A Study of PA DNA/Dendron Nanoparticles for Genetic Immunisation Against Anthrax

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Abstract: Protective antigen (PA), the binding subunit of toxins produced by *Bacillus anthracis* is singularly the most important antigen required for specific immunity to anthrax disease. We used cationic poly-lysine dendrons to develop a genetic anthrax vaccine. Plasmid CMV/ER PA83 which encoded full length PA 83 was complexed with dendrons to form dendriplexes. Two types of dendron were used: C_0 and C_{18} . These were mixed with DNA to form dendriplexes, of approximately 80 nm in size, which were tested for immunogenicity. A/J and BALB/c mice were vaccinated with dendriplexes containing 1µg and 50 µg plasmid DNA per dose over a period of 6 weeks. Immunisation with naked PA DNA did not induce an antibody response even after secondary boosting, whereas both dendriplexes produced strong anti-PA antibody response. This response was dose dependent. We conclude that dendriplexes show superior immunogenicity compared to naked PA DNA in both mouse strains and that C_{18} dendriplexes with 50 µg plasmid DNA are most efficacious. However, the elicited antibodies did not neutralise lethal toxin *in vitro*. Therefore further work is required to improve these preparations in order to elicit functional antibodies.

Key Words: Nanoparticle, dendron, dendriplexes, DNA vaccines, protective antigen.

1. INTRODUCTION

Anthrax has become an important area of research due to recent bioterrorism activities and a heightened interest in anthrax pathogenesis, immunity, and vaccine development has ensued since. The virulence of *Bacillus anthracis* is due to the production of three-component toxin, which consists of protective antigen (PA, binding subunit) and either lethal factor (LF, catalytic subunit) or oedema factor (EF, catalytic subunit). PA first cloned in 1986 provides protective immunity [1, 2].

The existing anthrax vaccines, the American anthrax vaccine adsorbed (AVA) and the British anthrax vaccines precipitated (AVP), are protective but have some disadvantages because they cause side effects and are poorly defined. Besides PA, these vaccines contain other antigens, for example LF and EF for AVP, although only trace amounts of LF were found for AVA [3]. In the case of AVP other antigens not related to anthrax toxins, such as S-layer proteins, and heat shock proteins have also been detected [4]. These limitations have raised interest in the development of a new generation of anthrax vaccines. The majority of reported work aimed at treating or preventing anthrax has centred on PA, predominantly for its ability to mediate intoxication [5-7]. These observations have increased focus on the induction of toxin neutralising antibodies (TNAs) either by recombinant PA (rPA) vaccines or by experimental genetic vaccines consisting of full length and truncated forms of PA [8]. Poor immunogenicity of naked DNA has been mitigated by the use of cationic lipids such as Vaxfectin and PLGA particles as delivery vehicles for DNA vaccines [9, 10]. In addition, new vaccination strategies have been designed to improve compliance by reducing the dosage and the number of immunisations required [11].

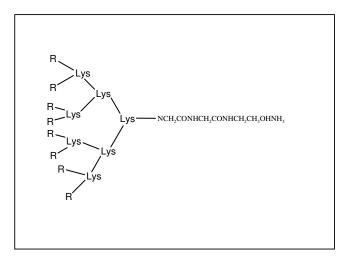
We used poly-lysine dendrons to embed PA plasmid DNA in small compact particles named dendriplexes to improve the immunogenicity of the DNA vaccine [12]. A dendron is a structurally controlled fragment of a dendrimer and these are also known as partial dendrimers. A dendron can be divided into three different regions: the core, the branches, and periphery groups similar to the full dendrimer structure [13]. The number of branching groups encountered upon moving outward from the dendron core defines its generation (G-1, G-2, G-3), containing less end groups compared to its parent dendrimer even at the highest generation. These distinct regions of the dendron can be manipulated accordingly to the desired characteristics for drug or gene carrier systems. Dendrons can be tailored to meet crucial criteria such as low toxicity, translocation, tissue targeting and permeability especially through cell membranes [14]. Dendrons have a flexible structure allowing localised bending or distortion of DNA, which facilitates the formation of these compact dendriplexes. DNA can, under different conditions, condense into toroids and short rods of varying sizes, which is dependent on the concentration or length of the DNA [15].

