

## Review

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# Albumin and functionalized albumin nanoparticles: production strategies, characterization, and target indications

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## Abstract



The inherent properties of albumin facilitate its effective use as a raw material to prepare a nanosized drug delivery vehicles. Because of the enhanced surface area, biocompatibility, and extended half-life of albumin nanoparticles, a number of drugs have been incorporated in albumin matrices in recent years. Furthermore, its ability to be conjugated to various receptor ligands makes albumin an ideal candidate for the increased delivery of drugs to specific sites. The present review provides an in-depth discussion of production strategies for the preparation of albumin and conjugated albumin nanoparticles and for the targeting of these formulations to specific organs and cancer cells. This review also provides insights into drug loading, release patterns, and cytotoxicity of various drug-loaded albumin nanoparticles.

**Keywords:** albumin; drug delivery systems; drug liberation; nanoparticles; neoplasms

Neoplasms, a disease category including malignant cancers, are recognized as a principle cause of mortality worldwide. The most common treatments include radiation therapy, chemotherapy, and surgery. Surgery is considered to be the first most successful treatment for various types of solid tumors. In radiation therapy, high-energy gamma radiation from various radioactive elements is used to kill cancer cells, shrink tumors, and arrest the division and growth of cancer cells [1]. Chemotherapy involves the administration of anticancer drugs in multiple cycles; normal tissues may also be adversely affected by chemotherapy. Renal and hepatic toxicity and lower availability of the drug at the site of action are the major disadvantages of chemotherapy. A biocompatible and target-specific drug delivery vehicle could address the major concerns for this mode of treatment.

The efficiency and specificity of drug action can be improved by using target-specific nanocarrier systems. These include quantum dots [2], dendrimers [3], magnetic nanoparticles [4–6], solid-lipid nanoparticles [7–9], ceramic nanoparticles [3], colloidal nanoliposomes [10], polymeric and functionalized polymeric nanoparticles [11, 12], metal and inorganic nanoparticles [13], phospholipid micelles [14], and polymeric micelles [15]. Over the past few decades, a number of drug delivery systems have been introduced to improve the treatment of various disorders and diseases. Albumin, in its various forms, provides broad opportunities in the area of targeted drug delivery [16, 17]. Among the available colloidal drug carriers for the treatment of cancer, protein-based nanocarriers are promising because of their storage stability, relative lack of toxicity and antigenicity, biodegradability,

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and ease of scale-up [18]. Its high solubility in water and ease of purification make albumin a classical candidate both for nanoparticle preparation and as a versatile drug carrier that can be easily delivered through injection [19]. The amine and carboxyl groups present in the albumin molecules can be utilized for the incorporation of drug and other molecules into the albumin nanoparticles via covalent bonding [19].

The present review is intended to specifically focus on an in-depth discussion about the production strategies for preparing albumin and conjugated albumin nanoparticles and provides insights into drug-loading and -release patterns, target indications, and cytotoxicity of various drug-loaded albumin nanoparticles.

## Search strategy

A search was performed across electronic databases. Articles relevant to the production and applications of albumin nanoparticles published up to July 2020 were retrieved from Scopus, PubMed, and Google Scholar using the following keywords: “Albumin nanoparticles” or “Functionalized albumin nanoparticles” or “Surface modified albumin nanoparticles” or “Drug loaded albumin nanoparticles” and “Desolvation process” or “Thermal gelation” or “Emulsification process” or “Nab technology” or “Self-assembly” and “Targeted drug delivery systems”.

## Albumin types

### Human serum albumin

Human serum albumin (HSA) functions as a carrier protein in the blood to bind and transport fatty acids [20], thyroid hormones [21], steroids [22], endogenous ligands, metabolites, and drugs [23], and plays a vital role to stabilize extracellular fluid volume [24]. HSA is the most abundant of all plasma proteins (60%) and is a water-soluble globular protein present at 35–50 g protein/L of human serum. It has an average half-life of 19 days and helps to regulate the blood pH and maintain the colloidal osmotic blood pressure [25]. Serum albumin binds Ni(II), Cu(II), Zn(II), and Ca(II) and also functions as a transport vehicle for these metal ions in the blood [25]. Amino acids produced after degradation of albumin provide nutrition to surrounding tissues. It is a low-molecular-weight (66,500 Da) single-chain protein consisting of 585 amino acid residues [26].

The heart-shaped structure is composed of Domain I (residues 1–195), Domain II (residues 196–383), and Domain

III (residues 384–585), which are bound together via weak physical forces of attraction. HSA contains 35 cysteine residues, of which 34 form disulfide bridges and contribute to its high stability; the one free sulfhydryl group at position 34 (Cys-34), located on the protein surface, facilitates the covalent conjugation of drug and dimerization [27].

### Bovine serum albumin

Bovine serum albumin (BSA) or Fraction V, the major protein of bovine blood plasma, has a molecular weight of 66,463 Da and an isoelectric point of 4.7. The precursor protein of BSA contains 607 amino acids along its full length. Upon secretion, an 18-residue signal protein is cleaved from the *N*-terminal of the precursor protein, resulting in a protein product containing 589 amino acid residues [28]. After cleavage of 6 amino acid residues, the mature BSA protein contains 583 amino acids. Because of its low cost, biodegradability, ease of purification, and favorable drug-ligand-binding and encapsulating properties, it has found wide applications in drug delivery and in the diagnosis of diseases such as malaria, tuberculosis, and acquired immunodeficiency syndrome (AIDS) [18]. The chemical composition, spatial structure, and biological properties of BSA are quite similar to those of HSA. Although the 2 proteins are very similar in their amino acid composition (76%), BSA contains 2 tryptophan residues compared with 1 residue in HSA.

### Ovalbumin

Ovalbumin also known as egg albumin, the first protein isolated in pure form, is the major globular protein of avian egg white, with an isoelectric point of 4.8. It belongs to the serpin family, but does not show any protease inhibitor activity. Ovalbumin is a glycoprotein with a molecular weight of 47,000 Da and is composed of 385 amino acid residues [29]. Individual ovalbumin molecules contain 6 cysteine residues, of which 2 are involved in internal disulfide linkage, while 4 are free as sulfhydryl groups. Ovalbumin has found widespread application in the food industry because of its ability to form foams and gel networks.

### Albumin nanoparticle preparation strategies

The synthetic method adopted for the production of nanoparticles can use either a top-down or bottom-up approach. The top-down approach involves the breaking up of larger materials into nanoparticles through ball milling, etching, photolithography, or grinding. The particles produced are generally large, and the method is time consuming.

The bottom-up approach refers to the fabrication of nanoparticles atom by atom, molecule by molecule, or cluster by cluster. The process proceeds through chemical reactions under controlled conditions in a liquid or gas phase, which results in nucleation and nanoparticle growth. The bottom-up process includes high-pressure homogenization, desolvation, coprecipitation, salting out, nanoprecipitation, solvent emulsification–diffusion technique, nanospray drying, self-assembly, thermal gelation, microfluidic technique, and nab technology [30]. The principal techniques adopted for the production of albumin and functionalized albumin nanoparticles involve desolvation, thermal gelation, emulsification, nab technology, self-assembly, and nanospray drying. A schematic representation of the different processes used for the preparation of albumin nanoparticles is shown in **Figure 1**.

**Drug loading, entrapment efficiency, and nanoparticle yield**

The drug-loading capacity of the nanoparticles and their entrapment efficiency can be calculated by determining the drug

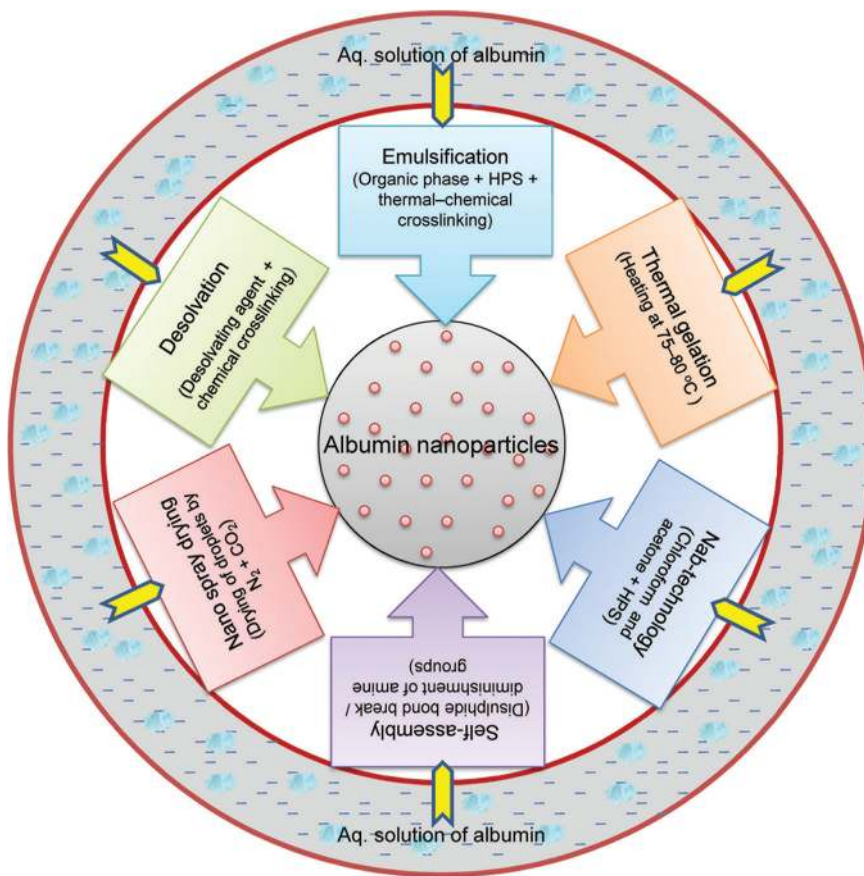
present in the supernatant using an ultraviolet (UV)–visible spectrophotometer or high-performance liquid chromatography (HPLC) system. Drug loading is the ratio of the amount of drug present in the drug–albumin nanoparticle to the total weight of the nanoparticles obtained [31, 32].

$$\text{Drug-loading capacity (\%)} = \frac{\text{Total weight of drug} - \text{Drug present in supernatant}}{\text{Total weight of nanoparticles}} \times 100$$

Drug entrapment/encapsulation efficiency can be calculated as the ratio of the drug present in the nanoparticles to the total weight of drug taken [31, 32].

$$\text{Drug entrapment efficiency (\%)} = \frac{\text{Total weight of drug} - \text{Drug present in supernatant}}{\text{Total weight of drug}} \times 100$$

Drug–albumin nanoparticle yield is the ratio of the weight of drug–albumin nanoparticles obtained at the completion of the process to the total weight of the albumin and drug used during nanoparticle formulation [32].



**Figure 1.** Various processes for the preparation of albumin nanoparticles.

$$\text{Nanoparticle yield (\%)} = \frac{\text{Weight of drug-loaded albumin nanoparticles}}{\text{Total weight of (albumin + drug)}} \times 100$$

Li et al. [31] calculated the nanoparticle yield by quantifying the nondesolvated albumin using a standard bicinchoninic acid protein assay as only the desolvated albumin will participate in the drug encapsulation process.

$$\text{Nanoparticle yield (\%)} = \frac{\text{Total weight of albumin} - \text{Weight of free albumin}}{\text{Total weight of albumin}} \times 100$$

### Drug release pattern and cytotoxic studies

The release behavior of the drug from drug-loaded albumin nanoparticles can be evaluated *in vitro* by determining the amount of drug released from a fixed volume of samples withdrawn from the exterior of a dialysis bag at various intervals. In brief, a calculated amount of aqueous solution of drug-loaded albumin nanoparticles is sealed in a dialysis bag. The bag is then immersed in a known volume of phosphate-buffered saline (PBS) (pH 7.4) incubated at 37 °C with stirring at 100 rpm. A small amount of sample is withdrawn at predefined time points from the exterior of the bag in the release medium, and the same amount of fresh PBS solution is added to maintain a fixed volume. Each withdrawn sample is centrifuged, and the amount of drug in the supernatant is determined by HPLC or fluorescence/UV-visible spectrophotometry. A plot of the cumulative drug release against time provides a better understanding of the release pattern [33]. Cell viability and cytotoxicity are generally

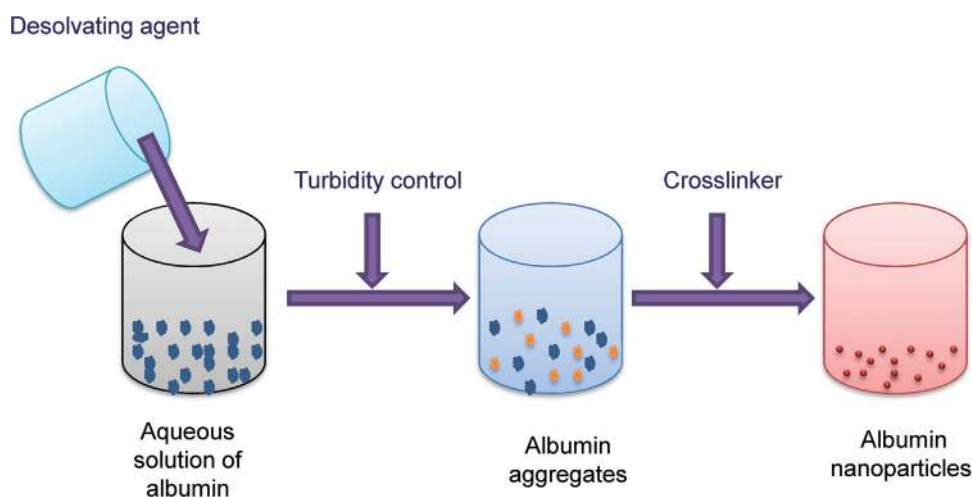
assessed *in vitro* using 3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyltetrazolium bromide (MTT) reduction [33].

