

Air Pollution in China: Mapping of Concentrations and Sources

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Abstract

China has recently made available hourly air pollution data from over 1500 sites, including airborne particulate matter (PM), SO₂, NO₂, and O₃. We apply Kriging interpolation to four months of data to derive pollution maps for eastern China. Consistent with prior findings, the greatest pollution occurs in the east, but significant levels are widespread across northern and central China and are not limited to major cities or geologic basins. Sources of pollution are widespread, but are particularly intense in a northeast corridor that extends from near Shanghai to north of Beijing. During our analysis period, 92% of the population of China experienced >120 hours of unhealthy air (US EPA standard), and 38% experienced average concentrations that were unhealthy. China's population-weighted average exposure to PM_{2.5} was 52 µg/m³. The observed air pollution is calculated to contribute to 1.6 million deaths/year in China [0.7–2.2 million deaths/year at 95% confidence], roughly 17% of all deaths in China.

Introduction

Air pollution is a problem for much of the developing world and is believed to kill more people worldwide than AIDS, malaria, breast cancer, or tuberculosis (1-4). Airborne particulate matter (PM) is especially detrimental to health (5-8), and has previously been estimated to cause between 3 and 7 million deaths every year, primarily by creating or worsening cardiorespiratory disease (2-4,6,7). Particulate sources include electric power plants, industrial facilities, automobiles, biomass burning, and fossil fuels used in homes and factories for heating. In China, air pollution was previously estimated to contribute to 1.2 to 2 million deaths annually (2-4).

In 2012, China adopted the Ambient Air Quality Standard (9), and began development of a national Air Reporting System that now includes 945 sites in 190 cities. These automated

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stations report hourly via the internet, and focus on six pollutants: particulate matter < 2.5 microns (PM_{2.5}), particulate matter < 10 microns (PM₁₀), sulfur dioxide (SO₂), nitrogen dioxide (NO₂), ozone (O₃), and carbon monoxide (CO). Provincial governments perform air quality monitoring at 600 additional locations that are not yet integrated into the national system. Previous studies of regional scale air pollution have generally relied on satellite data (10,11) or modeling (12,13), but the high density of hourly data in China now allows regional patterns to be constructed directly from ground observations.

Materials and Methods

Though China deserves praise for its monitoring system and transparent communication, most archived observations are not publicly available. To compensate, real-time data was downloaded every hour during a four month interval from April 5, 2014 to August 5, 2014. Due to download restrictions on the official Chinese air quality reporting system, two different third-party sources were used: PM25.in and AQICN.org. PM25.in is a direct mirror of data from the 945 stations in China's national network, while AQICN.org is the world's largest aggregator of real-time air quality data and included many additional sites in China and surrounding areas. Nearly all of the additional data from within China originates from stations operated by provincial environmental agencies that have not yet been incorporated in China's national network.

Consistency, quality control, and validation checks were applied to the raw data prior to further analysis in order to reduce the impact of outliers, badly calibrated instruments, and other problems. The most common quality problem was associated with stuck instruments that implausibly reported the same concentration continuously for many hours. A regional consistency check was also applied to verify that each station was reporting data similar to its neighboring stations. Approximately 8% of the data was removed as a result of the quality control review. Further details are described in the supplemental material (Text S1).

As little monitoring is conducted in western China (Figure 1); we will focus on China east of 95° E, which includes 97% of the population. After removing stations with a high percentage of missing values or with other quality control problems, this study used 880 national network sites, 640 other sites in China and Taiwan, and 236 sites in other countries within 500 km of China (mostly South Korea). The air quality network is skewed towards urban areas,

often with several sites per city and fewer, if any, in rural areas. For the n -th site, we use $p_n(t)$ to denote the pollutant concentration time series and \overline{p}_n to denote the mean pollutant concentration.

