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1 Air pollution control efficacy and health 2 impacts: A global observed study from 3 2000 to 2016

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23

24 Abstract

25 **Background:** PM_{2.5} concentrations vary between countries with similar CO₂ emissions, possibly due to
26 differences in air pollution control efficacy. However, no indicator of the level of air pollution control
27 efficacy has yet been developed. We aimed to develop such an indicator, and to evaluate its global and
28 temporal distribution and its association with country-level health metrics.

29 **Method:** A novel indicator, ground level population-weighted average PM_{2.5} concentration per unit
30 CO₂ emission per capita ($PM_{2.5}/CO_2$, written as PC in abbreviation), was developed to assess country-
31 specific air pollution control efficacy. We estimated and mapped the global average distribution of PC
32 and PC changes during 2000-2016 across 196 countries. Pearson correlation coefficients and
33 Generalized Additive Mixed Model (GAMM) were used to evaluate the relationship between PC and
34 health metrics.

35 **Results:** PC varied by country with an inverse association with the economic development. PC showed
36 an almost stable trend globally from 2000 to 2016 with the low income groups increased. The Pearson
37 correlation coefficients between PC and life expectancy at birth (LE), Infant-mortality rate (IMR),
38 Under-five mortality rate (U5MR) and logarithm of GDP per capita (LPGDP) were -0.566, 0.646,
39 0.659, -0.585 respectively (all P-values <0.001). Compared with PM_{2.5} or CO₂, PC could explain more
40 variation of LE, IMR and U5MR. The association between PC and health metrics was independent of
41 GDP per capita.

42 **Conclusions:** PC might be a good indicator for air pollution control efficacy and was related to
43 important health indicators. Our findings provide a new way to interpret health inequity across the
44 globe from the point of air pollution control efficacy.

45 **Keywords:** air pollution, climate change, health inequity, air pollution control efficacy

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51 **1. Introduction**

52 Ambient air pollution is a major public health concern. Among all ambient air pollutants, the
53 particulate matter with aerodynamic diameter $\leq 2.5\mu\text{m}$ (PM_{2.5}) is the most important one that poses
54 significant adverse health impacts in both short-term and long-term[1,2]. At the same time, carbon
55 dioxide (CO₂) emissions have increased rapidly along with the rapid growth of economic development
56 requiring more energy for transportation and energy consumption. As both ground level PM_{2.5} and CO₂
57 are mainly caused by fossil-fuel combustion [3], there might be a relationship between CO₂ emission
58 and ground level PM_{2.5} concentration [4]. Studies conclude that actions to reduce greenhouse gas
59 emissions often lead to co-benefits for air quality [5]. But interestingly, ground level PM_{2.5}
60 concentrations are quite different across countries with similar CO₂ emissions [4]. Many low- and
61 middle-income countries (LMICs) face the dual pressure of reducing both ground level PM_{2.5}
62 concentrations and CO₂ emissions[5], while high income countries (HICs) have much lower ground
63 level PM_{2.5} concentrations despite their high greenhouse gas emissions[6]. In other words,
64 economically developed countries generally have lower ground level PM_{2.5} concentrations and
65 relatively good air quality compared with economically developing countries, despite their similar or
66 even higher CO₂ emissions[7]. This fact suggests that different countries have different abilities to
67 control ambient air pollution, even with similar CO₂ emissions. An indicator to reflect the air pollution
68 control efficacy may provide important information for policymakers, in order to achieve climate and
69 air quality co-benefits and help guide environmental policy development and implementation [8].

70
71 The combustion sources of ground level PM_{2.5} concentrations are different across countries.
72 Ground level PM_{2.5} concentrations are substantially from residential energy use such as heating and
73 cooking in China, India, Bangladesh, Indonesia, Vietnam and Nepal; from traffic in Germany, the UK
74 and the USA; from power generation in the USA, Russia, Korea, Turkey and the Middle East; from
75 agriculture in Europe, Russia, Turkey, Korea, Japan and the Eastern USA[9]. Energy structure and
76 environmental technology are both determinants of air pollution control efficacy. Environmental
77 technological progress can enhance energy efficiency, thereby leading to reductions in ground level
78 PM_{2.5} concentrations [4,10]. Developed countries may have more economic foundation to promote and
79 apply technological innovation to reduce both CO₂ emission and ground level PM_{2.5} concentration
80 compared with developing countries. In developed countries such as North America and Europe,
81 technological improvements in scrubbers on power plants, catalytic converters on motor vehicles, and
82 increased development of non-fossil fuel based energy sources have reduced ground level PM_{2.5}
83 concentrations [11]. Although emission reduction technologies play a role in improving air quality in
84 economically developing countries like China [12], not all effective strategies are adopted due to the
85 high cost[13].

86
87 Cleaner air due to air pollution reduction will improve human health[13]. Correspondingly,
88 inequality in air pollution control efficacy contributes to human health inequality between
89 countries[14]. An indicator of air pollution control efficacy could help identify the ground level air
90 pollution concentration co-benefits of reducing emissions of CO₂ [15]. The quantitative relationship
91 between the air pollution control efficacy indicator and human health might provide important
92 guidance for policymakers to reduce the disease burden due to ambient air pollution globally [4].

93 Currently, there is no indicator to reflect country level air pollution control efficacy. To fill the
94 research gap, we aim to evaluate a potential novel indicator of air pollution control efficacy, by
95 quantifying its global distribution and long-term trend, and by examining its relationship with health
96 indicators. Monitoring such an indicator may assist policy makers to better manage climate change and
97 air pollution problems simultaneously [5].

98 **2. Materials and methods**

99 *2.1 Indicator*

100 To capture air pollution control efficacy with CO₂ emission, we proposed a novel indicator,
101 ground level population weighted PM_{2.5} concentration per unit CO₂ emission per capita (PC). A lower
102 PC value generally indicates a higher air pollution control efficiency, meaning lower concentration of

103 ground level PM_{2.5} with per unit of CO₂ emission. The unit of PC is µg/m³ per tonne. PC is
104 calculated as follows:

$$PC_{i,t} = PM_{2.5\ i,t} / CO_{2\ i,t}$$

105 Here, *i* means the *i*th country or region, *t* means the *t*th year.

106 2.2. Data collection

107 The spatial and temporal domain of our study included 196 countries from 2000 and 2016. Some
108 regions like Greenland, Antarctica and some countries in Middle Africa were not included in the spatial
109 map because of the missing data.

110
111 To develop the novel indicator of air pollution control efficacy, population-weighted ground level
112 PM_{2.5} (PM_{2.5}, µg/m³) and annual emissions of carbon dioxide per capita (CO₂, tonne) for individual
113 countries based on territorial CO₂ emissions were sourced from the atmospheric composition analysis
114 group, Global Carbon Project, Carbon Dioxide Information Analysis Centre (CDIAC), Gapminder and
115 UN population estimates(see supplement for more details). PM_{2.5} in each country was represented by
116 the population density weighted average value of all grids within the boundary of the country[16]. We
117 transformed the original spatial resolution of this population density dataset into 0.1° × 0.1° resolution
118 according to the method described by Brauer et al[17].

119
120 To evaluate the association between PC and health, we collected data on several health outcomes.
121 The first one is life expectancy at birth (LE, years), defined as the average number of years that a
122 newborn could expect to live if he or she were to pass through life subject to the age-specific mortality
123 rates of a given period. Children are more affected by air pollution and climate change [3,18]. It was
124 reported that per 10 µg/m³ increases in PM_{2.5} concentration was related to 3.4% (95% CI: 1.7%–5.4%)
125 infant and child under-five mortality[19]. Therefore,we included the health outcomes of infant-
126 mortality rate (IMR, ‰) and under-five mortality rate (U5MR, ‰),which mean the number of infants
127 dying before reaching one year of age and the number of babies that died before reaching age five per
128 1,000 live births in a given year. We obtained data of LE, IMR, U5MR from various sources including
129 the United Nations (UN) Population Division, World Bank(WB), UN Inter-agency Group for Child
130 Mortality Estimation, World Health Organization (WHO) (see supplement for more details).

131 Temperature and humidity are related to health [20] and country-level annual average
132 Temperature at 2 meters (T2M,°C) and Specific Humidity at 2 Meters (QV2M, g water/kg dry air, g
133 kg-1) were obtained from the National Aeronautics and Space Administration (NASA) (see supplement
134 in details). GDP per capita (PGDP, U.S.\$) in constant 2010 U.S. dollars came from WB and the
135 Organization for Economic Co-operation and Development (OECD) (see supplement for more details).

136 2.3 Statistical Methods

137 Correlations between each two independent variables were examined by Pearson correlation
138 coefficient. The Generalized Additive Mixed Model (GAMM) with a penalized spline smoothing
139 function, a random intercept of country and spatial covariance structure, and a Gaussian link function,
140 was used to evaluate the potential non-linear relationship between PC and health outcomes [21,22].

141
142 To ensure the results' robustness, we excluded 5% observations with extreme large and small PC
143 and kept the remaining 95% data in the middle for analyses. The model performance was expressed as
144 adjusted R². The GAMM was as following:

$$H_{i,t} = \beta_0 + s(PC_{i,t}) + s(D_{i,t}) + u_i$$

145
146 Here *H* represents the health outcome, which could be LE, IMR, or U5MR; *i,t* means the *i*th
147 country(*i*=1 to 196) in the *t*th (*t*=2000 to 2016) year. β_0 denotes the constant intercept; *s*(.) is the
148 smoothing function realized by cubic spline with 4 degrees of freedom(df) in this study. *u_i* is a
149 random intercept for country *i*. *D* represents the covariates including PGDP, T2M, QV2M, PM_{2.5}, CO₂.
150 The degrees of freedom (df) of the cubic spline function (CS) for each predictor was selected by
151 minimizing the Akaike information criterion (AIC) of the model [23-25].

152

153 PC showed nonlinear correlation with health metrics as estimated in this paper, so here PC was
 154 modelled by a non-linear function. PGDP, T2M, QV2M were added to the models in the form of a
 155 natural cubic smooth function as their relationship with health is often non-linear [26-28]. PM_{2.5} and
 156 CO₂ were also included as covariates.

157

158 All statistical tests were two-sided, with a p-value of 0.05 as the indicator of the statistical
 159 significance. All analyses were performed using the R statistical software (version 3.2.2), including the
 160 R packages “ggplot2”, “dplyr”, “reldist” and “gamm4”.

161 3. Results

162 3.1 Descriptive results

163 The means of PM_{2.5} and CO₂ were 21.52 (µg/m³) and 4.60 (tonne) respectively. PC was 74.24
 164 (µg/m³ per tonne) on average with the considerable international variance from 0.14 (µg/m³ per tonne)
 165 in Australia (2010) to 2659.75 (µg/m³ per tonne) in Chad (2002). The average LE, IMR and U5MR
 166 were 68.94 years, 2.97 ‰ and 4.27‰, respectively. PGDP was 15541.76 (U.S.\$) on average with a
 167 large range of 155795.00 (U.S.\$). As for average temperature and humidity, T2M was 18.33 (°C) and
 168 QV2M 10.03 (g kg⁻¹) (see Table 1). Generally, PC was lowest in high income groups, and then upper-
 169 middle income groups, lower-middle income groups, and highest in low income groups[29]. The mean,
 170 median, standard deviation and range of PC were increasing as the GDP per capita decreased (Table
 171 S₁).

172

173

Table 1 Summary statistics of all variables in 196 countries between 2000 and 2016

Variable	Unit	Mean	Sd	Min	P ₂₅	P ₅₀	P ₇₅	Max
PC	µg/m ³ per tonne	74.24	207.37	0.14	1.96	4.59	34.62	2659.75
LE	years	68.94	9.30	38.70	62.97	71.47	75.62	83.80
IMR	‰	2.97	2.72	0.16	0.79	1.95	4.62	14.20
U5MR	‰	4.27	4.48	0.21	0.83	2.35	6.70	23.39
PM _{2.5}	µg/m ³	21.52	17.89	0.50	7.80	17.20	27.30	111.30
CO ₂	tonne	4.60	6.41	0.02	0.55	2.23	6.35	66.81
PGDP	U.S.\$	15541.76	18191.91	349.00	2780.50	8651.00	22093.50	156144.00
T2M	°C	18.33	8.63	-9.61	10.44	21.21	25.78	30.28
QV2M	g kg ⁻¹	10.03	4.67	2.59	6.05	8.76	14.49	19.28

174 **Notes:** Sd: standard deviation; Min: minimum; P₂₅, P₅₀, P₇₅: 25th, 50th, 75th percentile respectively; Max:
 175 maximum; PC: PM_{2.5} concentration per unit per capita CO₂ emission; LE: life expectancy at birth; IMR:
 176 Infant-mortality rate; U5MR: Under-five mortality rate; PM_{2.5}: fine particulate matter with aero
 177 dynamic diameter ≤2.5µm; CO₂: carbon dioxide emission per capita; PGDP: GDP per capita; T2M:
 178 Temperatures at 2 meters; QV2M: Specific Humidity at 2 Meters.