### Desolvation or coacervation method

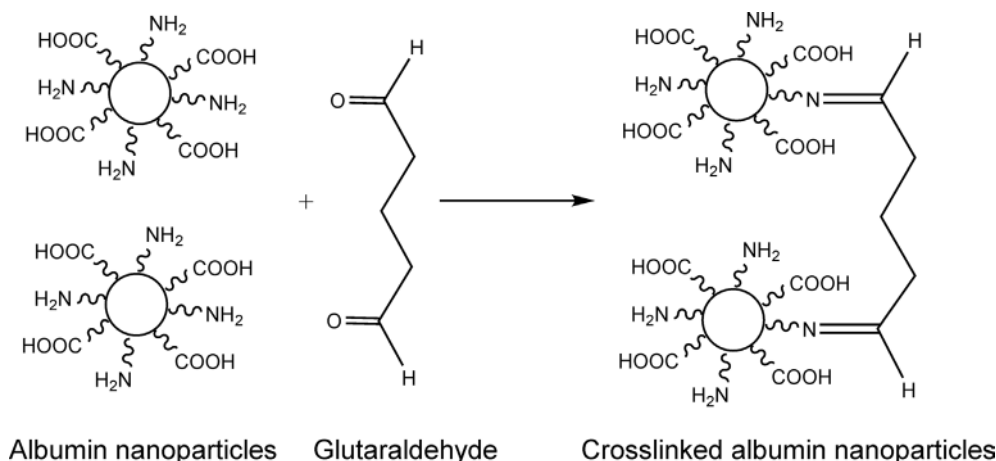
The desolvation process involves the continuous or intermittent dropwise addition of a desolvating agent (methanol, acetone, or ethanol) into an aqueous solution of albumin with constant stirring until turbidity appears, indicating the formation of nanoparticles [18]. Nanoparticles thus formed are not stable and could redissolve in water or may aggregate. Therefore, the nanoparticles formed are stabilized using a cross-linker (glutaraldehyde or *N*-(3-dimethylaminopropyl)-*N*-ethylcarbodiimide [EDC]) [34] (**Figure 2**). Glutaraldehyde hardens the nanoparticles by condensation cross-linking of the amino moieties present in the albumin side chain (**Figure 3**), while EDC stabilizes the nanoparticles by forming a peptide bond between the amino and carboxyl groups of amino acids. Stirring is continued for 6 h to ensure the cross-linking of all amino acid residues [35].

The process parameters for production of albumin nanoparticles using the desolvation process are represented in **Table 1**.

Langer et al. [18] reported a desolvation process for the preparation of HSA nanoparticles of a controllable diameter (150–250 nm) with narrow size distribution. To optimize the preparation process, various process parameters, *viz.*, rate of ethanol addition, pH value, HSA concentration, and glutaraldehyde amount, were examined. Pump-controlled addition of ethanol had no considerable effect on particle size [18]. The results indicate that the pH of the HSA solution is the key parameter in effectively controlling the particle size,



**Figure 2.** Desolvation process for preparation of albumin nanoparticles.



**Figure 3.** Chemical cross-linking of albumin nanoparticles.

**Table 1.** Process parameters for production of albumin nanoparticles by desolvation

Albumin used	Preparation conditions	Particle diameter (nm), approximate	Zeta potential	Reference
HSA	HSA: 100 mg/mL in 10 mM NaCl, pH: 8.2; ethanol addition rate: 1 mL/min; cross-linker: 8% glutaraldehyde; stirring rate: 500 rpm	150	−44 mV	[18]
BSA + HSA	Protein: 250 mg/4 mL; stirring rate: 1,250 rpm; desolvating agent: ethanol; cross-linker: 5 mg EDC; stirring time: 3 h	100	BSA: −35 mV HSA: −29.6 mV	[35]
BSA	BSA: 50 mg/mL in 10 mM NaCl, pH: 7; cross-linker: 8% glutaraldehyde; desolvating agent: ethanol	100	–	[36]
BSA	BSA: 100 mg in 10 mM NaCl, pH: 7.0; ethanol addition rate: 1 mL/min; cross-linker: 8% glutaraldehyde; stirring rate: 500 rpm; stirring time: 30 min	100–300	–	[37]
BSA	BSA: 1% solution, pH: 6.0; ethanol addition rate: 1 mL/min; cross-linker: glutaraldehyde; stirring rate: 700 rpm	100	–	[38]
BSA	BSA: 100 mg/mL, desolvating agent: ethanol; stirring rate: 500 rpm; pH: 8.2; cross-linker: 8% glutaraldehyde	139	−50 mV	[39]
Egg white	Egg white: 10%, pH 7.0; desolvating agent: ethanol; stirring rate: 550 rpm; cross-linker: 8% glutaraldehyde	100	−28 mV	[40]

BSA, bovine serum albumin; EDC, *N*-(3-dimethylaminopropyl)-*N*-ethylcarbodiimide; Egg white = crude ovalbumin; HSA, human serum albumin.

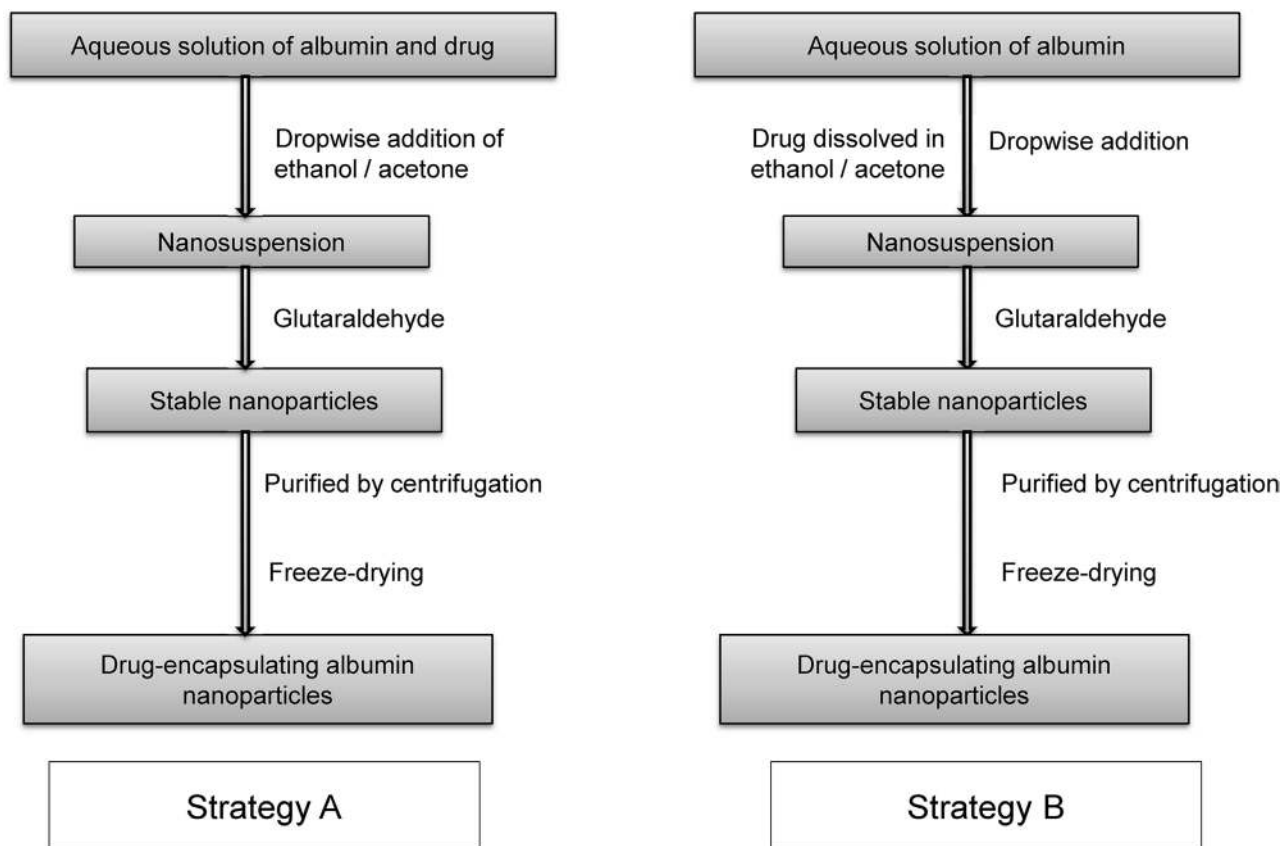
with higher pH leading to smaller nanoparticles. Washing the particles in an alkaline medium with differential centrifugation produces nanoparticles of smaller diameter with narrower size distributions [18]. Esfahlan et al. [35] used EDC as a cross-linker for the rapid production of albumin nanoparticles. They used a self-developed mechanism for the controlled addition of the desolvating agent. The result shows that nanoparticles of around 100 nm diameter and polydispersity <0.2 were obtained without using salt and buffer.

A major issue with protein nanoparticles is their size inconsistency and colloidal instability due to their high tendency to agglomerate. Galisteo-González and Molina-Bolívar [36] found that BSA nanoparticles dispersed in an aqueous phase were stable even after 2 months at 4 °C, without the particle diameter and size distribution being affected. Colloidal nanoparticles

can serve as a drug carrier system only if they are taken up by the cells. Cellular uptake studies of HSA nanoparticles with primary human blood-derived macrophages were performed by Langer et al. [18] using fluorescence-activated cell sorting analysis and confocal microscopic imaging. The results showed that at concentrations >100 mg/mL of HSA, nearly all cells took up HSA nanoparticles. The trace amounts of the cross-linker and organic solvents (methanol or ethanol) used in the desolvation process exert toxic effects on the biological system.

### Strategies for drug loading

The amine and carboxyl groups present in albumin can be used to incorporate drug and other molecules with albumin



**Figure 4.** Preparation of drug-loaded albumin nanoparticles using desolvation process.

nanoparticles via covalent bonding. Depending on the solubility of the drug in water, two strategies are generally adopted to prepare drug-loaded albumin nanoparticles using the desolvation process (**Figure 4**). For water-soluble drugs, the drug is added to an aqueous solution of albumin at a fixed pH, and the desolvating agent (ethanol/acetone) is added dropwise with constant stirring; the nanoparticles thus formed are stabilized through cross-linking with glutaraldehyde [31]. For water-insoluble drugs, the drug is dissolved in ethanol/acetone, which is then added dropwise as a desolvating agent to an aqueous solution of albumin at fixed pH. The nanoparticles thus obtained are stabilized using glutaraldehyde [32].

