Algorithmic generation of graphs of branchwidth $\leqslant k$

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Abstract

Branchwidth is a connectivity parameter of graphs closely related to treewidth. Graphs of treewidth at most k can be generated algorithmically as the subgraphs of k-trees: starting with K_{k+1} one repeatedly chooses a k-clique C and adds a new vertex adjacent to vertices in C. In this paper we give an analogous algorithm for generating the graphs of branchwidth at most k. To this end we first investigate the family of edge-maximal graphs of branchwidth k, that we call k-branches. The k-branches are, just as the k-trees, a subclass of the chordal graphs where all minimal separators have size k. However, a striking difference arises when considering subgraph-minimal members of the family. Whereas K_{k+1} is the only minimal k-tree, we show that for any $k \geq 7$ a minimal k-branch having q maximal cliques exists for any value of $q \notin \{3, 5\}$, except for $k = 8, q = 2$. We give a precise characterization of minimal k-branches for all values of k. Our investigation culminates in a non-deterministic generation algorithm, that adds one or two new maximal cliques in each step, yielding as output exactly the k-branches.

Résumé

La largeur de branche est un paramètre qui, comme la largeur arborescente, mesure la connectivité d'un graphe. Les graphes de largeur arborescente au plus k sont les sous-graphes des k-arbres. Ils peuvent être générés de la manière suivante: à partir du K_{k+1}, on choisit successivement une clique C de taille k et ajoute un nouveau sommet adjacent à C. Nous étudions les graphes de largeur de branche au plus k dans cette même perspective. Pour cela, nous considérons les k-branches, les graphes arêtes-maximaux de largeur de branche k. Les k-branches, comme les k-arbres, sont une sous-famille des graphes triangulés. Alors que K_{k+1} est le seul k-arbre minimal, nous montrons que pour tout $k > 7$, il existe une k-branche minimale ayant q cliques maximales avec $q \notin \{3, 5\}$, sauf pour $k = 8, q = 2$. Nous caractérisons les k-branches minimales pour chaque valeur de k. Notre étude conclu par un algorithme de génération non-déterministe des k-branches.

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1 Introduction

Branchwidth and treewidth are connectivity parameters of graphs and whenever one of these parameters is bounded by some fixed constant on a class of graphs, then so is the other [15]. Since many graph problems that are in general NP-hard can be solved in linear time on such classes of graphs both treewidth and branchwidth have played a large role in many investigations in algorithmic graph theory. Tree-decompositions have traditionally been the choice when solving NP-hard graph problems by dynamic programming to give FPT algorithms when parameterized by treewidth, see e.g. [2, 14] for overviews. Tree-decompositions are in fact moving into the computer science curriculum, *e.g.* twenty pages of a new undergraduate textbook on Algorithm Design [11] is devoted to this topic. Recently it is the branchwidth parameter that has been in the focus of several algorithmic research results. For example, several papers [7, 5, 8, 9, 6] show that for graphs of bounded genus the base of the exponent in the running time of these FPT algorithms could be improved by instead doing the dynamic programming along a branch-decomposition of optimal branchwidth. Also, a strong heuristic algorithm for the travelling salesman problem [4] has been developed based on branch-decompositions. Very recently, an exact (exponential-time) algorithm has been given to compute branchwidth [10]. Given these recent developments in favor of branchwidth one may wonder why treewidth has historically been preferred over branchwidth? Mainly, this is because of the equivalent definition of '*G has treewidth* $\leq k$' by '*G is subgraph of a k-tree*'. This alternative definition is intuitively appealing since the k-trees are the graphs generated by the following very simple non-deterministic algorithm: '*Start with* K_{k+1}; *Repeatedly choose a k-clique C and add a new vertex adjacent to vertices in C*'. Can we define branchwidth in an analogous algorithmic way? This is the question that has inspired our research and in this paper we give an affirmative answer.

Our results lead to a better understanding of the branchwidth parameter. We believe this understanding will help in the design of algorithms computing branch-decompositions of small branchwidth and possibly also to improve the runtime of dynamic programming on branch-decompositions. These are crucial issues in all the applications of branchwidth mentioned above, ones that can make or break those applications. We start by investigating the family of edge-maximal graphs of branchwidth k, that we call <u>k-branches</u>. In Section 2 we report on related work [12] where we have given a characterization of k-branches as a subclass of the chordal graphs where every minimal separator has size k. In Section 3 we consider subgraph-minimal k-branches. They form the starting graphs of our algorithm generating k-branches, just as the minimal k-trees are the starting graphs K_{k+1} of the generation algorithm for k-trees. For $k \leq 4$ branchwidth behaves similarly to treewidth and the graph K_{k+1} is the single minimal k-branch. However, for larger values of k the minimal k-branches are more complicated and for $k \geq 7$ we find that there is a minimal k-branch on q maximal cliques for any $q \notin \{3, 5\}$, except for the pathological case $k = 8, q = 2$. We show that the minimal k-branches have clique trees that are caterpillars and we give a precise characterization of the family of minimal k-branches for all values of k. Our investigation culminates in Section 4 with a non-deterministic generation algorithm, that adds one or two new maximal cliques in each step, yielding as output exactly the graphs that are k-branches, and whose subgraphs are therefore exactly the graphs of branchwidth at most k.

