# A Tabu Search Hyper-Heuristic Strategy for t-way Test Suite Generation

# KAMAL Z. ZAMLI

IBM Centre of Excellence Faculty of Computer Systems and Software Engineering Universiti Malaysia Pahang Lebuhraya Tun Razak, 26300 Kuantan, Pahang Darul Makmur, Malaysia Email: kamalz@ump.edu.my

# **BASEM Y. ALKAZEMI**

College of Computer and Information Systems Umm Al-Qura University, Kingdom of Saudi Arabia Email: bykazemi@uqu.edu.sa

# **GRAHAM KENDALL**

School of Computer Science University of Nottingham Malaysia Campus Jalan Broga, 43500 Semenyih, Selangor Darul Ehsan, Malaysia Email: Graham.Kendall@nottingham.edu.my

### Abstract

This paper proposes a novel hybrid *t*-way test generation strategy (where *t* indicates interaction strength), called High Level Hyper-Heuristic (HHH). HHH adopts Tabu Search as its high level meta-heuristic and leverages on the strength of four low level meta-heuristics, comprising of Teaching Learning Based Optimization, Global Neighborhood Algorithm, Particle Swarm Optimization, and Cuckoo Search Algorithm. HHH is able to capitalize on the strengths and limit the deficiencies of each individual algorithm in a collective and synergistic manner. Unlike existing hyper-heuristics, HHH relies on three defined operators, based on improvement, intensification and diversification, to adaptively select the most suitable meta-heuristic at any particular time. Our results are promising as HHH manages to outperform existing *t*-way strategies on many of the benchmarks.

*Keywords*: Software Testing; *t-way* Testing; Hyper-Heuristic; Particle Swarm Optimization, Cuckoo Search Algorithm, Teaching Learning based Optimization, Global Neighborhood Algorithm

# 1. Introduction

Interaction (*t-way*) testing is a methodology to generate a test suite for detecting interaction faults. The generation of a *t-way* test suite is a n NP hard problem [1]. Many *t-way* strategies have been presented in the scientific literature. Some early algebraic *t-way* strategies exploit exact mathematical properties of orthogonal arrays. These *t-way* strategies are often fast and produce optimal solutions, yet they impose restrictions on the supported configurations and interaction strength. Computational *t-way* strategies remove such restrictions, allowing for the support of arbitrary configurations at the expense of producing (potentially) non-optimal solution.

By formulating interaction testing as an optimization problem, recent efforts have focused on the adoption of meta-heuristic algorithms as the basis for *t-way* strategies. Search Based Software Engineering (SBSE) [2-4], is a relatively new field that has proposed meta-heuristic based *t-way* strategies (e.g. Genetic Algorithms (GA) [5], Particle Swarm Optimization (PSO) [6, 7], Harmony Search Algorithm (HS) [8], Ant Colony Algorithm (ACO) [5], Simulated Annealing (SA) [9, 10] and Cuckoo Search (CS) [11]). The adoption of these meta-heuristic based strategies appears to be effective for obtaining good quality solutions, as reported in benchmarking experiments related to *t-way* testing [7, 8]. Nevertheless, as suggested by the *No Free Lunch theorem* [12], no single meta-heuristic can outperform all others even over different instances of the same problem. For this reason, hybridization of meta-heuristics can be the key to further enhance the performance of *t-way* strategies. Since each meta-heuristic has its own advantages, meta-heuristic hybridization is beneficial for compensating

the limitation of one with the strengths of another. In fact, the best results of many optimization problems are often obtained by hybridization [13].

In this paper we explore the hybridization of meta-heuristics based on a hyper-heuristic approach. We present a new *t*-way testing strategy. Specifically, our contributions can be summarized as follows:

- A novel hyper-heuristic based strategy, which we have termed High Level Hyper-Heuristic (HHH), for general combinatorial *t-way* test suite generation. HHH employs Tabu Search (TS) as its high level meta-heuristic (HLH) and leverages on the strength of four low level meta-heuristics (LLH), comprising Teaching Learning based Optimization (TLBO) [14], Global Neighborhood Algorithm (GNA) [15], Particle Swarm Optimization (PSO) [16], and Cuckoo Search Algorithm (CS) [17]. To the best of our knowledge, HHH is the first hyper-heuristic based strategy that addresses the problem of *t-way* test suite generation.
- A new hyper-heuristic approach for the meta-heuristic selection and acceptance mechanism based on three operators (i.e. improvement, diversification and intensification) that are integrated into the tabu search HLH. As the name suggests, the improvement operator checks for improvements in the objective function. The diversification operator measures how diverse the current and the previously generated solution are against the population of potential candidate solutions. Finally, the intensification operator evaluates how close the current and the previously generated solutions.

The rest of the paper is organized as follows. Section 2 presents an overview of hyper-heuristics and the mathematical and theoretical foundation for *t-way* testing. Section 3 reviews the state-of-the-art for *t-way* test case generation strategies. Section 4 presents the design and implementation of HHH. Section 5 describes the calibration of HHH. Section 6 evaluates HHH against existing strategies and section 7 debates the usefulness of HHH. Section 8 elaborates on threats to validity. Finally, section 9 presents our conclusion.

# 2. Theoretical Framework

#### 2.1. Overview of Hyper-Heuristics

To put hyper-heuristics into perspective, consider the different possible options for utilizing and combining meta-heuristic algorithms (see Figure 1). The first option, shown for completeness, is a standard meta-heuristic algorithm and can be ignored from our discussion as we want to focus on hybridization methodologies. These are shown in remaining figures, that is a hybrid meta-heuristic and a hyper-heuristic.

Hybrid meta-heuristics can be low-level or high level hybridizations [13]. Low-level hybridization combines two or more algorithms. High-level hybridization retains the original meta-heuristic, which can run independently (e.g. either in sequence or parallel) without any connection amongst the meta-heuristics involved (i.e. it operates as a black box).

Hyper-heuristics could be seen as a hybrid meta-heuristic owing to the integration of more than one metaheuristic algorithm (refer to Figure 1). However, unlike a typical hybrid meta-heuristic, hyper-heuristics (or *(meta)-heuristic to choose (meta)-heuristics* [18-20]) adopts a high level meta-heuristic (HLH) to adaptively select from a set of low level meta-heuristics (LLHs), which are applied to the problem at hand. The LLHs communicate with the HLH through a domain barrier to relay the feedback of the quality of the current solution. Only the LLHs have domain knowledge, meaning that the HLH is a general algorithm that can be utilized for different problems without any algorithmic changes. It is only required to supply a different set of LLHs. In fact, the LLHs can also be formed from (low-level or high-level) hybrid meta-heuristics themselves.

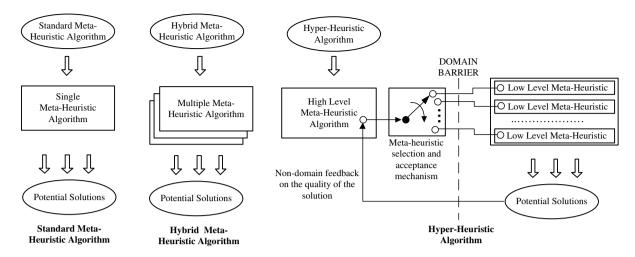


Figure 1. Standard Meta-Heuristic, Hybrid Meta-Heuristic and Hyper-Heuristic

Recent developments have introduced hyper-heuristics that are able to automatically generate the LLHs, whereby the end user does not have to implement a set of LLHs for each problem domain. Moreover, the HLH is also able to evolve its own selection and acceptance criteria [21, 22].

# 2.2. The t-way Test Generation Problem

Consider a hypothetical example of a Mobile Phone Product Configuration. The product configuration has four features (or parameters): Call Options, Message Types, Media, and Screen. Each parameter takes three possible values (e.g. Call Options = {Voice Calls, Video Calls, Both Voice and Video Calls}, Message Types = {Text, Video, Image}, Media = {Camera, Radio, Video Player}, and Screen = {Basic Colors, High Resolution, Black and White}). The pairwise (2-way) test generation for the Mobile Product Configuration can be seen in Figure 2 with nine test cases. The mapping of the corresponding tests can be achieved (row-wise) from the 2-way representation based on the defined parameter values (column-wise) as depicted in Table 1. It should be noted that all the 2-way interaction tuples between parameters are covered at-least once.

	~ +	$t = 2$		$\rightarrow$ $$		$\langle \rangle$
(	Call Options	Messages Types	Media	Screen		1 3 3 3 3 2 3 1
	Voice Calls	Text	Camera	Basic Colors		1 1 2 1 1 2 1 2 2 1 1 2
	Video Calls	Video	Radio	High Resolution	2-way test	3 1 1 3 2 1 3 2
	Both Voice and Video Calls	Image	Video Player	Black and White	generation	$ \begin{array}{c} 3 3 2 2 \\ 2 3 1 1 \\ 2 2 2 3 \end{array} $

Figure 2. Pairwise Test Suite for Mobile Phone Product Configuration

Test	Call Options	Message Types	Media	Screen
ID				
1	Voice Calls	Image	Video Player	Black and White
2	Both Voice and Video Calls	Video	Video Player	Basic Colors
3	Voice Calls	Text	Radio	Basic Colors
4	Voice Calls	Video	Camera	High Resolution
5	Both Voice and Video Calls	Text	Camera	Black and White
6	Video Calls	Text	Video Player	High Resolution
7	Both Voice and Video Calls	Image	Radio	High Resolution
8	Video Calls	Image	Camera	Basic Colors
9	Video Calls	Video	Radio	Black and White

Table 1. Mapping of the Pairwise Test Suite for Mobile Phone Product Configuration

Mathematically, the *t*-way test generation problem can be expressed by Equation 1.

$$f(Z) = |\{I \text{ in VIL}: Z \text{ covers } I\}|$$
(1)

Subject to  $Z = Z_1, Z_2, ..., Z_i$  in  $P_1, P_2, ..., P_i$ ; i = 1, 2, ..., N

where, f(Z) is an objective functions (or the fitness evaluation ), Z (i.e., the test case candidate) is the set of decision variables  $Z_i$ , VIL is the set of non-covered interaction tuples (I), the vertical bars  $|\cdot|$  represent the cardinality of the set and the objective value is the number of non-covered interaction tuples covered by Z, P<sub>i</sub> is the set of possible range of values for each decision variable, that is, P<sub>i</sub> = discrete decision variables  $(Z_i(1) < Z_i(2) < \dots < Z_i(K))$ ; N is the number of decision variables (i.e. parameters); and K is the number of possible values for the discrete variables.

#### 2.3. The Covering Array Notation

In general, *t-way* testing has strong associations with the mathematical concept of Covering Arrays (CA). For this reason, *t-way* testing often adopts CA notation for representing *t-way* tests [23]. The notation  $CA_{\lambda}$  (*N*;*t*,*k*,*v*) represents an array of size *N* with *v* values, such that every *N*×*t* sub-array contains all ordered subsets from the *v* values of size *t* at least  $\lambda$  times [24, 25], and *k* is the number of components. To cover all *t*-interactions of the components, it is normally sufficient for each component to occur once in the CA. Therefore, with  $\lambda$ =1, the notation becomes CA (*N*;*t*,*k*,*v*). When the CA contains a minimum number of rows (*N*), it can be considered an optimal CA according to the definition in Equation 2 [26].

$$CAN(t,k,v) = \min\{ N: \exists CA_{\lambda}(N;t,k,v) \}$$
(2)

To improve readability, it is customary to represent the covering array as CA (N;t,k,v) or simply CA(N;t, $v^k$ ). Using our earlier example of the mobile phone product configuration in Figure 2, the test suite can be represented as CA (9; 2, 3<sup>4</sup>). In the case when the number of component values varies, this can be handled by Mixed Covering Array (MCA) (N;t,k,( $v_1$ , $v_2$ ,... $v_k$ )) [27]. Similar to covering array, the notation can also be represented by MCA (N;t,k, $v^k$ ). For example, MCA (9; 2, 3<sup>2</sup> 2<sup>2</sup>) represents a test suite of size nine for a system with four components (two components having three values and two components having two values) covering two-way interactions. Figure 3 illustrates the two aforementioned CA and MCA arrangements respectively.

