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# A survey about postoperative delirium in older patients among nurses and anaesthetists: implications for future practice and policy

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# A survey about postoperative delirium in older patients among nurses and anaesthetists: implications for future practice and policy

# Abstract

Background Postoperative delirium is a major complication associated with anaesthesia and surgery, more commonly seen in older people. Aims The aims of this study were to explore the knowledge and understanding of anaesthetists and nurses involved in anaesthesia through their responses to two case scenarios of postoperative delirium experienced by older people. Methods A 30-item online survey was sent to 500 potential respondents. Results Two hundred and twenty-six practitioners from Australia, New Zealand and Scotland responded. Most had no workplace protocols for anaesthesia planning in older people. There was substantial variability in practice in relation to postoperative delirium screening, detection, prevention and management. Conclusions Improvements in education and awareness, together with a more coherent approach, for example, as recommended in the European Society of Anaesthesiology Guidelines, could help to reduce the impact of postoperative delirium in older people. This should be combined with ongoing research into perioperative optimisation of detection, prevention and management.

# Keywords

among, patients, older, delirium, anaesthetists:, nurses, implications, survey, future, practice, policy, postoperative, about

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32	
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48

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- 54 cognitive disorders.
- 55

#### 56 Abstract

Purpose: Postoperative delirium (POD) is a major complication associated with anaesthesia
and surgery, more commonly seen in older people. The aims of this study were to explore
the knowledge and understanding of anaesthetists and nurses involved in anaesthesia
through their responses to two case scenarios of POD experienced by older people.

61 **Methods:** A 30-item online survey was sent to 500 potential respondents.

Findings: Two hundred and twenty-six practitioners from Australia, New Zealand and
Scotland responded. Most had no workplace protocols for anaesthesia planning in older
people. There was substantial variability in practice in relation to POD screening, detection,
prevention and management.
Conclusion: Improvements in education and awareness, together with a more coherent
approach e.g. as recommended in the European Society of Anaesthesiology Guidelines could

help to reduce the impact of POD in older people. This should be combined with ongoing
research into perioperative optimisation of detection, prevention and management of postoperative delirium.

71 Keywords: Postoperative delirium, anesthesia protocols, older people, survey, cognition,

72

#### 1. Introduction and Literature review

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# 75 Delirium is perhaps the most common age-related postoperative complication (Mohanty et al., 2016) affecting 13-79% of older people, depending on the population group and type of 76 77 surgery (Chang et al., 2008; Smith et al., 2017). It was only recently that post-operative 78 delirium (POD) was acknowledged as a major postoperative complication (Igwe et al., 2019; Zenilman, 2017). Postoperative delirium might start in the recovery room and occur up to 79 five days after surgery. In the postoperative care of older people, screening, detection, 80 81 prevention and management of delirium is critical as delirium can lead to functional decline, 82 increased average length of stay and increased risk of relocation to a nursing home 83 (Dasgupta & Dumbrell, 2006). Patients are less likely to be able to return home and live 84 independently after surgery if they experience POD (Griffiths et al. 2014). Additionally, POD is associated with higher mortality and a prolonged inpatient stay (Griffiths et al., 2014). 85 86

87 Multiple pre- and postoperative triggers are implicated in POD, including haematocrit less 88 than 30%, hypotension, hypoalbuminaemia, acute infection, pain, and environmental factors such as room changes and absence of a clock or watch (Chang et al., 2008; McCusker 89 et al., 2001; Nie et al., 2012). Established predisposing factors include dementia, aged 65+, 90 91 other co-morbidities, visual or hearing impairment, and living in a nursing home(Smith et al., 92 2017). Use of certain medications increase the risk of delirium e.g. anticholinergic drugs. 93 (Egberts et al., 2017). Post-operative delirium presents as hypoactive, hyperactive or as 94 mixed form delirium. In hypoactive POD patients show decreased alertness, motor activity 95 and anhedonia while in hyperactive POD patients are agitated and combative (Aldecoa et al., 2017). 96

97 Other conditions also present symptoms similar to POD which leads to misdiagnosis. These 98 conditions include dementia, emergence delirium, and postoperative cognitive dysfunction 99 (POCD). POCD is defined as a significant decline in cognitive functions following surgery in 100 comparison to preoperative functions (Sawamura, 2017).