179 3.2 Spatial and temporal variation of PC

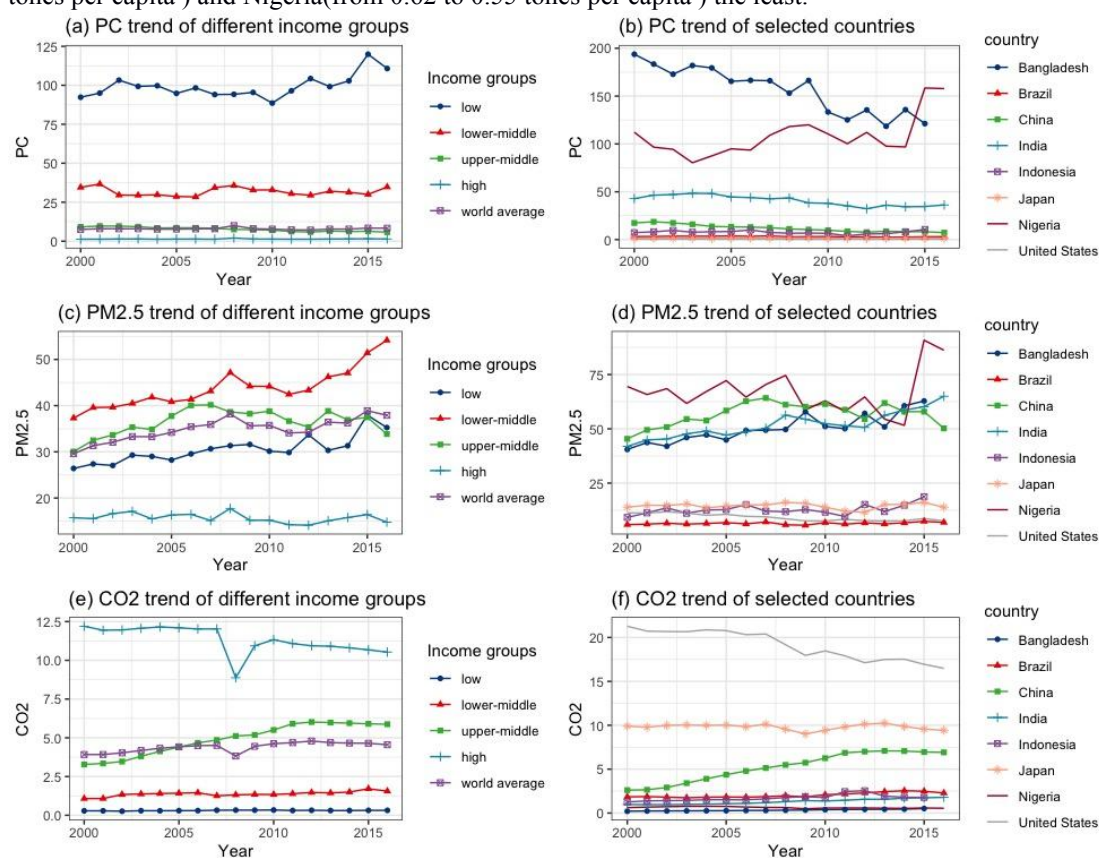
180 The PC, PM_{2.5} and CO₂ trends of the whole world, different income groups (high, upper-middle,
 181 lower-middle, and low-income countries) and selected countries are shown in Figure 1. We selected
 182 two countries of the largest population in each income group to represent the corresponding income
 183 group. So we got 8 countries including the United States and Japan to represent the high income group;
 184 China and Brazil to stand for the upper-middle income group; India, Indonesia and Bangladesh,
 185 Nigeria to represent the lower-middle and low income group respectively.

186

187 Globally, the average PC remained almost stable from 2000 to 2016 worldwide. PC in low income

188 group showed an increased tendency while the upper-middle income group's PC decreased. World-
 189 average PM_{2.5} increased with the most increment in lower-middle groups. PM_{2.5} in high income
 190 countries remained the least and kept almost flat. As for the annual average CO₂ emission per capita
 191 trend, the world average increased by year. The high-income group took the largest part of CO₂
 192 emission. However, we could see the decreasing trend of CO₂ in the high-income group. Meanwhile
 193 the low income group emitted the least and stable CO₂. CO₂ emission of upper-middle and lower-
 194 middle income groups increased from 2000 to 2016, too.

195 From 2000 to 2016, PC in Bangladesh decreased significantly (from 193.75 $\mu\text{g}/\text{m}^3$ per tonne to
 196 106.08 $\mu\text{g}/\text{m}^3$ per tonne) while Nigeria increased (from 112.24 $\mu\text{g}/\text{m}^3$ per tonne to 157.84 $\mu\text{g}/\text{m}^3$ per
 197 tonne). By contrast, PC kept almost stable during the study period in the United States (from 0.53 $\mu\text{g}/\text{m}^3$
 198 per tonne to 0.46 $\mu\text{g}/\text{m}^3$ per tonne) and Japan (from 1.40 $\mu\text{g}/\text{m}^3$ per tonne to 1.47 $\mu\text{g}/\text{m}^3$ per tonne). The
 199 similar increasing trend of PM_{2.5} concentration could be seen in most selected countries. While the two
 200 high income countries like the United States (11.3 $\mu\text{g}/\text{m}^3$ in 2000 and 7.6 $\mu\text{g}/\text{m}^3$ in 2016) and Japan
 201 (13.9 $\mu\text{g}/\text{m}^3$ in both 2000 and 2016) showed decreasing or stable trend. The United States (21.28 and
 202 16.48 tones per capita in 2000 and 2016) and Japan (9.90 and 9.43 tones per capita in 2000 and 2016)
 203 are the largest two CO₂ emission countries among the 8 countries while Bangladesh (from 0.21 to 0.52
 204 tones per capita) and Nigeria (from 0.62 to 0.55 tones per capita) the least.

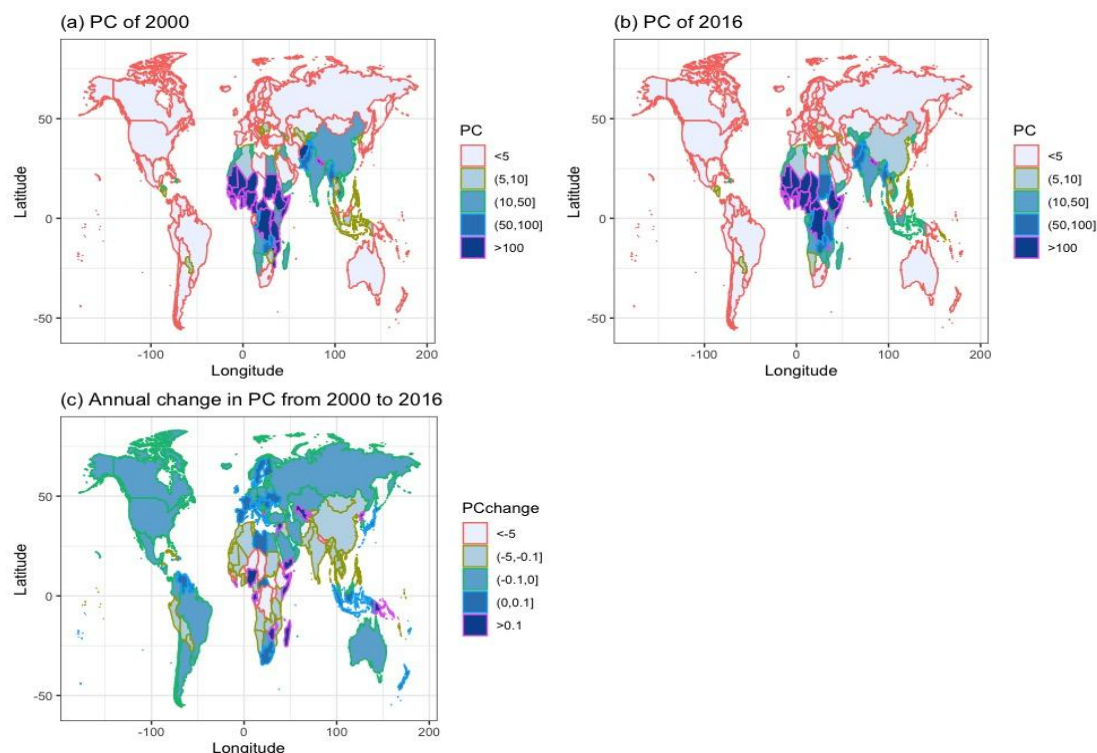


205
 206 **Figure1. PC trends of the whole world, different income groups and selected countries**

207 **Notes:** PC: PM_{2.5} concentration per unit per capita CO₂ emission. We used population-weighted PC,
 208 PM_{2.5} and CO₂ to show time tendencies of different income groups. The units of PC, PM_{2.5} and CO₂ are
 209 $\mu\text{g}/\text{m}^3$ per tonne, $\mu\text{g}/\text{m}^3$ and tonne respectively.

210
 211 The spatial distributions of PC during 2000 and 2016 are presented in Figure 2. In 2000, PCs in
 212 the countries like America, Europe, Australia and most countries in South America were lower than 5
 213 ($\mu\text{g}/\text{m}^3$ per tonne). In developing countries like China and India, PCs were higher than 10 ($\mu\text{g}/\text{m}^3$ per
 214 tonne) but lower than 50 ($\mu\text{g}/\text{m}^3$ per tonne). But in poor countries in Africa, most PCs were over 100
 215 ($\mu\text{g}/\text{m}^3$ per tonne). Specifically, PCs in Niger, Democratic Republic of Congo were over than 1000
 216 ($\mu\text{g}/\text{m}^3$ per tonne) and Chad over 2000 ($\mu\text{g}/\text{m}^3$ per tonne). In 2016, PC almost showed the same spatial
 217 distribution globally. PC in China declined to 7.26 ($\mu\text{g}/\text{m}^3$ per tonne) in 2016. PCs in Chad and Niger
 218 declined a lot but still over 1000 ($\mu\text{g}/\text{m}^3$ per tonne). PCs in most countries of the world decreased in the
 219 past 17 years. The most remarkable decreases were observed for countries in Africa like Chad,

220 Democratic Republic of Congo and Niger, then China and India. Meanwhile, some African countries
221 suffered the PC growth, such as Somalia, Eritrea and Nigeria.
222



223

224 **Figure 2. Country-level PC and annual average change in PC from 2000 to 2016**

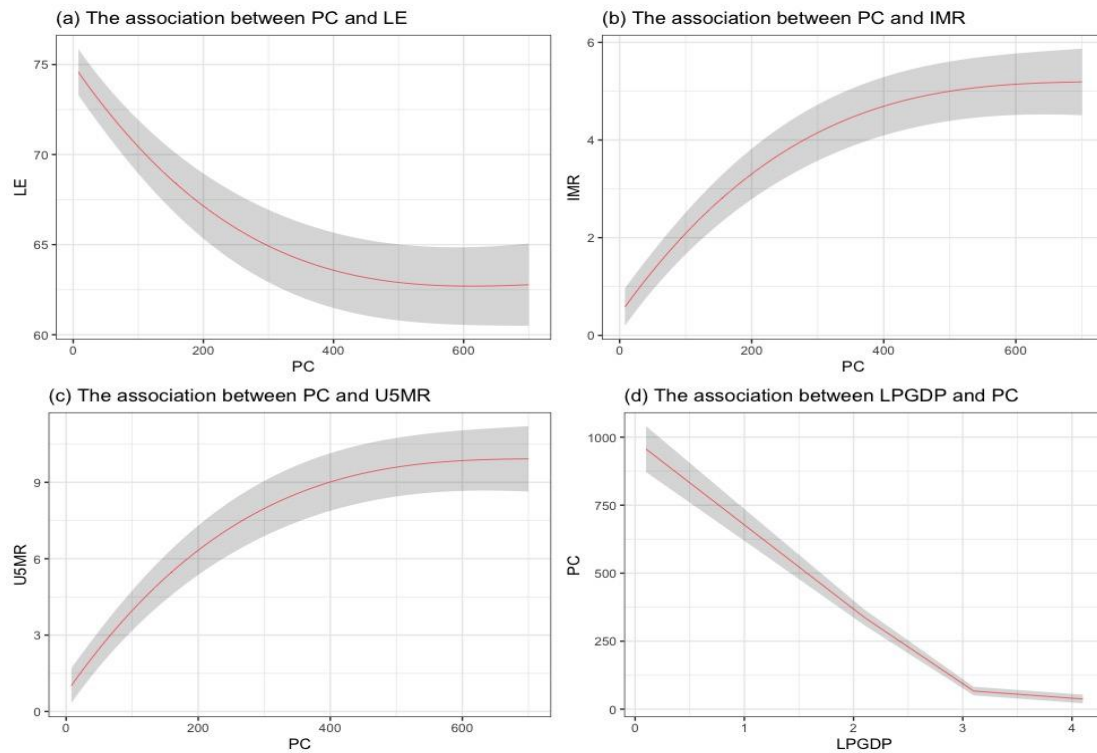
225 **Notes:** PC: PM_{2.5} concentration per unit per capita CO₂ emission. The unit of PC is $\mu\text{g}/\text{m}^3$ per tonne.

