



# Advances in characterization of the soil clay mineralogy using X-ray diffraction: from decomposition to profile fitting

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1 **Advances in characterization of the soil clay mineralogy using X-ray**  
2 **diffraction: from decomposition to profile fitting**

3

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10 *Running head: Advances in soil clay mineral characterization*

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## 13 **Summary**

14 Structural characterization of soil clay minerals often remains limited despite their key  
15 influence on soil properties. In soils, complex clay parageneses result from the  
16 coexistence of clay species with contrasting particle sizes and crystal-chemistry and  
17 from the profusion of mixed layers with variable compositions. The present study  
18 aimed at characterizing the mineralogy and crystal chemistry of the  $< 2 \mu\text{m}$  fraction  
19 along a profile typical of soils from Western Europe and North America (Neo Luvisol).  
20 X-ray diffraction (XRD) patterns were interpreted using i) the combination of XRD  
21 pattern decomposition and indirect identification from peak positions commonly applied  
22 in soil science and ii) the multi-specimen method. This latter approach implies direct  
23 XRD profile fitting and has recently led to significant improvements in the structural  
24 characterization of clay minerals in diagenetic and hydrothermal environments. In  
25 contrast to the usual approach, the multi-specimen method allowed the complete  
26 structural characterization of complex clay parageneses encountered in soils together  
27 with the quantitative analysis of their mineralogy. Throughout the profile, the clay  
28 paragenesis of the studied Neo Luvisol systematically includes discrete smectite, illite  
29 and kaolinite in addition to randomly interstratified illite-smectite and chlorite-smectite.  
30 Structural characteristics of the different clay minerals, including the composition of  
31 mixed layers, did not vary significantly with depth and are thus indicative of the parent  
32 material. The relative proportion of the  $< 2 \mu\text{m}$  fraction increased with increasing depth  
33 simultaneously with smectite relative proportion. These results are consistent with the  
34 leaching process described for Luvisols in the literature.

35 **Introduction**

36 The < 2  $\mu\text{m}$  fraction of soils is commonly dominated by clay minerals which control, to  
37 a large extent, important soil chemical and physical properties such as cation exchange  
38 capacity and surface area (Dixon & Weed, 1989). In addition, clay minerals record the  
39 pedogenetic history of soils (see the review of Wilson, 1999). An accurate  
40 determination of clay mineralogy and of its changes along the soil profile is thus  
41 essential for both purposes. Two main factors impede such a precise identification: first,  
42 soil clay parageneses are most often mixtures of clay species with a variety of particle  
43 sizes (50 nm – 5  $\mu\text{m}$ ), and crystal-chemistry. Second, soil clay minerals are often mixed  
44 layers with variable compositions (Righi & Elsass, 1996).

45 Over the last decade, the combined use of DecompXR (Lanson, 1997) and Newmod  
46 (Reynolds, 1985) has improved the interpretation of X-ray diffraction (XRD) patterns in  
47 soils. DecompXR allows the decomposition of complex diffraction maxima into  
48 elementary peaks characterized by their positions, full width at half maximum intensity  
49 (FWHM) and intensities. This approach thus reveals the phase heterogeneity of samples  
50 and allows quantifying compositional changes within a series of samples, for example  
51 in a soil profile. However, the decomposition by itself does not allow the identification  
52 of mixed layers that is the determination of the different layer types coexisting within  
53 crystallites, of their proportion and stacking sequences. Mixed layer identification is  
54 routinely performed from the comparison of experimental peak positions with those  
55 calculated, commonly using Newmod, for mixed layers whose composition (nature and  
56 proportion of the different layer types) and stacking parameters are optimized.

57 Such a combination of XRD pattern decomposition and Newmod calculations has  
58 been successfully applied to samples from diagenetic or hydrothermal geological  
59 settings (Lanson & Besson, 1992). It has been for soils to i) evaluate the effect of time

60 on soil formation (Righi & Meunier, 1991; Righi *et al.*, 1995; Hardy *et al.*, 1999; Egli *et al.*, 2001, 2008; Velde *et al.*, 2003; Vingiani *et al.*, 2004; Montagne *et al.*, 2008), ii)  
61 investigate the role of vegetation cover (Barré *et al.*, 2007a) and of macrofauna (Jouquet  
62 *et al.*, 2007) on clay mineralogy, and iii) characterize the interactions between clay  
63 minerals and organic matter in relation to carbon sequestration (Fontaine *et al.*,  
64 2007). However, this dual procedure allows only an approximate characterization of the  
65 mixed layers as the identification relies essentially on peak position without fitting the  
66 complete reflection profiles including asymmetries and shoulders. Consistently, profile  
67 fitting results in a more reliable identification of mixed layers (Drits, 2003). Fitting  
68 simultaneously the profiles of various basal reflections provides additional constraints.

70 To overcome the intrinsic limitations of the previous approaches, the profile fitting  
71 method calculates a complete XRD pattern from a structural model optimized for each  
72 clay species present (Drits & Sakharov, 1976; Drits & Tchoubar, 1990). Drits *et al.*  
73 (1997a) and Sakharov *et al.* (1999a,b) further improved the approach as several  
74 structural models may fit a given experimental pattern equally well. In the multi-  
75 specimen method, the optimized structural model should describe all XRD patterns  
76 obtained for a given sample following different treatments such as saturation by  
77 different interlayer cations, ethylene glycol solvation, heating, etc equally well. The  
78 multi-specimen method can be applied to mixed layers with more than two layer types  
79 whatever the layer stacking sequences, and there is no *a priori* limitation to the nature  
80 of identified species. It provides also quantitative phase analysis of complex clay  
81 parageneses (Drits, 2003).

82 Over the last decade, the multi-specimen method has been widely used to  
83 characterize clay mineralogy and its evolution in diagenetic and hydrothermal series  
84 (Drits *et al.*, 1997a, 2002a, b, 2004, 2007; Sakharov *et al.*, 1999a, b, 2004; Lindgreen *et*

85 *al.*, 2000, 2002; Claret *et al.*, 2004; McCarty *et al.*, 2004, 2008; Inoue *et al.*, 2005;  
86 Aplin *et al.*, 2006; Lanson *et al.*, 2009). Compared with diagenetic and hydrothermal  
87 clay parageneses, soil clay species are poorly crystallized and numerous randomly  
88 interstratified mixed layers could coexist. To our knowledge, this method has never  
89 been applied to soil samples before the present study which investigates the < 2  $\mu$ m  
90 fraction mineralogy of a Luvisol typical of Western Europe and North America  
91 (Jamagne *et al.*, 1984; Velde, 2001). We aimed to demonstrate that, compared with the  
92 common identification approach using decomposition and indirect comparison with  
93 calculated patterns, profile fitting provides new insights into soil clay mineralogy  
94 allowing a more reliable and more complete identification of clay species and the  
95 quantification of their relative proportions. This is essential for the understanding of soil  
96 genesis and dynamics. A second aim was to investigate whether, the redistribution of  
97 clay species between soil horizons and the limited changes of clay crystal structures  
98 were consistent with a leaching process.

