

# ALGEBRAIC $K$ -THEORY AND SUMS-OF-SQUARES FORMULAS

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ABSTRACT. We prove a result about the existence of certain ‘sums-of-squares’ formulas over a field  $F$ . A classical theorem uses topological  $K$ -theory to show that if such a formula exists over  $\mathbb{R}$ , then certain powers of 2 must divide certain binomial coefficients. In this paper we use algebraic  $K$ -theory to extend the result to all fields not of characteristic 2.

## 1. INTRODUCTION

Let  $F$  be a field. A classical problem asks for which values of  $r$ ,  $s$ , and  $n$  does there exist an identity of the form

$$(x_1^2 + \cdots + x_r^2)(y_1^2 + \cdots + y_s^2) = z_1^2 + \cdots + z_n^2$$

in the polynomial ring  $F[x_1, \dots, x_r, y_1, \dots, y_s]$ , where the  $z_i$ ’s are bilinear expressions in the  $x$ ’s and  $y$ ’s. Such an identity is called a **sums-of-squares formula of type  $[r, s, n]$** . For the history of this problem, see the expository papers [L, Sh].

The main theorem of this paper is the following:

**Theorem 1.1.** *Assume  $F$  is not of characteristic 2. If a sums-of-squares formula of type  $[r, s, n]$  exists over  $F$ , then  $2^{\lfloor \frac{s-1}{2} \rfloor - i + 1}$  divides  $\binom{n}{i}$  for  $n - r < i \leq \lfloor \frac{s-1}{2} \rfloor$ .*

As one specific application, the theorem shows that a formula of type [13, 13, 16] cannot exist over any field of characteristic not equal to 2. Previously this had only been known in characteristic zero. (Note that the case  $\text{char}(F) = 2$ , which is not covered by the theorem, is trivial: formulas of type  $[r, s, 1]$  always exist).

In the case  $F = \mathbb{R}$ , the above theorem was essentially proven by Atiyah [At] as an early application of complex  $K$ -theory; the relevance of Atiyah’s paper to the sums-of-squares problem was only later pointed out by Yuzvinsky [Y]. The result for characteristic zero fields can be deduced from the case  $F = \mathbb{R}$  by an algebraic argument due to K. Y. Lam and T. Y. Lam (see [Sh]). Thus, our contribution is the extension to fields of non-zero characteristic. In this sense the present paper is a natural sequel to [DI], which extended another classical condition about sums-of-squares. We note that sums-of-squares formulas in characteristic  $p$  were first seriously investigated in [Ad1, Ad2].

Our proof of Theorem 1.1, given in Section 2, is a modification of Atiyah’s original argument. The existence of a sums-of-squares formula allows one to make conclusions about the geometric dimension of certain algebraic vector bundles. A computation of algebraic  $K$ -theory (in fact just algebraic  $K^0$ ), given in Section 3, determines restrictions on what that geometric dimension can be—and this yields the theorem.

Atiyah’s result for  $F = \mathbb{R}$  is actually slightly better than our Theorem 1.1. The use of topological  $KO$ -theory rather than complex  $K$ -theory yields an extra power of 2 dividing some of the binomial coefficients. It seems likely that this stronger

result holds in non-zero characteristic as well and that it could be proved with Hermitian algebraic  $K$ -theory.

**1.2. Restatement of the main theorem.** The condition on binomial coefficients from Theorem 1.1 can be reformulated in a slightly different way. This second formulation surfaces often, and it's what arises naturally in our proof. We record it here for the reader's convenience. Each of the following observations is a consequence of the previous one:

- By repeated use of Pascal's identity  $\binom{c}{d} = \binom{c-1}{d-1} + \binom{c-1}{d}$ , the number  $\binom{n+i-1}{k+i}$  is a  $\mathbb{Z}$ -linear combination of the numbers  $\binom{n}{k+1}, \binom{n}{k+2}, \dots, \binom{n}{k+i}$ . Similarly,  $\binom{n}{k+i}$  is a  $\mathbb{Z}$ -linear combination of  $\binom{n}{k+1}, \binom{n+1}{k+2}, \dots, \binom{n+i-1}{k+i}$ .
- An integer  $b$  is a common divisor of  $\binom{n}{k+1}, \binom{n}{k+2}, \dots, \binom{n}{k+i}$  if and only if it is a common divisor of  $\binom{n}{k+1}, \binom{n+1}{k+2}, \dots, \binom{n+i-1}{k+i}$ .
- The series of statements

