# A Stochastic Numerical Model of Breast Cancer Growth That Simulates Clinical Data<sup>1</sup>

# John F. Speer,<sup>2</sup> Victor E. Petrosky, Michael W. Retsky, and Robert H. Wardwell

Penrose Cancer Hospital, Colorado Springs, Colorado 80933

# ABSTRACT

A new stochastic numerical model of breast cancer growth is developed. First, the model suggests that Gompertzian kinetics does apply but that from time to time, in random fashion, there occurs a spontaneous change in the growth rate or rate of decay of growth, such that the overall growth pattern occurs in a stepwise fashion. According to the model, the average time for the tumor burden to increase from one cell to detection is probably in the range of 8 years. Secondly, the model suggests that there is a linear relationship between the number of axillary lymph nodes positive for metastasis at diagnosis and the number of other metastatic sites. This can be described mathematically by the equation S = 0.24 + 0.35N where S is the number of other metastatic sites and N is the number of positive lymph nodes. The model has been verified by simulating three data sets: (a) the survival times of untreated breast cancer patients as described by Bloom et al. [Br. Med. J., 2: 213-221, 1962]; (b) the growth rates of breast cancers immediately prior to diagnosis as described by Heuser and Spratt (Cancer (Phila.). 43: 1888-1894, 1979]; and (c) the disease-free survival time postmastectomy as described by Fisher et al. [Surg. Gynecol. Obstet., 140: 528-534, 1975]. This model could have implications concerning the overall treatment rationale for breast cancer.

#### INTRODUCTION

Results of breast cancer treatment have improved in the past 10 years. This has largely resulted from increased efforts at early detection and use of adjuvant chemotherapy after surgery for high-risk patients. Nonetheless, significant numbers of patients with local and regional disease at diagnosis go on to demonstrate recurrent disease and eventually die. This necessitates the development of even more effective treatment. To do so will require further refinements in our understanding of the disease process.

At present, the rationale for the postoperative use of so-called adjuvant chemotherapy in patients at high risk for recurrence is based on a group of "demiprinciples" derived from work on animal tumor models and from correlations with clinical human breast cancer data. These include the following: (a) patients who have recurrence after curative surgery have cancer cells that have spread to other sites in the body prior to surgery, thus establishing "micrometastases"; (b) micrometastases grow according to the Gompertzian pattern thought to be reasonably representative of how human cancers grow (14); (c) these rapidly growing micrometastases are more sensitive to the effects of chemotherapy than is more slowly growing clinical disease, thus making them more amenable to total eradication by systemic chemotherapy; (d) Gompertzian (almost exponentially)-growing small tumors are likely to undergo mutations similar to what is seen in exponentially growing bacteria, and this could give rise to clones of cells genetically resistant to chemotherapy (6). This mechanism is currently thought to be responsible for the majority of treatment failures, *i.e.*, patients who go on to relapse later in spite of surgery plus adjuvant chemotherapy.

Skipper et al. (20, 21) have done studies which demonstrate quantitative relationships between tumor burden, time of growth, and chemotherapy treatment effectiveness in L1210 leukemia and other animal tumor models. In their work, tumor growth rate is expressed as "average doubling times." While this approach has led to great insights, all agree that this representation has been a substitution for what is known to be a more complex phenomenon. Salmon (14) attempted to use the "log kill theory" of Skipper in conjunction with a Gompertz representation of tumor growth in order to develop a more realistic model of the effectiveness of surgical adjuvant chemotherapy. Thus, instead of an average tumor-doubling time, he used doubling times based on tumor kinetic data extrapolated from in vivo and in vitro human breast cancer studies. Calculations utilizing the Gompertz growth formula resulted in doubling time values ranging from 2 days for the fastest micrometastases to as long as 10 days when the tumor burden was relatively large, *i.e.*, in the range of  $1 \times 10^9$  cells.

