




# Action of *N*-Succinyl and *N,O*-Dicarboxymethyl Chitosan Derivatives on Chlorophyll Photosynthesis and Fluorescence in Drought-Sensitive Maize

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## Abstract

Chitosan induces plant tolerance to various abiotic stresses, including water deficit. However, its use may be limited, due to its constitution and low solubility in water. Thus, chemical modifications were proposed in this study with the objective of potentializing its biological effects in maize plants. The derivatives were semi-synthesized (*N*-succinyl and *N,O*-dicarboxymethyl) and, together with chitosan, they were applied, via the leaf, in a drought-sensitive maize hybrid (BRS1030) under pre-flowering water deficit. The water deficit was maintained for 15 days and the analyses were performed at the beginning and end of stress, and also in rehydration. Leaf water potential, gas exchange, chlorophyll fluorescence, and content of chloroplastidic pigments were evaluated. The use of the derivatives modulated photosynthesis parameters, affecting the involved mechanisms, such as stomatal activity, water use efficiency and photosystem II activity. Chlorophyll fluorescence indicated that the antenna complex was damaged by the water deficit condition, with a decrease in the energy flux in the electron transport chain and in the photochemical phase of photosynthesis. However, the spraying of chitosan derivatives induced tolerance to water deficit, suggesting that chitosan derivatives are more bioavailable to plants. Water stress decreases pigment content, but both the application of chitosan and derivatives increased these contents. It is concluded that chitosan derivatives improved the photosynthetic parameters in maize susceptible to drought, inducing tolerance to this stress, and the possible reasons and consequences are discussed.

**Keywords** Water deficit · *Zea mays* L. · Gas exchange · Biostimulant · Quenching · Leaf water potential

## Introduction

One of the greatest challenges facing the world today in relation to food safety is the pressure on natural resources, especially water availability. Water performs various functions within plants, such as acting as a carrier of solutes and nutrients, as a structural support through turgor pressure and as a reactant in photosynthesis. Its absence has a direct impact on plant production, mainly due to the derangements caused in most of the photosynthetic components, including electron transport in the thylakoids and CO<sub>2</sub> fixation by the reduction in stomatal opening and/or the inhibition of Calvin cycle enzymes (Anjum et al. 2011; Souza et al. 2013a). Therefore, the ability of plants to withstand such stress is of utmost importance for agribusiness development in any country, especially in large crops such as maize.

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Irrigated agriculture makes an important contribution to agricultural production, with about 40% of agricultural food and commodities in 17% of the cultivated land. Irrigation uses more than 70% of the water withdrawn from rivers. An increase in the use of irrigation to meet food needs in the coming years will, however, be impracticable due to the decline in water resources and increasing competition for clean water. Water dependency becomes a critical constraint on progress and threatens to slow down development, jeopardizing food supply and aggravating rural poverty (FAO 2002). Strategies to mitigate losses due to lack of water have been adopted, aiming at the study and development of tolerant genotypes (genetic improvement) and products known as biostimulants which, when applied to plants, induce tolerance to water deficit (Souza et al. 2014; Calvo et al. 2014; Patrick 2015; Martins et al. 2018).

In this context, chitosan, consisting of *N*-acetylglucosamine and glucosamine units, has been shown to have several benefits for agriculture due to its biological properties, high biodegradability, biocompatibility, low toxicity, renewable source, and high abundance (Badawy and Rabea 2011). Chitosan is the main chitin derivative and is obtained by its partial (up to 50%) or total deacetylation. Chitosan has three reactive groups (susceptible to substitutions), which are the primary hydroxyl at carbon 6, the secondary hydroxyl at carbon 3 and the amino group at carbon 2. Each of these chemical groups can undergo chemical (semisynthesis) modifications, that may alter physical, mechanical, and biological properties. It is worth mentioning that the amino group is generally more chosen, because it is more reactive than the hydroxyl groups and is available at high amounts in chitosan, which has a high degree of deacetylation (lower amount of acetyl groups bound to the amine group) (Jayakumar et al. 2008; Badawy and Rabea 2011).

Chitosan can increase plant growth and development under normal conditions and water deficit, positively changing several characteristics. The application of the biopolymer led to an increase in plant height, number of shoot branches, number of leaves, leaf area, biomass attributes and grain yield in several plant species such as maize (Guan et al. 2009; Lizárraga-Paulín et al. 2011; Mondal et al. 2013; Martins et al. 2018), beans (Ibrahim and Ramadan 2015), soybeans (Lee and Chung 2005), wheat (Wang et al. 2015), rice (Boonlertnirun et al. 2007; Pongprayoon et al. 2013; Chamnanmanoontham et al. 2015), millet (Sharathchandra et al. 2004), coffee (Dzung et al. 2011), among others. There is still little research on the use of these biopolymers in the stimulation of physiological responses to water stress tolerance. Results from the last decades have indicated that chitosan has the potential to be developed as an antitranspirant in agricultural stress situations, inducing tolerance to water deficit through increased water use efficiency (WUE, reduced water loss per fixed carbon) and/or higher defense

against reactive oxygen species (Iriti et al. 2009; Zeng and Luo 2012; Pongprayoon et al. 2013; Sharp 2013).