$$2^N \mid \binom{n}{k+1}, 2^{N-1} \mid \binom{n}{k+2}, \dots, 2^{N-i+1} \mid \binom{n}{k+i}$$

is equivalent to the series of statements

$$2^N \mid \binom{n}{k+1}, 2^{N-1} \mid \binom{n+1}{k+2}, \dots, 2^{N-i+1} \mid \binom{n+i-1}{k+i}.$$

- If  $N$  is a fixed integer, then  $2^{N-i+1}$  divides  $\binom{n}{i}$  for  $n-r < i \leq N$  if and only if  $2^{N-i+1}$  divides  $\binom{r+i-1}{i}$  for  $n-r < i \leq N$ .

The last observation shows that Theorem 1.1 is equivalent to the theorem below. This is the form in which we'll actually prove the result.

**Theorem 1.3.** *Suppose that  $F$  is not of characteristic 2. If a sums-of-squares formula of type  $[r, s, n]$  exists over  $F$ , then  $2^{\lfloor \frac{s-1}{2} \rfloor - i + 1}$  divides the binomial coefficient  $\binom{r+i-1}{i}$  for  $n-r < i \leq \lfloor \frac{s-1}{2} \rfloor$ .*

**1.4. Notation.** Throughout this paper  $K^0(X)$  denotes the Grothendieck group of locally free coherent sheaves on the scheme  $X$ . This group is usually denoted  $K_0(X)$  in the literature.

## 2. THE MAIN PROOF

In this section we fix a field  $F$  not of characteristic 2. Let  $q_k$  be the quadratic form on  $\mathbb{A}^k$  defined by  $q_k(x) = \sum_{i=1}^k x_i^2$ . A sums-of-squares formula of type  $[r, s, n]$  gives a bilinear map  $\phi: \mathbb{A}^r \times \mathbb{A}^s \rightarrow \mathbb{A}^n$  such that  $q_r(x)q_s(y) = q_n(\phi(x, y))$ . We begin with a simple lemma:

**Lemma 2.1.** *Let  $F \hookrightarrow E$  be a field extension, and let  $y \in E^s$  be such that  $q_s(y) \neq 0$ . Then for  $x \in E^r$  one has  $\phi(x, y) = 0$  if and only if  $x = 0$ .*

*Proof.* Let  $\langle -, - \rangle$  denote the inner product on  $E^k$  corresponding to the quadratic form  $q_k$ . Note that the sums-of-squares identity implies that

$$\langle \phi(x, y), \phi(x', y) \rangle = q_s(y) \langle x, x' \rangle$$

for any  $x$  and  $x'$  in  $E^r$ . If one had  $\phi(x, y) = 0$  then the above formula would imply that  $q_s(y) \langle x, x' \rangle = 0$  for every  $x, x'$ ; but since  $q_s(y) \neq 0$ , this can only happen when  $x = 0$ .  $\square$

Let  $V_q$  be the subvariety of  $\mathbb{P}^{s-1}$  defined by  $q_s(y) = 0$ . Let  $\xi$  denote the restriction to  $V_q$  of the tautological line bundle  $\mathcal{O}(-1)$  of  $\mathbb{P}^{s-1}$ .

**Proposition 2.2.** *If a sums-of-squares formula of type  $[r, s, n]$  exists over  $F$ , then there is an algebraic vector bundle  $\zeta$  on  $\mathbb{P}^{s-1} - V_q$  of rank  $n - r$  such that*

$$r[\xi] + [\zeta] = n$$

as elements of the Grothendieck group  $K^0(\mathbb{P}^{s-1} - V_q)$  of locally free coherent sheaves on  $\mathbb{P}^{s-1} - V_q$ .

*Proof.* We'll write  $q = q_s$  in this proof, for simplicity. Let  $S = F[y_1, \dots, y_s]$  be the homogeneous coordinate ring of  $\mathbb{P}^{s-1}$ . By [H, Prop. II.2.5(b)] one has  $\mathbb{P}^{s-1} - V_q = \text{Spec } R$ , where  $R$  is the subring of the localization  $S_q$  that consists of degree 0 homogeneous elements. The group  $K^0(\mathbb{P}^{s-1} - V_q)$  is naturally isomorphic to the Grothendieck group of finitely-generated projective  $R$ -modules.