Synthetic peptide polymers containing lysine are biocompatible and have been shown to have adjuvant properties: they increase immunogenicity of weak antigens, enhance uptake of antigen in tissues and extend the duration of exposure in the host. For example, Trp-Lys-Tyr-Met-Val-_D-

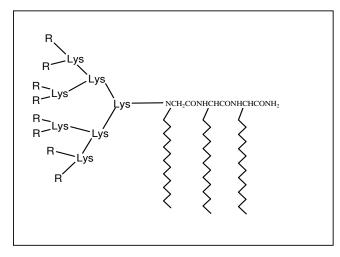
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Met enhanced surface expression of CD80 receptor on mouse bone marrow-derived dendritic cells and stimulated IFN- γ production [16]. CD80 is a co-stimulatory signal for the induction of immunity in response to DNA. In addition L-lysine stimulated the antibody response to heat killed *Mycobacterium tuberculosis* and Bacille Calmette Guerin [17].

We have shown that mice immunised with lysine based dendrons C_0 and C_{18} (Fig. 1) produce antibodies to encapsulated PA-DNA [12].



(C)₀(Lys)₇(NH₂)₈ MW 1174.91



(C₁₈)₃(Lys)₇(NH₂)₈ MW 1758.45

Fig. (1). Simplified structure of the cationic lysine-based dendrons C_0 [(C)₀(Lys)₇(NH₂)₈] with a MW of 1174.91 and C_{18} [(C₁₈)₃ (Lys)₇(NH₂)₈] with a MW of 1758.45. Both dendrons contain16 amino groups of which seven lysine groups (=L) are attached to the core.

In the current study, we extend these findings and examine whether the incorporation of different amounts of PA DNA in C_0 and C_{18} dendriplexes improves the acquired immune response in BALB/c and A/J mice.

2. MATERIALS AND METHODS

2.1. PA-Expression DNA Plasmid

DNA encoding full length PA (PA83) was cloned into the eukaryotic expression plasmid, pCMV/ER, containing an endoplasmatic reticulum retention signal for PA [18]. The pCMV/ER vector without the PA83 insert was used as negative control throughout the study. Plasmids were purified as described [18].

2.2. Synthesis of the Dendrons

Two dendrons were synthesized by stepwise solid-phase peptide synthesis from Boc-Lys(Boc)-OH and 2-amino octadecanoic acid for C_{18} dendron and Boc-Ala-OH for C_0 dendron (Novabiochem) on 4-methyl benzhydrylamine resin using the Boc-strategy [19]. The C_0 dendron $[(C)_0(Lys)_7$ $(NH_2)_8]$ contains seven lysine groups and eight amino groups attached to the core and the C_{18} dendron $[(C_{18})_3(Lys)_7(NH_2)_8]$ contains an additional three hydrocarbon chains. Structures are given in Fig. (1).

2.3. Preparation of PA DNA and Control Dendriplexes

The dendriplexes were formed by addition of equal volumes of DNA and dendron while stirring. These complexes were allowed to equilibrate for 30 mins prior to analysis. Complexes were prepared in double distilled de-ionised water unless otherwise noted. A 10:1 molar charge ratio (dendron:DNA) was used in both sets of dendriplexes as this specific stoichiometric ratio condenses the DNA more efficiently to produce small particles with a narrow polydispersity.

2.4. Characterisation of Dendriplex Particles

The sizes of the PLGA-dendriplex and dendriplex particles, all with a diameter of $<1 \mu m$, were determined by photon correlation spectroscopy using a Zetasizer 3000 (Malvern Instruments, Malvern, UK, He-Ne laser, 90° angle of measurement) after dilution of samples. The results of three measurements are expressed as the Z-average (nm) ± SD and average zeta potential (mV) ± SD.