С	A (9	; 2, 3	<sup>4</sup> )	MC	A (9	2, 32	<sup>2</sup> 2 <sup>2</sup> )
k1	<b>k</b> 2	<b>k</b> 3	<b>k</b> 4	k1	<b>k</b> 2	k3	<b>k</b> 4
1	3	3	3	2	1	1	2
3	2	3	1	2	2	2	1
1	1	2	1	3	3	2	2
1	2	1	2	1	3	1	1
3	1	1	3	1	1	2	1
2	1	3	2	1	2	1	2
3	3	2	2	3	2	1	1
2	3	1	1	3	1	1	1
2	2	2	3	2	3	1	2

Figure 3. Illustration of CA  $(9; 2, 3^4)$  and MCA  $(9; 2, 3^2 2^2)$ 

Having described the theoretical framework, the following section surveys the existing studies on *t-way* strategies in order to reflect the current progress and achievements in the scientific literature.

#### 3. Existing Literature on *t-way* Strategies

Generally, *t-way* strategies can be classified as algebraic or computational approaches [28, 29]. Algebraic approaches are often based on the extensions of the mathematical methods for constructing Orthogonal Arrays (OAs) [30, 31]. Examples of strategies that originate from the extension of OA include Combinatorial Test Services and TConfig. The main limitation of the OA solutions is the fact that not all solutions can be found for t>2, thus, limiting its applicability for small scale system configuration. Empirical evidence [32] suggest the need to support up to at least *t*=6 in order to sufficiently cater for interaction faults.

#### 3.1. General Computational-based Strategies

Much existing work has placed emphasis on the computational-based approaches that provide support for very large configurations. Specifically, there are two competing approaches for constructing *t-way* test suites. That is, the one-test-at-a-time (OTAT) approach and the one-parameter-at-a-time (OPAT) approach. In the first case, the strategy iteratively traverses the required interaction and generates a complete test case per iteration. During each iteration, the strategy greedily checks whether or not the generated test case is the best fit value (i.e. covering the most uncovered interactions) to be selected in the final test suite. In the second case, the strategy constructs the test case incrementally by horizontal extension until completion. This is followed by vertical extension, if necessary, to cover the remaining uncovered interactions.

One-test-at-a-time based strategies were pioneered by AETG [33]. AETG first constructs all the required interactions then generates one final test case for every cycle, for each iteration. For each cycle, AETG generates a number of test case candidates, and from these candidates, one is greedily selected as the final test case (i.e., covering the most uncovered interactions). Over the years, a number of variations of AETG have emerged including mAETG [27] and mAETG\_SAT [34]. Similar to AETG, GTWay [35, 36] also adopts the one-test-at-a-time approach to generate the final test suite. Unlike AETG, GTWay permits the use of actual parameter values as a symbolic string and supports automated execution of test cases.

Claiming to be an AETG variant, the test vector generator (TVG) [37] generates test suites based on three algorithms: T-reduced, Plus-one, and Random sets. Due to limited literature, the details' concerning the implementation for each algorithm remains unclear. However, based on our experience with TVG implementation, T-reduced often produces the greater number of optimal results compared with other algorithms.

Jenny [38] adopts the one-test-at-a-time approach by first generating a test suite that covers the 1-way interaction. Later, the test suite was extended to cover 2-way interactions and the process was repeated until all *t-way* interactions (where *t* is specified by the user) are covered. At around the same time, Hartman developed the Intelligent Test Case Handler (ITCH) [39] as an Eclipse Java plug-in tool. ITCH relies on exhaustive search

to construct the test suites for *t-way* testing. Owing to its exhaustive search algorithm, ITCH's execution time typically takes a long time and results are often not optimal.

PICT [40, 41] generates all specified interactions, and randomly selects their corresponding interaction combinations to form the test cases as part of the complete test suite. Due to its random behavior, PICT tends to give poor test sizes as compared to other strategies.

Classification-Tree Editor eXtended Logics (CTE-XL) [42, 43] is a *t-way* strategy based on the Classification-Tree Method (CTM). The idea is that CTM abstracts and separates the test object's input domain into different subsets according to features that the test engineer considers relevant to the test. Then, test cases are produced by combining subsets from different classifications as one-test-at-a-time.

Complementing the one-test-at-a-time approach, the in-parameter-order (IPO) strategy [44] is a strategy that adopts the one-parameter-at-a-time approach. IPO generates a pairwise test set for the first two parameters, and then extends the test set by generating the pair for the first three parameters and so on, until all the system parameters are covered. This is followed by a vertical extension to cover the uncovered interactions, if necessary. The IPO strategy was later generalized into a number of variants; IPOG [45], IPOG-D [46] and IPOF [47]. Owing to its simplicity, IPO has been adopted by other researchers, notably in the development of MIPOG [48-50]. Unlike IPO and its family, MIPOG removes inherent dependencies between horizontal and vertical extensions in order to permit parallel *t-way* test suite generation on multiple-core machines.

## 3.2. Meta-heuristic-based Strategies

Recently, efforts have been focused on the use of meta-heuristic algorithms as part of the computational approach for *t-way* test generation. Strategies adopting meta-heuristic algorithms as the basis of *t-way* strategies appear to be superior to other computational approaches. Its popularity has increased due to the interest in Search based Software Engineering [4].

Generally, meta-heuristic algorithms start with a random set of solutions. These solutions undergo a series of transformations in an attempt to improve them. One best candidate is selected at each iteration until all the required interactions are covered. Concerning *t-way* test generation, a number of meta-heuristic algorithms have been explored as the basis for *t-way* strategies including Genetic Algorithms (GA), Ant Colony Optimization (ACO), Simulated Annealing (SA), Particle Swarm Optimization (PSO) and Cuckoo Search (CS).

GA, ACO, and SA represent early attempts to utilize meta-heuristic algorithms for constructing *t-way* strategies. A GA [5] mimics the natural selection processes. It begins with randomly created test cases, referred to as chromosomes. These chromosomes undergo crossover and mutation until a termination criteria is met. In each cycle, the best chromosomes are (probabilistically) selected and added to the final test suite. Unlike GAs, ACO [5] mimic the behavior of ants in their search for food. SA [51] relies on a large random search space and probability-based transformation equations for generating a *t-way* test suite.

Although useful for addressing small values of uniform interaction strength t (i.e.,  $t \le 3$ ), strategies based on GA, ACO, and SA are not without their limitations. GA and ACO have been criticized for their complex algorithm structure as well as potentially requiring large computational resources. SA, being a single solution meta-heuristic, can be sensitive to its initial starting point in the search space, hence, prone to suffer from early convergence. For these reasons, these algorithms have been limited to small interaction strengths (i.e.,  $t \le 3$ ). Addressing earlier limitations of SA, an improved variant of SA, called CASA [52], has been developed to address the *t*-way test generation for software product lines testing.

PSTG [53-56] is a meta-heuristic *t-way* strategy based on Particle Swarm Optimization, which mimics the swarm behavior of birds. Internally, PSTG iteratively performs local and global searches to find the candidate solution to be added to the final suite until all the interaction tuples are covered. Unlike other AI-based strategies, that address small values of *t* (i.e.,  $2 \le t \le 3$ ), the most notable feature of PSTG is the fact that it can support up to *t* = 6.

Complementary to PSTG, HSS [8] is a meta-heuristic strategy based on the Harmony Search Algorithm (HSS). HSS mimics musicians trying to compose good music from improvisations to create the best tune from their memory or from random sampling. In doing so, HSS iteratively exploits the Harmony memory to store the best found solution through a number of defined improvisations within its local and global search process. In each improvisation, one test case will be selected to be the final test suite until all the required interactions are covered. Unlike PSTG, HSS addresses the support for forbidden combinations (or constraints).

Cuckoo Search (CS) [11] is a recent strategy for *t-way* test generation. At the start, the algorithm generates random initial nests. Each egg in a nest represents a vector solution (i.e. a test case). At each generation, two operations are performed. Firstly, a new nest is generated (typically through Levy Flight path) and evaluated against the existing nests. The new nest will replace the current nest, if it has a better objective function. Secondly, CS has probabilistic elitism in order to maintain elite solutions for the next generation.

Existing meta-heuristic based strategies have been successful as the basis of *t-way* strategies. Extending and complementing existing works, this paper proposes combining more than one meta-heuristic as part of a *t-way* strategy. Instead of taking one meta-heuristic algorithm, our approach takes four algorithms to form the basis of our strategy, called High Level Hyper-Heuristic (HHH). We utilize four recently developed meta-heuristic algorithms, these being Teaching Learning based Optimization (TLBO), Global Neighborhood Algorithm (GNA), Particle Swarm Optimization (PSO) and Cuckoo Search Algorithm (CS).

#### 4. The Proposed HHH Strategy

The proposed HHH strategy utilizes Tabu Search as the high level meta-heuristic (HLH), incorporating a selection and acceptance mechanism based on three defined operators (i.e. improvement, diversification and intensification operator). The HHH strategy is illustrated in Figure 4. The algorithmic details are provided in the next sections.

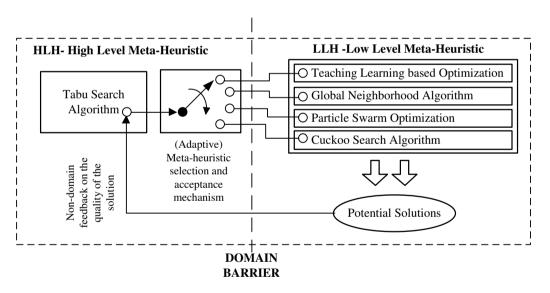


Figure 4. The HHH Strategy

# 4.1. The Tabu Search HLH

The pseudo code for the Tabu Search HLH is shown in Figure 5.