101 A preliminary review of resources targeted at POD within the preoperative clinical protocols 102 from local service providers found that screening, detection, prevention and management of POD in older people was not specifically addressed. Colleagues in our networks confirmed 103 104 this was not an unexpected finding and a common omission. This is a barrier to implementation of effective POD care for older people. Despite the clinical significance of 105 POD, it is frequently undetected and misdiagnosed as dementia or another psychiatric 106 disorder (Aldecoa et al., 2017; American Geriatrics Society, 2015b). As the number of older 107 108 people undergoing surgery increases, cases of POD in older people will increase. This highlights the potential increased impact on the use of healthcare resources. It is important 109 110 to understand current anaesthetic opinions and practices for older people in order to develop services for screening, detection, prevention and management of POD in older 111 people. 112

The POD in older adults best practice statement from the American Geriatrics Society (Samuel, 2015) describes recommendations by an expert panel on best practices in relation to POD in older adults. Some of these recommendations include implementation of formal education programs with ongoing refresher sessions as well as the use of processed electroencephalographic monitors of anaesthetic depth during intravenous sedation or general anaesthesia of older patients to reduce postoperative delirium. Other recommendations include avoidance of polypharmacy (five or more) and medications that

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induce POD in older adults such as anticholinergic medications, sedative-hypnotics, and 120 121 meperidine and the use of adequate pain control. In terms of the use of antipsychotics, there is insufficient evidence for or against its use prophylactically in older surgical patients 122 however, it is recommended that in all circumstances, treatment with antipsychotics should 123 only be employed in agitated patients who maybe threatening substantial harm to self or 124 125 others and only if behavioural interventions have failed or are not possible, and ongoing use should be evaluated daily with in-person examination of patients and not in otherwise calm 126 127 patients (Samuel, 2015).

128

#### 129 **1.1. Aims**

1.2.

Design

The aims of this study were to explore the knowledge and understanding of anaesthetists and nurses involved in anaesthesia through their responses to two case scenarios of POD experienced by older people. We ascertained whether they used POD guidelines and protocols in these scenarios.

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The study was an online survey adapted from a previous study of anaesthetic practitioners 136 (P. K. Jildenstål et al., 2014) which sought to gain insights in Sweden on protocols and 137 practices for risk assessment, detection and management of postoperative cognitive side 138 effects and the use of electroencephalogram (EEG) based depth of anaesthesia monitoring 139 140 among anaesthesia practitioners. The Swedish survey was made up of three sections, 141 including questions about the subjective preferences of respondents, their anaesthetic routines and practices and their responses to four case scenarios related to postoperative 142 cognitive dysfunction, POD, emergence agitation and awareness. 143

We abbreviated the Swedish survey (P. K. Jildenstål et al., 2014) and adapted it for use with 144 our study population. Face and content validity of the present survey were assessed and 145 established by a multidisciplinary team comprising of an anaesthetist, a medical scientist, 146 three nurses, a pharmacist, and a public health specialist. The face validity was assessed by 147 inviting the above-named group to read through the survey and provided feedback on each 148 question. The content validity was assessed by the research team and other experts in the 149 field. Comments from both the content and face validity were noted, and amendments made 150 151 to the survey. Some of the questions from the original survey were modified and some were deleted based on these recommendations. Cronbach's Alpha was calculated to determine the 152 153 reliability of the final questionnaire (0.833).

154

155 The final survey consisted of questions in four sections:

156 1. Demographics

- 157 2. Workplace practices and protocols in relation to anaesthesia in older people
- Subjective preferences on factors that influence choice of anaesthetic plan for older
   people; and

160 4. Two case scenarios focused on POD (see Table 1).

161

162 There were 30 questions in the survey. Response formats varied depending on the type of

- 163 question and comprised Likert scales, fixed category responses and free text responses.
- 164 The study population was defined as practising anaesthetists and anaesthetic nurses. No
- 165 geographical limits were placed on respondents though promotion of the survey focused on
- the professional networks of the authors in Australia, New Zealand and Scotland.