2.5. Transmission Electron Microscopy

Transmission electron microscopy was used to examine the structure of dendriplexes. Specimens were prepared by depositing a small droplet of complex suspension on a copper grid (Gilder, Grantham, UK). The samples were stained with 1 % uranyl acetate and viewed under a Philips 201L electron microscope.

2.6. Immunisation of Animals

Mouse strains BALB/c and A/J were used in the low dose study and only BALB/c mice were used in the high dose study. All mice were female and weighed 16-20 g. In the low dose study, mice received an injection with dendriplexes containing 1 µg of DNA. In the high dose study, dendriplexes with 50 µg DNA were administered per mouse. The dendriplexes were suspended in PBS, and 20 µl was injected intramuscularly (i.m.) into the thigh using a Hamilton syringe. No additional excipients or adjuvants (e.g. alum) were added to DNA-dendriplex or dendriplex mixtures. In the low dose study, 5 animals received either C18 or C0 dendriplexes. The high dose experiment was carried out with 15 animals per group receiving either C₁₈ or C₀ dendrons. Two booster vaccinations were given every 3 weeks and tail vein blood was taken from each mouse. Terminal bleeds were collected at week 9. Serum was stored at -20 °C until further use.

2.7. Measurement of Anti-PA Antibody Levels in Serum

Serum samples of individual mice were analysed by enzyme immunosorbent assay for anti-PA antibodies. All washing steps were carried out with PBS containing 0.05% Tween 20 (PBST). Each incubation step was carried out at room temperature, if not indicated otherwise. The 96 wells of high-binding protein microtiter plates (MaxiSorpTM, Nunc) were each coated with 100 μ l 5 μ g ml⁻¹ of rPA (List Biologicals, Quadratech, Epsom, UK) in a 0.05 M carbonate buffer at pH 9.5 (at 4 °C overnight). The wells were washed and blocked with 200 µl/well of 2% skimmed milk powder in PBST for 2 h. Each serum sample was diluted starting with a 10-fold dilution, doubling across the plate and incubated for 2 h. The wells were washed extensively with PBST and anti-PA antibodies were detected by using goat antimouse IgG (Sigma). Biotinylated anti-mouse IgG1 and IgG2a (Pharmingen) were used to detect anti-PA antibodies of these subclasses. In this case bound secondary antibody was detected by adding streptavidin peroxidase (Sigma). TMBlue (100µl) was added to each well and the reaction was stopped after 5 min with 25 µl 2 M H₂SO₄. Optical densities were read at 450nm. A negative serum pool and a positive serum pool were included on each plate.

2.8. Toxin Neutralisation Assay

The toxin neutralisation assay was carried out as described by Hering et al. with slight modifications [20]. Toxin neutralising antibody (TNA) titers were determined by the ability of serum samples to protect the RAW 264.7 cells from the effect of lethal toxin (LT), a cocktail of 0.10 μ g ml⁻¹ rPA and 0.08 µg ml⁻¹ rLF (both from List Biological Laboratories). Cells were grown overnight in 96 well plates (Costar Corning Incorporated, Corning, NY) and were maintained in Dulbecco's Minimal Essential Medium (D-MEM) containing 5% heat-inactivated fetal calf serum. Mouse serum samples were doubly diluted in cell culture medium, starting with a dilution of 1:100. Serum dilutions were pre-incubated with LT in a humidified incubator at 37 °C for 1 h. Medium as well as detached RAW 264.7 cells were removed from wells and replaced with preincubated immune serum and LT. Wells containing medium only or LT and medium served as controls. Each serum dilution was tested in triplicate. After incubating for 3h, 10µl WST-1 reagent (Roche) was added to each well and incubated for 4h at 37 °C. The absorbance was then read at 450nm.

