D2O): 8 1.01 (m, 2H), 1.15 (m, 2H), 1.42 (m, 2H), 1.90 (m, 2H), 2.20 (m, 2H). Note that the NH₂ protons were not observed. ¹⁷O NMR (81.0 MHz, D₂O): δ 134.7 (br, 2O), 306.9 (br, 2O). The ¹⁷O NMR spectrum is shown in the Supplementary data.

Solid-state ¹⁷O NMR

Solid-state ¹⁷O NMR experiments were performed on Bruker Avance-600 (14.1 T) and Bruker Avance-II 900 (21.1 T) NMR spectrometers. A Hahn-echo sequence was used for both static and magicangle spinning (MAS) experiments to eliminate the acoustic ringing from the probe. Effective 90° pulses of 1.7 and 1.0 μ s were used for the ¹⁷O central transition (CT) experiments at 14.1 and 21.1 T, respectively. The ¹⁷O MAS NMR spectra at 14.1 T were performed using a 4 mm Bruker MAS probe at a spinning frequency of 14.5 kHz. The ¹⁷O MAS NMR spectra at 21.1 T were obtained with a 1.3 mm Bruker MAS probe at a sample spinning frequency of 50.0 kHz. Static experiments at 14.1 T were performed with the 4 mm MAS probe, and powder samples were packed in 4 mm rotors. At 21.1 T, a homebuilt 5 mm H/X **Fig. 1.** (Colour online) Experimental (blue trace) and simulated (red trace) ¹⁷O MAS NMR spectra of (*a*) [¹⁷O₄]carboplatin and (*b*) [¹⁷O₄]oxaliplatin at two magnetic fields. The simulated spectra for individual sites are shown in green and purple. The sample spinning frequencies were 14.5 and 50.0 kHz for experiments at 14.1 and 21.1 T, respectively. For each compound, the same ¹⁷O NMR parameters as summarized in Table 1 were used to simulate the spectra recorded at two magnetic fields. Note that the spinning sidebands are clearly visible in the simulated spectra at 14.1 T. Other data acquisition parameters are given in the text.



Table 1. Experimental and computed ¹⁷O CS^a and QC^b NMR tensor parameters^c for carboplatin and oxaliplatin.

| Compound | $\delta (ppm)^d$ | δ _{iso} (ppm) | δ ₁₁ (ppm) | δ ₂₂ (ppm) | δ ₃₃ (ppm) | $C_{\rm O}$ (MHz) | η _O |
|---------------------|------------------|------------------------|----------------------------|---------------------------|----------------------------|--------------------|------------------|
| <u> </u> | (11 / | 130 (11 / | 11 (11 / | 22 (11 / | -33 (II / | | iQ |
| Carboplatin C=01 | | | | | | | |
| Exptl. CASTEP | 310.0 | 326(1) 327 | 522(5) 523 | 422(5) 433 | 34(5) 25 | 8.15(2) 8.145 | 0.15(5) 0.318 |
| C-O2-Pt | | | | | | | |
| Exptl. CASTEP | 126.8 | 134(1) 184 | 369(5) 431 | 99(5) 149 | -66(5) -26 | -6.50(2) -6.442 | 0.33(5) 0.286 |
| Oxaliplatin | | | | | | | |
| C=01 | | | | | | | |
| Exptl. CASTEP | 306.9 | 327(1) 336 | 503(5) ^e 508 | $425(5)^e$ 450 | 41(5) ^e 48 | 8.20(2) 8.383 | ≤0.05 0.147 |
| C=02 | | | | | | | |
| Exptl. CASTEP | 306.9 | 320(1) 328 | 495 | 436 | 51 | 8.10(2) 8.231 | 0.00(5) 0.189 |
| C-03-Pt | | | | | | | |
| Exptl. CASTEP | 134.7 | 138(1) 190 | 411(5) ^f 457 | 64(5) ^f 140 | -67(5) ^f -26 | -5.90(2) -6.150 | 0.40(5) 0.296 |
| C-04-Pt | | | | | | | |
| Exptl. CASTEP | 134.7 | 135(1) 184 | 449 | 127 | | -5.90(2) -6.131 | 0.30(5) 0.372 |

Note: The estimated errors for experimental data are shown in parentheses.

^aComputed chemical shifts (δ) were obtained from computed shielding values (σ) by using $\delta = \sigma_{ref} - \sigma$, where σ_{ref} was chosen to be 290.0 ppm so that the trend line relating the computed and experimental chemical shift data passes the origin. ^bThe sign of C_o was assumed to be the same as the computed one.

