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## Advanced Model Compounds for Understanding Acid-Catalyzed Lignin Depolymerization: Identification of Renewable Aromatics and a Lignin-Derived Solvent

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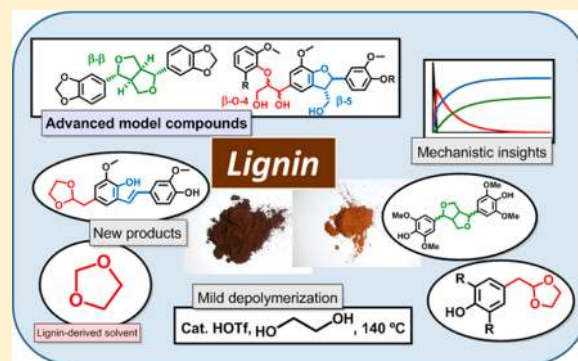
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### Supporting Information

**ABSTRACT:** The development of fundamentally new approaches for lignin depolymerization is challenged by the complexity of this aromatic biopolymer. While overly simplified model compounds often lack relevance to the chemistry of lignin, the direct use of lignin streams poses significant analytical challenges to methodology development. Ideally, new methods should be tested on model compounds that are complex enough to mirror the structural diversity in lignin but still of sufficiently low molecular weight to enable facile analysis. In this contribution, we present a new class of advanced ( $\beta$ -O-4)-( $\beta$ -5) dilinkage models that are highly realistic representations of a lignin fragment. Together with selected  $\beta$ -O-4,  $\beta$ -5, and  $\beta$ - $\beta$  structures, these compounds provide a detailed understanding of the reactivity of various types of lignin linkages in acid catalysis in conjunction with stabilization of reactive intermediates using ethylene glycol. The use of these new models has allowed for identification of novel reaction pathways and intermediates and led to the characterization of new dimeric products in subsequent lignin depolymerization studies. The excellent correlation between model and lignin experiments highlights the relevance of this new class of model compounds for broader use in catalysis studies. Only by understanding the reactivity of the linkages in lignin at this level of detail can fully optimized lignin depolymerization strategies be developed.



### INTRODUCTION

The efficient depolymerization of lignin is one of the major challenges in the full valorization of renewable lignocellulose resources<sup>1,2</sup> and requires fundamentally new catalytic methods.<sup>3,4</sup> However, the development of new approaches is particularly challenging due to the complexity of this aromatic polymer.<sup>2a,5</sup> Methodology development is often done on overly simplified model compounds.<sup>6</sup> In contrast, the work with real lignin streams directly is tedious and leads to extensive analytical challenges including the structural determination of the starting material and the characterization of complex product mixtures.<sup>2a,3a,7</sup> Therefore, the synthesis of new, more advanced model compounds is highly desired and of general importance in this field.

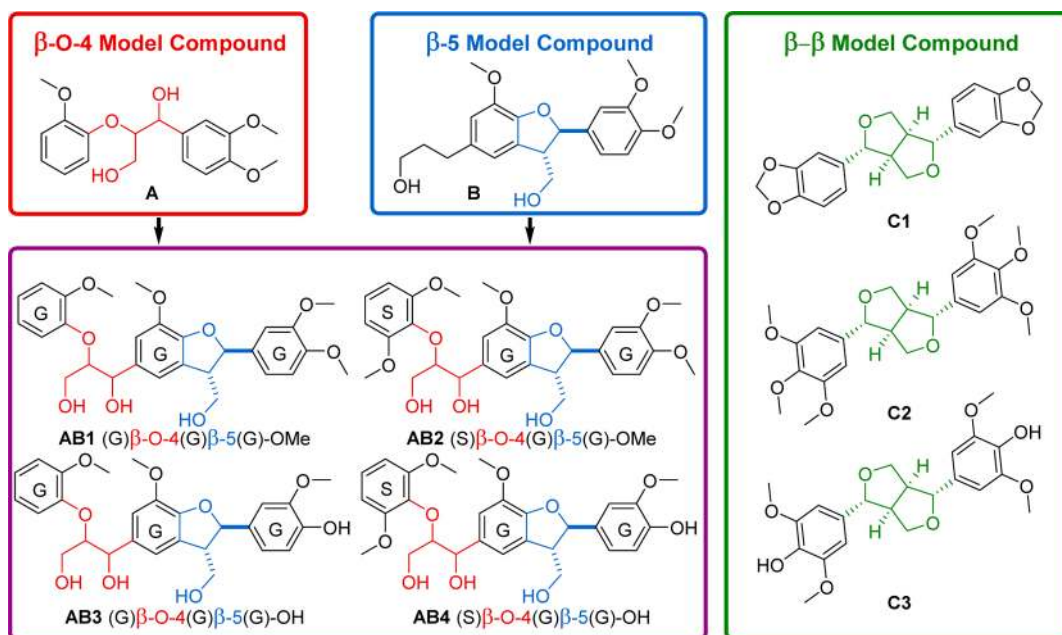
Lignin contains different aromatic subunits (H, G, and S) and various types of linkages (Figure S1).<sup>2a,3a,5</sup> The occurrence of these linkages varies greatly depending on the plant type and pretreatment methods used. Thus, far, most studies have focused on the cleavage of the most abundant  $\beta$ -O-4 linkage using predominantly simple model compounds.<sup>2a,3,6,8</sup> Much less effort has

been devoted to understanding the chemistry of other types of linkages such as  $\beta$ - $\beta$ <sup>9</sup> and  $\beta$ -5<sup>10</sup> (Figure S2).<sup>11</sup>

It has become increasingly important to develop more sophisticated model compounds<sup>12,13</sup> that reflect the complexity of the native lignin structure. To the best of our knowledge, synthetic pathways to model compounds that combine multiple linkage types, contain all lignin-relevant functional groups, and at the same time are of limited molecular weight have not yet been developed. In this contribution, we provide scalable synthetic paths to access such advanced lignin model compounds and demonstrate their value in understanding the reactivity of the main linkages in real lignin feedstocks under depolymerization conditions.

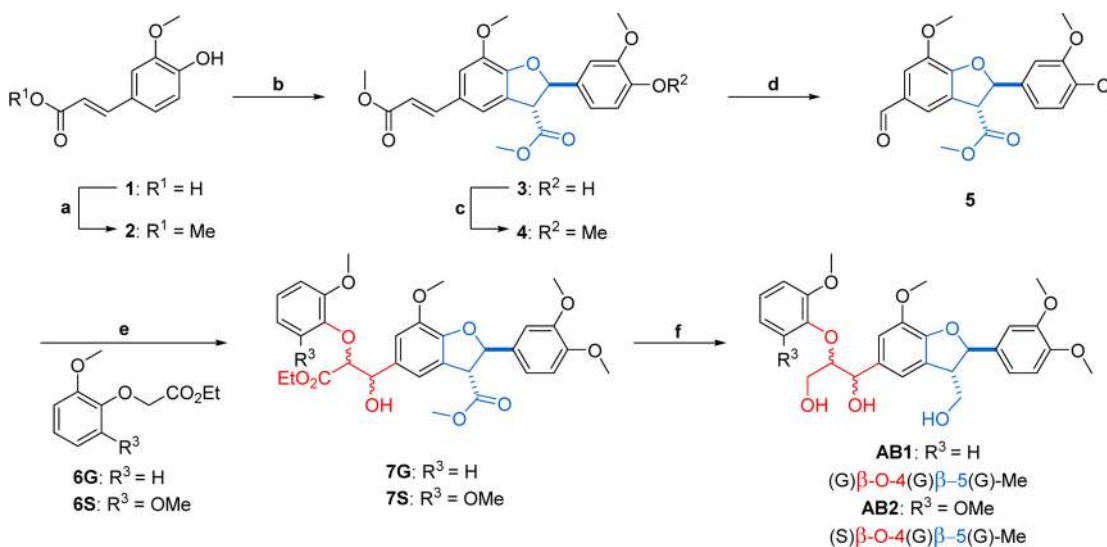
The new class of advanced model compounds (AB1–4) are a combination of the  $\beta$ -O-4 and the  $\beta$ -5 linkage and contain phenolic and nonphenolic units (Figure 1). Variations on the

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**Figure 1.** A summary of model compounds A, B, C1–3 used during our catalytic studies, including novel  $\beta$ -O-4- $\beta$ -5 dilinkage model compounds (AB1–4) synthesized in this work.

### Scheme 1. Synthesis of Models AB1 and 2<sup>a</sup>



<sup>a</sup>Reagents and conditions: (a) TMSCl, MeOH, reflux, 1 h, 100%. (b)  $Ag_2O$ , DCM, 24 h, 39%. (c) MeI,  $K_2CO_3$ , acetone, reflux, 5 h, 66%. (d)  $RuCl_3$  (0.1 mol %),  $NaIO_4$ ,  $H_2SO_4$ , EtOAc/MeCN/ $H_2O$  (5:5:2), 0 °C, 3 h, 90%. (e) LDA, THF, -78 °C, 6 h, 82%\* for 7G, 80%\* for 7S. (f)  $NaBH_4$ , MeOH, EtOH, 50 °C, 5 h, 90%\* for AB1, 96%\* for AB2 (\*combined yield of diastereomers).

