

## Methods

# A test of the 'one-point method' for estimating maximum carboxylation capacity from field-measured, light-saturated photosynthesis

Martin G. De Kauwe<sup>1</sup>, Yan-Shih Lin<sup>1</sup>, Ian J. Wright<sup>1</sup>, Belinda E. Medlyn<sup>2</sup>, Kristine Y. Crous<sup>2,3</sup>, David S. Ellsworth<sup>2</sup>, Vincent Maire<sup>4</sup>, I. Colin Prentice<sup>1,5</sup>, Owen K. Atkin<sup>6</sup>, Alistair Rogers<sup>7</sup>, Ülo Niinemets<sup>8,9</sup>, Shawn P. Serbin<sup>7</sup>, Patrick Meir<sup>10,11</sup>, Johan Uddling<sup>12</sup>, Henrique F. Togashi<sup>1,13</sup>, Lasse Tarvainen<sup>14</sup>, Lasantha K. Weerasinghe<sup>6,15</sup>, Bradley J. Evans<sup>13,16</sup>, F. Yoko Ishida<sup>17</sup> and Tomas F. Domingues<sup>18</sup>

<sup>1</sup>Department of Biological Sciences, Macquarie University, Sydney, NSW 2109, Australia; <sup>2</sup>Hawkesbury Institute for the Environment, Western Sydney University, Locked Bag 1797, Penrith, NSW 2751, Australia; <sup>3</sup>Birmingham Institute of Forest Research, University of Birmingham, Edgbaston, B15 2TT, UK; <sup>4</sup>Université du Québec à Trois-Rivières, Trois-Rivières, QC G9A 5H7, Canada; <sup>5</sup>AXA Chair of Biosphere and Climate Impacts, Grand Challenges in Ecosystems and the Environment and Grantham Institute – Climate Change and the Environment, Department of Life Sciences, Imperial College London, Silwood Park Campus, Buckhurst Road, Ascot, SL5 7PY, UK; <sup>6</sup>ARC Centre of Excellence in Plant Energy Biology, Research School of Biology, The Australian National University, Building 134, Canberra, ACT 2601, Australia; <sup>7</sup>Biological, Environmental and Climate Sciences Department, Brookhaven National Laboratory, Upton, NY 11973, USA; <sup>8</sup>Institute of Agricultural and Environmental Sciences, Estonian University of Life Sciences, Kreutzwaldi 1, Tartu 51014, Estonia; <sup>9</sup>Estonian Academy of Sciences, Kohtu 6, 10130 Tallinn, Estonia; <sup>10</sup>Research School of Biology, The Australian National University, Canberra, ACT 0200, Australia; <sup>11</sup>School of Geosciences, University of Edinburgh, EH9 3JN, UK; <sup>12</sup>Department of Biological and Environmental Sciences, University of Gothenburg, PO Box 461, SE-40530, Gothenburg, Sweden; <sup>13</sup>Terrestrial Ecosystem Research Network, Ecosystem Modelling and Scaling Infrastructure, The University of Sydney, NSW 2006, Australia; <sup>14</sup>Department of Forest Ecology and Management, Swedish University of Sydney, Sydney, NSW 2006, Australia; <sup>17</sup>College of Marine and Environmental Sciences, Centre for Tropical Environmental and Sustainability Science, James Cook University, Cairns, Qld 4870, Australia; <sup>18</sup>Faculdade de Filosofia Ciëncias e Letras de Ribeirão Preto, Universidade de São Paulo, Av Bandeirantes, 3900, CEP 14040-901, Bairro Monte Alegre, Ribeirão Preto, São Paulo, Brazil

Author for correspondence: Martin G. De Kauwe Tel: +61 2 9850 9256 Email: mdekauwe@gmail.com

Received: 14 September 2015 Accepted: 19 November 2015

*New Phytologist* (2015) **doi**: 10.1111/nph.13815

**Key words:**  $A-C_i$  curve, leaf respiration during the day ( $R_{day}$ ), maximum carboxylation rate ( $V_{cmax}$ ), net photosynthetic rate at saturating irradiance and at ambient atmospheric CO<sub>2</sub> concentration ( $A_{sat}$ ).

## **Summary**

• Simulations of photosynthesis by terrestrial biosphere models typically need a specification of the maximum carboxylation rate ( $V_{cmax}$ ). Estimating this parameter using  $A-C_i$  curves (net photosynthesis, A, vs intercellular CO<sub>2</sub> concentration,  $C_i$ ) is laborious, which limits availability of  $V_{cmax}$  data. However, many multispecies field datasets include net photosynthetic rate at saturating irradiance and at ambient atmospheric CO<sub>2</sub> concentration ( $A_{sat}$ ) measurements, from which  $V_{cmax}$  can be extracted using a 'one-point method'.

• We used a global dataset of  $A-C_i$  curves (564 species from 46 field sites, covering a range of plant functional types) to test the validity of an alternative approach to estimate  $V_{cmax}$  from  $A_{sat}$  via this 'one-point method'.

• If leaf respiration during the day ( $R_{day}$ ) is known exactly,  $V_{cmax}$  can be estimated with an  $r^2$  value of 0.98 and a root-mean-squared error (RMSE) of 8.19 µmol m<sup>-2</sup> s<sup>-1</sup>. However,  $R_{day}$  typically must be estimated. Estimating  $R_{day}$  as 1.5% of  $V_{cmax}$ , we found that  $V_{cmax}$  could be estimated with an  $r^2$  of 0.95 and an RMSE of 17.1 µmol m<sup>-2</sup> s<sup>-1</sup>.

• The one-point method provides a robust means to expand current databases of fieldmeasured  $V_{cmax}$ , giving new potential to improve vegetation models and quantify the environmental drivers of  $V_{cmax}$  variation.

## Introduction

Photosynthesis is a primary driver of the terrestrial carbon cycle (Prentice *et al.*, 2001; Beer *et al.*, 2010) and accurate modelling

of this process is critical for projecting the response of the terrestrial biosphere to environmental change (Friedlingstein *et al.*, 2014). Terrestrial biosphere models (TBMs, including ecosystem, land surface and vegetation models) almost universally

simulate photosynthesis following the leaf biochemical model of Farquhar et al. (1980), or a variant of this approach (Collatz et al., 1991). This approach relies on the accurate estimation of two key model parameters:  $V_{\rm cmax}$ , the maximum carboxylation rate, and J<sub>max</sub>, the maximum rate of electron transport (von Caemmerer, 2000). A third term, triose-phosphate utilization, is often ignored as it is thought to seldom limit photosynthesis under field conditions (Sharkey, 1985; but see Ellsworth et al., 2015). In many cases, both  $V_{\rm cmax}$  and  $J_{\rm max}$  scale linearly with leaf nitrogen (N) (Field & Mooney, 1986; Hirose & Werger, 1987), although the scaling with N can differ among biomes (Meir et al., 2002; Domingues et al., 2015). V<sub>cmax</sub> and J<sub>max</sub> also tend to be closely correlated, a fact that some models exploit by assuming  $J_{\rm max}$  can be determined through a fixed relationship with  $V_{\rm cmax}$ (see Niinemets & Tenhunen, 1997; for a critique), or, at least, assuming that variation in the two properties is tightly coordinated (Chen et al., 1993; Maire et al., 2012). Nevertheless, V<sub>cmax</sub> and  $J_{\text{max}}$  both vary considerably (up to 30-fold) among species (Walker et al., 2014; Ali et al., 2015a,b), among and within plant functional types (PFTs) (Wullschleger, 1993; Kattge et al., 2009; Maire et al., 2012; Ali et al., 2015a,b), and within individual species. Given this large variability, it is perhaps unsurprising that TBMs have demonstrated considerable sensitivity in simulated carbon fluxes owing to uncertainty in these parameters (Bonan et al., 2011; Piao et al., 2013). As a consequence, these parameters are often used as a method of model 'tuning' to obtain more accurate fluxes (which we consider as obtaining the 'right answer for the wrong reasons'), rather than as a means of characterizing a PFT-specific trait (Rogers, 2014).

