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# Adsorbate-Mediated Strong Metal–Support Interactions in Oxide-Supported Rh Catalysts

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# Table of contents summary:

Developing approaches to tune reactivity and selectivity of supported metal heterogeneous catalysts is critical for designing environmentally friendly chemical conversion processes. A reversible, structural catalyst transformation was identified, which involves an adsorbate-mediated oxide support encapsulation of Rh nanoparticles, that enabled dynamic tuning of  $CO_2$  reduction selectivity.

### Abstract:

Optimization of supported metal catalysts predominantly focuses on engineering the metal site, where physical insights based on extensive theoretical and experimental contributions have enabled rational design of active sites. While it is well known that supports can influence the catalytic properties of metals, insights into how metal support interactions can be exploited to optimize metal active-site properties are lacking. We utilize in-situ spectroscopy and microscopy to identify and characterize a support effect in oxide-supported heterogeneous Rh catalysts. This effect is characterized by strongly bound adsorbates (HCOx) on reducible oxide supports (TiO<sub>2</sub> and Nb<sub>2</sub>O<sub>5</sub>) inducing oxygen-vacancy formation in the support and causing HCOx functionalized encapsulation of Rh nanoparticles by the support. The encapsulation layer is permeable to reactants, stable under reaction conditions, and strongly influences the catalytic properties of Rh, enabling rational and dynamic tuning of CO<sub>2</sub> reduction selectivity.

# Main Text:

The identification of optimal active sites on supported metal catalysts often focuses on engineering composition or geometry of the metal site for maximizing reaction rate or controlling reaction selectivity.<sup>1–5</sup> Much less is known about how metal support interactions can be exploited to control the reactivity of heterogeneous metal oxide supported metal catalysts, although support characteristics can influence catalytic reactivity or selectivity as considerably as characteristics of the metal.<sup>6,7</sup> Demonstrated mechanisms of support effects on metal reactivity include small cluster stabilization,<sup>8</sup> charge transfer,<sup>9–12</sup> support participation in catalysis,<sup>7,13,14</sup> and oxide encapsulation of metal nanoparticles.<sup>15–19</sup>

Encapsulation of metal nanoparticles by reducible oxide support overlayers is the only mechanism by which supports can affect catalysis at a majority of active sites on metal particles with diameters larger than 1-2 nm,<sup>12</sup> and was designated by Tauster<sup>15</sup> as strong metal support interactions (SMSI). The SMSI encapsulation state forms due to high temperature H<sub>2</sub> treatment of reducible oxide-supported Pt-group metals, causing a reduction of the oxide support to substoichiometric oxygen concentrations and inducing oxide migration on top of metal nanoparticles. It has been hypothesized that bonding between cationic support metal atoms and the metal catalyst surface makes migration of the support onto the metal thermodynamically favorable.<sup>20</sup>

Excitement surrounding the discovery of SMSI overlayers was stoked by the suggestion that the SMSI state could be used to tune metal catalyst reactivity via partial decoration of metals by oxide overlayers.<sup>16</sup> However, the SMSI encapsulation state rarely finds an intermediate configuration where partial metal coverage by the oxide allows interaction with a majority of exposed metal sites. Instead, the oxide overlayer either covers all metal sites, rendering catalysts inactive, or retreats off the metal due to re-oxidation of the reduced support by H<sub>2</sub>O or O<sub>2</sub>,<sup>21,22</sup> creating a situation where only a small fraction of metal sites are influenced by the partially reduced support, specifically at the metal-support interface.<sup>23–26</sup> The poisoning or receded SMSI overlayers to increase reactivity or control selectivity on supported metal catalysts.

Here we demonstrate an SMSI encapsulation state that forms due to treatment of TiO<sub>2</sub> and Nb<sub>2</sub>O<sub>5</sub> supported Rh nanoparticles in CO<sub>2</sub>-H<sub>2</sub> (CO<sub>2</sub>-rich) environments at temperatures of 150-300 °C. *In-situ* spectroscopy and microscopy show that high coverage of adsorbates (HCO<sub>x</sub>) on the support induces oxygen vacancy formation, driving migration of the HCO<sub>x</sub>-functionalized support onto the metal. This adsorbate-mediated SMSI (A-SMSI) encapsulation state is stabilized against re-oxidation by H<sub>2</sub>O and modifies the reactivity of all remaining exposed Rh sites, appearing to be comprehensive in covering Rh but amorphous and permeable to reactants. Formation of the A-SMSI state induces a selectivity switch in the CO<sub>2</sub> reduction reaction from CH<sub>4</sub> production on bare Rh particles to CO production in the A-SMSI state, effectively rendering Rh less active for C-H bond formation. Our results show that the A-SMSI state represents a powerful support effect, enabling rational manipulation of metal catalyst reactivity.

### Results

### Effect of *in-situ* pretreatments on CO<sub>2</sub> reduction catalysis

In a recent analysis of  $TiO_2$  supported Rh (Rh/TiO<sub>2</sub>) catalysts for the reduction of CO<sub>2</sub> by H<sub>2</sub>, we observed a dynamic decrease in the rate of CH<sub>4</sub> production and increase in the rate of CO

production when operating at  $CO_2$ :H<sub>2</sub> ratios greater than 1.<sup>5</sup> Based on an assignment of CO production occurring at isolated Rh atoms and CH<sub>4</sub> production occurring at Rh nanoparticle surfaces, the reactivity change was attributed to Rh nanoparticle disintegration into isolated Rh atoms. However, the disproportionate magnitude of change in CO and CH<sub>4</sub> production rates (Supplementary Fig. 1) cannot be rationalized by the disintegration mechanism, suggesting that a more complex physical catalyst transformation was responsible for the changing selectivity.