For both compounds, the experimental Euler angles that define the relative orientation between the ¹⁷O QC and CS tensors are: C=O, $\alpha = 0$, $\beta = 90 \pm 5$, $\gamma = 60 \pm 10^\circ$; C–O–Pt, $\alpha = 0$, $\beta = 90 \pm 5$, $\gamma = 40 \pm 10^\circ$.

^dMeasured in D₂O at 298 K.

^eAveraged values between O1 and O2.

fAveraged values between O3 and O4.

solenoid probe was used for static experiments, and solid samples were packed into a 5 mm Teflon tube to reduce the background signal. High-power CW ¹H decoupling (70 kHz) was applied in all static experiments. For carboplatin at 21.1 T, the recycle delay was 20 s, and a total of 512 transients were accumulated for both static

and MAS spectra. For oxaliplatin at 21.1 T, the recycle delay was 60 s for both static and MAS spectra. A total of 896 transients and 1500 transients were accumulated in the static and MAS experiments, respectively. For carboplatin at 14.1 T, the recycle delay was 5 s for collecting both static (8348 transients) and MAS

(10 355 transients) spectra. For oxaliplatin at 14.1 T, the recycle delay was 30 s in the static (1784 transients) and MAS (1080 transients) experiments. A liquid H₂O sample was used for both RF power calibration and ¹⁷O chemical shift referencing ($\delta = 0$ ppm). All spectral simulations were performed with DMfit.⁴⁰

Computational details

Plane wave pseudopotential DFT calculations of the NMR shielding and electric field gradient parameters were performed using the Cambridge Serial Total Energy Package (CASTEP) software and Materials Studio 4.4 program (Accelrys)^{41,42} on a HP xw4400 workstation with a single Intel Dual-Core 2.67 GHz processor and 8 GB DDR RAM. The Perdew, Burke, and Ernzerhof (PBE) functionals was used in all calculations in the generalized gradient approximation (GGA) for the exchange correlation energy.43,44 On-the-fly pseudopotentials were used as supplied with NMR CASTEP with a plane wave basis set cut-off energy of 550 eV, and the Monkhorst-Pack k-space grid sizes of $3 \times 2 \times 2$ (2 k-points used) and $2 \times 3 \times 3$ (9 k-points used) for carboplatin and oxaliplatin, respectively. The reported crystal structures of carboplatin³⁶ and oxaliplatin³⁷ were used as starting structures, and then geometry optimization was performed using the BFGS method⁴⁵ without the cell optimization. The following convergence tolerance parameters were used in the geometry optimization process: total energy 5 \times 10⁻⁵ eV/atom, maximum displacement 0.005 Å, maximum force 0.1 eV/Å, and maximum stress 0.2 GPa. Nuclear magnetic shielding calculations were also performed with the Amsterdam Density Functional (ADF, version 2012)⁴⁶ software package. Vosko-Wilk-Nusair (VWN) exchange-correlation functional47 was used for the local density approximation (LDA), and the PBE exchangecorrelation functionals^{43,44} was applied for the generalized gradient approximation (GGA). Standard Slater-type orbital (STO) basis sets with triple-zeta quality plus polarization functions (TZ2P) were used for all the atoms. The spin orbital relativistic effect was incorporated via the zero-order regular approximation (ZORA).48-51 The ADF calculations were carried out on Sun SPARC Enterprise M9000 servers at the High Performance Computing Virtual Laboratory (HPCVL) of Queen's University. Each of the servers consists of 64 quad-core 2.52 GHz sparc64 VII processors with 8 GB of RAM per core (2 TB of total memory).

