Active VLF transmission experiments between the DSX and VPM spacecraft

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Abstract

This study presents results from magnetic field line conjunctions between the medium-Earth orbiting Demonstration and Science Experiments (DSX) satellite and the low-Earth orbiting VLF Propagation Mapper (VPM) satellite. DSX transmitted at very low frequencies (VLF) towards VPM, which was equipped with a single-axis dipole electric field antenna, when the two spacecraft passed near the same magnetic field line. VPM did not observe DSX signals in any of the 27 attempted conjunction experiments; the goal of this study, therefore, is to explain why DSX signals were not received. Explanations include i) the predicted power at LEO from DSX transmissions was too low for VPM to observe; ii) VPM's trajectory missed the "spot" of highest intensity due to the focused ray paths reaching LEO; or iii) rays mirrored before reaching VPM. Different combinations of these explanations are found. We present ray-tracing analysis for each conjunction event to predict the distribution of power and wave normal angles in the vicinity of VPM at LEO altitudes. We find that, for low-frequency (below 4kHz) transmissions (8 kHz and 28kHz respectively), the power at LEO is above the noise threshold of the VPM receiver (between 0.5μ V/m and 1μ V/m). We conclude that the antenna efficiency and plasmasphere model are critical in determining the predicted power at LEO, and are also the two most significant sources of uncertainty that could explain the apparent discrepancy between predicted amplitudes and VPM observations.

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Key Points: 13

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• Results from active conjunction experiments between DSX and VPM are presented

• Ray-tracing is performed to investigate the lack of DSX signal observation 15

• The effects of the antenna efficiency and plasmasphere model are explored 16

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19 Abstract

This study presents results from magnetic field line conjunctions between the medium-20 Earth orbiting Demonstration and Science Experiments (DSX) satellite and the low-Earth 21 orbiting VLF Propagation Mapper (VPM) satellite. DSX transmitted at very low fre-22 quencies (VLF) towards VPM, which was equipped with a single-axis dipole electric field 23 antenna, when the two spacecraft passed near the same magnetic field line. VPM did 24 not observe DSX signals in any of the 27 attempted conjunction experiments; the goal 25 of this study, therefore, is to explain why DSX signals were not received. Explanations 26 27 include i) the predicted power at LEO from DSX transmissions was too low for VPM to observe; ii) VPM's trajectory missed the "spot" of highest intensity due to the focused 28 ray paths reaching LEO; or iii) rays mirrored before reaching VPM. Different combina-29 tions of these explanations are found. We present ray-tracing analysis for each conjunc-30 tion event to predict the distribution of power and wave normal angles in the vicinity 31 of VPM at LEO altitudes. We find that, for low-frequency (below 4 kHz) transmissions, 32 nearly all rays mirror before reaching LEO, resulting in low amplitudes at LEO. For mid-33 and high-frequency transmissions ($\sim 8 \text{ kHz}$ and 28 kHz respectively), the power at LEO 34 is above the noise threshold of the VPM receiver (between 0.5 μ V/m and 1 μ V/m). We 35 conclude that the antenna efficiency and plasmasphere model are critical in determin-36 ing the predicted power at LEO, and are also the two most significant sources of uncer-37 tainty that could explain the apparent discrepancy between predicted amplitudes and 38 VPM observations. 39

40 Plain Language Summary

In this study we present results from transmissions between two near-Earth space-41 craft. The Demonstration and Science Experiments (DSX) satellite transmitted signals 42 at very low radio frequencies (VLF) towards the VLF Propagation Mapper (VPM) satel-43 lite when the two satellites passed near the same magnetic field line. VLF broadcasts 44 tend to follow magnetic field lines as they are guided by the plasma in the magnetosphere. 45 This study is important for understanding VLF wave propagation in the near-Earth space 46 environment. We analyze the data from each experiment and conclude that DSX broad-47 casts were not observed by VPM. The goal of this paper is to describe our analysis to 48 explain possible reasons for the lack of observation. We perform ray-tracing, or solving 49 for the paths of the VLF broadcasts, to explain why VPM missed the signals. We con-50 clude that in some cases, the broadcasts mirrored, or reversed direction in the near-Earth 51 space environment before they were able to reach VPM. In other cases, the ray-tracing 52 analysis predicts we should have observed the signals. However, we find that the DSX 53 antenna performance and the model of the near-Earth environment we use in these sim-54 ulations are significant sources of uncertainty that could explain this discrepancy. 55

⁵⁶ 1 Introduction

Very low frequency (3–30 kHz, VLF) waves can significantly impact the evolution 57 of energetic particle distributions in near-Earth space. VLF waves originate from ground-58 based sources, such as lightning and VLF transmitters, and waves generated in the mag-59 netosphere such as chorus and hiss. These waves propagate through the magnetosphere 60 as whistler-mode waves, which can induce precipitation of trapped energetic particles, 61 impacting atmospheric chemistry, astronaut safety, and satellite operations (Verronen 62 et al., 2013; Horne et al., 2013). To better understand the impact of VLF energy on en-63 ergetic particle populations, we study the propagation of whistler-mode waves in the magnetosphere. VLF wave propagation characteristics such as wave direction, amplitude, and 65 wave normal angle can influence whether or not a VLF wave is likely to induce parti-66 cle precipitation (Kulkarni et al., 2007, 2008; Rodger et al., 2010). 67