99

100

## 101 **Materials and methods**

### 102 *Soil samples*

103 The studied soil is a “Neo Luvisol” according to the World reference base (IUSS  
104 working group WRB, 2006). It is developed on loess deposits from the Closeaux Field  
105 Experiment, at the Experimental Station of the Institut National de la Recherche  
106 Agronomique (INRA – Château de Versailles, France).

107 On the basis of field observations, five horizons were sampled from the soil profile.  
108 Noticeable marks of hydromorphy were observed in the E1g, E2g, Bt and Bt/C  
109 horizons, together with accumulation of clays in the pore system of the Bt/C horizon.

110 The relative proportion of the < 2  $\mu\text{m}$  fraction steadily increased with increasing depth  
111 from 18% in the surface horizon to 27% in the deeper ones (Table 1). In addition, CEC  
112 at the soil pH increased with the increasing content of the < 2  $\mu\text{m}$  fraction from 11.2  
113  $\text{cmol}_\text{C} \text{ kg}^{-1}$  in Ap to 16.7  $\text{cmol}_\text{C} \text{ kg}^{-1}$  in Bt/C. The content of organic carbon decreased  
114 from 1.6% in the surface horizon to 0.2% in the Bt/C horizon. Finally, the carbonate  
115 content was negligible throughout the soil profile, and the cation exchange complex was  
116 predominantly saturated with calcium (Ca) (Moni, 2008).

117

#### 118 *Separation of the < 2 $\mu\text{m}$ fraction for X-ray diffraction analysis*

119 No chemical treatments were applied to the raw samples as routine removal of organic  
120 matter by using  $\text{H}_2\text{O}_2$  or of iron and aluminium oxy-hydroxides by using the dithionite-  
121 citrate-bicarbonate protocol (Mehra & Jackson, 1960; Moore & Reynolds, 1997) may  
122 alter the clay minerals and more especially mixed layer species (Velde *et al.*, 2003).  
123 Samples from each soil horizon were first air-dried and sieved to < 2 mm; 100 g of the  
124 sieved sample was then mixed with deionized water and disaggregated by using  
125 agitation with glass balls. The < 50  $\mu\text{m}$  fraction was separated next by wet-sieving and  
126 dispersed using ultrasonic treatment (20 minutes at 600 W for 400 ml of suspension:  
127 Balesdent *et al.*, 1998). The < 2  $\mu\text{m}$  fraction was subsequently isolated from the silt (2-  
128 50  $\mu\text{m}$ ) by using repeated siphoning of the dispersed material (settling for 18 hours at  
129 20° C and removal of the upper 22 cm). The extracted suspension was centrifuged, and  
130 the remaining supernatant was filtered to 0.45  $\mu\text{m}$  and added to the centrifugation  
131 ‘residue’, which was then freeze-dried. The clay minerals were studied in their natural  
132 state. Consistent with their natural saturation by Ca, a repeated Ca-saturation test (five  
133 repeats) did not reveal any difference between natural and Ca-saturated samples (XRD  
134 data not shown).

135 Oriented mounts of the < 2  $\mu\text{m}$  fraction were prepared by using the filter transfer  
136 method (0.2  $\mu\text{m}$  Nucleopore® polycarbonate filters), as recommended by Moore &  
137 Reynolds (1997) for quantitative XRD analysis. Aliquots of 50 mg were deposited on a  
138 silicon wafer to avoid scattering from glass. XRD patterns were obtained using a  
139 Panalytical X'pert Pro diffractometer equipped with an X'celerator detector ( $\text{CuK}\alpha_{1+2}$   
140 radiation) in the air-dried state (AD) at room humidity (approximately 35%) and after  
141 solvation with liquid ethylene glycol (EG). The size of the divergence, two Soller and  
142 antiscatter slits were  $0.5^\circ$ ,  $2.3^\circ$ ,  $2.3^\circ$  and  $0.5^\circ$ , respectively. Diffraction data were  
143 recorded in a scanning mode and converted to step patterns (with a step of  $0.017^\circ 2\theta$   
144 from  $2.5$  to  $35^\circ 2\theta$ , using a 200- second counting time per step).

#### 145 *Decomposition of XRD patterns*

146 Decomposition of AD and EG patterns was performed as recommended by Lanson  
147 (1997) over the  $3 - 14^\circ 2\theta$  range. Over this angular range, the resolution of the  $\text{K}\alpha_{1+2}$   
148 doublet is low enough to allow using the Fityk 0.8.2 peak fitting software (Wojdyr,  
149 2007). Following background stripping, XRD patterns were fitted with Gaussian  
150 elementary curves whose number was steadily increased until a satisfactory fit to the  
151 data was obtained. The initial parameters (position and FWHM) of elementary curves  
152 were derived from previous studies on similar soil clay parageneses (Righi *et al.*, 1995;  
153 Pernes-Debuyser *et al.*, 2003) and optimized with the Levenburg-Marquardt algorithm.  
154 When compared, the results obtained were identical to those of DecompXR (data not  
155 shown).

156

#### 157 *X-ray profile modelling method*

158 XRD patterns of the five samples were modelled, in both AD and EG states, with the  
159 Sybilla© software developed by Chevron™ (Aplin *et al.*, 2006). This program provides



160 a graphic user interface to the algorithm developed initially by Drits & Sakharov (1976)  
161 and used recently by Drits *et al.* (1997a) and Sakharov *et al.* (1999a, b). It allows the  
162 direct comparison between experimental and calculated XRD profiles, the latter being  
163 the sum of all elementary contributions which have been identified.

164 Instrumental and experimental parameters such as horizontal and vertical beam  
165 divergence, goniometer radius and slide length were introduced and not further refined.  
166 The sigmatar parameter ( $\sigma^*$ ) which characterizes the distribution of particle orientation  
167 was set for each clay mineral phase as recommended by Rüpung *et al.* (2005). For all  
168 layer types  $z$  atomic coordinates proposed by Moore & Reynolds (1997) were used after  
169 modification to fit the layer thickness values used for simulation; thermal motion  
170 parameters (B) were also set as proposed by Moore & Reynolds (1997). The position  
171 and amount of interlayer species (H<sub>2</sub>O and EG molecules in particular) were considered  
172 as variable parameters and varied about the values proposed by Moore & Reynolds  
173 (1997) during the fitting process. In bi-hydrated smectite layers (2W), a single plane of  
174 H<sub>2</sub>O molecules was assumed to be present on each side of the interlayer mid-plane as  
175 proposed by Ferrage *et al.* (2005a, b). Illite and smectite structural formulae were  
176 similar to those proposed by Laird *et al.* (1991) from the ICP-AES elemental analysis of  
177 the < 2  $\mu$ m fraction from similar soils (Table 2).

