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## Accurate identification of human *Alu* and non-*Alu* RNA editing sites

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### Abstract

We developed a computational framework to robustly identify RNA editing sites using transcriptome and genome deep-sequencing data from the same individual. As compared with previous methods, our approach identified a large number of RNA editing sites with high specificity in both *Alu* and non-*Alu* regions. We also found that the editing of non-*Alu* sites appears to be dependent on nearby edited *Alu* sites, possibly through the locally formed double-stranded RNA structure.

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RNA editing, the post-transcriptional alteration of genome-encoded information by chemical modification of individual RNA bases, provides a powerful way to diversify the transcriptome. In humans, there are two known types of editing, both catalyzed by deaminases. Cytosine-to-uracil editing, which is catalyzed by APOBEC1, appears to be rare and specific to small intestine enterocytes<sup>1</sup>. The other, much more common type of editing is the adenosine-to-inosine (A-to-I) editing catalyzed by the adenosine deaminases acting on RNA (ADARs)<sup>2</sup>. ADARs bind double-stranded RNA (dsRNA) and deaminate adenosine bases to inosine, which is recognized as guanosine by the cellular machinery. A-to-I RNA editing is pervasive in *Alu* repeats because of the dsRNA structure formed by widespread *Alu* inverted pairs in many genes<sup>3</sup>.

Identifying human RNA editing events outside of the widely edited *Alu* repeats has been challenging<sup>4</sup>. The advent of next-generation sequencing led to our success in identifying hundreds of human A-to-I RNA editing sites in non-*Alu* regions<sup>5</sup>. With sequencing data becoming more readily available, a number of groups have recently developed computational approaches and used them to identify numerous RNA editing sites of all 12 possible mismatch types by comparing genomic DNA and RNA sequencing (RNA-seq) data from the same individuals<sup>6-9</sup>. However, further analyses suggest that many of the identified sites are likely false positives derived mainly from improper analysis of the sequencing data, particularly in non-*Alu* regions<sup>10-13</sup>. A major challenge of using short reads from next-generation sequencing is the discrimination of sequencing and mapping errors from true RNA editing events, which we sought to overcome with a robust computational pipeline. In

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### AUTHOR CONTRIBUTIONS

G.R., W.L. and R.P. performed the computational analyses with help from M.H.T. and J.B.L. M.H.T. and G.R. carried out the validation experiments. C.D. generated the GM12878 RNA-seq data. R.P. and J.B.L. wrote the paper with input from the other authors.

### COMPETING FINANCIAL INTERESTS

The authors declare no competing financial interests.

contrast to the previous approaches, our method demonstrates no evidence to support the existence of noncanonical RNA editing.

We developed a framework to robustly identify RNA editing sites by meticulous analyses of genomic DNA and RNA sequences obtained from a single individual (Fig. 1a, Online Methods). The characteristic features that distinguish our approach are (i) the choice of the short read mapper BWA<sup>14</sup>, which gave high specificity and speed, to map RNA-seq reads to the reference genome and across splicing junctions (Supplementary Note 1, Supplementary Fig. 1); (ii) the careful tuning of parameters to call RNA editing candidate positions (Fig. 1b, Supplementary Note 2) and (iii) the incorporation of several filters to remove false positives, particularly in non-*Alu* regions where RNA editing appears to be much less frequent and thus far more challenging to accurately identify (Fig. 1a, Supplementary Fig. 2). These filters were designed to remove false discoveries caused by errors introduced during construction and sequencing of RNA-seq libraries, incorrect mapping of short reads, and single-nucleotide polymorphisms (SNPs) in the genome (Supplementary Note 3).

We applied our method to the lymphoblastoid cell line GM12878, whose genome and RNA have been deeply sequenced (Online Methods and Supplementary Table 1). We identified a total of 147,029 editing sites in *Alu* repeat regions, 140,825 (95.8%) of which were of the A-to-G type, indicative of A-to-I editing (Fig. 1c, Table 1, Supplementary Tables 2, Supplementary Data 1). In non-*Alu* regions, we distinguished sites that are located in other repetitive regions (mostly long and short interspersed elements and long terminal repeats) and in nonrepetitive regions. For these two categories, we identified a total of 2,385 and 1,451 mismatches between RNA and DNA sequences, including 2,324 (97.4%) and 1,257 (86.6%) A-to-G sites in repetitive and nonrepetitive regions, respectively (Fig. 1c, Table 1, Supplementary Fig. 3, Supplementary Data 1). To our knowledge, this is the first systematic examination of repetitive non-*Alu* editing sites in humans, although hundreds of such sites were previously found in mice<sup>15</sup>. The A-to-G sites that we found in non-*Alu* regions were associated with two known features of A-to-I RNA editing: double-stranded RNA (dsRNA) structure and the ADAR-binding sequence motif<sup>5</sup> (Supplementary Note 4, Supplementary Fig. 4). Furthermore, we successfully validated 11 out of 12 selected A-to-G sites (with >10% editing frequency) in nonrepetitive regions using PCR and Sanger sequencing (Online Methods, Supplementary Tables 3 and 4).

Although unbiased toward identifying A-to-G sites, our pipeline detected a high A-to-G fraction in *Alu* and non-*Alu* regions (Table 1). Because A-to-I editing is prevalent in *Alu* repeats, our method and others<sup>7-9</sup> tend to yield results highly enriched for A-to-G mismatches in the *Alu* regions, although we identified significantly more sites. The advantage of our method over others<sup>6-9</sup> is even more striking in nonrepetitive regions, in which identification of editing sites is more challenging; we detected 86.6% of sites as A-to-G mismatches, whereas all other methods returned fractions below 47% (Table 1). We suspect that the 13.4% non-A-to-G sites in our analysis are unlikely to be genuine. We were unable to validate any of a random selection of these sites (with >15% editing frequency) using PCR and Sanger sequencing ( $n = 7$ ; Supplementary Fig. 5). These are likely to be false positives derived from sequencing and mapping errors as well as undetected SNPs in the genome.