2.9. Statistical Analysis

Anti-PA antibody and TNA titers of different treatment groups were compared, using a paired Student's *t*-test and one-way ANOVA with pairwise comparisons, where a significant difference was observed stated in both cases as p < 0.05.

3. RESULTS AND DISCUSSION

We investigated dendriplexes as an alternative vehicle to deliver DNA vaccines. Dendriplexes have several advantages; e.g. they protect DNA from degradation and the small peptides, embedded in the dendrons, have the potential to act as adjuvants. Two types of dendrons were used to produce dendriplexes: $(C)_0(Lys)_7(NH_2)_8$ named C_0 and $(C_{18})_3Lys_{15}$ $(NH_2)_{16}$ named C_{18} (Fig. 1). An electron micrograph substantiates that these particles are small dense spherical compact structures of approximately 80 nm in size (Fig. 2).

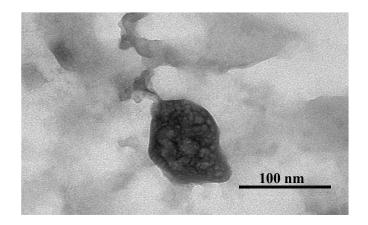


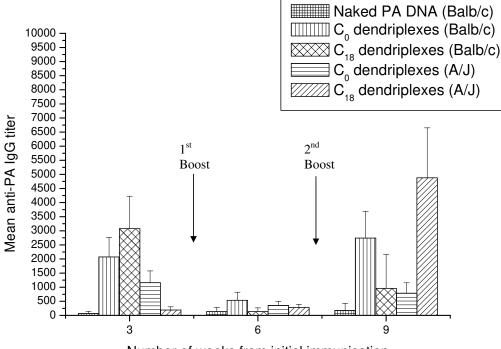
Fig. (2). Cryo-transmission electron microscopy of a C_{18} dendriplex containing 50 µg pCMV/ER PA83 negatively stained with 1% aqueous uranyl acetate.

In a previous study we observed that dendriplexes did improve the immunogenicity of naked PA DNA in BALB/c mice. However TNAs were lacking [12]. Here, we extend these findings by analysis of the antibody response in two strains of mice that had received either low or high doses of PA DNA. Repeated i.m. immunisations with 1 µg of naked DNA (low dose) did not induce an anti-PA antibody response in A/J mice (data not shown) or BALB/c mice (Fig. 3). These results are in line with our previous findings and corroborate a study by Williamson *et al.*, after two i.m. immunisations with 50 µg plasmid DNA containing rPA and/or rV, a surface antigen from Yersinia pestis, a comparatively low immunogenicity and efficacy was observed [12, 21]. Immunisation with dendriplexes induced significantly higher anti-PA antibody responses at a dosage of 1 µg DNA per injection (Fig. 3). Surprisingly anti-PA antibody titers fell in both mouse strains following the first booster, previously we did not see this effect with PLGA particles carrying dendrons [12]. The effect may be related to dendrons that carry low amounts of PA DNA and this may make the DNA more susceptible to degradation when compared to DNA packaged in dendrons and PLGA particles thus reducing the booster effect.

After two booster shots, C_{18} dendriplexes induced a stronger antibody response in BALB/c mice than C_0 dendriplexes confirming previous observation in this mouse strain [12]. The opposite effect was observed for A/J mice. A second boosting led to an increase in titer and immunisation with C_{18} dendriplexes produced a superior anti-PA titer in A/J mice compared to BALB/c mice (Fig. **3**).

The variation in anti-PA IgG response between these two strains following DNA vaccination was also observed by Hahn *et al.* and can be attributed to differences in the genetic background resulting in altered susceptibility to anthrax toxin [18, 22]. However, overall titers in mice immunised with dendriplexes were low. Other groups have shown that low antibody titers after priming with PA DNA could be enhanced by a booster with rPA, thus obviating a key advantage of DNA vaccines [23]. Dose dependency of anti-PA antibody production in A/J mice has been demonstrated for rPA and for PA plasmid DNA [22, 24]. Consequently we sought to improve the antibody response by increasing the amount of PA plasmid DNA in the dendriplexes allowing a dose of 50 μ g DNA per injection.