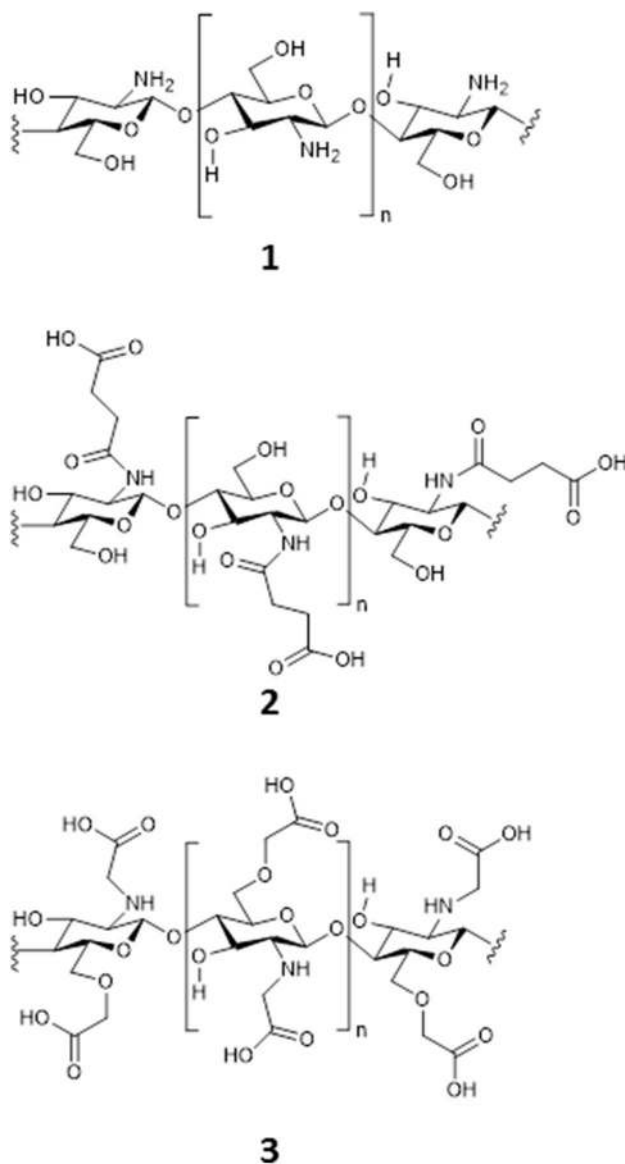
Most of the studies involving chitosan derivatization are directed to biological activity with medical applications, as well as agricultural applications, to prevent pests and diseases (Laranjeira and Fávère 2009; Ramírez et al. 2010), but the capacity to induce tolerance to water deficit is poorly explored. In this context, the objective of this research was to synthesize two chitosan derivatives and characterize their effects on chlorophyll photosynthesis and fluorescence in maize hybrids sensitive to drought. The rationality involved in the proposal of the derivatives is that the addition of the *N*-succinyl (SUC derivative) and *N,O*-dicarboxymethyl (MCA derivative) groups to the chitosan structure could increase its solubility in water and, due to the greater bioavailability in the plant, increase activity as a tolerance inducer to water stress.

## Materials and Methods

### Synthesis of *N*-Succinyl (SUC) and *N,O*-Dicarboxymethyl (MCA) Chitosan Derivatives

The chitosan used to obtain the derivatives has a percentage of deacetylation (DDA%) of 63.5% (Martins et al. 2018). The structure of the derivatives and chitosan is shown in Fig. 1. Both synthesized derivatives have chains with carboxylic acid groups, which were inserted into the starting chitosan via their amine (SUC) or amine + hydroxyl (MCA) groups.

For the semisynthesis of SUC (Li and Ding 2014), 1 g chitosan (Galena Química e Farmacêutica Ltda) was dissolved under magnetic stirring and room temperature in 100 mL of 1% (v/v) glacial acetic acid solution. Subsequently, a solution of succinic anhydride (1.8 g) in 99.9% acetone (20 mL) was added dropwise and still under stirring. The mixture formed was subjected to ultrasonic irradiation of 40–50 Hz in a bath at 50 °C for 60 min. The resulting solution was then cooled to room temperature; 95% hydrated ethyl alcohol (100 mL) was added, and the mixture was transferred to a freezer (–20 °C), where it remained for 24 h. After this period, 1 mol L<sup>-1</sup> aqueous sodium hydroxide solution was added until pH 10. Subsequently, acetone was added until precipitation occurred as a whitish caseous mass. The mixture was again conditioned in a freezer for 48 h. After this period, the product was vacuum-filtered using 95% ethyl alcohol (about 1000 mL) to wash the retained solid, which was stirred with a glass stick throughout the cleaning process. The final product was obtained as an amorphous, coarse and yellowish-white solid after drying in a desiccator under vacuum and protected from light. Using



**Fig. 1** Chemical structure of chitosan (1), of the *N*-succinyl derivative (2) and of the *N,O*-dicarboxymethyl derivative (3)

the same procedure, additional quantities of the product were obtained.

For the semisynthesis of MCA (Liu et al. 2001), 5 g chitosan was added to 99.9% isopropyl alcohol (60 mL) under magnetic stirring at room temperature. An aqueous sodium hydroxide solution (12 mL) was then added at 10 mol L<sup>-1</sup>, divided into five portions, over a period of 25 min. The mixture was magnetically stirred for 30 min at room temperature. Subsequently, monochloroacetic acid (30 g) was added, divided into five portions over 5 min. The formed mixture was heated at 70 °C with magnetic stirring for 3 h. The reaction mixture was then cooled and the obtained solid product was vacuum-filtered and washed with absolute methanol

(100 mL). The product was rapidly oven-dried at 60 °C, which resulted in a yellow solid. Additional quantities of the product were obtained using the same procedure.

### Local Conditions, Plant Material, and Experimental Design

The experiment was conducted in a greenhouse located at Embrapa Milho and Sorgo, in the city of Sete Lagoas—MG (latitude 19°28'S, longitude 44°15'08"W and 732 m altitude). The average temperatures recorded during the evaluation period were as follows: maximum 36.3 °C and minimum 17.6 °C. Relative air humidity ranged from 72 to 40%. Two plants were used per 20-L pot, pre-filled with Typical Dystrophic Red Latosol. Fertilization was performed according to the soil chemical analysis recommendation, applying 10 g of 08-28-16 per 20 kg of soil at planting. The cover was applied using 6 g of ammonium sulfate per pot at 30 and 60 days after planting. The plants were regularly irrigated, maintaining a good soil moisture until stress imposition. All phytosanitary treatments required for cultivation were performed. The plant material used was the hybrid BRS 1030, from the Embrapa Breeding Program and characterized as drought-sensitive (Souza et al. 2013a, 2014). Three seeds were planted per pot and, after germination, thinning was done, thus leaving two plants per pot.

The experimental design was completely randomized (CRD), comprising 5 conditions (irrigated control—IC, stressed control—SC, stressed with chitosan—SC + CHI, stressed with SUC—SC + SUC, stressed with MCA—SC + MCA) and 6 replicates, totaling 30 pots.

### Stress Imposition and Application of Chitosan and Derivatives

Soil water potential was monitored daily in the morning and afternoon (9.00 a.m. and 3.00 p.m.), with the aid of a tensiometer (Watermark 200SS, Irrometer, California, USA), installed in the center of the pots of each replicate, at a depth of 20 cm. These sensors detect the ground water voltage based on the electrical resistance and were coupled to digital meters (Watermark meter) from the same company. Values ranged from 0 MPa (totally wet) to -2.00 MPa (totally dry). Water was replaced based on the readings obtained with the sensor and it was returned to field capacity (CC) during the period that preceded the treatments. These calculations were performed with the aid of a spreadsheet, made according to the water retention curve of the soil.