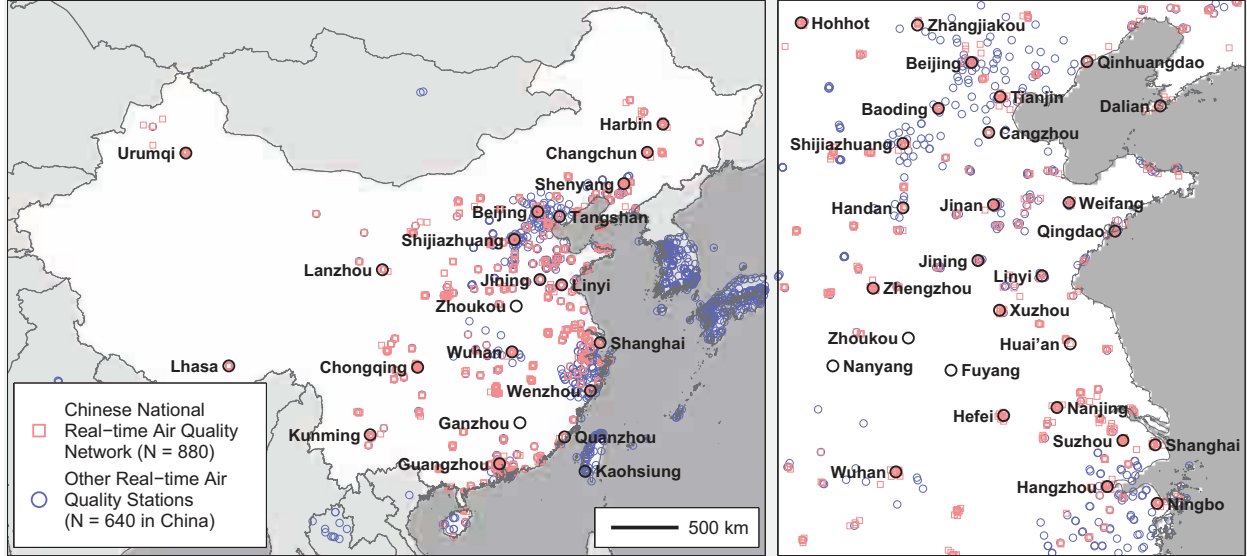


Figure 1. Map of real-time air pollution monitoring stations. Map shows the locations of air quality monitoring sites in China and surrounding areas with sufficient hourly data to be included in this study. Selection criteria and data sources are described in Supplemental Text S1.

For each pollutant, a correlation vs. distance function was estimated by computing all possible pairwise correlations between different stations and fitting the resulting correlations to a two part exponential decay as a function of distance. The resulting functional forms are stated in the supplemental Table S1 and shown in Figure S2 and Figure S3. The correlation functions are used to construct correlation matrices that in turn are used to compute Kriging coefficients (14, 15), $K_n(\vec{x})$.

The interpolated pollutant field, $P(\vec{x}, t)$, is then estimated in two parts.

$$P(\vec{x}, t) = S(\vec{x}) + A(\vec{x}, t)$$

$$S(\vec{x}) = \left(\sum_n K_n(\vec{x}) (\overline{p}_n - G(\vec{x}_n)) \right) + G(\vec{x})$$

$$A(\vec{x}, t) = \sum_n K_n^*(\vec{x}, t) (p_n(t) - \overline{p}_n)$$

The stationary part, $S(\bar{x})$, is derived by applying Kriging interpolation to the mean pollutant concentrations and a global predictor, $G(\bar{x}_n)$, that depends on latitude and longitude and contains free parameters that are adjusted to fit the observed means. The time-dependent anomaly part, $A(\bar{x}, t)$, depends only on the fluctuations at each station relative to the local mean, and its Kriging coefficients, $K_n^*(\bar{x}_n, t)$, are computed with restriction to stations that are active at time t . This two-step process reduces errors associated with stations that have intermittently missing data. This method is similar to that used by Berkeley Earth for its historical earth temperature analysis (16). Since the correlation vs. distance function has been constructed with the correlation at zero distance obtaining a value less than one, the resulting interpolated fields will be smoother than the original data. This design was chosen for its ability to compensate for noise in the underlying station measurements. Additional details of the interpolation process are provided in the supplement methods (Text S1).

For mapping and computation, this continuous field was sampled with an approximately 6 km resolution, though in practice, the characteristic size of resolvable features is often larger (e.g. 30 km) and varies with station density and noise.

A simple estimate of pollutant fluxes, $F(\bar{x}, t)$, was computed by comparing observed changes in the hourly pollutant concentration to the concentrations expected due to short-term wind transport $\bar{v}(\bar{x}, t)$ and an exponential decay with lifetime τ . Differences from the simple transport and decay model are assumed to represent source fluxes.

$$F(\bar{x}, t) = \frac{P(\bar{x} + \bar{v}(\bar{x}, t) \Delta t, t + \Delta t) - e^{-\Delta t/\tau} P(\bar{x}, t)}{2\Delta t} + \frac{P(\bar{x}, t) - e^{-\Delta t/\tau} P(\bar{x} - \bar{v}(\bar{x}, t) \Delta t, t - \Delta t)}{2\Delta t}$$

The near-surface (80 m) wind field from the Global Forecast System (17) was used for this calculation, and the effective pollutant lifetime was estimated as described in supplemental Text S1 and reported in Table S4. Flux averages were computed by time-averaging the resulting field after excluding outlying values and cells affected by rain events as determined from Tropical Rainfall Measuring Mission data (18, 19).