To understand the controllability and mechanism of the observed dynamic change in CO<sub>2</sub> reduction selectivity, a series of oxide-supported Rh catalysts with varying oxide composition and Rh weight loading were synthesized, see Supplementary Information. To explore the effect of various pretreatments on CO<sub>2</sub> reduction selectivity, Rh/TiO<sub>2</sub> catalysts were reduced at 450 °C in H<sub>2</sub>, evaluated for reactivity at 1%CO<sub>2</sub>:1%H<sub>2</sub>:98%He and 200 °C (reaction conditions were chosen for catalyst stability, see Supplementary Fig. 2,3, and used throughout the report except when stated otherwise), exposed to various environments (see Supplementary Table 1), and evaluated again for reactivity at 1%CO<sub>2</sub>:1%H<sub>2</sub>:98%He and 200 °C. Only treatments in CO<sub>2</sub>:H<sub>2</sub> environments with CO<sub>2</sub>:H<sub>2</sub> feed ratio greater than 1 induced a rapid (on the time scale of 4 hours) selectivity switch from CH<sub>4</sub> production on the reduced catalysts to CO production on the treated Rh/TiO<sub>2</sub> catalysts.

After identifying optimal treatment conditions (20%CO<sub>2</sub>:2%H<sub>2</sub>:78% He at 250 °C for 4 hours, hereafter 20CO<sub>2</sub>:2H<sub>2</sub> treatment) for inducing a selectivity switch from CH<sub>4</sub> to CO production, the reversibility was tested by exposing the  $20CO_2$ : 2H<sub>2</sub> treated catalyst to H<sub>2</sub> environments. H<sub>2</sub> treatment at temperatures greater than 350 °C for 4 hours restored the original CH<sub>4</sub> selectivity of the reduced catalyst, see Supplementary Fig. 4. A complete cycle is shown in Fig. 1(A) for 6% Rh/TiO<sub>2</sub>, where CH<sub>4</sub> selectivity decreased from 98% after reduction (450 °C for 4 hours) to 11% following 20CO<sub>2</sub>:2H<sub>2</sub> treatment and returned to 98% CH<sub>4</sub> selectivity after re-reduction (450 °C for 4 hours). The switch in CO<sub>2</sub> reduction selectivity was induced by a 40-fold decrease in CH<sub>4</sub> formation rate from ~28 to 0.7 mmol CH<sub>4</sub>/h/g<sub>Rh</sub>, and a 10-fold increase in CO formation rate from 0.5 to 5 mmol CO/h/gRh and was completely reversible for multiple cycles of reduction and 20CO<sub>2</sub>:2H<sub>2</sub> treatments, Supplementary Fig. 5. Typical H<sub>2</sub> conversions measured at 1%CO<sub>2</sub>:1%H<sub>2</sub>:98%He and 200 °C were below 8%. To ensure the change in selectivity following 20CO<sub>2</sub>:2H<sub>2</sub> treatment was not due simply to lower reactant conversion, selectivity was compared at identical conversions (4%), Supplementary Fig. 6 at 300 °C and Supplementary Fig. 7 at 200 °C, where large differences were still observed. Stability of the CO producing state was tested at varying H<sub>2</sub> treatment temperatures, reaction temperatures and reactant partial pressures, which showed robust behavior despite being in conditions where significant H<sub>2</sub>O concentration is produced, Supplementary Figs 4,6-8.

The influence of  $20CO_2$ :2H<sub>2</sub> treatment on CO<sub>2</sub> reduction selectivity was tested for various Rh weight loadings on TiO<sub>2</sub>, see Fig. 1(B) and Supplementary Figs 9-14. Consistently,  $20CO_2$ :2H<sub>2</sub> treatment decreased CH<sub>4</sub> production and increased CO production, which was reversible upon re-reduction. Low CH<sub>4</sub> selectivity on lower Rh weight loading catalysts following reduction was due to high concentrations of isolated Rh atom active sites. The largest selectivity changes were observed for higher Rh weight loadings that predominantly consist of Rh nanoparticle active sites, suggesting that  $20CO_2$ :2H<sub>2</sub> treatment modified the reactivity of Rh nanoparticles. The negligible change in CO production on 0.2% Rh/TiO<sub>2</sub>, Supplementary Fig. 9, where nearly all catalytic sites are isolated Rh atoms, indicates that the  $20CO_2$ :2H<sub>2</sub> treatment has little effect on the reactivity of isolated Rh atoms. The production rate of ethane and propane (C<sub>2</sub>+ products)

was also suppressed by the 20CO<sub>2</sub>:2H<sub>2</sub> treatment, as shown for 2% Rh/TiO<sub>2</sub> in Fig. 1(C), which is consistent with prior work, where catalysts exhibiting high CH<sub>4</sub> selectivity typically produce more  $C_2$ + products.<sup>27</sup> The results in Fig. 1 demonstrate that the reactivity of Rh/TiO<sub>2</sub> for the CO<sub>2</sub> reduction reaction is dynamically tunable via 20CO<sub>2</sub>:2H<sub>2</sub> and H<sub>2</sub> treatments, where Rh nanoparticle reactivity following 20CO<sub>2</sub>:2H<sub>2</sub> treatment is consistent with the catalytic behavior of more noble (Pt, Pd, Cu) metal catalysts.<sup>28–30</sup>