We became interested in considering new designs for surgical adjuvant chemotherapy and decided that computer-based simulations might provide valuable insights. We started by attempting to reproduce much of the work of Skipper with the Salmon modifications for tumor growth, but we were disturbed to find that some of the calculations obtained were not in keeping with our preconceived notions of the timing of the natural history for this disease. In particular, we were impressed that, if the parameter values used by Salmon were substituted into the Gompertz formula, the time required for a tumor to grow from one cell to a tumor large enough to be detected clinically, *i.e.*,  $1 \times 10^9$  cells, would take about 4 months. This seems much shorter than we would expect, especially in light of the length of time to recurrence after mastectomy, which often takes a number of years. Therefore, we undertook a systematic reevaluation of the Gompertz equation as a valid representation of human breast cancer arowth.

#### **Gompertz Model of Turnor Growth**

Gompertz (7), a 19th-century actuarial scientist, formulated a mathematical expression which has been used as a growth representation (25). Beginning essentially as exponential growth, as time goes on, the process becomes damped and eventually stops. It can be represented by the mathematical formula:

<sup>&</sup>lt;sup>1</sup> Supported in part by a grant from the El Pornar Foundation, Colorado Springs, CO.

<sup>&</sup>lt;sup>2</sup> To whom requests for reprints should be addressed, at Penrose Cancer Hospital, 2215 North Cascade Avenue, Colorado Springs, CO 80933.

Received December 14, 1983; accepted May 24, 1984.

$$N = \exp\left[\left(A_0/\alpha\right)\left(1 - \exp\left(-\alpha_t\right)\right)\right]$$

where N is the number of cells at some time after growth starts,  $A_0$  is the initial specific growth rate,  $\alpha$  is the proportional rate of decay of  $A_0$ , and t is the time after the start of growth.

Laird (10) published convincing evidence that Gompertzian growth is representative of all biological growth. It is considered to be the best mathematical descriptor of tumor growth, although many other growth equations exist (17).

### A New Model of Breast Cancer Growth

While Gompertzian growth "fits" intuitively with much of our clinical experience, i.e., smaller tumors grow rapidly, large tumors grow slowly as indicated previously, we became suspicious of its validity. As a further attempt to consider its usefulness, we decided to evaluate Gompertzian growth with a range of values for  $A_0$  and  $\alpha$ . Values used by Salmon were based on his work with human myeloma (23) and breast cancer cells grown in soft agar (14). The myeloma data show a duration of subclinical disease ( $\leq 2.5 \times 10^{11}$  cells) to range from 91 to 575 days, with an average of 223 days. As stated previously, when Salmon did his breast cancer modeling, he used  $A_0 = 0.3$  (1/days) and  $\alpha =$ 0.011 (1/days) resulting in growth to  $1 \times 10^{9}$  cells in approximately 120 days (4 months). Since this intuitively seems too rapid, we substituted the published range of values for both  $A_0$ and  $\alpha$  (23) into the Gompertz equation and generated the family of curves illustrated in Chart 1. Unfortunately, as can be seen, none of the curves result in growth from one cell to  $1 \times 10^9$  cells in times in excess of 1 year, the minimum time we believed necessary in order for the growth model to be a reasonable representation.

We thus undertook to devise a new way to mathematically represent growth. First of all, we decided to accept Laird's observations that, when tumors do grow, Gompertzian kinetics describes them satisfactorily. Secondly, we considered that tumors might grow in "spurts" instead of steadily, which is not incompatible with what we can imagine biologically. It can be shown that the factor which governs the ultimate size of the tumor using the Gompertz equation is the rate  $A_0/\alpha$ . Any increase in this ratio will result in an upward "translation" or a step in the curve. After a mathematical evaluation, we found that it makes little difference if  $A_0$  increases or  $\alpha$  decreases. We arbitrarily chose to decrease  $\alpha$  as a method of producing a new growth "spurt." Furthermore, we postulated that growth spurts would occur in a stochastic or random fashion. The new model differs from other models (3, 5, 11, 13, 16, 19, 22, 24) in that it is stochastic, is numerical, and also generates individual tumors to comprise a population. The model begins with one cell at zero time and has specified values of  $A_0$ ,  $\alpha$ ,  $A_4$ , the probability that  $\alpha$ will undergo a change in a 5-day period, and  $A_3$ , a determinant of the amount of change in  $\alpha$ .  $\alpha$  and  $A_0$  are in units of reciprocal days, and  $A_3$  and  $A_4$  are dimensionless. Time (t) is expressed in days.