178 For each mixed layer, the number, nature, proportion and stacking sequences of  
179 the different layer types were considered as adjustable parameters. In the AD state and  
180 under room humidity conditions, expandable layers may be dehydrated (S0w:  $d_{001} \sim$   
181 1.00 nm), mono-hydrated (S1w:  $d_{001} \sim 1.25$  nm), or bi-hydrated states (S2w:  $d_{001} \sim$   
182 1.50 nm) (Ferrage *et al.*, 2005b). Illite and S0w layers cannot be differentiated in the  
183 AD state, but smectite layers expand following EG solvation to incorporate one or two  
184 sheets of EG molecules in their interlayers (S1eg:  $d_{001} \sim 1.30$  nm, and S2eg:  $d_{001} \sim$

185 1.68 nm, respectively; Table 2). Finally, the distributions of coherent scattering domain  
186 sizes (CSDSs) were assumed to be lognormal and characterized by their mean value  
187 (Drits *et al.*, 1997b). The quality of the fit was estimated with the unweighted R  
188 parameter (Howard & Preston, 1989) over the  $4 - 35^\circ 2\theta$  and the  $3.5 - 35^\circ 2\theta$  ranges for  
189 AD and EG patterns, respectively, to minimize the influence of the low-angle region  
190 where the effect of X-ray scattering becomes significant. The  $19 - 22^\circ 2\theta$  and  $26.5 -$   
191  $27.0^\circ 2\theta$  ranges were excluded for the calculation of R as they contains peaks other than  
192 clay 00 $l$  reflections. For practical reasons, optimization was performed using a trial-and-  
193 error approach without automatic refinement of the parameters. To ensure the reliability  
194 of the model, both AD and EG patterns of a given sample were fitted with a unique set  
195 of structural parameters. The relative proportions of the different clay species in these  
196 complex parageneses were also optimized with Sybilla. The multi-specimen approach  
197 requires that these proportions to be similar in both AD and EG states.

198

199

## 200 **Results**

### 201 *Qualitative description of experimental XRD patterns*

202 XRD patterns obtained on the  $< 2 \mu\text{m}$  fraction (AD and EG) of the five soil horizons are  
203 shown in Figure 1. All samples contained quartz (0.426 and 0.334 nm peaks), feldspars  
204 (0.325 and 0.320 nm) and poorly crystallized goethite (0.418 nm). The clay paragenesis  
205 is similar for all horizons including kaolinite (rational series of peaks at 0.716 and  
206 0.358 nm in AD and EG states), illite-mica (rational series of peaks at 1.01, 0.498 and  
207 0.334 nm in AD and EG states). In addition, the presence of broad and irrational peak  
208 series whose position shifts between AD and EG treatments suggests the presence of  
209 mixed layers containing expandable layers. Specifically, the 1.47 nm peak observed on

210 the AD pattern shifted to approximately 1.75 nm following EG solvation. Such  
211 behaviour is characteristic of randomly interstratified illite-smectite (Moore &  
212 Reynolds, 1997). The steady intensity increase of the 1.47 nm peak with increasing  
213 depth suggests an increasing proportion of this mixed layer from Ap to Bt horizons.  
214 Finally, the presence of a maximum peaking at 0.485 nm, and its behaviour following  
215 EG solvation, supports the presence of a mixed layer containing both chlorite and  
216 expandable layers.

217

#### 218 *XRD pattern decomposition results*

219 The number of elementary contributions (6 and 7 in AD and EG states, respectively)  
220 necessary to fit the data was remarkably similar for all samples, as are their positions,  
221 FWHMs, and relative intensities (Figure 2). This overall similarity supports the  
222 hypothesis of a constant composition for all clay minerals along the soil profile. The  
223 illite-mica peak at approximately 1.00 nm was fitted by using a broad band at 1.020 nm  
224 and a sharp one at 1.000 nm, most probably accounting for a broad CSDS distribution.  
225 Similarly, the kaolinite peak at 0.716 nm was fitted with broad and sharp maxima  
226 peaking at 0.730 and 0.716 nm, respectively. The broad contribution at approximately  
227 1.47 nm was fitted also using two elementary contributions. The broad contribution at  
228 1.500 nm (1.550 nm for the Bt/C horizon) sharpens, shifted to 1.750 nm and presents an  
229 additional peak at 0.930 nm after EG solvation. The sharp peak at 1.460 nm (AD)  
230 broadened and shifted to 1.580 nm after EG solvation.

231 In their study of a similar soil, Pernes-Debuyser *et al.* (2003) used NEWMOD to  
232 identify the clay minerals present in surface samples. These authors attributed the two  
233 bands at 1.450 nm (broad) and 1.540 nm (sharp) to two randomly interstratified illite-  
234 smectite having similar contents of illite and S2w layers (50:50) but different CSDS

235 distributions (1-4 and 3-6 layers, respectively). The XRD pattern corresponding to this  
236 clay paragenesis was calculated using Sybilla and compared with the data (Figure 3) to  
237 assess the validity of the identification proposed by Pernes-Debuyser *et al.* (2003). The  
238 profiles of the peaks corresponding to discrete kaolinite and illite were satisfactorily  
239 reproduced. The overall profile of the low-angle data, especially that of the EG patterns,  
240 was also approximately reproduced. Significant mismatches were, however, observed,  
241 especially over the 6 – 11, 14 – 19 and 26 – 35°2 $\theta$  ranges, that resulted in an overall  
242 poor fit (Figure 3; R = 23.6% and 22.7% for AD and EG states, respectively) and  
243 therefore refute the identification proposed by Pernes-Debuyser *et al.* (2003). In  
244 particular, the chlorite-expandable mixed layer whose presence was hypothesized from  
245 the maximum peaking over the 18 – 19°2 $\theta$  range at 0.485 nm (AD) has a probable  
246 contribution over the low-angle region.