226

227 3.3 The relationship between PC and health metrics

228 The Pearson correlation coefficients between PC and LE, IMR, U5MR and LPGDP were -0.566,
229 0.646, 0.659, -0.585 respectively (Table S₂), and all coefficients were statistically significant at the
230 level of 0.001. Using GAMM, we investigated seven models to estimate the relation between PC and
231 health (Table S₃). In model with PC as the only independent variable, the adj.R² were 0.320, 0.417 and
232 0.435 indicating PC independently explained 32.0%, 41.7% and 43.5% of the variation of LE, IMR and
233 U5MR respectively. While in model with PM_{2.5} or CO₂ as the only independent variable, PM_{2.5} and
234 CO₂ could only explain 3.45%, 7.81%, 10.49% and 22.11%, 22.39%, 19.84% of the respective
235 variations of LE, IMR and U5MR. Therefore, PC seemed to be a better indicator to reflect health
236 compared with PM_{2.5} and CO₂. PGDP single could reflect variation of LE, IMR and U5MR by 58.0%,
237 63.6%, 61.3% respectively.

238 We examined the nonlinear associations of PC with LE, IMR, U5MR and LPGDP in Figure 3
239 using GAMM. We got the reverse relation curves between PC and LE, LPGDP. Simultaneously, we
240 found a positive relation between PC and IMR, U5MR. The non-linear relationships changed
241 minimally when we altered the covariates of the model (Figure S₁).



242

243 **Figure 3. The modeled associations of PC with LE, IMR, U5MR and PGDP, by GAMM**

244 **Notes:** Black shadow indicates 95% confidence interval (CI). LE: life expectancy at birth, IMR: Infant-

245 mortality rate, U5MR: Under-five mortality rate, PC: PM_{2.5} concentration per unit per capita CO₂ emission,

246 LPGDP: logarithm of GDP per capita. The GAMMs were (a): PC+PGDP+T2M+QV2M+PM_{2.5}+CO₂; (b):

247 PC+PGDP+T2M+QV2M+PM_{2.5}+CO₂; (c): PC+PGDP+T2M+QV2M+PM_{2.5}+CO₂; (d): LPGDP.

248

249 4. Discussion

250 To the best of our knowledge, this is the first paper to evaluate PC as a potential new indicator of
251 air quality control efficacy. This indicator almost kept stable over 2000-2016 in the world. There is
252 great spatial variation or inequality of PC among countries. On average, PC was high in Africa and low
253 in America, Europe and Australia, while Asia was in the middle range during 2000-2016.

254

255 Generally, PC is decreasing as the GDP per capita grows. PC is smaller in high income or
256 developed countries than in low income or developing countries, possibly because the use of clean-
257 polluting production technologies increases with economic development [30]. For high income
258 countries, they have the least PC with the highest CO₂ emission but lowest PM_{2.5} concentration. Both
259 PM_{2.5} concentration and CO₂ emission showed decreasing tendency from 2000 to 2016, so there is a
260 clear plateau for most high-income countries over the past years. Taking the United States as an
261 example, since the 1970s the United States government has input \$25 billion per year to the
262 improvement of ambient air quality[31]. Over half of the coal-fired capacity in the United States will
263 be equipped with the air pollution control technologies including selective catalytic reduction,
264 electrostatic precipitators, sorbent injection and flue gas desulfurization or other scrubber technologies
265 by 2020[32].

266

267 PC in upper-middle income countries decreased with the increase of CO₂ and relatively slow
268 increase of PM_{2.5}. From 2000 to 2016, the decreasing PC in upper-middle groups might be contributed
269 by technological improvement and green production promotion[30]. As the largest population country
270 in the world and the largest upper-middle income country, PC in China decreased significantly, from
271 17.39 (μg/m³ per tonne) to 7.26 (μg/m³ per tonne). As the largest coal-consuming country in the
272 world[12], the Chinese government has implemented many air quality plans such as “Air Pollution
273 Prevention and Control Action Plan” [33] and “Reformation and Upgrading Action Plan with ultra-low

274 emissions (ULE) technologies” focusing on controlling emissions from coal consumption, which have
275 dramatically reduced PM_{2.5} emissions from coal-fired power plants [12]. Therefore, PM_{2.5} in China
276 remained almost unchanged from 49.5 µg/m³ in 2000 to 50.2 µg/m³ in 2016, although CO₂ emission in
277 China increased a lot from 2.61 tones per capita to 6.91 tones per capita.

278
279 Lower-middle income countries, most located in South Asia, PC remained almost no change from
280 2000 to 2016 because of both increment of PM_{2.5} and CO₂. PM_{2.5} concentrations in South Asia mainly
281 due to combustion emissions (solid fuels, power plants, agricultural and other open burning, industry
282 and transportation)[34]. Taking India, the largest population country of lower-middle income and one
283 of the highest polluted countries globally as an example [35], the major source of ambient particulate
284 matter pollution is coal burning [36]. Although Indian government has launched several initiatives
285 including improving technologies of coal power plants, energy-intensive industries in the past few
286 years to reduce air pollution [37], which reduced PC in India from 42.85 (µg/m³ per tonne) to 36.20
287 (µg/m³ per tonne), PM_{2.5} increased from 44.9 µg/m³ to 65 µg/m³ with CO₂ increased from 0.98 tones
288 per capita to 1.80 tones per capita during 2000 and 2016.

289
290 Low income countries are just on the contrary to the high income ones, which had the highest
291 PM_{2.5} concentration but lowest CO₂ emission. PM_{2.5} increased while CO₂ almost unchanged during
292 2000 to 2016, causing PC increased. The three largest PC located in the three African countries of
293 Chad, Niger and the Democratic Republic of Congo. It is needed to mention that air pollution in Africa,
294 such as countries in north (Niger, Egypt and Mauritania) and west (Cameroon, Nigeria and Burkina
295 Faso) Africa and the Middle East (Saudi Arabia, Qatar and Kuwait), PM_{2.5} is typically composed of
296 aeolian dust and vegetation fires[38,39]. Besides, 26% of 51 million people relied on biomass fuel, gas
297 and paraffin for cooking and 41.2% for heating in the 2011 South African Census report, which will
298 also cause the air pollution[40]. In South Africa, some policies have been promulgated such as the
299 National Environmental Management Air Quality Act (2004) which defined the Minimum Emissions
300 Standards for regulating gaseous and particulate emissions from industrial operations. In 2009, South
301 Africa pledged a target of CO₂ emissions reductions also reduced PM_{2.5} by switching away from an
302 fossil fuels based economy[41]. PC in Chad decreased from 2286.39 µg/m³ per tonne in 2000 to
303 1163.79 µg/m³ per tonne in 2016 and Niger from 1496.35 µg/m³ per tonne to 1029.71 µg/m³ per tonne.
304 But the PC reduction mainly depend on the increment of PM_{2.5} (from 48.2 µg/m³ to 58.7 µg/m³ in Chad
305 and 91.3 µg/m³ to 111.3 µg/m³ in Niger) and more fast increasing speed of CO₂ (from 0.02 tones per
306 capita to 0.05 tones per capita, from 0.06 tones per capita to 0.11 tones per capita respectively).
307 However, it is needed to mention that some African countries suffered the PC growth, such as Somalia,
308 Eritrea and Nigeria. There is still a long way to go for low income countries to improve the air
309 pollution control efficiency as part of development of economy.

310
311 PC might be a good indicator of health. PM_{2.5} attributed mortality of childhood in sub-Saharan
312 Africa (such as Chad, Sudan, and Nigeria) and south Asia (such as India and Pakistan) contributes
313 substantially to the global YLLs (Years of life lost) from ambient air pollution[38,39]. Meanwhile,
314 most largest PC located in the above two areas. It was estimated that highest rate of childhood
315 mortality due to air pollution especially PM_{2.5} was in Chad (located in sub-Saharan Africa) with the
316 largest PC in the world (mean of PC from 2000 to 2016 was 1333.10 µg/m³ per tonne)[41]. In Chad,
317 YLLs per capita due to exposure to PM_{2.5} in children younger than 5 years are 1000 times higher than
318 in the United States (mean of PC from 2000 to 2016 was 0.48 µg/m³ per tonne)[39]. Meanwhile, PC
319 might be a better indicator for monitoring national progress of addressing air pollution related health
320 burden than PM_{2.5}[2,42] or CO₂ for the better explaining variation of LE, IMR and U5MR.

321
322 Compared with previous literature about association between PM_{2.5}, CO₂ and health[4,7], our
323 paper suggests that more attention should also be paid to the air quality control efficacy, in order to
324 realize climate, air quality and health co-benefits. The air pollution control efficiency could be
325 improved through change of energy structure (e.g., shift to cleaner energy) and technology innovations
326 (e.g., electric vehicle) [43,44]. We found that the association between PC and health metrics was
327 independent of GDP per capita. This suggests that clean air brought by reducing PC might generate
328 health improvements independent of economic growth. This result also suggest that the global health

329 inequity is not merely explained by income inequality, but also by the inequality in the ability to
330 control ambient air pollution.

331

332 Our findings contribute to the area of air pollution, climate change and human health. Firstly, it is
333 useful for policymakers to pay more attention to air pollution control efficacy when dealing with
334 climate change by reducing carbon emission. Secondly, PC provides a new angle to understand the
335 global health equity. The low health levels of low income countries might be partly because of the low
336 efficacy to reduce the harm from ambient air pollution [37]. Thus for low income countries, the
337 promotion of air pollution control efficacy should be included as an important part of economic
338 development. Also, assistance from developed countries to undeveloped ones should include not only
339 improving the economy but also technologies related to air pollution control efficacy. These suggest
340 that we could improve health equity more effectively by paying more attention to air pollution control
341 efficiency.

342

343 The study has some limitations. Firstly, we did not obtain data from every country in the world
344 like other global analysis[26]. Our study did not cover the Greenland, Antarctica and some Middle
345 Africa because of the missing data. But as few people live in these areas, we could provide a reference
346 for the majority of population in the world [26]. Secondly, due to data unavailability, we did not
347 include data on factors that might contribute to PC such as energy structure and technologies of
348 processing air pollution emissions. Future studies with relevant data could give a detailed evaluation on
349 these contributing factors. There are some weaknesses of the PC index. Firstly, it couldn't reflect the
350 air pollution caused by the natural sources of aeolian dust and vegetation fires from the unpaved roads
351 or deserts. Secondly, PC maybe not change while some improvements both happens in air pollution
352 control and reducing CO₂ per capita. That is why PC in high income countries keep stable from 2000 to
353 2016 as decrease happened in both PM_{2.5} concentration and CO₂ emission. Thirdly, in theory PC would
354 reduce if CO₂ emission increases without impacting on ground level PM_{2.5} exposure within country.
355 This is clearly not a good outcome to climate change and health. Anyway, PC is really a good indicator
356 to reflect air pollution control efficiency because it reduces with changing the energy structure from
357 coal to clean energy[33,35], improving air cleaning technology[10]. There are many ways to develop
358 the PC indicator in the next stages. Other detailed covariates needed to be included like fossil fuel
359 combustion emission control technology, unusual events like bushfire, natural sources and social
360 disruptions.

361 **5. Conclusions**

362 In summary, our study developed a novel air pollution control efficacy indicator, ground level
363 PM_{2.5} concentration per unit CO₂ emission per capita (PC), to assess population air pollution exposure
364 level related to carbon emission. The results indicated that PC has kept almost stable from 2000 to
365 2016 globally with the low income groups increased. PC is geographically different and getting lower
366 with the economic development. PC is statistically associated with LE, IMR and U5MR, which
367 provides a new way to promote global health equity from the angle air pollution control efficacy.