### Rh structure stability during treatments

Considering that isolated Rh atoms are selective for CO production and Rh nanoparticles are selective for CH<sub>4</sub> production, it could be hypothesized that  $20CO_2:2H_2$  treatment disintegrates Rh nanoparticles to form isolated Rh atoms on the TiO<sub>2</sub> surface.<sup>31,32</sup> *In-situ* X-ray absorption spectroscopy (XAS) following the Rh K-edge on a 2% Rh/TiO<sub>2</sub> catalyst during  $20CO_2:2H_2$  treatment showed no measureable difference between the reduced and  $20CO_2:2H_2$  treated catalyst, with a constant Rh-Rh coordination number, Supplementary Fig. 15,16. XAS results were consistent with *ex-situ* scanning transmission electron microscopy (STEM) images of 2% Rh/TiO<sub>2</sub> catalysts following reduction and  $20CO_2:2H_2$  treatment that showed no evidence of Rh structural changes, Supplementary Fig. 17. Based on the lack of structural changes in Rh observed by XAS and STEM and disproportionate changes in the rate of CH<sub>4</sub> and CO production (Fig. 1(A) and Supplementary Fig. 1), the switch in reactivity induced by  $20CO_2:2H_2$  treatment is attributed to modified Rh-TiO<sub>2</sub> interactions.

#### Changes in adsorbates on Rh and TiO<sub>2</sub>

In-situ diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS) was used to examine the effect of 20CO<sub>2</sub>:2H<sub>2</sub> treatment on the species adsorbed to Rh and TiO<sub>2</sub> under reaction conditions. Fig. 2(A) shows in-situ DRIFT spectra acquired from 2% Rh/TiO2 under reaction conditions (1%CO<sub>2</sub>:1%H<sub>2</sub>:98%He and 200 °C) following reduction, 20CO<sub>2</sub>:2H<sub>2</sub> treatment, and re-reduction; essentially identical to Fig. 1(A). Under reaction conditions, the DRIFT spectrum of the reduced catalyst shows CO linearly bound to Rh at top (2046 cm<sup>-1</sup>) and bridge (1880 cm<sup>-1-</sup>) sites and low adsorbate coverage on TiO<sub>2</sub>, aside from H<sub>2</sub>O (1620 cm<sup>-1</sup>), in agreement with previous reports.<sup>33,34</sup> Following 20CO<sub>2</sub>:2H<sub>2</sub> treatment, the switch in CO<sub>2</sub> reduction selectivity was correlated with a ~50 cm<sup>-1</sup> red-shift in the frequency of linear and bridge bound CO stretching modes, and a 2-fold decrease in the integrated area of the linearly bound CO stretch. The red-shift in frequency and decreased intensity of the linear CO stretch could be explained by a decrease in CO coverage Rh,<sup>35</sup> or physical blocking of Rh sites coupled with the polarization of CO bonds, induced by charge transfer to Rh,<sup>36</sup> a local electric-fieldinduced Stark effect,<sup>37</sup> or coordination of CO across a metal-support interface.<sup>23</sup> 20CO<sub>2</sub>:2H<sub>2</sub> treatment also introduced high coverage of formate (HCO<sub>2</sub>, 2973, 2923, 2853, 1531, and 1351 cm<sup>-1</sup>) and a bicarbonate-like species (HCO<sub>3</sub>, 1444 cm<sup>-1</sup>) on the TiO<sub>2</sub> surface,<sup>38</sup> which were only observed under reaction conditions following the 20CO<sub>2</sub>:2H<sub>2</sub> treatment. Re-reduction of the catalyst regenerated spectral characteristics of the freshly reduced catalyst, consistent with regenerated reactivity shown in Fig. 1(A).

To identify whether the ~50 cm<sup>-1</sup> red-shift and decreased intensity of the linear CO stretching mode were simply caused by a decrease in CO coverage on Rh, CO<sub>2</sub> was removed from the reactant stream leaving H<sub>2</sub> to react with adsorbates. Following removal of CO<sub>2</sub> from the reactant stream, CO stretches red-shifted ~35-40 cm<sup>-1</sup> as CO coverage decreased on both the reduced and  $20CO_2$ :2H<sub>2</sub> treated catalysts, (spectra 1-4 on Supplementary Fig. 18 and spectra 2-5 on Fig.

2(B)). This is shown quantitatively in Fig. 2(C), where the reduced and 2OCO<sub>2</sub>:2H<sub>2</sub> treated catalysts exhibit a similar decrease in CO stretching frequency in H<sub>2</sub> flow. The coverage dependent red-shift in CO stretching frequency of 35-40 cm<sup>-1</sup> (spectra 1-4 on Supplementary Fig. 18 and spectra 2-5 on Fig. 2(B)) is consistent with the effect of reduced dipole coupling on nanoparticle catalyst surfaces,<sup>35</sup> indicating that the 2OCO<sub>2</sub>:2H<sub>2</sub>-treatment-induced 50 cm<sup>-1</sup> red-shift (Fig. 2(A)) in CO stretching frequency was not caused by a change in local CO coverage.