2 Definitions and earlier results

A *branch-decomposition* (T, μ) of a graph G is a tree T with nodes of degree one and three only, together with a bijection μ from the edge-set of G to the set of degree-one nodes (leaves) of T. For an edge e of T let T_1 and T_2 be the two subtrees resulting from $T \setminus \{e\}$, let G_1 and G_2 be the graphs induced by the edges of G mapped by μ to leaves of T_1 and T_2 respectively, and let $mid(e) = V(G_1) \cap V(G_2)$. The width of (T, μ) is the size of the largest $mid(e)$ thus defined. For a graph G its *branchwidth* $bw(G)$ is the smallest width of any branch-decomposition of G. [2]

A tree-decomposition $(T, \mathcal{X})$ of a graph G is an arrangement of the vertex subsets $\mathcal{X}$ of G, called bags, as nodes of the tree T such that for any two adjacent vertices in G there is some bag containing them both, and for each vertex of G the bags containing it induce a connected subtree. For a subtree T' of T the induced tree-decomposition $(T', \mathcal{X}')$ is the result of removing from $(T, \mathcal{X})$ all nodes of $V(T) \setminus V(T')$ and their corresponding bags.

Definition 1 *A k-troika[3] (A, B, C) of a set X are 3 subsets of X such that $|A| \le k$, $|B| \le k$, $|C| \le k$, and $A \cup B = A \cup C = C \cup B = X$. (A, B, C) respects $S_1, S_2, ..., S_q$ if any $S_i, 1 \le i \le q$ is contained in at least one of A, B or C.*

Definition 2 *Let G be a chordal graph with C_G its set of maximal cliques and S_G its set of minimal separators. A tree-decomposition $(T, \mathcal{X})$ of G is called k-full if the following conditions hold: 1) The set of bags $\mathcal{X}$ is in 1-1 correspondence with $C_G \cup S_G$. We call the nodes with bags in C_G the maxclique nodes and the nodes with bags in S_G the minsep nodes. 2) The bags of the minsep nodes all have cardinality k. 3) There is an edge ij in the tree T iff $X_i \in S_G, X_j \in C_G$ and $X_i \subseteq X_j$. 4) Every maxclique bag X_j has a k-troika respecting its neighbor minsep bags.*

Note that if G has a k-full tree-dec then it is unique. Also by a result of [13] (Theorem 1) if G has a k-full tree-decomposition, then it has branchwidth at most k.

Definition 3 *We say that a k-full tree-decomposition $(T, \mathcal{X})$ of a graph G has a mergeable subtree if it has a subtree T' containing at least one edge and with its leaves being maxclique nodes and satisfying:*

1. *$|\{v : v \in X \text{ and } X \text{ a maxclique node in } T'\}| \le \lfloor 3k/2 \rfloor$*

2. *Either the subtree T' has at most one node that in T has a neighbor in $V(T) \setminus V(T')$ or else T' is a path X, B, Y with X, B, Y and all their neighbors in T inducing a path A, X, B, Y, C satisfying $B \setminus (A \cup C) = \emptyset$.*

We say that a k-full tree-decomposition $(T, \mathcal{X})$ of a graph G is a k-skeleton if G has at least $\lfloor 3(k-1)/2 \rfloor + 1$ vertices and T does not have a mergeable subtree.

Definition 4 *A graph G of branchwidth k is called a k-branch if adding any edge to G will increase its branchwidth.*

Theorem 1 *[12] G is a k-branch $\Leftrightarrow$ G has a k-skeleton*

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[2]The graphs of branchwidth 1 are the stars, and constitute a somewhat pathological case. To simplify certain statements we therefore restrict attention to graphs having branchwidth $k \ge 2$.

[3]A troika is a horse-cart drawn by three horses, and when the need arises any two of them should also be able to pull the cart

3 Minimal k-branches

In this section we consider the subgraph-*minimal* k-branches, and show that even though there is no upper bound on their size for $k \geq 7$, we are able to characterize them precisely. Note that for treewidth the similar concept is trivial, as K_{k+1} is the only minimal k-tree. We will describe the structure of the minimal k-branches by characterizing the minimal k-skeletons, which is a slightly different concept.

Definition 5 *The set of* minimal k-branches *is $MG(k) = \{G : G$ is a k-branch but no strict subgraph of G is a k-branch$\}$. The set of* minimal k-skeletons *is $MS(k) = \{(T, \mathcal{X} : (T, \mathcal{X})$ is a k-skeleton but for no proper subtree T' of T is the induced tree-decomposition $(T', \mathcal{X}')$ a k-skeleton$\}$*

If $G \in MG(k)$ then for its k-skeleton $(T_G, \mathcal{X})$ we have $(T_G, \mathcal{X}) \in MS(K)$. However, not all k-skeletons in $MS(k)$ represent a graph in $MG(k)$. For example if $(T, \mathcal{X})$ is the tree T having a single maxclique node on 6 vertices then we have $(T, \mathcal{X}) \in MS(4)$ since it is a minimal 4-skeleton but the graph K_6 that it represents is not a minimal 4-branch since it contains the 4-branch K_5 as a subgraph. We first give two useful Lemmas and then a Theorem that considers the minimal k-branches for small values of k and q.