Observing VLF wave propagation requires multi-point measurements to deduce the 68 source and resulting path. These studies often impose precise timing and navigation re-69 quirements on spacecraft. A recent study by Colpitts et al. (2020) was the first direct 70 observation of the propagation of chorus elements from the equatorial source region to 71 a higher magnetic latitude through simultaneous observations from the Van Allen Probes 72 and Arase spacecraft. This study was possible because of the close magnetic conjunc-73 tion that allowed the two satellites to be in the right places at the right time; the actual 74 chorus element observation lasted less than a minute. 75

76 Compared to naturally occurring VLF emissions, ground-based VLF transmitters present a unique opportunity to study VLF propagation. Ground-based VLF transmit-77 ters, operated by the US Navy and other Navies, are located worldwide and transmit con-78 tinuously at known discrete frequency bands, allowing their respective signals to be eas-79 ily identifiable in satellite-based observations. Numerous previous studies have presented 80 observations of active ground-based VLF transmitters from satellites in the magneto-81 sphere (Sauvaud et al., 2008; Zhang et al., 2018; Ma et al., 2017; Cohen & Inan, 2012). 82 These studies are often supported by ray-tracing analysis to reproduce possible paths 83 of the VLF signals and reveal propagation characteristics. However, ground-based VLF 84 transmitters only exist at specific locations, and most transmit at frequencies above 15 kHz, 85 with the majority transmitting between 18 kHz and 26 kHz. Frequency and source lo-86 cation are significant drivers in the propagation path, thereby affecting the particle pop-87 ulations that the wave might influence (Starks et al., 2009, 2020). Satellite-based trans-88 missions, however, allow for the control of source location, frequency, and amplitude, as 89 well as the opportunity to better understand the propagation of natural inner-magnetosphere 90 sourced VLF waves, such as hiss and chorus. 91

We build on these previous studies of VLF propagation from natural sources and 92 from ground-based VLF transmitters by presenting active experiments from a satellite-93 based VLF transmitter. We present results from active VLF transmission experiments 94 between the medium-Earth orbiting Demonstration and Science Experiments (DSX) space-95 craft and the low-Earth orbit Very Low Frequency Propagation Mapper (VPM) Cube-96 Sat. The following section briefly describes the missions, while Section 2 describes the 97 data collected during 27 conjunction experiments. Section 2 also details data analysis 98 performed, leading to the conclusion that no DSX signals were observed in any of the 99 27 events. The remainder of this paper presents ray-tracing analysis to simulate each con-100 junction and investigate the lack of DSX signal observation. Section 3 describes the meth-101 ods used to perform these simulations, while Sections 4 and 5 describe the results for each 102 case. 103

1.1 The DSX and VPM Missions

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The Air Force Research Laboratory (AFRL)'s Demonstration and Science Exper-105 iments (DSX) mission launched in 2019 to research the medium-Earth Orbit (MEO) ra-106 diation environment for improved operation of satellites (Scherbarth et al., 2009). DSX 107 was launched into an elliptical orbit with a perigee of 6000 km and an apogee of 12000 km 108 and a 42° inclination. Onboard DSX is the Wave Particle Interactions Experiment (WPIx), 109 intended to study VLF transmissions in the magnetosphere and their impact on ener-110 getic particle populations. DSX performed conjunction experiments with several other 111 missions in addition to VPM to support this science goal, including the Japan Aerospace 112 Exploration Agency Arase satellite, for which data analysis is ongoing. A component of 113 the WPIx experiment is an 80 meter dipole antenna that can broadcast in the 1-50 kHz 114 range while drawing at most 1 kW of power (Spanjers et al., 2006). 115

The Very Low Frequency Propagation Mapper (VPM) mission is a companion satellite in low-Earth orbit (LEO) supporting the WPIx scientific objective by attempting to measure transmissions from the WPIx dipole antenna and characterize the transmit-

ting antenna radiation pattern (Marshall et al., 2021). VPM is a 6U CubeSat carrying 119 a single-axis electric field dipole, with an effective length of 1.1 meters, and a single-axis 120 magnetic field search coil antenna. VPM was deployed into a 500 km orbit with 51.6° 121 inclination in February 2020, and the electric field antennas deployed on March 6, 2020. On March 10, 2020, the search coil deployment was first attempted. Burst mode data 123 collected during the attempted deployment indicated no change in data quality, with the 124 data continuing to suffer from spacecraft noise that obscures any natural signals, as ex-125 pected for an undeployed antenna. Despite further deployment attempts in the coming 126 months, the signal quality did not change, indicating a likely failure of deployment. There-127 fore, only the electric field data is used for this study. 128

From April 2020 to August 2020, several magnetic field line conjunctions occurred in which DSX passed near the same magnetic field line as VPM in their respective orbits. Conjunctions were predicted using the IGRF-13 magnetic field model (Alken et al., 2021). Of these conjunctions, real-time currents and voltages from the DSX antenna exists for 27 events confirming that the DSX antenna successfully transmitted. Contact was lost with VPM in September 2020, and therefore no further conjunction experiments were attempted.

136 2 VPM Data Analysis

VPM collected burst mode data with an 80 kHz sampling rate during each magnetic conjunction. The bursts lasted approximately 100 seconds as VPM made its closest pass to the estimated DSX magnetic field line footpoint. Bursts have a windowing pattern in which data is collected for 10 seconds and then data collection pauses for 2, 5, or 10 seconds before the pattern repeats, with up to a total of 60 seconds of data collected (Marshall et al., 2021).