Albumin nanoparticles can be effectively used as carriers for the targeted delivery of bioactive molecules and anticancer drugs. There are numerous reports about the preparation of drug-loaded albumin nanoparticles using the desolvation process [41, 42]. Dreis et al. [43] developed a standard protocol for the production of doxorubicin-loaded HSA nanoparticles. The size and stability of the nanoparticles varied with the pH value, amount of cross-linker, and concentration of doxorubicin used in the desolvation process. Nanoparticles of diameter 150–500 nm with a loading efficiency of 70%–95% were obtained at 20.0 mg/mL HSA and 1.0 mg/mL doxorubicin, with 100%

cross-linking, without adjusting the pH (6.5) of the reaction medium. The nanosuspension was stable for up to 6 months at 4 °C with no change in particle size. The doxorubicin-loaded nanoparticles thus prepared showed enhanced inhibition of the proliferation of neuroblastoma cell lines, compared with the effect of doxorubicin solution [43]. Response surface methodology was used for process optimization and preparation of curcumin-loaded ovalbumin nanoparticles. Curcumin-loaded ovalbumin nanoparticles showed enhanced solubility (34%) and stability for curcumin. Nanoparticles of 232 nm with entrapment efficiency of 55% and loading capacity of 4% were obtained [32]. Li et al. [31] used a desolvation process to prepare sodium ferulate (SF) entrapped in BSA nanoparticles (SF-BSA-NPs) with ethanol and glutaraldehyde as the desolvating agent and the cross-linker, respectively. The negatively charged 100 to 200 nm nanoparticles exhibited loading capacity of 16% and entrapment efficiency of about 80%. The pattern of release from nanoparticles in vitro showed an initial burst effect, followed by a sustained release of SF. After administration of SF-BSA-NPs, there was a much higher distribution of the drug in the liver compared with that of free SF in solution [31]. The spherical BSA-entrapped ginsenoside Compound K (CK) (BSA-CK) nanoparticles are stable in

biological buffer, whereas they degrade readily under acidic conditions. In vitro, these nanoparticles containing ginsenoside CK demonstrate enhanced solubility and therapeutic index toward an HT29 colon cancer cell line, an A546 lung cancer cell line, a HepG2 hepatocarcinoma cell line, and a HaCaT skin cancer cell line [44].

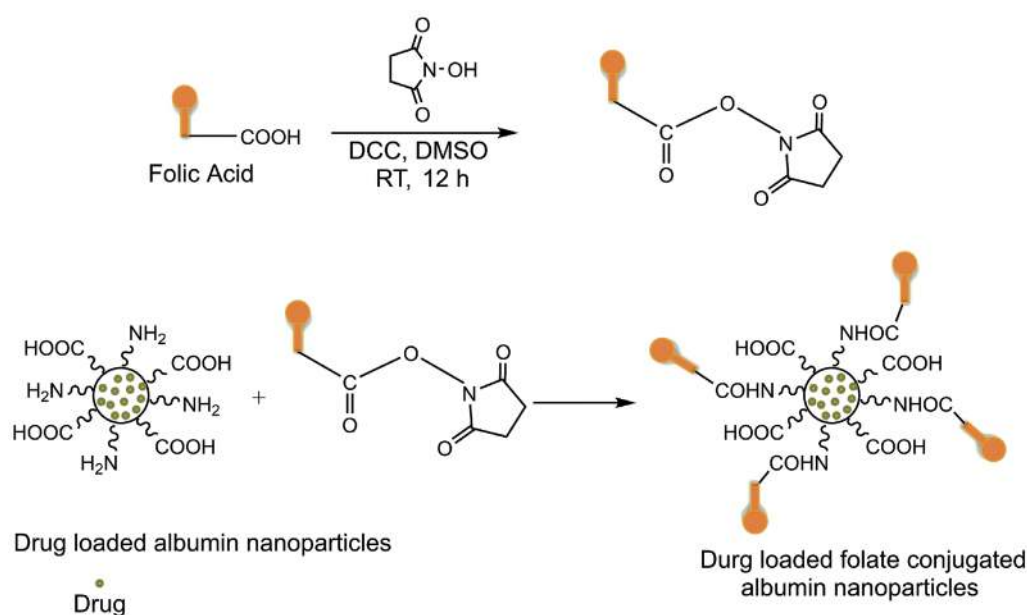
Salicylic acid (SA) undergoes 50% decomposition when administered orally to adults [33]. To increase the bioavailability of SA, BSA nanoparticles entrapping SA have been synthesized at various pH values and characterized by field emission scanning electron microscopy and transmission electron microscopy. The release of SA in vitro was studied through fluorescence in phosphate-buffered conditions. The results showed an instant release of SA with a progressive increase up to 120 min; subsequently, the release rate of SA decreases and after 400 min, it remains almost constant [33].

The increased surface area of the nanoscale particles makes them ideal candidates for surface functionalization and modification [45]. To improve the targeting efficiency of the carrier and the therapeutic index of the associated drug, it is desirable to incorporate target-specific ligands into nanoparticulate systems. The ligands have the specific ability to recognize and bind to the unique receptors present on particular cancer cells [46]. Because of the presence of carboxyl and amine groups on the surface of albumin, various surface modifications, enrichment, and functionalization of albumin nanoparticles are possible through covalent bonding between the desired ligands and functional groups. A number of receptors have been identified by researchers as being overexpressed on various types of cancer cells. Folic acid

receptors are consistently overexpressed in a wide variety of human cancer cells (tumors of the kidney, lung, breast, cervix, ovary, and brain), with limited expression in healthy tissues [3].

Folic acid is stable over a wide range of pH values and temperatures, is nonimmunogenic and inexpensive, and has the specific ability to bind to folate receptors after conjugation with the drug-loaded albumin nanoparticles (**Figure 5**). After binding with receptors, folates are internalized into the cell via an endocytic pathway. A slight decrease in pH (to about 5) alters the conformation of receptors, resulting in the detachment of folate from the receptor and the release of the cytotoxic drug into the cell [3]. To overcome the poor water solubility and nonspecific targeting of bexarotene (BEX), Qi et al. [47] prepared folate-conjugated BEX-BSA nanoparticles using a desolvation process. The resultant nanoparticles had narrow size distribution, with particle diameter and surface charge of about 200 nm and  $-33.64$  mV, respectively. A549 and MCF-7 cancer cell lines show the excellent binding of folate to cancer cells in vitro, thereby increasing the potency and specificity of BEX, promoting apoptosis of tumor cells, and reducing the cytotoxicity of the drug to A549 cells [47].

Chen et al. [48] prepared folate-conjugated HSA nanoparticles by first activating folic acid using EDC and then conjugating it to HSA. Folate-conjugated HSA magnetic cisplatin nanoparticles were synthesized by mixing folate-HSA,  $\text{Fe}_3\text{O}_4$  magnetic nanoparticles, and cisplatin at pH 9.0 using ethanol as a desolvating agent, with subsequent cross-linking using glutaraldehyde. The resultant nanoparticles were centrifuged at  $7,100 \times g$ , washed with water, and dried under vacuum. The cisplatin-loaded magnetic nanoparticles



**Figure 5.** Preparation of drug-loaded folic acid-decorated albumin nanoparticles.

of 79 nm diameter exhibited 15% loading capacity and 90% entrapment efficiency [48]. The drug release pattern of nanoparticles is sustained for 24 h. Magnetic nanoparticles can be selectively concentrated on the targeted tumor sites by the application of a static magnetic field. Upon changing the magnitude of the field, the magnetic nanoparticles will absorb electromagnetic energy to raise the local temperature in the range of 42–46 °C to kill tumor cells. Thus, the magnetic drug-loaded folate-conjugated nanoparticles demonstrate a combination of target-specific chemotherapy and thermotherapy.

A desolvation process was adopted by Nosrati et al. [49] to prepare chrysin (CHA)-loaded BSA nanoparticles (CHA-BSA). To make them target specific, folic acid was conjugated to its surface via carbodiimide chemistry. The resultant spherical nanoparticles (CHA-BSA-FA) were of 97.5 nm diameter with zeta potential of –11.0 mV. The release behavior of CHA-BSA-FA nanoparticles was studied in vitro over 168 h in phosphate-buffered conditions at pH 7.3 and 5.8. There was an initial release of 20% drug in 10 h at both pH. By contrast, at pH 5.8, there was 57% release, but only 35% sustained release was observed at pH 7.3 in 96 h and a plateau level was achieved after 120 h. An MTT cytotoxicity assay showed that the folate-conjugated nanocarrier exhibited enhanced inhibition of MCF-7 cancer cells compared with CHA-BSA nanoparticles and plain CHA solution [49].

Due to the negative charge on the surface of albumin, low loading of negatively charged drugs is expected during drug loading of albumin nanoparticles [50]. Mohammad-Beigi et al. [50] prepared positively charged polyethyleneimine (PEI)-coated HSA (PEI-HSA) nanoparticles via covalent bonding between the carboxyl group of albumin nanoparticles and the amine group of PEI using EDC and *N*-hydroxysuccinimide (NHS). Electrostatic adsorption of gallic acid (GA) on the surface of PEI-HSA nanoparticles leads to the formation of GA-loaded PEI-HSA (GA-PEI-HSA) nanoparticles of diameters 117 nm and 180 nm. Loading capacity depends on the size of the PEI-HSA nanoparticles and the ratio of GA to nanoparticles [50]. Compared with plain HSA nanoparticles, Mohammad-Beigi et al. [50] found enhanced loading of GA onto PEI-HSA nanoparticles. The significant burst release of 86% GA, observed for GA-HSA nanoparticles in 1 h, may be due to the electrostatic repulsion between GA and HSA nanoparticles, while about 30% of burst release in 1.5 h, with sustained release of about 50% of GA in 48 h, was observed in the case of PEI-coated HSA nanoparticles. Cytotoxic studies of GA-PEI-HSA nanoparticles at high concentration showed no significant toxicity to PC-12 cells, suggesting a very slow release of GA from the nanoparticles [50].

Doxorubicin has numerous side effects, including causing heart failure. To reduce its deleterious effects, various delivery systems have been developed. Abbasi et al. [51] developed doxorubicin-loaded PEI-enhanced cationic HSA nanoparticles with a diameter of about 137 nm to improve the therapeutic index and to reduce the deleterious side effects of doxorubicin. The positive surface charge is considered to improve the penetration of nanoparticles into cells, which results in an increase in cell transfection. The cytotoxic results against breast cancer cells (MCF-7) exhibit a greater decrease in cell viability for doxorubicin-loaded PEI-HSA-NPs compared with doxorubicin-HSA-NPs and plain doxorubicin after 144 h [51].

A modified desolvation process, which involves the ultrasonication of tamoxifen-HSA solution prior to the addition of desolvating agent (ethanol), was adopted to form tamoxifen-HSA nanoparticles, with an enhanced drug entrapment efficiency of 10% and drug-loading capacity of 2% [52]. A polyethylene glycol (PEG) modification (PEGylation) of tamoxifen-HSA nanoparticles was achieved using maleimide (Mal)-PEG5000-NHS, involving the reaction of free amino groups available on the nanoparticles with the NHS ester end of Mal-PEG-NHS. The release of tamoxifen was studied in vitro at pH 7.4 (PBS) in the presence of sodium dodecyl sulfate for PEGylated and non-PEGylated tamoxifen-HSA nanoparticles at 4 °C and 37 °C [52]. A slower burst release with sustained release of tamoxifen up to 95 h was observed for PEGylated HSA nanoparticles compared with non-PEGylated HSA nanoparticles, which showed a cumulative 100% release of tamoxifen in 78 h. The prepared nanoparticles showed no significant change in their physicochemical properties for up to 6 weeks. A slight increase in particle diameter from 195 nm to 213 nm was observed after storage for 3 months at room temperature [52].

Using a desolvation process, Li et al. [53] optimized the preparation of oridonin-loaded galactosylated-BSA nanoparticles (oridonin-GM-NPs) having a diameter of 200 nm, surface charge of –30 mV, entrapment efficiency of 63%, and loading capacity of 5%. Galactosylated-BSA nanoparticles (GM-NPs) were synthesized via an amidation coupling between the free amino groups of BSA and lactobionic acid using EDC and NHS. The in vitro oridonin release profile in PBS (pH 7.4) for oridonin-BSA-NPs and oridonin-GM-NPs shows an initial burst, followed by the sustained release of oridonin up to 70 h, with no significant difference between the two nanoparticles [53]. The specific ability of galactosamine to recognize and bind to the receptors present on the hepatoma cell surface motivated Shen et al. [54] to prepare doxorubicin-loaded galactosamine-containing albumin nanoparticles (GAL-doxorubicin-ANs) for the targeted delivery of doxorubicin to liver cancer cells. The



desolvation process involving glutaraldehyde cross-linking was used to prepare spherical nanoparticles of about 200 nm. They found enhanced ability of GAL-doxorubicin-AN to inhibit the growth of HepG2 cells, compared with doxorubicin-AN, through the rapid internalization of nanoparticles into HepG2 via the formation of a ligand (GAL)–receptor complex. A very slow release of doxorubicin (only 12% in 160 h) in the absence of trypsin reduces the side effect of chemotherapy, making GAL-AN an ideal carrier for targeted therapy for liver cancer [54].