The program allows variations in the size at which detection of the tumor will occur. Detection sizes, which were set to vary from  $1 \times 10^9$  to  $5 \times 10^9$ , correspond to usual clinical experience. The lethal size was arbitrarily set at  $1 \times 10^{12}$ . A tumor of  $1 \times 10^9$  cells has a mass of about 1 g and a volume of about 1 ml, while a tumor of  $1 \times 10^{12}$  cells has a mass of about 1 kg and a volume of about 1 liter.

The program evaluates the tumor burden using the Gompertz

equation and then inquires if the tumor burden is detectable. Time is incremented by 5 days, and a random number between 0 and 1 is generated and compared to  $A_4$ . If  $A_4$  is smaller, the tumor continues to grow at the prior rate. If not, the program reduces  $\alpha$  by an amount depending on  $A_3$  and another random (0 to 1) number [ $\alpha = \alpha/(1 + random number \times A_3)$ ]. Growth continues in this fashion recording time of detection until a lethal tumor burden is achieved or a preset time has elapsed (40 years was used). Typical growth of 3 tumors is displayed in Chart 2. It is important to note that, although each curve is different, each was generated by running the identical program. The differences are accounted for by the random chance of change and the randomly determined amount of change.

#### **Evaluation of the Model**

Mathematical models are not reality, but they can be useful in experimentation if they simulate reality. This section will cover how closely this mathematical model simulates clinical data. In order to evaluate the model, we decided to test it against a wide spectrum of clinical data. Chart 3 summarizes the 3 data sets



Chart 1. Gompertz growth curves for the range of  $A_0$  and  $\alpha$  as reported by Sullivan and Salmon (23) for IgG myeloma.



Chart 2. Computer run generating 3 typical tumors. Program was terminated when one tumor reached the lethal limit set at  $1 \times 10^{12}$  cells.



Chart 3. Summary of 3 data sets used to establish the model. POST OP, postoperative.

we used. The first is the plot of natural history of untreated breast cancer patients reported by Bloom *et al.* (2) and Henderson and Canellos (8). The survival curve is shown for 250 subjects who refused treatment for diagnosed breast cancer between 1805 and 1933 in England.

The second data set, reported by Heuser et al. (9), demonstrates unperturbed growth at the smallest detectable tumor sizes. In Kentucky, 10,120 subjects were given yearly mammograms. When cancer was detected, previous mammograms were reexamined to determine if in retrospect tumor could be identified and measured so that the growth rate could be calculated.

The third set from Fisher *et al.* (4) addresses the regrowth of tumor following surgical resection of primary disease. The percentage of patients in complete remission following radical mastectomy is plotted separating groups by extent of nodal involvement.

This collection of clinical data covers the range from tumors too small to be detected to those large enough to cause death. Subjecting a growth model of breast cancer to these situations was considered to be essential in determining the ability of the model to simulate a group of patients.

The Bloom data were actually used to determine the best values for  $A_3$  and  $A_4$ . A systematic search routine in the  $A_3$ ,  $A_4$  plane was used to find the best fit to the Bloom data. The figure of merit used for comparison of fit was the absolute value of the average error between the Bloom data and the model calculation based on a sample of 480 patients per calculation.

Using this approach, we found optimized values for  $A_3 = 0.3$ 

and  $A_4 = 0.008$ , and this was true for any value of  $A_0$  from 0.1/ days to 0.7/days. Two such examples are illustrated in Chart 4 ( $A_0 = 0.3$  and  $A_0 = 0.7$ ). For illustrative purposes, one curve resulting from minimally different values ( $A_3 = 0.4$  and  $A_4 =$ 0.009) is also shown, demonstrating a significant deviation from the Bloom data.

It is interesting to note that, if the optimum value of  $A_4$  would have been zero, then the resulting growth curve would have been the classical Gompertzian pattern which, in fact, is thus refuted on empirical grounds.