To evaluate the performance of our method on other data sets and to carry out a fair comparison with other methods, we applied our framework to the same data recently used by Peng and colleagues<sup>9</sup> to detect RNA editing sites (Supplementary Table 1, Online Methods). Peng *et al.*<sup>9</sup> identified over 22,688 RNA editing sites, of which ~93% are A-to-G changes, from the lymphoblastoid cell line of a Han Chinese individual (YH). This high A-to-G fraction is dominated by repetitive sites, whereas the fraction was only 46.3% in

nonrepetitive regions, in sharp contrast to the 86.6% we achieved in GM12878 (Table 1). In repetitive regions (both *Alu* and non-*Alu*), our method identified 20 times more A-to-G sites with a comparably high A-to-G fraction. In nonrepetitive regions, our method identified four times more A-to-G sites with significantly higher A-to-G fraction (from 46.3% to 77.6%) (Table 1, Supplementary Data 2). Of note, the A-to-G fraction is slightly lower in the YH data than the counterpart in GM12878, probably for two reasons. First, GM12878 RNA-seq data is strand specific, whereas a subset of YH RNA-seq data is not strand specific. For non-strand-specific RNA-seq data, we used existing gene annotations to infer the editing type. This can erroneously call A-to-G as T-to-C as a result of incorrect or missing annotations of RNA. Second, the removal of genomic variants that are present in the dbSNP database is less effective for an individual of Asian descent due to the heavily biased composition of dbSNP<sup>16</sup>. Our analysis strongly suggests that effective removal of known SNPs is an important step in reducing the number of false positives even when the sequenced genome from the same individual is available (Supplementary Note 3). In addition, it is evident that the higher RNA-seq coverage in YH (Supplementary Table 1) allows us to detect many more editing sites (see below).

The deeply sequenced transcriptome of GM12878 allowed us to investigate the power of RNA editing detection in relation to RNA-seq depth. We called variants on randomly sampled subsets of reads from the two biological replicates of GM12878, and we observed that the number of identified editing sites, in both *Alu* and non-*Alu* regions, depends heavily on the sequencing depth and increases with additional reads (Supplementary Fig. 6). This analysis implies that more sites in both *Alu* and non-*Alu* regions could be identified if more RNA-seq reads were obtained, as exemplified by the YH transcriptome with its deeper sequencing coverage.

Most A-to-I RNA editing sites are located in introns (Supplementary Table 2). We speculated that the non-*Alu* A-to-I editing sites were related to nearby edited *Alu* sites, and discovered that the two classes of sites indeed tend to significantly co-occur in the same genes (Fig. 2a). The 140,825 *Alu*, 2,324 repetitive non-*Alu* and 1,257 nonrepetitive A-to-G sites that we identified in GM12878 (Table 1) fall in 12,764, 891 and 796 genes, respectively. An example of locally clustered sites within the same gene is shown in Figure 2b. These observations prompted us to hypothesize that the dsRNA structure formed by inverted *Alu* repeats facilitates the editing of the flanking adenosines. Several lines of evidence seem to support this hypothesis. First, edited *Alu* sites were significantly closer to non-*Alu* sites than to random adenosines in the same gene (Fig. 2c, Supplementary Fig. 7a). Second, in comparison with genes containing *Alu* editing sites only, genes containing both *Alu* and non-*Alu* sites tended to harbor greater numbers of *Alu* repeats, edited *Alu* repeats, invert-repeated *Alu* pairs, invert-repeated and edited *Alu* pairs, and total edited *Alu* sites (Fig. 2d,e; Supplementary Fig. 7b–e). Taken together, these observations suggest that the editing of non-*Alu* sites depends on the presence of nearby edited *Alu* sites.

An unprecedented large number of RNA editing sites were called in this study. As expected<sup>3</sup>, the vast majority of sites are promiscuously edited in *Alu* regions. We identified a total of 493,111 *Alu* A-to-G sites (140,825 from GM12878, and 414,533 from YH). This is a significant expansion of the previously annotated 36,802 *Alu* sites<sup>17</sup> (Supplementary Fig. 8). RNA editing sites in coding regions seem to be rare in the lymphoblastoid cell line used in our work and others. Nevertheless, our framework can be readily applied to other cell or tissue types in which RNA editing is biologically relevant.

As next-generation sequencing technologies become widely accessible, it will become routine to generate sequencing data for RNA editing discovery. Tools developed for detecting genetic variants in genomes are useful but insufficient to accurately identify RNA

editing sites because of the complexity of RNA. Our approach achieved high sensitivity and specificity by implementing meticulous mapping and filtering steps tailored for *Alu* and non-*Alu* regions. The identification of RNA editing sites with our approach bypasses several requirements of previous methods, such as clustering of editing sites<sup>3</sup> and synthesis of target-capturing probes<sup>5</sup>, while achieving very high accuracy. In addition, the insights gained in our work will not only allow future endeavors for RNA editing identification, but also benefit other studies that rely on accurate mapping of RNA-seq data.