Immunisations with 50 μg naked DNA (high dose) did not induce a significant anti-PA response after 9 weeks (Fig. **4**). After the first booster shot, dendriplexes comprising 50 μg PA plasmid DNA showed a higher level of anti-PA anti-



Number of weeks from initial immunisation

Fig. (3). Mean anti-PA titer in sera of BALB/c and A/J mice immunised with C_0 dendriplexes and C_{18} dendriplexes containing 1 µg of pCMV/ER PA83, or immunised with naked pCMV/ER PA83. Bars represent SD of five animals.

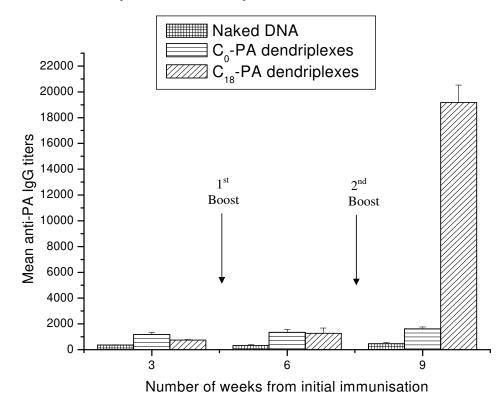


Fig. (4). Mean anti-PA IgG antibody levels in BALB/c mice immunised with C_0 dendriplexes, and C_{18} dendriplexes containing 50 µg of pCMV/ER PA83 or naked pCMV/ER PA83. Bars represent SD of five animals.

bodies compared to immunisations with dendriplexes containing 1 µg PA plasmid DNA (Fig. **4**; p < 0.05). There was no significant difference between C₀ and C₁₈ dendriplexes (Fig. **4**). However at week 9, antibody levels increased substantially (18 fold) in mice immunised with C₁₈ dendriplexes. In contrast the antibody response in mice immunised with C₀ dendriplexes had levelled off (p < 0.05). Thus in BALB/c mice a superior antibody response is induced by two booster shots of 50 µg DNA embedded in C₁₈ dendriplexes.

Individual sera were assayed for antigen-specific IgG1 and IgG2a to evaluate the antibody subclass response after immunisation with C_0 and C_{18} dendriplexes containing 50 µg PA plasmid DNA. Immunisation with both dendriplexes induced predominantly IgG1 (Fig. 5). The anti-PA specific IgG1 responses of mice immunised with C_{18} dendriplexes were higher, but not significantly different from anti-PA IgG1 responses in mice vaccinated with C_0 dendriplexes. These results are consistent with the Th2-type immune response following DNA vaccination by gene gun [18]. Indeed, Gu *et al.* showed that PA DNA vaccination induced IL-4 resulting in a switch to IgG1 [25].

Thus the immune response elicited by immunisation with PA DNA embedded in dendriplexes is dose dependent and has a Th2 bias in BALB/c mice.

The toxin neutralisation assay did not reveal TNAs in sera from mice immunised with high dose PA DNA dendriplexes (results not shown). Therefore immunisation with dendriplexes is likely to fail in protecting mice against anthrax infection. The lack of TNA induction after immunisation with dendriplexes could be due to the choice of animal model or the route of immunisation. Welkos and Friedlander suggested that antitoxin immunity is not protective in mice, although it is effective in non-human primates and rabbits [22]. Indeed the role antibodies play in vaccine induced resistance to anthrax infection is not considered to be well defined [7]. Only a proportion of mice genetically immunised by gene gun developed low levels of TNAs and these were not associated with protection [18]. Further work by Hahn *et al.* showed that gene gun inoculation of 3 μ g of a mixture of two plasmid constructs containing the PA gene, including pCMV/ER PA83, did induce a good antibody response in mice, although protection against anthrax challenge was limited [26]. Initial studies by Galloway *et al.* demonstrated lack of protection and absence of anti-PA antibodies in rabbits given three inoculations with naked PA63 DNA by gene gun [23]. This failure may be contributed to the use of truncated PA. A subsequent study by Hermanson *et al.* showed that rabbits immunised with three doses of 1 mg PA83 DNA mixed with Vaxfectin are protected and this is associated with high titers of TNAs [9]. In our experiment, only 50 μ g of PA83 DNA was used for immunisation and therefore there is scope to improve the immunogenicity of the dendriplex by increasing the amount of PA DNA per injection.