368

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376 **Data Availability Statement**

377 The data that support the findings of this study are available upon request from the authors.

378 **Declaration of competing interests**

379 The authors declare they have no actual or potential competing financial interests.

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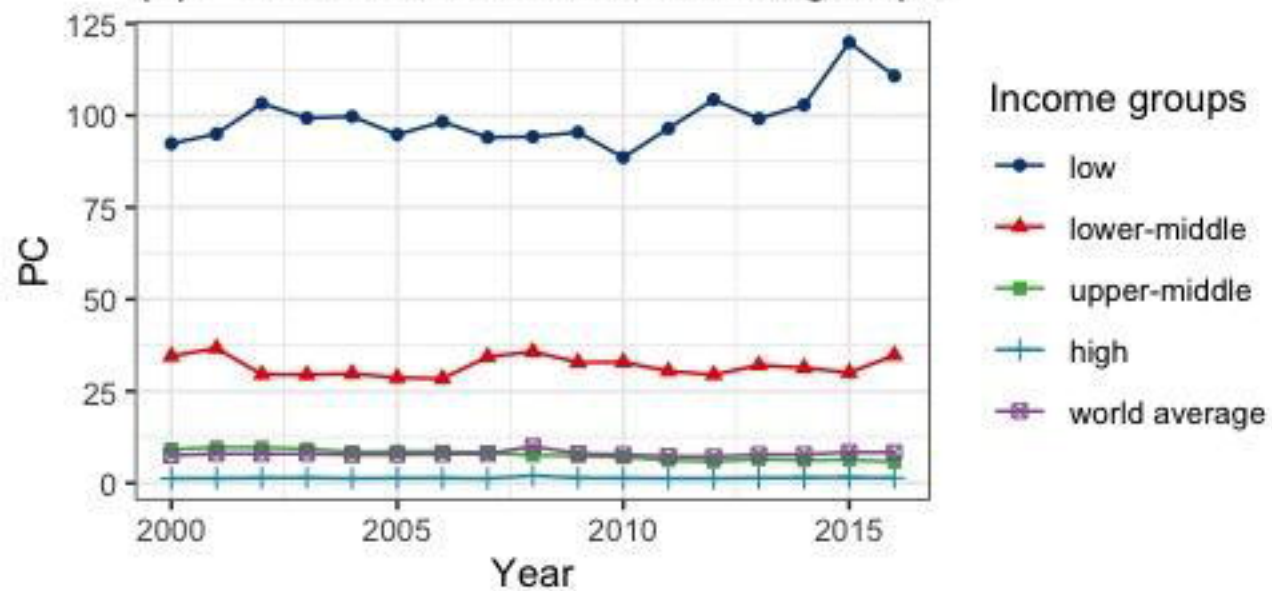
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382 References

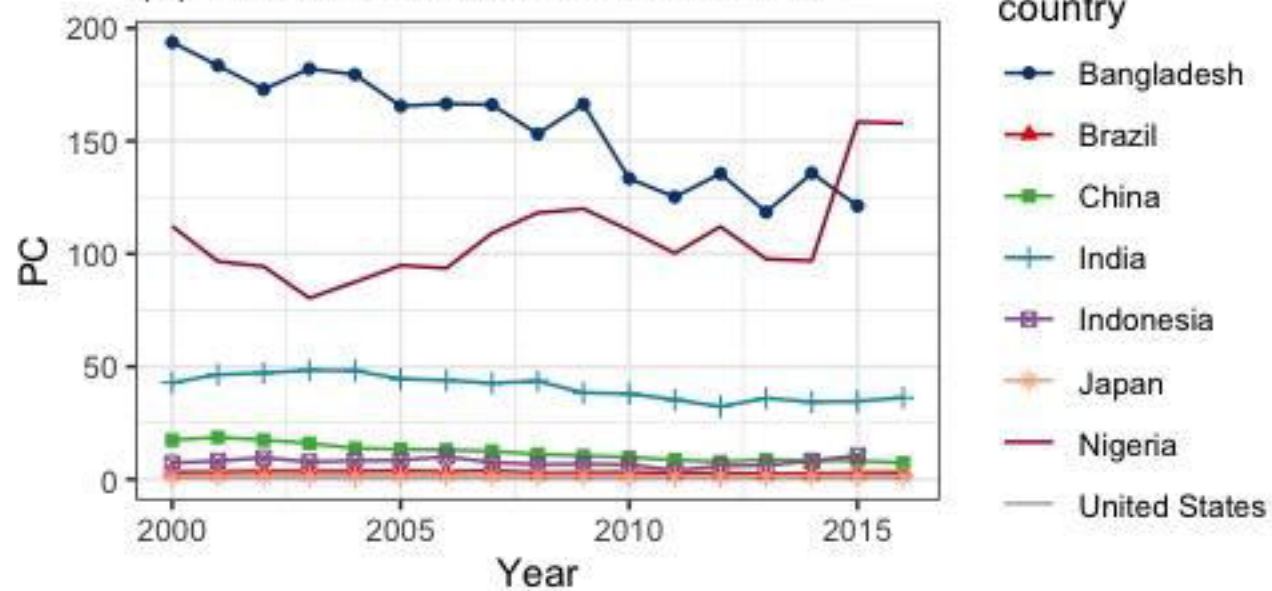
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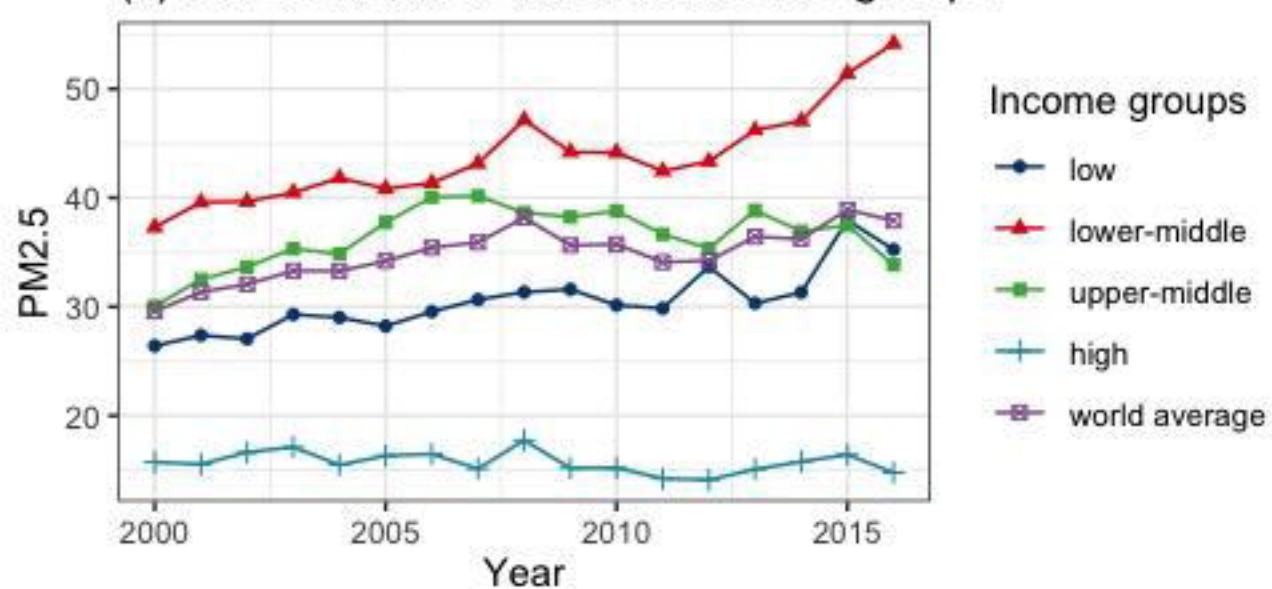
(a) PC trend of different income groups



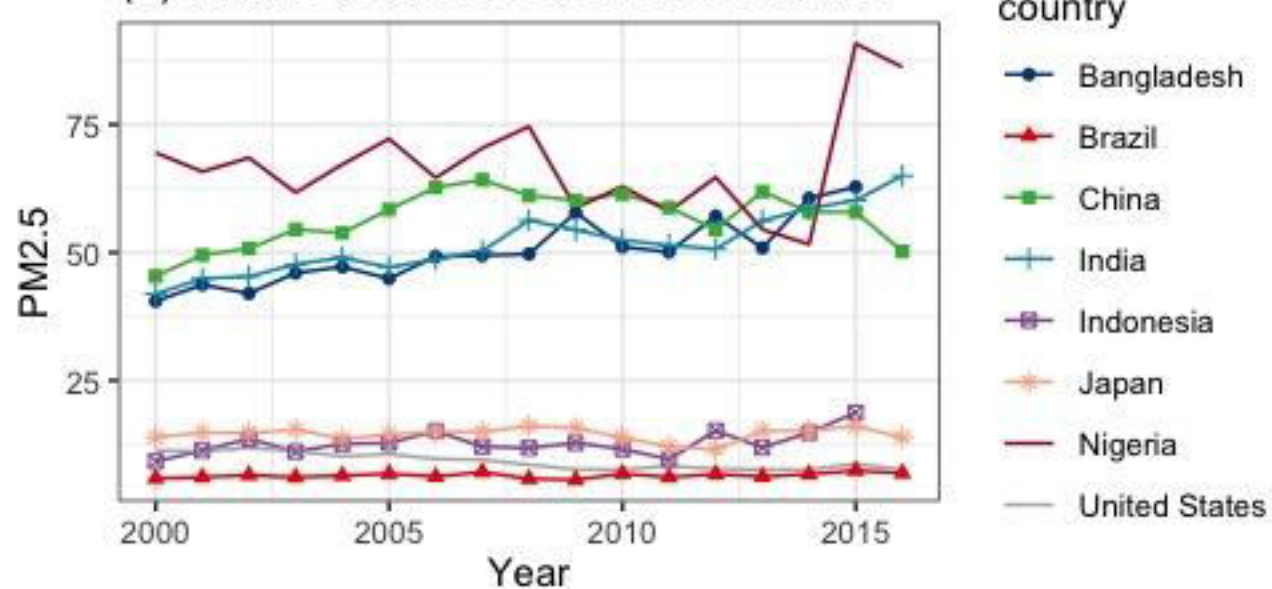
(b) PC trend of selected countries



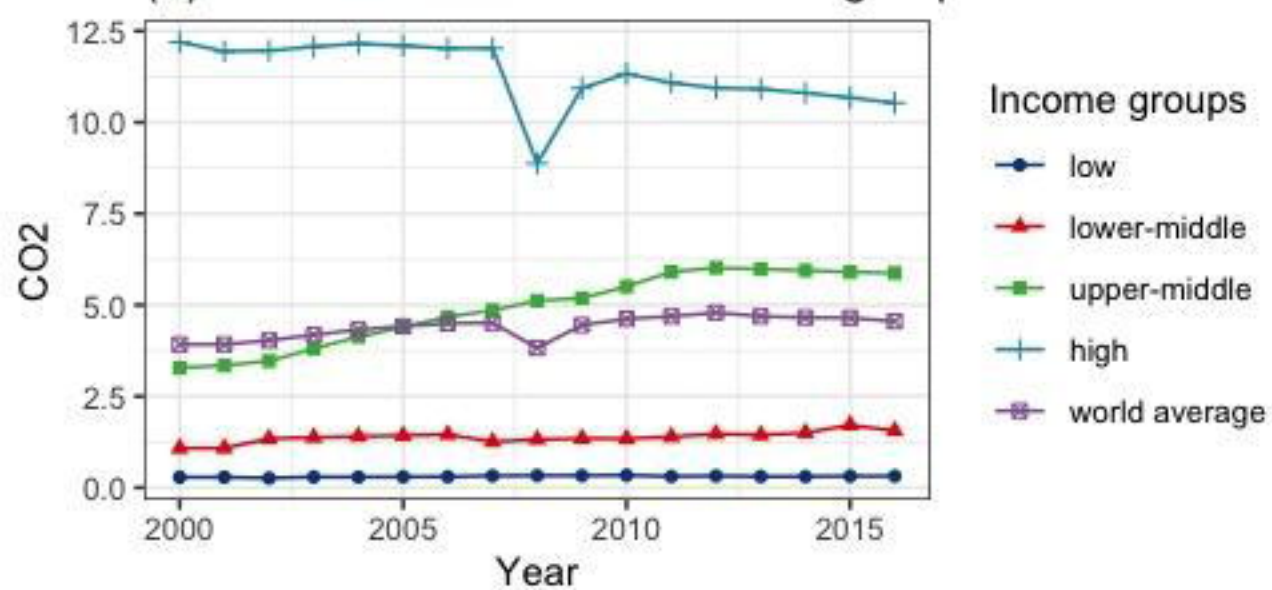
(c) PM2.5 trend of different income groups