The fact that optimum values for  $A_3$  and  $A_4$  are independent of the initial specific growth rate ( $A_0$ ) is worth further consideration. What this observation means is that tumor growth from detection to death, *i.e.*, the time of clinical disease, is independent of the initial specific growth rate of the tumor.  $A_0$  does make a difference in the total life history of the cancer. This is shown in Table 1, which indicates that the average time from detection to death is fairly constant, approximately  $3.3 \pm 2.6$  (S.D.) years, whereas the time from one cell to detection varies from 0.2 to 26 years dependent on  $A_0$ . Thus,  $A_0$  determines the preclinical lifetime of the tumor.

While our initial intuitive impression led us to reject the straight Gompertzian model because the preclinical lifetime of the tumor was too short, we likewise believed that the range from 0.2 to 26 years was not specific enough. This led us to further attempt to determine a more precise and meaningful range of time for the preclinical life span of breast cancers. This was done by considering the Heuser data.



Table 1 Natural history of breast cancer from the model for various values of A<sub>o</sub>

A <sub>o</sub> (1/days)	Time to detection (yr)	Time to 1 × 10 <sup>12</sup> cells (yr)	Time from detec- tion to 1 × 10 <sup>12</sup> cells (yr)
0.1	26.2 ± 6.9 <sup>e</sup>	29.6 ± 6.8	3.4 ± 2.7
0.2	$16.6 \pm 5.4$	19.9 ± 5.9	$3.3 \pm 2.6$
0.3	11.5 ± 5.2	14.8 ± 5.7	3.3 ± 2.8
0.4	7.8 ± 3.9	11.3 ± 5.0	$3.5 \pm 3.0$
0.5	4.7 ± 2.9	8.0 ± 3.8	3.3 ± 2.7
0.6	2.3 ± 2.0	5.5 ± 3.3	3.1 ± 2.6
0.7	$0.2 \pm 0.04$	$3.5 \pm 2.5$	3.2 ± 2.5

<sup>4</sup> Mean ± S.D.

In the Heuser study, 10,120 women had annual screening mammograms for 3 years. One hundred nine cancers were detected, of which 45 were diagnosed on the first mammogram. Of the 64 diagnosed on subsequent mammograms, 17 were not visible in retrospect on previous mammograms, 15 were visible but not measurable, and 32 were both visible and measurable allowing for the determination of growth rates. Tumors that grew to visible size in less than 1 year, specifically between mammograms, were not measurable, causing a bias in the results. The average tumor burden was  $1 \times 10^{9.12}$  at detection; on the previous mammogram 313 days earlier, the average size was  $1 \times 10^{8.81}$ . These data are summarized in Chart 5.

To test our model, we calculated the growth rate for 313 days past the time when the tumor burden was  $1 \times 10^{8.81}$  cells. The results are shown on Chart 6 together with the similarly analyzed clinical data. The 32 measured tumors are displayed in the histogram in Chart 6a. The question mark (?) is there to remind us that there are at least 17 more tumors that grew too rapidly to be measured and would show up as having faster growth rates than did the 32 displayed tumors. Chart 6, *b* to *d*, shows the calculated similar situations for various  $A_0$  values as indicated.

With a significant fraction of the tumors not measurable and the data biased, it would be guesswork to specify precisely which  $A_0$  in our model would best represent the complete situa-

tion.  $A_0 = 0.4$  may best represent the case for all 64 tumors that Heuser tried to measure.

Curiously enough, 9 of Heuser's tumors did not grow by a measurable amount in the year between mammograms, as can be seen in Chart 5. Likewise, as illustrated in Chart 2, our model



Chart 5. Summary of Heuser data. The data for each tumor consist of a determination of tumor size at 2 different times approximately 1 year apart. The first tumor size is plotted on the time = 0 axis. A straight line connects the initial point and the final point for each tumor. 7B, tumor burden.



Downloaded from http://aacrjournals.org/cancerres/article-pdf/44/9/4124/2419830/cr0440094124.pdf by guest on 24 August 2022

Chart 6. Histogram of the growth rate of the tumors from the Heuser data are shown in a. Growth rate is defined as  $10^3 \times [\Delta \log (\text{tumor burden})]/\text{days. b, c, and d show the equivalent model results for various values of A<sub>0</sub>.$ 

generates tumors that exist in a steady state for years at a time.