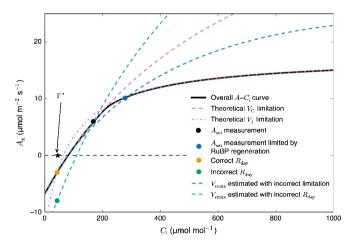
Traditionally, the photosynthesis model parameters  $V_{\rm cmax}$  and  $J_{\text{max}}$  have been estimated by fitting the Farquhar *et al.* (1980) photosynthesis model directly to photosynthetic CO<sub>2</sub> response curves, where photosynthesis is measured at several CO<sub>2</sub> concentrations and under saturating irradiance (net photosynthesis, A ( $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>), vs intercellular CO<sub>2</sub> concentration, C<sub>i</sub>  $(\mu \text{mol mol}^{-1})$  – so-called A–C<sub>i</sub> curves). However, accurately determining these parameters from such measurements is not a straightforward process (see Long & Bernacchi, 2003). First, A- $C_i$  data are time-consuming to collect: each CO<sub>2</sub> response curve may take 1 h to set up and measure, particularly in stressed plants where stomatal closure may even prohibit such measurements. Second, a number of competing methods exist for fitting the data (Dubois et al., 2007; Sharkey et al., 2007; Patrick et al., 2009; Gu et al., 2010; Feng & Dietze, 2013) and, depending on the chosen method, parameter estimates may vary even for the same datasets (Miao et al., 2009; Niinemets et al., 2009). Many individual experimental studies tend to focus on only a small number of species and, more often than not, they concern plants grown and measured in controlled environments (laboratory or glasshouse). As a result, compared with many plant traits, there is a general paucity of field-measured  $V_{\rm cmax}$  and  $J_{\rm max}$  data, which probably undermines the accuracy of model simulations of terrestrial photosynthesis. The largest data compilations to date included  $V_{\rm cmax}$ data based on  $A-C_i$  curve analysis for 127 species (Ali et al., 2015a,b), 114 species (Walker et al., 2014), 130 species (Sun et al., 2014) and 109 species (Wullschleger, 1993), but it is

unclear what proportion of these data were for field-grown plants, or what total species number these represent, with many individual datasets appearing in more than one compilation. Currently in the TRY database (http://www.try-db.org; accessed 7 July 2015) there are georeferenced  $V_{\rm cmax}$  data for 353 species (of which *c*. 250 were obtained from  $A-C_i$  curves).

In contrast to the relatively limited number of field-measured  $A-C_i$  curves, there is a plethora of net photosynthesis measurements obtained in the field at ambient [CO<sub>2</sub>] and at saturating irradiance  $(A_{sat})$  – for example, 1500 species were included in the compilation by Maire et al. (2015; dataset assembled in 2008), the TRY database currently contains georeferenced photosynthesis data for 2192 species (8522 individual observations), and in recent years there have been a number of large field campaigns, from which the data are yet to make it into these types of databases. Together, these Asat data represent species from large parts of the globe, and all PFTs (Kattge et al., 2011), but are currently left out of analyses of V<sub>cmax</sub>. By virtue of their global coverage, analyses of  $A_{sat}$  have included quantification of latitudinal, climate- and soil-related trends, including modulation of relationships between Asat and other leaf traits (Reich et al., 1997; Reich et al. 2009; Wright et al., 2005; Ordonez & Olff, 2013; Maire *et al.*, 2015). When corresponding values of  $C_i$  and leaf temperature are reported with each  $A_{sat}$  measurement, and if one assumes that photosynthesis at saturating irradiance is Rubiscolimited (rather than being limited by ribulose 1,5-bisphosphate (RuBP) regeneration), and that the value of leaf mitochondrial respiration in the light (i.e. 'day' respiration,  $R_{day}$ ) can be estimated, then the  $V_{cmax}$  value required to support the observed rate of  $A_{\rm sat}$  can be estimated. This estimated quantity is hereafter referred to as  $\hat{V}_{cmax}$ , and the method as the 'one-point method' (Wilson *et al.*, 2000). However, whether  $A_{sat}$ -dependent estimates of  $V_{cmax}$  are an accurate reflection of the  $V_{cmax}$  values obtained from full  $A-C_i$  curves remains uncertain. In the absence of measurements of  $C_i$ , values may be estimated from data reported for stomatal conductance and ambient  $[CO_2]$ . Values for  $R_{dav}$  may be estimated either from a relationship with dark respiration,  $R_{\text{dark}}$ , or by assuming a relationship with  $V_{\text{cmax}}$  (see later).

Although several studies have indeed done this - used measurements of  $A_{\rm sat}$  and associated parameters to estimate  $V_{\rm cmax}$ (Niinemets, 1999; Wilson et al., 2000; Kosugi et al., 2003; Grassi et al., 2005; Kattge et al., 2009; Uddling et al., 2009; Niinemets et al., 2015) - a thorough examination of the issues associated with this approach has not been made. That said, preliminary tests of the approach were encouraging. For five tree and five understorey species, Wilson et al. (2000) estimated  $V_{cmax}$  from  $A-C_i$  curves as well as from independent measurements of the assimilation rate, Ci at the ambient external CO2 concentration (360  $\mu$ mol mol<sup>-1</sup>) and a constant value of  $R_{day}$  (c.  $0.5 \,\mu\text{mol}\,\text{m}^{-2}\,\text{s}^{-1}$ ). The two sets of estimates were tightly correlated  $(r^2 = 0.97)$  with an intercept not statistically different from zero, but with a small bias in the slope (1.08). Grassi et al. (2005) demonstrated that this method could be used to accurately estimate  $V_{\rm cmax}$  for three deciduous forest species ( $r^2 = 0.97$ ; slope = 0.96). Given the global coverage of  $A_{sat}$  data, there could be great potential for deriving  $\hat{V}_{cmax}$  from datasets such as that of Maire *et al.* (2015), or the TRY database (Kattge *et al.*, 2011), providing a means to dramatically expand the species and geographic coverage of  $V_{\rm cmax}$  estimates from field-grown plants in global databases. Nevertheless, employing this approach may result in errors and/or bias, which leads to the question and the focus of this study: how robust is the so-called one-point method for estimating  $V_{\rm cmax}$ ? Errors in estimation are principally likely to occur if the biochemical limitation to  $A_{\rm sat}$  is not Rubisco activity, or if the estimate of  $R_{\rm day}$  is biased (Fig. 1).

We tested how well the one-point method works, by estimating  $V_{\rm cmax}$  from complete A-C<sub>i</sub> response curves and comparing these values with  $V_{\rm cmax}$  estimated using the one-point method applied to the  $A_{\rm sat}$  data extracted from these curves. To this end, we compiled 1394  $A-C_i$  response curves, from 564 species. These data represent by far the largest compilation of field-measured photosynthetic CO<sub>2</sub> response data to date. These data are taken from all vegetated continents - from the Arctic to the tropics and so represent a broad spread of site climates (Supporting Information Fig. S1). Using this dataset, we sought to test the following hypotheses. The first, hypothesis 1, is that under ambient CO2 and saturating irradiance, Asat is normally Rubiscolimited, or colimited by Rubisco and electron transport (a requirement for the one-point method to be valid). There are environmental conditions where this is less likely to be true, leading to the following additional sub-hypotheses: (1a) in mesophytic leaves growing in wet and/or humid environments, the effective operational C<sub>i</sub> for leaves is likely to be high, meaning the leaf is more likely to be electron transport-limited, and thus  $V_{cmax}$ values are more likely to be underestimated; and (1b) the  $J_{\text{max}}$ :  $V_{\text{cmax}}$  ratio at 25°C has been found to decline with increasing growth temperature (Dreyer et al., 2001; Medlyn et al., 2002b; Kattge & Knorr, 2007; Lin et al., 2013). As a result, the leaf is more likely to be electron transport-limited at higher



**Fig. 1** Conceptual figure demonstrating how errors could arise when using the one-point method to estimate the maximum carboxylation rate  $(V_{cmax})$ . When day respiration  $(R_{day})$  is correct (dark yellow point) and net photosynthetic rate at saturating irradiance  $(A_{sat})$  is Rubisco-limited (black point),  $V_{cmax}$  is correctly estimated (dashed purple line). When  $A_{sat}$  is ribulose 1,5-bisphosphate regeneration (RuBP)-limited (blue point),  $V_{cmax}$  will be underestimated (dashed blue line). If  $R_{day}$  is overestimated (green point),  $V_{cmax}$  will be overestimated (dashed green line).  $C_i$ , intercellular CO<sub>2</sub> concentration;  $A_n$ , net photosynthesis.

growth temperatures; thus we also hypothesize an underestimation of  $V_{\rm cmax}$  at higher growth temperatures. Hypothesis 2 states that estimates of  $V_{\rm cmax}$  would in general be less accurate for leaves operating at low  $A_{\rm sat}$  and/or low  $g_{\rm s}$  because the cumulative effect of errors in the various underlying assumptions would contribute to a lower signal-to-noise ratio. And hypothesis 3 suggests that uncertainties in  $R_{\rm day}$  can contribute to greater bias for estimating  $V_{\rm cmax}$  using the one-point method.

In this study we provide a thorough analysis of the one-point method for estimating carboxylation capacity from point measurements of light-saturated photosynthesis, and indicate the conditions under which it works best or may be subject to greater errors. Our primary purpose is to find out whether it would be viable to markedly expand plant trait databases of maximum carboxylation capacity,  $V_{\rm cmax}$ , by supplementing those data acquired from  $A-C_{\rm i}$  curves with values derived from  $A_{\rm sat}$  by the one-point method.