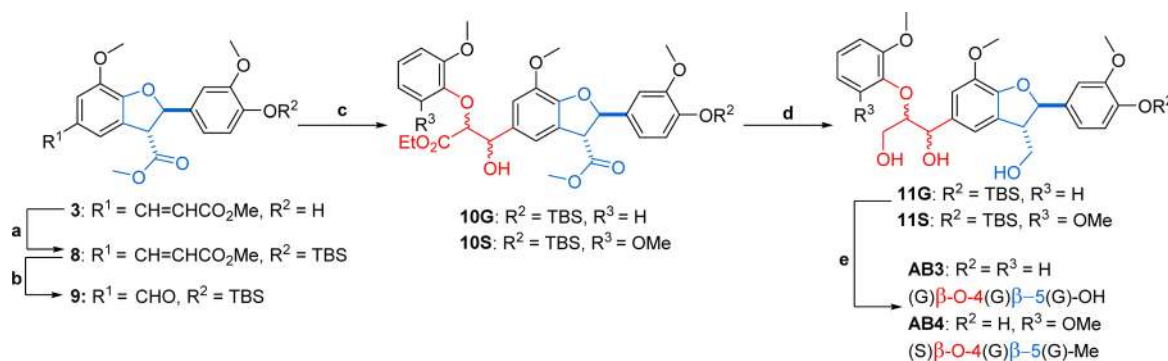
65  $\beta$ -O-4 side include guaiacyl (AB1 and AB3) and syringyl (AB2  
66 and AB4) end groups. The  $\beta$ -5 moiety contains either a non-  
67 phenolic (AB1 and AB2) or phenolic end group (AB3 and AB4),  
68 whereby the methoxy simulates an internal  $\beta$ -5 linkage, whereas  
69 the phenolic group mimics a terminal  $\beta$ -5 linkage or the result of a  
70 cleaved  $\beta$ -O-4 linkage.

71 The reactivity of these model compounds (AB1–4) was sub-  
72 sequently evaluated in a catalytic method we have previously  
73 pioneered, which comprises acidolysis in conjunction with the  
74 stabilization of reactive intermediates under acetal formation  
75 conditions.<sup>14</sup> In addition to AB1–4, model compounds repre-  
76 senting the  $\beta$ - $\beta$  lignin linkage (C1–3) were selected for study.  
77 Furthermore, models A<sup>15</sup> and B<sup>16</sup> were selected for studying

the isolated reactivity of the  $\beta$ -O-4 and  $\beta$ -5 linkages, 78  
79 respectively. Using a combination of these models (Figure 1),  
80 we were able to gain deeper understanding of the overall reac-  
81 tivity of lignin under these conditions. New reaction pathways  
82 and intermediates were established, and important products  
83 have been identified in actual lignin depolymerization mixtures.

## RESULTS AND DISCUSSION

84  
85 **Synthesis of Novel ( $\beta$ -O-4)-( $\beta$ -5) Lignin Model Com-  
86 pounds.** To access the novel ( $\beta$ -O-4)-( $\beta$ -5) models AB1–4, a  
87 divergent synthetic methodology was developed that allowed  
88 access to both nonphenolic (AB1 and AB2) and phenolic (AB3  
89 and AB4) models (Schemes 1 and 2). Starting from commercially

Scheme 2. Synthesis of Phenolic Dilinkage Model Compounds AB3 and AB34<sup>a</sup>

<sup>a</sup>Reagents and conditions: (a) TBSCl, imidazole, DMF, rt, 30 min, 89%. (b) RuCl<sub>3</sub> (0.1 mol %), NaIO<sub>4</sub>, H<sub>2</sub>SO<sub>4</sub>, EtOAc/MeCN/H<sub>2</sub>O (5:5:2), 0 °C, 6 h, 75%. (c) 6G or 6S, LDA, THF, -78 °C, 6 h, 80%\* for 10G and 85%\* for 10S. (d) NaBH<sub>4</sub>, MeOH, EtOH, 50 °C, 5 h. (e) TBAF, THF, 5 min, 80%\* for AB3 and 83%\* for AB4 over 2 steps (\*combined yield of diastereomers).

90 available ferulic acid (**1**) esterification with MeOH/TMSCl  
 91 gave methyl ferulate (**2**), which when treated with silver(I)  
 92 oxide underwent an oxidative dimerization to yield diferulate **3**.<sup>17</sup>  
 93 This reaction is believed to proceed via a radical mechanism that is  
 94 under thermodynamic control yielding the racemic *trans*-diferulate,<sup>18</sup>  
 95 which possesses the same stereochemistry as the β-5 units in lignin.<sup>19</sup>  
 96 Methylation of the phenol in **3** using CH<sub>3</sub>I/K<sub>2</sub>CO<sub>3</sub> gave **4**  
 97 (Table S1),<sup>20</sup> and subsequent oxidative cleavage of the alkene  
 98 in **4** using the RuCl<sub>3</sub>/NaIO<sub>4</sub> system afforded aldehyde **5**. The  
 99 relative stereochemistry of the β-5 motif in compounds **4** and **5**  
 100 was confirmed by X-ray crystallography (Supporting Information  
 101 section S4.2).

102 The β-O-4 moiety was installed by aldol reaction between  
 103 **5** and **6G** to afford diester **7G** in 82% yield. In this unoptimized  
 104 aldol protocol, a mixture of both the anti (erythro) and syn (threo)  
 105 stereochemistry at the new stereogenic centers was formed in a  
 106 3:1 ratio<sup>21</sup> as determined by quantitative <sup>1</sup>H NMR analysis of the  
 107 crude reaction mixture (Figure S3). Partial separation of the  
 108 isomers could be achieved by column chromatography (Supporting  
 109 Information section S4.1). However, in general, isomeric mixtures  
 110 at the β-O-4 linkage (**A** and **AB1–4**) were prepared and used  
 111 throughout this work for two main reasons: (i) In real lignin, the  
 112 β-O-4 linkage is known to be present as a mixture of both anti and  
 113 syn isomers.<sup>19</sup> (ii) In acid-mediated lignin degradation, the reaction  
 114 proceeds via a common intermediate from both the anti or syn  
 115 isomer.

116 Diastereomeric mixture **7G** was reduced using NaBH<sub>4</sub>/MeOH  
 117 in EtOH<sup>22</sup> to give **AB1** in 90% yield without separation of the  
 118 anti and syn isomers. However, anti and syn diastereomers of  
 119 **AB1** were obtained on a small scale from the separated isomers  
 120 of precursor diester **7G** (Supporting Information section S4.1).  
 121 Similarly, an aldol reaction between **5** and **6S** provided **7S** in  
 122 80% yield, which upon reduction gave the desired product **AB2**  
 123 as a diastereomeric mixture in 96% yield.