Let  $P$  denote the subset of  $S_q$  consisting of homogeneous elements of degree  $-1$ , regarded as a module over  $R$ . Then  $P$  is projective and is the module of sections of the vector bundle  $\xi$ . To see explicitly that  $P$  is projective of rank 1, observe that there is a split-exact sequence  $0 \rightarrow R^{s-1} \rightarrow R^s \xrightarrow{\pi} P \rightarrow 0$  where  $\pi(p_1, \dots, p_s) = \sum p_i \cdot \frac{y_i}{q}$  and the splitting  $\chi: P \rightarrow R^s$  is  $\chi(f) = (y_1 f, y_2 f, \dots, y_s f)$ .

From our bilinear map  $\phi: \mathbb{A}^r \times \mathbb{A}^s \rightarrow \mathbb{A}^n$  we get linear forms  $\phi(e_i, y) \in S^n$  for  $1 \leq i \leq r$ . Here  $e_i$  denotes the standard basis for  $F^r$ , and  $y = (y_1, \dots, y_s)$  is the vector of indeterminates from  $S$ . If  $f$  belongs to  $P$ , then each component of  $f \cdot \phi(e_i, y)$  is homogeneous of degree 0—hence lies in  $R$ .

Define a map  $\alpha: P^r \rightarrow R^n$  by

$$(f_1, \dots, f_r) \mapsto f_1 \phi(e_1, y) + f_2 \phi(e_2, y) + \dots + f_r \phi(e_r, y).$$

We can write  $\alpha(f_1, \dots, f_r) = \phi((f_1, \dots, f_r), y)$ , where the expression on the right means to formally substitute each  $f_i$  for  $x_i$  in the defining formula for  $\phi$ . If  $R \rightarrow E$  is any map of rings where  $E$  is a field, we claim that  $\alpha \otimes_R E$  is an injective map  $E^r \rightarrow E^n$ . To see this, note that  $R \rightarrow E$  may be extended to a map  $u: S_q \rightarrow E$  (any map  $\text{Spec } E \rightarrow \mathbb{P}^{s-1} - V_q$  lifts to the affine variety  $q \neq 0$ , as the projection map from the latter to the former is a Zariski locally trivial bundle). One obtains an isomorphism  $P \otimes_R E \rightarrow E$  by sending  $f \otimes 1$  to  $u(f)$ . Using this,  $\alpha \otimes_R E$  may be readily identified with the map  $x \mapsto \phi(x, u(y))$ . Now apply Lemma 2.1.

Since  $R$  is a domain, we may take  $E$  to be the quotient field of  $R$ . It follows that  $\alpha$  is an inclusion. Let  $M$  denote its cokernel. The module  $M$  will play the role of  $\zeta$  in the statement of the proposition, so to conclude the proof we only need show that  $M$  is projective. An inclusion of finitely-generated projectives  $P_1 \hookrightarrow P_2$  has projective cokernel if and only if  $P_1 \otimes_R E \rightarrow P_2 \otimes_R E$  is injective for every map  $R \rightarrow E$  where  $E$  is a field (that is to say, the map has constant rank on the fibers)—this follows at once using [E, Ex. 6.2(iii),(v)]. As we have already verified this property for  $\alpha$ , we are done.  $\square$

**Remark 2.3.** The above algebraic proof hides some of the geometric intuition behind Proposition 2.2. We outline a different approach more in the spirit of [At].

Let  $Gr_r(\mathbb{A}^n)$  denote the Grassmannian variety of  $r$ -planes in affine space  $\mathbb{A}^n$ . We claim that  $\phi$  induces a map  $f: \mathbb{P}^{s-1} - V_q \rightarrow Gr_r(\mathbb{A}^n)$  with the following behavior on points. Let  $[y]$  be a point of  $\mathbb{P}^{s-1}$  represented by a point  $y$  of  $\mathbb{A}^s$  such that  $q_s(y) \neq 0$ . Then the map  $\phi_y: x \mapsto \phi(x, y)$  is a linear inclusion by Lemma 2.1.