## **Materials and Methods**

#### Datasets

We collated 1394  $A-C_i$  curve measurements of upper canopy leaves from 564 C<sub>3</sub> species (91 families) and 46 field sites across various ecosystems, including Arctic tundra, boreal and temperate forest, semiarid woodlands and tropical forest (Table 1; Fig. S1). In most cases, measurements were made using the LI-6400 portable photosynthesis system (Li-Cor Inc., Lincoln, NE, USA), except for one dataset obtained in Estonia which was measured using a customized open system (Niinemets, 1998). We selected data where measurements were first conducted at ambient CO<sub>2</sub> concentration (360–400  $\mu$ mol mol<sup>-1</sup>, depending on the year of collection) and saturating irradiance conditions (photosynthetic photon flux density (PPFD) between 1000 and  $2000 \,\mu\text{mol}\,\text{m}^{-2}\,\text{s}^{-1}$ ). The measurements then progressed through a series of stepwise changes in CO<sub>2</sub> concentration spanning subambient (40–400  $\mu$ mol mol<sup>-1</sup>) and superambient saturating CO<sub>2</sub> concentration (typically > 700  $\mu$ mol mol<sup>-1</sup>). During each  $A-C_i$  response curve measurement, leaf temperatures were maintained close to the site ambient temperature, ranging from 6 to 40°C. Any measurements obtained that did not follow this protocol, for example in cases where the first measurement was recorded at subambient CO<sub>2</sub>, were not used in our analyses.

The data from this project will be made available once the remaining individual source datasets have been published as part of primary empirical papers. Data availability will be summarized and updated as appropriate at https://wrightlab.wordpress. com/datasets/.

# Estimation of apparent $V_{cmax}$ , $J_{max}$ and $R_{day}$ from $A-C_i$ response curves

We first estimated apparent  $V_{\rm cmax}$ ,  $J_{\rm max}$  and  $R_{\rm day}$  by fitting each field-measured  $A-C_{\rm i}$  curve using the C<sub>3</sub> photosynthesis model of Farquhar *et al.* (1980). Several different estimates for the temperature dependence of  $K_{\rm c}$ , the Michaelis constant for CO<sub>2</sub>

Table 1 List of the datasets, site locations, vegetation types and associated references used in this study

Dataset	Site	Longitude	Latitude	References	Vegetation type
Ellsworth/Crous (51 species)	Aspen FACE, WI, USA	45.68	-89.63	Ellsworth <i>et al.</i> (2004)	Temperate broadleaf deciduous forest
	Blue Mountains, NSW, Australia	-33.71	150.55	Ellsworth <i>et al.</i> (2015)	Open eucalypt forest
	Cape Tribulation crane site, QLD, Australia	-16.10	145.45	Unpublished	Lowland tropical rainforest
	Carolina Beach, NC, USA	34.05	-77.91	Unpublished	Temperate evergreen forest
	Carolina Lake, NC, USA	35.90	-79.09	Ellsworth et al. (2004)	Temperate needle-leaved deciduous forest
	Cedar Creek, Long Term Ecological Research, USA	45.41	-93.19	Crous <i>et al.</i> (2010)	Temperate savanna
	Cocoparra National Park, NSW, Australia	-34.17	146.23	Unpublished	Callitris pine woodland
	Driftway Cumberland Plain, Richmond, NSW, Australia	-33.62	150.74	Ellsworth <i>et al.</i> (2015)	Open eucalypt forest
	Duke Forest, NC, USA	35.97	-79.10	Ellsworth <i>et al.</i> (2004, 2012)	Temperate evergreen forest
	Endla bog, Endla, ESTONIA	58.86	26.17	Niinemets et al. (2001)	Boreal evergreen bog
	Hawkesbury, Richmond, NSW, Australia	-33.61	150.74	Crous <i>et al.</i> (2013); Ellsworth <i>et al.</i> (2015)	Open eucalypt forest
	Illawarra, Robertson, NSW, Australia	-34.62	150.71	Ellsworth <i>et al.</i> (2015)	Wet sclerophyll forest
	Kuring-Gai National Park	-33.69	151.14	Unpublished	Open eucalypt forest
	(Murrua Track), NSW, Australia	22.42			
	La Sueur National Park, WA, Australia	-30.19	115.14	Ellsworth <i>et al.</i> (2015)	Kwongan woodland
	Nevada Test Site, NV, USA	36.77 42.27	-115.97 -83.81	Ellsworth <i>et al.</i> (2004)	Mojave desert Temperate broadleaf
	Saginaw forest, MI, USA			Unpublished	deciduous forest
	UMBS Pellston, MI, USA	45.56	-84.72	Unpublished	Temperate broadleaf deciduous forest
	Mill Haft, Staffordshire, UK	52.80	2.30	Unpublished	Temperate broadleaf deciduous forest
JACARE (366 species)	Allpahuayo, Loreto, Peru (c. 100 m above sea level)	-3.95	-73.44	Atkin <i>et al.</i> (2015); Y. Malhi (unpublished)	Humid Amazonian lowland fore
	Cuzco Amazonico, Peru	-3.37	-72.97	Malhi et al. (2014); Anderson et al. (2009)	Forests over alluvial terrain
	Esperanza, Peru	-2.48	-71.97		Upper limit of the cloud forest
	Jenaro Herrera, Peru	-4.88	-73.63	del Aguila-Pasquel <i>et al.</i> (2014)	Humid Amazonian lowland fore
	San Pedro, Peru	-6.54	-77.71	Huasco <i>et al.</i> (2014)	Cloud forest
	Sucusari, Peru	-3.25	-72.91	Atkin <i>et al.</i> (2015)	Humid Amazonian lowland for
	Tambopata, Peru Trocha Union, Peru	-13.02 -13.03	-69.60 -71.49	Huasco <i>et al.</i> (2014) Huasco <i>et al.</i> (2014)	Humid Amazonian lowland for Montane cloud forest
	Wayquecha, Peru	-13.03 -13.12	-71.49	Girardin <i>et al.</i> (2014a,b)	Upper limit of the cloud forest
ogashi	Great Western Woodland, WA, Australia	-30.25	-30.25	H. Togashi (unpublished)	Temperate eucalyptus woodlan
(51 species)	Robson Creek, QLD, Australia	-17.25	145.75	H. Togashi (unpublished)	Tropical rainforest
ROBIT	Asukese, Ghana	7.14	-2.45	Domingues et al. (2010)	Humid tropical lowland forest
(44 species)	Bissiga, Burkina Faso	12.73	-1.16	Domingues et al. (2010)	Tropical woody savanna
	Bissiga, Burkina Faso	12.73	-1.17	Domingues et al. (2010)	Tropical woody savanna
	Boabeng-Fiema, Ghana	7.71	-1.69	Domingues et al. (2010)	Seasonal tropical forest
	Dano, Burkina Faso	10.94	-3.15	Domingues et al. (2010)	Open tropical savanna
	Hombori, Mali	15.34	-1.47	Domingues <i>et al.</i> (2010)	Dry grass savanna
	Kogyae, Ghana	7.30	-1.18	Domingues $et al.$ (2010)	Tropical woody savanna
Serbin (21 species)	Coachella Valley Agricultural Research Station, CA, USA	33.52	-116.16	Serbin <i>et al.</i> (2015)	Vineyard and date palm
	Loma Ridge Coastal Sagescrub EC site, CA, USA	33.73	-117.70	S. Serbin (unpublished)	Coastal sage-scrub
	Sierra Mixed Conifer EC site, CA, USA	37.07	-119.20	S. Serbin (unpublished)	Mixed conifer/broadleaf forest
	San Joaquin Experimental Range, CA, USA	37.08	-119.73	S. Serbin (unpublished)	Semiarid woodland
	San Jacinto James Reserve EC tower site, CA, USA	33.81	-116.77	S. Serbin (unpublished)	Mixed conifer/broadleaf forest
	UW-Madison Arboretum, WI, USA	43.04	-89.43	S. Serbin (unpublished)	Temperate broadleaf deciduous forest

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Table 1 (Continued)

Dataset	Site	Longitude	Latitude	References	Vegetation type
Domingues (24 species)	Tapajós, Brazil	-3.75	-56.25	Domingues et al. (2005)	Humid Amazonian lowland forest
Niinemets (three species)	Ülenurme, Estonia	58.30	26.70	Niinemets (1998)	Temperate broadleaf deciduous forest
Rogers (seven species)	Barrow Environmental Observatory, Barrow, AK, USA	71.32	156.62	A. Rogers (unpublished)	Tundra
Tarvainen (one species)	Skogaryd, Sweden	58.23	12.09	Tarvainen <i>et al.</i> (2013)	Hemiboreal coniferous forest

( $\mu$ mol mol<sup>-1</sup>),  $K_0$ , the Michaelis constant for O<sub>2</sub> (mmol mol<sup>-1</sup>), and  $\Gamma^*$ , the CO<sub>2</sub> compensation point in the absence of mitochondrial respiration (µmol mol<sup>-1</sup>), can be found in the literature (Badger & Collatz, 1977; Jordan & Ogren, 1984; Brooks & Farquhar, 1985; Bernacchi et al., 2001; Crous et al., 2013). We chiefly use values taken from Bernacchi et al. (2001), hereafter denoted B01, in common with many TBMs. To test whether the choice of values for these parameters affects the success of the one-point method, we also used two alternative sets of these parameters, namely those advanced by Badger & Collatz (1977) (hereafter denoted BC77) and Crous et al. (2013) (hereafter denoted C13): see Table 2 for details. The  $\Gamma^*$  temperature dependencies of tobacco (B01) and eucalypt (C13) represent two extremes of the most and least temperature-sensitive  $\Gamma^*$ responses, respectively, using in vivo gas exchange methods (K. Crous, unpublished). To contrast with in vitro methods, we also considered the temperature response of  $\Gamma^*$  in Atriplex glabriuscula (BC77).