Due to the inherent ability of gold nanorods to absorb near-infrared (IR) light [55, 56], Peralta et al. [57] used desolvation and a cross-linking approach to prepare gold nanorod-encapsulated HSA (AuNR-HSA) nanocarrier of diameter 100–300 nm to provide combined therapy (targeted chemotherapy and photothermal therapy) for the treatment of cancer. They loaded paclitaxel onto the nanocarrier to form paclitaxel-AuNR-HSA nanoparticles. After irradiation with near-IR light for only 15 min, the temperature of the bulk nanoparticle solution reaches 46 °C, which provides cellular hyperthermic action. A 4T1 mouse breast cancer cell showed 94% of cell death after irradiation with near-IR light for only 1 session of 15 min, while only 82% cell death was found without irradiation. The enhanced cytotoxic effect is due to the combined therapy in which paclitaxel-resistant cells are killed through hyperthermia, while cells that are resistant to heat are killed by the chemotherapeutic action of paclitaxel. In addition to the hyperthermic action, the photothermal approach also triggers the release of paclitaxel to the targeted tumor sites [57]. **Table 2** shows the characterization, target indications, drug release pattern, and cytotoxicity of albumin and the functionalized albumin nanoparticles prepared by the desolvation process.

### Emulsification method

Emulsification, one of the most established methods for preparing polymeric nanoparticles, has been effectively used to produce albumin nanoparticles [69, 70]. The single emulsion method (**Figure 6**), involves the high-speed homogenization/ultrasonication of the mixture formed by adding an aqueous phase containing albumin to an oily organic phase. The nanoemulsion thus formed can be stabilized through chemical cross-linking with glutaraldehyde or thermal treatment by heating to temperatures >120 °C [71, 72]. Solvent diffusion and low-pressure solvent evaporation (using a rotary evaporator) are generally used to remove the organic solvents [73]. The nanoparticles collected after ultracentrifugation can be freeze-dried to obtain albumin nanopowder.

The emulsion–solvent evaporation technique was used successfully to prepare paclitaxel-loaded HSA nanoparticles. The process involves the high-pressure homogenization of an emulsion formed by mixing an organic phase containing paclitaxel in  $\text{CHCl}_3\text{--C}_2\text{H}_5\text{OH}$  with an aqueous solution of albumin [19]. The results showed that homogenization pressure of >15,000 psi with 12 homogenization cycles produced nanoparticles of minimum size (248 nm diameter) with a surface charge of –18 mV. The particle diameter increased with increasing HSA concentration, while an inverse trend in zeta potential was observed. Variations in  $\text{CHCl}_3\text{--C}_2\text{H}_5\text{OH}$  (94:6) concentration from 3% to 10% in HSA emulsion showed that the particle diameter decreased with an increase in  $\text{CHCl}_3\text{--C}_2\text{H}_5\text{OH}$  concentration. An inverse trend in particle diameter was observed on increasing the amount of paclitaxel. The release of paclitaxel from paclitaxel-HSA-NPs in vitro exhibited an initial burst, followed by sustained release of 63% of paclitaxel in 48 h. The prepared nanoparticles showed drug concentration-dependent cell toxicity toward the human breast cancer cell line MCF-7 [19].

10-Hydroxycamptothecin (HCPT) exhibits minimum anticancer activity in its carboxylate form. To improve the anticancer activity and stability of HCPT in its lactone form, Lei et al. [74] prepared HCPT-entrapped BSA nanoparticles to target hepatocarcinomas using a modified emulsion–heat stabilization technique. The nanoparticles were prepared by adding an aqueous solution of HCPT and BSA in NaOH to castor oil containing Span 80 with stirring, followed by homogenization at high pressure. The resultant emulsion was added dropwise to preheated (140 °C) castor oil for thermal cross-linking. In the resultant BSA nanoparticles, concentration of the lactone form of HCPT could be improved by 95%. Under optimized preparation conditions, the mean diameter of the spherical nanoparticles was 600 nm, with HCPT loading of 2.21% and encapsulation efficiency of 58%. More than 90% release of HCPT in vitro was found at pH 7.4 in trypsin medium. A body distribution analysis found a higher concentration of the lactone form of HCPT in the liver, compared with the concentration in the kidney and heart [74].

Guo et al. [75] reported teniposide-loaded HSA nanoparticles (HSA-teniposide-NPs) produced by ultrasonically mixing organic and aqueous phases. The HSA-teniposide-NPs obtained after solvent evaporation were coated with poly(L-glutamic acid)-g-methoxy PEG (P) and chitosan (CS) polymers to obtain 2-layered coated albumin nanoparticles (P-CS-NP). The multilayered teniposide-loaded HSA nanoparticles of diameter 80 nm and zeta potential of –4 mV exhibited 2.2% effective loading capacity and 61% entrapment efficiency. The teniposide release was studied at pH 5.5, 6.8, and 7.4 in

**Table 2.** Characterization, target indications, drug release pattern, and cytotoxicity of albumin and functionalized albumin nanoparticles prepared by desolvation process

Albumin used	Functionalizing or conjugating agent	Drug or antioxidant loaded	Target indication	Outcome or application†	Reference
Egg albumin	–	Curcumin (CUR)	–	<ul style="list-style-type: none"> <li>• Particle diameter: 232 nm, DLC: 4.125%, DEE: 55%</li> <li>• Enhanced solubility of CUR</li> <li>• RSM was used for process optimization</li> </ul>	[32]
HSA	–	Doxorubicin	Neuroblastoma (UKF-NB3 and IMR-32 cell lines)	<ul style="list-style-type: none"> <li>• Particle diameter: 158.5 nm, ZP: –31.9 mV, DEE: 95%</li> <li>• Stable up to 6 months at 4 °C</li> <li>• Increased inhibition of UKF-NB3 and IMR-32 cell lines, compared with free doxorubicin</li> </ul>	[43]
BSA	–	Ginsenoside CK	Lung, skin, colon cancer, and hepatocarcinoma (A549, HaCaT, HT29, and HepG2 cell lines)	<ul style="list-style-type: none"> <li>• Particle diameter: 30–50 nm, ZP: –70.8 mV.</li> <li>• Nanoparticles were stable at biological pH and were readily degraded in acidic conditions, enhanced water solubility of ginsenoside CK.</li> <li>• Increased antiproliferative activity toward HT29, A546, HepG2, and HaCaT cancer cell lines.</li> </ul>	[44]
BSA	–	Salicylic acid (SA)	–	<ul style="list-style-type: none"> <li>• Particle diameter: 110 nm, ZP: –33.2 mV, DEE: 55% at pH 7.4</li> <li>• Increases the bioavailability of SA</li> <li>• Instant release, progressive up to 120 min, then constant release of SA up to 400 min</li> </ul>	[33]
BSA	Folic acid	Tamoxifen (TMX)	Breast cancer	<ul style="list-style-type: none"> <li>• Particle diameter: 76–417 nm, ZP: –10 to –43 mV</li> <li>• Folic acid: for selective delivery of TMX</li> <li>• Rapid in the first 2 h, followed by sustained release of TMX up to 24 h. Enhanced release in acidic conditions</li> <li>• Enhanced cellular uptake and effective in reducing carcinoma cell survival</li> </ul>	[46]
BSA	Folic acid	Bexarotene (BXT)	Breast cancer (MCF-7 cell line)	<ul style="list-style-type: none"> <li>• Particle diameter: 195.3 nm, ZP: –33.64 mV, DEE: 65%, DLC: 1.7%</li> <li>• Folate receptors are overexpressed in MCF-7</li> <li>• Initial burst release (39% in 12 h), followed by up to 69% of slow release of BXT in 48 h, then sustained release up to 72 h</li> <li>• Greater antiproliferative effect on MCF-7 cells</li> </ul>	[47]
HSA	Folic acid and magnetic nanoparticles	Cisplatin	–	<ul style="list-style-type: none"> <li>• Particle diameter: 9 nm, DLC: 5.3%, DEE: 90%</li> <li>• Folate receptors are overexpressed in cancer cells</li> <li>• Sustained release of cisplatin for 24 h without burst release</li> <li>• The nanocarrier has selective chemotherapy action, along with thermotherapy</li> </ul>	[48]

(Continued)

**Table 2.** Continued

Albumin used	Functionalizing or conjugating agent	Drug or antioxidant loaded	Target indication	Outcome or application†	Reference
BSA	Folic acid	Chrysin (CHA)	Breast cancer (MCF-7 cell line)	<ul style="list-style-type: none"> <li>• Particle diameter: 97.5 nm, ZP: –11.0 mV</li> <li>• Folate receptors are overexpressed in cancer cells</li> <li>• Initial burst (20%) in 10 h, followed by cumulative 57% sustained release of CHA up to 96 h</li> <li>• Enhanced cellular uptake and cytotoxicity toward MCF-7 cell line</li> </ul>	[49]
HSA	PEI	Gallic acid (GA)	Parkinson disease (PC-12 neuro-endocrine cell line)	<ul style="list-style-type: none"> <li>• Particle diameter: 117 nm and 180 nm, ZP: +35.2 mV and +34.2 mV, respectively</li> <li>• DLC and DEE depend on GA-to-PEI-HSA ratio,</li> <li>• Initial burst (30%) in 1.5 h, followed by sustained release of GA up to 48 h.</li> <li>• No significant toxicity toward PC-12 cells</li> </ul>	[50]
HSA	PEI	Doxorubicin	Breast cancer (MCF-7 cell line)	<ul style="list-style-type: none"> <li>• Particle diameter: 137 nm, ZP: +15 mV</li> <li>• 80% of cells were transfected due to positive surface charge</li> <li>• Exhibited greater cytotoxic effect against MCF-7 cancer cell lines</li> </ul>	[51]
HSA	PEG	Tamoxifen (TMX)	Tumor target	<ul style="list-style-type: none"> <li>• Particle diameter: 195 nm, ZP: –21 mV, DEE: 74%, DLC: 7%</li> <li>• Only a slight increase in particle size after 3 months of storage</li> <li>• Ultrasonication of TMX-HSA mixture was done before desolvation</li> </ul>	[52]
BSA	Lactobionic acid	Oridonin (ORI)	Liver cancer	<ul style="list-style-type: none"> <li>• Particle diameter: 200 nm, ZP: –30 mV, DLC: 5%, DEE: 63%</li> <li>• Galactosylated BSA: for selective liver targeting</li> <li>• Initial burst, followed by sustained release of ORI up to 70 h.</li> </ul>	[53]
BSA	Galactosamine	Doxorubicin (DOX)	Hepatocarcinoma (HepG2 cell line)	<ul style="list-style-type: none"> <li>• Particle diameter: 200 nm, ZP: –16.1 mV, DEE: 58%, DLC: 2%</li> <li>• Asialoglycoprotein receptors are overexpressed in HepG2 cells</li> <li>• Slow release (5% in 5 h), followed by 12% sustained release of DOX up to 160 h</li> <li>• Increased cellular uptake causes more cytotoxicity toward HepG2 cells.</li> </ul>	[54]
HSA	–	Paclitaxel and gold nanorods	Breast cancer (4T1 cell line in mouse)	<ul style="list-style-type: none"> <li>• Particle diameter: 221 nm, ZP: –45 mV, DEE: 92%</li> <li>• combined (chemo + photothermal) therapy</li> <li>• 94% of 4T1 cell death on 15-min exposure to near-infrared light</li> </ul>	[57]
BSA	Polycaprolactone (PCL)	Albendazole (ABZ)	Pancreatic cancer	<ul style="list-style-type: none"> <li>• Particle diameter: 10 and 200 nm, DEE: 68% (10 nm) and 81% (100 nm)</li> <li>• BSA-PCL nanoparticles of 200 nm effectively deliver ABZ to pancreatic cells and show a greater antiproliferative effect on cancer cells</li> </ul>	[58]