The origin of the 50 cm<sup>-1</sup> shift in CO stretching frequency was further probed by executing a temperature programmed desorption (TPD) in He following the coverage dependent experiment, (spectra 6-8 in Fig. 2(B)). HCO<sub>x</sub> species desorbed from TiO<sub>2</sub> above ~300 °C with a simultaneous blue-shift in frequency of the remaining linearly bound CO by ~35 cm<sup>-1</sup> to ~1996 cm<sup>-1</sup>, almost identical to the frequency observed at low coverage on the reduced catalyst, Fig. 2(C). Catalyst performance was tested after HCO<sub>x</sub> species were desorbed from the 20CO<sub>2</sub>:H<sub>2</sub> treated sample and the reactivity was restored to the behavior of the original reduced catalyst state, Supplementary Fig. 19. Correlation between removal of HCO<sub>x</sub> species from TiO<sub>2</sub> and blue-shift in CO stretching frequency, combined with the return of the reduced catalyst reactivity, demonstrates that modified reactivity induced by 20CO<sub>2</sub>:2H<sub>2</sub> treatment is mediated by interactions between HCO<sub>x</sub> and the TiO<sub>2</sub> support.

The decreased intensity of CO stretching modes on Rh under reaction conditions following  $20CO_2:2H_2$  treatment and resulting influence of  $HCO_x$  functionalized TiO<sub>2</sub> on all remaining Rh-CO bonds, both in terms of reactivity and spectroscopically, are consistent with SMSI overlayer effects.<sup>19,23</sup> However, the traditional SMSI encapsulation state for Rh on TiO<sub>2</sub> forms at higher temperature (500 °C) and more reducing conditions (pure or diluted H<sub>2</sub>) than the 20CO<sub>2</sub>:2H<sub>2</sub> treatment and recedes off Rh in the moist atmosphere of CO<sub>2</sub> reduction, resulting in little influence on reactivity.<sup>21</sup> Furthermore, the SMSI overlayer on Rh/TiO<sub>2</sub> only blocks available Rh sites for CO adsorption, but does not modify the stretching frequency of CO adsorbed at uncovered Rh sites, in contrast to the observations in Fig. 2 (A).<sup>39</sup>

# HCO<sub>x</sub>-induced TiO<sub>2</sub> reduction

Interestingly, analysis of formic acid decomposition on TiO<sub>2</sub> has shown that at high formic acid coverage and temperatures greater than ~100 °C, oxygen vacancies at the TiO<sub>2</sub> surface form via H<sub>2</sub>O desorption, leaving a HCO<sub>2</sub> covered, disordered, and reduced TiO<sub>2-x</sub> surface.<sup>40-42</sup> Formic-acid-induced oxygen vacancy formation in TiO<sub>2</sub> occurs under conditions that resemble conditions we observed following 20CO<sub>2</sub>:2H<sub>2</sub> treatment, where TiO<sub>2</sub> is covered by HCO<sub>x</sub>. The temperature range (~150-300 °C) where 20CO<sub>2</sub>:2H<sub>2</sub> treatment induced a switch in CO<sub>2</sub> reduction selectivity agrees with that of formic acid induced TiO<sub>2</sub> reduction, where TiO<sub>2</sub> reduction is limited at low temperature (~100 °C) by H<sub>2</sub>O desorption kinetics and at high temperature (~300 °C) by formate desorption, Fig. 3(A). Furthermore, Fig. 3(B) shows that similar to the 20CO<sub>2</sub>:2H<sub>2</sub> treatment, formic acid treatment of 2% Rh/TiO<sub>2</sub> decreased the rate of CH<sub>4</sub> production by 14-fold and increased the rate of CO production by 5-fold compared to the reduced catalyst, which was reversible upon re-reduction.

Similarity between the influence of  $20CO_2$ :2H<sub>2</sub> and formic acid treatments on the reactivity of Rh/TiO<sub>2</sub> catalysts suggests the existence of an adsorbate-mediated SMSI (A-SMSI) state where high coverage of HCO<sub>x</sub> induces the formation of oxygen vacancies at the TiO<sub>2</sub> surface, thereby causing migration of the support onto Rh. However, it could be imagined that charge donation from HCO<sub>x</sub> functionalized, reduced TiO<sub>2</sub> into Rh, without migration onto Rh, is causing the

modified reactivity. To differentiate these effects, a 6% Rh/TiO<sub>2</sub> catalyst was sintered, increasing the Rh particle sizes from 1-3 nm to 10-50 nm in diameter, Supplementary Fig. 20. The sintered catalyst was evaluated for reactivity after reduction and  $20CO_2$ :2H<sub>2</sub> treatment, where CH<sub>4</sub> selectivity decreased from 97% following reduction, to 4% following  $20CO_2$ :2H<sub>2</sub> treatment, Supplementary Fig. 20. The almost identical influence of  $20CO_2$ :2H<sub>2</sub> treatment on the sintered and un-sintered 6% Rh/TiO<sub>2</sub>, with large differences in Rh particle sizes, strongly supports the mechanism of HCO<sub>x</sub>-mediated migration of TiO<sub>x</sub> onto Rh, because the charge transfer mechanism without overlayer formation would have been suppressed by the increased particle size in the sintered catalyst.<sup>12</sup> Thus, interaction between HCO<sub>x</sub>-functionalized TiO<sub>2</sub> and the Rh active sites must be locally occurring at a metal overlayer interface as a result of coordination between the overlayer and Rh surface.