Confirmed transmission data for each conjunction also indicates the frequency pat-143 tern transmitted. These specific frequency patterns can be used to identify signatures 144 of DSX in the VPM burst data. However, signals leaving DSX will undergo a Doppler 145 shift from the motion of both DSX and VPM relative to the expected wave-vector of the 146 transmitted or received wave. This shift can be significant; the recent study by Němec 147 et al. (2021) observed Doppler shifts as significant as two percent from observations of 148 VLF transmitters by the LEO spacecraft DEMETER. During DSX-VPM magnetic con-149 junctions, the signals will incur a Doppler shift when leaving DSX and an additional Doppler 150 shift arriving at LEO due to the change in the index of refraction and the velocity of the 151 satellites. 152

We calculate expected Doppler shifts of signals using ray-tracing analysis. DSX trans-153 mitted in three frequency regimes throughout this experiment: low (2–4 kHz), medium 154 $(\sim 8 \text{ kHz})$, and high (28 kHz). We propagate ray paths to track the change in the index 155 of refraction vector and calculate the expected total Doppler shift due to the satellite's 156 velocity during magnetic conjunctions. In the analyzed cases, the expected Doppler shift 157 was found to be no more than 100 Hz for an 8.2 kHz signal or about 1.5 %. Therefore, 158 we expect Doppler shifts during these conjunctions within 50 Hz in the low-frequency 159 regime, within 100 Hz in the medium regime, and within 400 Hz in the high regime. 160

Signals will also experience a significant time delay due to the propagation time
from DSX to VPM. Throughout the 27 experiments, the magnetic field line separation
varied between 6,400 km and 27,000 km. By also computing the expected group velocity through ray-tracing, we anticipate the expected propagation time from DSX to VPM
and find it to be a few hundred milliseconds at most. With the range of theoretical Doppler
shift, time delay, and transmission patterns leaving DSX for each case, we analyze VPM's
data during each conjunction.



VPM Burst-Mode Data 08-17-2020 21:20:35 UTC

Figure 1. VPM burst mode electric field data from August 17, 2020, at 21:30:35 UT. Panel c shows burst mode data, with the DSX transmission pattern overlaid in red. The insets show results from superposed epoch analysis, with panel a showing the first eight seconds of the burst and panel b showing the result of averaging twelve eight-second periods of burst mode data.

- First, we estimate the minimum detectable signal amplitude for each burst obser-168 vation, which depends on the system sensitivity. This sensitivity changes due to vari-169 ations in spacecraft noise; for example, when sunlit the spacecraft experiences increased 170 solar panel noise. The expected Doppler shift for each frequency regime allows us to ap-171 propriately size the frequency bin width when processing each burst. When processing 172 the burst-mode data, we add an artificial signal at the transmission frequency. This sig-173 nal undergoes amplitude spreading by adjusting the Fast Fourier transform length to match 174 the predicted bin width from the theoretical Doppler shift. By decreasing the amplitude 175 of the artificial signal until the signal is lost to the noise floor, we can estimate the min-176 imum detectable signal. These results are shown in the rightmost column of Table 1, and 177 inform our expectations of the DSX signal. These results are discussed further in the re-178 mainder of the paper. 179
- We found only one case with signals resembling DSX's transmission pattern dur-180 ing a magnetic field line conjunction; this case occurred on August 17, 2020. VPM burst-181 mode data for this event is shown in Figure 1c, with the DSX transmission pattern over-182 laid as red lines. During this particular conjunction, DSX transmitted in a "Resonance 183 Discovery" pattern, in which large frequency sweeps are performed at the antenna to iden-184 tify the resonant frequency. The antenna then radiates short pulses of high intensity at 185 the resonant frequency, which is 8.8 kHz for this particular conjunction. Due to a tim-186 ing uncertainty onboard DSX, the exact timing of these pulses is unknown within a few 187 seconds. Even with knowledge of the propagation time, we can't be certain of the align-188 ment of these transmissions to the signals observed. Therefore, we identify this specific 189 case on August 17, 2020 as one of interest because the signals in the VPM data are in 190 the anticipated frequency region, considering a possible Doppler shift of 100 Hz and ex-191 pected delay in time between the pulses. 192

DSX repeats these resonance patterns every eight seconds. To confirm this signal 193 was from DSX and not a natural emission, we performed superposed epoch analysis on 194 the data. This analysis reveals any periodic signals indicative of a repeating frequency 195 pattern. Figure 1a shows the first eight seconds of the VPM burst mode data, while Fig-196 ure 1b shows the result of averaging twelve eight-second periods of the burst mode data. 197 Figure 1b shows a lower noise floor and a lower intensity of the signals near 9 kHz. The 198 lower signal intensity indicates the signals did not repeat in eight-second periods, mak-199 ing it unlikely that the signals originated from DSX. Even though DSX may travel up-200 wards of 50 km in eight seconds, it is unlikely that the observed signals are DSX. The 201 observed signals cover a much more extensive frequency range than can be explained by 202 Doppler shifts. The frequency ramp transmissions performed by the DSX antenna are 203 likely too weak to be observed, and the high-power, short-pulse transmissions are expected 204 to have no more than 100 Hz Doppler shift, in contrast to the 500 Hz shift observed. 205

Therefore, as August 17, 2020 is the only case with visible signals resembling DSX, we conclude that VPM did not observe DSX signals in any of the 27 conjunctions. Further analysis revealed that the signals observed on August 17 might be part of wedgelike structures formed by upper and lower VLF cutoff frequencies that are dependent on the local lower hybrid resonance frequency (Shklyar et al., 2010).