Results and discussion

Experimental determination of ¹⁷O NMR tensors

The general solid-state NMR approach for the experimental characterization of ¹⁷O CS and QC tensors consists of two steps. First, one obtains good-quality ¹⁷O NMR spectra under the MAS condition, from which the values of δ_{iso} , C_Q , and η_Q can be obtained for each oxygen site. Second, one records ¹⁷O static NMR spectra preferably at multiple magnetic fields. An analysis of the static spectra would allow determination of the ¹⁷O CS tensor components (δ_{11}, δ_{22} , δ_{33}) and their relative orientations with respect to the QC tensor. A detailed theoretical background can be found in the literature.^{52,53} Figure 1 shows the ¹⁷O MAS spectra obtained for [¹⁷O₄]carboplatin and [17O4]oxaliplatin at two magnetic fields. In each MAS spectrum, two groups of signals were observed, corresponding to the two types of oxygen atoms (directly Pt-bonded and non-Pt-coordinated) in carboplatin and oxaliplatin. At 14.1 T, since the sample spinning frequency was only 14.5 kHz, weak spinning sidebands are visible for each central band from the simulated spectra. As these weak spinning sidebands happen to overlap with the central bands, they somewhat obscure the fine spectral features. At 21.1 T, in contrast, the sample spinning frequency was 50 kHz, and the MAS spectra, now free of spinning sidebands, exhibit very high spectral resolutions. It is interesting to note that while two oxygen sites are observed for carboplatin, a total of 4 oxygen sites (2 pairs) are detected for oxaliplatin. The crystal structure of carboplatin³⁶ indicates the presence of a mirror plane that contains the Pt(II) center and the **Fig. 2.** (Colour online) Experimental (blue trace) and simulated (red trace) ¹⁷O static NMR spectra of (*a*) [¹⁷O₄]carboplatin and (*b*) [¹⁷O₄]oxaliplatin at 21.1 T. The simulated spectra for individual sites are shown as dotted traces in green and purple. The data acquisition parameters are given in the text. The simulation parameters are summarized in Table 1. The corresponding static spectra recorded at 14.1 T together with spectral simulations are provided in the Supplementary data.



average cyclobutane ring bisecting the molecule. As a result, the two carboxylate groups in carboplatin are symmetry related. In comparison, the crystal structure of oxaliplatin³⁷ suggests that all 4 oxygen atoms of the oxalate group are crystallographically distinct. Therefore, the solid-state 17O NMR observations shown in Fig. 1 are in agreement with the crystal structures of these compounds. As also seen from Fig. 1, experimental MAS spectra recorded at two magnetic fields for the same compound are matched very well by the simulated ones using the same set of NMR parameters. The experimental values of δ_{iso} , C_Q , and η_Q are summarized in Table 1. In general, carboplatin and oxaliplatin exhibit similar 170 NMR parameters. The most interesting finding is that the ¹⁷O chemical shifts for the oxygen atoms directly bonded to Pt(II) and the non-Pt-coordinated oxygen atoms differ by 200 ppm (vide infra); also see the solution ¹⁷O spectra of these compounds in the Supplementary data. The directly Pt-bonded oxygens exhibit smaller C_Q values (ca. $|C_Q| \approx$ 6 MHz) than do the non-coordinated oxygens ($|C_Q| \approx 8$ MHz), in agreement with previous observations on the effect from metalligand interactions.⁵⁴ It is important to note that the sign of $C_{\rm O}$ cannot be readily determined simply from MAS and static spectra.

Figure 2 shows the ¹⁷O static NMR spectra of carboplatin and oxaliplatin recorded at 21.1 T. Similarly, the static spectra were

Fig. 3. Depiction of the ¹⁷O QC (*a*) and CS (*b*) tensor orientations in the molecular frames of reference of carboplatin. The QC tensor components are defined as $|\chi_{zz}| \ge |\chi_{yy}| \ge |\chi_{xx}|$. For comparison, the ¹⁷O QC and CS tensor orientations in the O=C–O–H moiety of α -oxalic acid dihydrate²³ are shown in (*c*) and (*d*), respectively. The actual tensor eigenvalues are shown in parentheses. In (*b*) and (*d*), δ_{33} components are not shown for clarity.

(vv (-4.69)





also obtained at 14.1 T (shown in the Supplementary data). Because of the low resolution in the static spectra, it was not possible to treat all 4 crystallographically distinct oxygen sites in oxaliplatin individually. Thus we assumed only 2 CS tensors (O1/O2 and O3/ O4) in the spectral simulation. As seen from Fig. 2, the general agreement between experimental and simulated static spectra is satisfactory. Again, all experimental ¹⁷O CS tensor components for carboplatin and oxaliplatin are listed in Table 1.