#### 168 **2. Methods**

169

A convenience sample was recruited in Australia, New Zealand and Scotland from a pool of 170 500 anaesthetists and anaesthetic nurses. Due to the potential lack of interest in the topic 171 172 among the potential participants and a low response rate, convenience sampling was used 173 to enable easier accessibility of potential participants (Etikan et al., 2016). 174 Email invitations to complete the survey were sent to anaesthetists and anaesthetic nurses between September 2016 and March 2017. In Australia, an email invitation was sent to 175 176 attendees of the 2016 Australian and New Zealand College of Anaesthetists (ANZCA) Perioperative Special Interest Group meeting in association with the Australian and New 177 Zealand Society for Geriatric Medicine (Perioperative Special Interest Group Meeting 2016 178 2016) as well as to the anaesthetic departments of one metropolitan and one regional 179 180 hospital. In Scotland, nurses and anaesthetists were recruited from 25 of the 29 NHS 181 Scotland Anaesthetic Departments after email invitations to complete the survey were sent to all departments. A convenient sampling method was employed in this study in order to 182 183 provide a snapshot of current practices in order to inform further research on clinicians' practice in relation to POD care in older patients. 184

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186 Data were analysed using IBM SPSS Statistics for Windows, Version 21.0. (IBM Corp.,

187 Armonk, NY, USA). Frequencies and descriptive statistics of respondent characteristics were188 performed.

## CASE SCENARIO 1:

"An 82-year-old sustains a hip fracture and undergoes an emergency surgical procedure. Preoperative oxygen saturation is 88%, blood pressure, 160/100 and pulse 110. She has a fever, is agitated and confused and has difficulties giving clear answers to questions asked of her. Her postoperative pain is relieved with opioids. She develops postoperative delirium."

# CASE SCENARIO 2:

"The patient, who is a former warehouse worker, aged 65, with moderate alcohol consumption and previous coronary artery surgery. The patient also had a minor stroke, but without residual impact. Recently he has undergone surgery for stomach cancer and is now returning four weeks later for a planned follow-up. He was diagnosed with postoperative cognitive dysfunction (POCD). He is upset, angry and sad about the inherent frustration of not being able to plan the day as he had previously been able to. He explains that his memory is short, it fails and that it is taking him time to figure out what he planned to do, or not do. He requires treatment of his cognitive symptoms."

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- 193
- 194 *Respondent characteristics*
- 195 A total of 226 respondents answered the survey in part or completely giving an estimated
- response rate of 45% from the pool of 500 potential participants. The respondents
- 197 comprised 19 registered nurses and 198 medical anaesthetists. Demographic and clinical
- 198 practice characteristics data of respondents were reported for only valid data (completed
- 199 questions) as mean (SD) and number (%) (Table 2).
- 200

# 201 **Table 2:** Demographic/characteristics of participants

Demographic of participants	N = 226	
	(%)	
Gender		
Male	115 (52)	
Female	106 (48)	
Age (mean ± SD)	42 ± 10	
Position		
Registered Nurse	19 (8.8)	
Medical (anaesthetist specialist)	198 (91.2)	
Highest academic qualification		
Bachelor's degree	139(62.9)	
Post-Graduate certificate	37 (16.7)	
Masters	37 (16.7)	
PhD	8 (3.62)	
Country		
Scotland	128 (58.2)	
Australia	79 (35.9)	
NZ	13 (5.9)	

202

204 Influence on choice of anaesthesia plan and depth of anaesthesia monitoring

More than 80% of the respondents stated that there were no workplace protocols available for anaesthesia plans for older people and 59% do not use clinical guidelines/pathways to inform their clinical practice when anaesthetising older people. Respondents were asked about factors influencing their choice of an anaesthetic plan at the time of preoperative assessment (Table 3). They were also asked whether depth of anaesthesia monitoring equipment is used. Only 65% of respondents used such equipment in the two proposed scenarios.

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#### 213 Care of a patient with postoperative delirium (POD) – Case Scenarios 1

214 Respondents were presented with Case Scenario 1 (Table 1) representing a typical patient 215 with emergent delirium and asked whether they would transfer 'Case Study 1' patient to a high dependency unit (HDU) with an increased staffing ratio if symptoms persisted for over 216 217 2 hours. Sixty-one respondents (61.0%) answered 'yes' and 39 (39.0%) answered 'no'. in 218 addition, ninety-five (82.6%) respondents answered 'yes' to using neuroleptics including butyrophenones (haloperidol) while 28 (32.6%) answered 'yes' and 58 (67.4%) answered 219 220 'no', to administering a benzodiazepine such as midazolam when the patient in Case Scenario 1 becomes 'unsettled' and displays 'psychomotor agitation'. 221