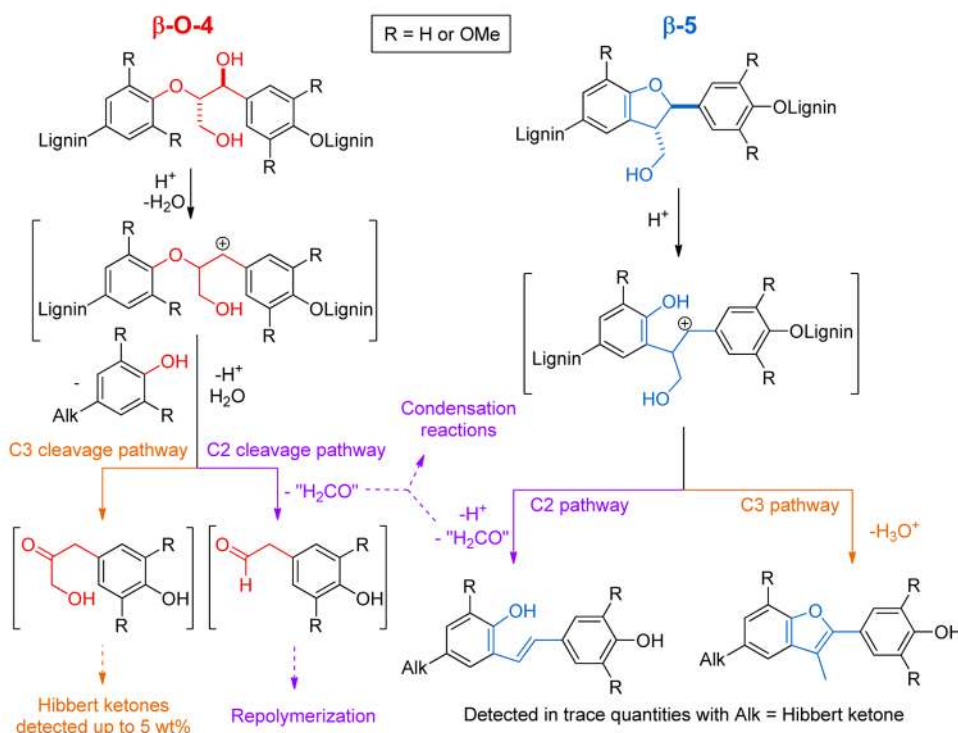
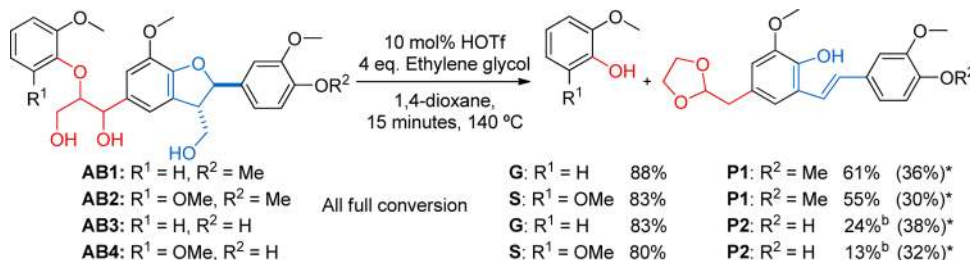
124 To access phenolic model compounds **AB3** and **AB4**, a  
 125 protecting group strategy was employed (Scheme 2). Protection  
 126 of the phenolic group in **3** with TBSCl/imidazole afforded  
 127 TBS-protected **8** in a quantitative yield with no need for further  
 128 purification. From **8**, following an analogous synthetic route  
 129 via **9** and **10G** or **10S** as outlined previously, TBS-protected  
 130 models **11G** and **11S** were prepared and deprotected (TBAF)  
 131 to give phenolic models **AB3** and **AB4** as mixtures of dia-  
 132 stereomers in 80 and 83% yield, respectively, over the final  
 133 two steps. With this set of novel models **AB1–4** in hand, we

134 decided to study their reactivity in acid-mediated lignin depoly-  
 135 merization in the presence of ethylene glycol.

136 **Reactivity of (β-O-4)-(β-5) Model Compounds under**  
 137 **Acetal Formation Conditions.** Acidolysis of lignin has  
 138 received considerable attention due to the relevance of this  
 139 method to the biorefinery concept. This approach was origin-  
 140 ally used to aid structural elucidation<sup>11,23</sup> and more recently  
 141 for the production of well-defined aromatic compounds.<sup>14,24</sup>  
 142 Using model compounds, two different reaction pathways  
 143 (C2 and C3 pathways, Scheme 3) have been identified for  
 144 the cleavage of the β-O-4 linkage and modification of the β-5  
 145 linkage.<sup>24a,b,25</sup> While the C3 pathway provides the Hibbert  
 146 ketones, the C2 pathway yields C2-aldehydes upon release  
 147 of formaldehyde, which can then undergo condensation reac-  
 148 tions.<sup>14,25,26</sup> The balance of these pathways depends on the  
 149 nature of the mineral acid used. With HBr, the C3 pathway  
 150 dominates, whereas H<sub>2</sub>SO<sub>4</sub> favors the C2 pathway.<sup>26,27</sup> Similar  
 151 observations were made regarding the reactivity of the β-5  
 152 linkage. Lundquist et al. studied the reactivity of a β-5 model  
 153 compound with different acids in mixtures of 1,4-dioxane/H<sub>2</sub>O.  
 154 While HBr gave mainly the C3-benzofuran product, triflic acid  
 155 (HOTf) gave predominantly the C2-stilbene product.<sup>28</sup>

156 We have previously described the highly efficient cleavage of  
 157 β-O-4 lignin model compounds using catalytic amounts of  
 158 HOTf in conjunction with *in situ* stabilization of the resulting  
 159 C2-aldehyde products as their ethylene glycol acetals.<sup>14</sup> This  
 160 concept was also extended to the depolymerization of lignin  
 161 where recondensation reactions were markedly suppressed.  
 162 However, important questions remained unanswered regarding  
 163 the reactivity of the β-β and β-5 lignin linkages, and the  
 164 products originating from these moieties were not identified.  
 165 Furthermore, the released formaldehyde was neither detected  
 166 nor quantified, and its role in recondensation was not clarified.  
 167 The models **AB1–4** were ideally suited to answer these impor-  
 168 tant questions.

169 **General Reactivity of (β-O-4)-(β-5) Models AB1–4.** First,  
 170 the reactivity of **AB1–4** was examined under the reaction  
 171 conditions we have previously established (HOTf/ethylene  
 172 glycol).<sup>14</sup> Full substrate conversion was seen within 15 min,  
 173 resulting in the formation of guaiacol **G** (from **AB1** and **AB3**)  
 174 or syringol **S** (from **AB2** and **AB4**) as determined by HPLC  
 175 analysis (Scheme 4). These high yields of **G** and **S** were very  
 176 similar to those found for simpler β-O-4 model compounds<sup>14</sup>  
 177 and demonstrated that the chemistry of the β-O-4 linkage was  
 178 unaffected by the presence of the adjacent β-5 moiety.

Scheme 3. Known Pathways for the Acid-Mediated Cleavage of the Lignin  $\beta$ -O-4 Linkage and the Modification of the Lignin  $\beta$ -5 Linkage (R = H or OMe)Scheme 4. Products Identified in Reactions of the ( $\beta$ -O-4)-( $\beta$ -5) Model Compounds AB1-4<sup>a</sup>

<sup>a</sup>See also Supporting Information sections S6.0 and S9.1. Isolated yields from upscaled procedures with 5 mol % HOTf (Supporting Information section S11.0).

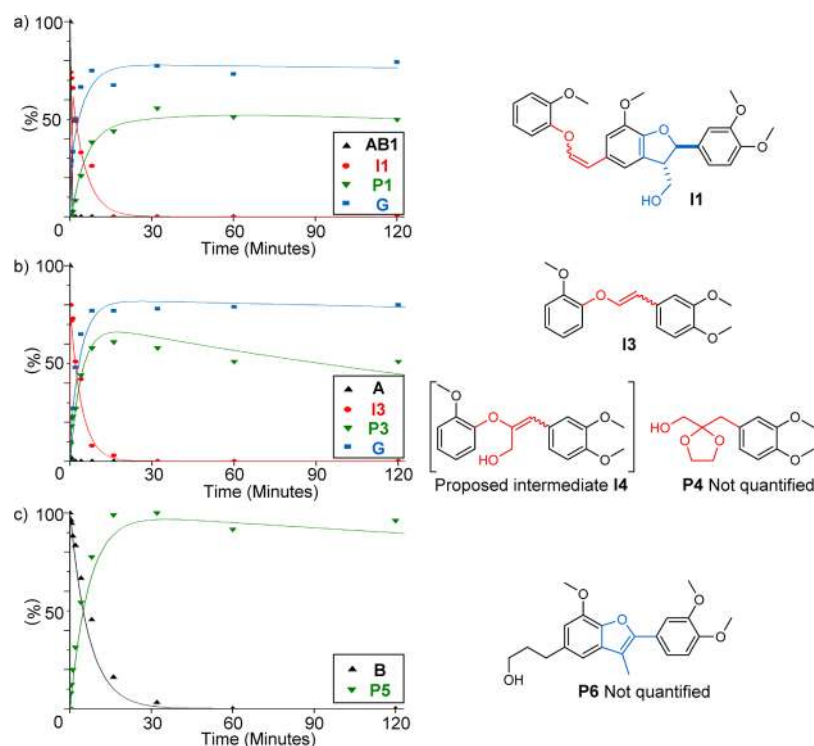
179 Depending on the substrate used (AB1 and AB2 or AB3  
180 and AB4), novel stilbene-acetals P1 or P2 were identified as the  
181 other major product (Scheme 4 and Supporting Information  
182 section S11.0). These products were likely formed by cleavage  
183 of the  $\beta$ -O-4 moiety in AB1-4 to give the C2-aldehyde, which  
184 reacted with ethylene glycol (Scheme 3). Subsequent ring opening  
185 of the  $\beta$ -5 moiety then occurred also via the C2-pathway.<sup>11b,28</sup>

186 P1 and P2 were isolated and fully characterized with the  
187 *E* stereochemistry being assigned on the basis of the coupling  
188 constants observed between the two alkene protons (16.5 and  
189 16.4 Hz in P1 and P2, respectively; Supporting Information  
190 section S11.0).<sup>29</sup>

191 In control reactions in the absence of ethylene glycol  
192 (Supporting Information section S9.2), the  $\beta$ -O-4 linkage was  
193 cleaved rapidly, and the guaiacol G yields were retained. How-  
194 ever, a significant difference was seen in the reactivity of the  
195 remaining component of AB1, which formed a mixture of oligo-  
196 meric products (by GPC analysis, Supporting Information  
197 section S7.0). In contrast, GPC analysis of the reaction in the