(a)

Plane wave DFT calculations and the tensor orientations

As the crystal structures for carboplatin and oxaliplatin are known, we performed plane wave DFT calculations using the CASTEP program.^{41,42} This approach will produce results that can be directly compared with solid-state NMR data without any assumption on the size of the molecular cluster. The CASTEP results for ¹⁷O NMR tensors are summarized in Table 1. In general, the computational results are in good agreement with the experimental data. A correlation plot (slope = 0.964; $R^2 = 0.988$) between experimental and computed 17O CS tensor components is given in the Supplementary data. We should point out that the agreement between calculated and experimental results is clearly better for the non-Pt-coordinated oxygen atoms than for the directly Pt-coordinated ones, which is likely due to the neglect of relativistic effects in the CASTEP calculations. The computations also reveal a sign change in C_Q between the 2 types of oxygen atoms. For the non-Pt-coordinated oxygen atom, C_Q is positive, and it becomes negative for the directly Pt-bonded oxygen (vide infra).

Another benefit of the CASTEP calculations is that the ¹⁷O CS and QC tensor orientations can be unambiguously determined in the molecular frame of reference. As we have shown previously,^{52,54–57} the absolute orientations of the ¹⁷O NMR tensors in the molecular frame of reference can be reliably predicted by modern quantum chemical calculations. The CASTEP results for

Fig. 4. Comparison of ¹⁷O ligand isotropic coordination shifts among monodentate carboxylate-metal complexes. The chemical shift difference between the two types of oxygen atoms in carboxylic acids (O=C-O-H) is also shown for completeness.

δ₂₂(142)



the tensor orientations in carboplatin and oxaliplatin are depicted in Fig. 3.

For the non-Pt-coordinated oxygen atom, χ_{zz} and χ_{yy} both lie in the O=C–O plane, being perpendicular to and along the C=O bond, respectively. This makes χ_{xx} perpendicular to the O=C–O plane. This ¹⁷O QC tensor orientation is typical of a carbonyl functional group. The ¹⁷O QC tensor orientation is quite different for the chelating oxygen, for which the χ_{zz} component is in plane but essentially along the C–O bond. The ¹⁷O CS tensors are also quite different for the two types of oxygen atoms. For the directly Ptbonded oxygen atom, δ_{11} of the ¹⁷O CS tensor is oriented along the Fig. 5. (a) Definition of the tensor components ($\|, \perp, \pi$) in a carboxylate group; see text. Comparison of the ¹⁷O QC (b) and CS (c) tensor components between the non-Pt-coordinated and directly Pt-bonded oxygen atoms in Carboplatin.



O–Pt bond, which makes it nearly perpendicular to the C–O bond. In comparison, δ_{11} is about 29° off the C=O bond for the non-Ptcoordinated oxygen. For both types of oxygen atoms, δ_{33} is always perpendicular to the O=C–O plane. These tensor orientations are in general agreement with the previous results on carboxylates.² It is especially worth noting that the ¹⁷O NMR tensor orientations found in carboplatin and oxaliplatin are very similar to those in α -oxalic acid dihydrate determined from a single-crystal ¹⁷O NMR study²³ (see Fig. 3). This similarity suggests that the O-Pt coordination bond in O=C–O–Pt resembles the O–H covalent bond in O=C– O–H (vide infra).

It is also worth commenting on the sign change in C_Q noted earlier. Since the definition of C_Q is associated with the largest QC component (in its absolute value), the apparent sign change from 8.15 MHz for the non-Pt-coordinated oxygen to -6.50 MHz for the directly Pt-bonded oxygen does not mean that the net change in C_Q is 8.15–(-6.50) = 14.65 MHz. Rather, one must examine the individual ¹⁷O QC tensor components using the respective C–O bond as the frame of reference. As seen from Fig. 3, the changes in the 3 directions with respect to the C–O bond are: along the C–O bond, -6.50–(-4.69) = -1.81 MHz; perpendicular to the C–O bond but in the O–C–O plane, 4.31–8.15 = -3.84 MHz; perpendicular to the O–C–O plane, 2.19–(-3.46) = 5.65 MHz. Thus, the largest change is 5.65 MHz, occurring in the direction perpendicular to the O–C–O plane.