211 3 Methods

We turn to ray-tracing analysis to investigate the lack of DSX signal observation. 212 We hypothesize that i) the predicted power at LEO from DSX transmissions was too low 213 for VPM to observe, i.e., the signal was below our sensitivity; ii) VPM's trajectory missed 214 the relatively small "spot" of highest intensity due to the focused ray paths reaching LEO; 215 iii) rays mirrored before reaching 500 km altitude, thus never reaching VPM's orbit; or 216 iv) some combination of these three effects. Ray tracing analysis allows us to predict the 217 propagation path DSX transmissions took and track the wave attenuation due to Lan-218 dau damping. In addition, we chose to ray-trace in two different model plasmaspheres 219 to estimate the uncertainty of the predicted power and spatial ray "spot" from our re-220 sults, as the models represent a range of possible plasma environments that may have 221 existed during DSX-VPM conjunctions. 222

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3.1 Geophysical Models

Ray paths are modeled in three dimensions using the Stanford VLF Ray Tracing 224 program (Inan & Bell, 1977), which has been used extensively and updated (such as up-225 dating from two to three dimensions) in many VLF propagation studies (Bell et al., 2002; 226 Inan et al., 2003; Bortnik et al., 2007; Kulkarni et al., 2008). The Stanford ray tracer 227 computes ray propagation paths in the International Geomagnetic Reference Field (IGRF) 228 13th Generation magnetic field model in two different plasmasphere models: Diffusive 229 Equilibrium (Angerami & Thomas, 1964) and the Global Core Plasma Model (Gallagher 230 et al., 2000). The Diffusive Equilibrium model is an analytical model that approximates 231 the local plasma density distribution through a diffusive equilibrium distribution. The 232 Global Core Plasma Model is an empirical model that combines separate models for the 233 ionosphere, plasmasphere, plasmapause, trough, and polar cap. The International Ref-234 erence Ionosphere version 16 is used for the ionosphere model in GCPM (Bilitza, 2001). 235 Because DSX signals were transmitted from MEO from L-shells 2–4, outer plasmasphere 236 features are not relevant to compute the ray paths from DSX to LEO. Therefore, a sim-237 plified version of GCPM is implemented that assumes constant electron density along 238 each field line, removes the polar cap model, and merges the ionosphere into the equa-239 torial trough model with empirical fits applied to IRI to smoothly transition between the 240 dayside and nightside (Sousa, 2018). 241



Figure 2. Plasmasphere density in the Diffusive Equilibrium model (panel a) and the Global Core Plasmapshere model (panel b).

Figure 2 shows meridional electron density for the Diffusive Equilibrium model and 242 the simplified GCPM model (referred to as GCPM for the remainder of the paper). Both 243 models use a Carpenter-Anderson based model of plasmapause location (Carpenter & 244 Anderson, 1992) that determines plasmapause location based on the geomagnetic activ-245 ity index Kp. By extracting hourly Kp values from NASA/GSFC's OMNI data set through 246 OMNIWeb for each of the 27 events, we found the median Kp value to be 1. Therefore, 247 the simulations in this paper are performed with Kp = 1. Consequently, the plasmapause 248 location is not very relevant to our results, as most DSX-VPM conjunctions occurred 249 at low L-shell values during periods of low geomagnetic activity; in other words, most 250 conjunctions occurred well within the plasmapause. The two exceptions to this are dis-251 cussed in further in Section 4. Figure 2 also clearly shows the difference in density gra-252 dients between the models. The Diffusive Equilibrium has a particularly steep density 253 gradient at low altitudes compared to GCPM, the effects of which are discussed further 254 in Section 5. 255

3.2 Landau Damping

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We also compute the attenuation of the rays through Landau damping, which is dependent upon the propagation medium (Brinca, 1972). The implementation of Landau damping in the Stanford ray tracer remains the same as that described in the thesis work of Bortnik (2004). Most DSX-VPM conjunctions occurred where both satellites were in the same magnetic hemisphere; in these cases, Landau damping calculations resulted in very minor attenuation of less than 1%. Landau damping results in more significant attenuation for magnetic conjunctions in the opposite hemisphere in which ray paths cross the magnetic equator.

3.3 Antenna Model

To simulate the DSX antenna radiation efficiency as a function of initial wave nor-266 mal angle, we start by initializing rays at DSX's location during the magnetic conjunc-267 tions. Each ray is initialized with a random initial direction, corresponding to the ini-268 tial wave normal angle, the angle between the wave-vector k and the local magnetic field 269 \vec{B} . The wave normal angles are constrained to be within the local resonance cone, which 270 depends on the transmission frequency and the local plasma density. Figure 3 visualizes 271 this concept by showing the refractive index surfaces for a ray initialized at DSX with 272 a frequency of 2.8 kHz for the June 6, 2020 DSX-VPM conjunction. In Figure 3c the res-273 onance cone is shown as the blue shaded region and indicates the range of possible prop-274 agating wave normal angles. For this ray, the initial wave normal angle is a few degrees 275 from field-aligned, shown by the direction of the wave-vector \vec{k} . The wave-vector is re-276 lated to the index of refraction as $\vec{k} = \frac{\omega}{a}\vec{n}$ where ω is wave frequency. 277

The ray is then weighted by the antenna radiation efficiency η . In the absence of 278 a complete analytical or numerical description of the radiation pattern of this antenna, 279 as a first approximation we base this efficiency on the radiation pattern for a small dipole 280 antenna and its dependence on wavelength. This efficiency is inversely proportional to 281 the square of the wavelength, and the wavelength is proportional to the index of refrac-282 tion, \vec{n} ; hence, near the resonance cone, where the index of refraction becomes very large 283 and the wavelength very short, the antenna radiation efficiency is higher. Therefore, we 284 define the antenna radiation efficiency η as the ratio of the squared magnitudes of the 285 index of refraction of the ray at DSX n_{DSX} and the index of refraction near the reso-286 nance cone n_{res} , given by Equation 1: 287

$$\eta = \left(\frac{n_{DSX}}{n_{res}}\right)^2 \tag{1}$$

The wave normal angle is directly related to the index of refraction as previously discussed, and therefore η is dependent on initial wave normal angle. Physically, this ref-