Let  $f([y])$  be the  $r$ -plane that is the image of  $\phi_y$ . Since  $\phi$  is bilinear, we get that  $\phi_{\lambda y} = \lambda \cdot \phi_y$  for any scalar  $y$ . This shows that  $f([y])$  is well-defined. We leave it as an exercise for the reader to carefully construct  $f$  as a map of schemes.

The map  $f$  has a special property related to bundles. If  $\eta_r$  denotes the tautological  $r$ -plane bundle over the Grassmannian, we claim that  $\phi$  induces a map of bundles  $\tilde{f}: r\xi \rightarrow \eta_r$  covering the map  $f$ . To see this, note that the points of  $r\xi$  (defined over some field  $E$ ) correspond to equivalence classes of pairs  $(y, a) \in \mathbb{A}^s \times \mathbb{A}^r$  with  $q(y) \neq 0$ , where  $(\lambda y, a) \sim (y, \lambda a)$  for any  $\lambda$  in the field. The pair  $(y, a)$  gives us a line  $\langle y \rangle \subseteq \mathbb{A}^s$  together with  $r$  points  $a_1 y, a_2 y, \dots, a_r y$  on the line.

One defines  $\tilde{f}$  so that it sends  $(y, a)$  to the element of  $\eta_r$  represented by the vector  $\phi(a, y)$  lying on the  $r$ -plane spanned by  $\phi(e_1, y), \dots, \phi(e_r, y)$ . This respects the equivalence relation, as  $\phi(\lambda a, y) = \phi(a, \lambda y)$ . So we have described our map  $\tilde{f}: r\xi \rightarrow \eta_r$ . We again leave it to the reader to construct  $f$  as a map of schemes.

One readily checks that  $\tilde{f}$  is a linear isomorphism on geometric fibers, using Lemma 2.1. So  $\tilde{f}$  gives an isomorphism  $r\xi \cong f^* \eta_r$  of bundles on  $\mathbb{P}^{s-1} - V_q$ .

The bundle  $\eta_r$  is a subbundle of the rank  $n$  trivial bundle, which we denote by  $n$ . Consider the quotient  $n/\eta_r$ , and set  $\zeta = f^*(n/\eta_r)$ . Since  $n = [\eta_r] + [n/\eta_r]$  in  $K^0(Gr_r(\mathbb{A}^n))$ , application of  $f^*$  gives  $n = [f^* \eta_r] + [\zeta]$  in  $K^0(\mathbb{P}^{s-1} - V_q)$ . Now recall that  $f^* \eta_r \cong r\xi$ . This gives the desired formula in Proposition 2.2.

The next task is to compute the Grothendieck group  $K^0(\mathbb{P}^{s-1} - V_q)$ . This becomes significantly easier if we assume that  $F$  contains a square root of  $-1$ . The reason for this is made clear in the next section.

**Proposition 2.4.** *Suppose that  $F$  contains a square root of  $-1$  and is not of characteristic 2. Let  $c = \lfloor \frac{s-1}{2} \rfloor$ . Then  $K^0(\mathbb{P}^{s-1} - V_q)$  is isomorphic to  $\mathbb{Z}[\nu]/(2^c \nu, \nu^2 = -2\nu)$ , where  $\nu = [\xi] - 1$  generates the reduced Grothendieck group  $\tilde{K}^0(\mathbb{P}^{s-1} - V_q) \cong \mathbb{Z}/2^c$ .*

The proof of the above result will be deferred until the next section. Note that  $K^0(\mathbb{P}^{s-1} - V_q)$  has the same form as the complex  $K$ -theory of real projective space  $\mathbb{R}P^{s-1}$  [A, Thm. 7.3]. To complete the analogy, we point out that when  $F = \mathbb{C}$  the space  $\mathbb{C}P^{s-1} - V_q(\mathbb{C})$  is actually homotopy equivalent to  $\mathbb{R}P^{s-1}$  [Lw, 6.3]. We also mention that for the special case where  $F$  is contained in  $\mathbb{C}$ , the above proposition was proved in [GR, Theorem, p. 303].