#### Physical and chemical nature of the encapsulation state

To directly visualize the 20CO<sub>2</sub>:2H<sub>2</sub>-induced TiO<sub>2</sub> structural transformation, in-situ STEM analyses were performed in a closed gas cell at atmospheric pressure, as described before,<sup>43,44</sup> executed during reduction and 20CO<sub>2</sub>:2H<sub>2</sub> treatment of the sintered 6% Rh/TiO<sub>2</sub> catalyst. Following treatment at 550 °C in H<sub>2</sub>, conditions known to form the traditional SMSI state, a crystalline bilayer of TiO<sub>x</sub> quickly formed as a conformal coating on large crystalline Rh particles, where Ti is exclusively in the  $Ti^{3+}$  oxidation state, Fig. 4(A).<sup>26,45–47</sup> In contrast, following 20CO<sub>2</sub>:2H<sub>2</sub> treatment for 3 hours at 250 °C an amorphous overlayer on Rh was observed to form, Fig. 4(B), Supplementary Fig. 21-23. In-situ electron energy loss spectroscopy (EELS) measurements with a 1.0-1.5 Å spot size focused at various locations on the overlayer directly proved that Ti was present in the amorphous overlayer formed from 20CO<sub>2</sub>:2H<sub>2</sub> treatment, Supplementary Fig. 24. Ti in the amorphous overlayer was found to exist in a combination of  $Ti^{3+}$  (~30%) and  $Ti^{4+}$  (~70%) oxidation states, quantified using the feature of Ti<sup>4+</sup> with doublets at the L<sub>2</sub> and L<sub>3</sub> edges (see Supplementary information). The *in-situ* STEM and EELS analyses of 20CO2:2H2 treated Rh/TiO2 catalysts conclusively demonstrate the existence of an A-SMSI overlayer on Rh. Differences in Ti oxidation state for the traditional SMSI and A-SMSI overlayers are hypothesized to be due the presence of HCO<sub>x</sub> in the A-SMSI overlayer and related to the unique reactivity and stability of the A-SMSI overlayer in humid CO<sub>2</sub> reduction conditions.

#### **Relating A-SMSI to SMSI behavior**

Since the traditional SMSI and A-SMSI states both initiate via support reduction, it was hypothesized that supports known to form SMSI overlayers would also form A-SMSI overlayers. Fig. 5(A,B) show CH<sub>4</sub> and CO production rates, respectively, following reduction and 20CO<sub>2</sub>:2H<sub>2</sub> treatment of 2%Rh on TiO<sub>2</sub>, Nb<sub>2</sub>O<sub>5</sub>, CeO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub>, see Supplementary Figs 25-27. No significant change in CH<sub>4</sub> or CO production rates was observed on Al<sub>2</sub>O<sub>3</sub> and CeO<sub>2</sub> supported Rh, as Al<sub>2</sub>O<sub>3</sub>, an irreducible support, does not exhibit SMSI behavior and CeO<sub>2</sub> only exhibits SMSI behavior under extremely harsh conditions.<sup>21,22</sup> Rh/Nb<sub>2</sub>O<sub>5</sub> responded to 20CO<sub>2</sub>:2H<sub>2</sub> treatment similarly to Rh/TiO<sub>2</sub>, exhibiting suppressed CH<sub>4</sub> production and increased CO production, which agrees with the known similar SMSI behavior of Nb<sub>2</sub>O<sub>5</sub> were the CO stretching frequencies on Rh significantly red-shifted due to 20CO<sub>2</sub>:2H<sub>2</sub> treatment, see Supplementary Fig. 28-30. In contrast to TiO<sub>2</sub>, Nb<sub>2</sub>O<sub>5</sub> SMSI overlayers on Rh formed due to high temperature H<sub>2</sub> treatment are stable in CO<sub>2</sub> reduction reaction environments, rendering metal nanoparticles inactive.<sup>20</sup> The stable SMSI overlayer on Nb<sub>2</sub>O<sub>5</sub> enables direct comparison of

the effects of SMSI and A-SMSI on CO<sub>2</sub> reduction reactivity. Fig. 5(C) shows a comparison of the impact of SMSI and A–SMSI formation on the reactivity of 2%Rh/Nb<sub>2</sub>O<sub>5</sub>. SMSI formation suppressed CH<sub>4</sub> production by ~40-fold on Rh nanoparticles, but left CO production on isolated Rh atoms unchanged. Formation of the A-SMSI state decreased CH<sub>4</sub> formation by ~6-fold, however, the CO production increased by ~2.5-fold, attributed to the HCO<sub>x</sub> functionalized NbO<sub>x</sub> overlayer effect on the reactivity of Rh nanoparticles.

### Discussion

It is worth summarizing the evidence for participation of  $HCO_x$  adsorbates on the support in the formation and stabilization of the A-SMSI overlayer and in modification of Rh reactivity. Correlation between modified Rh reactivity and appearance of  $HCO_x$  on the support measured by *in-situ* DRIFTS, similarity between effects of  $20CO_2$ :2H<sub>2</sub> and formic acid treatment on Rh/TiO<sub>2</sub> reactivity, and *in-situ* STEM/EELS results corroborate the role of  $HCO_x$  in mediating A-SMSI overlayer formation. Based on the TPD results in Fig. 2(B, C), and the identification of Ti<sup>4+</sup> in the A-SMSI overlayer by *in-situ* EELS, we hypothesize that  $HCO_x$  species coordinate with Ti in the overlayer, thereby stabilizing the overlayer under reaction conditions by decreasing the driving force for re-oxidation by H2O. Finally, while there is no direct evidence that  $HCO_x$  species contribute to modification of Rh reactivity that the A-SMSI effect on Rh reactivity is a local effect at the Rh surface A-SMSI overlayer interface.