Figure 3. Ray propagation for the June 6, 2020 DSX-VPM conjunction with a 2.8 kHz transmission frequency. Panel a shows the propagation path in the meridional plane of a 2.8 kHz ray initialized at DSX. Panel c shows the refractive index surface at DSX and panel b shows the refractive index surface at its first mirror point. Panel c also shows the shaded resonance cone, within which all ray directions are initialized. Ray paths were computed in the Diffusive Equilibrium model.

erences the antenna radiation efficiency at arbitrary directions to the efficiency at the resonance cone, with a parabolic decay as the wave normal approaches field-aligned.

After the initial wave normal angle is defined and the magnitude of the initial in-292 dex of refraction, n_{DSX} , is found to compute η , the ray is then propagated towards LEO. 293 Figure 3a shows the ray path. The ray's initial group velocity, and therefore the direc-294 tion of propagation, is normal to the refractive index surface at the intersection of the 295 surface and the wave-vector. As the ray propagates, the refractive index surface changes 296 with the changing medium, eventually closing in certain cases (shown in Figure 3b) and 297 allowing the ray to mirror. In the case shown, the ray mirrors several times, settling at 298 an L-shell between 2.5 and 3, but never reaching VPM's altitude of 500 km. 299

With rays initialized and weighted based on their directions, each ray is addition-300 ally assigned a fraction of the total dissipated power depending on transmission frequency. 301 For example, in the conjunction on August 17, 10 Watts was dissipated by the DSX trans-302 mitter, and each ray is assigned a power of $\frac{10}{k}$ Watts, where k is the total number of rays 303 simulated. The total power dissipated is given by P and the total number of rays reach-304 ing LEO is given as m in Equation 2. Therefore $m \cdot P$ gives the total power arriving at 305 LEO. As the rays propagate to LEO, normalized Landau damping, indicated as χ , is ap-306 plied to each ray to scale the expected attenuation and/or growth. The area around VPM 307 at LEO is divided into grid cells, each with area A, and we sum the total number of rays 308 in each cell to calculate the final signal intensity at LEO in $Watts/m^2$. This intensity 309 is converted to electric field amplitude in V/m through Equation 2: 310

$$E = \sqrt{\frac{2}{c\epsilon_0 A}} \frac{P}{n_{res}^2} \sum_{i}^{m} \frac{n_{i_{DSX}}^2 \chi_{i_{LEO}}}{n_{i_{LEO}}}$$
(2)

where the index of refraction at LEO, n_{LEO} , the speed of light, c, and permittivity of free space, ϵ_0 , are used to convert from intensity to electric field amplitude.

313 4 Results

Table 1 summarizes the results of simulating the 27 magnetic conjunctions between 314 DSX and VPM. Of these conjunctions, eleven are in the low-frequency regime (2–4 kHz), 315 seven in the mid-frequency regime ($\sim 8 \text{ kHz}$), and nine in the high-frequency regime (28 kHz). 316 Dissipated power used for each simulation depends on the frequency transmitted, with 317 approximately 3 Watts dissipated in the low-frequency regime, 10 Watts dissipated in 318 the mid-frequency regime, and 30 Watts in the high-frequency regime. Note that it is 319 unlikely that all of this power translated into propagating electromagnetic waves, so our 320 results are by definition an upper bound on predicted electric fields. The third and fourth 321 columns present the percentage of all rays simulated that mirrored before reaching VPM's 322 altitude. For high-frequency conjunctions, this percentage is always zero, as the trans-323 mitted frequency is well above the lower hybrid resonance frequency in the ionosphere, 324 and therefore, the rays do not mirror. For mid-frequency conjunctions, the signals do 325 encounter regions where the local lower hybrid resonance frequency is above the trans-326 mission frequency as they propagate towards the ionosphere, resulting in magnetospheric 327 reflection (Kimura, 1966). Columns five and six of Table 1 present the maximum pre-328 dicted amplitude that VPM may have observed at LEO, with simulations run in both 329 plasmasphere models. Entries with "Missed spot" indicate VPM's trajectory did not pass 330 through any regions of non-zero predicted amplitude during the burst. On May 28, 2020 331 and June 1, 2020, DSX's estimated position (near an L-shell value of 4) is very near to 332 the modelled plasmapause, causing non-physical behavior of the simulated rays in the 333 GCPM model. These two cases were subsequently omitted in the GCPM model, but re-334 sults are presented in the Diffusive Equilibrium model. 335

The seventh column in Table 1 indicates the VPM receiver's estimated sensitiv-336 ity at the transmission frequency. This sensitivity depends on the present spacecraft noise 337 and the theoretical Doppler shift at the transmission frequency. We determined sensi-338 tivity using the method described in Section 2. VPM frequently suffered from solar panel 339 noise in the 0–5 kHz range, making lower frequency signals challenging to detect. How-340 ever, we expect more amplitude spreading for high-frequency conjunctions due to the 341 comparatively larger Doppler shift. The net effect of the spacecraft noise and amplitude 342 spreading is a consistent minimum detectable signal between 0.5 μ V/m and 1 μ V/m for 343 all frequency regimes. In just two instances, the burst data was affected by abnormal 344 spacecraft noise, which increased the minimum detectable signal. The final two columns 345 of Table 1 show the minimum field line distance between the two satellites during each 346 burst and the minimum transverse distance, or distance between VPM and DSX's field 347 line footpoint at VPM's altitude. 348