Lemma 1 *Let $A - X - B - Y - C$ be a path in T for some k-full tree-decomposition $(T, \mathcal{X})$ with X and Y maxclique nodes. $X \cup Y$ has a k-troika respecting A, C if and only if $|X \cup Y| \leq \lfloor 3k/2 \rfloor$ and $B \setminus (A \cup C) = \emptyset$.*

Proof. If $|X \cup Y| > \lfloor 3k/2 \rfloor$ then $X \cup Y$ does not have a k-troika. Let $P = B \setminus (A \cup C)$. Note that we have $A \cap C \subseteq B$ and since $|A| = |C| = k$ we have $|A \cap C| = 2k - |(X \cup Y) \setminus P|$. But then $|X \cup Y| + |A \cap C| = 2k + |P|$ and this means that by Theorem 2 of [13] $X \cup Y$ has a k-troika respecting A, C if and only if $P = \emptyset$. □

Lemma 2 *If G is a minimal k-branch, then for its k-skeleton $(T, \mathcal{X})$ the tree T does not contain a maxclique leaf X with path $X - A - Y$ and both A and Y having degree 2.*

Proof. X and Y cannot be merged since otherwise G is not a k-branch, thus $|X \cup Y| > \lfloor \frac{3k}{2} \rfloor$. But then the subgraph induced by $X \cup Y$ is already a k-branch and G is not minimal: contradiction. □

Theorem 2 *Let $MG(k, q)$ be the k-branches of $MG(k)$ having q maximal cliques. Then*

1. *for any $k \geqslant 2$, $K_{\lfloor 3(k-1)/2 \rfloor + 1} \in MG(k, 1)$.*
2. *if $k \leqslant 6$ or $k = 8$, then $MG(k, 2) = \emptyset$. Otherwise if $k = 4c$ or $k = 4c + 1$, then then the k-branch $G_{k,2}$ with maxcliques K_{k+c}, K_{k+c+1} belongs to $MG(k, 2)$; and if $k = 4c + 2$ or $k = 4c + 3$, then the k-branch $G'_{k,2}$ with maxcliques K_{k+c+1}, K_{k+c+1} belongs to $MG(k, 2)$*
3. *for any k, $MG(k, 3) = \emptyset$.*
4. *if $k \leqslant 4$ or $k = 6$, then $MG(k, 4) = \emptyset$. Otherwise the k-branch $G_{k,4}$, for which the tree T of the k-skeleton is a claw [4] $(K_{k+1}, K_{k+1}, K_{k+1}, K_{\lfloor 3(k-1)/2 \rfloor - 1})$ belongs to $MG(k, 4)$.*
5. *if $q = 5$, then for any $k \geqslant 1$, $MG(k, q) = \emptyset$*

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[4] The claw is the tree on 4 nodes with three leaves and one node of degree 3. It will be denoted (X_1, X_2, X_3, Y) with X_i's being the leaves.

6. if $q \geqslant 6$, then for any $k \leqslant 6$, $MG(k, q) = \emptyset$.

Proof. The size of any maxclique of a minimal k-branch $G = (V, E)$, distinct from $K_{\lfloor \frac{3(k-1)}{2} \rfloor + 1}$, is in the range $[k + 1, \lfloor \frac{3(k-1)}{2} \rfloor]$. Moreover to be a k-branch, $|V| \geqslant \lfloor \frac{3k}{2} \rfloor + 1$.

1. $q = 1$: Straightforward.

2. $q = 2$: As any minsep has size k, $|V| = |X \cup Y| = |X| + |Y| - k$ with X and Y being the two maxcliques. It follows that:

$$\lfloor \frac{3k}{2} \rfloor + 1 \leqslant |V| \leqslant 2 \lfloor \frac{3(k-1)}{2} \rfloor - k \tag{1}$$

Therefore if k is odd, $k \geqslant 7$, otherwise $k \geqslant 10$.

Finally, let us recall that $G_{k,2}$ is the graph with maxcliques K_{k+c}, K_{k+c+1}, while $G'_{k,2}$ has maxcliques K_{k+c1}, K_{k+c+1}. The fact that $G_{k,2} \in MG(k, 2)$ (resp. $G'_{k,2} \in MG(k, 2)$) follows from the fact that $k + 2c + 1 \geqslant \lfloor 3k/2 \rfloor + 1$ (resp. $k + 2c + 2 \geqslant \lfloor 3k/2 \rfloor + 1$).

3. $q = 3$: By contradiction. By Lemma 2, the tree of the k-skeleton cannot be a path. Therefore the maxcliques X, Y and Z share a common minsep S. As no pair of maxcliques can be merged, the size of the union of any pair of maxcliques is at least $\lfloor \frac{3k}{2} \rfloor + 1$. It follows that any pair of maxcliques is already a k-branch.

4. $q = 4$: By Lemma 2, the only possible topology for the tree T of the k-skeleton is the claw. Let X_1, X_2, X_3 be the three maxclique leaves and Y the degree 3 maxclique. For any pair $i \neq j \in [1, 3]$, we have $|X_i \cup Y \cup X_j| \geqslant \lfloor \frac{3k}{2} \rfloor + 1$ otherwise these three cliques could have been merged. It follows that:

$$\lfloor \frac{3k}{2} \rfloor + 1 \leqslant |X_i \cup Y \cup X_j| \leqslant 3 \lfloor \frac{3(k-1)}{2} \rfloor - 2k \tag{2}$$

Therefore if k is odd, $k \geqslant 5$, otherwise $k \geqslant 8$.