The intercellular concentration of oxygen  $(O_i)$  was assumed to be 210 mmol mol<sup>-1</sup> for all data collected at sea level. In other datasets,  $O_i$ ,  $C_i$ , and  $\Gamma^*$  were corrected for the effect of elevation on partial pressure by multiplying by the observed pressure readings and correcting units to µbar, mbar and mbar, respectively. For calculations with the B01 and C13 temperature dependencies,  $K_o$  and  $K_c$  were converted to units of µbar and mbar, respectively. This was done by assuming that the original measurements were obtained at an average atmospheric pressure of 987 mbar in Urbana, IL (von Caemmerer *et al.*, 2009).  $K_o$  and  $K_c$  values from BC77 were simply converted from concentration to partial pressures assuming a standard pressure of 1011.35 mbar.

We assumed an infinite mesophyll conductance  $(g_m)$ ; therefore the estimated  $V_{cmax}$  and  $J_{max}$  values should be regarded as apparent values (Evans, 1986; Sun *et al.*, 2014), as generally used in TBMs and reported in most of the ecophysiological literature. A closer match to *in vitro* enzyme activity of Rubisco can be obtained by considering the mesophyll conductance to CO<sub>2</sub> to the site of carboxylation (Rogers *et al.*, 2001; Flexas *et al.*, 2007); however, as  $g_m$  values are available for so few of the sampled species, we assumed that  $C_i$  is equal to  $C_c$ , the CO<sub>2</sub> concentration at the chloroplast. The  $C_i$  at which photosynthesis is colimited by both carboxylation and RuBP regeneration was calculated for each  $A-C_i$  curve based on the apparent  $V_{cmax}$ ,  $J_{max}$  and  $R_{day}$  using the C<sub>3</sub> photosynthesis model. As the temperature responses of  $V_{cmax}$ ,  $J_{max}$  and  $R_{day}$  are not the focus of our study, we did not adjust the estimated parameter values to a standard temperature. Therefore, all the parameters were estimated at their corresponding measured leaf temperatures. All parameter fits were carried out using the Levenberg-Marquardt least-squares approach (Levenberg, 1944; Marquardt, 1963); the source code is freely available from GitHub (De Kauwe et al., 2015). Of the 1394 measured  $A-C_i$  curves, the data used to estimate  $V_{cmax}$  were screened to exclude 'bad' measurement curves based on the traditional A-C<sub>i</sub> fitting approach, 'bad' being defined according to the following criteria: (i) if the first obtained measurement was at an ambient CO<sub>2</sub> concentration < 300 or  $> 400 \,\mu\text{mol mol}^{-1}$ ; (ii) if the fitted function had  $r^2 < 0.9$ ; or (ii) if the relative error of fitted  $V_{\rm cmax}$  values is > 40%. After screening this resulted in 1318 measurements; filtering criteria (i), (ii) and (iii) removed c. 4%, 1% and 1%, respectively. The fitting method used makes no assumption about the  $C_i$  value at which the leaf transitions between carboxylation and RuBP regeneration limitations (C<sub>i</sub> transition point), but it does use a hyperbolic minimum function to smooth the transition between the carboxylation and RuBP regeneration limitations (Kirschbaum & Farguhar, 1984).

### $\hat{V}_{cmax}$ estimation from the one-point method

The main underlying assumption of the one-point method is that leaf net photosynthesis under ambient  $CO_2$  and saturated irradiance conditions is limited by Rubisco carboxylation rather than by RuBP regeneration (Rogers & Humphries, 2000; Wilson *et al.*, 2000). As such,  $\hat{V}_{cmax}$  can be estimated from the carboxylation-limited portion of the photosynthetic  $CO_2$  response curve, given by:

$$\hat{V}_{\text{cmax}} = (A_{\text{sat}} + R_{\text{day}}) \frac{(C_i + K_m)}{(C_i - \Gamma^*)}$$
(Eqn 1)

where  $K_{\rm m}$  is the Michaelis–Menten constant, given by:

$$K_m = K_c \left( 1 + \frac{O_i}{K_0} \right) \tag{Eqn 2}$$

 $K_c$ ,  $K_o$  (and  $\Gamma^*$ ) were estimated following the equations in Table 2. We used the first measurement point of each  $A-C_i$  curve as the  $A_{sat}$  value required to estimate  $V_{cmax}$ . One difficulty with this approach is that it requires an estimate of  $R_{day}$ . In the first instance, we used the fitted value for  $R_{day}$  obtained from the  $A-C_i$ 

Reference	Badger & Collatz (1977)	Bernacchi <i>et al.</i> (2001)	Crous <i>et al.</i> (2013)
Environment	In vivo	In vivo	In vitro
Species	Bracted orache (Atriplex glabriuscula)	Tobacco (Nicotiana tabacum)	Tasmanian blue gum ( <i>Eucalyptus globulus</i> )
K <sub>c</sub>	If T <sub>k</sub> >288.15:	$404.9 \cdot \exp\left(\frac{79403(T_k - 298.15)}{298.15 \cdot R \cdot T_k}\right)$	Same as Bernacchi et al. (2001)
	$460 \cdot \exp\left(\frac{59536(T_k - 298.15)}{298.15 \cdot R \cdot T_k}\right)$		
	else if $T_k$ < 288.15:		
	920 · exp $\left(\frac{10970(T_k-298.15)}{298.15\cdot R\cdot T_k}\right)$		
Ko	$330 \cdot \exp\left(\frac{35948(T_k-298.15)}{298.15\cdot R\cdot T_k}\right)$	$278.4 \cdot \exp\left(\frac{36380(T_k - 298.15)}{298.15 \cdot R \cdot T_k}\right)$	Same as Bernacchi et al. (2001)
$\Gamma^*$	$\frac{K_c \cdot O_i \cdot 0.21}{2 \cdot K_o}$	42.75 $\cdot \exp\left(\frac{37830(T_k-298.15)}{298.15\cdot R\cdot T_k}\right)^{-1}$	$38.892 \cdot \exp\left(\frac{20437(T_k-298.15)}{298.15 \cdot R \cdot T_k}\right)$

**Table 2** Three sets of temperature dependencies for the Michaelis constant for  $CO_2$  ( $K_c$ ,  $\mu$ mol mol<sup>-1</sup>) and the Michaelis constant for  $O_2$  ( $K_o$ , mmol mol<sup>-1</sup>) and the CO<sub>2</sub> compensation point ( $\Gamma^*$ ,  $\mu$ mol mol<sup>-1</sup>)

 $T_{k_1}$  leaf temperature in Kelvin; R, universal gas constant (8.314 J mol<sup>-1</sup> K<sup>-1</sup>); O<sub>k</sub>, intercellular concentrations of O<sub>2</sub> (210 mmol mol<sup>-1</sup>).

curve (hereafter called 'known'  $R_{day}$ ). This approach may be viewed as a 'best case' test of the method, as these values will not be known when only  $A_{sat}$  is measured. In order to estimate  $V_{cmax}$  in the situation where  $R_{day}$  is not known, we assumed that  $R_{day}$  was 1.5% of  $V_{cmax}$  (hereafter called 'estimated'  $R_{day}$ ), following Collatz *et al.* (1991). Under this assumption, the estimation equation is:

$$\hat{V}_{\rm cmax} = A_{\rm sat} \left( \frac{C_i + K_m}{C_i - \Gamma^*} - 0.015 \right) \tag{Eqn 3}$$

The fixed proportion between  $R_{day}$  and  $V_{cmax}$  was proposed by Collatz *et al.* (1991) to hold at 25°C. We further assumed that this ratio would remain constant with varying leaf temperature, thus assuming similar temperature dependencies for  $R_{day}$  and  $V_{cmax}$ . This assumption is reasonable because leaf respiration and  $V_{cmax}$  both typically have increasing temperature dependencies with Q10 values close to 2 at temperatures up to 35°C (Collatz *et al.*, 1991; Medlyn *et al.*, 2002a,b; Atkin *et al.*, 2015).