For all eleven of the low-frequency regime conjunctions (transmissions below 4 kHz), 349 nearly 100% of the simulated rays mirrored before reaching VPM (see Figure 3) in both 350 plasmasphere models, resulting in very low predicted electric field amplitudes at LEO. 351 Because of this, example results from a low-frequency conjunction are not presented. In 352 the mid-frequency regime, results are more variable. In some cases, such as May 8, 2020, 353 results are similar to the low-frequency regime in which mirroring is the dominant be-354 havior for all simulated rays. However, for some mid-frequency conjunctions, mirroring 355 strongly depends on the initial wave normal angle of the ray. 356

Highly oblique rays (wave normal angles near the resonance cone angle) are more
likely to mirror before reaching LEO. This behavior is highlighted by the conjunction
on May 19, 2020, shown in Figure 4. Figure 4 shows the result of propagating 100,000
rays from DSX toward VPM in the Diffusive Equilibrium model. Figure 4a shows the
wave normal distribution of the 46% of rays that mirrored before reaching LEO. Figure 4b

Date and Time (UT)	Freq.	% Mirror DE	% Mirror GCPM	Amp. DE	Amp. GCPM	Est. Sens.	Field line Dist. [km]	Transverse Dist. [km]
06 Apr 2020 22:04:45	$8.2 \mathrm{~kHz}$	79%	47%	$1~\mu V/m$	$5 \ \mu V/m$	$1~\mu\mathrm{V/m}$	10065	38
26 Apr 2020 07:05:05	$28 \mathrm{~kHz}$	0%	0%	Missed spot	Missed spot	$0.4~\mu\mathrm{V/m}$	24223	1
08 May 2020 21:49:20	$8.2 \mathrm{~kHz}$	100%	99%	$0 \ \mu V/m$	$2~\mu V/m$	$5 \ \mu V/m$	26942	594
10 May 2020 02:38:31	$28 \mathrm{~kHz}$	0%	0%	$6 \ \mu V/m$	$20~\mu V/m$	$2 \ \mu V/m$	13719	295
16 May 2020 12:04:15	$28 \mathrm{~kHz}$	0%	0%	Missed spot	Missed spot	$0.5~\mu\mathrm{V/m}$	15649	172
19 May 2020 15:47:45	$8.2 \mathrm{~kHz}$	46%	32%	$1~\mu V/m$	$3 \ \mu V/m$	$0.3~\mu\mathrm{V/m}$	6717	124
20 May 2020 18:27:45	$28 \mathrm{~kHz}$	0%	0%	$11~\mu\mathrm{V/m}$	$12~\mu V/m$	$0.5~\mu\mathrm{V/m}$	8518	315
25 May 2020 22:47:40	$28 \mathrm{~kHz}$	0%	0%	$10 \ \mu V/m$	$15~\mu V/m$	$0.5~\mu\mathrm{V/m}$	14810	197
28 May 2020 02:19:30	$28 \mathrm{~kHz}$	0%	-	$4 \ \mu V/m$	—	$0.5~\mu\mathrm{V/m}$	10828	196
29 May 2020 22:43:05	$8.2 \mathrm{~kHz}$	89%	29%	Missed spot	Missed spot	$1~\mu\mathrm{V/m}$	11206	2292
01 Jun 2020 01:46:50	$28 \mathrm{~kHz}$	0%	-	$3 \ \mu V/m$	_	$1~\mu\mathrm{V/m}$	11726	831
03 Jun 2020 13:46:25	$3.0 \mathrm{~kHz}$	95%	91%	$0.2~\mu\mathrm{V/m}$	Missed spot	$1~\mu\mathrm{V/m}$	10910	1810
06 Jun 2020 10:40:10	$3.4 \mathrm{~kHz}$	88%	64%	$0.3~\mu\mathrm{V/m}$	$1 \ \mu V/m$	$0.5~\mu\mathrm{V/m}$	9288	959
06 Jun 2020 19:56:10	$2.8~\mathrm{kHz}$	100%	95%	$0.2~\mu\mathrm{V/m}$	$0.5~\mu\mathrm{V/m}$	$0.5~\mu\mathrm{V/m}$	20362	1976
07 Jun 2020 17:49:15	$3.4 \mathrm{~kHz}$	97%	91%	$0.2~\mu\mathrm{V/m}$	$1 \ \mu V/m$	$0.5~\mu\mathrm{V/m}$	11824	587
16 Jun 2020 13:00:30	$2.8~\mathrm{kHz}$	98%	89%	$0.2~\mu\mathrm{V/m}$	$0.3~\mu\mathrm{V/m}$	$1~\mu\mathrm{V/m}$	13484	323
17 Jun 2020 15:37:20	$3.2 \mathrm{~kHz}$	95%	91%	$0.2~\mu\mathrm{V/m}$	$1 \ \mu V/m$	$0.5~\mu\mathrm{V/m}$	11307	208
18 Jun 2020 22:53:45	$2.8~\mathrm{kHz}$	99%	83%	$0.1~\mu\mathrm{V/m}$	$0.5~\mu\mathrm{V/m}$	$1~\mu\mathrm{V/m}$	15878	313
21 Jun 2020 19:44:35	$3.4 \mathrm{~kHz}$	98%	86%	$0.2~\mu\mathrm{V/m}$	$0.4~\mu\mathrm{V/m}$	$0.5~\mu\mathrm{V/m}$	12608	266
04 Jul 2020 12:35:20	$8.2 \mathrm{~kHz}$	100%	60%	$0 \ \mu V/m$	$2 \ \mu V/m$	$1~\mu\mathrm{V/m}$	27555	1092
23 Jul 2020 21:24:05	$3.0 \mathrm{~kHz}$	97%	97%	$0.2~\mu\mathrm{V/m}$	Missed spot	$0.5~\mu\mathrm{V/m}$	12608	2108
25 Jul 2020 00:04:50	$8.2 \mathrm{~kHz}$	96%	39%	$0.5~\mu\mathrm{V/m}$	$7 \ \mu V/m$	$1~\mu\mathrm{V/m}$	13138	168
27 Jul 2020 20:53:55	$28 \mathrm{~kHz}$	0%	0%	$5 \ \mu V/m$	$20~\mu\mathrm{V/m}$	$0.5~\mu\mathrm{V/m}$	13867	280
08 Aug 2020 15:09:05	$3.6 \mathrm{~kHz}$	62%	51%	$0.3~\mu\mathrm{V/m}$	$1 \ \mu V/m$	$1~\mu\mathrm{V/m}$	7403	477
08 Aug 2020 23:55:55	$3.3 \mathrm{~kHz}$	100%	91%	$0 \ \mu V/m$	$0.5~\mu\mathrm{V/m}$	$1~\mu\mathrm{V/m}$	17538	56
17 Aug 2020 21:20:35	$8.8~\mathrm{kHz}$	43%	20%	$1 \ \mu V/m$	$2~\mu V/m$	$1~\mu\mathrm{V/m}$	7184	324
20 Aug 2020 18:34:50	$28~\mathrm{kHz}$	0%	0%	$5 \ \mu V/m$	$10~\mu\mathrm{V/m}$	$0.5~\mu\mathrm{V/m}$	7119	724