We hypothesize mechanisms by which A-SMSI overlayer formation could induce the observed switch in CO<sub>2</sub> reduction selectivity. The downshift in CO stretching frequency could suggest the CO bond is significantly polarized, which would decrease the CO-Rh bond strength, allowing CO to desorb before being hydrogenated.<sup>28–30</sup> Another plausible mechanism is that local modification of the Rh electronic structure, due to coordination with the A-SMSI overlayer, decreases surface coverage of atomic hydrogen, minimizing the driving force for CO hydrogenation.<sup>30</sup> Finally, the transformation in local active site environment could also change the CO<sub>2</sub> reduction mechanism, allowing the overlayer to play a direct role as an active site.<sup>7</sup>

The formation mechanisms and characteristics of the A-SMSI overlayers proposed here, and traditional SMSI overlayer described by Tauster, are schematically depicted in Fig. 6. In both overlayers, migration of the support onto the catalytically active metal is induced by oxygen vacancy formation in the support. For SMSI overlayer formation, H<sub>2</sub> treatment at ~500 °C induces oxygen vacancy formation in the support, driving the formation of an impermeable, crystalline and fully reduced metal-oxide overlayer on flat facets of metal particles. Formation of the A-SMSI overlayer is mediated by high coverage of HCO<sub>x</sub> on the support at 150-300 °C, which causes oxygen-vacancy formation, and drives formation of a porous and partially reduced metal-oxide overlayer is rapidly oxidized in the humid environment of the CO<sub>2</sub> + H<sub>2</sub> reaction, causing recession of the support off the metal and negating influence on the catalytic reactivity of the underlying metal. SMSI overlayers formed with Nb<sub>2</sub>O<sub>5</sub> are stable under humid reaction conditions, enabling the overlayer to strongly influence reactivity of the catalytically active metal.

In summary, it has been demonstrated that  $HCO_x$  adsorbates on  $TiO_2$  and  $Nb_2O_5$  supported Rh catalysts can induce oxygen vacancy formation in the support and drive the formation of an A-

SMSI overlayer on Rh. The A-SMSI overlayer is porous, enabling access for reactants to interact with the Rh surface, and stable under humid reaction conditions. The existence of the A-SMSI overlayer locally modifies the reactivity of the underlying Rh nanoparticle surface, opening new avenues for tuning and controlling the reactivity of supported metal catalysts.

# Methods

# Catalyst Synthesis

All catalysts were synthesized via an aqueous wetness impregnation approach. The necessary mass of  $(Rh(NO_3)_3 \cdot xH_2O)$ , Sigma-Aldrich-#83750) for each weight loading was dissolved in an evaporation dish with distilled water. Supports were mixed with the aqueous Rh solution, dried at 95 °C for 4 h, ground with a mortar and pestle, and calcined in a tube furnace at 450 °C in air for 4 hours. To induce Rh particle size growth, a 6% Rh/TiO<sub>2</sub> sample was heated in N<sub>2</sub> at 800 °C for 3 hours. All treatments to the catalysts were performed *in-situ* prior to kinetic and characterization analyses.

# Catalyst Testing

CO<sub>2</sub> reduction reaction rates and selectivity were measured using 15–20 mg of catalyst at 200 °C in a 1/4" OD borosilicate packed bed reactor operating at atmospheric pressure and running under differential reactor conditions (conversion of limiting reagent <8%) with the effluent quantified by online gas chromatography (GC, SRI MG #3). CO<sub>2</sub> was separated from other gases using a Hayesep D column, while H<sub>2</sub>, N<sub>2</sub>, CH<sub>4</sub>, and CO were separated using a molecular sieve (MS13X) packed column. The separated gases were then quantified using a flame ionization detector (FID) and a thermal conductivity detector (TCD). The total reactant flow rate in all studies was 100 standard cubic cm per minute (sccm). Specifics regarding reactant concentrations and pretreatment conditions can be found in the Supplementary Information.

# **DRIFTS** Characterization

DRIFTS measurements were executed to identify how the various treatments explored in this study impact the species adsorbed on the catalyst surface. Generally, catalysts were loaded into a Harrick Praying Mantis high temperature reaction chamber (ZnSe windows) mounted inside of a Thermo Scientific Praying Mantis diffuse reflectance adapter, set inside of a Thermo Scientific Nicolet iS10 FT-IR spectrometer with a MCT-A detector. Measurements were taken with 128 scans, 4 cm<sup>-1</sup> resolution, and a 30-40 standard liters per minute N<sub>2</sub> purge of the spectrometer and Praying Mantis adapter box. Typically, 10 mg of the catalyst sample was packed on top of 100 mg of  $\alpha$ -alumina. The reactor effluent was routed to the GC to monitor reactivity of the catalyst and ensure consistent behavior with experiments performed in the glass reactors.

# STEM and EELS Characterization

All STEM analysis was executed on a JEOL 3100R5 with double Cs corrector operated at 300kV. The 2%Rh/TiO<sub>2</sub> samples were suspended in methanol via sonication and drop casted onto lacy carbon-on-copper grids for *ex-situ* analysis and a special SiN heater chip for *in-situ* observation, which utilized a Protochips Atmosphere system. The purity of gases used in the *in-situ* experiment was 99.9995%. All reported temperatures were based on the Protochips calibration.

EELS was performed to identify the existence of Ti in the amorphous A-SMSI overlayer and characterize the valance state. EELS data was collected in-situ when the sample was sandwiched between two SiN membranes, with a total thickness of 80nm, using the Gatan #965 Quantum Imaging Filter (GIF). The spot size was 1.0-1.5Å in diameter and typical acquisition time was 10 seconds. The Ti valence was quantified by using Multiple Linear Least Square (MLLS) Fitting with Digital Micrograph.