By accepting the above proposition for the moment, we can finish the

*Proof of Theorem 1.3.* Recall that one has operations  $\gamma^i$  on  $\tilde{K}^0(X)$  for any scheme  $X$  [SGA6, Exp. V] (see also [AT] for a very clear explanation). If  $\gamma_t = 1 + \gamma^1 t + \gamma^2 t^2 + \dots$  denotes the generating function, then their basic properties are:

- (i)  $\gamma_t(a + b) = \gamma_t(a)\gamma_t(b)$ .
- (ii) For a line bundle  $L$  on  $X$  one has  $\gamma_t([L] - 1) = 1 + t([L] - 1)$ .
- (iii) If  $E$  is an algebraic vector bundle on  $X$  of rank  $k$  then  $\gamma^i([E] - k) = 0$  for  $i > k$ .

The third property follows from the preceding two via the splitting principle.

If a sums-of-squares identity of type  $[r, s, n]$  exists over a field  $F$ , then it also exists over any field containing  $F$ . So we may assume  $F$  contains a square root of  $-1$ . If we write  $X = \mathbb{P}^{s-1} - V_q$ , then by Proposition 2.2 there is a rank  $n - r$  bundle  $\zeta$  on  $X$  such that  $r[\xi] + [\zeta] = n$  in  $K^0(X)$ . This may also be written as

$r([\xi] - 1) + ([\zeta] - (n - r)) = 0$  in  $\tilde{K}^0(X)$ . Setting  $\nu = [\xi] - 1$  and applying the operation  $\gamma_t$  we have

$$\gamma_t(\nu)^r \cdot \gamma_t([\zeta] - (n - r)) = 1$$

or

$$\gamma_t([\zeta] - (n - r)) = \gamma_t(\nu)^{-r} = (1 + t\nu)^{-r}.$$

The coefficient of  $t^i$  on the right-hand-side is  $(-1)^i \binom{r+i-1}{i} \nu^i$ , which is the same as  $-2^{i-1} \binom{r+i-1}{i} \nu$  using the relation  $\nu^2 = -2\nu$ . Finally, since  $\zeta$  has rank  $n - r$  we know that  $\gamma^i([\zeta] - (n - r)) = 0$  for  $i > n - r$ . In light of Proposition 2.4, this means that  $2^c$  divides  $2^{i-1} \binom{r+i-1}{i}$  for  $i > n - r$ , where  $c = \lfloor \frac{s-1}{2} \rfloor$ . When  $i - 1 < c$ , we can rearrange the powers of 2 to conclude that  $2^{c-i+1}$  divides  $\binom{r+i-1}{i}$  for  $n - r < i \leq c$ .  $\square$

### 3. $K$ -THEORY OF DELETED QUADRICS

The rest of the paper deals with the  $K$ -theoretic computation stated in Proposition 2.4. This computation is entirely straightforward, and could have been done in the 1970's. We do not know of a reference, however.

Let  $Q_{n-1} \hookrightarrow \mathbb{P}^n$  be the split quadric defined by one of the equations

$$a_1 b_1 + \cdots + a_k b_k = 0 \quad (n = 2k - 1) \quad \text{or} \quad a_1 b_1 + \cdots + a_k b_k + c^2 = 0 \quad (n = 2k).$$

Beware that in general  $Q_{n-1}$  is not the same as the variety  $V_q$  of the previous section. However, if  $F$  contains a square root  $i$  of  $-1$  then one can write  $x^2 + y^2 = (x + iy)(x - iy)$ . After a change of variables the quadric  $V_q$  becomes isomorphic to  $Q_{n-1}$ . These 'split' quadrics  $Q_{n-1}$  are simpler to compute with, and we can analyze the  $K$ -theory of these varieties even if  $F$  does not contain a square root of  $-1$ .

Write  $DQ_n = \mathbb{P}^n - Q_{n-1}$ , and let  $\xi$  be the restriction to  $DQ_n$  of the tautological line bundle  $\mathcal{O}(-1)$  of  $\mathbb{P}^n$ . In this section we calculate  $K^0(DQ_n)$  over any ground field  $F$  not of characteristic 2. Proposition 2.4 is an immediate corollary of this more general result:

**Theorem 3.1.** *Let  $F$  be a field of characteristic not 2. The ring  $K^0(DQ_n)$  is isomorphic to  $\mathbb{Z}[\nu]/(2^c \nu, \nu^2 = -2\nu)$ , where  $\nu = [\xi] - 1$  generates the reduced group  $\tilde{K}^0(DQ_n) \cong \mathbb{Z}/2^c$  and  $c = \lfloor \frac{n}{2} \rfloor$ .*

**Remark 3.2.** We remark again that we are writing  $K^0(X)$  for what is usually denoted  $K_0(X)$  in the algebraic  $K$ -theory literature. We prefer this notation partly because it helps accentuate the relationship with topological  $K$ -theory.