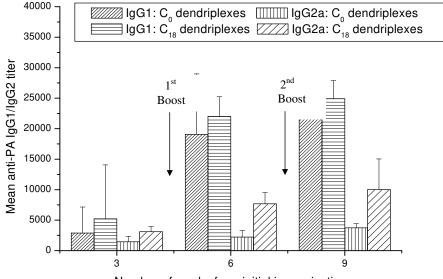
Hahn *et al.* immunised sheep with three PA83 constructs embedded in Vaxfectin [27]. The construct used in this study (pCMV/ER PA83) gave a suboptimal humoral response compared to a mixture that included a construct that contained PA Domain 4, the part of PA that binds to the cellular receptor [27]. Thus formulation of dendriplexes that contain a PA Domain 4 construct may improve the immunogenicity and efficacy of a PA DNA vaccine further.

The route of administration may also play a role in the induction of TNAs. Boyaka *et al.* revealed that neutralising antibodies were induced in external secretions of mice following mucosal immunisation with rPA [28].

Consideration should therefore be given to this route of inoculation to improve efficacy of these dendriplex particles as an anthrax vaccine. Other vaccination models such as rhesus macaques, considered to be closest to humans, should be investigated for genetic vaccination with the dendriplex vaccine [29, 30]. Prior to this, studies in larger animals such as rabbits or sheep will need to be undertaken.

4. CONCLUSION

Many attempts have been made to improve the immunogenicity of PA DNA vaccines. Particulate systems and small



Number of weeks from initial immunisation

Fig. (5). Mean anti-PA IgG1 and IgG2a antibody levels in BALB/c mice immunised with C_0 dendriplexes, and C_{18} dendriplexes containing 50 µg of pCMV/ER PA83. Bars represent SD of five animals.

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peptides have been reported to possess adjuvant properties; therefore we investigated dendriplexes as a potential vehicle to deliver DNA vaccines. Naked PA DNA did not induce an antibody response even after boosting, whereas dendriplexes produced a strong anti-PA antibody response. This confirms our previous results [12]. We show here that the antibody response is dose dependent and dependent on the genetic background of the host, providing opportunities to further optimise the efficacy of a dendriplex DNA vaccine.

Despite improved immunogenicity of dendriplexes with a higher dose of PA83 DNA, TNAs were not produced. Gene gun inoculation of PA DNA did induce TNAs in a minority of immunised mice, although the association with protection was weak [18, 26]. An important difference with our work is the route of immunisation i.e. intra-dermal by gene gun vs i.m. by dendriplexes. The former route may be more favourable for the induction of functional antibodies and therefore more work needs to be conducted to assert if dendriplexes can induce TNA via the dermal route. In addition, A/J and BALB/c mice may not be the ideal hosts for the evaluation of the efficacy of PA vaccines or to determine the induction of TNAs [22]. Subsequent work carried out in sheep showed that a mixture of DNA constructs delivered by Vaxfectin improved the anti-PA antibody response but unfortunately TNA titers were not assessed [27].

In conclusion, this study confirms and extends the usefulness of dendriplexes for the delivery of DNA vaccines. However further work needs to be conducted to asses the potential of dendriplexes to elicit functional antibodies associated with protection (i.e. TNAs), using alternative routes for inoculation, higher doses of DNA, multiple constructs or different animal models.

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