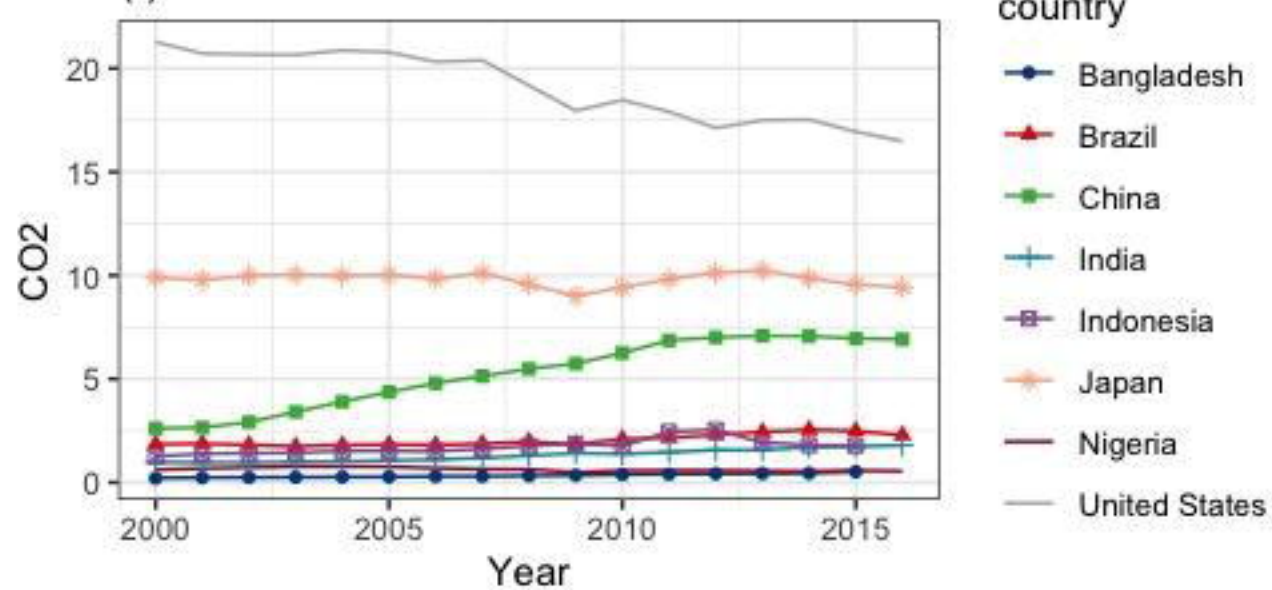
(d) PM2.5 trend of selected countries



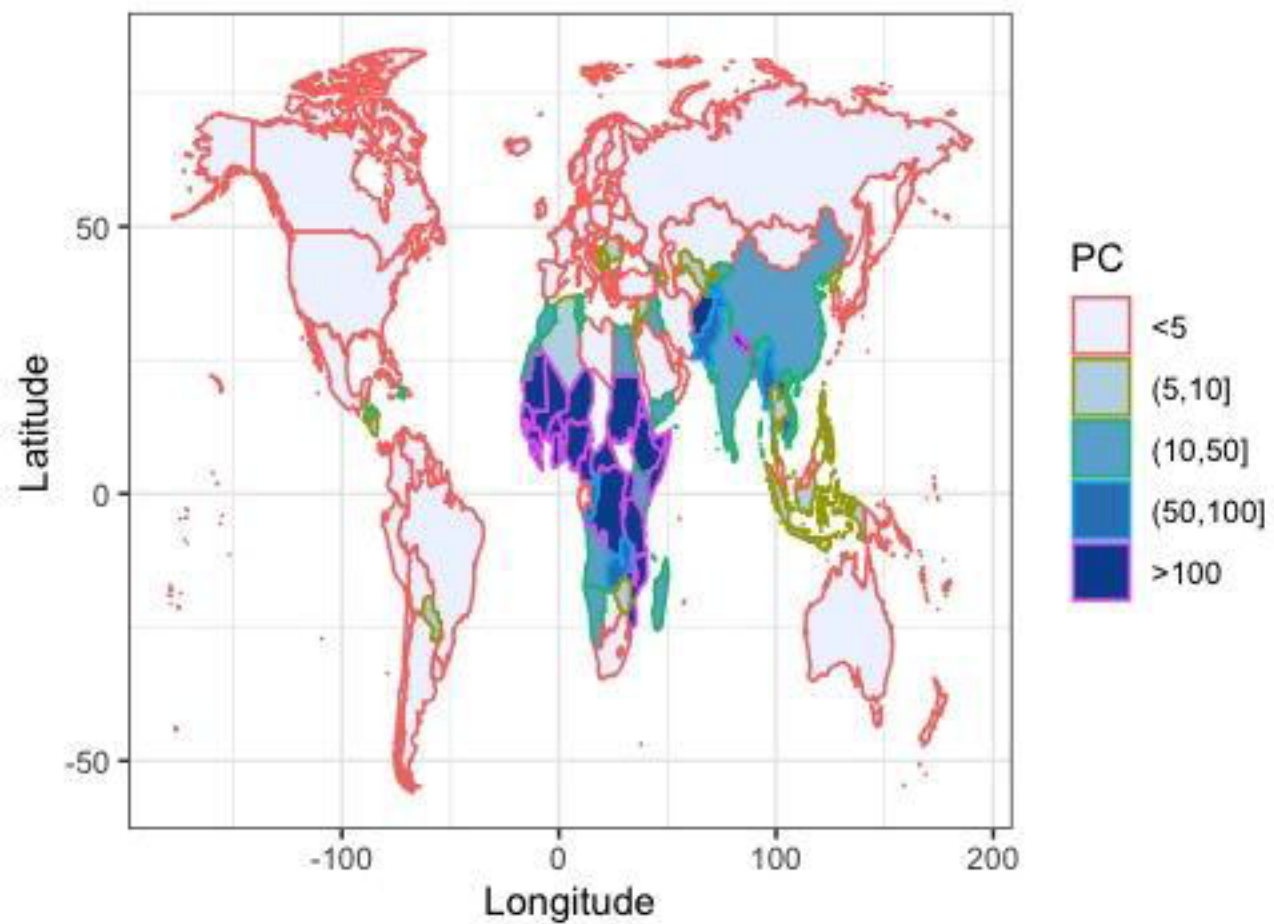
(e) CO2 trend of different income groups