**3.3. Basic facts about  $K$ -theory.** Let  $X$  be a scheme. As usual  $K^0(X)$  denotes the Grothendieck group of locally free coherent sheaves, and  $G_0(X)$  (also called  $K'_0(X)$ ) is the Grothendieck group of coherent sheaves [Q, Section 7]. Topologically speaking,  $K^0(-)$  is the analog of the usual complex  $K$ -theory functor  $KU^0(-)$  whereas  $G_0$  is something like a Borel-Moore version of  $KU$ -homology.

Note that there is an obvious map  $\alpha: K^0(X) \rightarrow G_0(X)$  coming from the inclusion of locally free coherent sheaves into all coherent sheaves. When  $X$  is nonsingular,  $\alpha$  is an isomorphism whose inverse  $\beta: G_0(X) \rightarrow K^0(X)$  is constructed in the following way [H, Exercise III.6.9]. If  $\mathcal{F}$  is a coherent sheaf on  $X$ , there exists a resolution

$$0 \rightarrow \mathcal{E}_n \rightarrow \cdots \rightarrow \mathcal{E}_0 \rightarrow \mathcal{F} \rightarrow 0$$

in which the  $\mathcal{E}_i$ 's are locally free and coherent. One defines  $\beta(\mathcal{F}) = \sum_i (-1)^i [\mathcal{E}_i]$ . This does not depend on the choice of resolution, and now  $\alpha\beta$  and  $\beta\alpha$  are obviously the identities. This is ‘Poincare duality’ for  $K$ -theory.

Since we will only be dealing with smooth schemes, we are now going to blur the distinction between  $G_0$  and  $K^0$ . If  $\mathcal{F}$  is a coherent sheaf on  $X$ , we will write  $[\mathcal{F}]$  for the class that it represents in  $K^0(X)$ , although we more literally mean  $\beta([\mathcal{F}])$ . As an easy exercise, check that if  $i: U \hookrightarrow X$  is an open immersion then the image of  $[\mathcal{F}]$  under  $i^*: K^0(X) \rightarrow K^0(U)$  is the same as  $[\mathcal{F}|_U]$ . We will use this fact often.

If  $j: Z \hookrightarrow X$  is a smooth embedding and  $i: X - Z \hookrightarrow X$  is the complement, there is a Gysin sequence [Q, Prop. 7.3.2]

$$\cdots \rightarrow K^{-1}(X - Z) \rightarrow K^0(Z) \xrightarrow{j_!} K^0(X) \xrightarrow{i^*} K^0(X - Z) \rightarrow 0.$$

(Here  $K^{-1}(X - Z)$  denotes the group usually called  $K_1(X - Z)$ , and  $i^*$  is surjective because  $X$  is regular). The map  $j_!$  is known as the Gysin map. If  $\mathcal{F}$  is a coherent sheaf, then  $j_!([\mathcal{F}])$  equals the class of its pushforward  $j_*([\mathcal{F}])$  (also known as extension by zero). Note that the pushforward of coherent sheaves is exact for closed immersions.

**3.4. Basic facts about  $\mathbb{P}^n$ .** If  $Z$  is a degree  $d$  hypersurface in  $\mathbb{P}^n$ , then the structure sheaf  $\mathcal{O}_Z$  can be pushed forward to  $\mathbb{P}^n$  along the inclusion  $Z \rightarrow \mathbb{P}^n$ ; we will still write this pushforward as  $\mathcal{O}_Z$ . It has a very simple resolution of the form  $0 \rightarrow \mathcal{O}(-d) \rightarrow \mathcal{O} \rightarrow \mathcal{O}_Z \rightarrow 0$ , where  $\mathcal{O}$  is the trivial rank 1 bundle on  $\mathbb{P}^n$  and  $\mathcal{O}(-d)$  is the  $d$ -fold tensor power of the tautological line bundle  $\mathcal{O}(-1)$  on  $\mathbb{P}^n$ . So  $[\mathcal{O}_Z]$  equals  $[\mathcal{O}] - [\mathcal{O}(-d)]$  in  $K^0(\mathbb{P}^n)$ . From now on we'll write  $[\mathcal{O}] = 1$ .