When the plants reached the pre-flowering stage, treatments were imposed. The irrigated treatment consisted of daily irrigation until the soil reached a moisture close to field capacity (soil water tension of approximately -0.18 MPa) whereas, for non-irrigated treatments, irrigation was

performed applying 50% of the total water available, that is, until the water tension in the soil reached  $-1.38$  MPa, whose value corresponds to the soil specified.

When the plants reached the planned water stress (soil water tension of  $-1.38$  MPa), chitosan, as well as SUC and MCA derivatives, were sprayed at a concentration of  $0.5$  mg plant<sup>-1</sup> through a pressurized (CO<sub>2</sub>) costal sprayer ( $2.15$  kg f cm<sup>2</sup>), equipped with a XR—Teejet 110.02 VS nozzle, spraying the equivalent to  $120$  L ha<sup>-1</sup>. The water deficit was maintained for 15 days. The analyses were performed 24 h after spraying (1DAA), at the end of the water stress period (15 days of stress, 15DAA), and 24 h after water reestablishment (rehydration), in which the soil returned to irrigation until reaching values close to those of field capacity. During this period, the following variables were analyzed: leaf water potential, leaf gas exchange, chlorophyll fluorescence, and contents of chlorophyll *a*, *b* and carotenoids.

### Leaf Water Potential and Gas Exchange Measurements

Leaf water potential was determined through a Scholander pressure chamber (PMS Instrument Company, Model 1000, Albany SE, USA), in four fully expanded leaves per replicate. Average water potential (midday,  $\Psi_{md}$ ) was measured at 12 p.m. Unlike the other parameters, the first water potential analysis was performed only on the seventh day after application (7DAA).

Gas exchange was measured through a portable photosynthesis system (IRGA, LI-6400 XT, Li-Cor, Lincoln, Nebraska, USA). All measurements were taken in the morning, between 8.00 and 11.00 in a fully expanded leaf (ear leaf). The variables evaluated were photosynthetic rate ( $P_n$ ), stomatal conductance ( $g_s$ ), transpiration ( $E$ ), intercellular CO<sub>2</sub> concentration ( $C_i$ ), water use efficiency (WUE), internal carbon/external carbon ratio ( $C_i/C_a$ ), and carboxylation efficiency (CE). Measurements were taken in a leaf area of  $6$  cm<sup>2</sup>, with controlled CO<sub>2</sub> flux at a concentration of  $380$   $\mu\text{mol CO}_2$  mol<sup>-1</sup> air. The photon flux density (PPFD) was  $1500$   $\mu\text{mol m}^{-2} \text{s}^{-1}$  with blue-red LED light source (6400-02B LED) and controlled leaf temperature ( $30$  °C).

### Chlorophyll Fluorescence Measurements

In the measurement of chlorophyll *a* fluorescence parameters, a Mini-PAM modulated fluorometer was used (Heinz Walz, Effeltrich, Germany). After a 30-min adaptation in the dark, the minimum fluorescence ( $F_o$ ) was measured with sufficiently low light, avoiding photochemical reactions; maximum fluorescence ( $F_m$ ) was measured applying a saturating light pulse of  $7000$   $\mu\text{m photons m}^{-2} \text{s}^{-1}$  for  $0.8$  s. In the samples adapted in the dark, the maximum efficiency of the photosystem (PSII) was estimated by the  $F_v/F_m$  ratio. The leaves were then illuminated with actinic light with an intensity of

$1500$   $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ . Constant fluorescence ( $F_s$ ) was obtained and another saturating light pulse was then applied for  $1$  s to obtain the maximum fluorescence emitted by the leaves ( $F_m'$ ). The actinic light was removed and the leaves were irradiated with far-red light for the obtention of light-adapted  $F_o$  ( $F_o'$ ). Photochemical quenching was calculated as  $qP = (F_m' - F_s)/(F_m' - F_o')$ , and non-photochemical quenching was calculated as  $NPQ = (F_m - F_m')/F_m'$ . Other parameters were also evaluated, such as electron transport rate ( $ETR$ ) =  $(F_m' - F_s/F_m') \times \text{PPFD} \times 0.5 \times 0.84$ ; Effective photochemical quantum yield of PS II (YII) =  $F_m' - F_s/F_m'$  =  $\Delta F/F_m'$ , quantum yields of regulated energy dissipation of PSII (YNPQ) =  $F_s/F_m' - F_s/F_m$ , quantum yields of non-regulated energy dissipation of PSII (YNO) =  $F_s/F_m$  (van Kooten and Snel 1990; Genty et al. 1996).

### Chlorophyll *a*, *b* and Carotenoids

Chlorophylls and carotenoids were extracted from  $100$  mg of leaf tissue macerated in mortar and pestle in the presence of  $3.0$  mL of 80% acetone (Arnon 1949) for  $5$  min, followed by filtration, a procedure repeated three times with the residue that remained in the filter paper. The filtrate was mixed and centrifuged at  $3500$  rpm for  $20$  min. The final volume was adjusted to  $10$  mL with distilled water. During the procedure, the tubes were protected from light with aluminum foil. Readings, taken at  $470$  (A470),  $647$  (A647), and  $663$  nm (A663), as well as the concentrations of chlorophyll *a*, *b* and total carotenoids, were calculated by the following equations:

$$\text{Chlorophyll } a \text{ (}\mu\text{g/mL)} : 12.25 \text{ A663} - 2.79 \text{ A647}$$

$$\text{Chlorophyll } b \text{ (}\mu\text{g/mL)} : 21.50 \text{ A647} - 5.10 \text{ A663}$$

$$\text{Carotenoids (}\mu\text{g/mL)} : (1000 \text{ A470} - 1.82$$

$$[\text{Cl } a] - 85.02[\text{Cl } b])/198$$

### Data Analysis

The means  $\pm$  standard error (SE) was calculated for each parameter. For the statistical analysis of the results, a comparison among treatments was performed each day after application (1DAA = 1 day after application, 15DAA = 15 days after application and rehydration); analysis of variance (ANOVA) and the Scott Knott test at 0.05% significance ( $P \leq 0.05$ ) were run, using 4.3 Sisvar software (version 4.3, Universidade Federal de Lavras, Lavras, Brasil).