Let us recall that $G_{k,4}$ is graph having the claw $(K_{k+1}, K_{k+1}, K_{k+1}, K_{\lfloor 3(k-1)/2 \rfloor - 1})$ as k-skeleton $(T, \mathcal{X})$. It is easy to check that $G_{4,k} \in MG(k, 4)$: it has enough vertices, any pair of neighboring maxcliques is not a k-branch and any path of length 3 is not mergeable.

5. $q = 5$: First notice that to be minimal, any maxclique of a k-branch has degree at most 3 in the tree of the k-skeleton. By Lemma 2 and the argument used in case $q = 3$, we show that $MG(k, 5) = \emptyset$.

6. $q \geqslant 6$: For $k \leqslant 4$, as $K_{\lfloor \frac{3(k-1)}{2} \rfloor + 1} = k + 1$, there is no minimal k-branch on $q > 1$ maxclique. For $k = 5$ or 6, we show that the tree T of the k-skeleton cannot contain a path $X - A - Y$ with both X and Y maxclique leaves. Combined with Lemma 2, it implies that any maxclique leaf belongs to a claw. Assume such a path exists. We should have $|X| = |Y| = k + 1$ and thereby $|X \cup Y| = k + 2$: implying that G is not a k-branch. If $k = 5$, such a claw contains only maxcliques of size 6, which is already a minimal 5-branch. Similarly, if $k = 6$,

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the claw only contains maxcliques of size 7. But then the claw only contains one maxclique leaf. Otherwise the two maxclique leaves and the degree 3 maxclique could have been merged without increasingthe branchwidth (it would form a maxclique leaf of size 9). If any claw contains at most one maxclique leaf, the number of maxcliques cannot be finite: contradiction. Therfore for any $k \leqslant 6$ and $q \geqslant 6$, $MG(k,q) = \emptyset$

$\square$

See also Figure 1 for an illustration of Theorem 2 and note that it actually indicates every pair of values k, q for which there is no minimal k-branch on q maximal cliques.

q \ k	1	2	4	6	7	8
1	K_2					
2	K_3					
3	K_4					
4	K_5					
5	K_7	$K_6 - K_6$	K_6 ∕ $K_6 - K_6$ ∖ K_6			
6	K_8					
7	K_{10}	$K_9 - K_9$	$K_8 - K_9$ ∖ K_8 / K_8	K_9 ∖ $K_8 - K_8$ / K_9 ... K_9 / K_9 ∖	K_9 ∖ $K_8 - K_8 - K_8$ / K_9 ... K_9 / K_9 ∖	K_9 ∖ $K_8 - K_8 - K_8 - K_8$ ∕ K_9 ... K_9 / K_9
8	K_{11}		K_9 ∕ $K_9 - K_{10}$ ∖ K_9			
9	K_{13}	$K_{11} - K_{12}$				

Figure 1: The structure of the trees T in the minimal k-skeletons $(T, \mathcal{X})$ on q maxclique nodes, for $k \leq 9, q \leq 8$. Downward arrows indicate that these structures exist also for larger k, allthough the sizes of the maxclique nodes will change. Notice the two pathological cases: $q = 2, k = 8$ and $q = 4, k = 6$. For any example depicted in the array, except for $q = 8$, the identities of the vertices (i.e. the way the minimal separators intersect each other) is not important. Let us examine the case $q = 8$. Let $A - X - B - Y - C$ be the path where X and Y are the two maxclique nodes of degree 2. For X and Y to be not mergeable, the minimal separators A, B and C have to satisify $B \setminus (A \cup C) \neq \emptyset$ implying that $X \cup Y \neq A \cup C$.

From Theorem 2, we can deduce the shape of the tree T of any minimal k-skeleton $(T, \mathcal{X})$ having $q = 1, 2$ or 4 maxclique nodes. If T has a unique maxclique node X, it must satisfy

$$\lfloor 3(k-1)/2 \rfloor + 1 \leqslant |X| \leqslant \lfloor 3k/2 \rfloor - 1 \tag{3}$$

If T has two maxclique nodes X and Y, then neither X nor Y is already a minimal k-skeleton so

$$|X|, |Y| \leqslant \lfloor 3(k-1)/2 \rfloor \quad \text{and} \quad \lfloor \frac{3k}{2} \rfloor + 1 \leqslant |X \cup Y| \leqslant 2\lfloor \frac{3(k-1)}{2} \rfloor - k \tag{4}$$

If T has four maxclique nodes X_1, X_2, X_3, Y then none of them satisfy Equation (3), none of the pairs X_i, Y (for $i = 1, 2, 3$) satisfy Equation (4) and for any $i \neq j$:

$$\lfloor \frac{3k}{2} \rfloor + 1 \leqslant |X_i \cup Y \cup X_j| \leqslant 3\lfloor \frac{3(k-1)}{2} \rfloor - 2k \tag{5}$$

Note that Lemma 2 is used to establish Equation (5). This implies that the claw is the only possible tree topology for $q = 4$. Thus for $q = 1, 2$ and 4, the shape of T is unique. To describe the minimal k-skeletons having 6 or more maxclique nodes the following definition of the adjacencies in a special caterpillar T will be useful (see Figure 3).