A survey of ¹⁷O coordination shifts from carboxylate-metal interactions

As mentioned in the previous section, the most interesting result in this study is the 200 ppm difference in $\delta_{iso}(^{17}\text{O})$ between the directly Pt-bonded and non-Pt-coordinated oxygen atoms. To put this in perspective, we surveyed the solid-state ¹⁷O NMR data in the literature for metal-carboxylate interactions. To make direct comparison of NMR data from different compounds meaningful, we focused only on those carboxylates that exhibit the monodentate mode of binding to the metal ion, i.e., O=C-O-M+n. To aid discussion, we define an ¹⁷O coordination shift (Δ) as $\Delta = \delta_{iso}(C-O M^{+n}$) – δ_{iso} (O=C). As illustrated in Fig. 4, for alkali metals, M⁺, Δ is typically -20 ppm. For example, Wong et al.³¹ reported the ¹⁷O chemical shifts for the bound and noncoordinated oxygens in lithium β -oxalate are -249.6 and -265.0 ppm, respectively. For Mg(II)- and Al(III)-carboxylate interactions, Zhu and Wu observed that the ¹⁷O coordination shifts are on the order of -50 and -60 ppm, respectively.⁵⁸ To make the comparison complete, Fig. 4 also shows that the chemical shift difference found in free carboxylic acid (O=C-O-H) where the "chelating" oxygen atom actually

Table 2. ADF analysis of the diamagnetic (σ_d) and paramagnetic (σ_p) contributions to the total ¹⁷O magnetic shielding in two Pt-carboxylate complexes.

| | Oxaliplati | n | Carboplatin | | |
|--|--|--|--|---|--|
| | Pt-bound oxygen ^a | Non- coordinated oxygen ^a | Pt-bound oxygen ^a | Non- coordinated oxygen ^a | |
| σ_{d} (total) (ppm) σ_{p} (total) (ppm) σ_{p} (gauge) σ_{p} (occ-occ) σ_{p} (occ-vir) | 394 -306 0 105 -411 (-156) ^b | 400 -531 -1 20 -550 (-126) ^b | 395 -276 1 79 -356 (-54) ^d | 402 -523 3 2 -518 (-66) ^d | |
| | $(-48)^{c}$ | $(-204)^{c}$ | $(-54)^{e}$ (4.5) ^e | $(-196)^{e}$ | |

^{*a*}Averaged over the two related oxygen atoms within the same molecule. ^{*b*}From MO#84 and #85 to all virtual MOs.

From MO#92 and #93 to all virtual MOs

^dFrom MO#71 and #75 to all virtual MOs

^eFrom MO#85 and #86 to all virtual MOs.

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forms a covalent bond with H. In this case, the corresponding ¹⁷O chemical shift difference is typically –150 ppm. In this context, the observed ¹⁷O coordination shift of –200 ppm in carboplatin and oxaliplatin is quite remarkable and deserves further investigation as presented in the next section. We should also note that large negative ¹⁷O coordination shifts have been previously reported in several solution ¹⁷O NMR studies for oxygen-containing ligands bound to transition metals.^{59–63} For example, Laurenczy et al.⁵² observed that the ¹⁷O coordination shift for the bound water in [Rh(H₂O)₆]³⁺ was –130.5 ppm.

The origin of ¹⁷O coordination shifts

To better understand the very large ¹⁷O coordination shift, we decided to carefully examine the ¹⁷O NMR tensors. To aid discussion, we label the tensor components according to their directions with respect to the carboxylate plane. As shown in Fig. 5, the in-plane tensor components parallel and perpendicular to the C–O bond are denoted as \parallel and \perp , respectively, while the tensor component perpendicular to the O–C–O–Pt plane is defined as π . Figure 5 illustrates the changes in tensor components between the two types of oxygen atoms. This analysis immediately reveals that while all three components of the ¹⁷O QC tensors change considerably upon Pt coordination, the change in the \parallel component of the ¹⁷O CS tensor is significantly larger than those in the other two components. Furthermore, because of the large change



Fig. 6. A partial energy level diagram and selected occupied (84, 85, 92 and 93) and unoccupied (97 and 114) MOs obtained for oxaliplatin from ADF calculations. The || direction for each of the C-O moieties is marked with an arrow in the occupied MOs.

in the $\|$ component, the orientations of the δ_{11} and δ_{22} components in the molecular frame of reference appear switched between the directly bonded and non-Pt-coordinated oxygen atoms. As a result, a "cross-over" effect is seen in Fig. 5. A similar " δ_{11} - δ_{22} crossover" effect was previously reported for the phenolic oxygen atom of tyrosine between the protonated and deprotonated states.⁶⁴

To further identify the origin of the observed ¹⁷O coordination shift in terms of specific molecular orbitals (MOs), we performed a detailed magnetic shielding analysis using the ADF program.⁴⁶ According to the Ramsey formalism of nuclear magnetic shielding,⁶⁵ the total magnetic shielding at a nucleus can be divided into diamagnetic and paramagnetic contributions:

(1)
$$\sigma_{\text{total}} = \sigma_{\text{d}} + \sigma_{\text{d}}$$

In general, the diamagnetic shielding term is dominated by core electrons and consequently exhibits essentially no orientation dependence. In contrast, the paramagnetic shielding contribution is responsible for the anisotropic nature of the magnetic shielding tensor. In the formulation implemented in the ADF software package, $\sigma_{\rm p}$ is further partitioned into three different parts:⁴⁶

(2)
$$\sigma_{\rm p} = \sigma_{\rm p}(\text{gauge}) + \sigma_{\rm p}(\text{occ-occ}) + \sigma_{\rm p}(\text{occ-vir})$$

where $\sigma_p(\text{gauge})$, $\sigma_p(\text{occ-occ})$, and $\sigma_p(\text{occ-vir})$ denote the paramagnetic shielding contributions from the gauge, magnetic coupling among occupied MOs, and magnetic coupling between occupied and virtual MOs, respectively. Table 2 lists a summary of individual shielding contributions in oxaliplatin and carboplatin. It is quite clear that σ_p is indeed responsible for the observed coordination shift in Pt-carboxylate complexes. Furthermore, $\sigma_p(\text{occ-vir})$ makes the largest contribution to σ_p . So what kind of occupied and unoccupied MOs can be magnetically coupled to induce a

large paramagnetic shielding effect when the magnetic field is along the C-O bond (that is, the || direction)? It is also well-known from Ramsey's theory⁶⁵ that the paramagnetic shielding contribution from magnetic coupling of a pair of occupied and virtual MOs is inversely proportional to the energy gap between them, provided that the two MOs satisfy the symmetry requirement.⁶⁶ Previous ¹⁷O NMR studies of C–O and N–O compounds have shown^{56,57,64,67} that the high-lying nonbonding orbitals (electron lone pairs) on the oxygen atom often make the largest paramagnetic shielding contribution. Use oxaliplatin as an example. As seen in Fig. 6, MO#92 and #93(HOMO) are largely localized on the non-Pt-coordinated oxygen atoms, each having a similar shape as a pure 2p atomic orbital perpendicular to the C-O bond. The ADF analysis showed that the magnetic coupling between these two MOs and all virtual MOs contributes -204 and -48 ppm of paramagnetic shielding for the non-Pt-coordinated and directly Ptbonded oxygen atoms, respectively (see Table 2). We also found that the magnetic coupling between MO#84 and #85 and all virtual MOs makes comparable paramagnetic shielding contributions on both types of oxygen atoms. Among the virtual MOs, the most important ones are of the π^* type such as MO#97 and #114, which can be magnetically coupled with MO#84, #85, #92, and #93 when the magnetic field lies along the direction, as also shown in Fig. 6. So the above discussion has shown quite clearly that the large ¹⁷O coordination shift observed in the Pt-carboxylate complexes is due to the significantly reduced paramagnetic shielding contribution on the directly Pt-bonded oxygen atom when the magnetic field is along the direction, which couples MO#92 and #93 with all virtual MOs with the right symmetry.

Conclusions

We have synthesized two ¹⁷O-labled platinum-carboxylate complexes (carboplatin and oxaliplatin) that are well-known anticancer drugs and carried out solid-state 17O NMR experiments to determine the complete ¹⁷O CS and QC tensors in these compounds. We found that the ¹⁷O CS and QC tensors for the 2 oxygen atoms within the same carboxylate group are very different, reflecting the monodentate mode of binding between the carboxylate group and Pt(II), i.e., O=C-O-Pt. The observed large coordination shifts of 200 ppm in carboplatin and oxaliplatin are the largest reported to date in ¹⁷O NMR studies. By examining the tensor orientations in the molecular frame of reference, we have determined the origin of such large 17O coordination shifts in these Pt-carboxylate complexes. This is a good example to illustrate that ¹⁷O CS and QC tensors often provide complementary information about the chemical bonding. It is certainly an advantage of solid-state ¹⁷O NMR spectroscopy that these tensor properties can be measured simultaneously. The experimental solid-state ¹⁷O NMR results were very well reproduced by plane wave DFT calculations. The demonstrated sensitivity of ¹⁷O NMR tensors toward metalligand interactions makes ¹⁷O NMR a useful tool for studying other metallodrugs. It may also be possible to use solid-state ¹⁷O NMR to probe polymorphism of drug compounds.

Supporting information

Supplementary data are available with the article through the journal Web site at http://nrcresearchpress.com/doi/suppl/10.1139/cjc-2015-0019.

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