(Continued)

Table 2. Continued

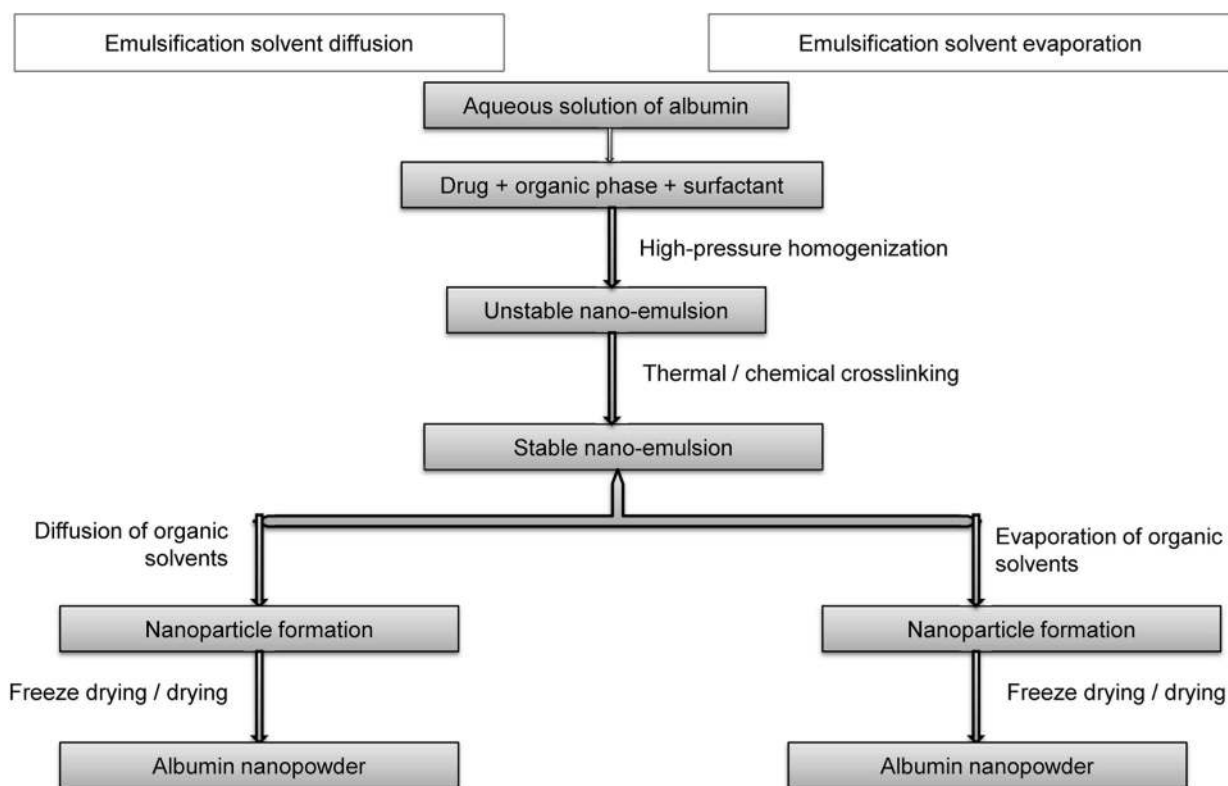
Albumin used	Functionalizing or conjugating agent	Drug or antioxidant loaded	Target indication	Outcome or application†	Reference
HSA	SP and PEG	Paclitaxel (PTX)	Brain cancer	<ul style="list-style-type: none"> <li>Particle diameter: 150 nm, ZP: -12.0 mV, DLC: 8%, DEE: 86%</li> <li>Substance P (SP) receptors are overexpressed in glioma sites</li> <li>Initial burst (40%), followed by the sustained release of PTX up to 48 h</li> <li>The targeting effect of SP increases the cellular uptake and nanoparticles exhibit strong antitumor effect toward U87 cells</li> </ul>	[59]
BSA	Magnetic nanoparticles	–	–	<ul style="list-style-type: none"> <li>Particle diameter: 70–95 nm, ZP: -9.38 mV</li> <li>Biocompatible and do not show a toxic effect on HFF2 cell lines</li> <li>Can be used in MRI technique and chemotherapy</li> </ul>	[60]
BSA	Magnetic nanoparticles	Curcumin (CUR)	Breast cancer (MCF-7 cell line)	<ul style="list-style-type: none"> <li>Particle diameter: 56 nm, ZP: -10.1 mV, DLC: 7%</li> <li>Initial burst, followed by sustained release of CUR up to 160 h. Enhanced release in acidic conditions</li> <li>Biocompatible and showed a significant cytotoxic effect on MCF-7 cells</li> </ul>	[61]
BSA	–	Curcumin (CUR)	Breast cancer (MDA-MB-231 cell line)	<ul style="list-style-type: none"> <li>Particle diameter: 223.5 nm, ZP: -31.7 mV, at drug polymer ratio of 1:2, DEE: 91%.</li> <li>Enhancement in the solubility of CUR</li> <li>Initial burst (23%) in 24 h, followed by the sustained release of CUR up to 30 days</li> <li>Enhanced antiproliferative effect toward MDA-MB-231</li> </ul>	[62]
Egg albumin	–	Gallic acid (GA)	Brain cancer	<ul style="list-style-type: none"> <li>Particle diameter: 150 nm, ZP: -30 mV, DLC: 28%, DEE: 91%</li> <li>A good candidate for brain-targeted drug delivery system</li> </ul>	[63]
BSA	–	Sulfasalazine (SSZ)	–	<ul style="list-style-type: none"> <li>Particle diameter: 208–381 nm, ZP: -24.6 to -36.3 mV, DLC: 0.5%, DEE: 28%</li> <li>Enhanced solubility of SSZ</li> </ul>	[64]
BSA	–	Curcumin (CUR)	Breast cancer (MCF-7 cell line)	<ul style="list-style-type: none"> <li>Particle diameter: 92.6 nm, ZP: -9.2 mV, DLC: 2.2%, DEE: 78%</li> <li>Rapid in 10 h (20%), followed by controlled release of 30% of CUR up to 96 h</li> <li>Cytotoxic toward MCF-7, inhibitory effect increases with time</li> </ul>	[65]
HSA	–	Doxorubicin (DXR), daunorubicin (DNR), pirarubicin (THP), and aclarubicin (ACR)	Breast cancer (MCF-7 cell line)	<ul style="list-style-type: none"> <li>DXR-HSA-NPs: particle diameter: 108 nm, ZP: -35 mV, DLC: 4%, DEE: 96%</li> <li>DNR-HSA-NPs: particle diameter: 109 nm, ZP: -33 mV, DLC: 6%, DEE: 97%</li> <li>THP-HSA-NPs: particle diameter: 121 nm, ZP: -43 mV, DLC: 2.6%, DEE: 88%</li> <li>ACR-HSA-NPs: particle diameter: 119 nm, ZP: -45 mV, DLC: 1%, DEE: 30%</li> <li>All anthracycline-HSA-NPs exhibit cytotoxicity toward MCF-7 and HepG2 cells</li> </ul>	[66]

(Continued)

**Table 2.** Continued

Albumin used	Functionalizing or conjugating agent	Drug or antioxidant loaded	Target indication	Outcome or application†	Reference
HSA	–	Pyrazolo[3,4-d]pyrimidine	Neuroblastoma (SH-SY5Y cell line)	<ul style="list-style-type: none"> <li>• Particle diameter: 50–115 nm, ZP: –29.9 mV, DEE: 40%–99.5%, DLC: 39%–95%</li> <li>• Improved drug solubility</li> <li>• Enhanced antiproliferative effect on SH-SY5Y.</li> </ul>	[67]
BSA	Folic acid	Curcumin difluorinated (CDF)	Ovarian cancer (SKOV 3 cell line)	<ul style="list-style-type: none"> <li>• Particle diameter: 279 nm, ZP: –45.9 mV, DEE: 78%, DLC: 38%</li> <li>• Higher cellular uptake causes enhanced cytotoxicity</li> </ul>	[68]

†All particle diameters are approximate; BSA, bovine serum albumin; DEE, drug entrapment efficiency (approximate); DLC, drug-loading capacity (approximate); Egg albumin = ovalbumin; HSA, human serum albumin; MRI, magnetic resonance imaging; PEG, polyethylene glycol; PEI, polyethylenimine; RSM, response surface methodology; ZP, zeta potential.



**Figure 6.** Preparation of drug-loaded albumin nanoparticles using emulsification.

phosphate-buffered conditions; the maximum release of teniposide (80%) observed at pH 6.8 was due mainly to the multi-layered structure [75]. The reduced solubility of chitosan at pH 7.4 reduces drug diffusion, while the lower solubility of HSA around pH 5.5 causes unfavorable release. The coated albumin nanoparticles exhibited a lower cellular uptake compared with

the naked particles, possibly explained by the interference of the coated layer with albumin uptake receptors. The multi-layered nanoparticles showed reduced antitumor efficacy toward lung cancer cell lines. The distribution of P-CS-NP in vivo showed significant accumulation in the lung, by contrast with teniposide in solution, which was mainly accumulated in

the liver and spleen. The prolonged circulation in plasma and higher accumulation in the lung makes P-CS-NP a promising candidate for the passive and controlled delivery of teniposide to the lung [75].

The double emulsion method used by Zhang and Zhu [76] to prepare BSA-loaded poly(D,L-lactic-co-glycolic acid) (PLGA) microspheres. First, a water-in-oil emulsion was produced by emulsifying the internal aqueous phase solution of BSA (in PBS) with PLGA solution in methylene chloride under stirring. The primary emulsion thus formed was then poured into an external aqueous phase solution of Tween 80 under vigorous stirring. The solvent was evaporated from the resultant double emulsion by magnetic stirring for 3–5 h [76]. BSA entrapment of 76% and 89% with a smooth microsphere surface could be achieved by adding NaCl and glucose, respectively, in the continuous phase. The addition of NaCl/glucose in the Tween 80 external phase produced microspheres with high BSA entrapment. The enhanced burst release of BSA was observed due to the nonporous nature of microspheres prepared without additives (NaCl/glucose) [76]. **Table 3** demonstrates the characterization, target indications, drug release pattern, and cytotoxicity of albumin and the functionalized albumin nanoparticles prepared by emulsification.

### Thermal gelation

Thermal gelation is a heat-induced process used to produce protein nanoparticles (**Figure 7**). The gelation process involves the irreversible heat-induced conformation transition from  $\alpha$ -helix to  $\beta$ -sheet, followed by the aggregation of  $\beta$ -sheet via protein–protein interactions, including hydrophobic and electrostatic interactions, hydrogen bonding, and disulfide–sulfhydryl interchange reactions [3, 70]. A Fourier-transform IR spectroscopy study of BSA during heating at 80–85 °C found that most of the helical structure is disrupted, and irreversible intermolecular  $\beta$ -sheets are formed [80].

Novel interpolymeric (chitosan–ovalbumin) nanoparticles stabilized with PEG400 were prepared by heat coagulation for the oral delivery of alprazolam [81]. Alprazolam-loaded nanoparticles exhibited 259.6 nm diameter and –9.0 mV zeta potential in the presence of PEG400 when the mixture of alprazolam containing chitosan and egg albumin was heated at 80 °C for 30 min. The interpolymeric nanoparticles can load 99.4% of alprazolam in the presence of 0.5 mL PEG400, 80 mg ovalbumin, and 80 mg chitosan with 30 min of heating at 80 °C. The sustained release of alprazolam over 24 h makes it a promising carrier for the sustained release of several lipophilic drugs [81]. **Table 4** demonstrates the characterization, target indications, drug release pattern, and cytotoxicity of

albumin and functionalized albumin nanoparticles prepared by thermal gelation.

### Nanoparticle albumin-bound (nab) technology

The United States Food and Drug Administration approval of nab–paclitaxel has spurred many researchers to use nab technology to develop targeted anticancer drug delivery systems. It is an ideal technology for the encapsulation of lipophilic anticancer drugs in a protein matrix for effective delivery [3]. To prepare a nab drug, an aqueous solution of albumin is mixed using low rotation with a drug in the organic phase (chloroform/acetone + chloroform) to form a crude emulsion, which produces the final nanoemulsion on high-pressure homogenization. Organic solvents are removed from the resultant colloid by rotary evaporation under vacuum. The nanoparticles obtained are then centrifuged or filtered (syringe filter), and the supernatant collected is lyophilized to obtain the albumin nanopowder [84, 85]. **Figure 8** shows a schematic representation of the nab technology used to produce albumin-based nanoparticles.