The change in mortality due to PM_{2.5} air pollution was calculated by adopting the integrated exposure response function approach (20) which considers relative risk of death for five disease classes (stroke, ischemic heart disease, lung cancer, chronic obstructive pulmonary disease, and lower respiratory infection) and which was adopted by World Health Organization

(WHO) for the Global Burden of Disease study (21). The model incorporates non-linear response versus concentration and provides an estimate of uncertainty. Relative risk was calculated at the prefecture level using local average PM_{2.5} concentration. The data for different diseases and prefectures was then combined to construct national average mortality estimates.

Additional details of these calculations and associated background information is provided in the supplemental methods document (Text S1).

Results

Figure 2 shows a time series of PM_{2.5} concentration at Beijing and interpolated maps at three time points separated by 6 hours each. This shows the volatile nature of air pollution and the role of weather patterns in redistributing pollution on short timescales. Our approach creates a smooth field that approximates the data at each station, but allows a degree of difference attributable to noise. The pollution is extensive and rapidly evolves in response to winds and other atmospheric conditions. In the Figure, fresh air from the North displaces a period of heavy pollution. Hourly data allows us to capture this evolution and ultimately estimate source fluxes. Supplemental Movie S3 shows the time evolution of PM_{2.5} across the entire country.

Figure 3 presents averages of the interpolated data for PM_{2.5}, PM₁₀, and O₃ across the study duration. The maps are color-coded based on US EPA health categories for 24-hour exposure (22). Maps for SO₂ and NO₂ are included in the supplemental materials and show “good” levels nearly everywhere (Figure S11, S13).

Air pollution is extensive in China, with the highest particulate concentrations observed south of Beijing (e.g. Xingtai / Handan), but significant levels extend throughout the interior, which is consistent with previous satellite and modeling estimates (11-13). Extensive pollution is not surprising since particulate matter can remain airborne for days to weeks and travel thousands of kilometers. The corridor south of Beijing contains the highest pollution concentrations and, as discussed below, many of the largest sources. During this study, the southern coastal area experienced somewhat better air quality, possibly linked to greater rainfall (Figure S5).