We summarize the relationship between our model and Heuser's data as follows. Our model with  $A_0 = 0.4$  seems to agree with the clinical data. More specifically, the model generates tumors that grow at the same rate as the clinical data indicated. Also clinically and from the model, some tumors did not grow at all in 1 year.

Referring to Table 1, it might be reasonable to conclude that our model indicates that breast tumors grow for  $7.8 \pm 3.9$  years before detection. The model would simultaneously agree with both Bloom and Heuser under these conditions.

At the suggestion of S. Piantadosi,<sup>3</sup> we examined variations of the Gompertz equation to evaluate the sensitivity of our model to the exact shape of the growth curve.

We decided to try various growth patterns while retaining both the initial exponential growth and the ultimate cessation of growth. This was done by using the Gompertz equation and varying  $A_0$  and  $\alpha$  (initial) while restricting  $(A_0/\alpha)$  to be constant. All of the growth curves matched the Bloom data with the same values of  $A_3 = 0.3$  and  $A_4 = 0.008$ . We concluded that the model shows little sensitivity to the exact form of the equation. Thus, the essence of our model is in the random change of the growth parameters.

The final data set which we considered in the development of this model was the disease-free survival time of patients following mastectomy where varying numbers of axillary lymph node metastases existed, as reported by Fisher *et al.* (4). Skipper *et al.* (20, 21) reasoned that these data were similar to data that they generated in mice inoculated with varied but precisely defined quantities of L1210 leukemia. Their conclusion was that time to recurrence (disease-free survival) is related to the quantity of tumor left behind in micrometastatic deposits. Furthermore, they then concluded that on the average there is a relationship between the number of nodes positive and the average quantity of tumor remaining postoperatively. Thus, more positive nodes predict a shorter time to recurrence.

Our model is also intuitively compatible with Fisher's data; with more positive nodes, disease-free survival is shorter. However, in our model, this occurs for an entirely different reason. The statistical nature of our model implies that the time interval between individual sites reaching a detectable size decreases as the number of sites increases. Thus, if 2 tumors started growing at approximately the same time, they would not necessarily reach the detection level simultaneously. Rather, one would be detected first. Likewise, if 3 tumors started growing at approximately the same time, the likelihood is that the time between the first and second tumors reaching detection would be shorter than the previous example.

From repeated clinical studies, it is absolutely clear that the more numerous the positive lymph nodes, the shorter will be the disease-free interval. As we have demonstrated with our model, there is also a relationship between the number of sites and the disease-free interval, implying that there must be a relationship between the number of sites and the number of positive lymph nodes. The simplest expression of this relationship is

$$S = C_1 + C_2 \times N$$

where S is the average number of individual metastatic sites,  $C_1$  and  $C_2$  are constants, and N is the number of positive nodes at

4128

diagnosis. In order to evaluate this hypothesis, we chose to test it empirically, considering the probability of disease-free survival at any point in time for various subsets of patients, *i.e.*: those with no positive nodes; those with 1 to 3 positive nodes; and those with  $\geq$ 4 nodes. Thus, the probability of a single metastatic site not growing to  $\geq$ 1 × 10<sup>9</sup> cells at any point along one of the disease-free survival curves can be expressed as  $P_1(t)$ . Likewise, the likelihood of none of the S sites reaching 1 × 10<sup>9</sup> cells at time t is  $P_s(t)$ . Because each metastatic site is independent of each other, it follows that  $P_s(t) = P_1^{s}(t)$ . By substitution, this expression becomes  $P_1^{(C_1+C_2M)}(t)$  which can be restated as  $P_1^{C_1}(t)^*P_1^{C_2M}(t)$ .