Nab technology was successfully used to prepare injection-based tacrolimus-loaded HSA nanoparticles (tacrolimus-HSA-NPs) having enhanced targetability toward rheumatoid arthritis tissues [84]. Tacrolimus-HSA-NPs were prepared by mixing an aqueous solution of HSA with tacrolimus and cholesterol (dissolved in the mixture of ethanol and chloroform separately) at low rotation, followed by homogenization at high pressure. After removal of chloroform using rotary evaporation under reduced pressure, the resulting nanoparticles were centrifuged, and the collected supernatant was lyophilized to obtain tacrolimus-HSA-NPs [85]. The nanoparticles had diameter of 185.8 nm, zeta potential of –30.5 mV, 79% entrapment efficiency, and 1.5% tacrolimus loading capacity. Tacrolimus-HSA-NPs showed 46 times enhanced water solubility with 94% slow release of tacrolimus in 24 h compared with free TAC. Studies in arthritic mice in vivo showed that tacrolimus-HSA-NPs accumulated significantly at the inflamed hind paws of mice with collagen-induced arthritis until 24 h, whereas it did not accumulate markedly at the paw site in normal mice, thereby exhibiting increased antiarthritic activity compared with other tacrolimus formulations [84].

Gemcitabine-loaded albumin nanoparticles prepared by desolvation show poor solubility, nonreproducibility, and lack of uniformity. Yu et al. addressed these problems by preparing gemcitabine-loaded HSA nanoparticles using nab technology to treat pancreatic cancer [85]. Gemcitabine-HSA-NPs were prepared by high-pressure homogenization of HSA (in water) and gemcitabine (in aqueous chloroform) at 20,000 psi for 9

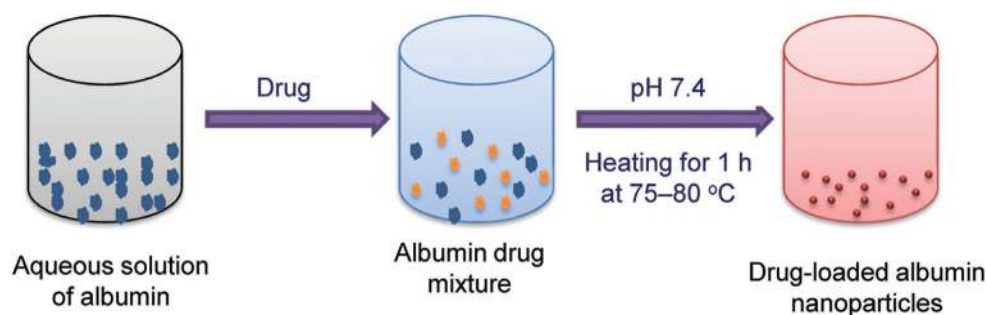
**Table 3.** Characterization, target indications, drug release pattern, and cytotoxicity of albumin and functionalized albumin nanoparticles prepared by emulsification

Albumin used	Organic phase and surfactant	Functionalizing or coating agent	Drug or antioxidant loaded	Target indication	Outcome or application†	Reference
HSA in water	Chloroform + ethanol	–	Paclitaxel (PTX)	Breast cancer (MCF-7 cell line)	<ul style="list-style-type: none"> <li>Particle diameter: 170.2 nm, ZP: –17.4 mV, DEE: 82%</li> <li>64% of PTX release in 48 h</li> <li>Concentration-dependent cell viability for MCF-7 cells</li> <li>IC<sub>50</sub> for PTX-HSA-NPs is 4.9 μm</li> </ul>	[19]
BSA in NaOH	Castor oil + Span 80	–	10-Hydroxycamptothecin (HCPT)	Liver cancer	<ul style="list-style-type: none"> <li>Particle diameter: 600 nm, DEE: 58%, DLC: 2.2%</li> <li>Sustained release of 90% HCPT at pH 7.4 in trypsin medium</li> <li>Higher concentration of HCPT in liver than in heart and kidney</li> <li>95% of HCPT was present in the lactone form</li> </ul>	[74]
HSA in water	Chloroform + acetone + cholesterol	Chitosan, PLG-PEG	Teniposide (TS)	Lung cancer (A549 cell line)	<ul style="list-style-type: none"> <li>Particle diameter: 180 nm, ZP: –4 mV, DEE: 61%, DLC: 2.2%</li> <li>Lower concentration of TS in heart and kidney</li> <li>80% of TS release in 72 h</li> <li>Lower cellular uptake and antitumor efficacy toward A-549.</li> </ul>	[75]
BSA in PBS (w <sub>1</sub> )	Tween 80 (o) Aqueous solution of PLGA (w <sub>2</sub> )	–	–	–	<ul style="list-style-type: none"> <li>Double emulsion technique was used.</li> <li>Addition of NaCl and glucose increases entrapment efficiency and delays the release of BSA</li> <li>Tween 80 increases BSA entrapment</li> </ul>	[76]
BSA in water	B <sub>mim</sub> PF <sub>6</sub> + Tween 20	Folic acid	–	–	<ul style="list-style-type: none"> <li>Particle size: 100 nm.</li> <li>Enhanced cellular uptake</li> <li>Folate receptors are overexpressed in cancer cells</li> <li>Exhibit high cell viability</li> </ul>	[77]
BSA in water	n-Butanol + toluene + coconut oil	–	–	–	<ul style="list-style-type: none"> <li>Particle diameter: 269 nm.</li> <li>Green method of synthesis</li> </ul>	[78]
HSA in water	Ethanol + Tween 80	–	Carvacrol	Gastric cancer (AGS cell line)	<ul style="list-style-type: none"> <li>Particle diameter: 230 nm, ZP: –33.7 mV, DEE: 32%, DLC: 32%</li> <li>Initial fast release (27% in 3 h), followed by sustained release of carvacrol (80%) up to 240 h</li> <li>Reduces cell viability (AGS cell line) to 50%.</li> </ul>	[79]

†Particle diameters are approximate; B<sub>mim</sub>PF<sub>6</sub>, 1-butyl-3-methylimidazolium hexafluorophosphate, BSA, bovine serum albumin; DEE, drug entrapment efficiency (approximate); DLC, drug-loading capacity (approximate); HSA, human serum albumin; IC<sub>50</sub>, half-maximal inhibitory concentration; o, organic phase; PBS, phosphate-buffered saline; PEG, polyethylene glycol; PLG, poly(L-glutamic acid)-g-methoxy; PLGA, poly(D,L-lactic-co-glycolic acid); W1, internal aqueous phase; W2, external aqueous phase; ZP, zeta potential.

cycles. Chloroform was removed, and the colloidal solution was filtered using a 0.25 μm membrane syringe filter. The filtrate was lyophilized to obtain gemcitabine-HSA-NP powder.

The vacuum-dried, nearly-spherical nanoparticles of 150 nm diameter with –10.2 mV surface charge exhibited 83% entrapment efficiency and 10% loading capacity for gemcitabine.



**Figure 7.** Thermal gelation process for the preparation of drug-loaded albumin nanoparticles.

**Table 4.** Characterization, target indications, drug release pattern, and cytotoxicity of albumin and functionalized albumin nanoparticles prepared by thermal gelation

Albumin used	Temperature, time, pH	Functionalizing or conjugating agent	Drug or antioxidant loaded	Target indication	Outcome or application†	Reference
Egg albumin in water	80 °C, 30 min, 5.4	Chitosan, PEG400	Alprazolam (ALP)	–	<ul style="list-style-type: none"> <li>Particle diameter: 260 nm, ZP: –9.0 mV, DEE: 99.4%.</li> <li>Initial burst, then sustained release of ALP (85%) up to 24 h</li> <li>Excellent carrier for sustained delivery of lipophilic drugs</li> </ul>	[81]
Egg albumin in water	80 °C, 30 min, 5.4	Chitosan, Carbopol 940	Aceclofenac (ACA)	–	<ul style="list-style-type: none"> <li>Particle diameter: 353 nm, ZP: –22.1 mV DEE: 96%.</li> <li>Initial burst, then slow release of ACA (54%–88%) in 8 h</li> <li>Carbopol 940 gel containing NPs exhibited faster permeation of ACA</li> </ul>	[82]
BSA in water	90 °C, 2 h, 4.2	Chitosan (CS)	β-Carotene (CRT)	–	<ul style="list-style-type: none"> <li>DEE: 46%, DLC: 9.3%</li> <li>Hydrodynamic radius: 70–100 nm.</li> <li>CS-BSA NPs were prepared by electrostatic adsorption, followed by thermal treatment</li> </ul>	[83]

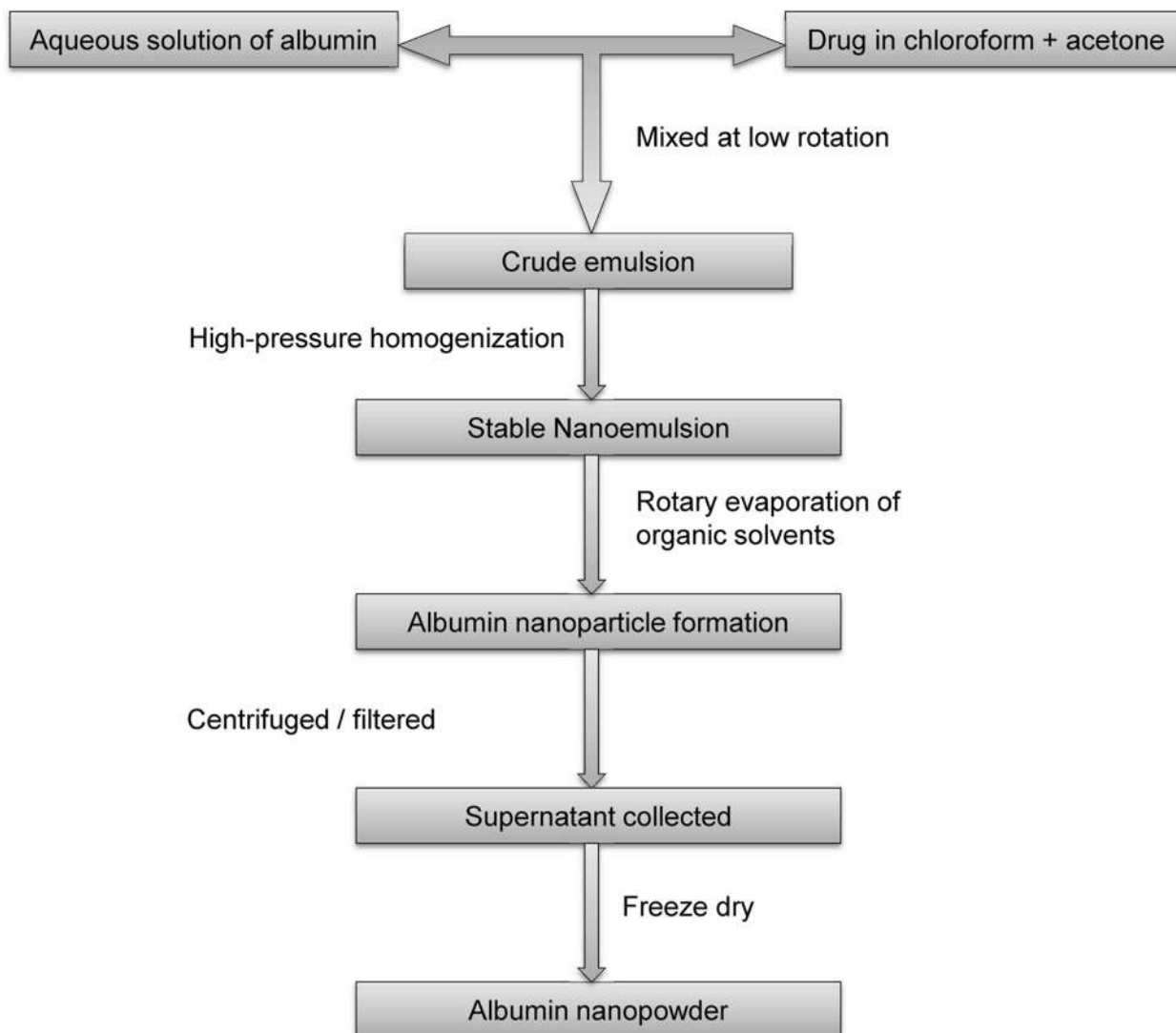
†Particle diameters are approximate; BSA, bovine serum albumin; Egg albumin = ovalbumin; DEE, drug entrapment efficiency (approximate); DLC, drug-loading capacity (approximate); PEG, polyethylene glycol; ZP, zeta potential.