## ONLINE METHODS

### Mapping of RNA-seq reads

We obtained poly(A)<sup>+</sup> RNA-seq data for whole-cell GM12878 from the ENCODE project (<http://genome.ucsc.edu/ENCODE/dataSummary.html>). The strand-specific RNA-seq libraries were made as described previously<sup>18</sup>. The transcriptome was deeply sequenced with Illumina HiSeq in two biological replicates, resulting in 235.8 and 263.7 million paired-end 76-base sequencing reads, respectively (Supplementary Table 1). We chose BWA<sup>14</sup> as the mapper for RNA-seq reads due to its demonstrated high accuracy of alignment<sup>19</sup>. We mapped each of the paired-end reads separately using the commands “bwa aln fastqfile” and “bwa samse -n4”. In contrast to previous approaches, we mapped RNA-seq reads not only to the reference genome<sup>8,9</sup> or to the transcriptome<sup>6,7</sup> but to a combination of the hg19 reference genome plus exonic sequences surrounding all currently known splicing junctions from gene models available in annotation from Gencode, RefSeq, Ensembl and UCSC Genes. We chose the length of these splicing junction regions to be slightly shorter than the RNA-seq reads to avoid simultaneous hits to the reference genome and the splicing junctions (for 76-bp reads, a region of 75 bp up- and downstream was chosen). When the adjacent exons up- and/or downstream of a splicing junction were shorter than the required length (for 76-bp reads, with exons shorter than 75 bp), the regions were extended across multiple exons. We only considered uniquely mapped reads and used samtools rmdup<sup>20</sup> to remove identical reads (PCR duplicates) that mapped to the same location. Of these identical reads, only the read with the highest mapping quality was retained for further analysis.

### Identification of RNA editing candidates

After the removal of PCR duplicates, the remaining reads were used to detect mismatches between RNA and DNA that may be putative RNA editing sites. We inspected all positions that showed variation in the RNA and were homozygous in the genomic DNA of the same individual. To determine homozygous positions in the genomic DNA of GM12878, we used read mapping data provided by the 1000 Genomes Project (<http://www.1000genomes.org>). The genome was sequenced at 44× coverage<sup>21</sup>, allowing accurate genotype calls. A site was called homozygous if 10 or more reads contained the same base that represented more than 95% of the complete coverage and if only 2 or fewer alternative bases were present at the same position. We only took variant positions in the RNA into consideration if they conformed to our requirements for number, frequency, and quality of bases that vary from the reference genome. We specifically required that each variant be supported by two or more variant bases having a base quality score of  $\geq 25$  and a mapping quality score  $\geq 20$ . We ensured that no variation in the human genome confounded our results by removing all known SNPs present in dbSNP (except SNPs of molecular type “cDNA” database version 135; <http://www.ncbi.nlm.nih.gov/SNP/>), the 1000 Genomes Project or the University of Washington Exome Sequencing Project (<http://evs.gs.washington.edu/EVS/>). To avoid false positives at the 5′ read ends due to random-hexamer priming, we truncated the first 6 bases of each read. Subsequently, all variants were separated into *Alu* and non-*Alu* regions. Mismatches in *Alu* regions showed a convincingly high fraction of A-to-G mismatches and

did not receive more stringent filtering. Variants in non-*Alu* regions were subjected to further refinement (see below). The DNA-RNA mismatch type was determined according to the strandedness of RNA-seq reads; we removed sites with conflicting annotation of editing types.

### Refinement of non-*Alu* RNA editing candidates

RNA editing candidates in non-*Alu* regions were subjected to more stringent variant call criteria than their counterparts in *Alu* regions (we required at least three variant reads and mismatch frequency  $\geq 0.1$ ). We removed sites in simple repeats according to RepeatMasker annotation, discarded intronic candidates if they were located within 4 bp of all known splicing junctions according to RefGene, UCSC Genes and Gencode (version 7) gene annotations, and removed sites in homopolymer runs of  $\geq 5$  bp. Finally, we removed RNA editing candidates if they were located in regions of high similarity to other parts of the genome. For that purpose we applied BLAT to all reads that overlap an RNA candidate site and at the same time show a mismatch from the reference. We required for each read that (i) the best hit overlap the candidate site and (ii) the second-best hit have a score  $< 95\%$  of the best blat hit. We only kept sites for which the number of reads passing the above BLAT criteria was larger than the number of reads that failed the criteria.

### Application of our pipeline to YH data

To directly evaluate the performance of our method, we applied our pipeline to the Han Chinese (YH) genome and RNA-seq data obtained from Peng *et al.*<sup>9</sup>. The RNA-seq data consists of two different libraries: an unstranded poly(A)<sup>+</sup> library and a strand-specific poly(A)<sup>-</sup> library. Candidate editing sites were called and run through our filtering pipeline using three different subsets of the data: poly(A)<sup>+</sup> reads only, poly(A)<sup>-</sup> reads only, and poly(A)<sup>+</sup> reads combined with poly(A)<sup>-</sup> reads. For the sites obtained from poly(A)<sup>-</sup> reads only, the DNA-RNA mismatch type was determined according to the strandedness of the edited reads, as we did for GM12878. For the sites obtained from poly(A)<sup>+</sup> reads only and from the combination of poly(A)<sup>+</sup> and poly(A)<sup>-</sup> reads, the DNA-RNA mismatch type was determined based on RefSeq, UCSC genes and Gencode v7 gene annotations. Sites with conflicting annotation of editing types were removed.

### Validation of sites with PCR and Sanger sequencing

We used PCR to validate whether a subset of candidate sites are edited *in vivo*. Primer sequences are listed in Supplementary Table 4. Typically, a 25- $\mu$ l PCR reaction was assembled with 1x iQ SYBR Green Supermix (Bio-Rad), ~50 ng of gDNA (or ~10 ng of cDNA) template, and 200 nM each of the forward and reverse primers. We used the following touch-down PCR program: 95 °C for 5 min, 24 cycles of 95 °C for 30 s, 72 °C for 30 s with a decrement of 0.7 °C every cycle, and 72 °C for 45 s, then 40 cycles of 95 °C for 30 s, 55 °C for 30 s, and 72 °C for 45 s. PCR amplicons were sequenced by Eurofins MWG Operon.