# XAS Characterization

XAS experiments were performed at Beamline 2-2 at the Stanford Synchrotron Radiation Light source (SSRL). A double-crystal Si (220) monochromator was used to scan X-ray energy from - 100 to 200 eV and -200 eV to 1300 eV relative to the Rh K-edge (23,220 eV) for XANES and EXAFS spectra, respectively. About 20 mg of 2% Rh/TiO<sub>2</sub> catalyst was placed in 2.4 mm OD quartz tubes and into a resistance heating-capable Claussen cell<sup>48</sup> with gas flowing through the powder. Rh foil was placed between the transmission and reference X-ray detectors and measured simultaneously with the nanoparticle samples for X-ray energy calibration and data alignment. Data processing and analysis were performed using the IFEFFIT package.<sup>49</sup> The amplitude and phase photoelectron scattering functions for the first nearest neighbor (1NN) photoelectron path were calculated using the FEFF6 program<sup>50</sup> and used to fit the EXAFS equation in R-space.

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### **Author Contributions:**

J.C.M and P.C. developed the project, analyzed the data and wrote the paper. J.C.M. performed all catalyst synthesis, catalyst testing and FTIR analysis. L.D. assisted with FTIR data collection. S.Z. performed STEM experiments. G.W.G and X.P. assisted with STEM data analysis. N.M. and J.G.C. performed and analyzed XAS experiments. P.C. oversaw the project.

# **Figure Captions**

**Figure 1. Controlling CO<sub>2</sub> reduction selectivity on Rh via catalyst pretreatment.** (a) Rate of CO and CH<sub>4</sub> production and selectivity to CH<sub>4</sub> over 6% Rh/TiO<sub>2</sub> measured at 200 °C with a feed composition of 1% CO<sub>2</sub>, 1% H<sub>2</sub>, 98% He, following pure H<sub>2</sub> at 450 °C for 4 hours (reduction) and 20% CO<sub>2</sub>, 2% H<sub>2</sub> and 78% He at 250 °C for 4 hours ( $20CO_2:2H_2$ ) treatments. Between treatments, CH<sub>4</sub> selectivity was reversibly controlled from 98% to 11%. (b) Effect of  $20CO_2:2H_2$  treatment on CH<sub>4</sub> selectivity as a function of Rh weight loading (%). (c) Effect of  $20CO_2:2H_2$  treatment on Ethane + Propane (C<sub>2</sub>+) production rate over 2% Rh/TiO<sub>2</sub> catalyst as a function of feed composition with equimolar CO<sub>2</sub> and H<sub>2</sub> feed and a balance of He.  $20CO_2:2H_2$  treatment consistently decreased CH<sub>4</sub> and C<sub>2</sub>+ selectivity. Experimental errors for rate and selectivity measurements were consistently less than  $\pm$  6%. In plots where reactivity data following different treatments are separated along the x-axis, CO and CH<sub>4</sub> formation rate and CH<sub>4</sub> selectivity are in orange, green and purple, respectively. When reactivity or selectivity following different treatments is plotted on the same x-axis, reduced catalyst data is in red, and  $20CO_2:2H_2$  treated catalyst data is in blue.

**Figure 2. IR analysis of selectivity switch. (a)** *In-situ* DRIFT spectra collected from 2% Rh/TiO<sub>2</sub> at reaction conditions (180 °C, 1% CO<sub>2</sub>, 1% H<sub>2</sub>, 98% He), for reduced (red), 20CO<sub>2</sub>:2<sub>H2</sub> -treated (blue) and re-reduced (black) catalyst. Upon 20CO<sub>2</sub>:2H<sub>2</sub> treatment, the CO stretching frequency of linear and bridge Rh-carbonyl groups decreased by 50 cm<sup>-1</sup>, their intensity dropped 2-fold, and TiO<sub>2</sub>-bound HCO<sub>x</sub> species appeared. Re-reduction reversed all effects of the 20CO<sub>2</sub>:H<sub>2</sub> treatment. (b) DRIFT spectra of 2% Rh/TiO<sub>2</sub> under reaction conditions after reduction (spectrum 1), after 20CO<sub>2</sub>:2H<sub>2</sub> treatment (spectrum 2), CO<sub>2</sub> flow removed (only 1% H<sub>2</sub>) for 5, 15, 60 minutes (spectra 3-5). Spectra 6-8 were collected during TPD in He at 213, 312, and 370 °C, respectively, immediately following collection of spectrum 5. Vertical dotted line represents CO stretch frequency on reduced 2% Rh catalyst under reaction conditions. Corresponding increases in carbonyl stretching frequency with HCO<sub>x</sub> desorption suggest they are related. (c) Peak positions of the linear CO stretching frequency during experiments in Fig. 2(B) and Supplementary Fig. 18. The reduced (red) and 2OCO<sub>2</sub>:2H<sub>2</sub>-treated (blue) catalysts exhibited a similar decrease in CO stretching frequency in H<sub>2</sub> flow, suggesting the shift in (a) carbonyl frequency was not due to a decrease in CO coverage.