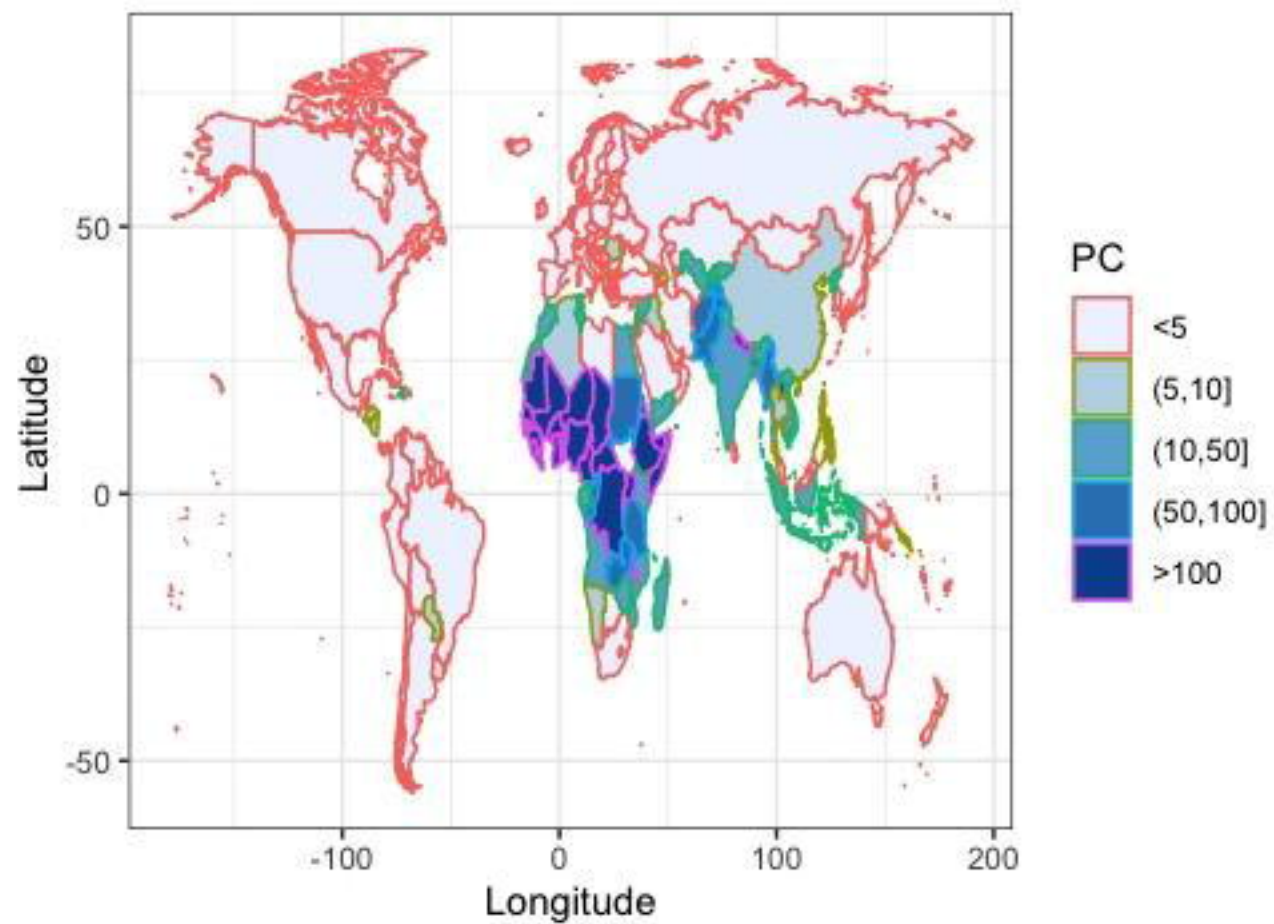
(f) CO2 trend of selected countries



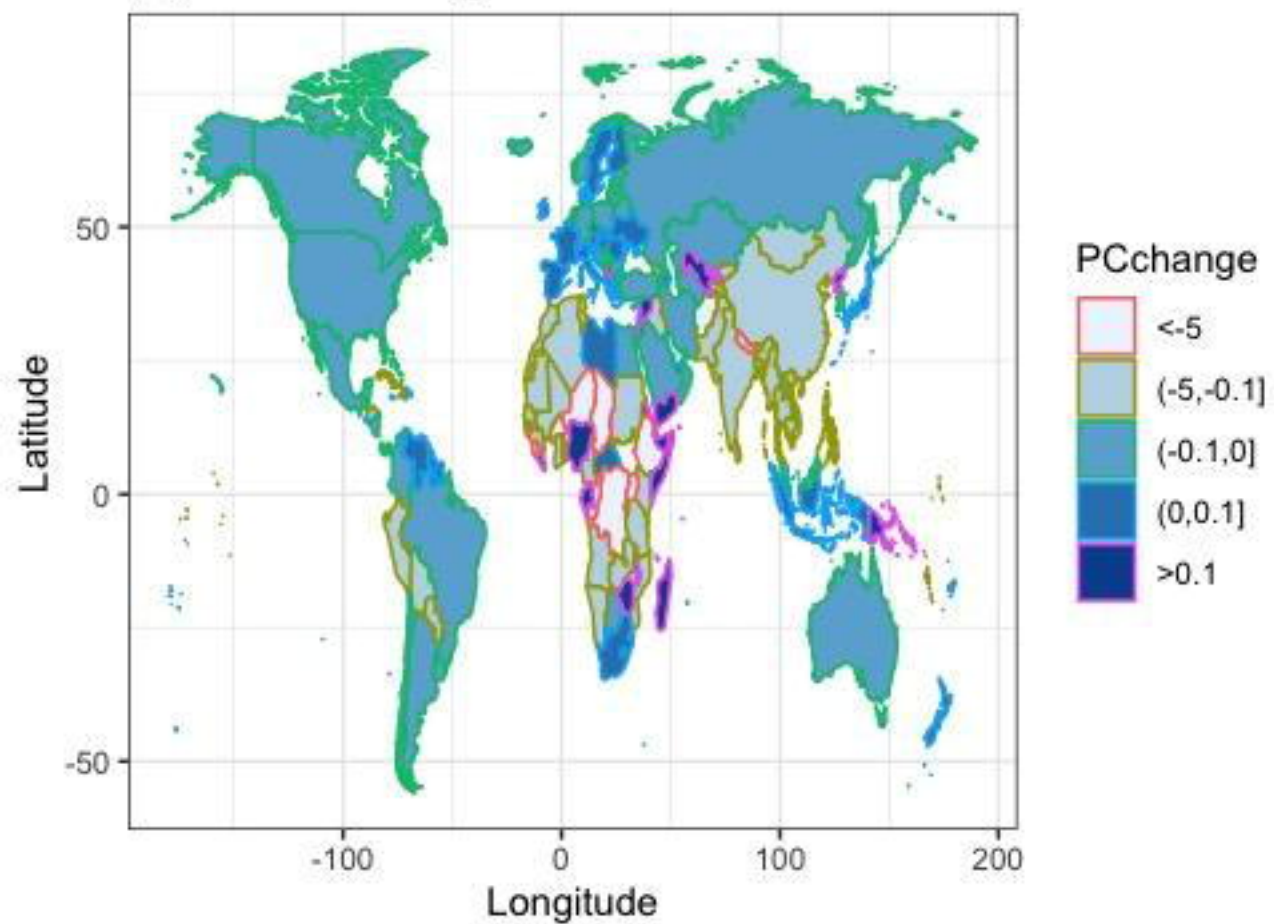
(a) PC of 2000



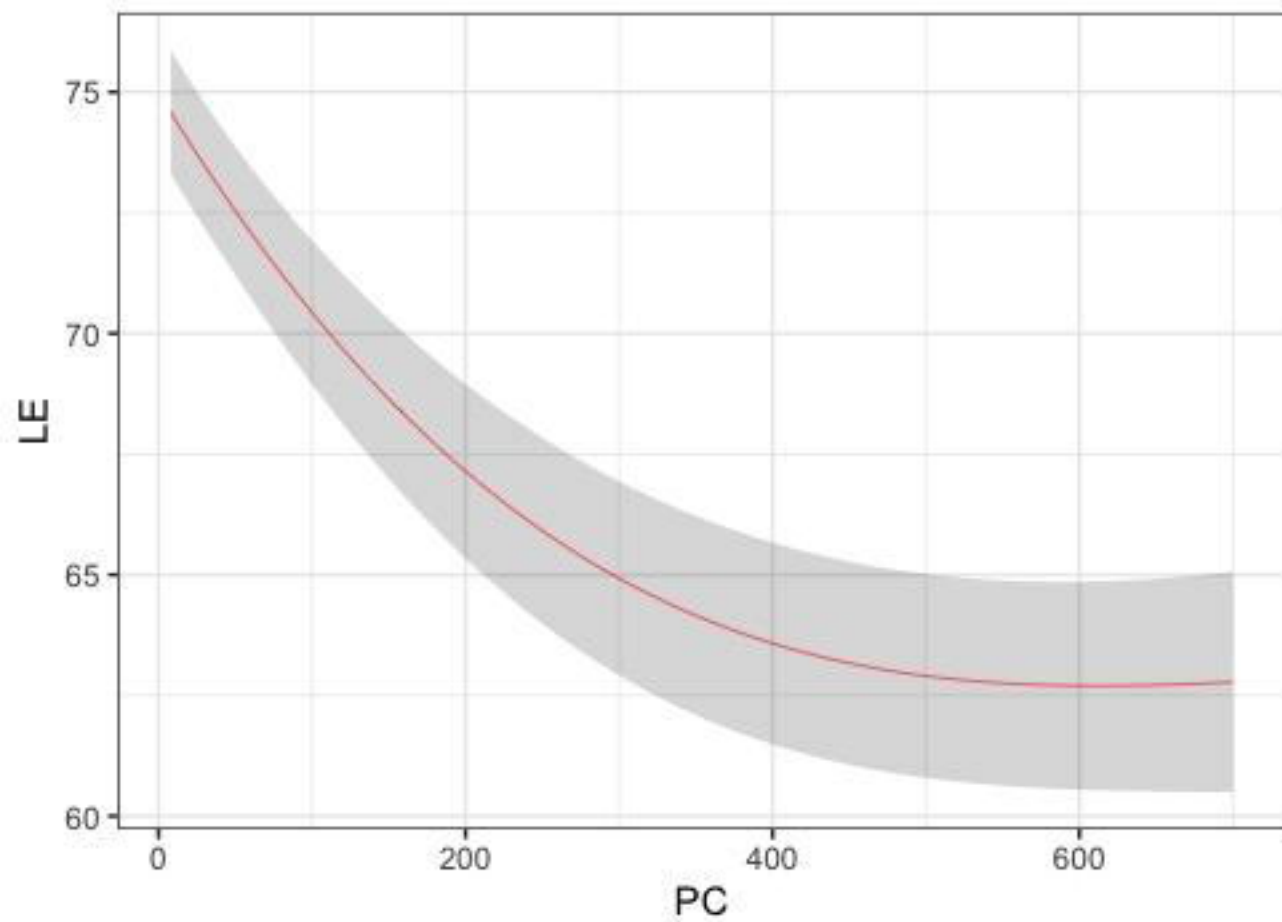
(b) PC of 2016



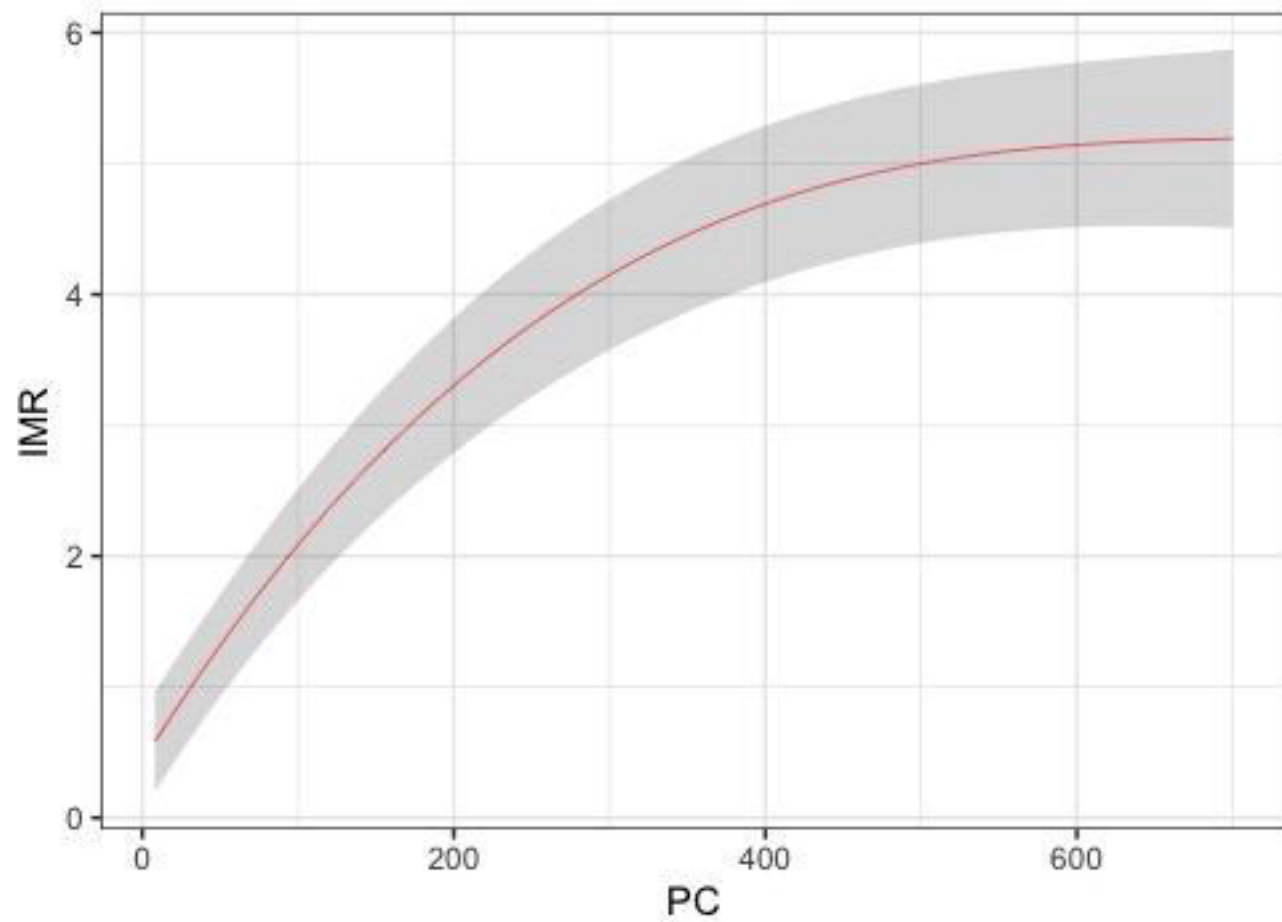
(c) Annual change in PC from 2000 to 2016