#### Assessing the robustness of the one-point method

We compared  $\hat{V}_{cmax}$  values with  $V_{cmax}$  values estimated from each full  $A-C_i$  curve in order to assess the performance of the onepoint method. We also analysed the residuals as a function of a range of variables to identify the circumstances under which the method is most (or least) successful.

As there were 1318 data points, we opted in a number of comparison plots to group (colour) species by PFT, and also to bin these data (Figs 2, S1, S2, and see later Figs 4, 5, 7). Binning the data (with all values within a 'bin' being averaged out to a single value) allows us to better visualize the underlying main trends in large datasets, rather than being distracted by the small number of points towards the edges of any bivariate distribution. Regression lines, however, were fitted to raw data, not to the binned data. Bin sizes are shown in all figure captions.

#### Other datasets

Using 0.5° resolution Climate Research Unit climatology data (CRU CL1.0; New *et al.*, 1999) over the period 1961–1990, we

derived the following for each site: mean annual temperature (MAT; a proxy for growth temperature); mean annual precipitation (MAP); a moisture index (representing an indirect estimate of plant water availability, calculated as the ratio of mean annual precipitation to the equilibrium evapotranspiration, as described in Gallego-Sala *et al.* (2010)); and the number of growing degreedays above 0 and 5°C, respectively. We also obtained site elevation estimates from data from the Advanced Spaceborne Thermal Emission and Reflection Radiometer (ASTER) Global Digital Elevation Model Version 2 (GDEM V2) at 1.0° resolution.

#### Results

The  $C_i$  transition point of each  $A-C_i$  curve was located by fitting both the Rubisco- and RuBP-limited net CO<sub>2</sub> assimilation rates and then identifying the point at which the two limitations intersected (transition point) (Fig. 2a). In our dataset, c. 94% of the measured A<sub>sat</sub> values were found to be Rubisco-limited under saturated irradiance and ambient CO<sub>2</sub>. This result supports the key underlying assumption of the one-point approach: that in field datasets at current Ca and (importantly) at light saturation, carboxylation usually limits A (hypothesis 1). Among the wide range in estimated transition points there was some distinct patterning according to PFT (Fig. 2b), namely, higher median transition points for evergreen needleleaf species than in broadleaf species (whether evergreen or deciduous; *post hoc* Tukey tests, P < 0.001), and higher median transition points in herbaceous species than in deciduous shrubs (*post hoc* Tukey test, P=0.08) (note the deciduous needleleaf forests PFT only has three sample curves).

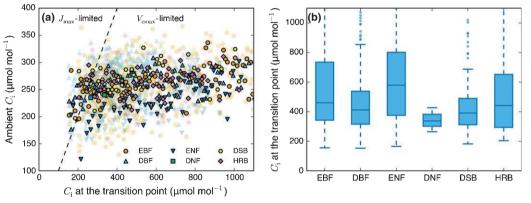
#### Known R<sub>day</sub>

When  $R_{day}$  was known,  $\hat{V}_{cmax}$  values were in excellent agreement with  $V_{cmax}$  derived from traditional  $A-C_i$  curve fitting (Fig. 3). Across all species,  $\hat{V}_{cmax}$  values were estimated with a positive bias of 0.99 µmol m<sup>-2</sup> s<sup>-1</sup>,  $r^2 = 0.98$  and RMSE = 8.19 µmol m  $r^{-2} s^{-1}$ . Error and bias varied somewhat among PFTs (bias = -4.02 to -2.26 µmol m<sup>-2</sup> s<sup>-1</sup>,  $r^2 > 0.95$ , RMSE: 4.33–10.34 µmol m<sup>-2</sup> s<sup>-1</sup>) but were still rather modest even in the worst-case, deciduous shrubs (RMSE = 10.34 µmol m<sup>-2</sup> s<sup>-1</sup>).

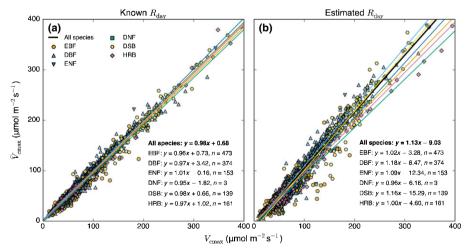
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Residuals between  $V_{\rm cmax}$  and  $\hat{V}_{\rm cmax}$  were examined as a function of several factors, namely:  $V_{\rm cmax}$  estimated from traditional  $A-G_i$  curves (Fig. 4a), ambient  $g_s$  (Fig. 4c), estimated  $R_{\rm day}$  (via  $A-G_i$  curve; Fig. 4e) and ambient  $C_i$  (Fig. 4g); leaf temperature, MAT (a proxy for growth temperature) and MAP (Fig. 5); and a selection of other common indices of site climate (site moisture index, elevation, growing degree-days; Figs S2, S3). The plot of residuals against the 'true'  $V_{\rm cmax}$  values (Fig. 4a) shows considerable scatter in individual  $\hat{V}_{\rm cmax}$ values. When using a known  $R_{\rm day}$ , this spread in errors largely disappears in the binned data, suggesting that it results from a small number of individual measurements. There was a positive trend in the residuals that indicates increasing error with increasing  $V_{\rm cmax}$  values, but importantly, most (*c.* 90% of binned data) errors are small (within 10%, denoted by dotted lines in Fig. 4a).

We originally hypothesized that we would observe larger biases between  $\hat{V}_{cmax}$  and  $V_{cmax}$  at high ambient  $C_i$  and in species sampled from very wet and/or humid environments, as a result of a greater stomatal aperture (hypothesis 1a). When using a known  $R_{day}$ , our dataset did not support this hypothesis (Fig. 4c); at high  $g_s$ , there was a weak trend for overestimation of  $V_{cmax}$ , rather than the hypothesized underestimation expected if the error resulted from being above the operating  $C_i$ . Whilst there was a small trend with MAP, the slope was negligible (Fig. 5c) and there were no trends when examining the residuals as a function of  $C_i$  (Fig. 4g). We also hypothesized that we might see greater bias at high growth temperatures (hypothesis 1b). When using a known  $R_{day}$ , our results do indeed show a significant trend with increasing MAT (proxy for growth temperature; Fig. 5c), and the annual number of growing degree-days (Fig. S3), but again the slope of this trend was negligible. We also hypothesized that we

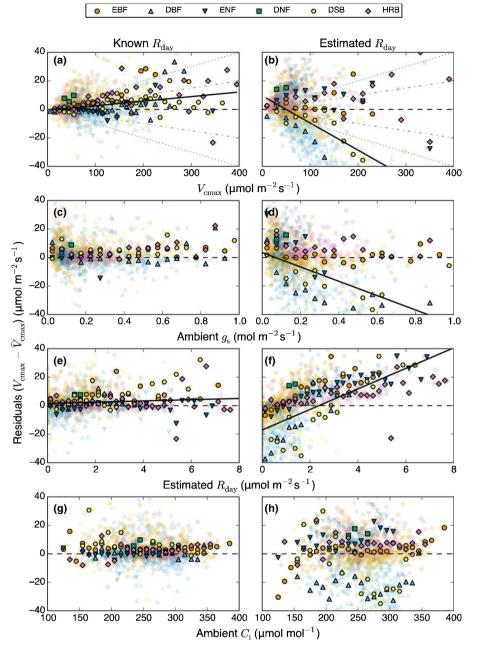


**Fig. 2** Relationship between the ambient intercellular CO<sub>2</sub> concentration ( $C_i$ ) and the  $C_i$  value at the transition point obtained from A– $C_i$  curve (net photosynthesis, A, vs intercellular CO<sub>2</sub> concentration,  $C_i$ ) fitting. In panel (a), data shown are for individual species, but have been grouped (coloured) by plant functional type: EBF, evergreen broadleaved forest; DBF, deciduous broadleaved forest; ENF, evergreen needle-leaved forest; DNF, deciduous needle-leaved forest; DSB, deciduous shrubs; HRB, herbaceous species. The data have also been binned (bin size = 10), with the original data shown in a matching semitransparent colour. In panel (b) the box-and-whisker plots show the  $C_i$  value at the transition point (line, median; box, interquartile range), with bars extending to 1.5 times the interquartile range. Dots outside of the box and whiskers show outlying points.