<b>Input</b> : interaction strength (t), parameter (k) and its corresponding value (v)
Output: final suite, TS
1: Initialize the population of the required t-way interaction tuples, $I = \{I_1, I_2,, I_M\}$
2: Initialize Tabu <sub>max</sub> , $F_{max}$ fitness evaluation limit , $\Theta_{max}$ iteration, and population size S
3: Initialize the population of solutions, $Z = \{Z_1, Z_2, Z_S\}$
4: Select a meta-heuristic algorithm $H_i$ from the pool of meta-heuristics
5: While all interaction tuples (I) are not covered and $F_{max}$ fitness evaluation limit is not reached
6: (
7: Update the population of solutions Z by the selected heuristic algorithm $H_i$ with $\Theta_{max}$ iteration
8: Obtain Z <sub>best</sub> from the population Z and add to the final suite TS
9: $F_1 = Improvement_Operator(Z)$
10: $F_2 = Diversify_Operator(Z)$
11: $F_3 = Intensify_Operator(Z)$
<i>12:</i> If the meta-heuristic selection and acceptance mechanism evaluation, $\psi(H_i, F_1, F_2, F_3)$ , improves
<i>13: Keep H<sub>i</sub></i>
14: Else
15: {
16: Add H <sub>i</sub> to Tabu List
17: If (Tabu List is Full) // Tabu <sub>max</sub>
18: Randomly select a new meta-heuristic algorithm $H_{i*}$ where $i^{*}\neq i$ from Tabu List
19: Else
20: Select a new meta-heuristic algorithm H <sub>i</sub> from the pool of meta-heuristics
21: }
22: }
22: }

### Figure 5. The Tabu Search HLH

Line 1 initializes the population of the *t-way* interaction tuples,  $I = \{I_1, I_2, .., I_M\}$ . The value of *M* depends on the given input interaction strength (*t*), parameter (*k*) and its corresponding value (*v*). Specifically, *M* captures the number of required interactions that needs to be covered in the final test suite. *M* can be obtained as the sum of products of each individual's *t-way* interaction. For example, for CA (9; 2, 3<sup>4</sup>), *M* takes the value of 3x3+3x3+3x3+3x3+3x3+3x3=54. If MCA (9; 2,  $3^2 \ 2^2$ ) is considered, then *M* takes the value of 3x3+3x2+3x2+3x2+2x2=37. Line 2 defines the maximum iteration  $\Theta_{max}$  and population size, *N*. Line 3 randomly initializes the initial population of solutions  $Z = \{Z_1, Z_2..., Z_N\}$ . Line 4 selects the initial LLH from the four available meta-heuristics. The selected hyper-heuristic algorithm (LLH),  $H_i$  will then be performed repeatedly until all the interactions in *I* has been covered and the maximum fitness function limit,  $F_{max}$ , has been reached, as shown in lines 5-22. The selected LLH  $H_i$  will update the population Z for  $\Theta_{max}$  iterations, as shown in line 7. In line 8,  $Z_{best}$  (the individual with the best quality) is added to the final test suite (TS). To decide whether to select a new LLH or not, the three operators, comprising the improvement, diversification and intensification operator (lines 9-11) will be used. More precisely, the three operators work as follows.

#### The Improvement Operator

The *improvement operator* compares the current  $Z_{best}$  against the previous  $Z_{best}$  from the final test suite TS.  $F_1$  evaluates to *true only if*  $Z_{best} \ge previous Z_{best}$ .

#### The Diversification Operator

The diversification operator exploits the hamming distance measure to evaluate the diversification of each  $Z_{best}$  solution (i.e. in terms of how far  $Z_{best}$  is from the population of candidate solutions). The hamming distance measure between two rows of Z,  $d(Z_bZ_j)$  is defined as the number of values in which they differ. Referring to Figure 6 and assuming  $Z_{best} = Z_3$ , the hamming distance between  $Z_1$  and  $Z_{best}$  is  $d(Z_1, Z_{best}) = 4$  (since all the values differ) and the hamming distance between  $Z_2$  and  $Z_3$  is  $d(Z_2, Z_{best}) = 3$  (with three values being different). In this case, the diversification value  $d_v$  can be defined as the cumulative sum of the hamming distance measure of each individual Z population with  $Z_{best}$ . Here, the value of  $d_v = 7$  (i.e. as the sum of  $d(Z_1, Z_{best})$  and  $d(Z_2, Z_{best})$ ).

Z population
 Hamming Distance

 
$$Z_1 = \{2 \ 1 \ 0 \ 1\}$$
 $= \{2 \ 1 \ 2 \ 0 \ 1\}$ 
 $Z_2 = \{1 \ 2 \ 0 \ 1\}$ 
 $= \{2 \ 1 \ 2 \ 0 \ 1\}$ 
 $Z_{best} => Z_3 = \{0 \ 2 \ 1 \ 1\}$ 
 $= \{2 \ 1 \ 2 \ 0 \ 1\}$ 

 The  $d_v$  value for  $Z_{best} = d$  ( $Z_1, Z_{best}$ ) +  $d$  ( $Z_2, Z_{best}$ )

Figure 6. Diversification value and Hamming Distance

As far as the diversification operator is concerned, the current value of  $d_v$  will be compared to the previous value of  $d_v$  (i.e. from the previous iteration).  $F_2$  evaluates to *true only if the current*  $d_v >=$  *the previous*  $d_v$ .

#### The Intensification Operator

Like the diversify operator, the intensification operator also exploits the hamming distance to evaluate the intensification of each  $Z_{best}$  solution. Unlike the diversification operator, the intensification operator measures the intensification value,  $I_{\nu}$ , of  $Z_{best}$  against the final test suite *TS* population (i.e. how close is  $Z_{best}$  to the final test suite). To be more specific, the intensification value can be defined as the cumulative sum of the hamming distance of each individual *TS* population with  $Z_{best}$ . Here, the current value of  $I_{\nu}$  will be compared to the previous value of  $I_{\nu}$  (i.e. from the previous iteration).  $F_3$  evaluates to *true only if the current*  $I_{\nu} \leq =$  *the previous*  $I_{\nu}$ .

In line 12 (Figure 5), the meta-heuristic selection and acceptance mechanism,  $\psi(H_i, F_1, F_2, F_3)$  evaluates to *true, if and only if,*  $F_1$ =*true and*  $F_2$  = *true and*  $F_3$ =*true.* If  $\psi(H, F_1, F_2, F_3)$  evaluates to *false*, the new  $H_i$  will be selected (and the current  $H_i$  will be put in the Tabu List). Visually, the internal working of the meta-heuristic selection and acceptance mechanism,  $\psi$ , is shown in Figure 7.

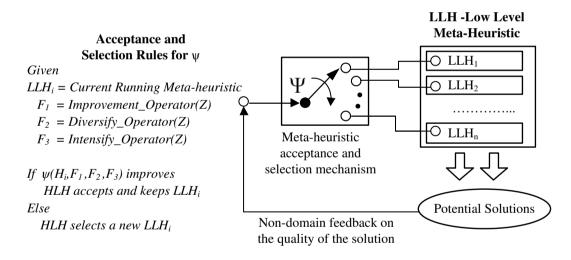


Figure 7. Acceptance and Selection Rules

Referring to lines 17-20, the current  $H_i$  is penalized and will miss at least one turn from being selected in the next iteration. Apart from one's own performance in terms of objective value improvement, diversification, and intensification, a particular LLH can be chosen more frequently than others owing to the random selection of meta-heuristics within the Tabu search (line 18).

Finally, it is worth mentioning here that the adopted LLHs are designed for continuous problems. As such, to deal with discrete parameters and values, each individual  $Z_j$  needs to capture the parameters as a valid range of integer numbers (i.e. based on the user inputs). When the HHH iterates, each  $Z_j$  will be updated accordingly depending on the chosen LLH (i.e. based on the specific LLH transformation equation). Here, the LLH update may result into the need to do rounding off floating point values. Apart from rounding off floating point values, there is also the need to deal with out-of-range values. Within HHH, we establish the boundary condition (i.e. as *clamping rule*) to restrict parameter values to both lower and higher bounds. In this way, when  $Z_j$  moves out-

of-range, the boundary condition brings it back to the search space. We configure our boundary condition in such a way that when the  $Z_j$  value reaches a certain dimensional bound, we reset its position to the other endpoint. For example, if we have a parameter with a range of values from 1 to 3, when the position is greater than 3, the position is reset to 1.

#### 4.2. Teaching Learning based Optimization LLH

The Teaching Learning Based Optimization (TLBO) [14] is a population based meta-heuristic that draws on the analogy of the teaching and learning process between teachers and students. In TLBO, teachers attempt to impart knowledge in a way that will enhance the knowledge of their students. With knowledge gained from a particular teacher, the knowledge of the students would be enhanced. As teachers have different competency levels, there could be potential improvements if students learn from other teachers. Students can also learn from other students, yielding similar improvements.

The TLBO algorithm is shown in Figure 8.

**Input**: the population  $Z = \{Z_1, Z_2, ..., Z_S\}$ **Output**: the updated population  $Z' = \{Z_1, Z_2, Z_s\}$ 1: Obtain  $Z_{mean}$  from the population  $Z = \{Z_1, Z_2..., Z_S\}$ 2: Choose global  $Z_{best \ teacher}$  from the population  $Z = \{Z_1, Z_2..., Z_S\}$ 3: For i=1 to S 4: { /\*\*\*\*\*Teacher Phase\*\*\*\*\*/ *Obtain teaching factor*  $T_i^F$  = round (1+ rand(0,1){2-1}) 5: Update the current population according to  $Z_i^{(t+1)} = Z_i^{(t)} + rand(0,1)(Z_{best \ teacher} - (T_i^F \cdot Z_{mean}))$ 6: subjected to clamping rule If  $Z_i^{(t+1)}$  is better than  $Z_i^{(t)}$ , i.e.  $f(Z_i^{(t+1)}) > f(Z_i^{(t)})$ 7:  $Z_i^{(t)} = Z_i^{(t+1)}$ 8: /\*\*\*\*\*Learner Phase\*\*\*\*\*/ *9: Randomly select*  $Z_i$  *such that*  $j \neq i$ If  $Z_i^{(t)}$  is better than  $Z_i$ , i.e.  $f(Z_i^{(t)}) > f(Z_i)$ 10:  $Z_i^{(t+1)} = Z_i^{(t)} + rand(0,1)(Z_i^{(t)} - Z_i)$ 11: 12: Else  $Z_i^{(t+1)} = Z_i^{(t)} + rand(0,1)(Z_i^{(t)} - Z_i)$ 13: If  $Z_i^{(t+1)}$  is better than  $Z_i^{(t)}$ , i.e.  $f(Z_i^{(t+1)}) > f(Z_i^{(t)})$ 14:  $Z_i^{(t)} = Z_i^{(t+1)}$ 15: 16: } 17: return Z

Figure 8. The Teaching Learning based Optimization LLH

During the teacher phase (lines 7-10), each student learns from the best teacher  $Z_{best teacher}$ , that is, the best individual in the population. The student will move toward the  $Z_{best teacher}$  teacher by taking into account the current mean value of the learners,  $Z_{mean}$ , that represents the qualities of all the students in the population (line 8). The movement of the learner is also affected by the teaching factor,  $T_i^F$  (line 7).

For the learner phase (lines 11-18), each student attempts to improve its knowledge through interaction with its peers. Specifically, the student  $Z_i$  will select a peer learner  $Z_j$  (where  $i \neq j$ ). If  $Z_i$  has better fitness than  $Z_j$ , the latter is moved toward the former (line 15) and vice versa (line 16).

Concerning its implementation, unlike most meta-heuristic algorithms, TLBO has twice more fitness function evaluations due to the two phases (teacher and learner). For this reason, direct comparative experiments with TLBO and other meta-heuristic algorithms can be misleading. Additionally, despite its parameter free claims, TLBO still requires tuning of its population size and iteration. Recently, the original author for TLBO [14] has been criticized for not reporting the duplicate elimination step in the implementation resulting into

inaccurate and unfair comparative results [57]. For our implementation, we consider the TLBO implementation without the duplicate elimination step (similar to that of Yarpiz [58]).

## 4.3. Global Neighborhood Algorithm LLH

Like TLBO, the Global Neighborhood Algorithm (GNA) [15], is a population based meta-heuristic. GNA has only two control parameters; population size and the maximum number of iterations. The GNA algorithm is shown in Figure 9.

```
Input: the population Z = \{Z_1, Z_2, ..., Z_S\}
Output: the updated population Z' = \{Z_1, Z_2, Z_S\}
1: For i=1 to S
2: {
       /******Local Search*****/
3:
       If i < (N/2)
4:
        {
          Generate a new solution according to Z_i^{(t+1)} = pertubate one value from Z_i^{(t)}
5:
6:
           If Z_i^{(t+1)} is better than Z_i^{(t)}, i.e. f(Z_i^{(t+1)}) > f(Z_i^{(t)})
               Z_i^{(t)} = Z_i^{(t+1)}
7:
8:
         }
        /*****Global Search*****/
9:
      Else
10:
         {
11:
           Generate a random solution Z<sub>random</sub>
12:
          If f(Z_{random}) is better than Z_i^{(t)}, i.e. f(Z_{random}) > f(Z_i^{(t)})
              Z_i^{(t)} = Z_{random}
13:
14:
        }
15: }
16: return Z
```

Figure 9. The Global Neighborhood Algorithm LLH

In GNA, the population is divided into two phases. In the first phase, GNA performs a local search through perturbation of  $Z_i$  (line 5). If the pertubated  $Z_i$  has a better fitness value, then the incumbent is replaced (lines 4-5).