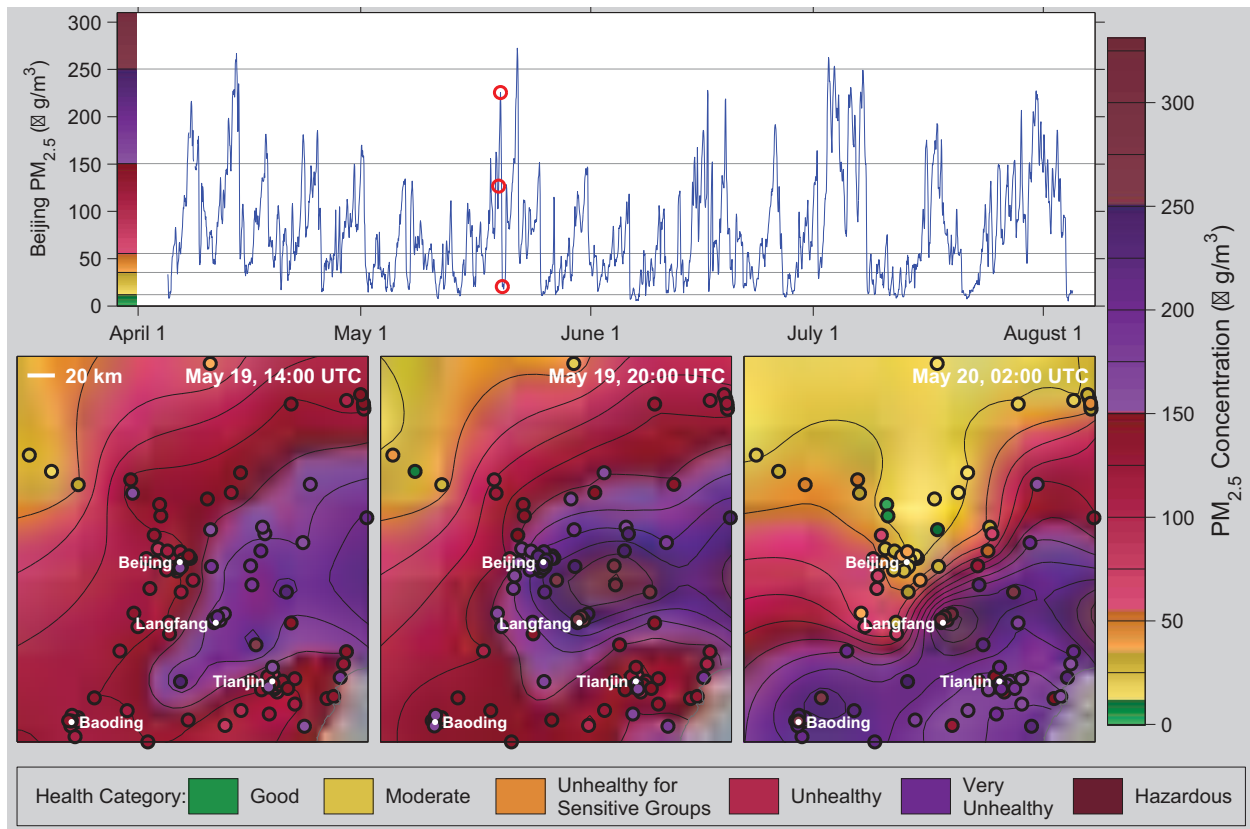


Figure 2. Time evolution of $PM_{2.5}$ pollution in the vicinity of Beijing. (Top) Time series of $PM_{2.5}$ concentration at Beijing extracted from the interpolated field. Red circles indicate times shown in bottom row. (Bottom) Maps of interpolated $PM_{2.5}$ concentration during a period of high pollution. Pollution concentrations were computed as described in the text from hourly data and maps were rendered in MATLAB. Concentrations are shown using color gradients and contour lines, where color tones (green, yellow, etc.) correspond to health impact categories defined by the US EPA. Bold circles show station locations with the observed value at each station indicated by the color within the circle.