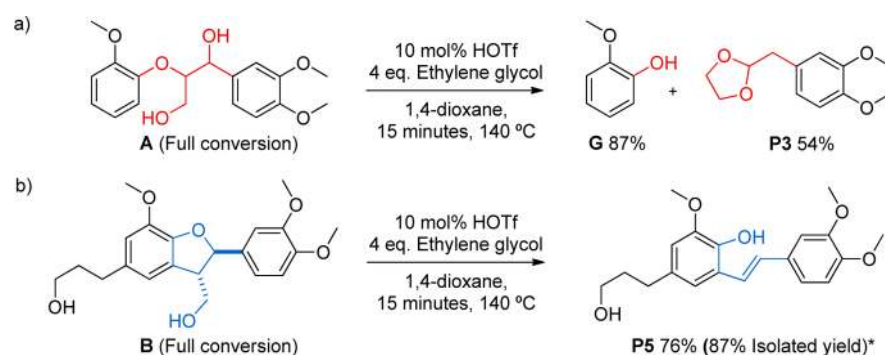
198 presence of ethylene glycol gave only the desired low molecular  
199 weight (LMW) compounds. HPLC analysis also confirmed these  
200 observations (Figures S11 and S12) and similar results were ob-  
201 tained from AB3 (Supporting Information sections S7.0 and S9.0).

202 *Product Formation Profiles and Reaction Intermediates*  
203 *Using ( $\beta$ -O-4)-( $\beta$ -5) Model AB1.* To gain further insight, the  
204 acidolysis of AB1 was studied in the presence of ethylene glycol  
205 and product formation profiles were recorded (Figure 2a and  
206 Supporting Information sections S8.3). While AB1 was con-  
207 sumed within 15 s, guaiacol G and acetal-stilbene P1 were  
208 formed at a slower rate, reaching 79 and 56% yields, respec-  
209 tively. Two major signals were also observed by UPLC-MS  
210 analysis (both with  $[M + H]^+ = 465 \text{ g mol}^{-1}$ ) prior to the  
211 formation of G and P1 (Figure 2a and Supporting Information  
212 section S10.1). These were attributed to the formation of iso-  
213 meric alkenes II, the products of dehydration and deformyla-  
214 tion of AB1. While dehydration occurs by loss of the benzylic  
215 hydroxyl group in the  $\beta$ -O-4 unit,<sup>25b,26b</sup> deformylation could  
216 occur in the  $\beta$ -O-4 unit as well as the  $\beta$ -5 unit in AB1.



**Figure 2.** Reaction profiles using 5 mol % HOTf and 4 equiv of ethylene glycol at 140 °C in 1,4-dioxane with (a) ( $\beta$ -O-4)-( $\beta$ -5) model compound **AB1**, (b)  $\beta$ -O-4 model compound **A**, and (c)  $\beta$ -5 model compound **B**. Dots show experimental data points, whereas the line is a modeled reaction profile (see also [Supporting Information sections S8 and S10](#)).

### Scheme 5. Reactions with HOTf and Ethylene Glycol with Model Compounds<sup>a</sup>



<sup>a</sup>(a)  $\beta$ -O-4 Model compound **A** and (b)  $\beta$ -5 model compound **B**. Isolated yields from upscaled procedures with 5 mol % HOTf ([Supporting Information section S11.0](#))

217 Compounds **A**<sup>15</sup> and **B**<sup>16</sup> were used to investigate this issue  
218 further.

219 *Study of the Relative Reactivity of  $\beta$ -O-4 and  $\beta$ -5 Units in*  
220 **AB1**. In a reaction with 10 mol % HOTf  $\beta$ -O-4, model **A** yielded  
221 87% **G** and 54% acetal **P3** ([Scheme 5a](#)). Next, the reaction was  
222 monitored for 2 h ([Figure 2b](#) and [Supporting Information](#)  
223 [sections S8.1 and S10.2](#)). This revealed that **A** was rapidly  
224 consumed and that two main products were formed ( $[M + H]^+ =$   
225  $287 \text{ g mol}^{-1}$  by UPLC-MS). This reactivity pattern was analogous  
226 to that observed for **AB1**, and the detected mass of the products  
227 confirmed the formation of isomeric enol ethers **I3**, formed by  
228 acid-catalyzed dehydration/deformylation of the  $\beta$ -O-4 moiety en  
229 route to the C2-aldehyde. **I3** was further converted to **G** in 80%  
230 yield and **P3** in 61% yield.

231 When no ethylene glycol was added **G** was still obtained in  
232 good yield (69%), but the C2-aldehyde was not observed due

233 to its conversion to a complex mixture of products, as seen  
234 for **AB1** under these conditions ([Supporting Information](#)  
235 [section S9.0](#)). During these reactions, ketal **P4**, the ethylene  
236 glycol ketal of the Hibbert ketone,<sup>25a,30</sup> was also identified  
237 (UPLC-MS, [Supporting Information section S10.2](#)). Its forma-  
238 tion provided evidence for the functioning of the C3 cleavage  
239 pathway in these reactions. This pathway also leads to the forma-  
240 tion of guaiacol **G**, so this explains the discrepancies between the  
241 yields of **G** and **P3** from **A** (and analogously the differences  
242 between the yields of **G** and **P1** formed from **AB1** above).  
243 Dehydrated intermediate **I4** ([Figure 2b](#)), the most likely pre-  
244 cursor of **P4**, was previously observed when water was used as  
245 solvent but could not be detected under our reaction con-  
246 ditions.<sup>24a,b</sup>

247 Next, the reactivity of the  $\beta$ -5 model **B** was investigated. Upon  
248 reaction of **B** with 10 mol % HOTf and 4 equiv of ethylene

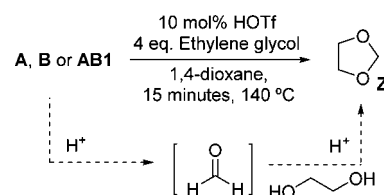
249 glycol, *E*-stilbene **P5** was obtained in 76% yield (Scheme 5b).  
 250 However, the consumption of **B** was slow compared to those of  
 251 **A** and **AB1**, and full conversion of **B** was only achieved after  
 252 30 min in contrast to 15 s for **A** and **AB1** (Figure 2c; Supporting  
 253 Information section S8.2). The rates of formation of **P5** corre-  
 254 sponded to the rates of **B** consumption, and no other reaction  
 255 intermediates were identified. This is consistent with either the  
 256 concerted deformylation/ring opening of **B** or the formation of  
 257 short-lived intermediates en route to **P5** ( $\beta$ -5 C2 pathway shown  
 258 in Scheme 3). Dehydrated benzofuran **P6** (Figure 2c) was iden-  
 259 tified as minor side product (UPLC-MS, Supporting Information  
 260 section S10.3). **P6** originates from the C3-pathway previously  
 261 identified on acid-catalyzed modification of the  $\beta$ -5 linkage  
 262 (Scheme 3).<sup>28</sup>

263 **Proposed Reaction Pathways in Acidolysis of AB1.**  
 264 Returning to the reactivity of **AB1** under acidolysis and acetal  
 265 forming conditions, a series of reaction pathways were con-  
 266 structed (Scheme 6), and rate analysis provided the curve fits  
 267 shown in the corresponding figures (on rate modeling, see  
 268 Supporting Information section S8.0). The **AB1** acidolysis  
 269 products ( $[M + H]^+ = 465 \text{ g mol}^{-1}$ ) were assigned to the *E* and *Z*  
 270 isomers of enol ether **I1**, products of the reverse Prins reaction of  
 271 **AB1** in which the  $\beta$ -5 linkage remains unmodified. This is  
 272 consistent with the very fast formation of **I3** from **A**. The sub-  
 273 sequent cleavage of **I1** to form **G** and an elusive intermediate **I1a**  
 274 (calculated rate of consumption **I1** =  $0.35 \text{ min}^{-1}$  vs **I3** =  
 275  $0.22 \text{ min}^{-1}$ ) is the subsequent step followed by the modification  
 276 of the  $\beta$ -5 linkage via C2 pathway to give the final acetal stilbene  
 277 product **P1** (rate of formation =  $0.14 \text{ min}^{-1}$  for both **P1** and **P5**).  
 278 The C3 pathway for the  $\beta$ -5 modification also occurs as a minor  
 279 side reaction providing traces of **P8** similar to the traces of **P6**  
 280 formed from **B**. The second existing route by which **G** is formed  
 281 from **AB1** is the C3 pathway analogous to that identified using the  
 282  $\beta$ -O-4 model compound **A**. This route leads to **P7** ( $[M + H]^+ =$   
 283  $403 \text{ g mol}^{-1}$ ), the corresponding Hibbert ketal analogue  
 284 (Supporting Information section S10.1). For the  $\beta$ -O-4 cleavage,

285 the C2 pathway is dominant over the C3 pathway under these  
 286 reaction conditions (a 3:1 ratio based on the modeled rates and  
 287 the **P1** to **G** yield discrepancy). The ring opening of the  $\beta$ -5  
 288 linkage occurs nearly exclusively via the C2 pathway.