## Results

### Analysis of Water Potential and Gas Exchange

The analysis of variance for water potential ( $\Psi$  MPa) and gas exchange [photosynthetic rate ( $P_n$ ), stomatal



conductance ( $g_s$ ), intercellular CO<sub>2</sub> concentration ( $C_i$ ), water use efficiency (WUE), carboxylation efficiency (CE)], was significant ( $P \leq 0.05$ ). At 7 and 15 days of water deficit, in general, the plants that underwent this stress, as expected, had lower water potentials than those normally irrigated during the whole evaluation period (Fig. 2a). However, when rehydrated, plants under water deficit and treated with SUC (SC + SUC) and MCA (SC + MCA) showed a better recovery capacity, with higher water potential values, even higher than those obtained by the irrigated control (IC) (Fig. 2a).

For the photosynthetic rate ( $P_n$ ) on the first day of stress (1DAA), the treatments that received chitosan and the derivatives showed a decrease rate (Fig. 2b). However, at 15 days of stress (15DAA), the application of MCA (SC + MCA) led to a higher photosynthetic rate in relation to the treatment that did not receive the molecules (SC) and received chitosan (SC + CH) (Fig. 2b) and the derivative SUC (SC + SUC). In rehydration, the photosynthetic rate of treatments with chitosan and derivatives returned to values similar to the control (irrigated control—IC), except for the stressed control (SC) treatment.

On the first day of stress (1DAA), treatments with chitosan and MCA led to a decrease in stomatal conductance ( $g_s$ ) in relation to the stressed control (SC) treatment. The derivatives SUC and MCA stood out at the end of the stress period (15DAA), with higher  $g_s$  averages. In rehydration, these treatments presented lower conductance in relation to the controls (IC and SC) (Fig. 2c).

Maize plants treated with chitosan + SUC obtained higher  $C_i$  on the first (1DAA) and fifteenth (15DAA) days of stress. The derivative MCA showed  $C_i$  similar to the controls at the end of the stress period (15DAA). In the rehydration phase of the plants, all the treatments with the addition of molecules were statistically equal and inferior to the controls, irrigated and non-irrigated (Fig. 2d).

Regarding water use efficiency (WUE), on the first day of stress (1DAA), the treatment with the MCA molecule had higher averages, when compared to the other treatments. The same result was observed in plant rehydration (Fig. 2e).

On the first day after application (1DAA), plants that received the treatment with chitosan and its derivatives had lower carboxylation efficiency ( $P_n/C_i$ ) in relation to the controls (IC and SC). At the end of the water stress period, the hybrid BRS 1030 had higher  $P_n/C_i$  in plants sprayed with chitosan and SC + MCA and, after water reestablishment, plants treated with chitosan, SUC and MCA were statistically superior to the other treatments for the variable under study (Fig. 2f).

## Chlorophyll Fluorescence

During the first day of evaluation (1DAA), plants treated with MCA were higher than the other treatments for the effective photochemical quantum yield of PS II (YII) ( $P \leq 0.05$ ) (Fig. 3a). At 15 days of water stress (15DAA), the same response was observed, with a higher YII for SUC (SC + SUC) and MCA (SC + MCA) molecules. The results of the electron transport rate (ETR) followed the same trend found for the effective quantum yield of PSII (YII), and the treatments SC + SUC and SC + MCA had higher ETR, similar to the irrigated control (IC), throughout stress and rehydration (Fig. 3b).

On the first day after application (1DAA), the irrigated control (IC) and the SUC derivative showed higher photochemical quenching ( $qP$ ). At 15 days of water stress (15DAA) and rehydration, there was no difference among treatments (Fig. 3c).

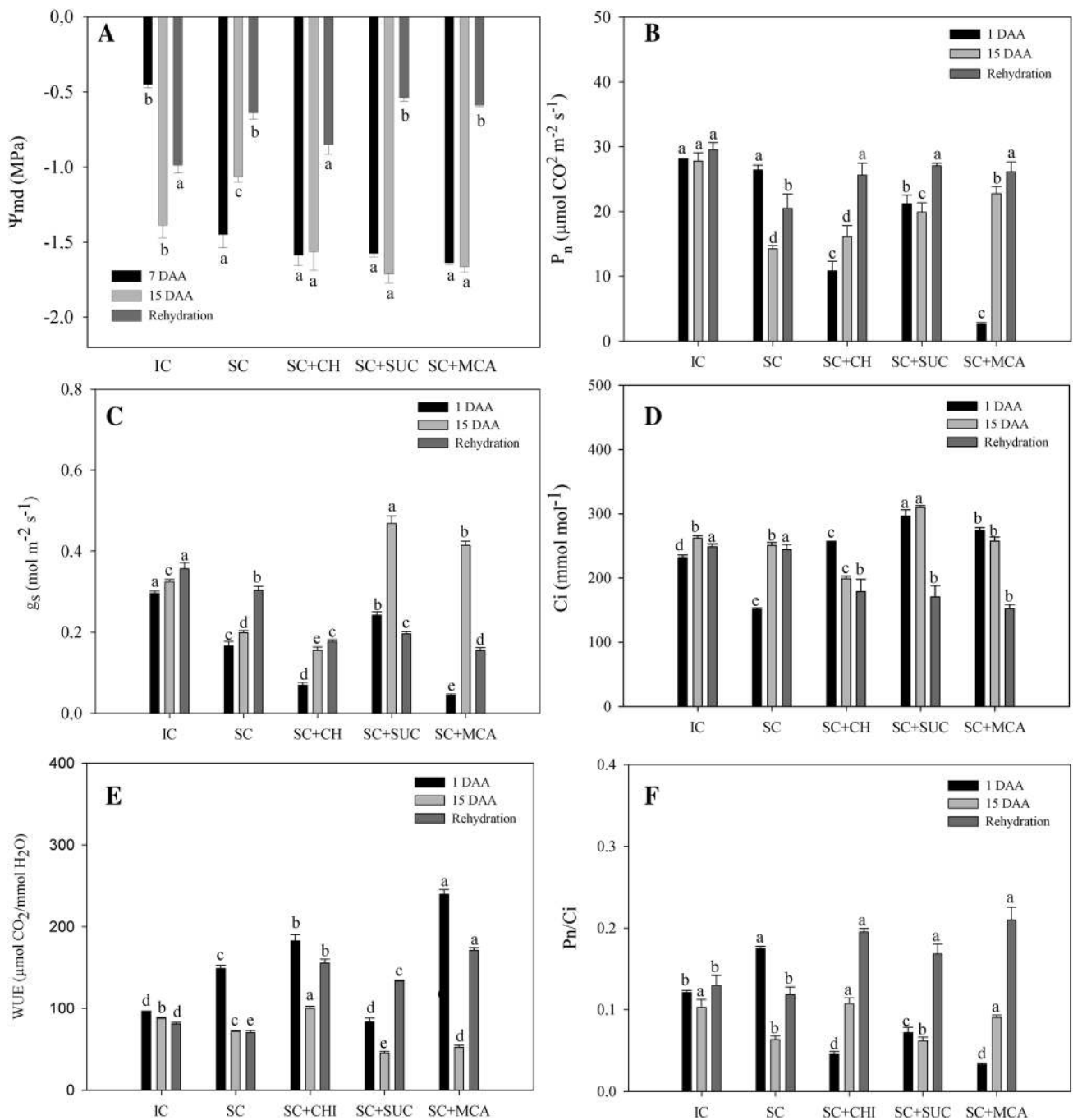
Regarding the non-photochemical quenching ( $NPQ$ ), on the first day after the application of chitosan and its derivatives (1DAA), there was no statistical difference among treatments (Fig. 3d). On the other hand, at the end of the stress period (15DAA), only the application of MCA induced a decrease in NPQ but, in rehydration, this derivative showed higher non-photochemical quenching (Fig. 3d).