Now suppose that  $Z \hookrightarrow \mathbb{P}^n$  is a complete intersection, defined by the regular sequence of homogeneous equations  $f_1, \dots, f_r \in k[x_0, \dots, x_n]$ . Let  $f_i$  have degree  $d_i$ . The module  $k[x_0, \dots, x_n]/(f_1, \dots, f_r)$  is resolved by the Koszul complex, which gives a locally free resolution of  $\mathcal{O}_Z$ . It follows that

$$(3.4) \quad [\mathcal{O}_Z] = (1 - [\mathcal{O}(-d_1)])(1 - [\mathcal{O}(-d_2)]) \cdots (1 - [\mathcal{O}(-d_r)])$$

in  $K^0(\mathbb{P}^n)$ . In particular, note that for a linear subspace  $\mathbb{P}^i \hookrightarrow \mathbb{P}^n$  one has

$$[\mathcal{O}_{\mathbb{P}^i}] = \left(1 - [\mathcal{O}(-1)]\right)^{n-i}$$

because  $\mathbb{P}^i$  is defined by  $n - i$  linear equations.

One can compute that  $K^0(\mathbb{P}^n) \cong \mathbb{Z}^{n+1}$ , with generators  $[\mathcal{O}_{\mathbb{P}^0}], [\mathcal{O}_{\mathbb{P}^1}], \dots, [\mathcal{O}_{\mathbb{P}^n}]$  (see [Q, Th. 8.2.1], as one source). If  $t = 1 - [\mathcal{O}(-1)]$ , then the previous paragraph tells us that  $K^0(\mathbb{P}^n) \cong \mathbb{Z}[t]/(t^n)$  as rings. Here  $t^k$  corresponds to  $[\mathcal{O}_{\mathbb{P}^{n-k}}]$ .

**3.5. Computations.** Let  $n = 2k$ . Recall that  $Q_{2k-1}$  denotes the quadric in  $\mathbb{P}^{2k}$  defined by  $a_1 b_1 + \cdots + a_k b_k + c^2 = 0$ . The Chow ring  $\mathrm{CH}^*(Q_{2k-1})$  consists of a copy of  $\mathbb{Z}$  in every dimension (see [DI, Appendix A] or [HP, XIII.4-5], for example). The generators in dimensions  $k$  through  $2k - 1$  are represented by subvarieties of  $Q_{2k-1}$  which correspond to linear subvarieties  $\mathbb{P}^{k-1}, \mathbb{P}^{k-2}, \dots, \mathbb{P}^0$  under the embedding  $Q_{2k-1} \hookrightarrow \mathbb{P}^{2k}$ . In terms of equations,  $\mathbb{P}^{k-i}$  is defined by  $c = b_1 = \cdots = b_k = 0$  together with  $0 = a_k = a_{k-1} = \cdots = a_{k-i+2}$ . The generators of the Chow ring in degrees 0 through  $k - 1$  are represented by subvarieties  $Z_i \hookrightarrow \mathbb{P}^{2k}$  ( $k \leq i \leq 2k - 1$ ), where  $Z_i$  is defined by the equations

$$0 = b_1 = b_2 = \cdots = b_{2k-1-i}, \quad a_1 b_1 + \cdots + a_k b_k + c^2 = 0.$$

Note that  $Z_{2k-1} = Q_{2k-1}$ .

The following result is proven in [R, pp. 128-129] (see especially the first paragraph on page 129):

**Proposition 3.6.** *The group  $K^0(Q_{2k-1})$  is isomorphic to  $\mathbb{Z}^{2k}$ , with generators  $[\mathcal{O}_{\mathbb{P}^0}], \dots, [\mathcal{O}_{\mathbb{P}^{k-1}}]$  and  $[\mathcal{O}_{Z_k}], \dots, [\mathcal{O}_{Z_{2k-1}}]$ .*

It is worth noting that to prove Theorem 3.1 we don't actually need to know that  $K^0(Q_{2k-1})$  is free—all we need is the list of generators.