Definition 6 *A tree T is a* special caterpillar *if T consists of a body which is a path $X_1, S_1, X_2, S_2, \ldots X_p, S_p, X_{p+1}$ alternating between maxclique and minsep nodes for some $p \geq 3$ with added hairs of length one or two (a hair of length one being a new maxclique node added as neighbor of a minsep node of the body, and a hair of length two being two new adjacent maxclique-minsep nodes with the minsep node added as neighbor of a maxclique node of the body) satisfying the following conditions:*

1. *at most one hair for each node of the body*

2. *no hair on any of $X_1, S_1, S_2, S_{p-1}, S_p, X_{p+1}$*

3. *hair X_2' on X_2 and hair X_p' on X_p*

4. *if hair on S_i then no hair on X_i and no hair on X_{i+1}*

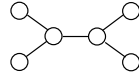5. *if hair on X_i then not hairs on both of X_{i-1} and X_{i+1}*

Figure 2: The unique special caterpillar with 6 nodes.

Theorem 3 *$(T, \mathcal{X})$ is a minimal k-skeleton for some $k \geq 7$ on at least $q \geq 6$ maxclique nodes $\Leftrightarrow$ $(T, \mathcal{X})$ is a k-full tree-decomposition with T a special caterpillar whose bags satisfy (bag names as in Definition 6):*

1. *either $|X_1 \cup X_2 \cup X_3| \leq 3k/2$ or $|X_2' \cup X_2 \cup X_3| \leq 3k/2$ and also either $|X_{p+1} \cup X_p \cup X_{p-1}| \leq 3k/2$ or $|X_p' \cup X_p \cup X_{p-1}| \leq 3k/2$*

2. *$|X_1 \cup X_2 \cup X_2'| > 3k/2$ and $|X_{p+1} \cup X_p \cup X_p'| > 3k/2$*

3. *For maxcliques X, Y with a common neighbor, $|X| \leq \lfloor 3(k-1)/2 \rfloor$ and $|X \cup Y| \leq 3k/2$*

4. *If S_i has a hair then $S_i \setminus (S_{i-1} \cup S_{i+1}) = \emptyset$*

5. *If X_i has a hair then either i) no hair on X_{i-1} and $S_{i-1} \setminus (S_{i-2} \cup S_i) = \emptyset$ or ii) no hair on X_{i+1} and $S_i \setminus (S_{i-1} \cup S_{i+1}) = \emptyset$*

6. *If no hair on neither of X_i, S_i, X_{i+1} then $S_i \setminus (S_{i-1} \cup S_{i+1}) \neq \emptyset$*

Proof. $\Leftarrow$: We first show that the k-full tree-decomposition $(T, \mathcal{X})$ is a k-skeleton, by showing that T does not have a mergeable subtree as in Definition 3. Any subtree T' having at most one node that in T has a neighbor in $V(T) \setminus V(T')$ is by condition 2 not mergeable since we would have $|\{v : v \in X$ and X a maxclique node in $T'\}| > \lfloor 3k/2 \rfloor$. Any subtree T' which is a path X, B, Y with X, B, Y and all their neighbors in T inducing a path A, X, B, Y, C will by condition 6 satisfy $B \setminus (A \cup C) \neq \emptyset$ and is thus not mergeable. Thus $(T, \mathcal{X})$ is a k-skeleton and it remains to show that it is a minimal k-skeleton. We prove by contradiction, that for any proper subtree T' of T the induced tree-decomposition $(T', \mathcal{X}')$ is not a k-skeleton. Unless the graph G' that $(T', \mathcal{X}')$ represents has at least $\lfloor 3(k-1)/2 \rfloor + 1$ vertices, $(T', \mathcal{X}')$ is not a k-skeleton. By condition 3 this means that T' must contain at least 2 maxclique nodes. We show that in any such T' there is a mergeable subtree T''. There are 5 special cases of subtrees T' to consider:

1. Suppose the maxclique bags of T' are X_1, X_2, X_2', X_3 or $X_{p-1}, X_p, X_p', X_{p+1}$. In both cases the 3 maxclique bags satisfying the size constraint in condition 1 form the mergeable subtree T''.

2. T' contains a leaf X having a minsep neighbor S of degree 2 that itself has neighbor Y. By condition 3, X, S, Y makes up the mergeable subtree T''.

3. T' contains two maxclique leaves X, Y with a common minsep neighbor S. Again by condition 3 X, S, Y is the mergeable subtree.

4. Suppose in T there was a hair on minsep S_i and that T' does not contain this hair but does contain $S_{i-1}, X_i, S_i, X_{i+1}, S_{i+1}$. In this case the mergeable subtree T'' is X_i, S_i, X_{i+1} by condition 4 and Lemma 1.

5. Suppose T has a hair on maxclique X_i and that T' does not contain this hair but that T' does contain $X_{i-1}, S_{i-1}, X_i, S_i, X_{i+1}$. Since T is a special caterpillar, neither S_{i-1} nor S_i has a hair. Thus, condition 5 and Lemma 1 guarantee that either the subtree X_{i-1}, S_{i-1}, X_i or the subtree X_i, S_i, X_{i+1} is mergeable.