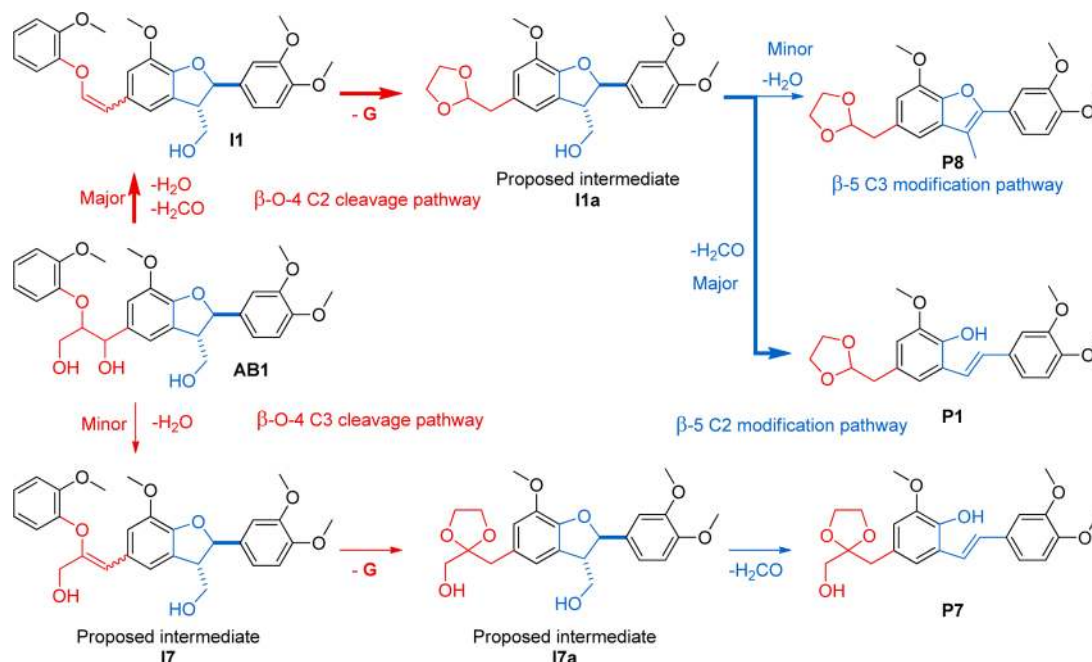
289 **Determination and Quantification of the Formalde-**  
 290 **hyde Released from the ( $\beta$ -O-4)-( $\beta$ -5) Models.** During the  
 291 acidolysis of models **AB1**, **A**, and **B**, the C2 reaction pathways  
 292 for both the  $\beta$ -5 and  $\beta$ -O-4 linkages involve the formal loss of a  
 293 carbinol group. Although previous studies agree that this is  
 294 achieved through the release of formaldehyde,<sup>24b,25</sup> there has  
 295 been little direct evidence to support this or attempts to quantify  
 296 the amount of formaldehyde released, likely due to experimental  
 297 difficulties. Our unique reaction conditions, however, allow for  
 298 identification and quantification of the released formaldehyde  
 299 trapped as its ethylene glycol acetal, 1,3-dioxolane **Z** (Scheme 7).

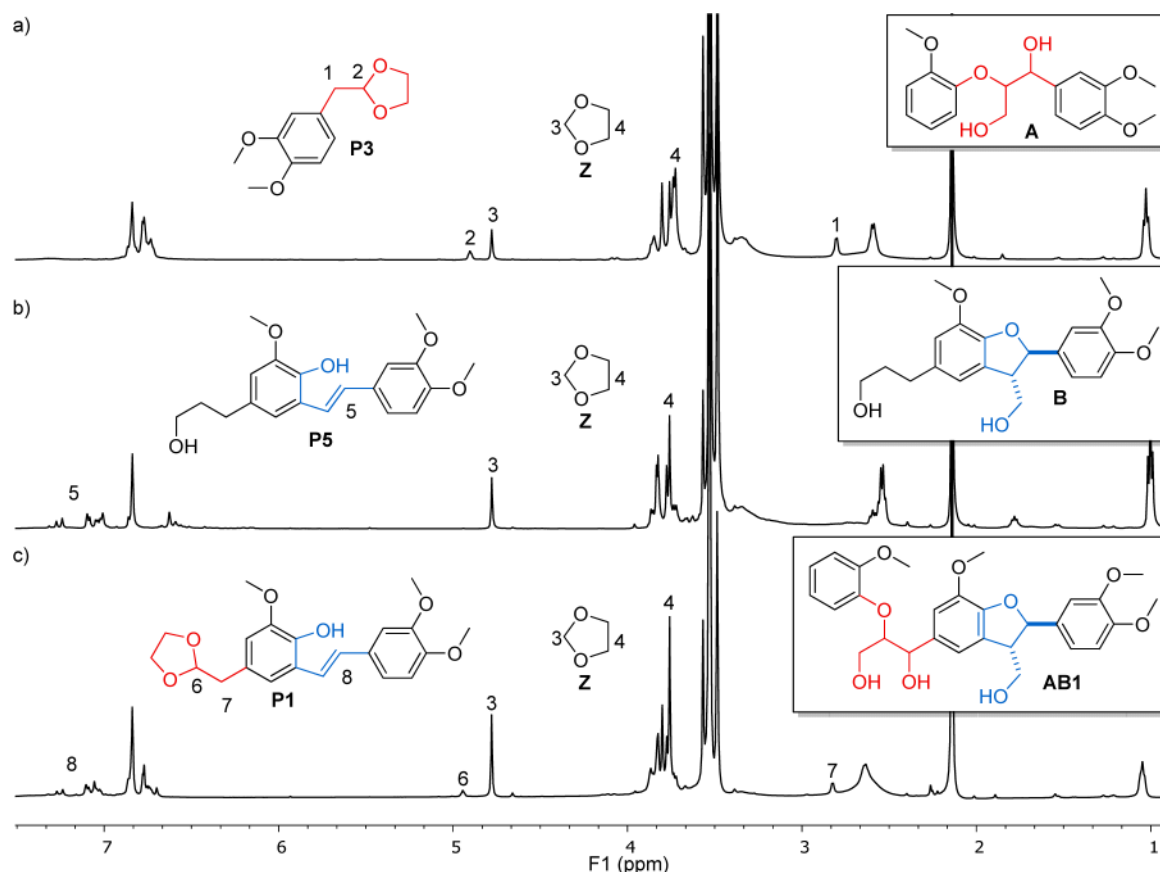
Scheme 7. 1,3-Dioxolane **Z** Formation from the Reactions of **A**, **B**, or **AB1** with HOTf and Ethylene Glycol



300 Reactions of **AB1**, **A**, and **B** were repeated in *d*<sub>8</sub>-1,4-dioxane.  
 301 In all cases, the corresponding 1,3-dioxolane **Z** was clearly  
 302 identified (signals at  $\delta$  4.77 and  $\delta$  3.76 in <sup>1</sup>H NMR spectra),  
 303 and the amounts of **Z** as well as acetal products **P1** and **P3** were  
 304 quantified using an internal standard (Figure 3, for details see  
 305 Supporting Information section S12). In the case of **A**, a 56%  
 306 yield of **Z** was observed and this matched well with the 66%  
 307 yield of C2-acetal **P3** found in the same sample (Figure 3a and  
 308 Supporting Information section S12.1). Also, for the  $\beta$ -5 model **B**,  
 309 the amount of **Z** (81% yield) was consistent with that of the

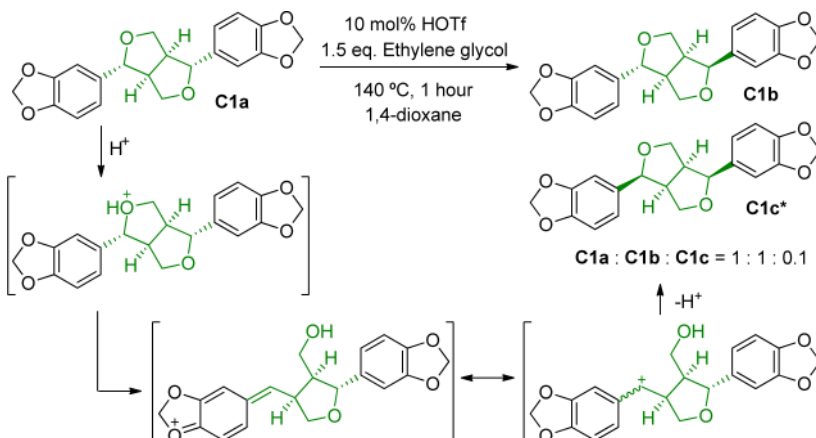
Scheme 6. Overview of the Detected Reaction Sequences from the HOTf-Catalyzed Cleavage and Modification of **AB1** in 1,4-Dioxane at 140 °C





**Figure 3.** Crude  $^1\text{H}$  NMR spectra of the reactions of (a) **A**, (b) **B**, and (c) **AB1**. Reaction conditions: 10 mol % HOTf, 4 equiv ethylene glycol, 1,4-dioxane- $d_6$ , 140  $^\circ\text{C}$ , 15 min, and 1,2,4,5-tetramethylbenzene as internal standard.