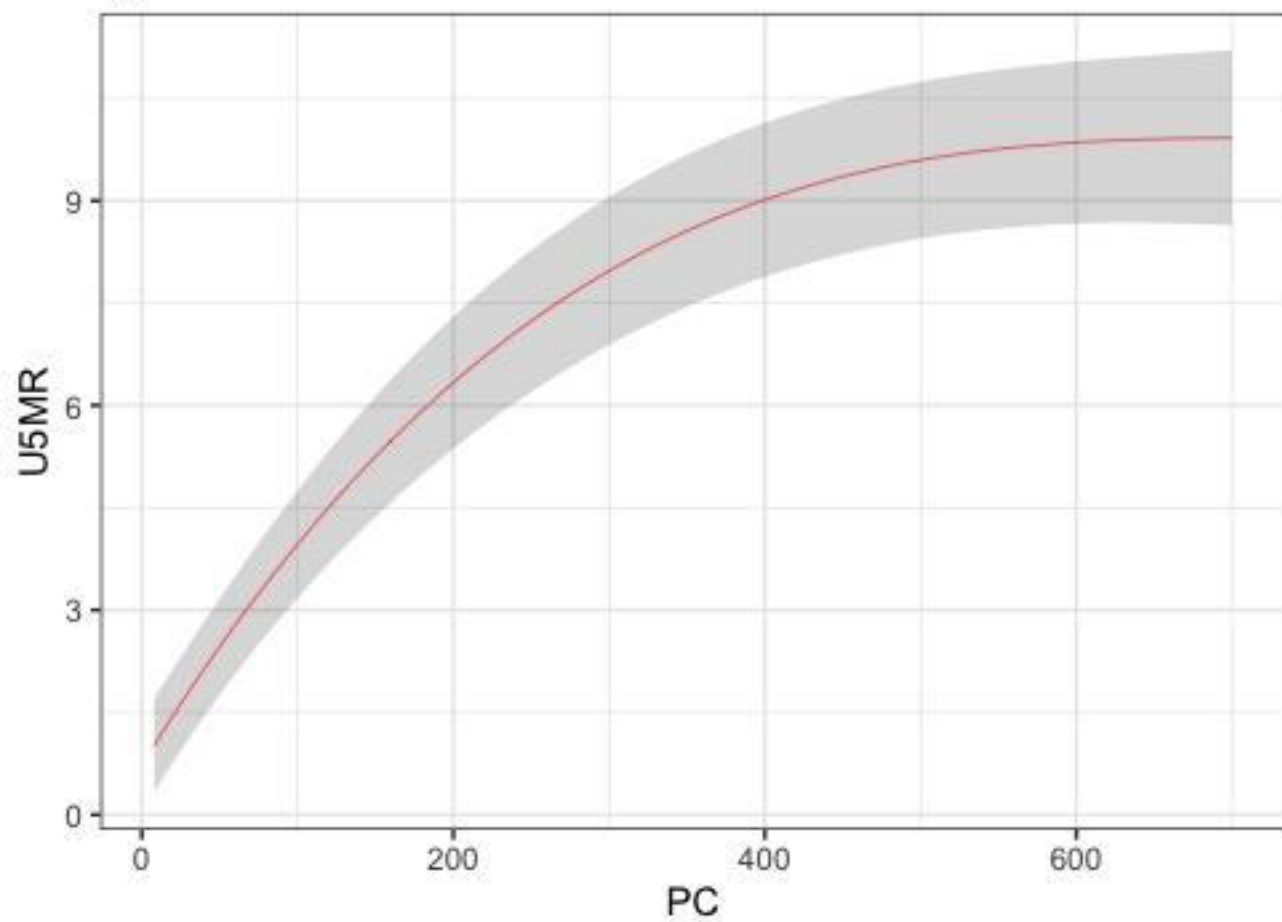
(a) The association between PC and LE



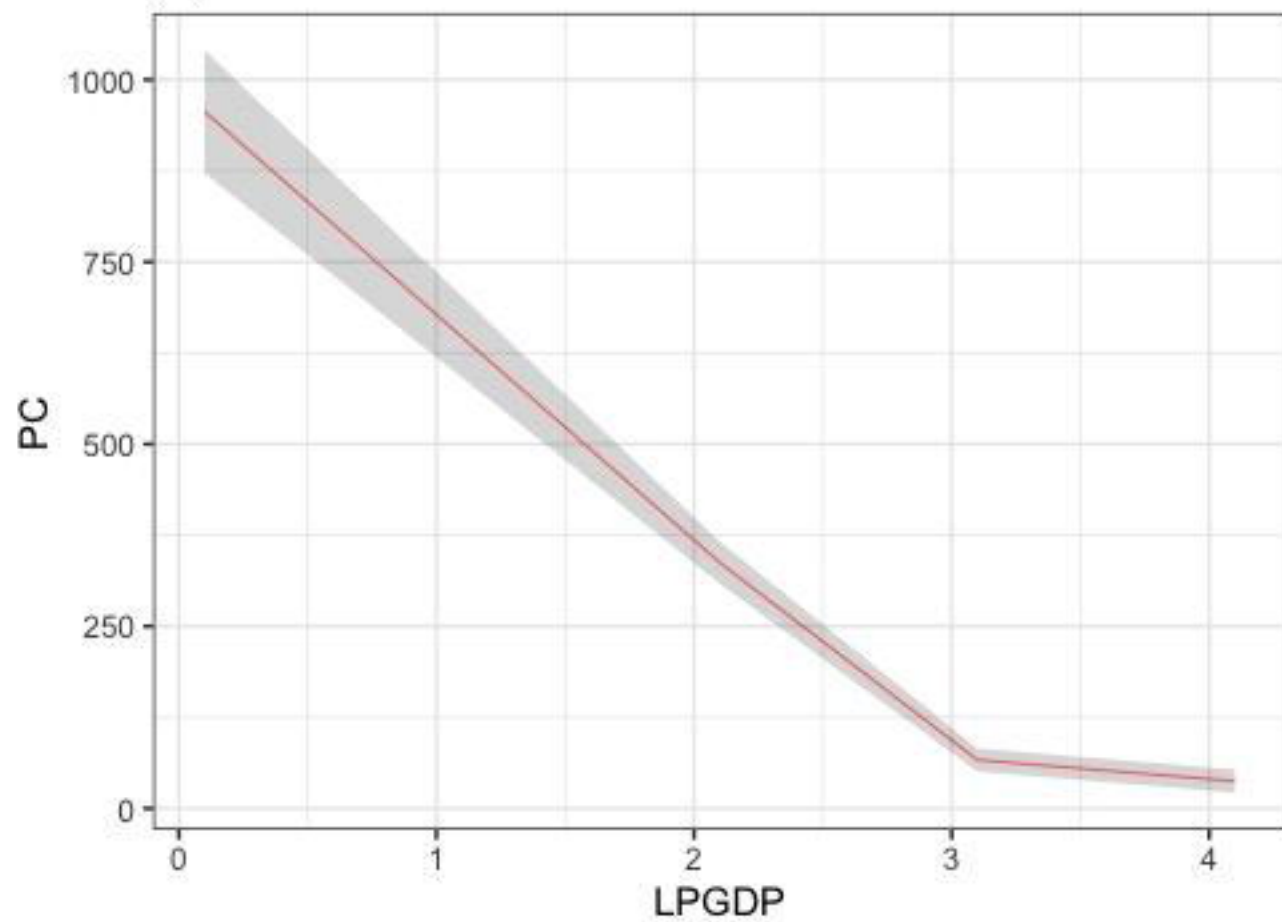
(b) The association between PC and IMR



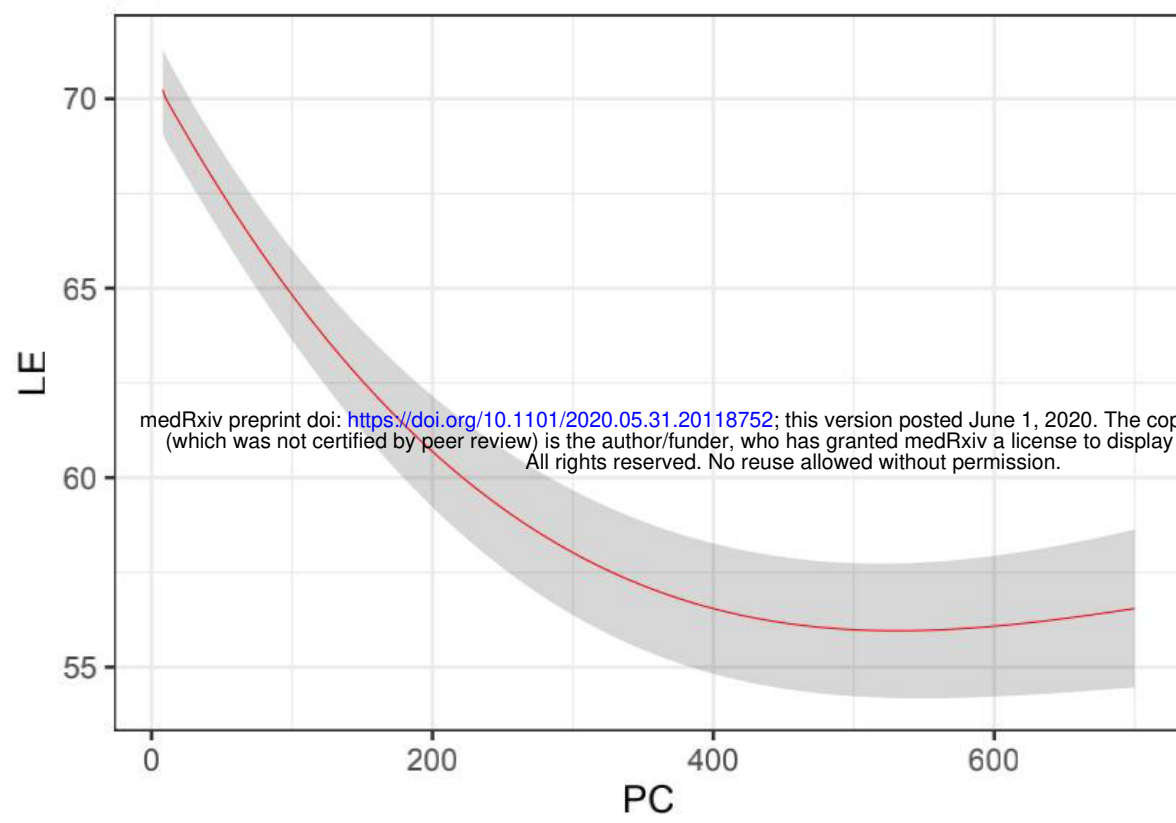
(c) The association between PC and U5MR



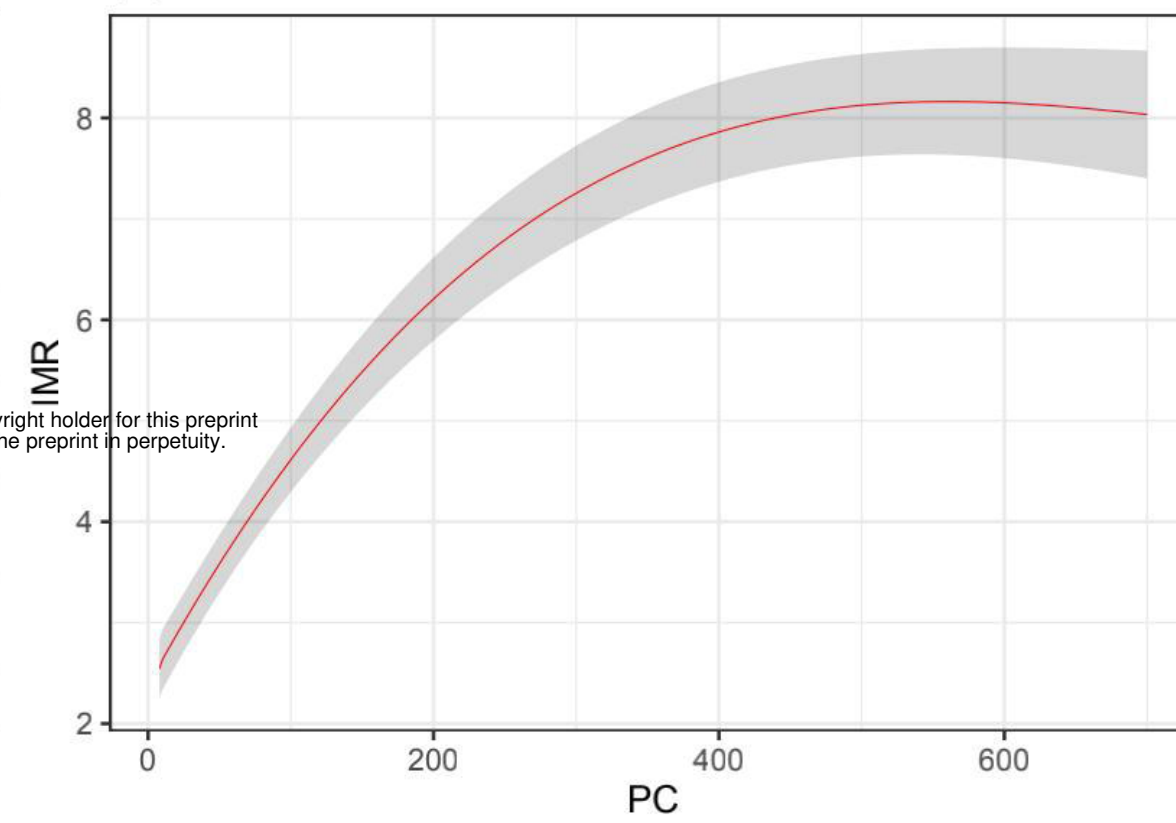
(d) The association between LPGDP and PC