In the second phase, GNA performs a random search. If  $Z_{random}$  has better fitness value than the current  $Z_i$ ,  $Z_i$  will be replaced with  $Z_{random}$  (lines 10-11).

#### 4.4. Particle Swarm Optimization LLH

Particle Swarm Optimization (PSO) [16] is a population based meta-heuristic that simulates the swarm behavior of flocks of birds or schools of fish. PSO comprises a group of particles with negligible mass and volume and which move through hyperspace. Each particle attempts to find a better position (solution) by recording and updating essential information about its movement. This information is related to the *i*<sup>th</sup> particle of interest, which includes the current position ( $Z_i$ ), the velocity ( $V_i$ ), local best ( $Z_{lbest}$ ) and global best ( $Z_{gbest}$ ). The PSO algorithm is shown in Figure 10.

**Input**: the population  $Z = \{Z_1, Z_2, ..., Z_S\}$ **Output**: the updated population  $Z' = \{Z_1, Z_2, ..., Z_S\}$ 1: Initialize the inertial weight  $\omega$ , and the learning factors  $c_1$ ,  $c_2$ 2: Initialize the population velocity  $V = \{V_1, V_2, ..., V_S\}$ 3: Choose global  $Z_{gbest}$  from the population  $Z = \{Z_1, Z_2..., Z_S\}$ 4: Set local best  $Z_{lbest} = Z_{gbest}$ 5: For i=1 to S 6: { Update the current velocity according to  $V_i^{(t+1)} = \omega V_i^{(t)} + c_1 \operatorname{rand}(0,1)(Z_{lbest}^{(t)} - X_i^{(t)}) + c_1 \operatorname{r$ 7:  $c_2 rand(0,1)(Z_{gbest}-X_i^{(t)})$ Update the current population according to  $Z_i^{(t+1)} = Z_i^{(t)} + V_i^{(t+1)}$ 8: subjected to clamping rule 9: If  $Z_{i}^{(t+1)}$  is better than  $Z_{i}^{(t)}$ , i.e.  $f(Z_{i}^{(t+1)}) > f(Z_{i}^{(t)})$  $Z_i^{(t)} = Z_i^{(t+1)}$ 10: /\*\*\*\*\*Update Global Best\*\*\*\*\*/ If  $Z_i^{(t)}$  is better than  $Z_{gbest}$ , i.e.  $f(Z_i^{(t)}) > f(Z_{gbest})$ 11: 12:  $Z_{gbest} = Z_i^{(t)}$ 13: } 14: return Z

Figure 10. The Particle Swarm Optimization LLH

During the search, each  $Z_{ith}$  particle of the population stochastically adapts its trajectory through velocity its local best ( $Z_{lbest}$ ) and global best value ( $Z_{global best}$ ) through velocity ( $V_i$ ) as indicated in lines 7-8. In turn, the velocity ( $V_i$ ) exploits three coefficients  $c_1$ ,  $c_2$ , and  $\omega$  respectively. Here,  $c_1$  and  $c_2$  are the acceleration coefficients that control the personal and global best to the updated velocity; and  $\omega$  is the inertia weight that is used to balance the global/local searches of the particle. In lines 9-10, if the updated  $Z_i$  has better fitness than current  $Z_i$ , the incumbent is updated. Finally,  $Z_{best}$  will be assigned to  $Z_i$  if the latter has better fitness (lines 11-12).

### 4.5. The Cuckoo Search Algorithm LLH

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The Cuckoo Search algorithm (CS) [17] is a population based meta-heuristic algorithm that is based on the parasitic behavior and aggressive reproduction strategy of Cuckoos. The female Cuckoos lay their eggs (potential solutions in the algorithm) in the nest of other birds and have the ability to imitate the colors and pattern of the host eggs. Cuckoos also have the ability to remove existing (the host birds, or other cuckoos) from the nest. In the algorithm, this is akin to replacing a poorer solution with a better one. The CS algorithm is shown in Figure 11.

**Input**: the population  $Z = \{Z_1, Z_2, ..., Z_S\}$ **Output**: the updated population  $Z' = \{Z_1, Z_2, Z_S\}$ 0: Set  $\alpha$  and elitism factor  $p_a$ 1: For *i*=1 to S 2: { Generate new eggs according to  $Z_i^{(t+1)} = Z_i^{(t)} + \alpha \bigoplus L \acute{e}vy$  Flight 3: subjected to clamping rule If  $Z_i^{(t+1)}$  is better than  $Z_i^{(t)}$ , i.e.  $f(Z_i^{(t+1)}) > f(Z_i^{(t)})$ 4:  $Z_i^{(t)} = Z_i^{(t+1)}$ 5: 6: } /\*\*\*\*\*Maintain Elitism\*\*\*\*\*/ 7: For j=1 to  $(p_a \cdot S)$ 8: { 9: Generate random Zrandom 10: Get the worst  $Z_{worst}^{(t)}$ If  $f(Z_{random})$  is better than  $Z_{worst}^{(t)}$ , i.e.  $f(Z_{random}) > f(Z_{worst}^{(t)})$ 11:  $Z_{worst}^{(t)} = Z_{random}$ 12: 13: } 14: return Z

Figure 11. The Cuckoo Search LLH

The CS algorithm provides two search capabilities: global search, which allows the algorithm to jump out of local optimum, and local search by intensifying search around the current best solution, via *Lévy Flight* motion. The *Lévy Flight* motion is a random walk that takes a sequence of jumps, which are selected from a heavy tailed probability function. For our *Lévy Flight* implementation, we adopt the well-known Mantegna's algorithm [17]. Within this algorithm, a step length can be defined as:

$$Step = \frac{u}{[v]^{\frac{1}{\beta}}}$$
(3)

where u and v are approximated from the normal Gausian distribution in which:

$$u \approx N(0, \sigma_u^2) \cdot \sigma_u \qquad v \approx N(0, \sigma_v^2) \cdot \sigma_v$$
 (4)

For v value estimation, we use  $\sigma_v = 1$ . For u value estimation, we evaluate the Gamma function( $\Gamma$ ) with the value of  $\beta = 1.5$  [59], and obtain  $\sigma_u$  using:

$$\sigma_{u} = \left| \frac{r(1+\beta) \cdot \sin(\frac{\pi\beta}{2})}{r(\frac{1+\beta}{2}) \cdot \beta \cdot 2^{\frac{(\beta-1)}{2}}} \right|^{\frac{1}{\beta}}$$
(5)

In our case, the Gamma function(r) implementation is adopted from William et al [60].

During the search,  $Z_i$  will be continuously updated from its previous value with the appropriate step size ( $\alpha$ ), entry-wise multiplication ( $\bigoplus$ ) and *Lévy Flight* motion as indicated in line 3. If the updated  $Z_i$  has better fitness than the current  $Z_i$ , the former is updated (lines 4-5). CS also maintains an elite population of solutions (lines 7-13). CS will replace a fraction  $p_a \cdot S$  of the poorly generated  $Z_{worst}$  solutions (where  $p_a$  is the elitism factor ranging from 0.0 < pa < 1.0) with a random solution  $Z_{random}$ , iteratively if the corresponding fitness improves.

It is worth mentioning here that the number of fitness function evaluation for CS cannot be determined statistically owing to the probability  $p_a$ . However, a counter can be put during run-time to determine the exact number of fitness evaluation (so as to have the same fitness evaluation as other LLHs).

### 5. HHH Parameter Calibration

As far as calibration is concerned, there are generally two types of parameters of concern; specific algorithm parameters and common algorithm parameters. The former relates to the algorithm settings of the four LLHs, whilst the latter accounts for the common parameters between LLHs and HLH.

Table 2 summarizes the parameters that are to be calibrated.

	Specific Algorithm Parameters	Common Parameters
Tabu Search HLH	$Tabu_{max} = 4$	
Teaching Learning based	-	
Optimization LLH		Max iteration, $\Theta_{max} = 20$
Global Neighborhood	-	Population size, $S = 40$
Algorithm LLH		Maximum Fitness Evaluation, $F_{max} = 400,000$
Particle Swarm LLH	$c_1=1.375, c_2=1.375, \omega=0.3$	
Cuckoo Search LLH	$p_a = 0.25$	

Table 2. Specific and Common Algorithm Parameters

In the design of HHH, the specific algorithm parameters are the *Tabu<sub>max</sub>* of the Tabu lists for TS HLH,  $c_1,c_2$ (i.e. acceleration coefficients) and  $\omega$  (inertial weight) for PSO LLH as well as  $p_a$  (elitism factor) for CS LLH. As for TLBO LLH and GNA LLH, their parameters are  $\Theta_{max}$  and S (which are also shared by PSO LLH, Cuckoo LLH and Tabu HLH as well). As far as the calibration of specific algorithm parameters is concerned, the value of *Tabu<sub>max</sub>* = 4 can be easily deduced as the number of adopted meta-heuristics as LLH. Any particular meta-heuristic can either be available for selection or penalized in the Tabu list depending on its prior performance. Meanwhile, the value of  $c_1$ = 1.375,  $c_2$ = 1.375,  $\omega$  = 0.3 and  $p_a$  = 0.25 are adopted from existing work reported in the context of adopting PSO [7] and CS [11] as *t-way* `test generation strategy respectively.

Unlike the specific parameters, the calibration of common parameters  $\Theta_{max}$  iteration and population size, *S*, can be subtle owing to the way each individual LLH operates. As highlighted earlier, TLBO requires twice as much fitness function evaluation as GNA and PSO. Furthermore, Cuckoo also has non-deterministic number of fitness evaluation owing to the elitism probability  $p_a$ . This large diversification makes it hard to select the appropriate value for both  $\Theta_{max}$  and *S* to ensure fair comparison with other meta-heuristic based strategies particularly when it comes to the fitness function evaluation. For this reason, we decide to establish the limit on the maximum fitness function evaluation, called  $F_{max}$ , as seen in Table 2. Specifically, we define  $F_{max} = 400,000$  as it was empirically verified to be large enough to allow HHH's solution to converge even for the largest configurations. Internally, we have implemented a global static counter (as part of fitness function evaluation) that ensures the  $F_{max}$  limit is adhered to.

Having established the  $F_{max} = 400,000$  as the stopping criterion, the selection of  $\Theta_{max}$  and S follow accordingly. In this case, any values of  $\Theta_{max}$  and S can be selected as long as the value of  $F_{max}$  is observed. For example, if the selected values of  $\Theta_{max} = 20$  and S = 40, the minimum fitness evaluation per iteration is at least 20\*40=800 and the maximum possible iteration for convergence is 400,000/800 = 500. In similar manner, if the selected values of  $\Theta_{max} = 20$  and S = 100, the minimum fitness evaluation per iteration is at least 20\*100=2000

and the maximum possible iteration for convergence is 400,000/2000 = 200. For our case, considering the recommendation from the scientific papers in [61, 62], we opt to adopt the former case with  $\Theta_{max} = 20$  and S = 40.