### Scheme 8. Epimerization of $\beta$ - $\beta$ Model **C1a** under Acid Conditions<sup>a\*</sup>



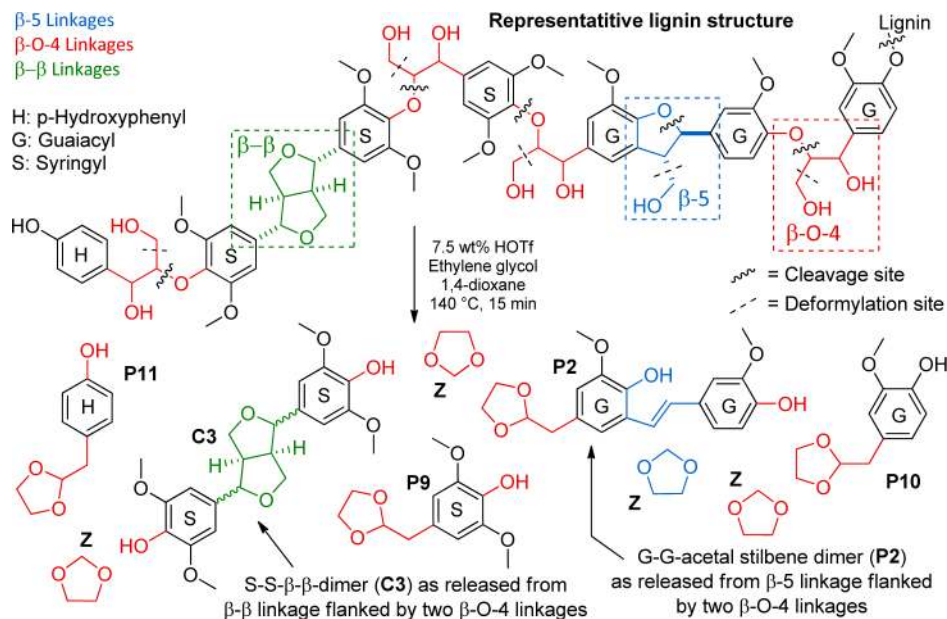
<sup>a\*</sup>: Via a second epimerization reaction at the other benzylic position

310 corresponding **C2** product, **P5** (76% yield by HPLC from  
311 a separate reaction, [Figure 3b](#) and [Supporting Information](#)  
312 [section S12.2](#)). Finally, for **AB1** an 85% yield of **Z** based on the  
313 release of 2 equiv of formaldehyde was found ([Figure 3c](#) and  
314 [Supporting Information section S12.3](#)). The amount of **P1** was  
315 slightly lower than expected based on the yield of **Z** (62% **P1** vs  
316 85% **Z**), but is consistent with the HPLC yields discussed above  
317 ([Scheme 4](#)) combined with the observation that the **C3** path-  
318 way for the cleavage of the  $\beta$ -O-4 linkage still leads to a product  
319 in which the  $\beta$ -5 unit has been modified according to the **C2**  
320 pathway leading to additional **Z** ([Scheme 6](#)). The observed

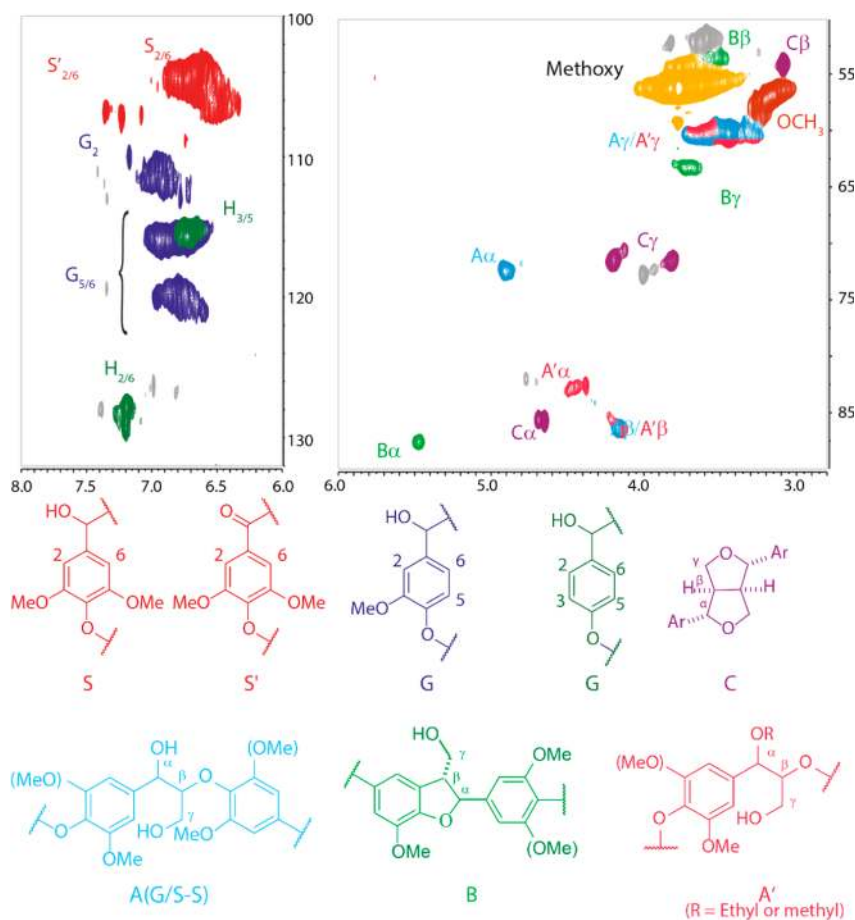
quantities of **Z**, together with the identified products of the  
complementary **C2** pathways, are strong indications that most  
of the released formaldehyde is trapped as its corresponding  
acetal. Formaldehyde has been previously implicated in con-  
densation reactions;<sup>14,31</sup> thus, the use of ethylene glycol in our  
catalytic system contributes to eliminating the adverse effects of  
formaldehyde. This, together with the trapping of other reactive  
intermediates (aldehydes), explains the success of this method-  
ology when applied to lignin.<sup>14</sup>

**Examination of the Reactivity of  $\beta$ - $\beta$  Model Com-  
pounds.** The effect of our standard acidolysis conditions on



Scheme 9. Schematic Showing of Specific Linkages as They Would Appear in Lignin and Expected Cleavage Products<sup>a</sup>

<sup>a</sup>A hypothetical lignin structure is shown containing  $\beta$ -O-4,  $\beta$ -5 and  $\beta$ - $\beta$  linkages.



**Figure 4.** 2D HSQC NMR spectrum of walnut methanosolv lignin showing areas used for the quantification of visible linkages and determination of S/G/H ratios.

332 the  $\beta$ - $\beta$  motif was studied using the model **C1a** (sesamin,  
 333 **Scheme 8**) because **C1a** has the same relative configuration as  
 334 the  $\beta$ - $\beta$  linkage in lignin.<sup>5,9a</sup> Acidolysis of **C1a** led to a remarkably

clean reaction (**Supporting Information section S13.1**) with the  
 335 main products being epimers **C1b** (asarinin/episesamin) and **C1c**  
 336 (epiasarinin/diasesamin, **Scheme 8**).<sup>9b,32</sup> The ratio of **C1a**/**C1b**/**C1c**  
 337

was 1:1:0.1 ( $^1\text{H}$  NMR, Figure S19) with a >95% mass balance (GC-FID) being observed. Reaction of C1a in the absence of ethylene glycol provided the same product mixture indicating little influence of the diol on this reaction (Figure S20). The same product distribution was also observed when C2a (yangambin) was reacted under these conditions (Figure S21). Epimerization reactions for similar compounds have been previously reported using different Lewis acids.<sup>9a,b,32</sup> Phenolic versions of these compounds (e.g., pinoresinol and syringaresinol C3, Figure 1) and their epimers were previously obtained during lignin acidolysis<sup>11c,23a,26a</sup> and were again identified in this work (*vide infra*). These results indicate no effect of ethylene glycol on the products formed via acidolysis of the  $\beta$ - $\beta$  motif in lignin.