222

223 Care of a patient with postoperative cognitive disorder (POCD) – Case Scenario 2

Respondents were presented with Case Scenario 2 (Table 1), who was a patient with typical
POCD. They were asked to respond to questions about whether in their workplace there

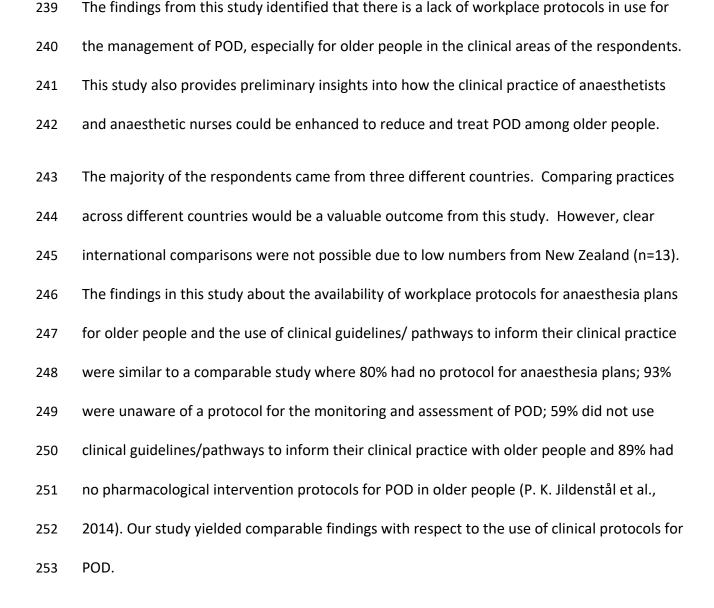
was a protocol in relation to POCD available, whether the patient had her cognitive function
formally assessed and whether feedback about the long-term cognitive status of patients is
provided to anaesthetists. Most respondents had no protocol for POCD (n=128, 95.2%) and,
in most instances, patients like the one in Case Scenario 2 do not have their cognitive
function formally assessed using an assessment tool (n=81, 65.3%). Further, most
respondents reported that they would not receive feedback about the longer-term cognitive
status of patients (n=121, 94.5%).

**Table 3:** Factors influencing the anaesthesia plan at pre-op assessment

Factors	Strongly Agree/Agree	Disagree/ Strongly disagree
Patient's wish	133(97.8%)	3 (2.2%)
Risk of postoperative nausea	134 (97.8%)	3 (2.2%)
Risk of postoperative pain	136(100%)	0(0%)
Risk of postoperative delirium (POD)	120 (84.2%)	16 (11.8%)
Risk of postoperative cognitive dysfunction (POCD)	111 (81.6%)	25(18.4%)
Risk of cardiac events	136 (100%)	0(0%)
Risk of respiratory effects	136 (100%)	0(0%)

**4. Discussion** 

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Although two major countries were represented in the current survey (Australia and Scotland), clinical practices as shown in the responses were similar and there was no significant difference. Results from this survey showed that at pre-op assessment, 84% of the respondents considered the risk of POD as an important factor that influences their choice of anaesthesia plan. However, this consideration and number does not translate to

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the development and use of POD protocols within the workplace. This could be due to the 260 lack of consensus on the management of POD using pharmacologic or non-pharmacologic 261 interventions (Hshieh et al., 2015; Tremblay & Gold, 2016). The use of clinical guidelines in 262 263 anaesthesia plans for older people is important in understanding anaesthetic practice in 264 clinical settings. The absence of workplace guidelines implies that anaesthetists and anaesthetic nurses are either less aware of POD and its associated predictive factors or do 265 not perceive it to be a significant problem. In the clinical setting, complications such as post-266 267 operative organ failure or common symptoms, such as pain are considered as important and managed effectively. It is imperative that POD is adequately addressed in a similar manner 268 269 to other postoperative complications to reduce negative impacts on patient outcomes and 270 improve clinical practices. In addition, routine monitoring of POD needs to be implemented 271 globally (Zenilman, 2017).

272 More than half of the respondents (55%) stated there was no protocol in place to assess for POD. Of the respondents who answered 'yes' to using clinical guidelines/pathways to inform 273 274 their clinical practice with older people, 30% specified the clinical guideline they used which 275 were made up of 15 publicly available resources. There were local resources, but these were 276 only available to two respondents. A review of the guidelines reported by respondents found that only 4 focused on POD (16%) (White et al.), including three mentions of the 277 Association of Anaesthetists of Great Britain and Ireland (AAGBI) Perioperative Care of the 278 279 Elderly 2014 publication.