An initial burst (20% in 1 h), followed by 80% of the cumulative slow release of gemcitabine was observed up to 90 h. Evaluation of the nanoparticles on human pancreatic cancer cell line BxPC-3 in vitro found equivalent cytotoxicity of gemcitabine, gemcitabine-C14, and gemcitabine-HSA-NPs. An excellent inhibitory effect on tumor growth (BxPC-3 cell line) was found, with the lowest toxicity for gemcitabine-HSA-NPs [85].

Gambogic acid (GmA) is a well-known chemotherapeutic agent for lung cancer treatment and exhibits poor water

solubility and low chemical stability. Zhang et al. [86] developed HSA nanoparticles loaded with GmA with increased solubility, low toxicity, and enhanced antitumor efficacy using nab technology. The spherical GmA-HSA nanoparticles of 135 nm diameter and zeta potential of –21.81 mV exhibited GmA loading of 11% and entrapment efficiency of 99%. No increase in the size of the prepared nanoparticles was observed up to 200-fold dilutions with 5% HSA solution. Furthermore, they exhibited physical stability during circulation in blood. No shrinkage, collapse, or increase in particle size of





**Figure 8.** Preparation of drug-loaded albumin nanoparticles using nab technology.

the freeze-dried nanoparticles was noticed after storage for 12 months, and the GmA-HSA nanoparticles could be reconstituted in saline or normal water. An aqueous suspension was stable for >8 weeks at 4 °C and 25 °C [86]. An initial burst (due to surface GmA), followed by a slow release of 70% GmA, was observed at pH 7.4 with PBS and 40% ethanol. The hydrophobic interaction of HSA with GA increases the release time of GmA from the NPs. GmA-HSA nanoparticles showed enhanced antitumor efficacy in A549-bearing mice with low toxicity and no significant tail necrosis during treatment [86].

Considering the exclusive overexpression of asialoglycoprotein receptors (ASGPRs) in the hepatocytes of well-differentiated hepatocellular carcinoma (HCC), dual drug (paclitaxel and doxorubicin)-loaded lactobionic acid-modified

BSA (Lac-BSA) nanoparticles of 148 nm diameter with high surface charge (−54.1 mV) have been prepared [87]. Because lactose exhibits high receptor affinity toward the ASGPR ligand, the prepared nanoparticles can be used for the selective targeting of the liver. Confocal laser scanning microscopy and flow cytometry demonstrated that doxorubicin-paclitaxel-Lac-BSA nanoparticles exhibit superior cellular uptake by Hep G2-containing cells. Slow release of 84% of paclitaxel and 73% of doxorubicin was observed from the nanoparticles in PBS containing Tween 80 at 37 °C. The absence of a significant burst release reduces the toxicity of paclitaxel and doxorubicin [87]. **Table 5** shows the characterization, target indications, drug release pattern, and cytotoxicity of albumin and functionalized albumin nanoparticles prepared by nab technology.

**Table 5.** Characterization, target indications, drug release pattern, and cytotoxicity of albumin and functionalized albumin nanoparticles prepared by nab technology

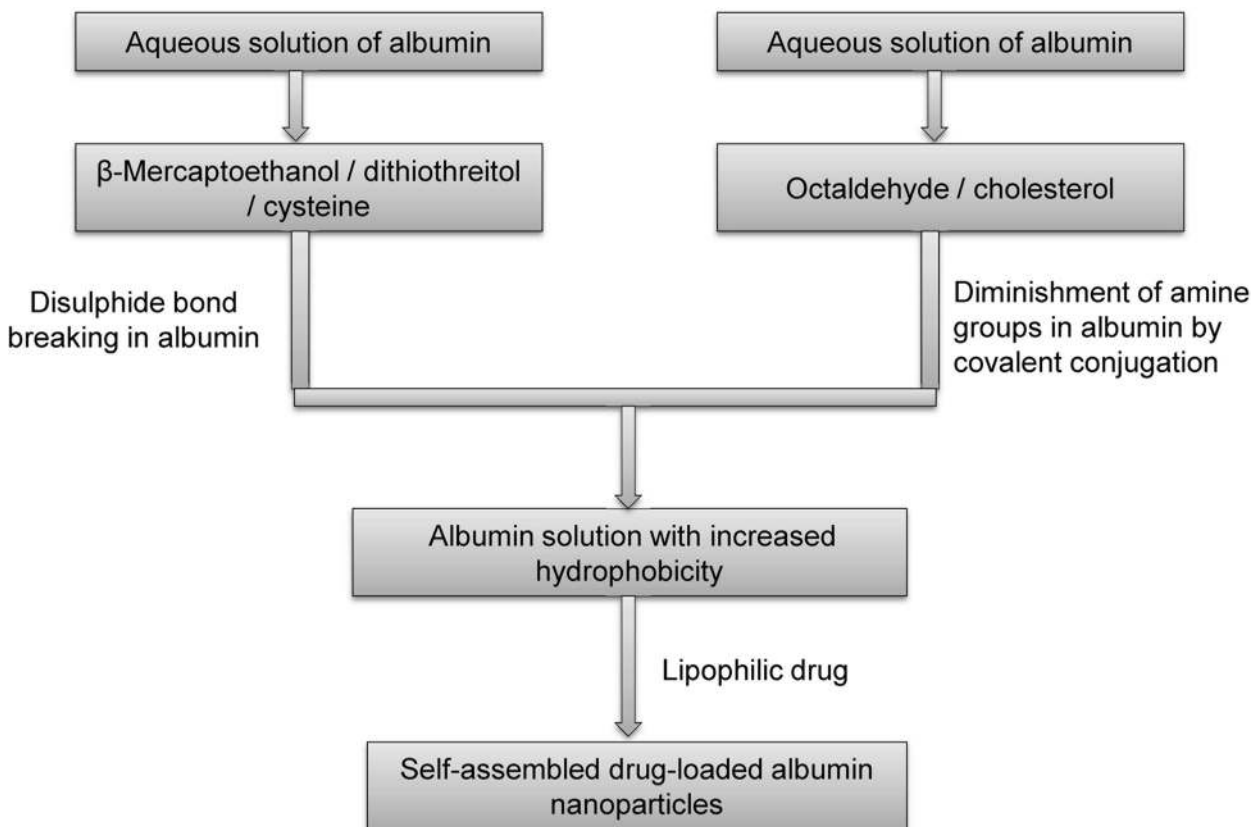
Albumin used	Organic phase	Functionalizing or conjugating agent	Drug or antioxidant loaded	Target indication	Outcome or application	Reference
HSA in water	Chloroform + ethanol mixture	–	Tacrolimus (TAC)	Rheumatoid arthritis	<ul style="list-style-type: none"> <li>Particle diameter: 186 nm, ZP: –30.5 mV, DEE: 79%, DLC: 1.5%</li> <li>Increased solubility (46 times) in water than free TAC</li> <li>94% release of TAC in 24 h</li> <li>Excellent tumor targeting and antiarthritic activity</li> </ul>	[84]
HSA in water	Chloroform	–	Gem-C14	Pancreatic cancer	<ul style="list-style-type: none"> <li>Particle diameter: 150 nm, ZP: –10.2 mV, DEE: 83%, DLC: 10%</li> <li>82% of slow GEM release in 90 h</li> <li>An excellent inhibitory effect on tumor growth in the BxPc-3 cell line</li> </ul>	[85]
HSA in water	Mixed organic phase	–	Gambogic acid (GmA)	Lung cancer	<ul style="list-style-type: none"> <li>Particle diameter: 135 nm, ZP: –21.81 mV, DEE: 99.3%, DLC: 11%</li> <li>Nanopowder is stable for up to 12 months</li> <li>69% of slow GmA release in 72 h</li> <li>Increased TGI than GmA and GmA-Arg in A549-bearing mice.</li> </ul>	[86]
BSA in water	Chloroform + ethanol mixture	Lactobionic acid	Doxorubicin (DOX) and paclitaxel (PTX)	Liver cancer	<ul style="list-style-type: none"> <li>Particle diameter: 148 nm, ZP: –54.1 mV</li> <li>84% PAC and 73% DOX release in 24 h</li> <li>Lactose residue exhibits high receptor affinity toward ASGPR</li> <li>62% internalization in HepG2 cells</li> <li>Improved therapeutic efficacy against HepG2 cells</li> </ul>	[87]

†Particle diameters are approximate; ASGPR, asialoglycoprotein receptor; BSA, bovine serum albumin; DEE, drug entrapment efficiency (approximate); DLC, drug-loading capacity (approximate); Gem-C14, 4-*N*-myristoyl-gemcitabine; HSA, human serum albumin; TGI, tumor growth inhibition rate; ZP, zeta potential.

### Self-assembly

The self-assembly strategy commonly involves reducing the number of primary amine groups on the protein surface by covalent conjugations, reduction in disulfide bonds, and addition of lipophilic drugs, which could increase the hydrophobicity of albumin and drive molecules to self-assemble to form nanoparticles [88, 89]. **Figure 9** shows a schematic representation of the self-assembly process for production of albumin-based nanoparticles.

To overcome the toxicity of chemical cross-linking agents, paclitaxel-loaded HSA nanoparticles (paclitaxel-HSA-NPs) have been synthesized using a self-assembly method [90]. The method involves adding  $\beta$ -mercaptoethanol to an aqueous solution of HSA to expose hydrophobic domains by unfolding the HSA through disulfide bond cleavage. A slightly blue-colored paclitaxel-HSA-NP solution was obtained after adding paclitaxel. After removing  $\beta$ -mercaptoethanol, the resultant nanoparticles were freeze-dried. The self-assembled nanoparticles with diameter of 120 nm and surface charges



**Figure 9.** Preparation of drug-loaded albumin nanoparticles using self-assembly.

of  $-20$  to  $-30$  mV showed  $>20\%$  loading with up to  $100\%$  entrapment of paclitaxel. The prepared paclitaxel-HSA-NPs displayed stability and enhanced cytotoxicity toward MCF-7 and A549 cell lines. Longer mice survival with slower tumor growth was observed in H22 tumor-bearing mice when treated with the prepared nanoparticles, compared with free paclitaxel [90].

Wang et al. [91] developed a self-assembled protein nanocarrier for lipophilic drugs by breaking disulfide bonds using dithiothreitol (DTT) as a reducing agent. Curcumin-loaded HSA nanoparticles (curcumin-HSA-NPs) were prepared by adding curcumin to DTT-denatured HSA at  $25^{\circ}\text{C}$ . The nonspecific adsorption of curcumin leads to the formation of curcumin-HSA-NPs of  $100$  nm diameter with irregular surface morphology. The nanoparticles exhibit curcumin loading of  $4\%$  and entrapment efficiency of  $92\%$  at  $25^{\circ}\text{C}$  [91]. An initial  $45\%$  burst, followed by up to a  $100\%$  release of curcumin from nanoparticles in  $>24$  h was observed. MCF-7 cell lines show an enhanced accumulation of nanoparticles in the cytoplasm of tumor cells in vitro. After intravenous injection of CUR-HSA-NPs into H-22-bearing mice, accumulation of nanoparticles was

found at tumor sites in vivo after 1 h, which reached a peak level after 28 h [91].