**Identification of Dimeric Products in Lignin-Derived Product Mixtures.** This work culminated in our analysis of lignin-derived product mixtures to assess if the reactions observed in the model compounds translated to the natural material itself. A typical organosolv lignin consists predominantly of the most abundant  $\beta$ -O-4 linkage and the less abundant (about 10%)  $\beta$ -5 and  $\beta$ - $\beta$  linkages (other minor linkages were not considered).<sup>5</sup> Therefore, it is very likely that the  $\beta$ -5 linkages will be flanked by  $\beta$ -O-4 linkages, a situation that inspired the design of AB1-4. The same will hold true for the  $\beta$ - $\beta$  linkages. Exposure of lignin to our catalytic acidolysis conditions would therefore be expected to give phenolic acetals P9-11 as the major products via the C2-pathways because they result from the cleavage of neighboring  $\beta$ -O-4 linkages (Scheme 9)<sup>14</sup> as well as small amounts of Hibbert ketals via the C3 pathway. A  $\beta$ -5 dimer flanked by two  $\beta$ -O-4 linkages should result in stilbene compounds such as P2 via the C2  $\beta$ -O-4 cleavage pathway plus smaller amounts of ketal structures such as P7 (Scheme 6) through the C3  $\beta$ -O-4 cleavage pathway. A  $\beta$ - $\beta$  dimer flanked by two  $\beta$ -O-4 linkages should give epimerized diphenolic  $\beta$ - $\beta$  fragments like C3 (Scheme 9).<sup>11c,23a</sup>

To confirm this, catalytic depolymerization reactions were carried out using pine, beech, and walnut shell organosolv lignins. These lignins were obtained by standard organosolv processing and characterized using 2D HSQC NMR (Figure 4) and GPC for which the most relevant data are summarized in Table 1 (Isolation and characterization details in Supporting Information sections S14.0 and S15.0).

Next, 50 mg samples of these lignins were subjected to the catalytic acidolysis conditions. The crude reaction mixtures were processed by extraction to obtain LMW and high molecular weight fractions (Supporting Information sections S16.0 and S17.0). The LMW fractions were analyzed by GC-FID and GC-MS, and the expected main product acetals (P9-11, Scheme 9) were quantified using an internal standard (Table 2). The P9 versus P10 ratios corresponded well to the amount of S and G

**Table 2. Product Distribution P9-P11 Obtained from Lignin Acidolysis Reaction Using HOTf and in the Presence of Ethylene Glycol<sup>a</sup>**

entry	lignin	P9 (wt %) <sup>b</sup>	P10 (wt %) <sup>b</sup>	P11 (wt %)	total P9-11 (wt %)
1	pine methanosolv		4.4	0.1	4.5
2	beech ethanosolv	4.8	2.6		7.4
3	walnut methanosolv	7.0	3.9	0.4	11.3

<sup>a</sup>Distributions are those shown in Scheme 9. Conditions: 50 mg of lignin, 60 mg of ethylene glycol, 7.5 wt % HOTf, 1 mL of 1,4-dioxane, 30 min, 140 °C, in sealed pressure vessel, *n*-octadecane as GC internal standard. LMW fraction was obtained by extraction of dried reaction solid with 9:1 toluene/DCM. <sup>b</sup>Determined by GC-FID referring to the starting lignin.

units in the lignin starting material. Moreover, the total acetal yields for the respective lignins were dependent on the number of  $\beta$ -O-4 linkages in the original lignin (compare Tables 1 and 2). In the case of ethanosolv beech lignin, the  $\beta$ -O-4 moiety showed increased ethanol incorporation as a result of the organosolv procedure.<sup>33</sup> This is a likely explanation of the slightly higher than expected acetal yields based on the overall  $\beta$ -O-4 content determined by NMR. All acetal yields corresponded well to the isolated yields that we have previously reported (Supporting Information section S17.0 for analysis details).<sup>14</sup> In these reactions, small amounts of products were also seen that correlate to cleavage of the  $\beta$ -O-4 moiety via the C3-pathway, including P12 (Figure 5a).

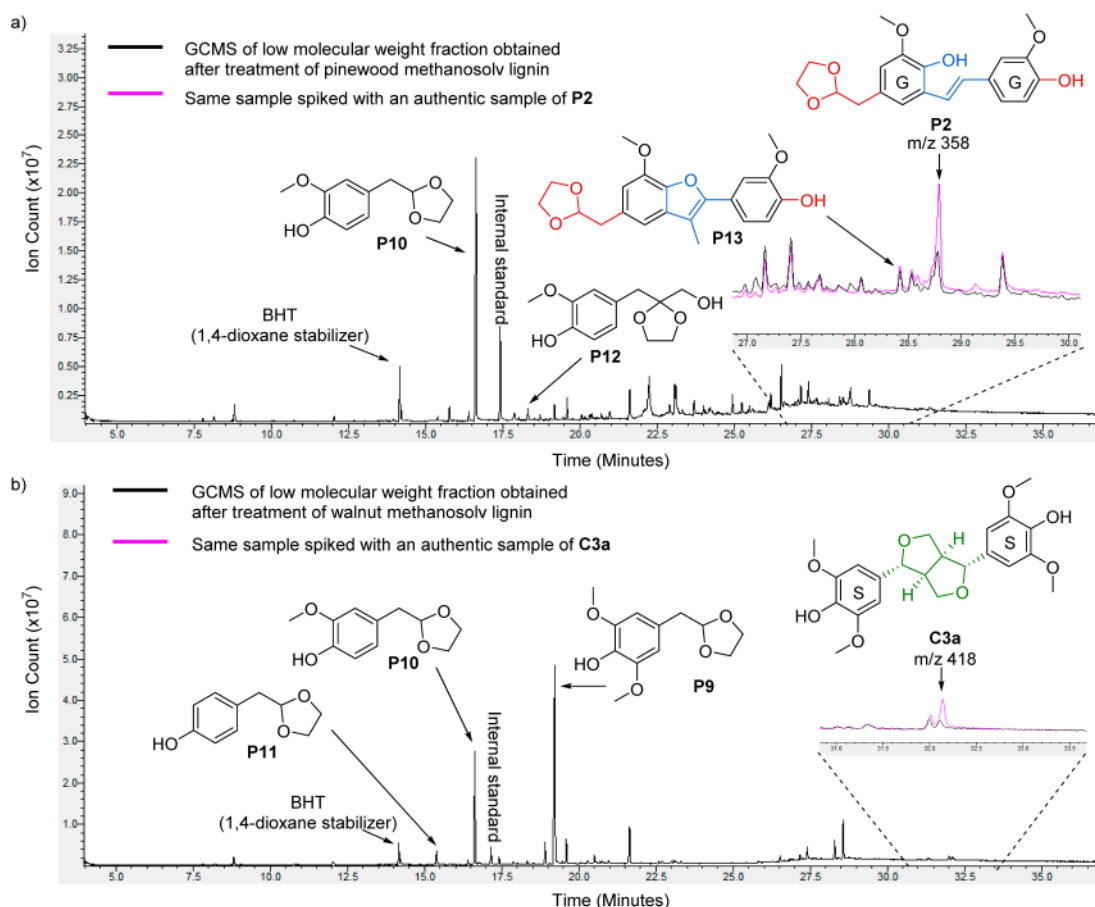
The product mixtures from pine lignin were investigated first. Gratifyingly, acetal stilbene P2 could be identified by GC-MS analysis and its presence verified by spiking with an authentic sample of P2 (Figure 5a and Table S8). The yield of P2 was determined as 2 wt %, in agreement with the relatively high percentage of  $\beta$ -5 linkages (10 per 100 aromatic units) in this lignin. Because pine lignin contains only G units, none of the corresponding S containing acetal stilbenes were observed. Compound P13 (analogous to P8) was also detected (Figure 5a). No  $\beta$ - $\beta$  dimer fragments were identified in this reaction mixture given the limited amount of such linkages present in this lignin (<1  $\beta$ - $\beta$  linkages per 100 aromatic units, Table 1).

The beech organosolv and the walnut shell methanosolv lignins were richer in  $\beta$ - $\beta$  linkages (4 and 8  $\beta$ - $\beta$  linkages per 100 aromatic units respectively); thus,  $\beta$ - $\beta$ -containing fragments derived from these lignins were successfully identified. The presence of syringaresinol C3a was verified by spiking with an authentic sample for both lignins (Figures 5b and S29). C3a and epimer C3b were found as a 1:1 mixture and identified based on their identical molecular weight and fragmentation patterns.