There was only a reduction in the quantum yields of regulated energy dissipation of PSII (YNPQ) with the water stress treatment (SC) on 1DAA, but the applications did not modify this parameter at 15DAA and rehydration (Fig. 4a). However, the application of SUC and MCA decreased the quantum yields of non-regulated energy dissipation of PSII (YNO) at the beginning of stress (1DAA) (Fig. 4b). In the rehydration process, the MCA derivative still decreased the YNO.

The use of SUC and MCA was responsible for lower initial fluorescence ( $F_o$ ) at 15DAA and rehydration (Fig. 4c). On the first day of stress and at 15 days (1DAA and 15DAA), there were no differences among treatments for the maximum efficiency of photosystem (PSII) ( $F_v/F_m$ ) (Fig. 4d).

## Analysis of Chlorophyll Contents

In the chlorophyll content analysis, it was observed that, in all pigments (except chlorophyll *b*), the application of chitosan followed by the derivatives showed higher averages, when compared to the non-irrigated control (Table 1).



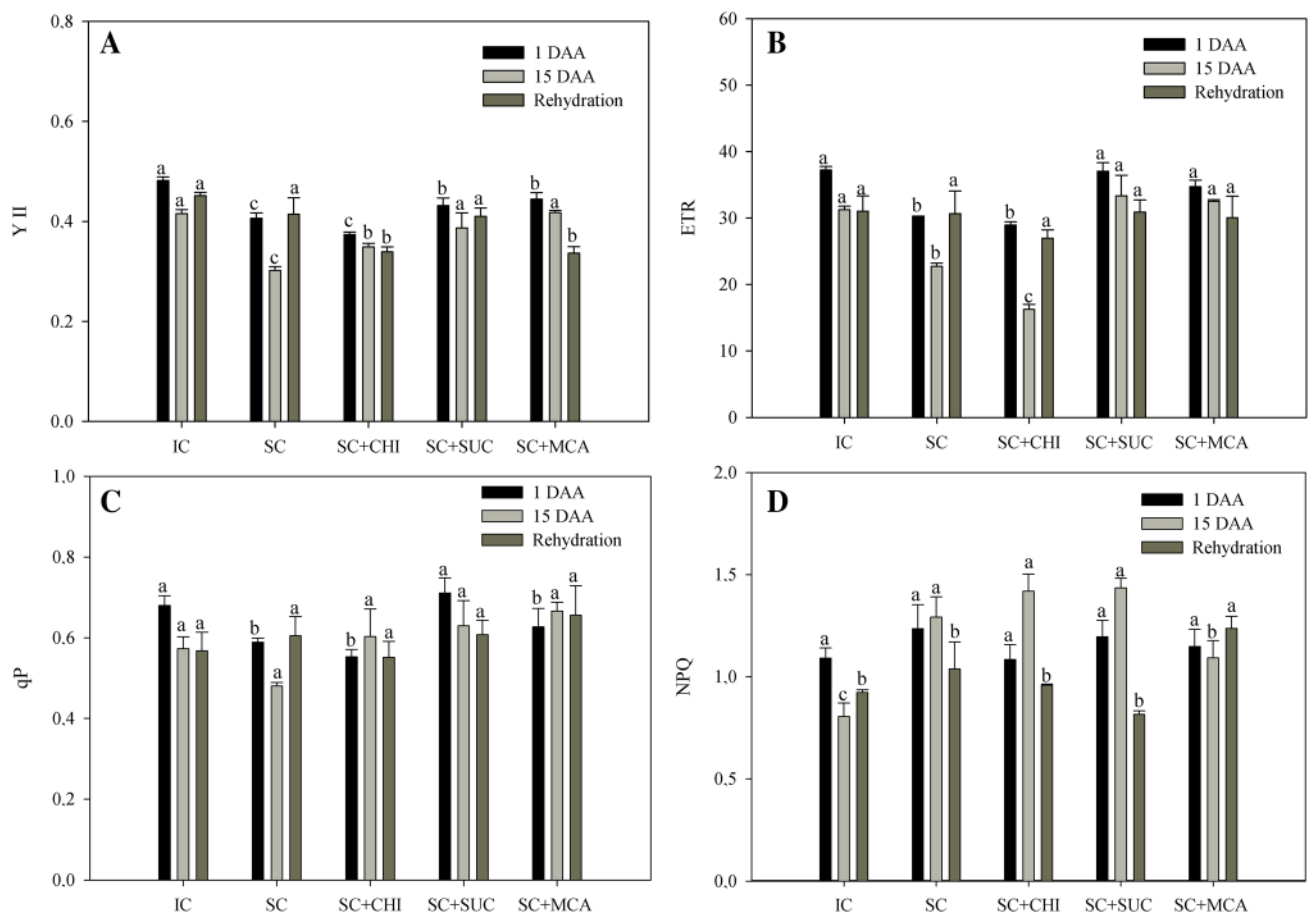
**Fig. 2** a Average leaf water potential ( $\Psi_{md}$ ) and gas exchange parameters during stress imposition and water recovery in the BRS 1030 maize hybrid, with the application of chitosan and its derivatives. b Photosynthetic rate ( $P_n$ ), c stomatal conductance ( $g_s$ ), d intercellular  $CO_2$  concentration ( $C_i$ ), e water use efficiency (WUE), f carboxylation efficiency (CE). Means followed by the same letter