247

#### 248 *Full pattern fitting*

249 To overcome the limitations of an indirect identification illustrated above, XRD patterns  
250 recorded on the oriented clay separates preparations of all horizons were all fitted in  
251 both AD and EG states. The optimum fit was obtained systematically with randomly  
252 interstratified illite-smectite and chlorite-smectite, in addition to discrete smectite, illite  
253 and kaolinite as illustrated for the Bt horizon (Figure 4). The structural characteristics  
254 of the optimal models are listed in Tables 2 and 3, and Table 4 contains the relative  
255 proportions of the different contributions. Discrete illite contributed to the three  
256 reflections at 1.006, 0.499 and 0.333 nm (AD) and 1.001, 0.501 and 0.333 nm (EG).  
257 These reflections were sharp, indicative of a large mean CSDS (18 layers – Table 3).  
258 Two populations of kaolinite having contrasting CSDS (6 and 20 layers on average)  
259 were necessary to fit the low-angle asymmetry of the 0.716 and 0.358 nm reflections. At

260 1.554 nm, the 001 reflection of discrete smectite contributed significantly to the overall  
261 intensity of the broad 1.47 nm peak. The 005 reflection (0.305 nm) also accounted for  
262 the high-angle tail of the peak at 0.334 nm (AD). Following EG solvation, the first  
263 smectite reflection shifted to 1.808 nm accounting for the low-angle asymmetry of the  
264 peak at 1.750 nm. The 005 reflection at 0.337 nm contributed to the large ‘background’  
265 intensity between the 0.358 and 0.334 nm peaks. Discrete smectite has a small mean  
266 CSDS (3 layers) to match the width of experimental maxima. The heterogeneous  
267 hydration and swelling behaviours (36:64 S1w:S2w ratio in the AD state, and 24:76  
268 S1eg:S2eg ratio after EG salvation: Table 3) also contributes to line broadening. The  
269 003 reflection of smectite has a low intensity compared with that of 001 because of the  
270 large content of octahedral iron (1.2 atoms by unit formula: Table 2, Laird *et al.*, 1991).

271 A randomly interstratified illite-smectite with a large illite content (63:37  
272 illite:smectite ratio) was also identified in the clay paragenesis. This mixed layer has a  
273 small CSDS (six layers) and its smectite layers exhibited a heterogeneous hydration and  
274 swelling behaviour (Table 3). As a result, its first order reflection (at approximately  
275 1.27 nm in the AD state) allowed the high-angle asymmetry of the 1.47 nm peak to be  
276 fitted, whereas the 0.503 and 0.322 nm maxima contributed to the low- and high-angle  
277 tails of the complex maxima at 0.498 and 0.334 nm, respectively (Figure 4a). Following  
278 EG solvation, this randomly interstratified illite-smectite exhibited only weak and  
279 poorly-defined modulations over the low-angle region, which make it essentially  
280 undetectable using a decomposition approach. This mixed layer thus contributed to the  
281 diffracted intensity mostly on the high-angle side of the 0.498 nm peak, and in the  
282 complex band at 0.334 nm. A randomly interstratified chlorite-smectite (52:48  
283 chlorite:smectite ratio: Table 3) completed the clay paragenesis accounting in particular  
284 for the high angle shoulder of the 0.498 nm peak (AD) and for the sharp maximum of

285 the broad 1.47 nm band (Figure 4a). After EG solvation, this mixed layer contributed  
286 mostly to the large ‘background’ intensity between the 0.358 and 0.334 nm peaks, and  
287 to the complex 1.75 nm band.

288 The same clay paragenesis with discrete kaolinite, illite and smectite, and randomly  
289 interstratified illite-smectite and chlorite-smectite was used to fit XRD patterns from all  
290 five horizons (Figure 5, Tables 3 and 4) with R factor values ranging from 8.7 – 12.8%  
291 and from 9.3 – 12.6% for AD and EG patterns, respectively. The structural  
292 characteristics of discrete illite and kaolinite were essentially constant over the entire  
293 soil profile. Similarly, discrete smectite was systematically dominated by S2w layers  
294 (AD), the relative proportion of which was at a minimum in E1g and E2g horizons at  
295 approximately 55%, and increasing to 77% in the Bt/C horizon. Following EG  
296 solvation, most smectite layers incorporated two sheets of EG molecules. Consistent  
297 with the hydration behaviour, the proportion of S1eg layers was minimal in the E1g  
298 horizon (72%) and maximal in Bt/C (94%).

299 The composition of the two mixed layers was also more or less constant along the  
300 soil profile with 63% illite in the illite-smectite and 52% chlorite in the chlorite-  
301 smectite, except in the most superficial horizon (62% chlorite). In both mixed layers,  
302 the hydration behaviour of expandable layers was heterogeneous with 4 – 35% of  
303 smectite layers having only one sheet of interlayer H<sub>2</sub>O molecules. Following EG  
304 solvation, swelling heterogeneity was reduced, especially in the chlorite-smectite (Table  
305 3). In the illite-smectite, swelling heterogeneity was more pronounced with 8 – 30% of  
306 smectite layers incorporating a single sheet of EG molecules, the proportion of S1eg  
307 steadily decreasing with increasing depth.

308 Relative proportions of the various clay species are listed in Table 4. Discrete illite  
309 and kaolinite represent approximately 20% of the < 2 µm fraction each, their proportion

310 being constant throughout the profile. The proportion of discrete smectite increased  
311 from the Ap (18%) to the Bt horizon (33%) in which it was the dominant clay species.  
312 At greater depth in the Bt/C horizon, the proportion of discrete smectite decreased to  
313 23%. The randomly interstratified illite-smectite was the dominant clay species  
314 throughout the soil profile, except in the Bt horizon, despite its diffuse contribution to  
315 the low-angle intensity (Figure 4b). From E2g to Bt horizons, the proportion of this  
316 mixed layer decreased from approximately 30 to approximately 25%, increasing again  
317 to approximately 30% in the Bt/C horizon. Finally, the chlorite-smectite accounts for 5  
318 – 8% of the < 2  $\mu\text{m}$  fraction along the soil profile, without any significant change with  
319 depth.

320

321

## 322 **Discussion**

323 In the present work, pattern fitting led to an innovative interpretation of XRD patterns,  
324 providing detailed information on the different phases present in the soil horizons, on  
325 their structural evolution and on their relative abundances. In the following discussion  
326 the fitting approach will first be compared with numerical tools commonly used for the  
327 interpretation XRD patterns (DecompXR, Newmod). The results in terms of  
328 pedogenesis will then be discussed.

329

### 330 *Limitations of the decomposition-XRD calculation approach*

331 The present study allows us to question the combined use of XRD pattern  
332 decomposition and indirect identification from elementary peak positions ( $\pm$  FWHM) to  
333 describe complex clay parageneses commonly encountered in soils, although it has been  
334 widely used for this purpose over the last decade (Righi *et al.*, 1995; Righi & Elsass,

335 1996; Velde, 2001; Pernes-Debuyser *et al.*, 2003; Velde *et al.*, 2003; Vingiani *et al.*,  
336 2004; Jouquet *et al.*, 2005, 2007; Fontaine *et al.*, 2007; Barré *et al.*, 2007a, b, 2008a, b;  
337 Montagne *et al.*, 2008). In particular, the clay mineralogy deduced from such a data  
338 processing (illite, kaolinite and two randomly interstratified illite-smectite, Pernes-  
339 Debuyser *et al.*, 2003), did not permit the reproduction of XRD data for the samples  
340 investigated. Several hypotheses can be proposed to account for this inadequacy.