**Fig. 3** Comparison between values of the maximum carboxylation rate ( $V_{cmax}$ ) estimated from traditional  $A-C_i$  curve (net photosynthesis, A, vs intercellular CO<sub>2</sub> concentration,  $C_i$ ) fitting and  $V_{cmax}$  estimated from one-point method,  $\hat{V}_{cmax}$ . Panels (a) and (b) show the effect of using a known and an estimated day respiration ( $R_{day}$ ) (1.5% of  $V_{cmax}$ ), respectively. Data shown are for all 1318 species but have been coloured to match representative plant functional types. EBF, evergreen broadleaved forest; DBF, deciduous broadleaved forest; ENF, evergreen needle-leaved forest; DNF, deciduous needle-leaved forest; DSB, deciduous shrubs; HRB, herbaceous species. Regression lines have been fitted to the raw data (1318 species measurements) and coloured to match plant functional types.

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rate, V<sub>cmax</sub>, estimated from one-point method,  $V_{cmax}$ ) shown as a function of  $V_{cmax}$ , ambient stomatal conductance (gs), estimated day respiration  $(R_{day})$  and the intercellular  $CO_2$  concentration (C<sub>i</sub>). Data were binned (a, b, bin size = 10; c, d, bin size = 0.05; e, f, bin size = 0.25; g, h, bin size = 10), with the original data shown in a matching semitransparent colour. Data shown are for all 1318 species but have been coloured to match representative plant functional types. EBF, evergreen broadleaved forest; DBF, deciduous broadleaved forest; ENF, evergreen needle-leaved forest; DNF, deciduous needle-leaved forest; DSB, deciduous shrubs; HRB, herbaceous species. A significant (P < 0.05) trend in the residuals is shown by a solid black line. Trend lines have been fit to the raw data (1318 species measurements). In panels (a) and (b) the grey dashed lines represent 5% (dot-dash) and 10% (dot-dot) error, respectively.

Fig. 4 Residuals (maximum carboxylation

may see larger error (both absolute and relative) in the residuals at low  $g_s$  values as a result of a low signal-to-noise ratio (hypothesis 2). To test this prediction, we divided the measurements into two groups: those at low  $g_s$  (< 0.2 mol m<sup>-2</sup> s<sup>-1</sup>) and those at higher  $g_s$  (> 0.2 mol m<sup>-2</sup> s<sup>-1</sup>). The RMSE was similar in both groups (8.07 vs 8.37 µmol m<sup>-2</sup> s<sup>-1</sup> at low and high  $g_s$ , respectively), but the percentage error was greater (8.4% vs 4.5%), supporting our prediction.

#### Estimated R<sub>dav</sub>

Errors were noticeably greater when  $R_{day}$  was estimated as a fixed fraction of  $V_{cmax}$ . Overall (all species) there was a negative bias: -2.2 µmol m<sup>-2</sup> s<sup>-1</sup>,  $r^2 = 0.95$ , RMSE = 17.1 µmol m<sup>-2</sup> s<sup>-1</sup>. When grouping by PFT these errors were further increased (biases  $-8.18-10.93 \,\mu\text{mol m}^{-2} \,\text{s}^{-1}$ ,  $r^2 > 0.85$ , RMSE: 8.30–26.46  $\mu\text{mol m}^{-2} \,\text{s}^{-1}$ ). Examining the residuals between  $V_{\text{cmax}}$  and  $\hat{V}_{\text{cmax}}$  as a function of the 'true'  $V_{\text{cmax}}$  values (Fig. 4b) showed a negative trend, suggesting an overestimation of  $V_{\text{cmax}}$  at higher values. Errors were at their greatest for species grouped into the deciduous broadleaf forest PFT; here,  $\hat{V}_{\text{cmax}}$  values are systematic overestimates.

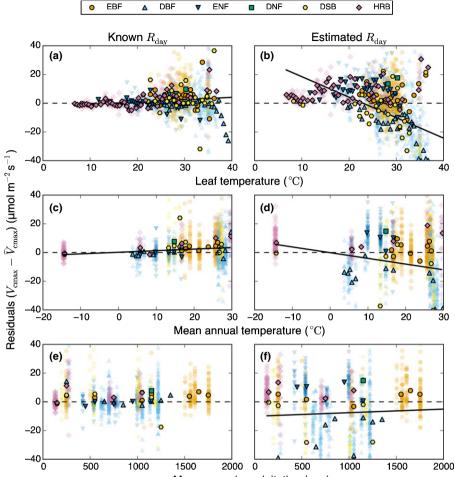
These results provide strong support for the hypothesis that uncertainties in  $R_{day}$  would contribute to bias in estimating  $V_{cmax}$  values (hypothesis 3). Overall, errors were greater across all comparisons when using an estimated  $R_{day}$  than when using a known  $R_{day}$ .  $\hat{V}_{cmax}$  values also showed a positive trend with increasing  $R_{day}$  (Fig. 4f), suggesting a modest but systematic underestimation of  $\hat{V}_{cmax}$  at  $R_{day}$  values < 2 µmol m<sup>-2</sup> s<sup>-1</sup>, and an overestimation at higher  $R_{day}$  values.

Fig. 5 Residuals (maximum carboxylation rate, V<sub>cmax</sub>, estimated from one-point method,  $\hat{V}_{cmax}$ ) shown as a function of leaf temperature, mean annual temperature and mean annual precipitation. Data in the residual panels have been binned (a-d, bin size = 0.5; e, f, bin size = 100), with the original data shown in a matching semitransparent colour. Data shown are for all 1318 species, but have been coloured to match representative plant functional types. EBF, evergreen broadleaved forest; DBF, deciduous broadleaved forest; ENF, evergreen needle-leaved forest; DNF, deciduous needle-leaved forest; DSB, deciduous shrubs; HRB, herbaceous species. A significant (P < 0.05) trend in the residuals is shown by a solid black line. Trend lines have been fitted to the raw data (1318 species measurements).

To enable the estimation of  $V_{\rm cmax}$  without an independent estimate of  $R_{day}$ , we assumed a fixed relationship with  $V_{cmax}$  that is commonly used in TBMs. However, there was a strong negative relationship between  $V_{\rm cmax}$ - $\hat{V}_{\rm cmax}$  residuals and leaf temperature (Fig. 5b) and a notable positive trend in errors with increasing estimates of  $R_{dav}$  (Fig. 4f), both of which suggest that the relationship between  $R_{day}$  and  $V_{cmax}$  is not constant. Fig. 6(a) shows the  $R_{day}$ :  $V_{cmax}$  ratio obtained from fitting our  $A-C_i$ response curves as a function of leaf temperature for the B01 temperature dependencies for  $K_c$ ,  $K_o$  and  $\Gamma^*$ . The data show a strong negative trend with increasing temperature. This strong negative trend arises because the fitted  $R_{day}$  values decline with leaf temperature (Fig. 6b), rather than increasing in line with  $V_{cmax}$  as we assumed. Fig. 6(b) indicates that fitted  $R_{day}$  values commonly hit the lower bound of zero above 25°C. As  $R_{day}$  is estimated as the value of A where  $C_i = \Gamma^*$ , and this may indicate that the values of  $\Gamma^*$  used are inappropriate for these datasets.

### Sensitivity to temperature dependencies of $K_c$ , $K_o$ and $\Gamma^*$

We repeated the exercise of comparing  $\hat{V}_{cmax}$  and  $V_{cmax}$  using two alternative temperature dependencies of  $K_c$ ,  $K_o$  and  $\Gamma^*$  for the case where  $R_{day}$  was estimated (Figs 7, S4, S5). The accuracy of estimated values was largely insensitive to our three tested

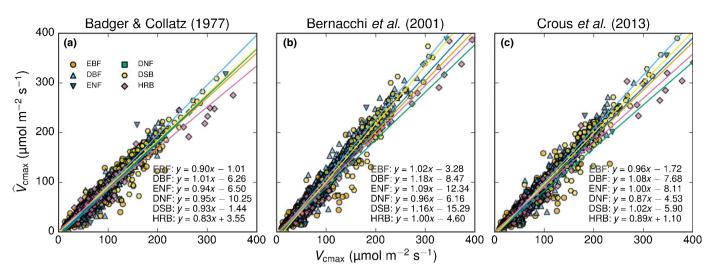


Mean annual precipitation (mm)

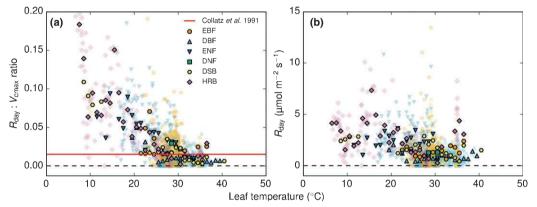
assumptions.  $\hat{V}_{cmax}$  values estimated with the C13 paramaterization had the lowest RMSE values (average across all PFTs = 13.85 µmol m<sup>-2</sup> s<sup>-1</sup>) and those estimated with BC77 had the largest (average across all PFTs = 15.42 µmol m<sup>-2</sup> s<sup>-1</sup>). However, grouping by PFTs, the mean absolute difference between the different parameterizations was small, *c*. 2 µmol m<sup>-2</sup> s<sup>-1</sup>. It is also notable that using the BC77 parameterization resulted in greater errors for herbaceous species (RMSE = *c*. 19 vs *c*. 11 µmol m<sup>-2</sup> s<sup>-1</sup> for B01 and C13 parameterizations). Figs S4 and S5 demonstrate that the assumption of a fixed ratio of 0.015 for  $R_{day}$ :  $V_{cmax}$  is still relatively poor for BC77 and C13 parameterizations, particularly at low leaf temperatures; the approximation is marginally better for the C13 parameterization, explaining the lower RMSE values obtained with this parameterization.