ter among treatments on each day after application (1DAA; 7DAA; 15DAA; rehydration) do not differ by the Scott-Knott test at 5% probability ( $P \leq 0.05$ ). IC irrigated control, SC stressed control, SC+CHI stressed with chitosan, SC+SUC stressed with SUC, SC+MCA stressed with MCA. Bars correspond to  $\pm$  standard error (SE) ( $n=6$ )

## Discussion

According to Boyer (1970), the maximum photosynthesis occurs with leaf water potentials between  $-0.6$  and  $-0.8$  MPa. Chitosan derivatives provided a good water

recovery capacity potential for plants when they returned to normal irrigation, as shown in Fig. 2a, b. The potentials are within the range mentioned by Boyer (1970), and the photosynthesis data also followed that observed; it was greater during rehydration.

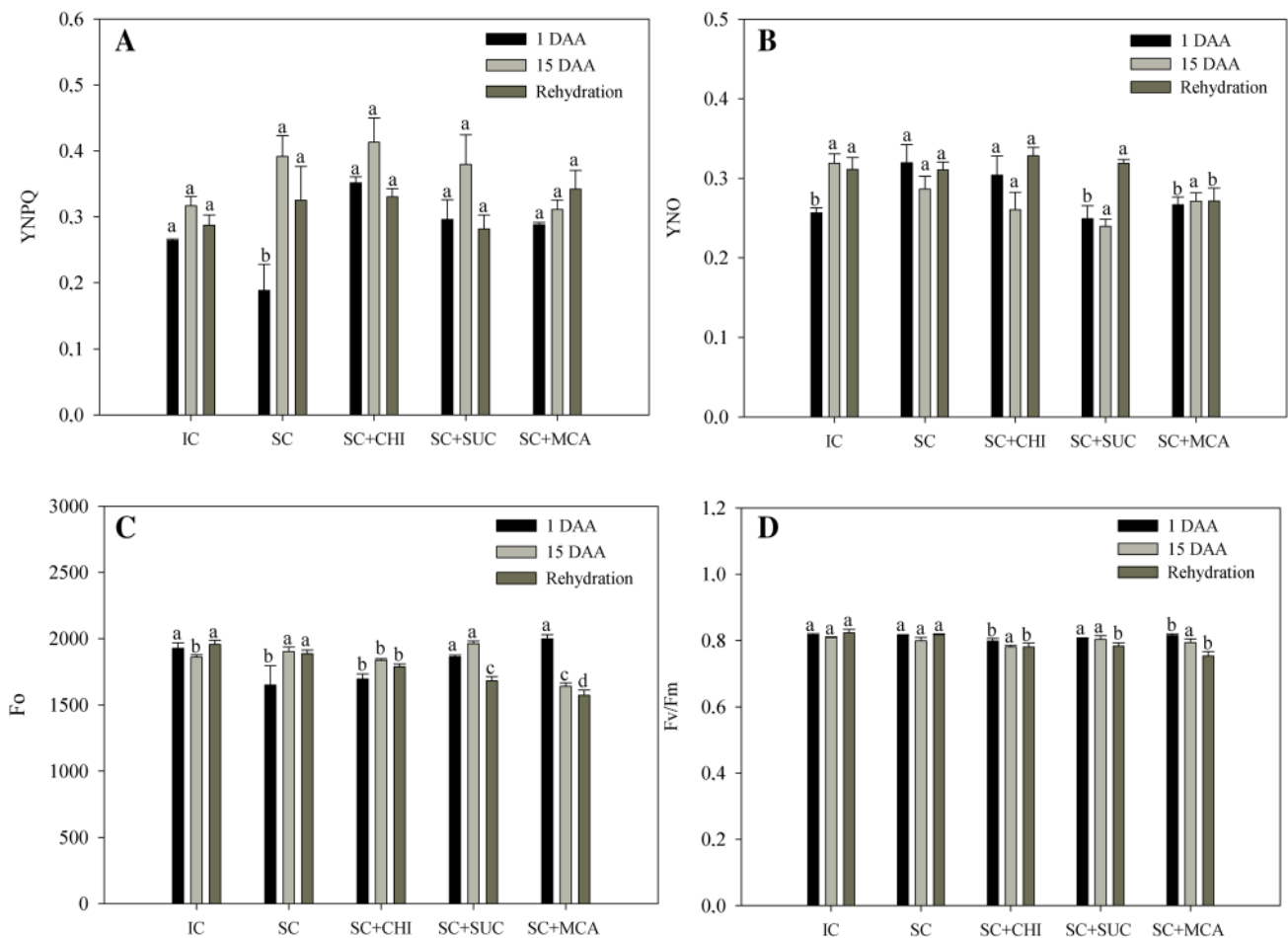


**Fig. 3** Chlorophyll fluorescence parameters during stress imposition and water recovery in the BRS 1030 drought-sensitive maize hybrid, with the application of chitosan and its derivatives. **a** Effective photochemical quantum yield of PS II (YII), **b** electron transport rate (ETR), **c** photochemical quenching ( $qP$ ), **d** non-photochemical quenching (NPQ). Means followed by the same letter among treat-

ments on each day after application (1DAA; 15DAA; rehydration) do not differ by the Scott-Knott test at 5% probability ( $P \leq 0.05$ ). IC irrigated control, SC stressed control, SC+CHI stressed with chitosan, SC+SUC stressed with SUC, SC+MCA stressed with MCA. Bars correspond to  $\pm$  standard error (SE) ( $n=6$ )