341         The first is the specific diffraction fingerprint, without any significant peak in the  
342 low-angle region, of the randomly interstratified illite-smectite which is the main clay  
343 mineral phase in the soil profile. This mixed layer is characterized by a broad and  
344 poorly defined contribution to the diffracted intensity. As a consequence, this major  
345 contribution is stripped during the initial background removal. This key drawback of the  
346 decomposition approach is especially noteworthy for soil clay minerals, as most of them  
347 combine small CSDS and complex interstratification, thus giving rise to weakly  
348 modulated XRD patterns.

349         The second hypothesis, that indirect identification of mixed layers from their peak  
350 position is essentially inadequate, is discussed in detail by Lanson (2005). Specifically,  
351 the heterogeneous nature of expandable interlayers hampers the use of simplified peak  
352 migration identification techniques based on two-component mixed layers (Drits, 1997;  
353 2003). Such hydration/swelling heterogeneity has been found in most natural samples,  
354 whatever their origin and mineralogy (Drits *et al.*, 1997a; Sakharov *et al.*, 1999a;  
355 Lindgreen *et al.*, 2000; Drits, 2003; McCarty *et al.*, 2004; Inoue *et al.*, 2005; Ferrage *et*  
356 *al.*, 2005b, 2007). Again, this drawback is especially important for soils where  
357 heterogeneity is expected to be even greater than in other geological settings.

358



359 *New contributions from XRD profile-fitting for interpreting complex clay mineral*  
360 *assemblages*

361 The overall agreement, both visually (Figure 5) and quantitatively with R values  
362 systematically being < 13%, demonstrates the ability of the multi-specimen approach to  
363 provide a good quality fit to experimental data obtained on polyphasic soil samples: this  
364 is consistent with previous studies in other geological settings (Drits *et al.*, 1997a,  
365 2002a, b, 2004, 2007; Sakharov *et al.*, 1999a, b, 2004; Lindgreen *et al.*, 2000, 2002;  
366 Claret *et al.*, 2004; McCarty *et al.*, 2004, 2008; Inoue *et al.*, 2005; Aplin *et al.*, 2006;  
367 Lanson *et al.*, 2009). This approach can thus be used to determine accurate structural  
368 characteristics for the phases present in a given sample, as well as their relative  
369 proportions. The sensitivity of the approach to structural characteristics and phase  
370 heterogeneity has been discussed previously (Drits *et al.*, 1997a, 2002b, 2007; Sakharov  
371 *et al.*, 1999a; Drits, 2003; Lanson *et al.*, 2009). However, the actual sensitivity of the  
372 calculated XRD patterns to key structural characteristics needs to be illustrated. The  
373 actual presence of the different contributions is the first of these. In the present work,  
374 mixed layers are introduced only if they allowed fitting specific angular ranges without  
375 significant overlap with other phases as illustrated in Figure 4. The absence of the small  
376 CSDS kaolinite contribution leads, for example, to a significant misfit over the 11 –  
377 12°2 $\theta$  range (Figure 6a). The influence of CSDS is illustrated next. Compared with the  
378 optimal fit to the data (Figure 5), increasing the CSDS of discrete smectite from three to  
379 five layers leads to evident misfits over the 4 – 6°2 $\theta$  and 29.5 – 31.5°2 $\theta$  ranges, whereas  
380 decreasing the CSDS of discrete illite from 18 to 13 layers decreased the resolution of  
381 the peak at 17.5°2 $\theta$  (002 reflection: Figure 6b). Finally, sensitivity of calculated profiles  
382 to smectite hydration behaviour may be assessed by considering, for example, that all  
383 layers are bi-hydrated in discrete smectite. As a result, the 001 reflection was shifted

384 towards smaller angles thus inducing a major misfit over the 4 – 6°2θ range (Figure  
385 6c).

386 The next section focuses on the implications of the original description of clay  
387 paragenesis obtained from profile fitting for clay pedogenetic processes and more  
388 especially for three major issues.

389 Clay paragenesis in Luvisols. From XRD results, Jamagne *et al.* (1984) claim that the  
390 clay mineralogy is similar in the different horizons of soils formed on loess deposits,  
391 even in the case of intense clay illuviation, and indicate that the < 2 μm fraction  
392 includes “kaolinite, mica and a complex group of other layer silicates, including  
393 smectite and mixed layers of chloritic, micaceous, vermiculitic and smectitic layers in  
394 random interstratification”. Using XRD profile fitting, the present study confirms the  
395 interpretation of Jamagne *et al.* (1984) for discrete clay species with the presence of  
396 kaolinite, illite and smectite. It also allows an improved description of the “complex  
397 group of other silicates” which includes two randomly interstratified illite-smectite  
398 (63:37) and chlorite-smectite (52:48). Direct profile fitting thus confirms that in  
399 Luvisols the clay paragenesis is stable along the soil profile as proposed by Jamagne *et*  
400 *al.* (1984).

401 Structural characteristics of clay species and their development along the profile. Even  
402 when looking at a more detailed level, clay mineralogy was remarkably constant along  
403 the soil profile. Structural parameters of discrete kaolinite and illite and of illite-smectite  
404 and chlorite-smectite phases were similar in the different horizons (Table 3). Some  
405 differences were, however, observed at the soil surface. In particular, the chlorite  
406 content of the chlorite-smectite increased from 52 to 62% in the topsoil Ap horizon,  
407 simultaneously with a decrease of its mean CSDS from nine to seven layers. On the  
408 contrary, the mean CSDS of the illite-smectite increases from six to seven layers in the

409 lower horizons to nine layers in the uppermost one (Table 3). The parent material, that  
410 is the loess deposit, was thus probably homogeneous. In addition, kaolinite, illite, illite-  
411 smectite and chlorite-smectite species did not present significant structural changes  
412 along the soil profile compared with discrete smectite. The hydration and swelling  
413 (S1w:S2w and S1eg:S2eg ratios, respectively) properties of smectite varied from one  
414 horizon to the other, however, without any significant trend with depth. In addition, the  
415 relative proportions of S1w and S1eg layers were not strictly correlated, the latter being  
416 usually smaller than the former. Except in the Bt/C horizon where smectite was almost  
417 fully expanded after EG solvation, the proportion of S1eg layers ranged from 17 to 28%  
418 (Table 4). Consistent with our observations, Velde (2001) reported that approximately  
419 one third of expandable layers incorporated a single sheet of EG molecules in the  
420 surface horizons of cultivated soils, and thus deduced the presence of a similar  
421 proportion of high-charge expandable layers. However, in our case the heterogeneous  
422 swelling observed for discrete smectite is possibly induced by sample preparation  
423 artefacts. No sample pre-treatments were performed to remove organic matter and iron  
424 oxy-hydroxides prior to size fractionation. Such pre-treatments were performed on  
425 sample aliquots to extract the  $< 0.05 \mu\text{m}$  size fraction. These fractions, which  
426 concentrate discrete smectite, were X-rayed following solvation by EG vapour  
427 (16 hours at  $40^\circ\text{C}$  under vacuum). The results obtained (not shown) showed no  
428 difference between Ap and Bt horizons with 100% of S2eg layers in both cases. The  
429 intimate mixing of organic matter and/or of iron oxy-hydroxides with clays is thus  
430 likely to be responsible for the observed reduced expandability of smectite, and for the  
431 observed variation of smectite swelling behaviour along the profile.