$\Rightarrow$: We establish properties of the nodes of a tree T of a k-skeleton $(T, \mathcal{X}) \in MS(k)$.

(A) Any minsep node S of degree larger than 2 must have degree 3 with exactly one of its neighbors being a maxclique leaf and the other two having degree 2.

Assume by contradiction S has two maxclique leaf neighbors X, Y. If $|X \cup Y| \leq \lfloor 3k/2 \rfloor$ then they could have been merged into a larger clique. Otherwise, the subtree on the three nodes $X, X \cap Y, Y$ would induce a k-skeleton. Contradicting $(T, \mathcal{X}) \in MS(k)$. We show that for any three components T_1, T_2, T_3 of $T \setminus S$ one of the three must be a single maxclique node, thereby establishing that S has degree 3 and exactly one maxclique leaf neighbor. Assume that none of T_1, T_2, T_3 is a single maxclique node. Let $X_2 \in V(T_2)$ be a neighbor of S. We claim that the maximal subtree T' of T containing X_2 as a leaf with parent S would already induce a k-skeleton. This since any subtree T'' of T' that is mergeable in T' would have to contain X_2 (otherwise it would be mergeable also in T) and it would have to contain either all of T_1 or all of T_3, say wlog T_1, (otherwise the new merged clique would contain two minimal separators A, B with $X_2 \neq (A \cup B)$.) But then the union of maxclique nodes in T_1 would have size less than $3k/2$, which means that T_1 would have been a mergeable subtree already in T (since the new merged clique also in T would have only the minsep neighbor S) contradicting $T \in MS(k)$. Thus S has degree 3 and one maxclique leaf neighbor X_1. Let us show that the neighbors X_2, X_3 have degree 2. Assume X_2 has 3 minsep neighbors A, B, S and consider $T' = T \setminus \{X_1\}$. Note that we cannot have T' representing a graph of branchwidth

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less than k since otherwise T_2 would already have been mergeable in T. As any mergeable subtree T'' of T' could not be mergeable in T, T'' would have to contain X_2 and X_3. It then would also have to contain either all of T_1 or T_2. Otherwise the new merged clique would contain two minimal separators A, B with $X_2 \cup X_3 \neq (A \cup B)$. This means that T_1 or T_2 was already mergeable in T: contradiction.

(B) Any maxclique node X of degree 3 has all 3 minsep neighbors A_1, A_2, A_3 of degree 2 and at least one of them has a maxclique leaf as neighbor.

By (A), the minseps A_i's all have degree 2. Let Y_i be the second neighbor of A_i and assume neither of Y_1, Y_2, Y_3 is a leaf. Consider the partition of T into the clawt (A_1, A_2, A_3, X) and the three subtrees T_i rooted in Y_i ($i = 1, 2, 3$). Let T' be the subtree of T consisting of nodes X, A_1, A_2 together with T_1, T_2. Note that T' cannot represent a graph of branchwidth less than k since then T_1 (and T_2) would have been mergeable in T. Moreover, any mergeable subtree T'' of T' could not be mergeable in T so T'' would have to contain Y_3 and X, but then it would have to contain either all of T_1 or T_2. This means that either the subtree T_1 or the subtree T_2 was already mergeable in T, a contradiction.

(C) T cannot contain a leaf X having a degree-2 parent B that itself has another degree-2 neighbor Y. (This is Lemma 2)

(D) In any path A-X-B-Y-C of T with X and Y maxclique nodes if X,B,Y have degree 2 then $B \setminus (A \cup C) \neq \emptyset$. (This because of Lemma 1)

We are ready to describe all trees $T \in MS(k)$. There are two trees containing respectively 1 and 2 maxclique nodes except for $k = 8$ (see Theorem 2). For the remaining trees we note that (A), (B) and (C) together imply that for any maxclique leaf X in T with parent A we have either (type i) A of degree 3 with the other two neighbors of A having degree 2 but not being leaves, or A of degree 2 with parent Y of degree 3 having 3 neighbors of degree 2 with 1, 2 or 3 of these being neighbors of a leaf (types ii.1, ii.2, ii.3 respectively.) Moreover, all nodes of (maximum) degree 3 in T have at least one neighbor that is a leaf or neighbor of a leaf. Thus we can use the 4 types (i, ii.1, ii.2, ii.3) as building-blocks for any tree $T \in MS(k)$. If we use a building-block of type ii.3) then there is only a unique tree possible, with 4 maxclique nodes. Building blocks of type i) and ii.1) contain one leaf and two nodes needing new neighbors, while type ii.2) contains two leaves and one node needing a new neighbor. Thus, when using building-blocks of types i), ii.1) or ii.2) we must always have exactly two building-blocks of type ii.2), that will correspond to two ends of the body of a caterpillar having hairs of length 1 (type ii.1) or 2 (type i). A minsep node of degree 3 cannot be adjacent to a maxclique node of degree 3, because the maxclique hair of this minsep could then have been dropped and we would still have an induced k-skeleton. Likewise, no three consecutive maxclique nodes of the body all have a hair since then the middle hair could have been dropped and we would still have an induced k-skeleton. Thus, T is a special caterpillar.