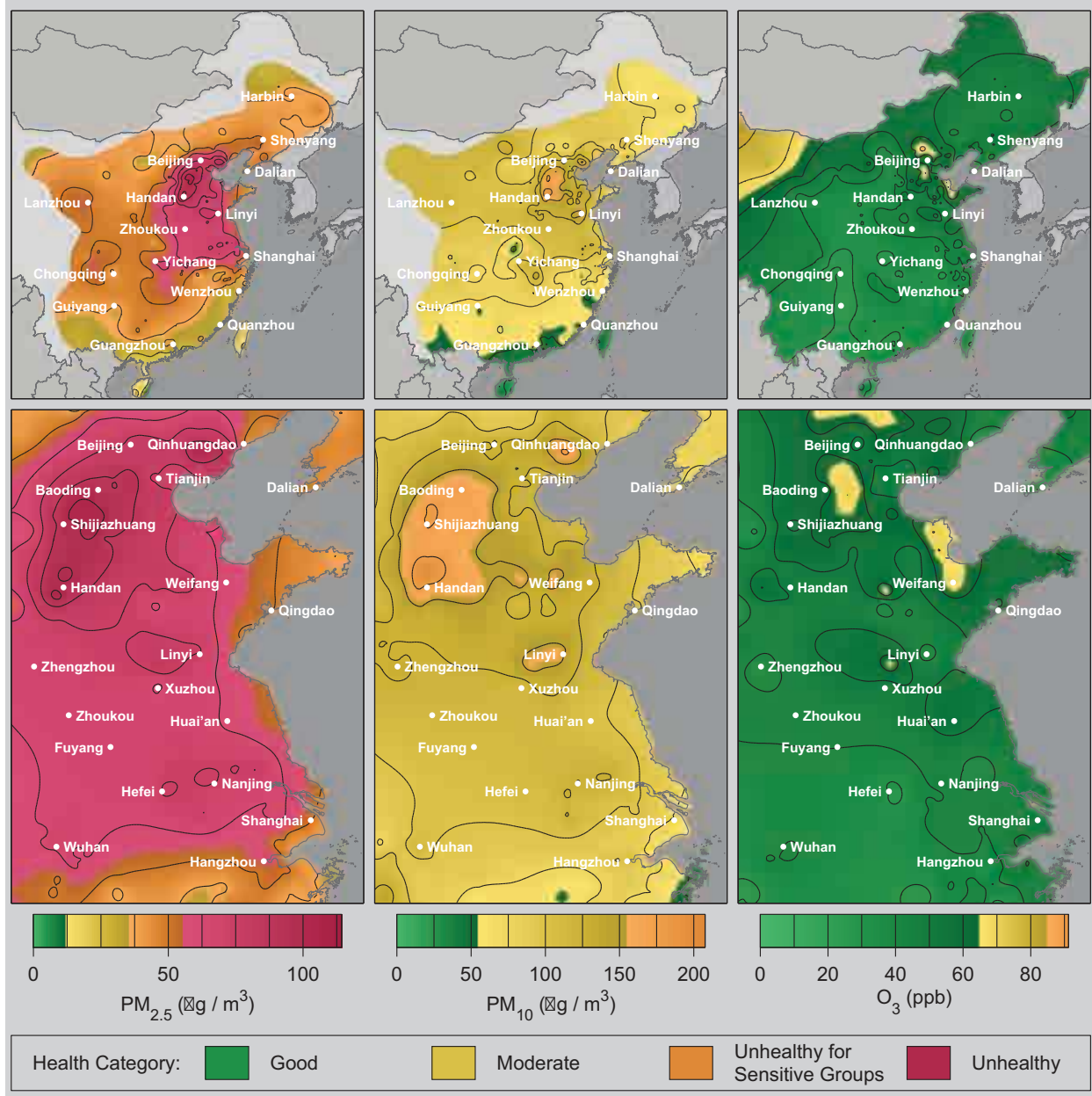


Figure 3. Average air pollution maps. Maps of average pollutant concentration for $PM_{2.5}$, PM_{10} , and O_3 for eastern China (top row) and the Beijing to Shanghai corridor (bottom row). Concentrations are shown using color gradients and contour lines; the colors (green, yellow, etc.) represent US EPA qualitative health impacts. Pollution concentrations were computed as described in the text using hourly data and then the hourly concentration fields were averaged over the four month study duration.

For $PM_{2.5}$, portions of China encompassing roughly 38% of the population are classified as “unhealthy” on average ($>55 \mu\text{g}/\text{m}^3$, red) with an additional 45% of the population averaging “unhealthy for sensitive groups” ($>35 \mu\text{g}/\text{m}^3$, orange). Almost none of the study area averaged

below the US EPA's $12 \mu\text{g}/\text{m}^3$ standard for annual average $\text{PM}_{2.5}$ exposure (green). The area-weighted average was $46 \mu\text{g}/\text{m}^3$ and the population-weighted average exposure to $\text{PM}_{2.5}$ was $52 \mu\text{g}/\text{m}^3$. 92% of China's population experienced unhealthy $\text{PM}_{2.5}$ for at least 120 hours during the study period. 46% of China's population experienced $\text{PM}_{2.5}$ above the highest EPA threshold ("hazardous", $>250 \mu\text{g}/\text{m}^3$), during at least one hour in the observation period.

Patterns for PM_{10} are similar but less severe, with average PM_{10} levels "moderate" for most of China. Ozone concentrations are modest across most of China, though higher levels occur in the Northwest desert area, and in a small number of Northeastern cities. Though the average levels of PM_{10} and O_3 are "moderate" or "good" for much of China, intermittently high levels of these pollutants can occur in some areas.

Source Regions for Air Pollution in China

Figure 4 shows estimated pollutant fluxes for $\text{PM}_{2.5}$, PM_{10} , SO_2 , and NO_2 . Pollution emission is often localized, especially in the Beijing to Shanghai corridor where many of the highest PM concentrations also occur (Figure 3). Most of the largest emissions appear in or near urban areas (e.g. Handan, Shijiazhuang, Zibo, Tangshan, Linyi, Hangzhou), though not all major cities have high pollution fluxes (e.g. Chongqing, Chengdu, Wuhan). The source map presumably reflects patterns of industrial activity, though detailed differences will not be explored here.

NO_2 and SO_2 emissions help suggest the pollution source. Nitrogen oxides, including nitric oxide (NO) and NO_2 are created when air is heated, and on average have been attributed to transportation fuels (15-25%), fossil fuel burning in power plants (30-50%), and to industrial facilities (25-35%) (23,24). It is expected that NO dominates at the combustion source, but in the presence of sunlight NO and NO_2 will equilibrate within a few minutes (as well as reacting with O_3), implying that NO_2 measurements reflect a combination of NO and NO_2 emissions. SO_2 emissions have been previously associated with coal (~90%) in power plants and industrial facilities (25). Beijing has negligible SO_2 flux, despite a large NO_2 signal, possibly a result of policies that limit coal burning in the immediate vicinity of Beijing and more extensively apply mitigation technologies.