One of the first responses that occurs under exposure to drought is stomatal closure, followed by a decrease in photosynthetic rate ( $P_n$ ) (Souza et al. 2013b). Thus, maize plants submitted to water deficit, which were treated with chitosan derivatives, underwent stomatal closure at the beginning of stress, which resulted in a decrease in stomatal conductance ( $g_s$ ) and, consequently, in photosynthetic rate ( $P_n$ ). Research points to chitosan as an antitranspirant in the first hours of stress, acting in stomatal closure (Pospisilova 2003; Iriti et al. 2009). The treatments in which the derivatives and chitosan were applied increased this response at the beginning of water stress. This increase may be important for adapting maize plants at the onset of stress, because it is a drought tolerance mechanism (Yao et al. 2013). This decrease in conductance and transpiration by chitosan is induced by chemical  $H_2O_2$  messengers and ABA, which limit gas exchange (Lee et al. 1999; Iriti et al. 2009; Khokon et al. 2010; Pongprayoon et al. 2013). Therefore, the MCA derivative appears

to be inducing more than the chitosan itself, the production of ABA and other messengers in the first 24 h. Even more interesting is the performance of the two derivatives (mainly MCA) after 15 days of stress (15DAA), which significantly increased gas exchange ( $P_n$ ,  $g_s$ , WUE), when compared to chitosan and the stressed control (SC). An increase in photosynthesis and stomatal conductance was also observed in the application of chitosan in soybean and maize by Khan et al. (2002). Genetic variability for water deficit tolerance was found in maize cultivars with higher photosynthetic rates ( $P_n$ ) and stomatal conductance ( $g_s$ ) in the face of extended water stress (Carvalho et al. 2011; Souza et al. 2013b). It is also worth mentioning that the application of the molecules resulted, at the end of stress (15DAA), in greater stomatal conductance, even with the decrease in water status ( $\Psi_{md}$ ) (Fig. 2a, b). This behavior of plants with lower water status (remaining with open stomata) could be explained by a leaf osmotic adjustment optimized by chitosan molecules. Li



**Fig. 4** Chlorophyll fluorescence parameters during stress imposition and water recovery in the BRS 1030 drought-sensitive maize hybrid, with the application of chitosan and its derivatives. **a** Quantum yields of regulated energy dissipation of PSII (YNPQ), **b** quantum yields of non-regulated energy dissipation of PSII (YNO), **c** initial fluorescence— $F_0$ , **d** maximum efficiency of PSII (PSII)— $F_v/F_m$ . Means

followed by the same letter among treatments on each day after application (1DAA; 15DAA; rehydration) do not differ by the Scott-Knott test at 5% probability ( $P \leq 0.05$ ). IC irrigated control, SC stressed control, SC+CHI stressed with chitosan, SC+SUC stressed with SUC, SC+MCA stressed with MCA. Bars correspond to  $\pm$  standard error (SE) ( $n = 6$ )

**Table 1** Contents of chlorophyll *a* (CHL A) and *b* (CHL B); chlorophyll *a*+*b* (CHL A+CHL B) and xanthophylls+carotenoids (XANT+CARO), after 15 days of water deficit in maize hybrid BRS1030, sprayed or not with chitosan (CH) and its derivatives (SUC and MCA)

Treatment	CHL A	CHL B	CHL A+CHL B	XANT+CARO
IC	0.5066B	0.1100D	0.6533B	10.2266C
SC	0.2500D	0.2300A	0.4400D	7.4566D
SC+CH	0.6066A	0.2000B	0.8233A	19.6566A
SC+SUC	0.4133C	0.1466C	0.5500C	12.3800B
SC+MCA	0.3900C	0.1733C	0.5800C	11.3666C

\*Means followed by the same vertical letter do not differ by the Scott-Knott test at 5% probability ( $P \leq 0.05$ )

IC irrigated control, SC stressed control, SC+CHI stressed with chitosan, SC+SUC stressed with SUC, SC+MCA stressed with MCA

et al. (2017) verified that chitosan can influence the metabolism of osmoregulatory sugars in white clover (*Trifolium repens*).

This induction of tolerance in maize by the significant increase in photosynthesis (gas exchange and photochemical reactions) by chitosan derivatives may have happened due to the performance of these molecules in processes at the physiological and molecular level of the leaves as an increase in the defense mechanisms against reactive oxygen species (ROS) (Sharp 2013; Katiyar et al. 2015) or by the performance in nucleus and chloroplast genes involving the photosynthetic process (Chamnanmanoontham et al. 2015). Several studies show that chitosan doses sprayed on the leaves result in lower lipid peroxidation (membrane stability), a higher antioxidant enzymatic activity, and higher content of non-enzymatic antioxidant compounds (ascorbic acid, phenolic compounds, and others) under water deficit



conditions (Yang et al. 2009; Povero et al. 2011; Mondal et al. 2012; Karimi et al. 2012; Choudhary et al. 2017; Pirbalouti et al. 2017). In *Dendrobium* sp., chitosan had its greatest action in chloroplasts (Limpanavech et al. 2008) and chitosan applied to rice positively increased the expression of proteins located mainly in the chloroplast, indicating that the main target of action of chitosan is this organelle (Chamnanmanoontham et al. 2015).

In this study, the derivatives and chitosan increased the intercellular CO<sub>2</sub> concentration ( $C_i$ ) at the beginning of stress. These results were also found by Iriti et al. (2009), studying chitosan application in beans at the beginning of water stress. These data corroborate the lower carboxylation efficiency ( $P_n/C_i$ ), which was also found in this study at the beginning of stress. This indicates that there may be some non-stomatal limitation involving the carboxylation enzymes of maize photosynthesis (Perdomo et al. 2017). Souza et al. (2013b) studied the same maize hybrid (BRS1030) and also observed a non-stomatal limitation in these plants under drought conditions. However, this non-stomatal limitation was reduced with treatment with MCA during stress in this study. At 15DAA, however, chitosan and MCA prevented the reduction in carboxylation efficiency, even with the same  $P_n/C_i$  than the irrigated control.

An interesting fact is that the MCA derivative, in general, significantly potentiated water use efficiency (WUE) throughout stress, showing that this derivative induces a greater water saving by fixed carbon. This parameter is a great indicator of water stress tolerance in C4 plants such as maize (Araus et al. 2010; Lopes et al. 2011; Souza et al. 2013b; Hasan et al. 2017). In bean plants, chitosan with 85% deacetylation did not increase WUE (Iriti et al. 2009). The MCA derivative sprayed in this study still resulted in higher WUE in rehydration, a fact not yet evidenced in studies with foliar application of chitosan.