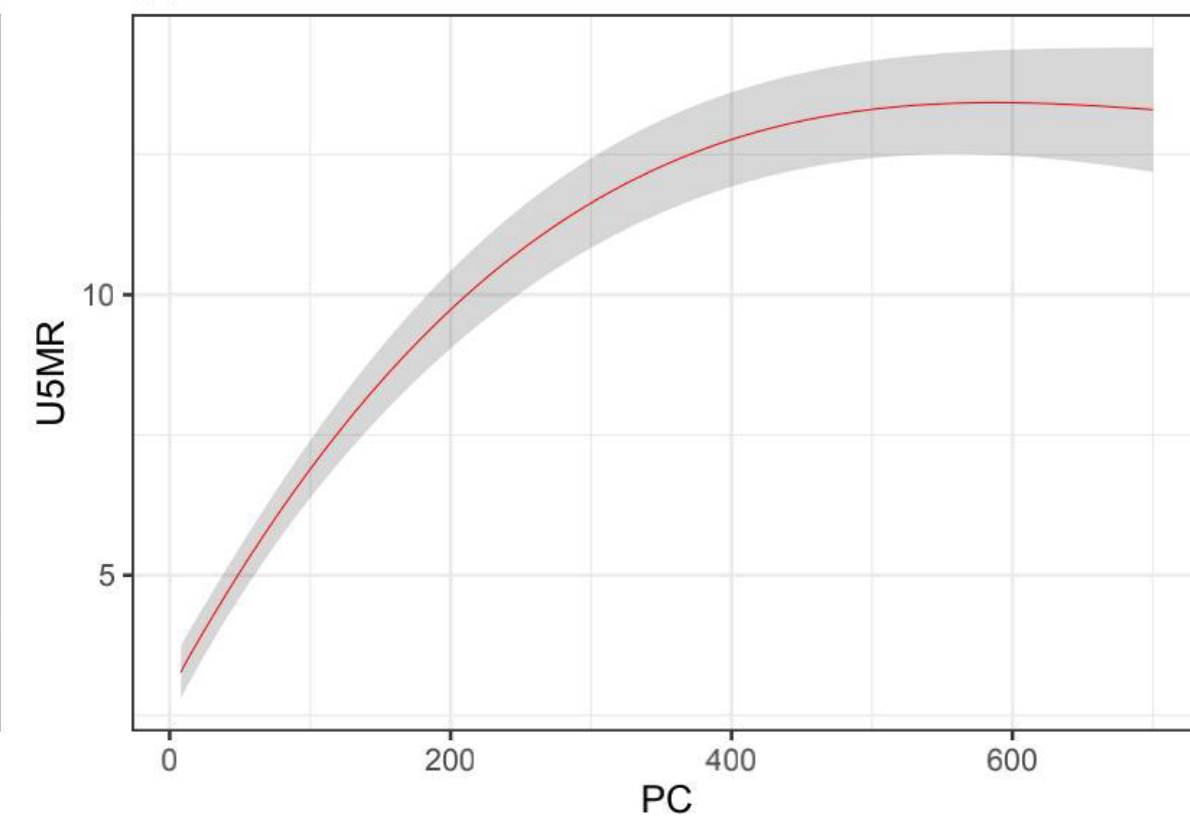
(a) The association between PC and LE



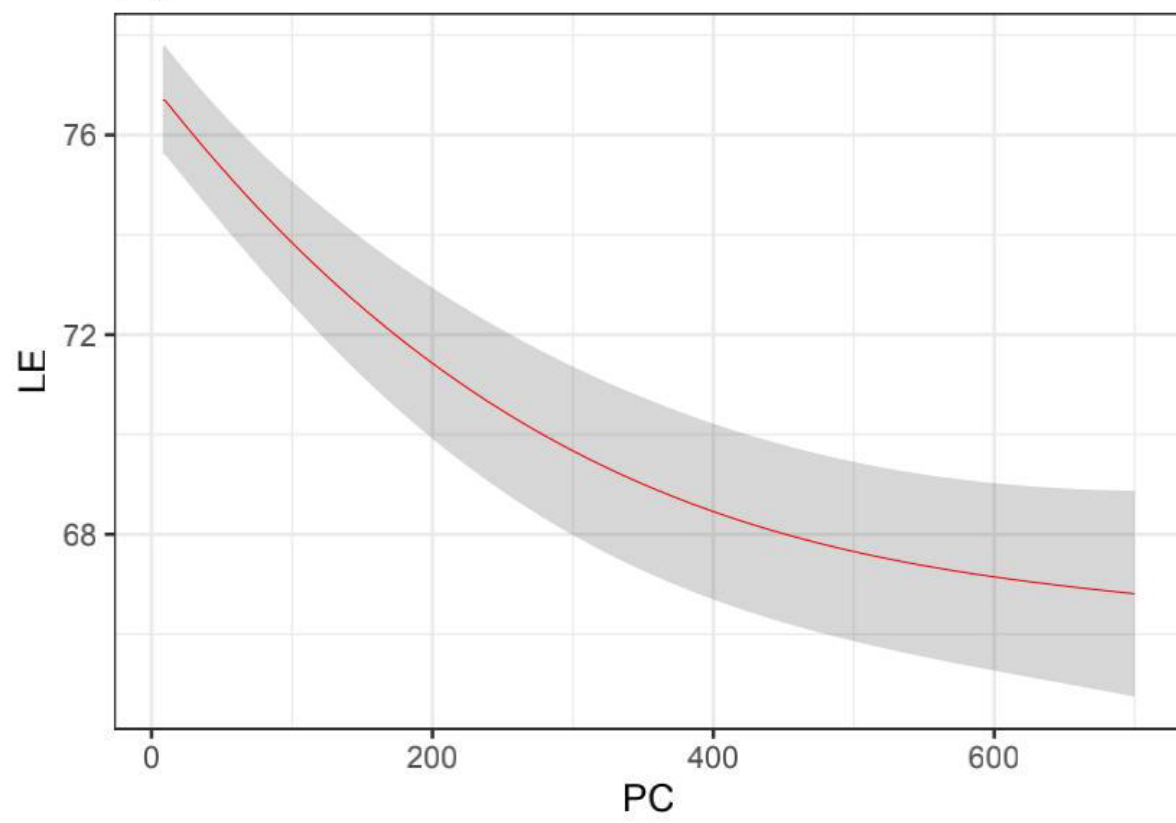
(b) The association between PC and IMR



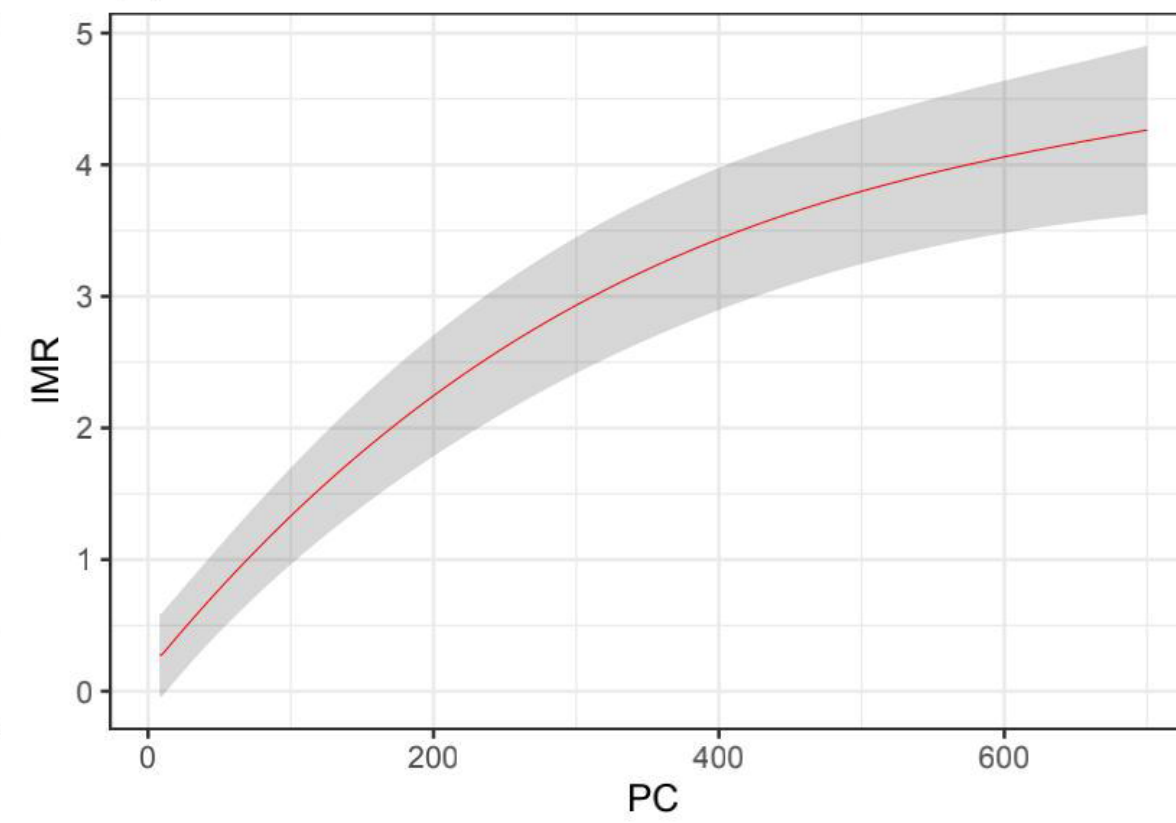
(c) The association between PC and U5MR



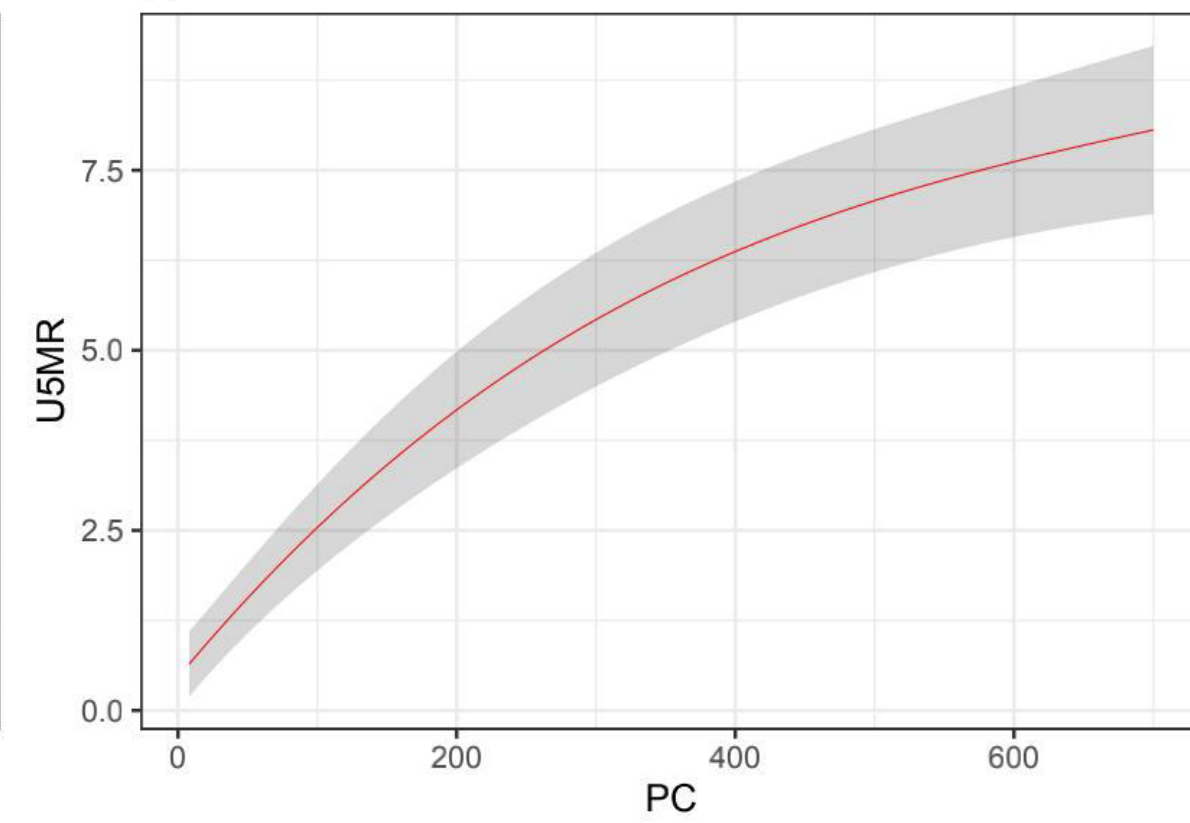
(d) The association between PC and LE



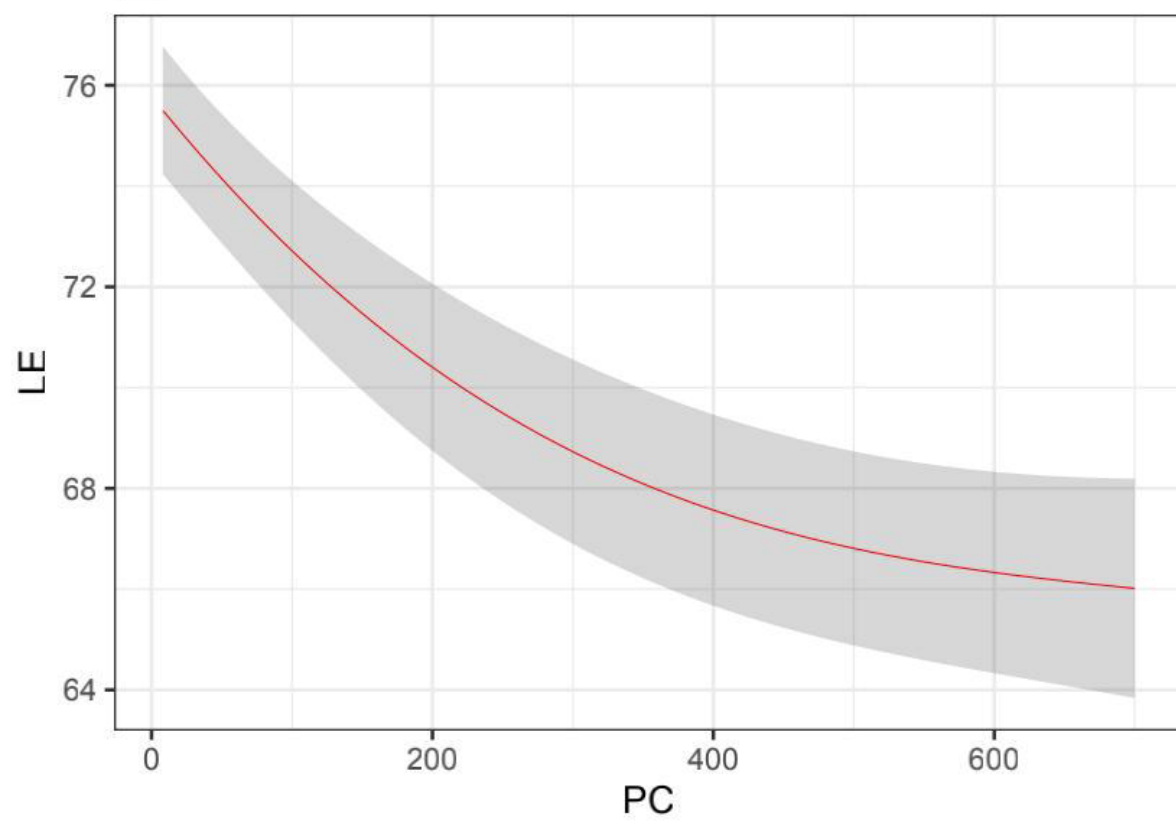
(e) The association between PC and IMR



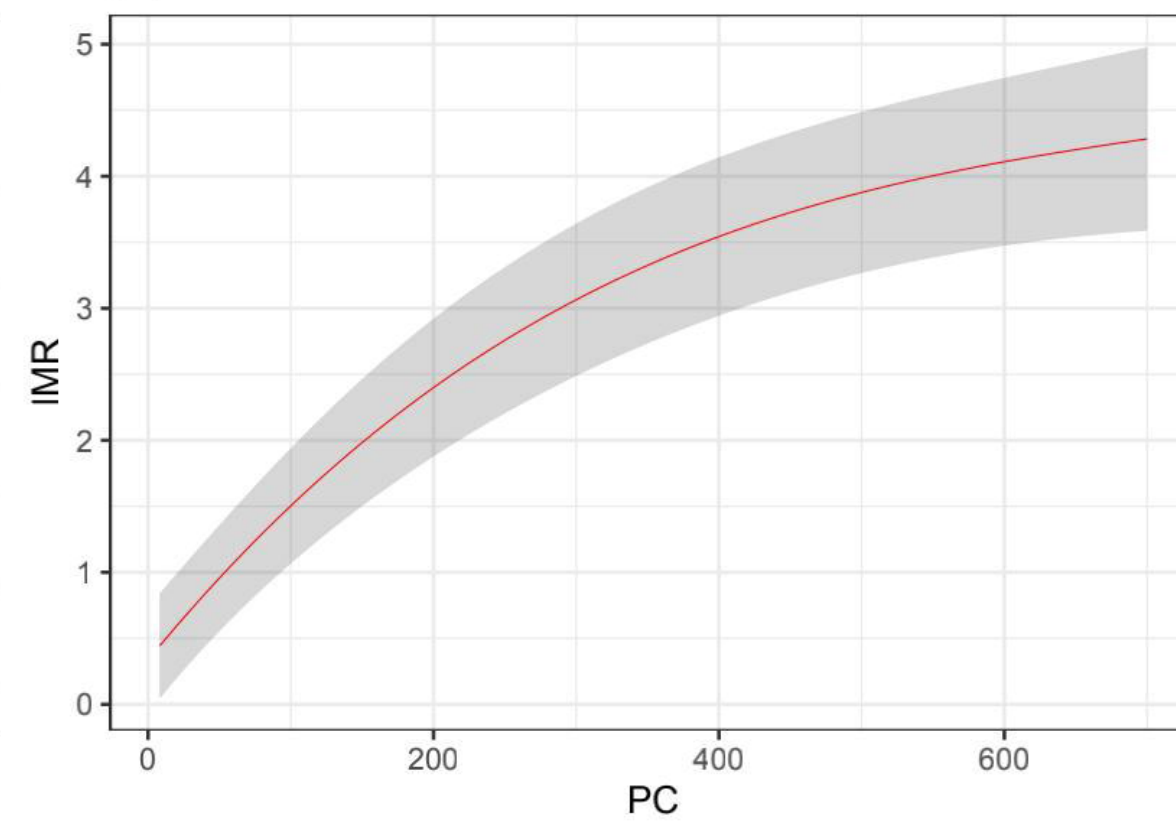
(f) The association between PC and U5MR



(g) The association between PC and LE



(h) The association between PC and IMR



(i) The association between PC and U5MR