In considering Fisher's data, we have defined the node-negative population as Data Set 0. Likewise, in this analysis, we have arbitrarily represented all patients with 1 to 3 nodes positive as Data Set 2 and those with  $\geq$ 4 nodes positive as Data Set 5. Thus,

Data 0 = 
$$P_1^{C_1}(t)^* P_1^{C_2 N}(t) = P_1^{C_1}(t)$$

since N = 0,

Data 2 = 
$$P_1^{C_1}(t)^* P_1^{C_2 *}(t)$$
  
=  $P_1^{C_1}(t)^* P_1^{2C_2}(t)$ 

since N = 2, and likewise,

Data 5 = 
$$P_1^{C_1}(t)^* P_1^{5C_2}(t)$$

because N = 5. By substitution

$$Data 2 = Data 0^{*} P_1^{2C_2}(t)$$

Data 5 = Data 
$$0^{+}P_1^{6C_2}(t)$$

Thus, the empiric prediction

$$\left(\frac{\text{Data 2}}{\text{Data 0}}\right)^{1/2} = \left(\frac{\text{Data 5}}{\text{Data 0}}\right)^{1/5}$$

Again, substituting actual values from Fisher's disease-free survival probability curves, we obtain at 5 years:

$$\left(\frac{\text{Data 2}}{\text{Data 0}}\right)^{1/2} = \left(\frac{0.5}{0.82}\right)^{1/2} = 0.78$$

and

and

$$\left(\frac{\text{Data 5}}{\text{Data 0}}\right)^{1/5} = \left(\frac{0.21}{0.82}\right)^{1/6} = 0.76$$

and repeating the same calculations at 10 years:

$$\left(\frac{\text{Data 2}}{\text{Data 0}}\right)^{1/2} = \left(\frac{0.35}{0.76}\right)^{1/2} = 0.68$$

$$\left(\frac{\text{Data 5}}{\text{Data 0}}\right)^{1/6} = \left(\frac{0.13}{0.76}\right)^{1/6} = 0.70$$

Thus, since this empiric test of our theoretical prediction  $S = C_1 + C_2 N$  was reasonably accurate, we decided to evaluate it further.

<sup>&</sup>lt;sup>a</sup> S. Piantadosi, personal communication, 1982.

Chart 7 shows the disease-free survival for 0, 1, 2, and 3 metastatic sites from our model (using  $A_0$ ,  $\alpha$ ,  $A_3$ , and  $A_4$  values as determined previously). Combining the data from Chart 7 and Fisher's data, Chart 8 was generated showing the relationship between the number of metastatic sites and the number of positive nodes. All of these data may be represented by a single, best-fit straight line with the *y*-axis intercept at 0.24 ± 0.05 and a slope of 0.35 ± 0.10. Thus, according to the relationship between metastatic sites and positive nodes previously developed, we have

$$S = 0.24 + 0.35N$$

The value of S for zero positive nodes is 0.24 which implies that 24% of the persons with zero positive nodes actually have a metastatic site growing. This agrees with the asymptotic limit of Fisher's N = 0 curve (24% treatment failures at 10 years). We can use these values for  $C_1$  and  $C_2$  to further develop the numerical relationship between disease-free survival and nodal involvement. Continuing from the previous development,



Data  $N = P_1^{C_1 + C_2 N}(t)$ 

Chart 7. Remission versus time for the model with number of sites as parameter.



Chart 8. Relationship between the number of metastatic sites and number of positive nodes as derived from our model and Fisher's data. This was done by eliminating time as a variable.



Chart 9. Family of curves generated by the model using the equation data N = data 0<sup>(1+1.404)</sup>. This is the model simulation of remission versus time with number of positive nodes (N) as parameter and may be compared directly to Fisher's data.

which may be rewritten as

Data 
$$N = P_1^{C_1(1 + C_2 N/C_1)}(t)$$

or

$$Data N = Data 0^{(1+1.46M)}$$

Thus, Data  $0 = Data 0^1$ , Data  $1 = Data 0^{2.46}$ , Data  $2 = Data 0^{3.92}$ , Data  $3 = Data 0^{5.36}$ , etc. Finally, from these relationships, it is possible to recreate Fisher's data curves as well as data curves for patient populations with each individual number of nodes positive as shown in Chart 9.

The development described above is compatible with the actual disease-free survival as documented by Fisher. Its major significance lies in showing that our model suggests that the number of positive lymph nodes at diagnosis predicts the number of other metastatic sites rather than the number of tumor cells left behind following curative surgery.

Fisher's data may be very accurately represented by a mathematical power series as illustrated in Chart 9. This indicates to us that probabilistic effects, such as used in our model, dominate tumor kinetics.

# DISCUSSION

The model of breast cancer kinetics developed above can be important in a number of ways.