432 Quantitative phase analysis. Direct profile fitting method overcomes the intrinsic  
433 limitations of the decomposition approach, which was restricted to peak intensity ratios

434 between similar clay species, and provides reliable estimates of the phase composition  
435 for complex clay parageneses (Drits *et al.*, 1997a; Lindgreen *et al.*, 2002; Claret *et al.*,  
436 2004; McCarty *et al.*, 2008; Lanson *et al.*, 2009). Jamagne *et al.* (1984) concluded that  
437 the relative contents of mica and trioctahedral chlorite increase close to the soil surface  
438 as the result of the physical breakdown of coarse particles and/or of the preferential  
439 migration of other minerals as reported also in Belgian soils (Van Ranst *et al.*, 1982). In  
440 our work, we show that the relative proportion of discrete smectite increases from 18%  
441 (Ap horizon), to approximately 25% (E1g and E2g horizons), and to 33% (Bt horizon –  
442 Table 4). This proportion decreases to 25% in the Bt/C horizon. The contribution of  
443 discrete smectite increases essentially at the expense of illite-smectite, which dominated  
444 the clay paragenesis in all horizons but B. Discrete kaolinite and illite and chlorite-  
445 smectite were also affected but to a lesser extent because of their smaller abundances. In  
446 addition, the increase in the < 2 µm fraction content with increasing depth may further  
447 attenuate the impact on these clay species. The present results are thus consistent with  
448 the leaching process described for Luvisols, and more especially with undisturbed  
449 column leaching experiments performed with the same soil (Rousseau *et al.*, 2004).

450

451

## 452 **Conclusions**

453 The present study demonstrates that the combined use of XRD pattern decomposition  
454 and indirect identification from peak positions does not allow a complete identification  
455 of complex clay parageneses such as those commonly encountered in soils. However,  
456 when carefully used (see recommendations of Lanson, 1997), this approach can be a  
457 relevant preliminary step in the study of clay mineral evolution in soils formed on  
458 homogenous parent materials.

459 A complete, accurate and quantitative mineralogical characterization of complex  
460 clay parageneses requires fitting the data with a pattern calculated for a hypothesized  
461 mineral assemblage. Additional constraints can be obtained for a given sample by fitting  
462 various XRD patterns obtained after different treatments. Using this multi-specimen  
463 approach, structural characteristics and relative proportions of both discrete and mixed  
464 layer clays are obtained. The present study demonstrates that, although time-consuming,  
465 the multi-specimen approach can be applied to soil samples. The resulting mineralogical  
466 characterization of clays can then serve as the basis for studying their individual  
467 structural evolution, and that of their relative abundances along the profile.

468 In particular, it is shown in the present Luvisol profile that the structural  
469 characteristics of all clay minerals are essentially constant over the entire profile, thus  
470 reflecting the mineralogy of the parent material. As reported in the literature  
471 (Duchaufour & Lelong, 1967; Jamagne, 1973; Pedro *et al.*, 1978; Jamagne *et al.*, 1984),  
472 pedogenesis ongoing in Luvisols affects, essentially, the vertical distribution of the  
473 different clay species as the result of particle migration. Our results indicate that the  
474 relative increase of smectite has a major contribution to the overall increase of the  
475 < 2  $\mu\text{m}$  fraction with increasing depth. This interpretation of the mineralogical data is in  
476 agreement with the leaching process described for Luvisols in the literature and may be  
477 valid for other soils formed on loess deposits which are common in North America and  
478 Western Europe and used to grow crops. As the proposed approach allows us to gain  
479 detailed information on the structural evolution of individual clay species, further  
480 research could aim at determining the impact of fertilizers, human activities or plant  
481 nutrient uptake on the development of soil minerals. In addition, the complete and  
482 quantitative mineralogical characterization allows the comparison of clay parageneses  
483 in soils derived from different parent materials.

484

485

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677 **FIGURE CAPTIONS**

678

679 **Figure 1.** Experimental XRD patterns obtained for the  $< 2 \mu\text{m}$  fraction of the five soil  
680 horizons. Black and gray solid lines represent XRD patterns recorded in AD and EG  
681 states, respectively. Dashed lines indicate the positions of the main reflections (peak  
682 positions in nm).

683 **Figure 2.** Decomposition of XRD data. The experimental XRD patterns and the best fit  
684 are shown as grey crosses and as solid lines, respectively. Elementary Gaussian  
685 contributions are shown as solid grey lines.

686 **Figure 3.** Comparison between experimental (grey crosses) and calculated (solid lines)  
687 XRD patterns for the Ap horizon. (a) AD pattern; (b) EG pattern. The calculated pattern  
688 corresponds to the clay paragenesis determined by Pernes-Debuyser et al., (2003) for a  
689 similar Ap horizon. The broken x-axis indicates a modified scale factor ( $\times 3.5$ ) for the  
690 high-angle region. The grey boxes correspond to angular ranges excluded for the  
691 calculation of the quality-of-fit estimate (R parameter). 679

692 **Figure 4.** Elementary contributions to the diffracted intensity for the Bt horizon.  
693 Patterns as for Figure 3. The broken x-axis indicates a modified scale factor ( $\times 3.0$ ) for  
694 the high-angle region. (a) AD pattern; (b) EG pattern. The positions of the main maxima  
695 are given in nm. The kaolinite contribution includes the two sub-populations having  
696 different CSDS. The structural parameters for the optimal models are given in Tables 2  
697 and 3, the relative proportions of the different contributions in Table 4.

698 **Figure 5.** Comparison between experimental XRD patterns (grey crosses) and  
699 optimum multi-specimen fits (solid line) for the 5 horizons (Ap, E1g, E2g, Bt and Bt/C)  
700 of the Luvisol. (a) Air-dried and (b) EG solvated samples. Difference plots are shown at

701 the bottom of the Figure. The structural parameters used for these fits are provided in  
702 Tables 2 and 3, the relative proportions of the different contributions in Table 4.

703 **Figure 6.** Sensitivity of calculated XRD patterns to the mineralogical composition and  
704 structural characteristics. Arrows indicate significant misfits compared with the  
705 optimum fit shown in Figure 5a (Bt horizon). The optimum structural characteristics  
706 and mineralogical composition are given in Tables 3 and 4, respectively. (a) XRD  
707 pattern calculated without the contribution of kaolinite having low CSDS. (b) CSDS of  
708 discrete smectite are increased from three (optimum) to five layers whereas that of  
709 discrete illite is decreased from 17 (optimum) to 13 layers. (c) All layers are considered  
710 to be bi-hydrated in discrete smectite.