### 6. Evaluation

Our evaluation focuses on two related goals. Firstly, we compare the performance of HHH against existing strategies. Then, we verify our findings using statistical analysis. In our evaluation, we note that the comparative performances with the same number of objective function evaluations are not possible for meta-heuristic-based strategies (i.e. most implementations are not publically available; hence, the settings of each of the algorithm parameters are beyond our controls).

## 6.1. Benchmarking with Existing Strategies on Test Sizes

We compare HHH against existing strategies based on the benchmark experiments in terms of test sizes as defined in [9, 11, 56, 63-68]. Considering that the test sizes are absolute and not affected by the computational platform, our comparison spans many *t-way* strategies from meta-heuristic-based to general computation ones. Concerning the comparison between meta-heuristic-based strategies, much criticism can be highlighted in terms of the way the results are presented in the scientific literature. In particular, important information related to individual strategy execution involving the average results as well as the maximum number of fitness evaluations ( $F_{max}$ ) are often missing, thus, hindering a fair comparison between them.

To put our work into perspectives, we highlight all the algorithm parameters for the meta-heuristic-based strategies of interests obtained from their respective publications (as depicted in Table 3).

Strategies	Parameters	Values
HSS [8]	Max Improvisation/Iteration	1000
1100 [0]	Harmony Memory Size	1000
	Harmony Memory Size	0.7
	Rate	0.7
	Pitch Adjustment Rate	0.2
PSTG [6, 7]	Max Iteration	100
	Population Size	80
	Acceleration Coefficients	1.375
	Inertia Weight	0.3
CS [17]	Max Iteration	100
	Population Size	100
	Probability $p_a$	0.25
SA [51]	Max Iteration	100
	Starting Temperature	20
	Cooling Schedule	0.9998
GA [5]	Max Iteration	1000
	Population Size	25
	Best Cloned	1
	Tournament Selection	0.8
	Random Crossover	0.75
	Gene Mutation	0.03
	Max Stale Period	3
	Escape Mutation	0.25
ACO [5]	Iteration	1000
	Number of Ants	20
	Pheromone Control	1.6
	Pheromone Persistence	0.5
	Pheromone Amount	0.01
	Initial Pheromone	0.4
	Heuristic Control	0.2

Table 3. Algorithm Parameters for Existing Meta-heuristic-based Strategies of Interests

Elite Ants	2
Max Stale Period	5

We do not compare the performance in terms of execution times as most implementations are not available to be executed on the computer we used (a desktop PC with Windows 8, 2.5 GHz i5 CPU, 8 GB of RAM). Furthermore, in the case of meta-heuristic-based strategies, the time execution comparison can also be unfair [69-72] as the number of fitness evaluation varies significantly for each strategies.

Owing to its non-deterministic nature, HHH is executed 30 times for each experiment. The parameter settings are based on the values obtained earlier (with  $Tabu_{max} = 4$ ,  $c_1=1.375$ ,  $c_2=1.375$ ,  $\omega=0.3$ ,  $p_a=0.25$ , S=20,  $\Theta_{max} = 40$  and  $F_{max} = 400,000$ ). Both the best and average test sizes for each experiment are reported side-by-side. To facilitate discussion, whenever possible, we have grouped the experiments into meta-heuristic-based and general computation-based strategies respectively. Bold cell entries indicate the best performance size whilst cell entries marked NA (Not Available) indicate that the results are unavailable in the scientific literature. The complete results are summarized in Tables 4 through 11.

Table 4. Benchmarking CA and MCA Configurations

		Ν	leta-heu	ristic-base	ed Strate	egies		0	Genera	l Computat	tional-bas	ed Strate	gies
System Configuration	H	IHH	HSS	PSTG	CS	SA	GA	ACO	mAETG	AETG	IPOG	Jenny	TVG
	Best	Ave	пъъ	1310	CS	SA	UA	ACO	IIIAETO	ALIO	IFOO	Jenny	110
$CA(N; 2, 3^4)$	9	9	9	9	9	9	9	9	9	9	9	10	11
$CA(N; 2, 3^{13})$	17	18.55	18	17	20	16	17	17	17	15	20	20	19
CA( <i>N</i> ; 2, 5 <sup>10</sup> )	42	43.9	43	45	NA	NA	NA	NA	NA	NA	50	45	51
$CA(N; 3, 3^6)$	33	38.25	39	42	43	33	33	33	38	47	53	51	49
$CA(N; 3, 4^6)$	64	69.35	70	102	105	64	64	64	77	105	64	112	123
CA(N; 3, 6 <sup>6</sup> )	325	329.35	336	338	350	300	331	330	330	343	382	373	407
$CA(N; 3, 5^7)$	217	220.35	236	229	253	201	218	218	218	229	274	236	271
$MCA(N; 2, 5^1 3^8 2^2)$	20	21.35	21	21	21	15	15	16	20	19	19	23	22
$MCA(N; 2, 7^{1} 6^{1} 5^{1} 4^{6} 3^{8} 2^{3})$	48	51.00	50	48	51	42	42	42	44	45	43	50	51
$MCA(N; 2, 6^1 5^1 4^6 3^8 2^3)$	36	39.25	38	39	43	30	33	32	35	34	35	40	43
$MCA(N; 3, 5^2 4^2 3^2)$	100	108.35	120	125	NA	100	108	106	114		111	131	136
$MCA(N; 3, 10^1 6^2 4^3 3^1)$	382	390.60	378	385	393	360	360	361	377		383	399	414
$MCA(N; 4, 3^{4}4^{5})$	427	434.20	436	447							463	457	487
$MCA(N; 4, 5^1 3^8 2^2)$	283	286.80	286	292							324	303	313
MCA(N; 4, 8 <sup>2</sup> 7 <sup>2</sup> 6 <sup>2</sup> 5 <sup>2</sup> )	4305	4323.05	4395	4506	NA	NA	NA	NA	NA	NA	4776	4580	5124
MCA(N; 4, 6 <sup>5</sup> 5 <sup>4</sup> 3 <sup>2</sup> )	2436	2446.50	2520	3154							3273	3033	2881
$MCA(N; 4, 10^{1} 9^{1} 8^{1} 7^{1} 6^{1} 5^{1} 4^{1} 3^{1} 2^{1})$	5873	5912.75	5915	5906							5492	6138	6698

Table 5. CA (N; t,  $2^{10}$ ) with t varied from 2 to 6

	M	eta-Heuris	tic-base	d Strategi	es	General Computational-based Strategies						
t	Н	IHH	HSS	PSTG	CS	IPOG	ITCH	Jenny	TConfig	TVG		
	Best	Ave	пъъ	1310	CS	IFOO	псп	Jenny	rcomg	110		
2	8	8.25	7	8	8	10	6	10	9	10		
3	16	16.2	16	17	16	19	18	18	20	17		
4	36	39.45	37	37	36	49	58	39	45	41		
5	79	80.6	81	82	79	128	NA	87	95	84		
6	<b>153</b> 156.15		158	158	157	352	NA	169	183	168		

Table 6. CA (N; t, 5<sup>10</sup>) with t varied from 2 to 6

		Meta-Heurist	tic-based S	Strategies			General Computational-based Strategies									
t	Н	IHH	1100	DOTO	66	IDOG	ITC	Jenn	DIGT	TTCL C	TNO	CTN	MIDOG	CTE-		
	Best	Ave	HSS	PSTG	CS	IPOG	Н	у	PICT	TConfig	TVG	GTWay	45	XL		
2	43	44.2	43	45	45	50	45	45	47	48	50	46	45	50		
3	280	282.95	276	287	297	313	225	290	310	312	342	293	281	347		
4	1638	1642.21	1624	1716	1731	1965	1750	1719	1812	1878	1971	1714	1643	NA		
5	8704	8704.01	8866	9425	9616	11009	NA	9437	9706	NA	NA	9487	8169	NA		
6	47800	48300.32	47550	50350	50489	57290	NA	NA	47978	NA	NA	44884	45168	NA		

	Ν	leta-Heuris	tic-based	l Strategie	es	General Computational-based Strategies							
k	H	ІНН	HSS	PSTG	CS	IDOC	ITCU	Terrer	PICT	TCarfie	TVG	GTWay	MIPOG
	Best	Ave	нээ	PSIG	CS	IPOG	G ITCH	Jenny	PICI	TConfig	IVG	GI way	MIPOG
5	746	754.45	751	779	776	908	625	837	810	773	849	731	625
6	967	976.30	990	1001	991	1239	625	1074	1072	1092	1128	1027	625
7	1151	1159.25	1186	1209	1200	1349	1750	1248	1279	1320	1384	1216	1125
8	1320	1327.00	1358	1417	1415	1792	1750	1424	1468	1532	1595	1443	1384
9	1483	1488.85	1530	1570	1562	1793	1750	1578	1643	1724	1795	1579	1543
10	1635	1642.05	1624	1716	1731	1965	1750	1719	1812	1878	1917	1714	1643
11	1784	1786.15	1860	1902	2062	2091	1750	1839	1957	2038	2122	1852	1722
12	1915	1925.1	2022	2015	2223	2285	1750	1964	2103	NA	2268	2022	1837

Table 7. CA  $(N; 4, 5^k)$  with k varied from 5 to 12

Table 8. CA (N; 4,  $v^{10}$ ) with v varied from 2 to 7

	Ν	Ieta-Heuris	tic-based	l Strategie	es		General Computational-based Strategies							
v	H	IHH	IICC	PSTG	CS	IPOG	ITCH	Terrer	DICT	TCarf	TVC	CTW	MIDOC	
	Best	Ave	HSS	P\$10	CS		псп	Jenny	PICT	TConfig	TVG	GTWay	MIPOG	
2	36	39.45	37	34	28	49	58	39	43	45	40	46	43	
3	207	209.51	211	213	211	241	336	221	231	235	228	224	217	
4	668	670.52	691	685	698	707	704	703	742	718	782	621	637	
5	1635	1642.05	1624	1716	1731	1965	1750	1719	1812	1878	1917	1714	1643	
6	3405	3410.42	3475	3880	3894	3935	NA	3519	3735	NA	4159	3514	3657	
7	6412	6505.34	6398	NA	NA	7061	NA	6462	NA	NA	7854	6459	5927	

		Meta-Heuristic-based Strategies					General Computational-based Strategies						
t	V	H	IHH	HSS	PSTG	CS	Jenny	TConfig	ITCH	PICT	TVG	CTE-XL	IPOG
		Best	Ave				,	0				-	
	2	7	7.00	7	6	6	8	7	6	7	7	8	8
2	3	14	15.20	14	15	15	16	15	15	16	15	16	17
2	4	23	24.9	25	26	25	28	28	28	27	27	30	28
	5	35	36.35	35	37	37	37	40	45	40	42	42	42
	2	15	15.0	12	13	12	14	16	13	15	15	15	19
3	3	49	50.3	50	50	49	51	55	45	51	55	54	57
3	4	112	115.4	121	116	117	124	112	112	124	134	135	208
	5	216	219.7	223	225	223	236	239	225	241	260	265	275
	2	31	32.35	29	29	27	31	36	40	32	31		48
4	3	148	153.25	155	155	155	169	166	216	168	167	NA	185
4	4	482	484.85	500	487	487	517	568	704	529	559	NA	509
	5	1153	1160.40	1174	1176	1171	1248	1320	1750	1279	1385		1349
	2	58	58.40	53	53	53	57	56		57	59		128
~	3	435	439.35	437	441	439	458	477		452	464	<b>N</b> 7.4	608
5	4	1805	1815.40	1831	1826	1845	1938	1792	NA	1933	2010	NA	2560
	5	5413	5431.25	5468	5474	5479	5895	NA		5814	6257		8091
	2	64	64.0	64	64	66	87	64		72	78		64
	3	853	922.55	916	977	973	1087	921	NIA	1015	1016		1281
6	4	5478	5497.15	4096	5599	5610	6127	NA	NA	5847	5978	NA	4096
	5	21107	21159.95	21748	21595	21597	23492	NA		22502	23218		28513