### Statistical analysis

To evaluate the significance of the overlap between *Alu* and non-*Alu* A-to-G site containing genes, we calculated the cumulative probability of the hypergeometric distribution with the following equation:

$$p(k \leq i \leq m) = \sum_{k \leq i \leq m} \frac{\binom{m}{i} \binom{N-m}{n-i}}{\binom{N}{n}}$$

where  $N$  is the total number of loci,  $n$  is the number of genes with *Alu* A-to-G sites,  $m$  is the number of genes with non-*Alu* A-to-G sites, and  $k$  is the number of genes with both *Alu* and non-*Alu* A-to-G sites.

The significant difference in (i) the distance between *Alu* and non-*Alu* editing sites, (ii) the number of *Alu* repeats, (iii) the number of edited *Alu* repeats, (iv) the number of invert-repeated (present on both strands with different orientations) *Alu* pairs, (v) the number of invert-repeated and edited *Alu* pairs and (vi) number of edited *Alu* sites in genes with *Alu*-only editing versus genes containing *Alu* and non-*Alu* editing was determined using a one-tailed Mann-Whitney test.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

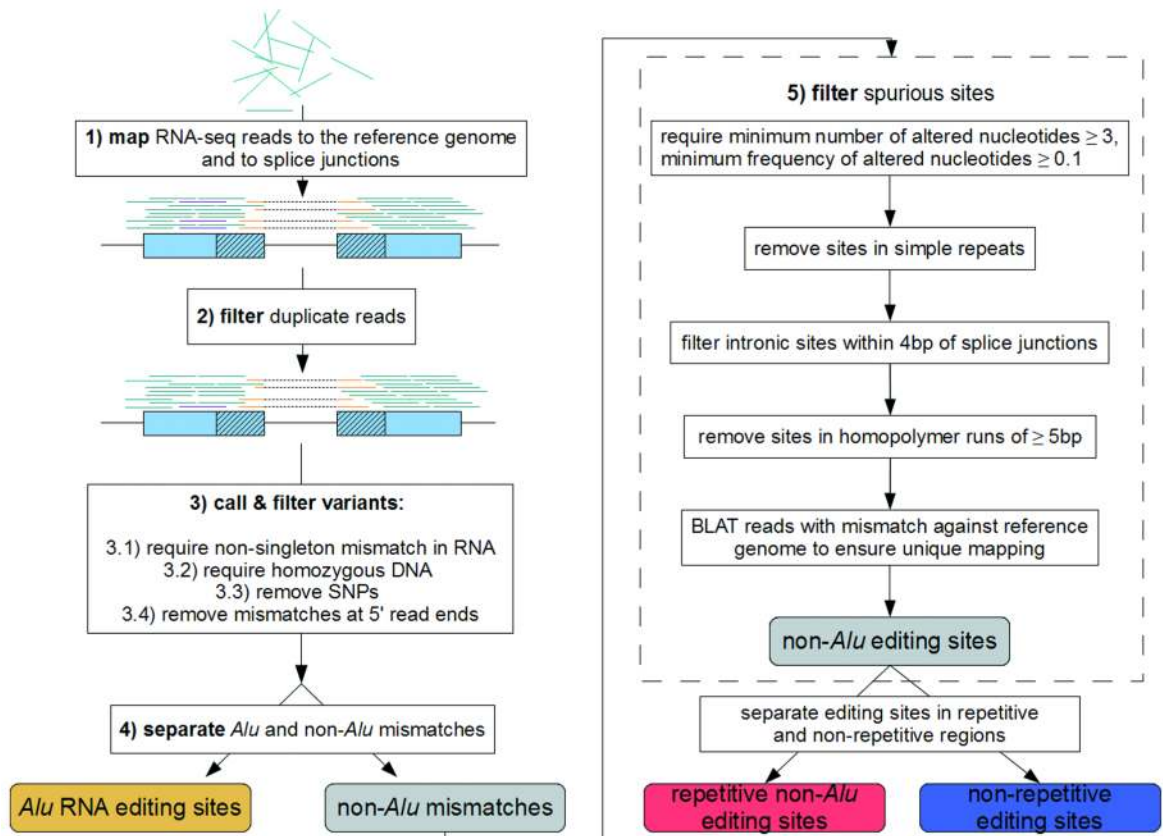
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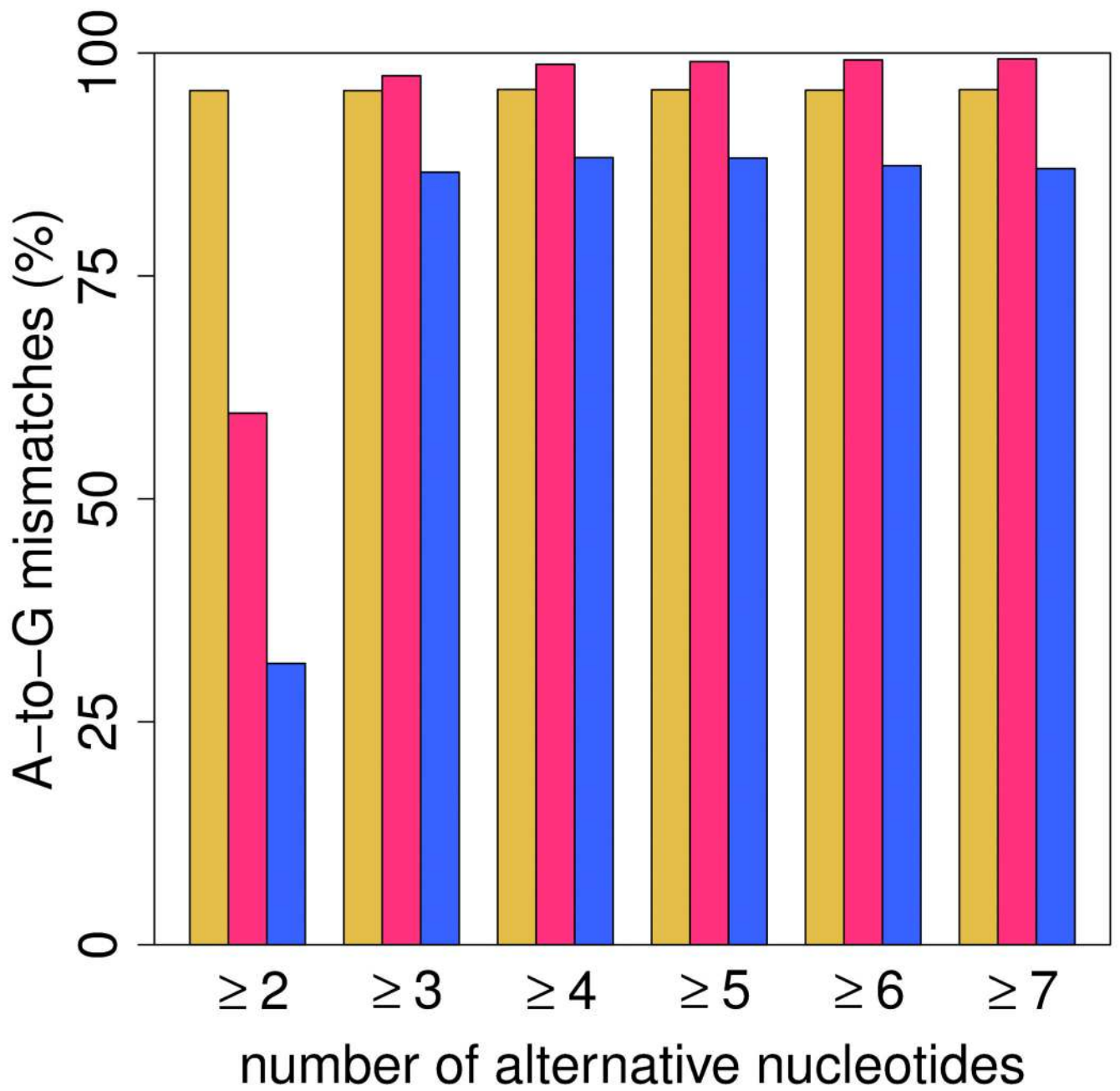
## References