This characteristic of the BRS1030 maize hybrid, being sensitive to water deficit, can also be observed in this study by the values found for chlorophyll fluorescence parameters. Under drought conditions, the hybrid showed lower values for YII, qP, ETR in the stressed treatment (SC), corroborating other studies with sensitive genotypes (Souza et al. 2013b; Saglam et al. 2014). Ahmad et al. (2017), Zou et al. (2015), and Zhang et al. (2016) suggested in their study with *Mentha piperita* L. and wheat that chitosan oligomers may play a role as elicitors in light use efficiency, due to the positive modifications of these molecules in chlorophyll fluorescence.

In maize, water deficit-tolerant genotypes tend to have a higher effective photochemical quantum yield of PS II (YII) (Ding et al. 2005; O'Neill et al. 2006; Souza et al. 2013b) and chitosan derivatives yielded higher values for this parameter, inducing a certain tolerance to the BRS1030 maize hybrid. The lack of water in maize plants (stressed

control) induces a decrease in the “opening” of reaction centers of photosystem II, as measured by the photochemical quenching (qP). Drought-sensitive genotypes tend to have lower maintenance of water status (Efeoglu et al. 2009; Carvalho et al. 2011; Souza et al. 2013b), resulting in lower values of qP, but the use of chitosan and its derivatives has been found to have reversed these values (Table 1).

In treatments stressed with the application of SUC and MCA, the increase in photosynthesis ( $P_n$ ) corroborated the increase of YII and ETR, confirming the action target of these molecules in the photosynthetic capacity by increasing electron transport yield in PSII.

The NPQ is involved in the dissipation of excess energy and the regulation of the reaction center of photosystem II (PSII) and, in maize, this can be an important parameter to indicate photoprotective mechanisms (Yan et al. 2017). The NPQ data in this study did not show differences, corroborating the results found by Iriti et al. (2009). However, the division of non-photochemical quenchings (YNPQ and YNO) makes clear the role of chitosan derivatives in the partitioning process of the light energy absorbed by the photosynthetic apparatus. SUC and MCA derivatives lead, at the beginning of stress, to a decrease in YNO, YNPQ maintenance and YII increase, indicating that the excess excitation energy is safely dissipated at the antenna level and that the photosynthetic energy fluxes are well regulated in these treatments, without resulting in photodamage due, for example, to ROS.

When there is no stress, under normal photosynthetic conditions, the absorbed light is first used for photosynthesis, whereas only a small portion is transformed into chlorophyll heating or fluorescence (Rinderle and Linchithenthaler 1988). Increases in the minimum level of fluorescence ( $F_o$ ) would be related to negative effects on photosynthesis, as they lead to a reduction in excitation energy transfer from the PSII antenna complex to the reaction centers. The application of MCA did not lead to this increase. Peltier and Cournac (2002) related  $F_o$  with quinone redox (QA), the first PSII acceptor.

The values of maximum efficiency of PSII ( $F_v/F_m$ ) indicate that there was no damage to the photosynthetic apparatus of the plants since, according to Kalaji and Guo (2008),  $F_v/F_m$  values should be lower than 0.70, to be considered damage to the photosynthetic apparatus.

The decrease in chlorophyll content in maize under water deficit is well evidenced. Saglam et al. (2014) and Ghahfarokhi et al. (2015) observed in the drought experiment a decrease in chloroplastidic pigments in a susceptible maize cultivar and an increase in tolerant genotypes. In studies with the application of chitosan and a chitosan oligomer in leaves of coffee plants, Dzung et al. (2011) concluded that the application of these polymers increased the contents of chlorophyll *a*, *b* and carotenoids in the leaves. In this study,

the application of chitosan (mainly) and the derivatives increased the content of all pigments. According to Farouk and Amany (2012), chitosan should increase the endogenous levels of cytokinins that stimulate chlorophyll synthesis.

The MCA derivative, and also SUC, but to a lesser extent, improved the physiological characteristics and alleviated the effects of water stress in maize plants, when compared to pure chitosan. When chitosan is applied to the leaves and reaches the cell wall, enzymes such as chitinases are produced and this biopolymer is reduced to oligosaccharides (chitoooligosaccharides), which are important signaling agents for plant cells (Hadwiger 2015; Malerba and Cerana 2016). These oligosaccharides arrive in the nucleus and in the chloroplasts, acting in cascade reactions inducing oxidative burst, change in  $\text{Ca}^{2+}$  influxes, production of hormones and phytoalexins, besides modifying chromatin and protein expression (Zeng et al. 2010; Zhang et al. 2011; Hadwiger 2013, 2015; Pichyangkura and Chadchawan 2015; Chamnanmanoontham et al. 2015). A possible reason for this response of the derivatives is that oligosaccharides from the cleavage by chitinases in MCA and SUC derivatives containing *N*-succinyl and *N,O*-dicarboxymethyl groups, respectively, appear to be more active in potentiating photosynthetic responses in sensitive maize than the oligosaccharides originated only from chitosan, as they are more soluble in the physiological environment. This improves their bioavailability, leading to the cellular changes previously mentioned. Once chitosan contains more than 50% of its  $\text{NH}_2$  (carbon 2) groups deacetylated, it has high solubility when in aqueous acid solution, but not in pure water. Both the SUC and MCA derivatives are much more water-soluble than chitosan, as they contain carboxylic acid groups which facilitate the solvation process in water. It is also important to mention that, when in the physiological environment, these groups can further contribute to the solubilization process of the compound, because they may be ionized in the carboxylate form, which intensifies solubilization in aqueous media (Signini and Campana-Filho 2001).

## Conclusion

The results of this study showed that the use of chitosan derivatives is positive for chlorophyll photosynthesis and fluorescence, affecting the mechanisms involved in them, such as stomatal activity, water use efficiency and photosystem II activity (PSII). Chitosan spraying with the addition of *N*-succinyl (SUC derivative) and *N,O*-dicarboxymethyl (MCA derivative) groups potentiated the activities as tolerance inducers to water stress.

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## Compliance with Ethical Standards

**Conflict of interest** The authors declare that they have no conflict of interest.

**Research Involving Human and Animal Participants** This article does not contain any studies with human participants (or animals) performed by any of the authors.

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