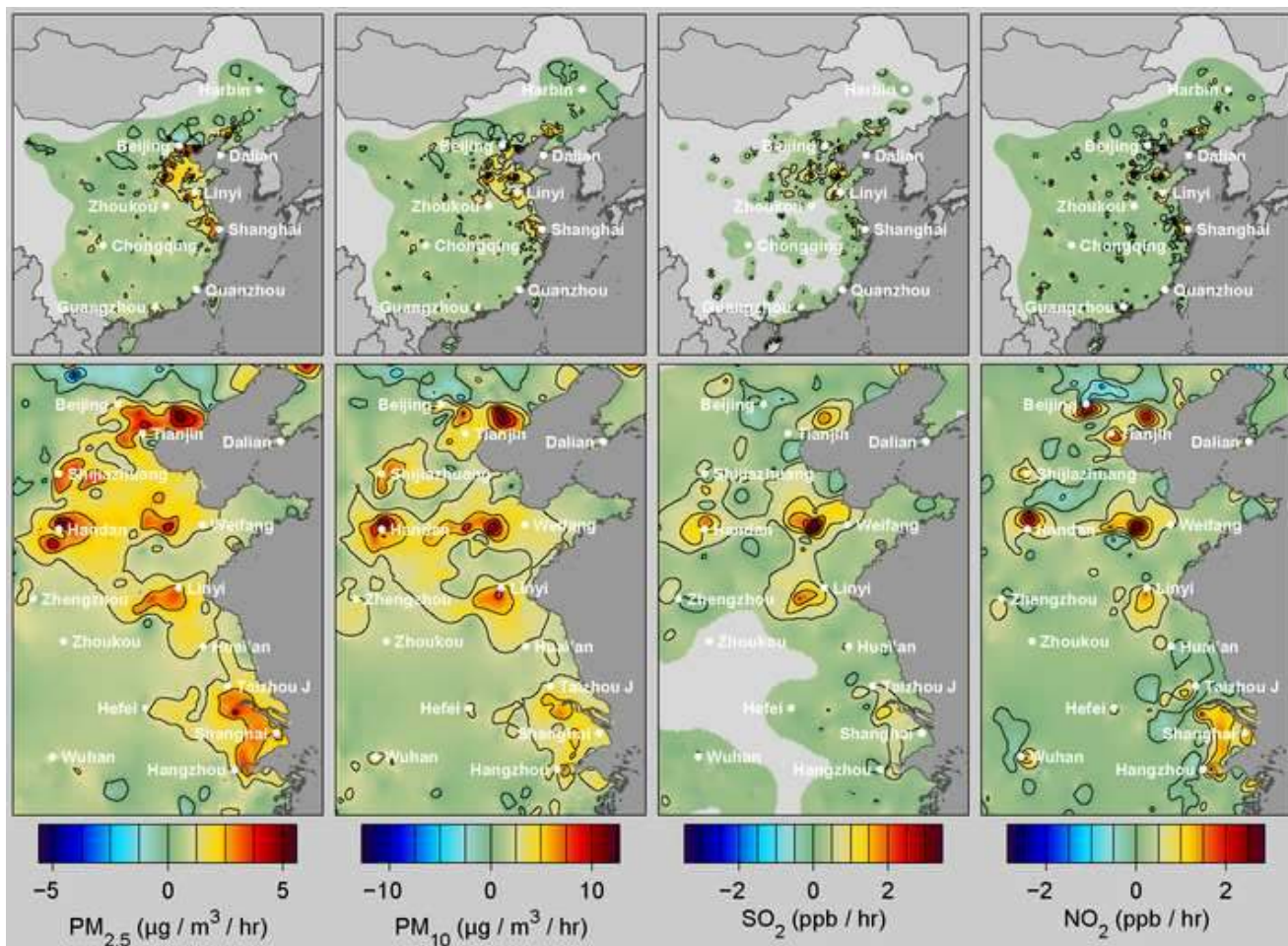


Figure 4. Air pollution source maps. Maps of average pollutant flux for $PM_{2.5}$, PM_{10} , SO_2 , and NO_2 for eastern China (top row) and the Beijing to Shanghai corridor (bottom row). Pollutant fluxes were computed as described in the text from changes in the interpolated hourly pollution fields along with contemporaneous wind and weather data. Due to sparse sampling and secondary transformations of pollutants in the atmosphere, apparent source fluxes are likely to appear more diffuse than the true emissions source.