*Proof of Theorem 3.1 when  $n$  is even.* Set  $n = 2k$ . To calculate  $K^0(DQ_{2k})$  we must analyze the localization sequence

$$\cdots \rightarrow K^0(Q_{2k-1}) \xrightarrow{j_i} K^0(\mathbb{P}^{2k}) \rightarrow K^0(DQ_{2k}) \rightarrow 0.$$

The image of  $j_i : K^0(Q_{2k-1}) \rightarrow K^0(\mathbb{P}^{2k})$  is precisely the subgroup generated by  $[\mathcal{O}_{\mathbb{P}^0}], \dots, [\mathcal{O}_{\mathbb{P}^{k-1}}]$  and  $[\mathcal{O}_{Z_k}], \dots, [\mathcal{O}_{Z_{2k-1}}]$ . Since  $\mathbb{P}^i$  is a complete intersection defined by  $2k-i$  linear equations, formula (3.4) tells us that  $[\mathcal{O}_{\mathbb{P}^i}] = t^{2k-i}$  for  $0 \leq i \leq k-1$ .

Now,  $Z_{2k-1}$  is a degree 2 hypersurface in  $\mathbb{P}^{2k}$ , and so  $[\mathcal{O}_{Z_{2k-1}}]$  equals  $1 - [\mathcal{O}(-2)]$ . Note that

$$1 - [\mathcal{O}(-2)] = 2(1 - [\mathcal{O}(-1)]) - (1 - [\mathcal{O}(-1)])^2 = 2t - t^2.$$

In a similar way one notes that  $Z_i$  is a complete intersection defined by  $2k-1-i$  linear equations and one degree 2 equation, so formula (3.4) tells us that

$$[\mathcal{O}_{Z_i}] = (1 - [\mathcal{O}(-1)])^{2k-1-i} \cdot (1 - [\mathcal{O}(-2)]) = t^{2k-1-i}(2t - t^2).$$

The calculations in the previous two paragraphs imply that the kernel of the map  $K^0(\mathbb{P}^{2k}) \rightarrow K^0(DQ_{2k})$  is the ideal generated by  $2t - t^2$  and  $t^{k+1}$ . This ideal is equal to the ideal generated by  $2t - t^2$  and  $2^k t$ , so  $K^0(DQ_{2k})$  is isomorphic to  $\mathbb{Z}[t]/(2^k t, 2t - t^2)$ . If we substitute  $\nu = [\xi] - 1 = -t$ , we find  $\nu^2 = -2\nu$ .

To find  $\tilde{K}^0(DQ_{2k})$ , we just have to take the additive quotient of  $K^0(DQ_{2k})$  by the subgroup generated by 1. This quotient is isomorphic to  $\mathbb{Z}/2^k$  and is generated by  $\nu$ .  $\square$

This completes the proof of Theorem 3.1 in the case where  $n$  is even. The computation when  $n$  is odd is very similar:

*Proof of Theorem 3.1 when  $n$  is odd.* In this case  $Q_{n-1}$  is defined by the equation  $a_1 b_1 + \cdots + a_k b_k = 0$  with  $k = \frac{n+1}{2}$ . The Chow ring  $\text{CH}^*(Q_{n-1})$  consists of  $\mathbb{Z}$  in every dimension except for  $k-1$ , which is  $\mathbb{Z} \oplus \mathbb{Z}$ . The generators are the  $Z_i$ 's ( $k-1 \leq i \leq 2k-2$ ) defined analogously to before, together with the linear subvarieties  $\mathbb{P}^0, \mathbb{P}^1, \dots, \mathbb{P}^{k-1}$ . By [R, pp. 128-129], the group  $K^0(Q_{n-1})$  is again free of rank  $2k$  on the generators  $[\mathcal{O}_{Z_i}]$  and  $[\mathcal{O}_{\mathbb{P}^i}]$ . One finds that  $K^0(DQ_n)$  is isomorphic to  $\mathbb{Z}[t]/(2t - t^2, t^k) = \mathbb{Z}[t]/(2t - t^2, 2^{k-1}t)$ . Everything else is as before.  $\square$

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