Table 9. CA (*N*; *t*,  $v^7$ ) with variable values  $2 \le v \le 5$ , with *t* varied up to 6

	Meta-Heuristic-based Strategies						$\begin{array}{c} \text{General Computational-based Strategies} \end{array}$							
t	K		IHH		-									
ŀ	n	Best	Ave	HSS	PSTG	CS	Jenny	TConfig	ITCH	PICT	TVG	CTE-XL	IPOG	
	3	9	9.80	9	9	9	9	10	9	10	10	10	11	
	4	9	9.00	9	9	9	13	10	9	13	12	14	12	
	5	11	11.35	12	12	11	14	14	15	13	13	14	14	
	6	13	14.20	13	13	13	15	15	15	14	15	14	15	
2	7	14	15.00	15	15	14	16	15	15	16	15	16	17	
2	8	15	15.60	15	15	15	17	17	15	16	15	17	17	
	9	15	16.30	17	17	16	18	17	15	17	15	18	17	
	10	16	16.90	17	17	17	19	17	15	18	16	18	20	
	11	17	17.75	17	17	18	17	20	15	18	16	20	20	
	12	16	17.95	18	18	18	19	20	15	19	16	20	20	
	4	27	29.45	30	30	28	34	32	27	34	34	34	39	
	5	39	41.25	39	39	38	40	40	45	43	41	43	43	
	6	33	39.00	45	45	43	51	48	45	48	49	52	53	
	7	49	50.80	50	50	48	51	55	45	51	55	54	57	
3	8	52	53.65	54	54	53	58	58	45	59	60	63	63	
	9	57	57.85	59	58	58	62	64	75	63	64	66	65	
	10	60	61.25	62	62	62	65	68	75	65	68	71	68	
	11	63	64.45	66	64	66	65	72	75	70	69	76	76	
	12	66	67.45	67	67	70	68	77	75	72	70	79	76	
	5	81	86.5	94	96	94	109	97	153	100	105		115	
	6	131	133.5	132	133	132	140	141	153	142	139		181	
	7	150	153.3	154	155	154	169	166	216	168	172	NA	185	
4	8	173	175.15	174	175	173	187	190	216	189	192		203	
-	9	167	188.65	195	195	195	206	213	306	211	215		238	
	10	207	209.45	212	210	211	221	235	336	231	233		241	
	11	222	225.05	223	222	229	236	258	348	249	250		272	
	12	238	240.35	244	244	253	252	272	372	269	268		275	
	6	267	287.55	310	312	304	348	305		310	321		393	
	7	432	437.25	436	441	434	458	477		452	462		608	
	8	514	518.05	515	515	515	548	583		555	562		634	
5	9	585	590.75	597	598	590	633	684	NA	637	660	NA	771	
	10	656	663.31	670	667	682	714	773		735	750		784	
	11	728	733.55	753	747	778	791	858		822	833		980	
	12	798	802.01	809	809	880	850	938		900	824		980	
	7	900	927.65	977	977	963	1087	921		1015	1024		1281	
	8	1392	1399.43	1402	1402	1401	1466	1515		1455	1484		2098	
6	9	1679	1688.35	1684	1684	1689	1840	1931	NA	1818	1849	NA	2160	
	10	1960	1967.75	1991	1980	2027	2160			2165	2192		2726	
	11	2230	2240.05	2255	2255	2298	2459	NA		2496	2533	4	2739	
	12	2503	2503.02	2528	2528	2638	2757			2815	2597	<b>I</b>	3649	

Table 10. CA (N; t,  $3^k$ ) with variable number of parameters  $3 \le k \le 12$ , with t varied up to 6

	1 auto 1	1. Four C.		Ju nom	Real St	ntware s	system C	onngui	ations, <i>i</i>	15 vali	cu up to o		
Country	Meta-Heuristic-based Strategies					General Computational-based Strategies							
System Configuration	ŀ	ІНН	HSS	PSTG	CS	Jenny	TConfig	ITCH	PICT	TVG	CTE-XL	IPOG-D	IPOG
	Best	Ave				2	e						
BBS													
CA(2,3 <sup>4</sup> )	9	9.00	9	9	9	13	10	9	13	12	10	15	12
CA(3,3 <sup>4</sup> )	27	29.70	27	27	27	34	32	27	34	32	37	27	39
TCAS													
$CA(2,2^7 3^2 4^1 10^2)$	100	110.45	106	100	100	106	109	120	100	100	100	130	100
$CA(3,2^7 3^2 4^1 10^2)$	400	411.05	412	400	400	413	472	2388	400	434	426	480	400
$CA(4,2^7 3^2 4^1 10^2)$	1509	1524.75	1531	1520	1537	1536	1548	1484	1369	1599	NA	NA	1377
$CA(5,2^7 3^2 4^1 10^2)$	4492	4492.01	4569	4566	4566	4621	NA	NA	4250	4773	NA	13458	4283
$CA(6,2^7 3^2 4^1 10^2)$	11735	11742.80	11740	11743	11431	11625	NA	NA	11342	NA	NA	41280	11939
Mobile Phone													
$CA(2,2^23^3)$	9	11.02	9	9	9	12	12	15	10	10	9	15	11
$CA(3,2^23^3)$	27	33.25	27	27	27	29	30	45	29	30	32	34	27
$CA(4,2^23^3)$	54	54.15	54	54	54	59	56	138	59	55	NA	NA	54
Spin Simulator													
CA(2,2 <sup>13</sup> 4 <sup>5</sup> )	24	26.11	25	24	NA	26	29	28	23	27	26	28	20
CA(3,2 <sup>13</sup> 4 <sup>5</sup> )	102	104.81	106	101	NA	111	113	196	96	111	113	112	78
CA(4,2 <sup>13</sup> 4 <sup>5</sup> )	387	397.05	390	380	NA	412	427	1296	353	288	NA	NA	341
CA(5,2 <sup>13</sup> 4 <sup>5</sup> )	1260	1270.43	1265	1270	NA	1304	NA	NA	1185	842	NA	5054	1243
CA(6,2 <sup>13</sup> 4 <sup>5</sup> )	3636	3645.41	3641	3648	NA	3538	NA	NA	3420	NA	NA	30214	3516

Table 11. Four CA derived from Real Software System Configurations, t is varied up to 6

#### 6.2. Statistical Analysis

We conduct our statistical analysis for all the obtained results in Tables 4 through 11 based on multiple pairwise comparisons with 95% confidence level (i.e.  $\alpha$ =0.05). To be specific, we adopt the Friedman tests subjecting HHH as the control strategy (i.e. 1xN pair comparison). In all the cases, the table entries with NA are ignored as their contributions are incomplete (i.e. Friedman tests must be based on complete samples). Under the null hypothesis, Friedman test states that all the strategies are the equivalent, so a rejection of this hypothesis implies the existence of differences among the performance of all the strategies studied. It must be stressed here that the differences of performances may not be necessarily involved the pairing of HHH alone (i.e. the Friedman test analysis can also signify the differences in performances for other pairing). The null hypothesis (H<sub>0</sub>) is only rejected if the Friedman statistic ( $\chi^2$ ) is greater than the critical value. After this, a *post-hoc* test based on the Wilcoxon Rank-Sum could be used to find whether the control strategy presents statistical difference with regards to the remaining strategies in the comparison. The null hypothesis  $(H_0)$  is that there is no significant difference as far as the test size is concerned for HHH and each individual strategy (i.e. the two populations have the same medians). Our alternative hypothesis  $(H_1)$  is that test size for HHH is less than that of each individual strategy (i.e. HHH has a lower population median). To control the Type I - family wise error rate (FWER) due to multiple comparisons, we have adopted the Bonferroni-Holm correction for  $\alpha$  values. In this case, the p-values are first sorted in ascending order such that  $p_1 < p_2 < p_3 \dots < p_k$ . Then,  $\alpha$  is adjusted based on:

$$\alpha_{Holm} = \frac{\alpha}{k - i + 1} \tag{6}$$

If  $p_1 < \alpha_{\text{Holm}}$ , the corresponding hypothesis is rejected and we are allowed to make similar comparison for  $p_2$ . If the second hypothesis is rejected, the test proceeds with the third and so on. As soon as a certain null hypothesis cannot be rejected, all the remaining hypotheses are retained as well. The complete statistical analyses are shown in Tables 12 through 27.

Table 12. Friedman Test for Table 4

Friedman Test	Conclusion
Degree of freedom = 5, $\alpha = 0.05$ ,	49.099 > critical value, reject $H_0$ and
Critical value = $11.0705$	proceed to post-hoc test
Friedman statistic ( $\chi^2$ ) = 49.099	
*	

\*Owing to incomplete sample (i.e. with one or more NA entries), the contributions of CS, SA, GA, ACO, mAETG and AETG are ignored

Pair Comparison	p-value in ascending order	Bonferroni-Holm Correction: $\alpha_{Holm}$	Conclusion
HHH vs Jenny	0.0001451	0.01	$p$ -value < $\alpha_{Holm}$ , Reject $H_o$
HHH vs TVG	0.0001454	0.0125	$p$ -value < $\alpha_{Holm}$ , Reject $H_o$
HHH vs PSTG	0.0007959	0.0166	$p$ -value < $\alpha_{Holm}$ , Reject $H_o$
HHH vs HSS	0.0007959	0.0166	$p$ -value < $\alpha_{Holm}$ , Reject $H_o$
HHH vs IPOG	0.01537	0.05	$p$ -value < $\alpha_{Holm}$ , Reject $H_o$

Table 14. Friedman Test for Table 5

Friedman Test	Conclusion		
Degree of freedom = 7, $\alpha$ = 0.05, Critical value = 14.0671	31.2134 > critical value, reject H <sub>o</sub> and proceed to <i>post-hoc</i> test		
Friedman statistic ( $\chi^2$ ) = 31.2134	proceed to post-noc test		
*Oning to incomplete complet is with one or more NA antrice) the contribution of ITCU is			

<sup>\*</sup>Owing to incomplete sample (i.e. with one or more NA entries), the contribution of ITCH is ignored

Table 15. Post-hoc Wilcoxon Rank-Sum Tests for Table 5

Tuer	i iei i est nee n	neonon rann oann r	
Pair Comparison	p-value in	Bonferroni-Holm	Conclusion
	ascending order	Correction: $\alpha_{Holm}$	
HHH vs Jenny	0.02108	0.007142	p-value > $\alpha_{\text{Holm}}$ ,
			Cannot reject H <sub>o</sub>
HHH vs TVG	0.02108		Cannot reject H <sub>o</sub>
HHH vs TConfig	0.02156		Cannot reject H <sub>o</sub>
HHH vs IPOG	0.02156		Cannot reject H <sub>o</sub>
HHH vs PSTG	0.0328		Cannot reject H <sub>o</sub>
HHH vs HSS	0.09873		Cannot reject H <sub>o</sub>
HHH vs CS	0.1587		Cannot reject H <sub>o</sub>
HHH vs HSS	0.09873		Cannot reject H <sub>o</sub>

Table 16. Friedman Test for Table 6

Friedman Test	Conclusion
Degree of freedom = 7, $\alpha = 0.05$ ,	28.388 > critical value, reject H <sub>o</sub> and
Critical value = 14.0671	proceed to post-hoc test
Friedman statistic ( $\chi^2$ ) = 28.388	