Many of the SO_2 and NO_2 sources are also sources of PM pollution. This is not surprising since fossil fuel burning is also a major source of $PM_{2.5}$ and PM_{10} . However, the PM sources appear more diffuse than either the SO_2 or NO_2 sources. In part, this is caused by secondary particulate matter formed within the atmosphere from other pollutants, such as SO_2 or NO_2 (26). Secondary particulate formation may cause PM fluxes to appear more widely distributed than the underlying emitters. Nonetheless, many strong PM sources are identified through this analysis. Within the study region, 10% of the area is responsible for 34% of the $PM_{2.5}$ emissions, and 5% of the area is responsible for 22% of emissions. However, small and

moderate sources are also important. Approximately 37% of the study region had PM_{2.5} fluxes >0.5 µg/m³/hr, sufficient to exceed US EPA standards after only 3 days of stagnant air.

Discussion

We have presented a technique for mapping air pollution concentrations and sources using data from monitoring stations. As has been known from satellite and modeling studies, particulate pollution is an extensive problem affecting nearly all of China's population, but the observed heterogeneity of source locations could help develop strategies to reduce pollution.

We examined a four month interval as long-term station data were not available for most of China. Previous studies of both in situ and satellite data have indicated that winter and early spring months in China have somewhat higher PM concentrations due to increased use of fossil fuels for seasonal heating, weather patterns that concentrate pollution at low altitudes, and increased desert dust fluxes (12, 27). In contrast, the air in China is typically cleanest from late summer to early fall. The April 5 to August 5 study period is somewhat intermediate. A review of hourly PM_{2.5} station data from Beijing (2009-2014), Shanghai (2012-2014), Guangzhou (2012-2014), Chengdu (2013-2014), and Shenyang (2014) indicates that the months studied in this paper averaged 91%, 84%, 89%, 72%, and 73% respectively of the annual averages (U.S. Air Quality Monitoring Program, <http://www.stateair.net/web/mission/1/>). Monthly-resolved PM_{2.5} satellite data for the whole study region was not immediately available, but a monthly satellite history for Beijing reported that April-July averaged 99% of the annual mean during 2000 to 2012 (28). Hence, particulate pollution estimates drawn from the current short study period will likely be similar to or somewhat lower than long-term averages. Future work could explore seasonal variations and long-term trends.

During the four months studied, the population-weighted and area-weighted PM_{2.5} averages were 52 and 46 µg/m³ respectively. Satellite pollution datasets generally focus on annual or multi-year averages, which limits the ability to make direct comparisons. However, the available satellite estimates tend to be similar to or somewhat lower than the ground observations. An analysis of the larger East Asia region estimated a population-weighted PM_{2.5} exposure of 50 µg/m³ for 2001 to 2010 (28). A version of the same dataset masked to the current study region had an area-weighted average of 40 µg/m³ from 2010 to 2012 (28, 29). A different satellite estimate using similar observations but different calibrations and modeling gave 25

$\mu\text{g}/\text{m}^3$ for the 2008 to 2010 average over the present study region (30, 31). Both of these datasets show similar spatial patterns to what we observe (Figure 5), though the magnitudes in van Donkelaar et al.'s work (28) are clearly more consistent with our ground data estimates. As noted in previous satellite to in situ comparisons, satellite data may be more likely to underestimate pollution concentrations during the most extreme pollution events (28, 32).

The conversion of pollution concentrations to mortality is complicated. We adopt the framework (20) developed for the WHO Global Burden of Disease study (21) that considers $\text{PM}_{2.5}$ mortality due to impacts on five distinct diseases and accounts for nonlinearities as a function of concentration. Using prefecture level population and pollution data along with national average death rates for the five modeled diseases, we calculate that 1.6 million deaths / year can be attributed to $\text{PM}_{2.5}$ air pollution under the WHO model [95% confidence: 0.7 to 2.2 million deaths/year]. This is equivalent to 4 thousand deaths / day or 17% of all deaths in China. Additional details appear in the supplemental Text S1 and Table S2. For perspective, the categories of mortality events considered by the WHO model, e.g. cardiorespiratory deaths, account for roughly 55% of all Chinese deaths (21). This compares to only 42% of mortality in the United States in the same cardiorespiratory categories, despite much higher incidence of obesity in the United States (21). The calculated mortality is somewhat higher than the 1.2 million deaths/year previously estimated from the Huai River study using Chinese air pollution measurements and mortality data (3,4,33).