## Discussion

In this study we have examined an alternative approach to traditional  $A-C_i$  curve analysis for estimating  $V_{\rm cmax}$ , an approach that holds promise for greatly expanding the set of species represented in global  $V_{\rm cmax}$  datasets. One of the principal concerns about the use of this approach has been that typical measurements of  $A_{\rm sat}$ may be limited by RuBP regeneration rates, rather than Rubisco activity, and hence would yield underestimates of  $V_{\rm cmax}$ ,



**Fig. 6** Relationship between values of the maximum carboxylation rate ( $V_{cmax}$ ) estimated from the traditional approach and  $V_{cmax}$  estimated from the onepoint method ( $\hat{V}_{cmax}$ ) using three different sets of the Michaelis constant for CO<sub>2</sub> ( $K_c$ ), the Michaelis constant for O<sub>2</sub> ( $K_o$ ), and the CO<sub>2</sub> compensation point in the absence of mitochondrial respiration ( $\Gamma^*$ ) parameters. Data shown are for all 1318 species, but have been coloured to match representative plant functional types. EBF, evergreen broadleaved forest; DBF, deciduous broadleaved forest; ENF, evergreen needle-leaved forest; DNF, deciduous needleleaved forest; DSB, deciduous shrubs; HRB, herbaceous species. Regression lines have been fitted to the raw data (1318 species measurements) and coloured to match plant functional types.



**Fig. 7** (a, b) Fitted day respiration : maximum carboxylation rate ( $R_{day}$  :  $V_{cmax}$ ) ratio (a) and  $R_{day}$  (b) as a function of leaf temperature using the Bernacchi *et al.* (2001) parameters. Data shown are for all 1318 species, but have been coloured to match representative plant functional types. EBF, evergreen broadleaved forest; DBF, deciduous broadleaved forest; ENF, evergreen needle-leaved forest; DNF, deciduous needle-leaved forest; DSB, deciduous shrubs; HRB, herbaceous species. The horizontal red line shows the  $R_{day}$  :  $V_{cmax}$  commonly assumed by terrestrial biosphere models following Collatz *et al.* (1991).

especially in wet or warm conditions. Here we have demonstrated that, for photosynthesis measurements taken at ambient CO<sub>2</sub> and under saturating irradiance conditions, values are normally Rubisco-limited and, as such,  $\hat{V}_{cmax}$  values are in good agreement with  $V_{cmax}$  determined from  $A-G_i$  curves. Residual analysis when using a known  $R_{day}$  did not show any bias in  $V_{cmax}$  estimation with environmental conditions such as MAT or MAP. Because of this, our results suggested that the one-point method is likely to be a robust means to resolve  $V_{cmax}$  from light-saturated photosynthesis.

That said, our analysis did identify other, nontrivial sources of error in using the one-point approach. First, we found support for our hypothesis that increased errors would occur at low  $g_s$  as a result of a lower signal-to-noise ratio (hypothesis 2), suggesting that rates of  $A_{sat}$  that are not subject to severe stomatal limitation are most suited to this approach. Second, poor estimation of  $R_{day}$ 

led to a notable increase in the RMSE of estimates, approximately doubling RMSE from 7.18 to 14.71  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>. The proportional error in  $V_{\text{cmax}}$  when estimating  $R_{\text{day}}$  (i.e. Fig. 4b) was, on average, c. 20% for most datasets when grouped by PFT. These errors were larger because we estimated  $R_{dav}$  using a fixed  $R_{day}$ :  $V_{cmax}$  relationship, and this relationship did not capture variation in values of fitted  $R_{dav}$ . There was strong bias at low and high temperatures, leading to a clear pattern in residuals. In addition, there was higher estimation error ( $V_{cmax} - \hat{V}_{cmax}$  residuals) at higher  $V_{cmax}$ , higher leaf temperatures or at hotter sites (although it should be noted  $V_{\rm cmax}$  is typically greater at higher temperatures), and at either very high or very low R<sub>dav</sub>. Having identified and quantified these apparently systematic biases, it would then, of course, be up to individual researchers using this method to decide for themselves what magnitude of error (or bias) was acceptable for the purpose at hand.

 $R_{\rm dav}$  is as yet not well understood in terms of responses to environmental variation or temperature dependence and hence is difficult to model (Tcherkez et al., 2012; Heskel et al., 2013; Way & Yamori, 2014). It is widely understood that estimates of  $R_{dav}$ obtained from  $A-C_i$  curves are inaccurate. One reason for the inaccuracy is that the values are extrapolated from small fluxes at low  $C_i$  conditions, and hence are subject to noise and possibly gasket-leak effects (Bruhn et al., 2002; Hurry et al., 2005). In this study we also show that there is a systematic bias in  $R_{dav}$  estimates with temperature (Figs 5, 6), which leads to bias in estimates of  $V_{cmax}$ . This bias could potentially result from a number of factors. First, fluxes are lower at lower temperature, so errors as a result of noise may be greater. Second, it is likely that our assumptions for the temperature dependence of either  $R_{dav}$  or  $\Gamma^*$ , or both, are incorrect. Fitted estimates of R<sub>day</sub> showed either no temperature dependence or a negative temperature dependence, depending on what  $\Gamma^*$  was assumed (Figs 6, S4, S5). By contrast, most studies of  $R_{dav}$  suggest a positive temperature dependence, as is assumed in most TBMs (Clark et al., 2011; Heskel et al., 2013). The issue may lie with  $\Gamma^*$ ; the most widely used parameterization for  $\Gamma^*$ (B01) resulted in fitted values of  $R_{day}$  going to zero at higher temperatures, suggesting this parameterization may in fact be too temperature-sensitive for many species. This issue also affects photosynthesis values estimated by TBMs using estimates of  $V_{\rm cmax}$  obtained from A-C<sub>i</sub> curves, because such models commonly use a fixed ratio for  $R_{day}$ :  $V_{cmax}$ . The estimates of  $V_{cmax}$ are dependent on the fitted values of  $R_{day}$  (i.e. our known  $R_{day}$ ). If models estimate photosynthesis with fitted  $V_{cmax}$  but a fixed  $R_{day}$ :  $V_{cmax}$  ratio, the resulting estimates of photosynthesis will be in error. Addressing this problem requires that we develop better empirical parameterizations of the temperature dependencies of both  $\Gamma^*$  and  $R_{day}$ , which are applicable across species and climates, rather than the single-species, single-site relationships currently used.

An alternative approach to using a fixed  $R_{day}$ :  $V_{cmax}$  ratio would be to base estimates of  $R_{day}$  on measured values of dark respiration rate,  $R_{dark}$ . For example, it could be assumed that  $R_{day} = 0.6 \times R_{dark}$  (Kirschbaum & Farquhar, 1984) or, alternatively, one might simply set  $R_{day} = R_{dark}$ , as was done by Atkin *et al.* (2015) when employing the one-point method. However, we note that such approaches would still result in errors when estimating  $\hat{V}_{cmax}$ , because they both assume a similar temperature dependence for  $R_{day}$  and  $R_{dark}$ , whereas the fitted temperature dependence of  $R_{day}$  does not resemble the exponential response typically found for  $R_{dark}$  (Figs 6, S4, S5).

#### New research avenues

Despite the error introduced by inaccuracies in  $R_{day}$ , the onepoint method nevertheless has the potential to provide new insight into variability of apparent  $V_{cmax}$  across and within species, PFTs and in relation to other plant traits. Owing to logistical constraints, studies estimating apparent  $V_{cmax}$  using  $A-C_i$  curves typically focus on a relatively small number of species, and are biased towards both controlled environments and temperate regions (Wullschleger, 1993; Kattge *et al.*, 2009; Sun *et al.*, 2014; Walker *et al.*, 2014). The results of this paper suggest that measurements of  $A_{sat}$ , which are more readily made on a wide range of species under field conditions, can also be used to estimate  $V_{cmax}$  using the one-point method. An expanded global  $V_{cmax}$  database would greatly facilitate testing of ecophysiological theories of plant trait distribution based on environmentally driven traits (Verheijen *et al.*, 2013; van Bodegom *et al.*, 2014; Reich *et al.*, 2014), trait tradeoffs (Wright *et al.*, 2010; Reu *et al.*, 2011) and optimality concepts (Xu *et al.*, 2012; Prentice *et al.*, 2014; Wang *et al.*, 2014; Ali *et al.*, 2015b). Larger datasets for  $V_{cmax}$  would also allow insights into the true scaling of photosynthetic capacity with leaf structural and chemical traits, with the caveat that we have identified some systematic biases in the approach, suggesting it would be best to constrain analysis to data < 30°C (Fig. 5b).