\*Owing to incomplete sample (i.e. with one or more NA entries), the contributions of ITCH, Jenny, TConfig, TVG and CTE-XL are ignored

e 6

Pair Comparison	p-value in	Bonferroni-Holm	Conclusion
	ascending order	Correction: $\alpha_{Holm}$	
HHH vs HSS	0.02156	0.007142	p-value > $\alpha_{\text{Holm}}$ ,
			Cannot reject H <sub>o</sub>
HHH vs PSTG	0.02156		Cannot reject H <sub>o</sub>
HHH vs CS	0.02156		Cannot reject H <sub>o</sub>
HHH vs IPOG	0.02156		Cannot reject H <sub>o</sub>
HSS vs PICT	0.02156		Cannot reject H <sub>o</sub>
HSS vs GTWay	0.2501		Cannot reject H <sub>o</sub>
HSS vs MIPOG	0.6571		Cannot reject H <sub>o</sub>

Table 18. Friedman Test for Table 7

Friedman Test	Conclusion
Degree of freedom = 10, $\alpha$ = 0.05, Critical value = 18.3070 Friedman statistic ( $\chi^2$ ) = 56.022	56.022 > critical value, reject $H_0$ and proceed to <i>post-hoc</i> test

\*Owing to incomplete sample (i.e. with one or more NA entries), the contribution of TConfig is ignored

Pair Comparison	p-value in	Bonferroni-Holm	Conclusion
	ascending order	Correction: $\alpha_{Holm}$	
HHH vs HSS	0.005859	0.005	p-value (3 d.p) $\approx \alpha_{\text{Holm}}$ . Reject H <sub>o</sub> if confident level is set at 90%
HHH vs PSTG	0.005859	0.005	p-value $(3 \text{ d.p}) \approx \alpha_{\text{Holm}}$ . Reject H <sub>o</sub> if confident level is set at 90%
HHH vs CS	0.005859	0.005	p-value $(3 \text{ d.p}) \approx \alpha_{\text{Holm}}$ . Reject H <sub>o</sub> if confident level is set at 90%
HHH vs IPOG	0.005859	0.005	p-value $(3 \text{ d.p}) \approx \alpha_{\text{Holm}}$ , Reject H <sub>o</sub> if confident level is set at 90%
HSS vs Jenny	0.005859	0.005	p-value $(3 \text{ d.p}) \approx \alpha_{\text{Holm}}$ , Reject H <sub>0</sub> if confident level is set at 90%
HSS vs PICT	0.005859	0.005	p-value $(3 \text{ d.p}) \approx \alpha_{\text{Holm}}$ . Reject H <sub>o</sub> if confident level is set at 90%
HSS vs TVG	0.008645	0.0125	p-value $< \alpha_{\text{Holm}}$ . Reject H <sub>o</sub> if confident level is set at 90%
HHH vs HSS	0.01253	0.0166	p-value < α <sub>Holm</sub> , Reject H <sub>o</sub> if confident level is set at 90%
HHH vs ITCH	0.2877	0.025	$p$ -value > $\alpha_{Holm}$ , Cannot reject $H_o$
HHH vs MIPOG	0.8962		Cannot reject Ho

Table 19. Post-hoc Wilcoxon Rank-Sum Tests for Table 7

# Table 20. Friedman Test for Table 8

Friedman Test	Conclusion		
Degree of freedom = 6, $\alpha$ = 0.05,	25.500 > critical value, reject H <sub>o</sub> and		
Critical value = 12.5916	proceed to post-hoc test		
Friedman statistic ( $\chi^2$ ) = 25.500			
*Omine to incrementate and a line mith and any NIA antice) the contribution of DSTC			

<sup>\*</sup>Owing to incomplete sample (i.e. with one or more NA entries), the contributions of PSTG, CS, ITCH, PICT, and TConfig are ignored

Table 21. Post-hoc Wilcoxon Rank-Sum Tests for Table 8

Pair Comparison	p-value in	Bonferroni-Holm	Conclusion
	ascending order	Correction: $\alpha_{Holm}$	
HHH vs IPOG	0.01385	0.008333	$p$ -value > $\alpha_{Holm}$ ,
			Cannot reject Ho
HHH vs Jenny	0.01385		Cannot reject H <sub>o</sub>
HHH vs TVG	0.01385		Cannot reject H <sub>o</sub>
HHH vs GTWay	0.07056		Cannot reject H <sub>o</sub>
HHH vs HSS	0.2315		Cannot reject H <sub>o</sub>
HHH vs MIPOG	0.4583		Cannot reject H <sub>o</sub>

Table 22. Friedman Test for Table 9

Friedman Test	Conclusion		
Degree of freedom = 7, $\alpha = 0.05$ ,	96.883 > critical value, reject H <sub>o</sub> and		
Critical value = 14.0671	proceed to post-hoc test		
Friedman statistic ( $\chi^2$ ) = 96.883			

\*Owing to incomplete sample (i.e. with one or more NA entries), the contributions of TConfig,

Pair Comparison	p-value in	Bonferroni-Holm	Conclusion
	ascending order	Correction: $\alpha_{Holm}$	
HHH vs Jenny	0.0001235	0.007142	p-value $< \alpha_{\text{Holm}}$ , Reject H <sub>o</sub>
HHH vs PICT	0.0001266	0.008333	$p$ -value < $\alpha_{Holm}$ , Reject $H_o$
HHH vs TVG	0.0001462	0.01	p-value $< \alpha_{Holm}$ , Reject H <sub>o</sub>
HHH vs IPOG	0.0008479	0.0125	$p$ -value < $\alpha_{Holm}$ , Reject $H_o$
HHH vs PSTG	0.001536	0.01666	$p$ -value < $\alpha_{Holm}$ , Reject $H_o$
HHH vs HSS	0.0024	0.025	$p$ -value < $\alpha_{Holm}$ , Reject $H_o$
HHH vs CS	0.02462	0.05	$p$ -value < $\alpha_{Holm}$ , Reject $H_o$

Table 23. Post-hoc Wilcoxon Rank-Sum Tests for Table 9

Table 24. Friedman Test for Table 10

Friedman Test	Conclusion		
Degree of freedom = 7, $\alpha = 0.05$ ,	216.963 > critical value, reject H <sub>o</sub> and		
Critical value = 14.0671	proceed to post-hoc test		
Friedman statistic ( $\chi 2$ ) = 216.963			
*Owing to incomplete sample (i.e. with one or more NA entries), the contributions of TConfig,			

١

ITCH, and CTE-XL are ignored

Table 25. <i>Post-hoc</i> Wilcoxon Rank-Sum Tests for Table 10	0
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Pair Comparison	p-value in	Bonferroni-Holm	Conclusion
	ascending order	Correction: $\alpha_{Holm}$	
HHH vs PICT	1.762e-08	0.007142	$p-value < \alpha_{Holm}$ , Reject $H_o$
HHH vs IPOG	1.766e-08	0.008333	$p-value < \alpha_{Holm}$ , Reject $H_o$
HHH vs Jenny	3.786e-08	0.01	$p$ -value $< \alpha_{Holm}$ , Reject $H_o$
HHH vs TVG	9.877e-08	0.0125	$p-value < \alpha_{Holm}$ , Reject $H_o$
HHH vs HSS	1.698e-07	0.01666	$p-value < \alpha_{Holm}$ , Reject $H_o$
HHH vs PSTG	2.527e-07	0.025	$p-value < \alpha_{Holm}$ , Reject $H_o$
HHH vs CS	6.752e-07	0.05	$p-value < \alpha_{Holm}, Reject H_o$

Table 26. Friedman Test for Table 11

Friedman Test	Conclusion			
Degree of freedom = 5, $\alpha$ = 0.05,	23.779 > critical value, reject H <sub>o</sub> and			
Critical value = 11.0705	proceed to post-hoc test			
Friedman statistic ( $\chi^2$ ) = 23.779				

\*Owing to incomplete sample (i.e. with one or more NA entries), the contributions of CS, TConfig, ITCH, TVG, CTE-XL and IPOG-D are ignored

Table 27. Post-hoc Wilcoxon Rank-Sum Tests for Table 11

Tuble 27.1 ost nee wheekon Hunk Sum Tests for Tuble 11			
Pair Comparison	p-value in	Bonferroni-Holm	Conclusion
	ascending order	Correction: $\alpha_{Holm}$	
HHH vs HSS	0.002474	0.01	$p$ -value > $\alpha_{Holm}$ ,
			Cannot reject H <sub>o</sub>
HHH vs Jenny	0.03042		Cannot reject H <sub>o</sub>
HHH vs PSTG	0.03149		Cannot reject H <sub>o</sub>
HHH vs PICT	0.090750		Cannot reject H <sub>o</sub>
HHH vs IPOG	0.9226		Cannot reject H <sub>o</sub>

# 7. Discussion

A number of observations can be summarized based on the obtained results from Table 4 through 11 as well as their corresponding statistical analyses from Tables 12 through 27.

Referring to Table 4, SA appears to outperform most other strategies for low interaction (i.e. t<3). Specifically, SA gives the best overall results for CA(N; 3, 6<sup>6</sup>), CA(N; 3, 5<sup>7</sup>), and MCA(N; 2, 6<sup>1</sup> 5<sup>1</sup> 4<sup>6</sup> 3<sup>8</sup> 2<sup>3</sup>). However, no results for SA are available for t>3. HHH generates the best overall results for MCA (N; 4, 3<sup>445</sup>), MCA (N; 4, 5<sup>1</sup> 3<sup>8</sup> 2<sup>2</sup>), MCA (N; 4, 8<sup>2</sup> 7<sup>2</sup> 6<sup>2</sup> 5<sup>2</sup>), MCA (N; 4, 6<sup>5</sup> 5<sup>4</sup> 3<sup>2</sup>) and MCA (N; 4, 10<sup>1</sup> 9<sup>1</sup> 8<sup>1</sup> 7<sup>1</sup> 6<sup>1</sup> 5<sup>1</sup> 4<sup>1</sup> 3<sup>1</sup> 2<sup>1</sup>). Putting SA and HHH aside, GA and ACO obtain similar performance. PSTG appears to perform the poorest as far as the meta-heuristic strategies are concerned. Overall, the meta-heuristic based strategies outperform the general computational based counterparts. Concerning the statistical analysis of Table 4 (as highlighted by the Friedman test analysis in Tables 12 and the post-hoc test in 13), we observe that HHH performance is statistically superior to all other strategies (ignoring the results of CS, SA, GA, ACO, mAETG and AETG owing to incomplete samples). It must be stressed that SA could have been the best strategy if the samples are complete.

In Table 5, HHH outperforms all other strategies in terms of test size with CS being the runner up. HHH obtains the best overall results for CA (N; 6, 2<sup>10</sup>). ITCH gives the best overall result for CA (N; 2, 2<sup>10</sup>). Despite the ITCH result, meta-heuristic strategies outperform the general computational counterparts (similar to the previous results). The Friedman test analysis of Table 5 (as summarized in Table 14) indicates that there are significant differences among the pairwise performance of all the strategies. However, *post-hoc* analysis of Table 5 (as seen in Table 15) demonstrates that the performance of HHH is not significantly better as compared to the given strategies (ignoring the contribution of ITCH).

Concerning Table 6, there are little differences as far as comparative performances between strategies are concerned. HHH generates only one best overall result for CA (N; 4, 5<sup>10</sup>). In similar manner, ITCH, MIPOG and GTWay obtain the best overall result for CA(N; 3, 5<sup>10</sup>), CA(N; 5, 5<sup>10</sup>), and CA(N; 6, 5<sup>10</sup>) respectively. As expected, although the Friedman test analysis indicates that there are differences among the pairwise performance of all the strategies (as depicted in Table 16), the *post-hoc* analysis of Table 6 (as seen in Table 17) demonstrates that there is no significant difference in the performance of HHH with other strategies (ignoring the contributions of ITCH, Jenny, TConfig, TVG and CTE-XL).