 It represents a different approach in that it is both stochastic and numerical, contrary to other models which are based on differential equations. We used this approach because it offered us more versatility than did the differential equation method. Thus, we were able to develop mathematical representations of concepts expressed from words rather than formal equations. Our model generates every individual in the population rather than a group of means or averages representing the population.

 It fits human breast cancer data in a unique fashion. Although it was developed to match published statistical data, it

## J. F. Speer et al.

does so by counting the sum of every individual in the model. It is a model of individuals. Thus, the individuals generated by the model are a meaningful representation of a clinical population of breast cancer patients both individually and collectively.

3. It adequately accounts for the heterogeneity seen in human breast cancers. It is well known that the natural history of breast cancer can vary by several orders of magnitude between patients with essentially identical clinical presentations. Our model creates a population of individuals that encompasses the entire spectrum of the disease commonly referred to as "heterogeneous."

4. It suggests that a major cause for resistance to adjuvant chemotherapy could be due to large numbers of nonproliferating cells. In particular, this model suggests that, during the natural history of the disease, a number of time periods can exist during which the tumor is not changing in size. This could be the result of one of 3 possibilities: (a) tumor is growing at exactly the same rate as the rate of cell decay; (b) the growth fraction is zero; and (c) some combination of a and b. In any case, the implication exists that if cells were present in a nonproliferating state they would be resistant to adjuvant therapy as suggested by Alexander (1). If this is so, our model implies that current approaches to adjuvant chemotherapy based on simpler growth models (6, 12, 14, 15, 18, 20, 21, 26) could be inadequate. It further suggests that some form of long-term maintenance therapy could be more effective.

In summary, we have developed a stochastic numerical model representing the growth of breast cancer. The model suggests that Gompertzian kinetics does apply but that from time to time, in random fashion, there occurs a spontaneous change in the growth rate or rate of decay of growth, such that the overall growth pattern occurs in a stepwise fashion. Time from one cell to detection, according to this model, is variable depending on the initial growth rate but probably is in the range of 8 years. Also, the model suggests that there is a linear relationship between the number of axillary lymph nodes positive for metastases at diagnosis and the number of other metastatic sites as described by the equation S = 0.24 + 0.35N.

Data from clinical disease studies are simulated well by our model. Due to the excellent fit of this model to clinical data, it is not unreasonable to assume that it applies to smaller tumor burdens as well. If this is the case, there are significant implications about adjuvant chemotherapy. Resistance in patients with resected breast cancer who are at high risk for recurrence may be due in part to nonproliferating cells rather than entirely to other mechanisms of resistance. Thus, further work with this model in conjunction with a model for treatment effect could provide new insights in designing therapy trials.

# ACKNOWLEDGMENTS

Useful discussions were held with Dr. S. Davis, Dr. P. Anderson, Dr. R. Spain, Dr. C. Zinn, Dr. N. Bower, D. DeBoer, B. Campbell, W. Wagner, Colonel R. Foss, Dr. C. Mittman, Dr. R. Levin, Dr. D. Griswold, Jr., and F. Martin Brown.