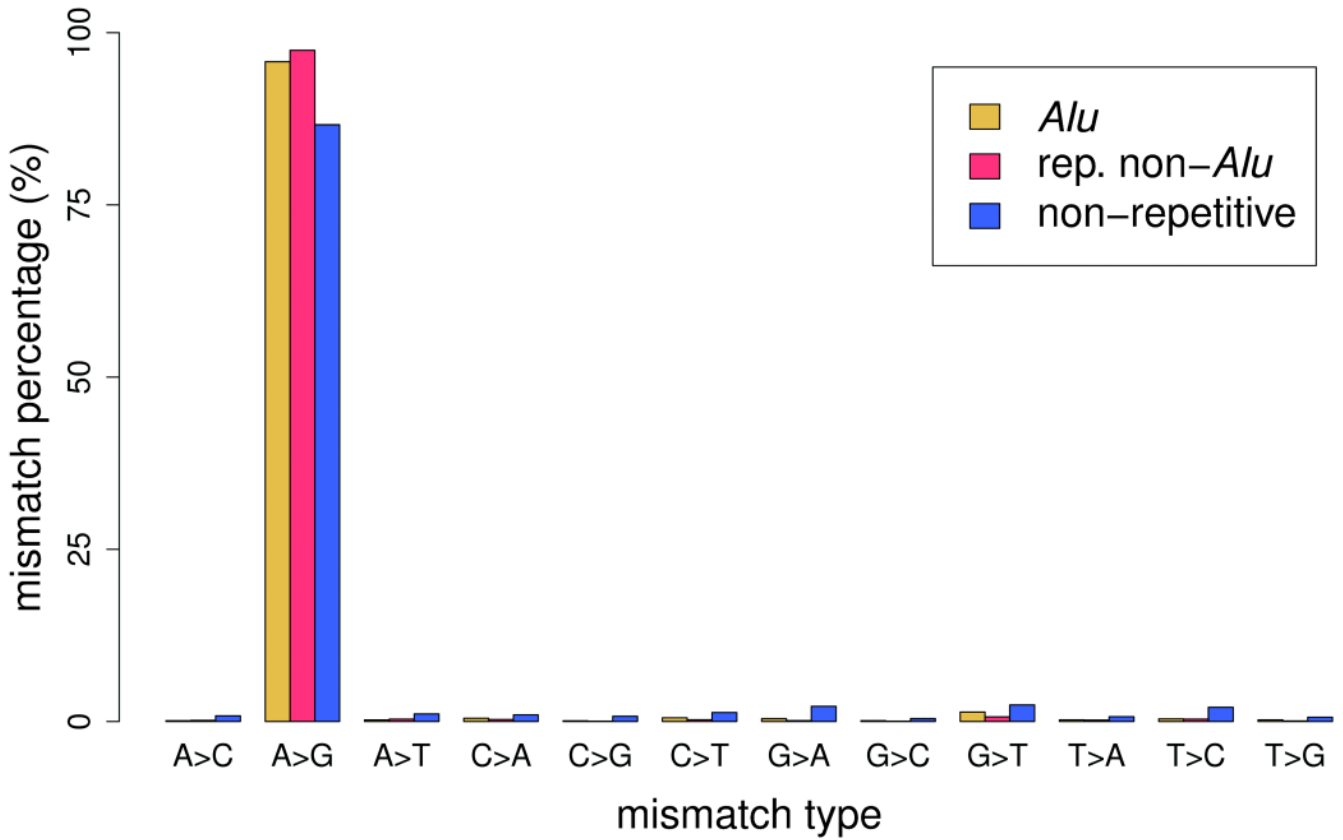
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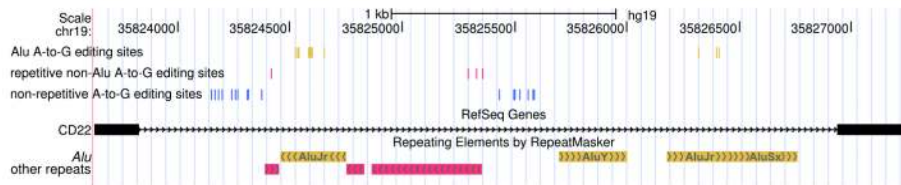
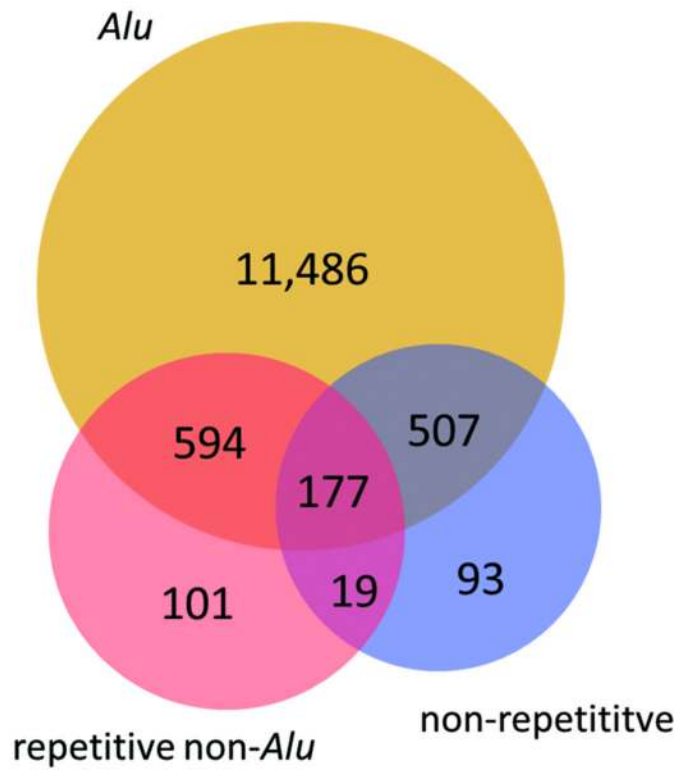