Depth of anaesthesia (DOA) monitoring is an important consideration in the detection,
 prevention and management of POD (P. Jildenstål, 2014) and 65% of respondents used DOA
 monitoring equipment with general anaesthesia. Dose adjustment in older people is

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challenging, with overall lower doses being required but also increased variability in
outcomes. Thus, relative overdoses and significant hypotension often occur (Griffiths et al.,
2014). As a way of mitigating this risk, DOA monitoring is becoming more commonplace, but
it is not used universally. Its lack of availability and implementation in some clinical settings
was attributed to its high cost (Steiner, 2017).

288 Drugs are a potentially modifiable risk factor for POD. The American Geriatrics society recommends avoiding the use of anticholinergics in older adults who are at an increased risk 289 290 of delirium because of their potential of inducing or worsening delirium (American Geriatrics Society, 2015a). With respect to drug prophylaxis for delirium, guidelines from the European 291 292 and American specialist associations specifically discourages the use of prophylactic 293 antipsychotics because of the lack of supportive evidence (Aldecoa et al., 2017; American 294 Geriatrics Society, 2015b; Mohanty et al., 2016). A review of delirium and antipsychotics 295 identified haloperidol as the most frequently used and best studied antipsychotic 296 medication for delirium (Markowitz & Narasimhan, 2008). However, in the US and UK, there 297 is no approval labelling for this indication and the evidence base to guide haloperidol dosing 298 in delirium management is weak (Chew et al., 2008; Fukata et al., 2014; National Institute 299 for Health and Clinical Excellence, 2010). Many of the relevant guidelines for the perioperative management of older people recommend antipsychotics like haloperidol as 300 second-line therapy (AHMAC, 2006; Aldecoa et al., 2017; American Geriatrics Society, 2015b; 301 302 Mohanty et al., 2016). In the current study, more than 80% of the respondents chose 303 haloperidol as the first-line treatment in a POD scenario. In addition, a higher proportion of 304 respondents did not have a workplace protocol for anaesthesia plans for older people which 305 could explain their first choice of sedation plan. In the absence of a workplace protocol for

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anaesthesia plans for older people, haloperidol was a first choice in sedating older people
with POD (Agar et al., 2017). In the perioperative setting, it is important that these drugs are
only used to manage POD when there is no alternative and only if individuals become a
danger to themselves (Agar et al., 2017).

There are organisational and financial implications as a result of increased average length of 310 311 stay due to POD for older people. This might be alleviated with improved screening, 312 detection, prevention and management of POD which was shown to reduce hospital stay by 313 a mean of 3.42 days (Naughton et al., 2005). The financial costs associated with increased average length of stay are well recognised (Leslie & Inouye, 2011) as they require a higher 314 proportion of nursing care and other staff resources than is usually provided (Yevchak et al., 315 2012) which in turn, may impact on quality of care on other patients in the vicinity of 316 individuals with delirium. 317

Limitations of this study include the low response rates to some of the questions and by 318 some groups (anaesthetic nurses) which also meant that analysis by specific groups could 319 320 not be analysed without the risk of a Type II error (Etikan et al., 2016) as well as making it 321 difficult to generalise study outcome and clinical practice in relation to the nurse populaion. Larger studies would go some way to addressing this issue. In addition, no reliability testing was 322 323 conducted on the survey. This meant that even though, content and face validity of the survey were completed, the reliability of the questions using a test-retest approach was not 324 conducted. There is also a risk that using non-random sampling method resulted in self-325 326 selecting samples with a particular characteristic bias which could limit the generalisability 327 of results. Future studies need to target more countries through relevant professional organisations. Researchers could also take advantage of the recent interest in POCD among 328

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anaesthetists with the publication of post-operative care guidelines for delirium in several
countries (Aldecoa et al., 2017; American Geriatrics Society, 2015b; Igwe et al., 2019;
Steiner, 2017). When this study was commenced, post-operative guidelines for delirium had
not gained such a large international profile. There is also the possibility of potential bias
created from the wording of the questions. In addition, consultations with clinicians in
future studies are imperative in order to ensure questions are appropriate and relevant and
thus further reduce bias.