**Figure 3 Identifying the mechanism of A-SMSI formation.** (a) CH<sub>4</sub> selectivity on 2% Rh/TiO<sub>2</sub> as a function of 20CO<sub>2</sub>:2H<sub>2</sub> treatment temperature shown in blue measured at 200 °C with a feed composition of 1% CO<sub>2</sub>, 1% H<sub>2</sub>, 98% He (100 sccm total). The catalyst was rereduced after each sequential 20CO<sub>2</sub>:2H<sub>2</sub> treatment, and the reactivity under identical reaction conditions is shown in red. The experiment involved an identical protocol as in Supplementary Fig 5, but with increasing temperature during the 20CO<sub>2</sub>:2H<sub>2</sub> treatment for each cycle. Lines are to guide the eye. (b) Rate of CH<sub>4</sub> and CO production and CH<sub>4</sub> selectivity after reduction, formic acid treatment, and re-reduction on a 2%Rh/TiO<sub>2</sub> catalyst measured under reaction conditions at (200 °C, 1% CO<sub>2</sub>, 1% H<sub>2</sub>, 98% He, 100 sccm total). Formic acid treatment was 25 sccm He bubbled through formic acid at room temperature for 4 hours with a catalyst bed temperature of 200 °C. Agreement in temperature where 20CO<sub>2</sub>:2H<sub>2</sub> treatment affected CH<sub>4</sub> selectivity and formic acid induced oxygen vacancy formation<sup>40-42</sup> in (a), along with the influence of formic acid on reactivity in (b), suggests an adsorbate-mediated SMSI. Experimental errors for rate and selectivity measurements were consistently less than  $\pm 6\%$ .

**Figure 4. Visualizing SMSI and A-SMSI States.** *In-situ* STEM images of sintered 6% Rh/TiO<sub>2</sub> following treatment in, (**a**) 5% H<sub>2</sub> and 95% N<sub>2</sub> at 550 °C for 10 minutes inducing the formation of a TiO<sub>x</sub> SMSI crystalline bilayer, containing exclusively Ti<sup>3+</sup>, on surface of Rh nanoparticles (NP), and (**b**)  $20CO_2:2H_2$  at 250 °C for 3 hours causing the formation of an amorphous A-SMSI overlayer, containing a mixture of Ti<sup>3+</sup> and Ti<sup>4+</sup>, on the surface of Rh NPs. Images were collected at atmospheric pressure. Samples were pre-sintered at 800 °C in N<sub>2</sub> to increase the Rh particle size from 1-3 nm to 10-50 nm and improve contrast in the micrographs.

Figure 5. Relating SMSI and A-SMSI behavior. (a)  $CH_4$  and (b) CO production rate on 2% Rh on various supports following reduction and  $20CO_2$ :2H<sub>2</sub> treatment under standard reaction conditions (200 °C, 1% CO<sub>2</sub>, 1% H<sub>2</sub>, 98% He). The supports were chosen for known differences in SMSI behavior, where  $Al_2O_3$  and  $CeO_2$  do not exhibit SMSI behavior at reasonable conditions, while TiO<sub>2</sub> and Nb<sub>2</sub>O<sub>5</sub> exhibit SMSI behavior. The lack of change in reactivity for  $Al_2O_3$  and  $CeO_2$ :2H<sub>2</sub> treatment and the large changes in CO and CH<sub>4</sub>

production for the TiO<sub>2</sub> and Nb<sub>2</sub>O<sub>5</sub> supported samples suggests that A-SMSI overlayer formation is related to reducibility of the support and characteristics of oxygen vacancy formation. (c) Rate of CH<sub>4</sub> and CO production on 2% Rh/Nb<sub>2</sub>O<sub>5</sub> after 300 °C reduction, 400 °C reduction and 300 °C reduction followed by 20CO<sub>2</sub>:2H<sub>2</sub> treatment. This figure shows the reactivity differences between SMSI and A-SMSI states for Rh/Nb<sub>2</sub>O<sub>5</sub>, as the Nb<sub>2</sub>O<sub>5</sub> SMSI state blocks Rh NP's from driving CH<sub>4</sub> formation while leaving isolated Rh atoms able to produce CO, and the Nb<sub>2</sub>O<sub>5</sub> A-SMSI state alters Rh NP reactivity toward producing CO. Experimental errors for rate and selectivity measurements were consistently less than  $\pm$  6%.

**Figure 6. SMSI and A-SMSI overlayer structure and behavior.** (a) Schematic showing bare Rh particle on TiO<sub>2</sub> or Nb<sub>2</sub>O<sub>5</sub> with exposed Rh sites that favor CH<sub>4</sub> production. (b)  $20CO_2:2H_2$  treated catalyst that forms a permeable A-SMSI overlayer composed of TiO<sub>x</sub> species and HCO<sub>x</sub>. (c) The stable A-SMSI overlayer under CO<sub>2</sub> reduction conditions modifies Rh catalytic behavior. (d) Rh/(TiO<sub>2</sub> or Nb<sub>2</sub>O<sub>5</sub>) following treatment with high temperature (500 °C) H<sub>2</sub>, forming an impermeable SMSI overlayer. Crystalline bilayer structure observed for TiO<sub>2</sub> has yet to be confirmed for Nb<sub>2</sub>O<sub>5</sub> SMSI state. (e) Reactivity results suggest there is a stable NbO<sub>x</sub> SMSI overlayer that is impermeable in CO<sub>2</sub> reduction conditions, completely suppressing the reactivity of Rh nanoparticle surfaces. (f) TiO<sub>x</sub> SMSI overlayer recedes off Rh when exposed to CO<sub>2</sub> reduction conditions due to H<sub>2</sub>O induced re-oxidation of TiO<sub>x</sub> to TiO<sub>2</sub>. The catalytic behavior of (f) is nearly identical to (a).