**Table 1. Lignin Characteristics Determined by GPC and 2D-HSQC Analysis**

entry	lignin	$M_n$ (Da), $M_w$ (Da), $D^a$	S, G, H (%) <sup>b</sup>	linkages (per 100 C <sub>9</sub> units) <sup>c</sup>			
				$\beta$ -O-4 <sup>d</sup>	$\beta$ -O-4-OR <sup>e</sup>	$\beta$ -5	$\beta$ - $\beta$
1	pine methanosolv	1075, 2088, 1.9	0, 100, trace	11	5	10	1
2	beech ethanosolv	928, 2016, 2.2	68, 32, 0	7	4	3	4
3	walnut methanosolv	808, 1518, 2.2	65, 29, 6	26	12	7	8

<sup>a</sup>Determined by GPC (THF) against polystyrene standards (Supporting Information section S15.1). <sup>b</sup>Determined by 2D-HSQC using signal intensities of the corresponding aromatic signals corrected for the amount of protons (Supporting Information section S15.2). <sup>c</sup>Determined by 2D-HSQC by comparing the signal intensities of the aromatic signals to the intensities of the benzylic protons of the linkages corrected for the amount of protons (Supporting Information section S15.2). <sup>d</sup>Total number of  $\beta$ -O-4 linkages. <sup>e</sup>Amount of  $\alpha$ -methoxylated/ethoxylated units.

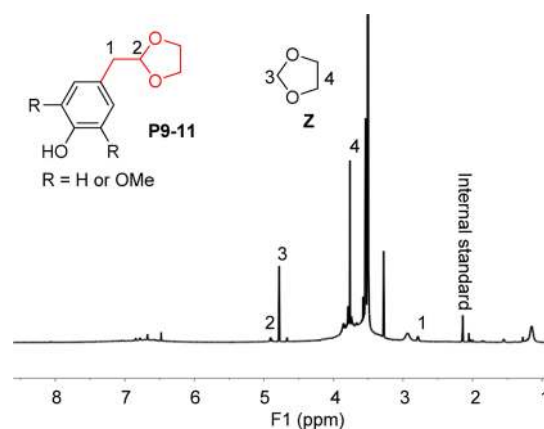


**Figure 5.** GC-MS traces of product mixtures obtained from the depolymerization of (a) methanosolv pine lignin and the same sample spiked with an authentic sample of compound **P2** and (b) beech wood ethanosolv lignin and the same sample spiked with an authentic sample of compound **C3a**. Reaction conditions: 50 mg of lignin, 60 mg of ethylene glycol, 7.5 wt % HOTf, 1 mL of 1,4-dioxane, 30 min, 140 °C, in sealed pressure vessel, *n*-octadecane as GC internal standard (For more detailed analysis of the GC-MS trace, see Supporting Information section S17.2).

420 The observation of **C3**, a  $\beta$ - $\beta$  dimer of two **S** units, is  
 421 consistent with the relatively high amount of **S** units in these  
 422 lignins. In addition, it is known that **S** units are more likely  
 423 to undergo  $\beta$ - $\beta$  dimer formation during lignin biosynthesis.<sup>34</sup>

424 The combined yields of these epimers from beech and walnut  
 425 lignin were 2.6 and 5.5 wt %, respectively, in line with the  
 426 amount of the respective linkages in these lignins (GC-MS  
 427 analysis see Tables S9 and S10). Additionally, in the samples  
 428 obtained from the walnut methanosolv lignin, trace quantities  
 429 of **P2** and **P13** were observed.

430 The above results clearly demonstrate that the chemistry  
 431 established using ( $\beta$ -O-4)-( $\beta$ -5) model compounds **AB1-4** as  
 432 well as  $\beta$ - $\beta$  model compounds **C1** and **C2** using acetal forma-  
 433 tion conditions can be directly extrapolated to the depolyme-  
 434 rization of lignin under the same conditions. The unambiguous  
 435 identification of structurally diverse dimeric compounds such as  
 436 **P2** or **C3** in complex lignin-derived product mixtures would prove  
 437 extremely challenging solely based on GC-MS or UPLC-MS  
 438 analysis. With lignin-relevant model compounds such as **AB1-4**,  
 439 however, the formation of these compounds can be rationalized.  
 440 Analysis of the product mixtures also confirmed the dominance of  
 441 the **C2** reaction pathways, which should coincide with formaldehyde  
 442 release from the  $\beta$ -O-4 and  $\beta$ -5 motifs. A separate set of experi-  
 443 ments was conducted to confirm this using beech ethanosolv and  
 444 walnut methanosolv lignin in *d*<sub>8</sub>-1,4-dioxane. The <sup>1</sup>H NMR analysis  
 445 of these reactions revealed the formation of 1,3-dioxolane **Z**  
 446 (Figure 6 and Supporting Information section S18.0). The yields of



**Figure 6.** <sup>1</sup>H NMR spectrum of the crude reaction mixture obtained from the depolymerization of 50 mg of walnut methanosolv lignin demonstrating the formation of 1,3-dioxolane **Z**. Reaction conditions: 7.5 wt % HOTf, 60 mg of ethylene glycol at 140 °C for 30 min, quenched by the addition of 5  $\mu$ L Et<sub>3</sub>N.

**Z** from beech and walnut lignin were 1 and 4.2 wt %, respec- 447  
 tively (quantified using an internal standard). This corresponds 448  
 to the amounts of acetals **P9-P11** detected. It is remarkable 449  
 that the reactivity trends established using our new models 450  
**AB1-4** were also in good agreement in terms of formaldehyde 451  
 release with the results obtained with actual lignin samples. 452

453 A further advantage of trapping the released formaldehyde is  
454 that it leads to a more complete overall carbon mass balance  
455 of the lignin depolymerization reaction. The high yield of  
456 1,3-dioxolane **Z** bodes well for the large-scale production of this  
457 compound from lignin, in addition to the valuable aromatics,  
458 because 1,3-dioxolane **Z** already finds use as a solvent.

## 459 ■ CONCLUSIONS

460 We have described the synthesis of a new class of ( $\beta$ -O-4)-( $\beta$ -5)  
461 lignin models **AB1–4** that are realistic representations of an  
462 abundant lignin fragment (particularly in softwoods). These  
463 models allowed for in-depth catalysis studies and enabled a  
464 detailed understanding of the controlled catalytic depolymeriza-  
465 tion of lignin itself. This was possible because **AB1–4** are suffi-  
466 ciently complex to mimic lignin reactivity but still enable product  
467 analysis. We also gained detailed insight into the acid-catalyzed  
468 cleavage of **AB1–4** as well as other  $\beta$ -O-4,  $\beta$ -5 and  $\beta$ - $\beta$  model  
469 compounds. It was demonstrated that the mild depolymerization  
470 strategies presented herein were highly efficient in the cleavage of  
471 C–O bonds, whereas the main C–C linkages in the  $\beta$ -5 and  $\beta$ - $\beta$   
472 were left intact, with the only C–C bond scission being the  
473 release of formaldehyde. Therefore, to obtain high yields of aro-  
474 matic monomers, lignins with high  $\beta$ -O-4 content are desired.  
475 The structure and quantity of dimeric products however relates  
476 to the type and number of C–C bonds present in the starting  
477 lignin structure. Major reaction pathways (C2 and C3, Schemes 3  
478 and 6) and important intermediates were identified. In addition,  
479 novel dimeric products, such as *E*-acetal stilbenes **P1** and **P2**  
480 were isolated. This has, for the first time, allowed the iden-  
481 tification of these products in depolymerization mixtures  
482 generated from pine and walnut lignins.

483 Recently, Sels and co-workers found the use of ethylene  
484 glycol beneficial in reductive lignin depolymerization.<sup>35</sup> Our  
485 previous studies also addressed the advantages of using ethylene  
486 glycol under acidolysis conditions.<sup>14</sup> Herein, we further specified  
487 the benefits of using ethylene glycol in our reactions. First,  
488 ethylene glycol stabilizes the various C2-aldehydes formed on  
489 cleavage of the  $\beta$ -O-4 linkages. Further, ethylene glycol plays  
490 a role in “trapping” the formaldehyde released both from the  
491  $\beta$ -O-4 as well as the  $\beta$ -5 linkage. Importantly, we were able to  
492 quantify the amount of released formaldehyde in model and  
493 lignin reactions via the corresponding 1,3-dioxolane **Z** formed.

494 Overall, a close correlation between the reactivity of **AB1–4**  
495 and lignin was found. Thus, our novel ( $\beta$ -O-4)-( $\beta$ -5) lignin  
496 models should find general use in future catalytic lignin depo-  
497 lymerization studies and will enable further improvements in  
498 our understanding of the reactivity of lignin. This is an essential  
499 component of establishing financially viable biorefineries.

## 500 ■ ASSOCIATED CONTENT

### 501 ● Supporting Information

502 The Supporting Information is available free of charge on the  
503 ACS Publications website at DOI: 10.1021/jacs.6b04144.

504 Synthetic procedures and analytical data for described  
505 model compounds; procedures and analytical data for  
506 reactions with model compounds as well as product  
507 isolation and characterization; lignin isolation procedures  
508 and characterization; lignin depolymerization proce-  
509 dures; analytical data for product mixtures (PDF)

510 Crystallographic data for compounds **4** and **5** (CIF)

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### Notes

The authors declare no competing financial interest.

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