336

#### 5. Conclusion and further research

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This paper contributes to the understanding of postoperative delirium POD care for older 338 people. We found a reasonable level of awareness about best practice POD care among the 339 340 respondents but, at the same time, respondents overwhelmingly agreed with the statement that POD is neglected in anaesthesia. Given that 40% of POD cases are found to be 341 342 preventable (Aldecoa et al., 2017) we can conclude that being aware of best practice does 343 not necessarily translate into implementation of evidence based strategies to detect, prevent and manage POD. This study identified a major gap in the use of policies to guide 344 best practice POD care. Patient outcomes might be improved by more consistent 345 implementation of protocols, guidelines or pathways to detect, prevent and manage POD. 346 Some of the more commonly used screening tools employed in the detection of POD include 347 the Confusion Assessment Method (CAM) and 4 'A's Test (4AT) (Shenkin et al., 2019). It is 348 349 imperative that postoperative care by clinicians consistently and incorporate these tools. In 350 addition, collaboration between nurses and anaesthetists is imperative. This collaboration also needs to be extended in a multidisciplinary manner in the pre- and post-care of older 351 352 surgical patients. The findings from our study demonstrate the need for further knowledge

- 353 translation research to study how to increase the use of guidelines for POD prevention and
- 354 management by anaesthetists and anaesthetic nurses.

356	Кеу ро	bints
357	1.	There is limited focus on POD guidelines and anaesthesia planning protocols in
358		surveyed clinical settings
359	2.	Older patients are at an increased risk of developing POD with a prevalence of about
360		50% in this group
361	3.	There is a lack of awareness and education around POD incidence
362	4.	Post-operative delirium (POD) was recently recognised as a major postoperative
363		complication
364		
365	Ethica	l Permissions
366	Ethica	l approval for the study was granted by the relevant Human Research Ethics
367	Comm	ittees in Australia. The study was also reviewed by NHS Lothian Research Ethics
368	Comm	ittee and by NHS Lothian Research and Development Office. Both agreed that no
369	additio	onal approvals from them were required for the study to be conducted in Scotland as
370	this st	udy was only conducted with staff only.

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## CASE SCENARIO 1:

"An 82-year-old sustains a hip fracture and undergoes an emergency surgical procedure. Preoperative oxygen saturation is 88%, blood pressure, 160/100 and pulse 110. She has a fever, is agitated and confused and has difficulties giving clear answers to questions asked of her. Her postoperative pain is relieved with opioids. She develops postoperative delirium."

## CASE SCENARIO 2:

"The patient, who is a former warehouse worker, aged 65, with moderate alcohol consumption and previous coronary artery surgery. The patient also had a minor stroke, but without residual impact. Recently he has undergone surgery for stomach cancer and is now returning four weeks later for a planned follow-up. He was diagnosed with postoperative cognitive dysfunction (POCD). He is upset, angry and sad about the inherent frustration of not being able to plan the day as he had previously been able to. He explains that his memory is short, it fails and that it is taking him time to figure out what he planned to do, or not do. He requires treatment of his cognitive symptoms."

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# **Table 2:** Demographic/characteristics of participants

Demographic of participants	N = 226 (%)	
Gender		
Male	115 (53)	
Female	115 (52)	
remale	106 (48)	
Age (mean ± SD)	42 ± 10	
Position		
Registered Nurse	19 (8.8)	
Medical (anaesthetist specialist)	198 (91.2)	
Highest academic qualification		
Bachelor's degree	139(62.9)	
Post-Graduate certificate	37 (16.7)	
Masters	37 (16.7)	
PhD	8 (3.62)	
Country		
Scotland	128 (58.2)	
Australia	79 (35.9)	
NZ	13 (5.9)	

# 

# **Table 3:** Factors influencing the anaesthesia plan at pre-op assessment

Factors	Strongly Agree/Agree	Disagree/ Strongly disagree
Patient's wish	133(97.8%)	3 (2.2%)
Risk of postoperative nausea	134 (97.8%)	3 (2.2%)
Risk of postoperative pain	136(100%)	0(0%)
Risk of postoperative delirium (POD)	120 (84.2%)	16 (11.8%)
Risk of postoperative cognitive dysfunction (POCD)	111 (81.6%)	25(18.4%)
Risk of cardiac events	136 (100%)	0(0%)
Risk of respiratory effects	136 (100%)	0(0%)