From a modelling perspective, additional data would serve to improve the underlying evidence base used to constrain model simulations of photosynthesis. For example, Bonan et al. (2011) found that uncertainty as a result of  $V_{\rm cmax}$  was equivalent to uncertainties arising from structural errors (e.g. scaling photosynthesis and stomatal conductance from the leaf to the canopy), accounting for a c. 30 Pg C yr<sup>-1</sup> variation in modelled gross primary productivity in CLM4. A number of models (e.g. CABLE, JULES, CLM4) assume that the J<sub>max</sub> parameter and/or the autotrophic respiration are proportional to  $V_{\rm cmax}$ . Therefore, this single parameter has a marked impact on modelled carbon flux, and improvements in the  $V_{\rm cmax}$  parameter have the potential to constrain multiple facets of current TBMs. For example, Dietze et al. (2014) showed that inclusion of even small observational datasets of  $V_{\text{cmax}}$  could adequately constrain the parameterization of the ecosystem demography (ED2) model across a range of biomes. Furthermore, it is now commonplace in some modelling studies to simulate vegetation fluxes considering the full uncertainty of key parameters, rather than assuming a PFT can be described by a single value (Ziehn et al., 2011; Wang et al., 2012).

It should be noted that our analysis calls into question the modelling assumption that  $J_{\text{max}}$  is proportional to  $V_{\text{cmax}}$ , as shown by the high variability in  $C_i$  transition points observed across our dataset (Fig. 2). These transition points can be used to estimate the ratio of  $J_{\text{max}}$ :  $V_{\text{cmax}}$ . We estimated this ratio at 25°C from the transition points, and found a mean value of 1.9 with a large interquartile range, stretching from 1.68 to 2.14. As noted earlier, there was some difference in the median transition point (and hence  $J_{max}$ :  $V_{cmax}$  ratio) among PFTs, but the variability within a PFT is considerably larger than that between PFTs. While the one-point method can provide insights into variation in  $V_{\rm cmax}$ , it does not enable us to develop better parameterizations for other key photosynthetic parameters. There remains a need for full  $A-C_i$  curves to also quantify the variability in  $J_{max}$ :  $V_{cmax}$ ratio, or, as an alternative, cluster sampling approaches (e.g. extensively sampling of the photosynthesis-light response curve) as proposed by Dietze (2014).

There is also the potential for a complementary set of parameter estimates to be obtained through a re-examination of existing  $A_{\rm sat}$  datasets. Large quantities of field-measured  $A_{\rm sat}$  data currently exist in global databases, for example *c*. 1500 species in Maire *et al.* (2015) and 2192 species in TRY (Kattge *et al.*,

2011). By putting together  $V_{\rm cmax}$  data derived from  $A-C_i$  curves with  $V_{\rm cmax}$  values determined from the one-point method (i.e.  $\hat{V}_{cmax}$ ), there is the potential to generate a database consisting of data for thousands of species, for many hundred sites around the world. Consistent conversion of  $A_{\text{sat}}$  to  $V_{\text{cmax}}$  values in worldwide datasets would be strongly beneficial, enabling a wider characterization of  $V_{\rm cmax}$  variations across the globe, and better quantification of relationships between  $V_{\rm cmax}$  and other leaf traits (Walker et al., 2014) and with site climate (Ali et al., 2015a,b). However, it is important to note that application of the one-point method to these datasets may involve additional sources of error. For example, Kattge et al. (2009) estimated  $\hat{V}_{cmax}$  using a one-point method applied to  $A_{sat}$  data that did not include complementary values of  $C_i$ , and thus estimated  $C_i$  as a constant fraction (0.8) of  $C_{\rm a}$ . In our dataset, the 25<sup>th</sup> and 75<sup>th</sup> quartiles for the  $C_{\rm i}$ :  $C_{\rm a}$  ratio were 0.60-0.75; use of a constant value would thus have introduced considerable additional error. Application of the one-point method to species-mean values of Asat and gs, such as those collated by Maire et al. (2015), would also be subject to systematic error from averaging a nonlinear function. Thus, application of the one-point method in these circumstances needs to be done with caution.

This manuscript presents an empirical justification for using the one-point method, which we conclude can be used to estimate accurate values of  $V_{\rm cmax}$ , for an estimate that we labelled  $\hat{V}_{\rm cmax}$  for distinction from intensively measured curves. We stress that continued measurement of plant behaviour using detailed  $A-C_i$  response curves is still invaluable and, indeed, 'best practice'. Fitting the model of Farquhar *et al.* (1980) to data has provided a tried and tested means to evaluate and interpret plant physiological behaviour in the field and laboratory alike. The one-point method tested here complements the traditional approach, potentially allowing us to greatly expand plant trait datasets of maximum carboxylation efficiency.

## Acknowledgements

M.G.D.K. was supported by the Australian Research Council (ARC) Linkage grant (LP140100232). Y-S.L. was jointly supported by ARC funding to I.C.P. and I.J.W. (DP120103600) and by TERN eMAST (Ecosystem Modelling and Scaling Infrastructure). We also acknowledge ARC support to D.S.E., B.E.M., I.I.W. and O.K.A. (DP0986823, DP110105102, DP130101252, CE140100008 and FT0991448). D.S.E. and K.Y.C. gratefully acknowledge the Birmingham Institute of Forest Research, the Institute of Advanced Studies at the University of Birmingham, and the Western Sydney University for support during manuscript preparation. I.C.P. is the AXA Research Fund Chair in Biosphere and Climate Impacts and his part in this research contributes to the Chair programme and to the Imperial College initiative 'Grand Challenges in Ecosystems and the Environment'. A.R. was supported by the Next-Generation Ecosystem Experiments (NGEE Arctic) project that is supported by the Office of Biological and Environmental Research in the Department of Energy, Office of Science. A.R. and S.P.S. were also supported through the United States Department of Energy

contract no. DE-SC00112704 to Brookhaven National Laboratory. H.F.T. is supported by an international Macquarie University International Research Scholarship (iMQRES) and H.F.T. and B.J.E. are supported by TERN eMAST. The Terrestrial Ecosystem Research Network (TERN) is supported by the Australian Government through the National Collaborative Research Infrastructure Strategy (NCRIS). Support for P.M. is acknowledged from ARC FT110100457 and NERC NE/J011002/1. Finally we acknowledge support in part to the NSF grant 1146206 and the Moore Foundation grant 3001 to G.P. Asner and we are grateful for the use of data collected as part of RAINFOR by O. Philips.

All data analysis and plots were written in Python; in particular we made use of the Scipy (Jones *et al.*, 2001), LMFIT (Newville *et al.*, 2014) and Matplotlib libraries (Hunter, 2007).

## **Author contributions**

I.J.W, V.M., Y-S.L., D.S.E., B.E.M., I.C.P., Ü.N., O.K.A. and B.J.E. planned and designed the research. M.G.D.K. and Y-S.L. performed all research and data analysis. K.Y.C, D.S.E, V.M., O.K.A, A.R., Ü.N., S.P.S., P.M., J.U., H.F.T., L.T., L.K.W., F.Y.I. and T.F.D measured all the field data used in the experiment. M.G.D.K., I.J.W., B.E.M., K.Y.C, D.S.E, V.M., I.C.P. and Y-S.L. wrote the first draft; all authors commented on subsequent versions and assisted with data interpretation.

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## **Supporting Information**

Additional supporting information may be found in the online version of this article.

Fig. S1 Climatic space covered by this study shown by density hexagons.

Fig. S2 Residuals  $(V_{cmax} - \hat{V}_{cmax})$  shown as a function of a moisture index and elevation.

**Fig. S3** Residuals  $(V_{cmax} - \hat{V}_{cmax})$  shown as a function of the number of annual growing degree-days > 0 and > 5°C.

**Fig. S4** Fitted  $R_{day}$ :  $V_{cmax}$  ratio and  $R_{day}$  as a function of leaf temperature using the Badger & Collatz (1977) parameters.

**Fig. S5** Fitted  $R_{day}$ :  $V_{cmax}$  ratio and  $R_{day}$  as a function of leaf temperature using the Crous *et al.* (2013) parameters.

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