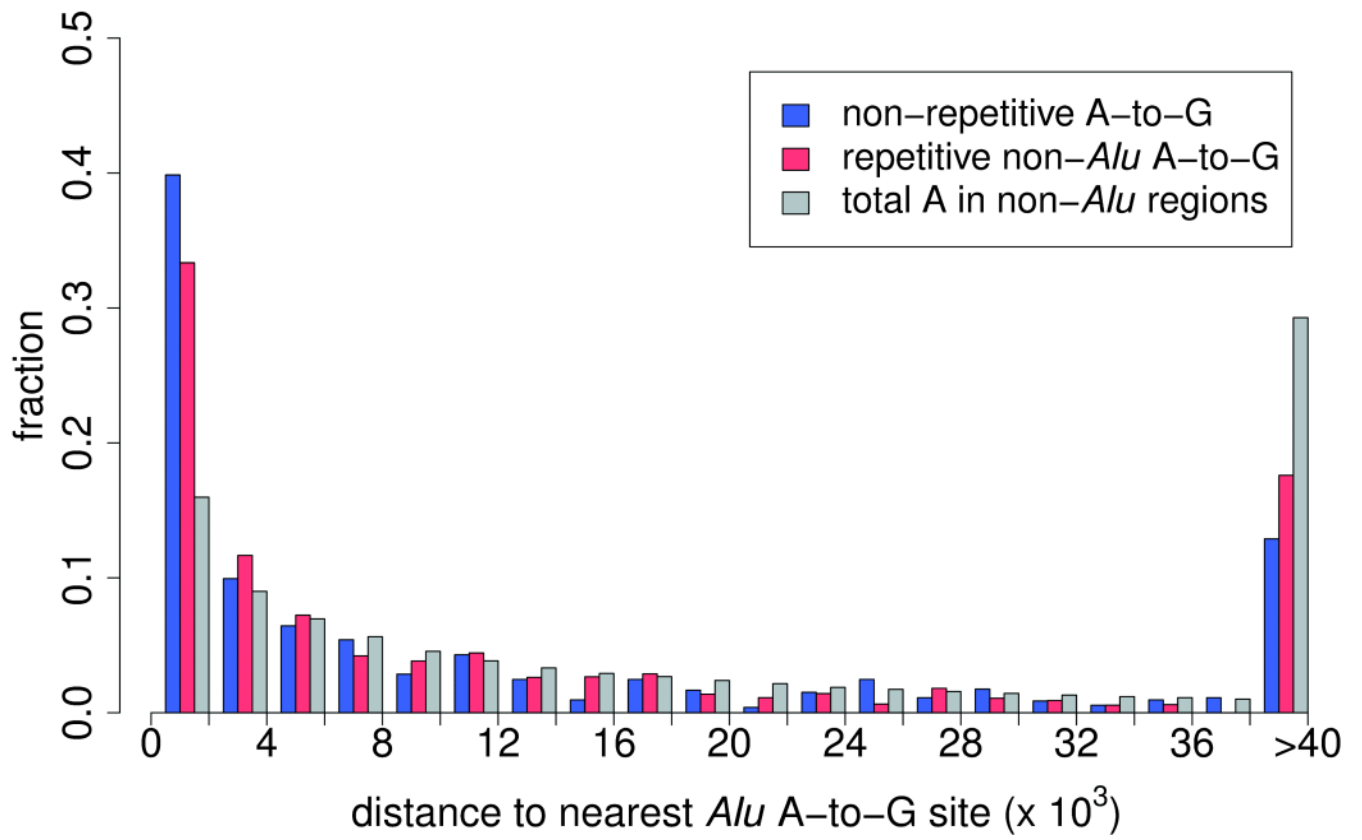


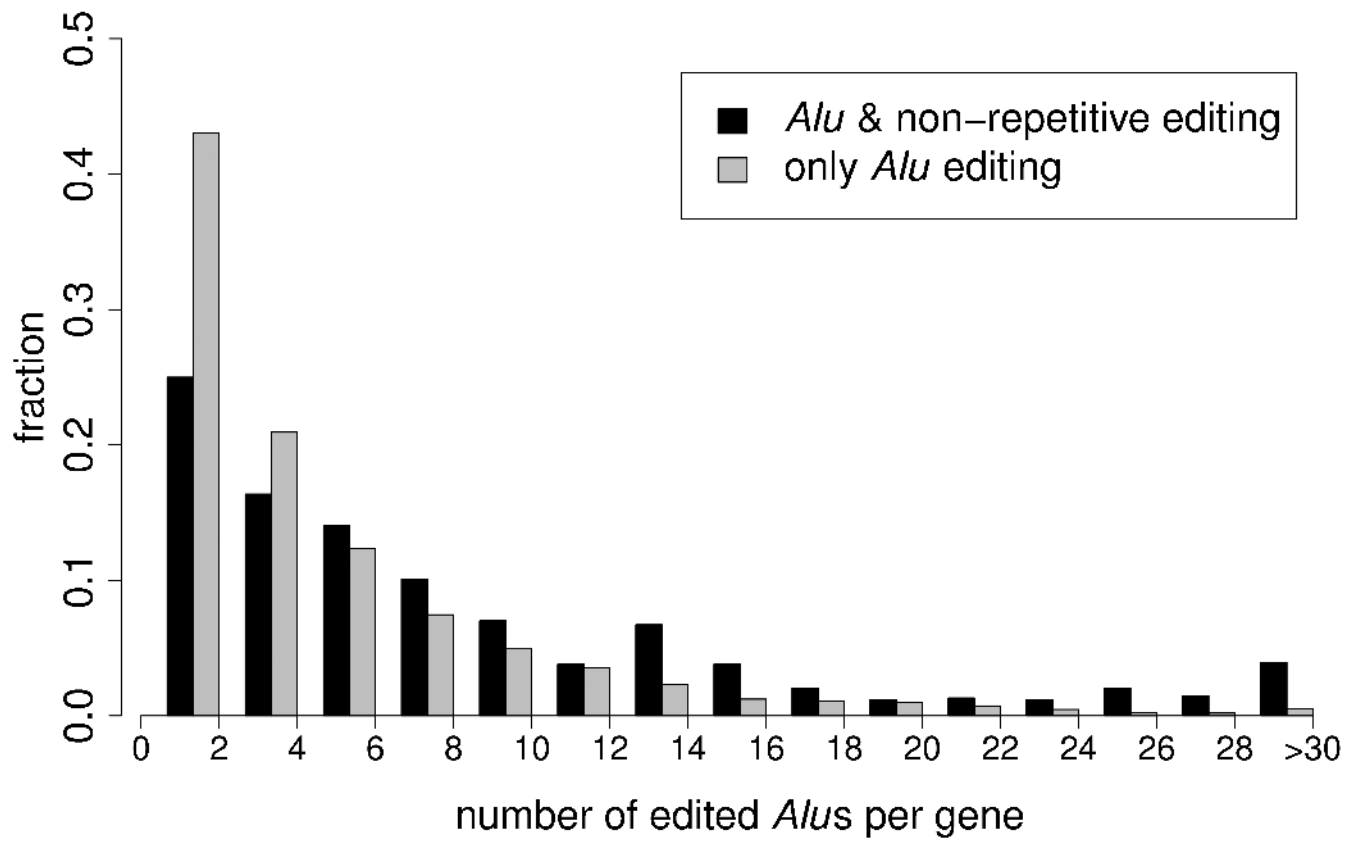


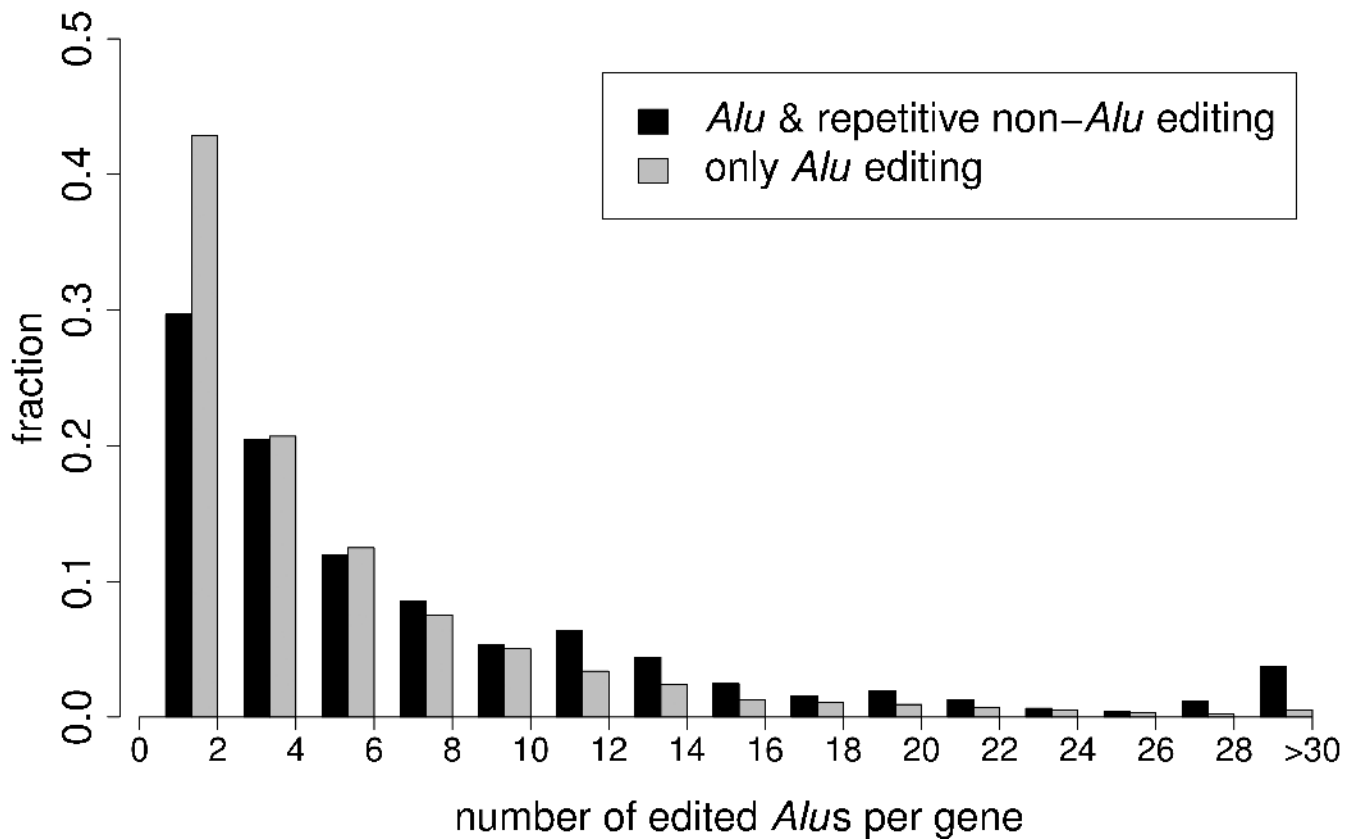


**Figure 1. A computational framework to identify RNA editing sites in *Alu* and non-*Alu* regions**  
**(a)** Pipeline for the identification of RNA editing sites. RNA-seq reads (short lines) were mapped to the human reference genome (where blue reads map) and regions spanning all known splicing junctions (yellow lines separated by dashes). Boxes denote exons, and striped parts of two adjacent exons are joined together as the splicing junction sequence. **(b)** Relationship between the percentage of A-to-G mismatches and the minimum number of reads with altered nucleotides in *Alu*, repetitive non-*Alu* and nonrepetitive regions in GM12878. For all non-*Alu* sites, a minimum frequency of 10% for the RNA variant was required, whereas no minimum variant frequency was used for *Alu* positions. In non-*Alu* regions at least three variant nucleotides are required to achieve high specificity in RNA editing detection. **(c)** Percentage of all 12 mismatch types in GM12878.