1 **Table 1** Main chemical and physical features of the soil profile studied (adapted from Moni, 2008)

Horizon	Depth /cm	Particle size fraction / $\mu\text{m}$			OC	CaCO <sub>3</sub> eq. /g kg <sup>-1</sup>	pH	CECe	Exchangeable cations			
		0-2	2-50	50-2000					Ca <sup>++</sup>	Mg <sup>++</sup>	Na <sup>+</sup>	K <sup>+</sup>
		—————	/%	—————	—————	—————			—————	/cmol <sub>c</sub> kg <sup>-1</sup>	—————	
Ap	0-30	18	57	25	16.10	< 1	6.5	11.2	9.73	0.90	0.03	0.57
E1g	30-45	19	61	20	6.36	1.8	7.2	12.1	11.50	0.86	0.04	0.18
E2g	45-80	21	50	29	3.95	< 1	7.5	12.8	11.27	0.92	0.04	0.18
Bt	80-100	24	47	29	3.38	1.1	7.7	14.6	11.50	1.35	0.05	0.20
Bt/C	100-135	27	48	25	1.80	< 1	7.9	16.7	12.03	1.81	0.08	0.27

- 2 OC: organic carbon
- 3 CaCO<sub>3</sub> eq.: calcium carbonate equivalent
- 4 CECe: cation exchange capacity at soil pH

1 **Table 2** Structural parameters of the different clay layers.

layer type	Layer thickness /nm	Interlayer cation content <sup>a</sup>	Octahedral iron content <sup>a</sup>
discrete illite	1.000	1.5	0.0
illite in mixed layers	1.000	1.0	0.5
smectite (S1w) <sup>b</sup>	1.250	0.5	1.2
smectite (S2w) <sup>b</sup>	1.500	0.5	1.2
smectite (S1eg) <sup>b</sup>	1.300	0.5	1.2
smectite (S2eg) <sup>b</sup>	1.680	0.5	1.2
chlorite	1.420	-	0.0
kaolinite	0.716	-	-

2 <sup>a</sup> number of atoms per formula unit

3 <sup>b</sup> S1w: smectite with 1 sheet of interlayer H<sub>2</sub>O molecules; S2w: smectite with 2 sheets

4 of interlayer H<sub>2</sub>O molecules; S1eg: smectite with 1 sheet of interlayer EG molecules;

5 S2eg: smectite with 2 sheets of interlayer EG molecules

1 **Table 3** Composition and structural parameters of clay minerals in the different soil  
 2 horizons

Phases	Horizon	Ap	E1g	E2g	Bt	Bt/C
illite	$\sigma^*$	17	17	17	17	17
	CSDS	18	18	18	18	18
	I/S2w <sup>a</sup>	98/2	97/3	97/3	95/5	97/3
	I/S2eg <sup>a</sup>	98/2	97/3	97/3	97/3	97/3
kaolinite	$\sigma^*$	18	18	18	18	18
	CSDS	20	20	20	20	20
kaolinite	$\sigma^*$	18	18	18	18	18
	CSDS	6	6	6	6	6
smectite	$\sigma^*$	21	21	21	21	21
	CSDS	3	3	3	3	3
	S1w/S2w <sup>a</sup>	33/67	46/54	47/53	36/64	23/77
	S1eg/S2eg <sup>a</sup>	21/79	28/72	17/83	24/76	6/94
illite-smectite (R0)	$\sigma^*$	17	17	17	17	17
	CSDS	9	6	6	6	7
	I/S1w/S2w <sup>a</sup>	63/6/31	63/13/24	63/11/26	63/13/24	63/7/30
	I/S1eg/S2eg <sup>a</sup>	57/13/30	63/7/30	63/3/34	63/8/29	63/3/34
chlorite-smectite (R0)	$\sigma^*$	17	17	17	17	17
	CSDS	7	9	9	9	9
	Ch/S1w/S2w <sup>a</sup>	62/13/25	52/11/37	52/6/42	52/2/46	52/8/40
	Ch/S1eg/S2eg <sup>a</sup>	62/0/38	52/0/48	52/6/42	52/0/48	52/0/48

3  $\sigma^*$ : Parameter characterizing the orientation of particles on the X-ray slide

4 CSDS: Coherent scattering domain size expressed in layers

5 <sup>a</sup> S1w: smectite with 1 sheet of interlayer H<sub>2</sub>O molecules; S2w: smectite with 2 sheets

6 of interlayer H<sub>2</sub>O molecules; S1eg: smectite with 1 sheet of interlayer EG molecules;