As for Table 7, MIPOG dominates the overall best results. In fact, MIPOG establishes the best overall results for  $CA(N; 4, 5^7)$  and  $CA(N; 4, 5^{11})$ . ITCH is the runner up giving the best overall result for  $CA(N; 4, 5^{12})$ . HHH generates the best overall results for two configurations involving CA (N; 4, 5<sup>8</sup>) and CA (N; 4, 5<sup>9</sup>). HSS manages to generate the best overall result for  $CA(N; 4, 5^{10})$ . The null hypothesis for the Friedman test analysis for Table 7 (as seen in Table 18) is rejected indicating that there are significant differences in term of the comparative performances of between each individual strategy. The *post-hoc* analysis, however, is not in favor of the alternate hypothesis with 95% confidence level. Nevertheless, if the confidence level is reduced to 90%, the null hypothesis can now be revisited (refer to Table 19). Here, the HHH performance is statistically superior as compared to all other strategies with the exception of MIPOG and ITCH (ignoring the contribution of TConfig).

As far as Table 8 is concerned, HHH gives the best overall performance with two best overall results for configurations involving CA (N; 4, 3<sup>10</sup>), and CA (N; 4, 6<sup>10</sup>) respectively. Unlike HHH, most other strategies manage to obtain the best result only for one configuration. Specifically, HSS offers the best overall results for CA(N; 4, 5<sup>10</sup>) with MIPOG for CA(N; 4, 7<sup>10</sup>). GTWay gives the best overall result for CA (N; 4, 4<sup>10</sup>). Statistical analysis (involving the Friedman test analysis in Table 20) and *post-hoc* analysis in Table 21) confirm our observation. HHH performance is statistically superior to all other strategies (not considering the contribution of PSTG, CS, ITCH, PICT, and TConfig).

Concerning Table 9, HHH outperforms most other given strategies. HHH offers the best overall results for CA (N; 2, 4<sup>7</sup>), CA (N; 3, 5<sup>7</sup>), CA (N; 4, 3<sup>7</sup>), CA (N; 4, 4<sup>7</sup>), CA (N; 4, 5<sup>7</sup>), CA (N; 5, 3<sup>7</sup>), CA (N; 5, 5<sup>7</sup>), CA (N; 6, 3<sup>7</sup>), and CA (N; 6, 5<sup>7</sup>). Not considering HHH, the best overall results for other configurations are partially shared by many strategies. CS offers the best overall result for CA(N; 4, 2<sup>7</sup>). TConfig gives the best overall result for CA(N; 5, 4<sup>7</sup>). ITCH offers the best overall results for CA(N; 3, 3<sup>7</sup>). Based on our statistical analysis (involving the Friedman test analysis in Table 22) and *post-hoc* analysis (in Table 23), HHH performance is statistically superior as compared to other strategies (not considering the contributions of TConfig, ITCH, CTE-XL).

Referring to Table 10, HHH obtains the best overall results for almost half of the table including CA (N; 3, 3<sup>6</sup>), CA (N; 3, 3<sup>9</sup>), CA (N; 3, 3<sup>10</sup>), CA (N; 3, 3<sup>11</sup>), CA (N; 3, 3<sup>12</sup>), CA (N; 4, 3<sup>5</sup>), CA (N; 4, 3<sup>6</sup>), CA (N; 4, 3<sup>7</sup>), CA (N; 4, 3<sup>8</sup>), CA (N; 4, 3<sup>9</sup>), CA (N; 4, 3<sup>10</sup>), CA (N; 4, 3<sup>11</sup>), CA (N; 4, 3<sup>12</sup>), CA (N; 5, 3<sup>6</sup>), CA (N; 5, 3<sup>7</sup>), CA (N; 5, 3<sup>8</sup>), CA (N; 5, 3<sup>9</sup>), CA (N; 5, 3<sup>10</sup>), CA (N; 5, 3<sup>11</sup>), CA (N; 5, 3<sup>12</sup>), CA (N; 6, 3<sup>7</sup>), CA (N; 6, 3<sup>8</sup>), CA (N; 6, 3<sup>9</sup>), CA (N; 6, 3<sup>10</sup>), CA (N; 6, 3<sup>11</sup>), CA (N; 5, 3<sup>12</sup>), CA (N; 6, 3<sup>7</sup>), CA (N; 6, 3<sup>8</sup>), CA (N; 6, 3<sup>9</sup>), CA (N; 6, 3<sup>12</sup>) respectively. ITCH is the runner up offering the best overall results in five configurations involving CA(N; 2, 3<sup>10</sup>), CA(N; 2, 3<sup>11</sup>), CA(N; 2, 3<sup>12</sup>), CA(N; 3, 3<sup>7</sup>), and CA(N; 3, 3<sup>8</sup>). Meanwhile, CS offers the best overall results for CA(N; 3, 3<sup>6</sup>). The Friedman test analysis of Table 10 (in Table 24) is in favor of the alternate hypothesis. The *post-hoc* analysis (in Table 25) is also in favor of the alternate hypothesis. We conclude that HHH performance is statistically superior as compared all other strategies (not considering the contributions of TConfig, ITCH, CTE-XL).

Finally, the best overall results for Table 11 are evenly distributed across many strategies. IPOG gives the best performance with three new best overall results for  $CA(4,2^7 3^2 4^1 10^2)$ ,  $CA(2,2^{13} 4^5)$ ,  $CA(3,2^{13} 4^5)$ . PICT establishes the best overall results for  $CA(5,2^7 3^2 4^1 10^2)$ ,  $CA(6,2^7 3^2 4^1 10^2)$ ,  $CA(6,2^{13} 4^5)$ . TVG offers the best overall results for  $CA(4,2^{13} 4^5)$  and  $CA(5,2^{13} 4^5)$ . Although does not generate any new best overall results, HHH performance is sufficiently competitive. HHH manages to match seven existing best results involving  $CA(2,3^4)$ ,  $CA(3,3^4)$ ,  $CA(2,2^7 3^2 4^1 10^2)$ ,  $CA(3,2^7 3^2 4^1 10^2)$ ,  $CA(2,2^2 3^3)$ ,  $CA(3,2^2 3^3)$  and  $CA(4,2^2 3^3)$ . Based on the statistical analysis (involving the Friedman test analysis in Table 26) and *post-hoc* analysis (in Table 27), we conclude that there is no significant difference as far as the HHH test size is concerned with each individual strategy (ignoring the contribution of CS, TConfig, ITCH, TVG, CTE-XL and IPOG-D).

## 8. Threats to Validity

Empirical and experimental studies often encounter many threats to validity. Substantial efforts have been undertaken to minimize such threats. In the context of our study, several threats could be leveled. Firstly, the fairness of our benchmark experiments involving meta-heuristic-based strategies can be an issue. Owing to unavailability of source codes, much comparison with the related work is solely based on the published results. Revisiting Table 3, given the population size and iteration value, the most minimum number of fitness function evaluation per iteration is the only value that can be ascertained (population size  $\cdot$  max iteration). As the *t*-way test suite generation is not a single solution problem, there is a need for the outer loop to ensure convergence (i.e. producing a population of solution as the complete test suite covering all the required interactions). For this reason, the maximum number of fitness function evaluation ( $F_{max}$  = number of iteration for convergence  $\cdot$ population size  $\cdot$  max iteration) cannot be exactly determined as it depends on each algorithm's convergence process (i.e. until all interactions are covered). As such, there is no guarantee that the maximum number of fitness function evaluation ( $F_{max}$ ) is the same for all strategies (even with the same number of fitness function evaluation per iteration). Only when  $F_{max}$  is empirically fixed (as the stopping criterion large enough to allow convergence for the largest configurations) for all strategies can the fair comparison be made.

Secondly, as far as the calibration of the specific parameters for each LLH is concerned, we relied on the reported best tuned values for our LLH (i.e. for PSO and Cuckoo) from the similar problems in the scientific literature. As meta-heuristics algorithm such as PSO is highly sensitive to parameter changes, the adopted best tuned values may not be applicable to our case. Thus, in order to ensure optimum performance, re-tuning of the related HHH parameters may be beneficial.

Thirdly, our statistical analysis has been based on the best reported values and not the mean values for all the configurations (as the mean values are not highlighted in most published results). The main issue here is that

some of the best results may be obtained by chance (especially in the case of meta-heuristic-based strategies), hence, affecting our conclusion.

Finally, our last threat to validity also relates to statistical analysis. As highlighted in earlier sections, our statistical analysis (based on the Friedman test and *post-hoc* Wilcoxon-Rank Sum analysis) requires the complete samples for all the strategies. In the case of strategies with missing values (i.e. cells with NA entries), their contributions are completely ignored. For this reason, the complete statistical analysis involving all the strategies cannot be feasibly performed.

## 9. Concluding Remark

In this paper, we have described a novel approach of applying hyper-heuristic, called HHH, as a strategy for *t-way* test generation. Comparatively, the performances of HHH with other strategies have been promising. In the case of meta-heuristic-based strategies, we are unable to ensure the same number of objective function evaluations for all the experiments. To be specific, the common  $F_{max}$  cannot be introduced as most implementation source codes are not publically available for modification.

To the best of our knowledge, HHH is the first hyper-heuristic heuristic based strategy that addresses the problem of *t-way* test suite generation. The main feature of HHH is that it enhances the diversification and intensification of the searching process by adaptively selecting the LLHs based on their previous performances. Each LLH works in synergy with the HLH whereby highly performing LLH has more chance of being selected during the search process.

Within HHH, the three defined operators (i.e. improvement, intensification and diversification operators) serve as the virtual "switch" for HHH. Achieving balance diversification and intensification through diversity learning [73] within the Reinforced Learning Framework [18], the three HHH operators can be comparable to Choice Function (CF) accept all moves operator [74]. Similar to HHH, CF accepts the current move but the current LLH can only continue to the next iteration if the quality of the current solution improves. Specifically, CF maintains the performance score of the LLHs based on three criteria: previous performance, pair-independence between LLHs, and time performance. As the name suggests, the previous performance consideration is the same for both CF and HHH but not the pair-independence and time performance. Although pair-independence and individual time performance criteria are useful for adaptive meta-heuristic switching, their contribution within the context of (domain specific) *t-way* test generation might not be significant as compared to intensification and diversification measure provided by the HHH operators. Recall that the HHH diversification operator evaluates how close the current and the previously generated test case are against the final test suite list.

As our findings have been encouraging, we are planning to improve our work further. Comparative analysis can also be made between HHH operators with the potentially different heuristic selection and acceptance operators (e.g. the Exponential Monte Carlo with counter [75]) using the same LLHs as reference within the context of *t*-way test suite generation. In this manner, the performance of different selection and acceptance operators can be objectively evaluated. Perhaps, the scope of the test generation can also be broadened to address variable strength interaction as well as constraints support to cater for the testing of software product lines.

Additionally, instead of relying on a full-blown algorithm as the LLH candidate, HHH can also support singling out the operator from the meta-heuristic of interest. For instance, the Teacher Phase search in TLBO can independently serve as LLH whilst the Student Phase search can be used as another LLH. As a result, tuning of each individual (full-blown) LLH would not be issues that have to be addressed.

To recap, as demonstrated by the implementation of HHH, a hyper-heuristic is able to generate *good enough*, *soon enough and cheap enough* solutions [76] so as to improve generality, raise domain independence, as well as enhance the level of flexibility [18].

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