**Figure 2. Editing of many non-*Alu* sites appears to be dependent on nearby edited *Alu* sites**  
**(a)** Venn diagram showing the overlap between genes that contain A-to-G editing sites in *Alu* (yellow), repetitive non-*Alu* (purple) and nonrepetitive regions (blue). A significant number of genes contain both *Alu* and repetitive non-*Alu* A-to-G editing sites ( $P = 1.9 \times 10^{-83}$ ) and *Alu* and nonrepetitive sites ( $P = 3.7 \times 10^{-71}$ ). **(b)** Example of a gene that contains all three types of editing. Editing in *Alu*, repetitive non-*Alu* and nonrepetitive regions occurs in close proximity to each other. **(c)** The identified non-*Alu* A-to-G sites are significantly closer to the nearest *Alu* A-to-G site than to random adenosines in genes with *Alu* editing only (nonrepetitive sites versus random adenosines:  $P = 1.1 \times 10^{-96}$ ; repetitive non-*Alu* sites versus random adenosines:  $P = 7.9 \times 10^{-160}$ ). **(d,e)** The number of edited *Alu* repeats is significantly higher in genes with *Alu* and nonrepetitive editing ( $P = 2.0 \times 10^{-40}$ ) **(d)** and *Alu* and repetitive non-*Alu* editing ( $P = 2.8 \times 10^{-20}$ ) **(e)**, compared to genes with *Alu* editing only.

Table 1