To end the proof, it suffices to note that conditions 1-6 of the Theorem hold, since otherwise T would have had a mergeable subtree. □

Corollary 1 *The set of trees T on six or more maxclique nodes with $(T, \mathcal{X}) \in MS(k)$ representing a minimal k-branch are exactly the special caterpillars. The number $f(q)$ of non-isomorphic trees on q maxclique nodes in $MS(k)$ grows exponentially in q and the sequence $f(1), f(2), ..., f(8)$ is 1,1,0,1,0,1,1,1.*
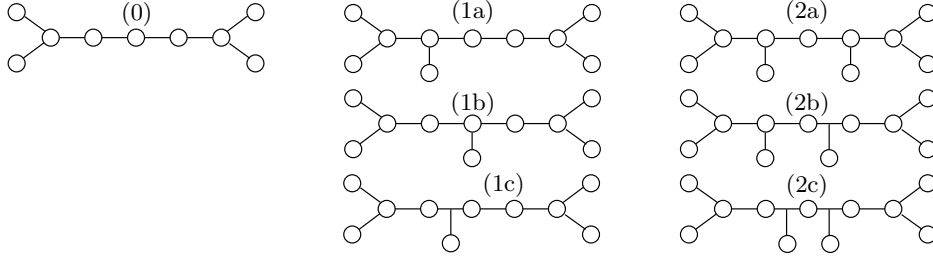
Figure 3: The 7 non-isomorphic special caterpillars with $p = 6$, by Definition 6, with maxclique nodes drawn as circles and minsep nodes not drawn explicitly but present on any edge between two adjacent maxclique nodes. Thus, 1c, 2b, 2c have minsep nodes of degree 3. These 7 are also the trees T in the minimal k-skeletons $(T, \mathcal{X}) \in MS(k)$ that could result from STAGE 1 of Algorithm of Section 4 if we choose option 5 and execute the Repeat-loop twice (by left-to-right symmetry we could also get the 3 trees isomorphic to 1a, 1c and 2b.) Assuming the construction in the algorithm goes from left to right in the figure, then cases 1a, 2a and 2b are the result of choosing option b: $\texttt{Start}(X, W, Y, Z, Hair, S)$ and the other cases are the result of choosing option a: $\texttt{Start}(X, W, Y, Z, S)$.

Proof. See Figure 1. For any tree T that is a special caterpillar we can construct a k-skeleton $(T, \mathcal{X}) \in MS(k)$ representing a minimal k-branch. Note that we can encode all binary strings of length $q/3$ by special caterpillars having q maxclique nodes, for example by letting the digits 1 or 0 correspond to the presence or non-presence of a leaf on a minsep node of the body. $\qquad \square$

4 An algorithm that generates k-branches

In this section we give an algorithm generating each possible k-skeleton, which by Theorem 1 will correspond to generation of the k-branches.

Definition 7 *Let $(T, \mathcal{X})$ be a k-skeleton. Add zero or more minsep leaves with bag-size k as neighbors of maxclique nodes of T as long as each maxclique node still has a k-troika respecting its minsep neighbors. The resulting objects form the set $ES(k)$ and are called the extended k-skeletons. If this process started with a minimal k-skeleton $(T, \mathcal{X}) \in MS(k)$ then the resulting objects form the set $EMS(k)$ and are called the extended minimal k-skeletons.*

Note that by definition $MS(k) \subseteq EMS(k) \subseteq ES(k)$. The algorithm is organised in 3 stages with the outputs of the previous stage forming the inputs to the next stage. STAGE 1 generates $MS(k)$, STAGE 2 generates $EMS(k)$ and STAGE 3 generates $ES(k)$. Informally, the *extended k-skeletons* $ES(k)$ have the dual property that we get a k-skeleton both if we remove all minsep leaves and also if we add a new maxclique leaf to each minsep leaf. Moreover, the k-skeletons themselves are exactly the subset of $ES(k)$ whose trees have only maxclique leaves. For our generation algorithm this implies that generating k-branches is equivalent to generating extended k-skeletons where all leaves are maxclique nodes.

Observation $\{G : G \text{ is a } k\text{-branch} \} = \{G : \exists (T, \mathcal{X}) \in ES(k) \text{ s.t. every leaf of } T \text{ is a maxclique node and } (T, \mathcal{X}) \text{ represents } G\}$

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Description of STAGE 1: Generation of the minimal k-skeletons $MS(k)$.

The minimal k-skeletons on 1, 2, 4 or 6 maxclique nodes are generated by the special rules `1clique`, `2clique`, `4clique` or `6clique` respectively. For the larger minimal k-skeletons $(T, \mathcal{X}) \in MS(k)$ we enter a Repeat-loop that will generate the special caterpillar T from left to right by adding in each iteration one or two new maxclique nodes to the current right end of its body. The Repeat-loop is prefixed and postfixed by building-blocks of type ii.2) having a central maxclique node X and two leaves W and Y. The prefix is one of the two rules $\texttt{Start}(X, W, Y, Z, S)$ or $\texttt{Start}(X, W, Y, Z, Hair, S)$. Here X, W, Y, Z and $Hair$ are maxclique nodes with S the minimal separator at the current right end of the body at which construction of the caterpillar will continue. Minsep node S is connected to Z. Z is connected by a minsep node $X \cap Z$ to the degree 3 maxclique node X. In the rule $\texttt{Start}(X, W, Y, Z, Hair, S)$. the node Z has a hair of length 2 ending in maxclique node Hair.