### REFERENCES

- 1. Alexander, P. Need for new approaches to the treatment of patients in clinical remission, with special reference to active myeloid leukemia. Br. J. Cancer, 46: 151-159, 1982.
- 2. Bloom, H., Richardson, M., and Harries, B. Natural history of untreated breast cancer (1804-1933): comparison of untreated and treated cases according to histological grade of malignancy. Br. Med. J., 2: 213-221, 1962
- 3. Brunton, G., and Wheldon, T. The Gompertz equation and the construction of tumor growth curves. Cell Tissue Kinet., 13: 455-460, 1980.
- Fisher, B., Slack, N., Katrych, D., and Wolmark, N. Ten year follow-up results of patients with carcinoma of the breast in a cooperative clinical trial evaluating surgical adjuvant chemotherapy. Surg. Gynecol. Obstet., 140: 528-534, 1975.
- 5. Goel, N. S., Maitra, S. C., and Montroll, E. W. On the Volterra and other nonlinear models of interaction populations. Rev. Mod. Phys., 43: 231-276, 1971 (see esp. Sect. 2).
- 6. Goldie, J. H., and Coldman, A. J. A mathematical model for relating the drug sensitivity of tumors to their spontaneous mutation rate. Cancer Treat. Rep., 63: 1727-1773, 1979.
- 7. Gompertz, B. On the nature of the function expressive of the law of human mortality and on a new mode of determining the value of life contingencies. Phil. Trans. R. Soc. Lond., 115: 513-585, 1825.
- 8. Henderson, C., and Canellos, P. Cancer of the breast the past decade. First of two parts. N. Engl. J. Med., 302: 17-30, 1980. Heuser, L., Spratt, J., and Polk, H. Growth rates of primary breast cancer.
- ٩ Cancer (Phila)., 43: 1888-1894, 1979.
- 10. Laird, A. Dynamics of growth in tumors and in normal organisms. Natl. Cancer Inst. Monogr., 30: 15-289, 1969.
- 11. Maskens, A. P. Mathematical models of carcinogenesis and tumor growth in an experimental rat colon adenocarcinoma. In: M. Lipkin and R. Good (eds.), Gastrointestinal Tract Cancer, pp. 361-387. New York: Plenum Publishing Corp.
- 12. Norton, L., and Simon, R. Tumor size, sensitivity to therapy and design of treatment schedules. Cancer Treat. Rep., 61: 1307-1317, 1977.
- 13. Pruitt, K., DeMuth, R., and Turner, M. Practical applications of generic growth theory and the significance of the growth curve parameters. Growth, 43: 19-35. 1979.
- Salmon, S. Kinetic rationale for adjuvant chemotherapy of cancer. In: S. Salmon and S. Jones (eds.), Adjuvant Chemotherapy of Cancer, pp. 15-27. Amsterdam: Elsevier/North-Holland Biomedical Press, 1977.
- 15. Salmon, S., and Durie, B. Applications of kinetics to chemotherapy for multiple myeloma in growth kinetics and biochemical regulation of normal and malignant celts. In: B. Drewinko and R. M. Humphrey (eds.), Twenty-ninth Annual Symposium on Fundamental Cancer Research, 1976, pp. 665-878. Baltimore: The Williams and Wilkins Co., 1977. 16. Sandland, R., and McGilchrist, C. Stochastic growth curve analysis. Biometrics,
- 35: 255-271, 1979.
- 17. Savageau, M. Growth equations: a general equation and a survey of special cases. Math. Biosci., 48: 267-278, 1980.
- 18. Schiffer, L. M., Braunschweiger, P. G., Strangand, J. J., and Poulakos, L. The cell kinetics of human mammary cancers. Cancer (Phila.), 43: 1707-1719, 1979
- 19. Simpson-Herren, L., and Lloyd, H. Kinetic parameters and growth curves for experimental tumor systems. Cancer Chemother. Rep. Part I, 54: 143-174, 1970.
- 20. Skipper, H., and Schabel, F., Jr. Quantitative and cytokinetic studies in experimental tumor systems. In: J. F. Holland and E. Frei III (eds.), Cancer Medicine, Ed. 2, pp. 636-648. Philadelphia: Lea and Febiger, 1982.
- Skipper, H., Schabel, F., Jr., and Lloyd, H. Dose-response and tumor cell repopulation rate in chemotherapeutic trials. Adv. Cancer Chemother., 1: 205– 253, 1979.
- 22. Smith, C. E., and Tuckwell, H. C. Some stochastic growth processes. In: P. van den Driessche (ed.), Mathematical Problems in Biology: Victoria Confer-ence, pp. 211-225. Berlin: Springer-Verlag, 1974.
- 23. Sullivan, P., and Salmon, S. Kinetics of growth and regression of IgG multiple myeloma. J. Clin. Invest., 51: 1967, 1972.
- 24. Turner, M., Bradley, E., Kirk, K., and Pruitt, K. A theory of growth. Math. Biosci., 29: 367-373, 1976.
- 25. Winsor, C. P. The Gompertz curve as a growth curve. Proc. Natl. Acad. Sci. USA, 18: 1-7, 1932.
- 26. Zelen, M. A hypothesis for the natural history of breast cancer. Cancer Res., 28: 207-216, 1968.