Comparison of our findings with recent efforts by other groups

	No. <i>Alu</i> sites		No. repetitive non- <i>Alu</i> sites		No. nonrepetitive sites		
	Total	A>G (%)	Total	A>G (%)	Total	A>G (%)	A>G (%)
Li <i>et al.</i> (2011) <sup>6</sup>	Not investigated		Not investigated		10,210	2,328	22.8
Ju <i>et al.</i> (2011) <sup>7</sup>	1,012	806	163	78	646	102	15.8
Bahn <i>et al.</i> (2012) <sup>8</sup>	3,979	3,589	477	284	1,049	268	25.5
Peng <i>et al.</i> (2012) (YH) <sup>9</sup>	19,408	18,919	1,544	1,390	1,734	802	46.3
This study (GMI2878)	147,029	140,825	2,385	2,324	1,451	1,257	86.6
This study (YH) <sup>a</sup>	446,670	414,533	5,975	5,406	4,433	3,438	77.6 <sup>b</sup>

<sup>a</sup>Union of sites detected from the separate and combined poly(A)<sup>+</sup> and poly(A)<sup>-</sup> sequencing libraries.

<sup>b</sup>A-to-G fraction (A>G) is based on gene annotation. For all three categories, the number of T-to-C changes is higher than that of the remaining mismatch types. If all T-to-C changes are considered to be wrongly annotated A-to-G changes, the A-to-G percentages for the three categories are 95.8%, 93.8% and 83.0%, respectively.