 Table 1.
 Simulation Results of DSX-VPM Conjunctions



05-19-2020 8.2kHz DSX-VPM Conjunction Ray-tracing Result

Ray-tracing result in the Diffusive Equilibrium model from the May 19, 2020 DSX-Figure 4. VPM conjunction with an 8.2 kHz transmission frequency. Panel a is a histogram showing the wave normal angle distribution of the rays that mirrored before reaching LEO. Panel b shows the number of rays arriving at LEO and the panel c is a contour plot of the average initial wave normal angle of those rays. Panel d shows electric field amplitude calculated from Equation 2. The grey regions of these plots are where the ray count is zero.

indicates the ray density at LEO, while the Figure 4c shows the average initial wave nor-362 mal angle of the rays reaching LEO in each latitude / longitude bin. Figure 4d shows 363 predicted electric field amplitude, after taking into account the distribution of initial wave 364 normal angles. VPM is shown as the dark star moving along its ground track shown in 365 blue. 366

Figure 5 also shows the ray paths for this exact conjunction in the meridional plane, 367 with line color indicating initial wave normal angle. Comparing these two figures, we can 368 see how most field-aligned rays propagate toward LEO and end up at slightly higher lat-369 itudes than oblique rays, which mostly follow the magnetic field line traced from DSX. 370 While some of these rays reach LEO, considerably more highly oblique rays mirror, and 371 continue to mirror multiple times and settle in the magnetosphere. When comparing Fig-372 ure 4c and Figure 4d, we see how most rays that reach LEO are nearly field-aligned. There-373 fore, the resulting electric field amplitude is highest at the region of high ray density/ 374 low initial wave normal angle rather than where the fewer highly oblique rays reach LEO. 375

Predicted amplitudes for the May 19, 2020 conjunction are near or below the es-376 timated VPM sensitivity and are relatively consistent between plasmasphere models. In 377 other mid-frequency conjunctions with wave normal dependence on mirroring, the two 378 plasmasphere models produce significantly different results for the same conjunction, dis-379 cussed further in Section 5. 380

We also present the results of ray-tracing for a high-frequency conjunction on July 381 27, 2020. In this conjunction, the ray frequency is too high for the refractive surface to 382 close, and the rays do not mirror. Therefore, the location of the highest ray intensity rel-383 ative to VPM is the most critical consideration. Results in the Diffusive Equilibrium model 384 are presented in Figure 6, with a maximum electric field amplitude of 8 μ V/m predicted. 385 VPM's trajectory, however, only passes through an area where the amplitude peaks at 386



Figure 5. Meridional plane view of the May 19, 2020 DSX-VPM conjunction with an 8.2 kHz transmission frequency. Ray paths are computed in the Diffusive Equilibrium model and are shown from DSX to VPM, with the color of the path indicating the initial wave normal angle.



07-27-2020 28kHz DSX-VPM Conjunction Rav-tracing Result

Ray-tracing result in the Diffusive Equilibrium model from the July 27, 2020 DSX-Figure 6. VPM conjunction with a 28 kHz transmission frequency. Panel a shows number of rays arriving at LEO and panel b is a contour plot of the average initial wave normal angle of those rays. Panel c shows electric field amplitude calculated from Equation 2. The grey regions of these plots are where the ray count is zero. A histogram showing wave normal angle distribution of rays that mirrored is not included because rays do not mirror at this frequency.