Though most of China is subject to potentially harmful levels of $\text{PM}_{2.5}$, some large population centers (Chongqing, Wuhan, Chengdu) emit less than half the $\text{PM}_{2.5}$ of others. Among northeastern cities, Beijing has relatively low emissions except for NO_2 . Low SO_2 fluxes may indicate cities that benefit from lower coal usage or better smokestack pollution controls. Compared to natural gas, coal produces 150 to 400 times more PM for the same energy delivered (34,35). China has plans for new coal plants in the next decade that could effectively double their coal consumption (36), potentially exacerbating the problem of air pollution. A table of pollution concentrations and fluxes by province and prefecture is included in the supplemental material (Table S2).

The methods of this study should be applicable to air quality monitoring in other regions of the world. However, these techniques require an extensive air-quality monitoring network with frequent updates (e.g. hourly), and such networks presently exist in only a few places. We

hope that other countries will follow China's lead and provide both extensive and transparent real-time air quality monitoring.

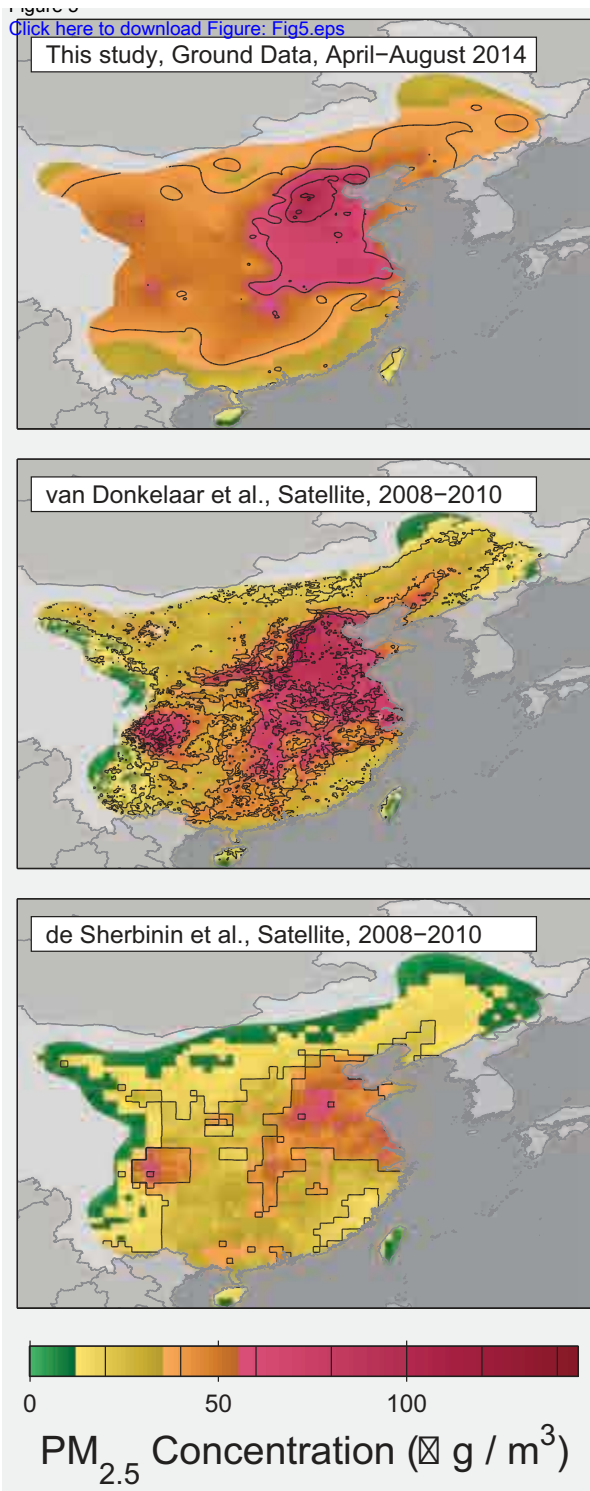


Figure 5. Comparison of PM_{2.5} observations to satellite data. Maps of average PM_{2.5} concentration from this study (top) and two satellite-derived datasets restricted to the same region. The average over the 2008 to 2010 time interval was chosen for the satellite data due to the limitations of the available satellite data. Both the concentrations reported by van Donkelaar (28) (middle) and those reported by de Sherbinin (30) (bottom) rely on similar satellite observations of aerosol optical depth (obtained by NASA), but interpret those observations differently when determining pollutant concentration. The satellite-derived data was imported from concentration data files provided by their respected sources and rendered via MATLAB to use the same US EPA health category color scheme applied in Figure 2 and 3.

Acknowledgments

We thank Elizabeth Muller for suggesting this study, Steve Mosher and Zeke Hausfather for discussion and feedback, and John Li and Xinyu Zhang for help with Chinese materials.

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