The self-assembly strategy was adopted for the production of paclitaxel-loaded cholesterol-conjugated albumin nanoparticles (paclitaxel-Chol-BSA) [92]. The cholesterol-albumin conjugate was prepared using *N,N*-disuccinimidyl carbonate as a coupling agent. The self-assembled nanoparticles of  $146$  nm diameter and  $-20$  mV surface charge exhibited higher colloidal stability than paclitaxel-BSA nanoparticles. The addition of cholesterol to BSA increases the hydrophobic properties of the nanoparticles such that they show very high loading capacity ( $38\%$ ) and entrapment efficiency ( $95\%$ ) for paclitaxel [92]. B16F10 and MCF-7 cancer cell lines treated with paclitaxel-Chol-BSA nanoparticles exhibited increased cellular uptake and cytotoxicity in vitro than other paclitaxel-BSA formulations. The paclitaxel-Chol-BSA nanoparticles show a much slower and prolonged release of paclitaxel and enhanced antitumor efficacy in tumor-bearing mice than other paclitaxel-BSA nanoformulations [92]. **Table 6** shows the characterization, target indications, drug release pattern, and cytotoxicity of albumin and functionalized albumin nanoparticles prepared by self-assembly.

**Table 6.** Characterization, target indications, drug release pattern, and cytotoxicity of albumin and functionalized albumin nanoparticles prepared by self-assembly

Albumin used	Conjugating or disulfide reducing agent	Drug or antioxidant loaded	Self-assembly strategy	Target indication	Outcome or applications	Reference
HSA	$\beta$ -Mercaptoethanol ( $\beta$ -ME)	Paclitaxel (PTX)	Disulfide bond breaking	Breast cancer (MCF-7 and A549 cell lines)	<ul style="list-style-type: none"> <li>Particle diameter: 120 nm, ZP: <math>-30.0</math> mV, DEE: 100%, DLC: 20%</li> <li>More stability and enhanced cytotoxicity toward MCF-7 and A549 cells</li> <li>Longer survival of H22-bearing mice</li> </ul>	[90]
HSA	Dithiothreitol (DTT)	Curcumin (CUR)	Disulfide bond breaking	H22 tumor-bearing mice	<ul style="list-style-type: none"> <li>Particle diameter: 100 nm, DEE: 92%, DLC: 4%</li> <li>Initial 45% burst, followed by 100% release of CUR in <math>&gt;24</math> h</li> <li>Enhanced accumulation of NPs in the cytoplasm of tumor cells</li> <li>Accumulation of NPs in H22-bearing mice (at tumor sites), reaches the peak level after 28 h</li> </ul>	[91]
BSA	Cholesterol	Paclitaxel (PTX)	Covalent conjugate	Breast cancer (B16F10 and MCF-7 cell lines)	<ul style="list-style-type: none"> <li>Particle diameter: 146 nm, ZP: <math>-20.0</math> mV, DEE: 95%, DLC: 38%</li> <li>Enhanced cellular uptake and cytotoxicity toward B16F10 and MCF-7 cancer cell lines</li> <li>Much slower and prolonged release of PTX</li> <li>Longer survival of tumor-bearing mice</li> </ul>	[92]
BSA	Aptamer AS1411	Doxorubicin (DOX)	Hydrophobic drug interaction	Breast cancer (MCF-7 cell line)	<ul style="list-style-type: none"> <li>Particle diameter: 163 nm, ZP: <math>-20.7</math> mV, DEE: 78%, DLC: 4.4%</li> <li>Aptamer AS1411: for specific targeting as receptors are overexpressed on tumor cells</li> <li>Increased cytotoxicity due to enhanced cellular uptake in MCF-7 cells</li> </ul>	[93]
BSA	–	Curcumin (CUR)	Hydrophobic drug interaction	–	<ul style="list-style-type: none"> <li>Particle diameter: 110 nm, DEE: 89%, DLC: 7%</li> <li>Ionic strength is the key parameter for nanoparticle production</li> <li>Strong antioxidant activity and effective and neuroprotective effects on neuronal HT22 cells</li> </ul>	[94]

(Continued)

Table 6. Continued

Albumin used	Conjugating or disulfide reducing agent	Drug or antioxidant loaded	Self-assembly strategy	Target indication	Outcome or applications	Reference
HSA	PEG	Paclitaxel (PTX)	Covalent conjugation (film casting method)	Human breast cancer cell lines (SK-BR-3, MDA-MB-453 and MCF-7), SK-BR-3 tumor-bearing mice	<ul style="list-style-type: none"> <li>• Particle diameter: 280 nm, DEE: 86%, DLC: 8.7%</li> <li>• Enhanced solubility and sustained release of PTX</li> <li>• Prolonged and systemic circulation of nanoparticles after intravenous injection</li> <li>• Higher cytotoxicity in human breast cancer cell lines (SK-BR-3, MDA-MB-453, and MCF-7)</li> </ul>	[95]

†Particle diameters are approximate; BSA, bovine serum albumin; DEE, drug entrapment efficiency (approximate); DLC, drug-loading capacity (approximate); HSA, human serum albumin; NPs, nanoparticles; PEG, polyethylene glycol; ZP, zeta potential.

### Nanospray drying

Developing size- and shape-controlled reproducible nano-encapsulation technology for targeted drug delivery systems remains a challenge. Due to its simplicity, reproducibility, low operating cost, and ease of scale-up capability, spray-drying technology has attracted the attention of the pharmaceutical sector for encapsulation of pharmaceuticals to develop drug-encapsulated nanopowder with controlled drug release properties [96–99]. Spray drying is an excellent method by which to produce drug-loaded albumin nanoparticles with low risk of degradation as this method can be used with mild temperatures and is less dependent on the solubility of albumin and the drug [97]. The nanoparticles produced by spray drying exhibit a narrow size distribution, high encapsulation efficiency, and extended shelf life [97].

A typical nanospray dryer (B-90; Büchi Labortechnik) produces powdered particles in the nano range and includes 3 steps (Figure 10). The first generates droplets by atomizing the supplied solutions of protein and drug. A vibrating mesh technology to generate fine droplets includes the use of piezoelectric actuator-driven thin spray meshes containing a large number of uniform laser-drilled holes. On the application of ultrasonic frequency (80–140 kHz), the holes of the vibrating mesh are loaded with fluid, and the fluid is pushed through the mesh to produce uniform droplets with a very narrow size distribution [97]. The droplets are sprayed into a drying chamber in a second step where inert nitrogen and a small amount of carbon dioxide are used for drying. A laminar flow of gas is maintained to dry the sprayed droplets gently [97]. The third step uses a high voltage (15 kV) to negatively charge the dried

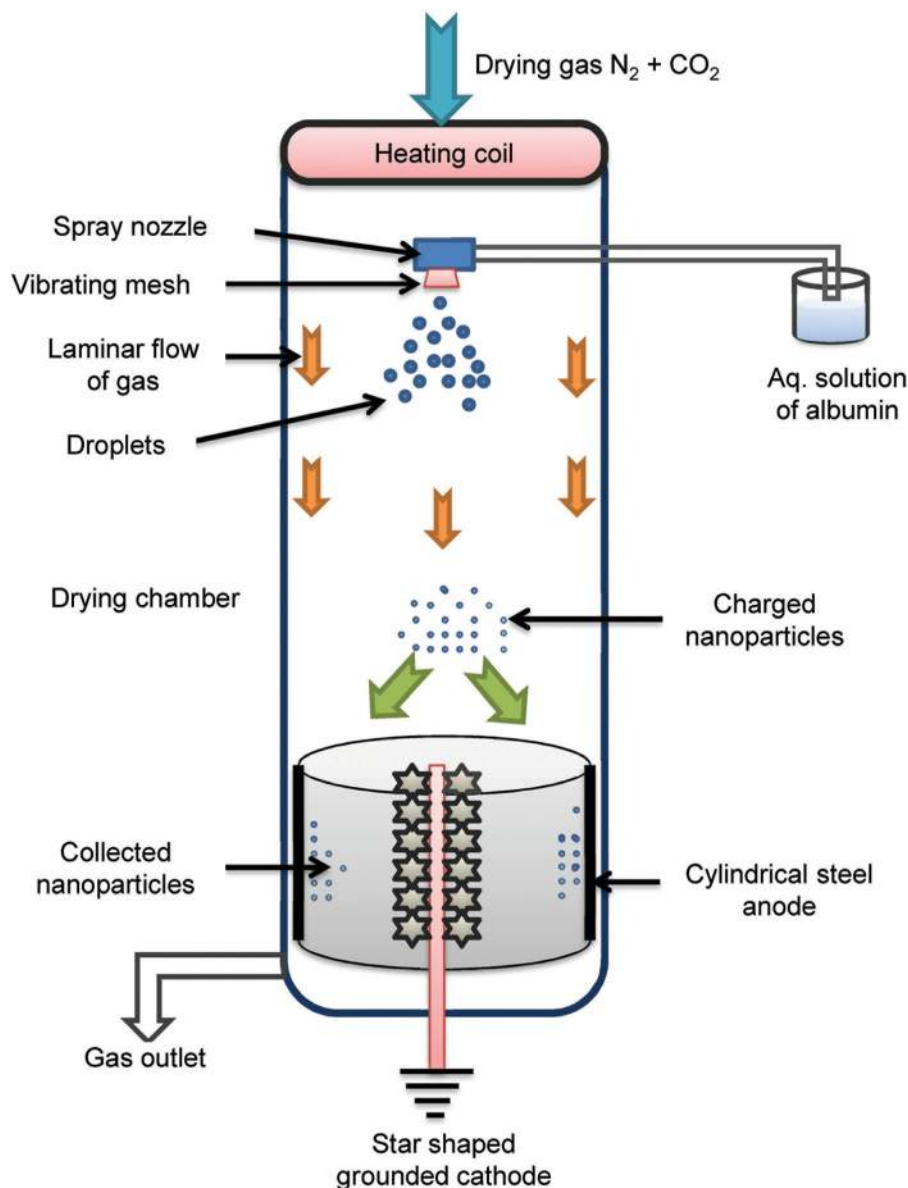
particles and collect them electrostatically with very high efficiency [3].

Despite the high temperature of the drying gas, the spray-drying process is suitable for heat-sensitive materials such as proteins, the cooling effect of the evaporating solvent keeping the droplet temperature relatively low. Lee et al. [98] used a B-90 spray dryer to produce spherical BSA nanoparticles with a median diameter of 460 nm. A B-90 nanospray dryer was used to prepare respirable  $\beta$ -galactosidase powder in the particle diameter range of 1–5  $\mu\text{m}$  without loss of enzyme activity using trehalose as a stabilizer [100].

### Conclusion

Nanocarrier systems have proven themselves as an important asset to the pharmaceutical industry. Nanocarriers can encapsulate active drug molecules and increase the latter's therapeutic index through their target-specific action and protect the drug molecule from undesirable metabolic reactions and biodegradations. The high water solubility, biodegradability, low toxicity, and ease of purification of albumin make it a classic candidate for nanoparticle preparation and a versatile drug carrier that can be delivered easily by injection.

The various methods adopted to prepare albumin nanoparticles have their own advantages and disadvantages. Emulsification, the most established technique to produce albumin nanoparticles, involves the use and subsequent removal of organic solvents, oily residues, and surfactants. For heat-sensitive drugs, the use of toxic chemical cross-linking agents to stabilize nanoparticles creates problems.



**Figure 10.** Spray-drying process for the preparation of albumin nanoparticles.

Desolvation, an alternative to emulsification, is a highly reproducible process for controlling the size and shape of albumin nanoparticles. A major concern with the process is the use of toxic chemical cross-linkers to stabilize the nanoparticles formed after denaturation of albumin. Thermal gelation to produce protein nanoparticles is not suitable for heat-sensitive drugs. Nab technology is ideal to encapsulate lipophilic anticancer drugs in the protein matrix for their safe and effective intravenous delivery. The process does not require surfactants or chemical cross-linkers. Self-assembly provides a high degree of lipophilic drug loading by increasing the hydrophobicity of albumin via disulfide bond reduction or decreasing the number of amine groups,

although the process may require toxic chemicals to break the disulfide bonds. Nanospray drying is an excellent scalable process to continuously produce dry drug-loaded albumin nanoparticles in a single step. The nanoparticles prepared by the various methods show high drug-loading and -entrapment efficiencies, and the solubility of poorly water-soluble drugs is increased. Nanoparticles exhibit slow and sustained release of drugs as an advantage over free drugs. The introduction of target-specific ligands to albumin nanoparticles increases their uptake by a variety of cancer cells as a result of the overexpression of ligand receptors on the cancer cells. The enhanced accumulation of drug-loaded albumin nanoparticles to tumor sites increases their antitumor efficacy toward various

cancer cells, and the slow and sustained release of drug from the nanoparticles reduces their side effects and increases the survival rate of experimental animals.

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