 $5 \,\mu V/m$. A similar trend is seen where field-aligned rays are found in the highest inten-387 sity, with higher wave normal rays spread into the region around this area. Most other 388 high-frequency conjunctions resulted in similar amplitudes and were consistent between 389 plasmasphere models. 390

391

5 Discussion and Conclusions

Results indicate that low-frequency transmissions were unlikely to be observed by 392 VPM, while some mid-frequency and most high-frequency transmission conjunctions are 303 predicted to have amplitudes above a few $\mu V/m$ given our assumptions about the trans-394 mitter power. The DSX dissipated powers used here impose an absolute upper bound 395 on amplitudes. The minimum detectable signal is between 0.5 μ V/m and 1 μ V/m. While 396 these results seem to suggest that VPM should have observed the DSX signal, there are 397 two possible explanations for this discrepancy. First, the antenna radiation efficiency at 398 DSX is a source of uncertainty in this method. We expect that high wave normal an-399 gles are the most efficiently excited by the DSX transmitter. As such, we have weighted 400 the antenna radiation efficiency, η , based on wave normal angle as described in Section 3. However, based on discussions and modelling of the DSX antenna, it is likely that our 402 radiation efficiency overestimates the power in low wave normal (field-aligned) rays, re-403 sulting in a higher electric field amplitude predicted at LEO. Ongoing work is attempt-404 ing to estimate a more accurate radiation pattern for the DSX transmitting antenna. 405

In fact, the choice of radiation efficiency is very significant in the maximum pre-406 dicted electric field amplitude. Figure 7 compares predicted electric field amplitude at 407 LEO for an 8.8 kHz transmission from DSX with three different antenna efficiencies. Fig-408 ure 7a shows an isotropic model, in which all wave normal angles are excited equally. The 409 predicted amplitude is well above the minimum detectable signal. Figure 7b uses the an-410 tenna radiation efficiency η discussed in Section 3, proportional to the square of the in-411 dex of refraction. In this case the electric field amplitude is an order of magnitude lower 412 compared to the isotropic radiator. Finally, with a more severe dependence on k-vector 413



08-17-2020 8.8kHz DSX-VPM Conjunction Antenna Model Comparison

Figure 7. Electric field amplitude at LEO for the August 17, 2020 DSX-VPM conjunction with an 8.8 kHz transmission frequency comparing three antenna radiation efficiencies. Panel a shows an isotropic antenna model while panel b shows the antenna efficiency given in Equation 1. Panel c shows an antenna efficiency with a more severe dependence on k-vector direction. The grey regions of these plots are where the ray count is zero. Ray paths are computed in the GCPM model.

direction shown in Figure 7c, found by including an exponential decay expanded around the resonance cone angle, the predicted amplitude at LEO drops another order of magnitude. This factor represents an artificial adjustment to the physics-based radiation pattern given in Equation 1 and attempts to weight the radiation pattern even more heavily toward the resonance cone. While this model is not physics-based, this comparison shows how essential the initial radiation pattern is to predicting the final electric field amplitude.

The second explanation for the discrepancy between observations and simulated 421 amplitudes is the plasmasphere model. Results from Figure 6 show a "spot" of highest 422 intensity. The location and amplitude of this spot can vary drastically between plasma-423 sphere models. In the GCPM model for the same simulation presented in Figure 6, the 424 spot moves south nearly 500 km, closer to VPM's trajectory, and the amplitude doubles. 425 Given that models are estimates of the physical plasmasphere that may have existed dur-426 ing each conjunction, the two results represent simulation uncertainty. This uncertainty 427 is further highlighted by Figure 8, which shows the predicted electric field amplitude for 428 the conjunction occurring on July 25, 2020 with an 8.2 kHz transmission frequency. In the Diffusive Equilibrium model, 96% of the rays mirror before reaching LEO, while only 430 39% mirror in GCPM. This results in a maximum amplitude below 1 μ V/m for Diffu-431 sive Equilibrium, but up to 7 μ V/m for GCPM. We believe this is attributed to a slightly 432 larger density gradient at LEO in the Diffusive Equilibrium model that results in a higher 433 local lower hybrid resonance frequency. When this frequency exceeds that of the signals, 434 the rays mirror. 435

The antenna efficiency or radiation pattern and the plasmasphere model are crucial parameters for experiments with inner magnetosphere VLF transmissions to LEO. Highly oblique rays are likely most efficiently excited by the DSX transmitter, which results in significant magnetospheric reflection (mirroring) and low amplitudes observed at LEO. For the attempted low-frequency conjunctions, this seems to be the most significant reason for not observing DSX, as the two plasmasphere models produced very



initial wave normal angle shown on the color scale (panels a and c) and meridional plane view with VPM conjunction with an 8.2 kHz transmission frequency. Panels a and b present results from simulations in the Diffusive Equilibrium model while panels c and d present results from simulating in the GCPM model. The grey regions of panels a and c are where the ray count is zero.

similar results. In the mid-frequency regime, both the antenna model and the plasma-442 sphere model result in wide variation. The antenna model used is a critical driver in the 443 final electric field amplitude, and we suspect Figure 7c is closest to the actual DSX antenna radiation pattern (also the smallest electric field amplitude). Ongoing work with 445 the DSX data set should help to justify this. In addition, the present plasma density gra-446 dients can significantly impact the number of rays that mirror, resulting in a large range 447 of possible amplitudes with high spatial uncertainty for these conjunctions. This uncer-448 tainty of the ray "spot" is the most likely reason for the lack of observation of the high-449 frequency conjunctions as well. While the actual DSX antenna pattern may drop the ex-450 pected amplitudes, they may still be in the observable range (over $0.5 \ \mu V/m$), and there-451 fore we conclude that in these cases VPM simply missed the ray spot. 452

453 6 Open Research

The VPM data used for analysis are available as a Zenodo repository via https://
 doi.org/10.5281/zenodo.5522908. The Stanford ray tracer used for ray tracing simulations is preserved at Zeonodo via https://zenodo.org/badge/latestdoi/217197448
 and openly developed at https://github.com/rareid2/Stanford_Raytracer.

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