7 S2eg: smectite with 2 sheets of interlayer EG molecules; I: illite layers both in discrete

8 illite and in mixed layers

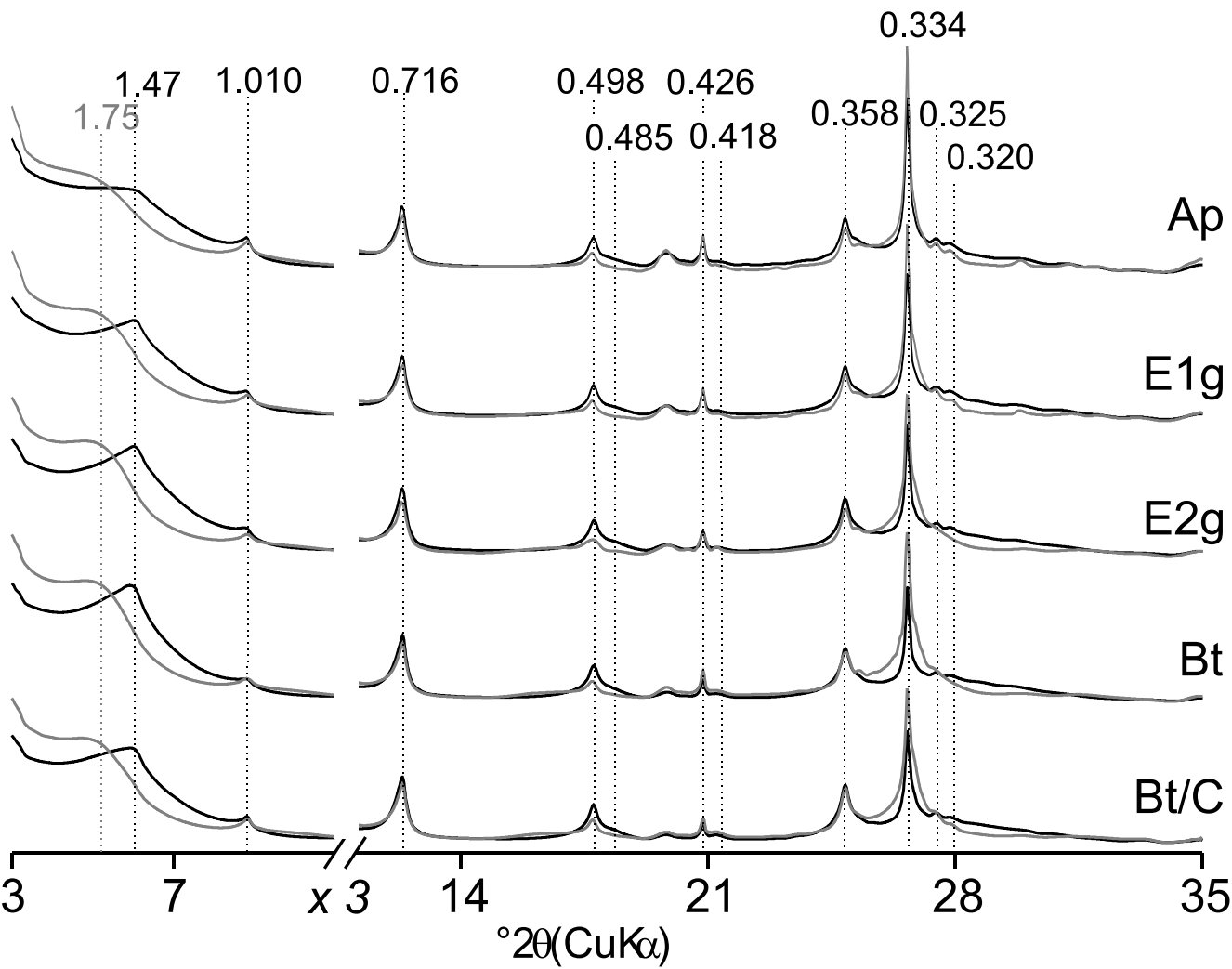
1 **Table 4** Relative proportions (in weight percent) of the different contributions to the  
 2 diffracted intensity

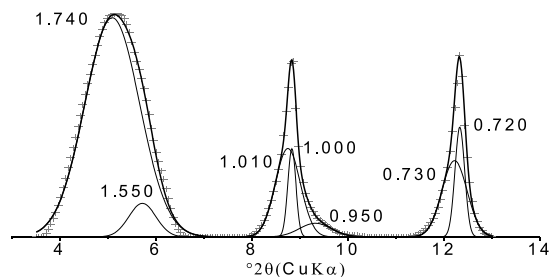
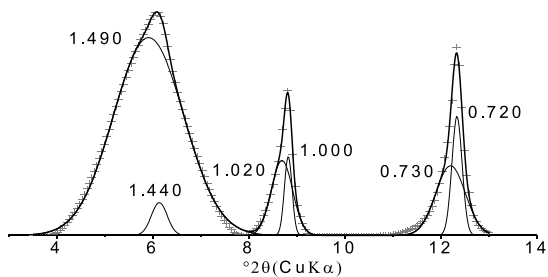
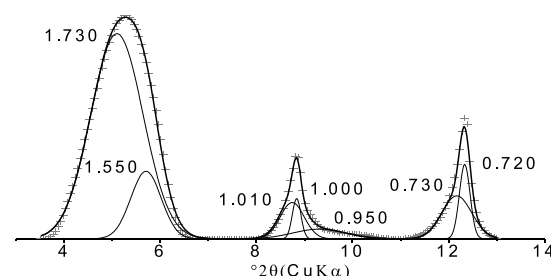
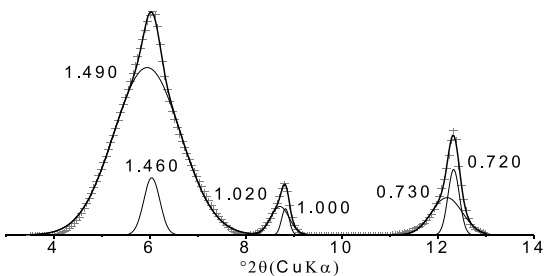
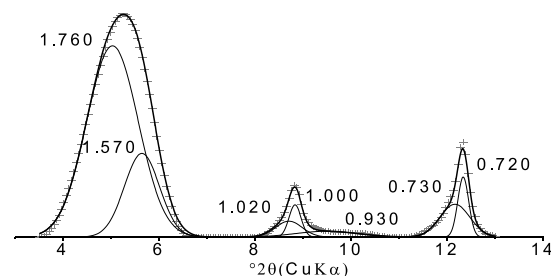
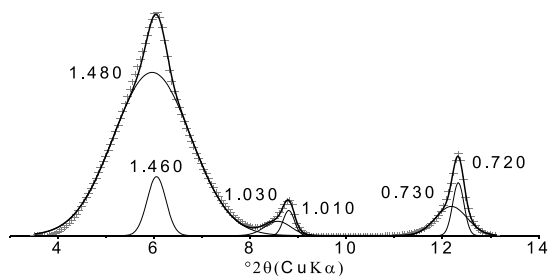
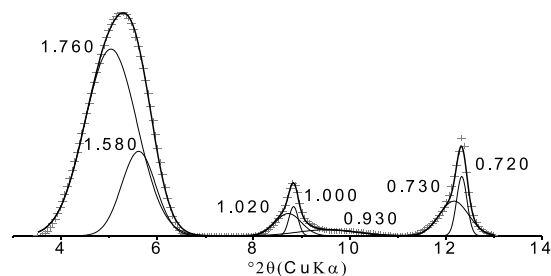
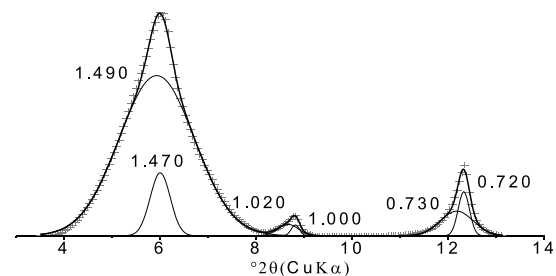
Sample		illite	kaolinite (high CSDS)	kaolinite (low CSDS)	smectite	illite- smectite	chlorite- smectite
Ap	AD	20	12	9	18	33	8
	EG	18	9	10	18	38	7
E1g	AD	22	11	9	24	28	6
	EG	21	9	9	27	29	5
E2g	AD	18	11	9	25	31	6
	EG	17	11	8	26	33	5
Bt	AD	18	11	7	33	24	6
	EG	17	10	7	32	26	8
Bt/C	AD	21	12	8	23	30	6
	EG	18	11	9	23	32	7

3 CSDS: Coherent scattering domain size

4 AD: Results obtained on the air-dried preparation

5 EG: Results obtained after ethylene glycol solvation



**AD****EG****Ap****E1g****E2g****Bt****Bt/C**