In the Repeat-loop we iteratively update S to denote the rightmost minsep node of the caterpillar body. When adding new maxclique nodes, both here and in *Stage 3*, the syntax for the operation is $\texttt{ADD}(oldminsep, newmaxclique, newminsep1, newminsep2)$, where the two latter parameters may be missing. The *newmaxclique* node is added as a neighbor of *oldminsep* and the *newminsep* nodes are added as neighbors of *newmaxclique*. Thus, to extend the rightmost end of the body by a path S, New, C with New a new maxclique node and C the new rightmost minsep node we use in `rule I` and `rule II` the operation $\texttt{ADD}(S, New, C)$. In `rule III` we are additionally adding a hair of length two consisting of minsep B and maxclique $Hair$ to the new maxclique node New and express this by the two operations $\texttt{ADD}(S, New, B, C)$ and $\texttt{ADD}(B, Hair)$. In `rule IV` we are adding a hair of length one to minsep node S by the two operations $\texttt{ADD}(S, New, C)$ and $\texttt{ADD}(S, W)$. The boolean values `HasHair` and `NeedsPair` govern which of `rule I` to `rule IV` can be applied while ensuring that the conditions for minimal k-branches are fullfilled. `HasHair` is True iff the rightmost maxclique node X of the current body has a hair H attached to it. `NeedsPair` is True iff `HasHair` is True and the next-to-last maxclique node Y would not be mergeable with X even if we had removed the hair H (in which case the next maxclique node New must satisfy that New and X would be mergeable if we had removed H.)

The repeat-loop ends with an $\texttt{End}(S, X, W, Y)$ rule where S is the minimal separator at the end of the current caterpillar body, to which X is attached with two leaves W and Y. The following condition $\texttt{OK1}(X, W, Y, Z)$ must hold both for the `Start` and `End` operations: $\texttt{OK1}(X, W, Y, Z)$ is True iff $|W \cup X \cup Y| > 3k/2$ and at least one of $|W \cup X \cup Z| \leq 3k/2$ or $|Y \cup X \cup Z| \leq 3k/2$. Without the first condition the final k-skeleton would not represent a k-branch, as we could have merged W, X, Y into a bigger clique while the second condition ensures that W, X, Y, Z does not already represent a k-branch. Moreover, in STAGE 1, we make the following obvious assumption on all pairs of maximal cliques X and Y that are made adjacent to the same minsep node $X \cap Y$: $|X| \leq \lfloor 3(k-1)/2 \rfloor$ and $|X \cup Y| \leq \lfloor 3k/2 \rfloor$. To not clutter the code we do not explicitly state these conditions, that correspond to condition 3 in Theorem 3 and ensure minimality.

Description of STAGE 2: Generation of the set $EMS(k)$

The input to STAGE 2 is a minimal k-skeleton $(T, \mathcal{X}) \in MS(k)$ as generated by STAGE 1. STAGE 2 is a repeat-loop that can be exited at any time and which in each iteration adds one new minsep leaf S as neighbor of some maxclique node X of $(T, \mathcal{X})$, according to Definition 7. We must ensure that X will still have a k-troika respecting its minsep neighbors. If X already had one neighbor A condition $\texttt{OK}(X, A, S)$ must hold, if it had two neighbors A, B condition $\texttt{OK}(X, A, B, S)$ must hold,

Algorithm 1: STAGE 1: Generate any $(T, \mathcal{X}) \in MS(k)$ by choosing 1,2,3,4 or 5

1: $(T, \mathcal{X}) := 1clique(X)$ s.t. $\lfloor 3(k-1)/2 \rfloor + 1 \leq |X| \leq \lfloor 3k/2 \rfloor$;
2: $(T, \mathcal{X}) := 2clique(X, Y)$ s.t. Equation (4) hold ;
3: $(T, \mathcal{X}) := 4clique(X, Y, Z, W)$ s.t. T is the claw and Equation (5) hold ;
4: $(T, \mathcal{X}) := 6clique(X_1, ..., X_6)$ s.t. T is the unique special caterpillar with $q = 6$ and conditions in Theorem 3 hold ;
5: **begin**
  first choose a or b ;
  a: $\mathtt{Start}(X, W, Y, Z, S)$ s.t. $\mathtt{OK1}(X, W, Y, Z)$; $\mathtt{HasHair} := 0$, $\mathtt{NeedsPair} := 0$;
  b: $\mathtt{Start}(X, W, Y, Z, Hair, S)$ s.t. $\mathtt{OK1}(X, W, Y, Z)$; $\mathtt{HasHair} := 1$, $\mathtt{NeedsPair} := 1$;
  repeat
    if *HasHair and NeedsPair* **then** choose $\mathtt{rule \ I}$;
    else if *HasHair and not NeedsPair* **then** choose $\mathtt{rule \ I, II}$ or $\mathtt{III}$;
    else choose $\mathtt{rule \ II, \ III}$ or $\mathtt{IV}$;
    $\mathtt{rule \ I}$: $\mathtt{ADD}(S, New, C)$ s.t. $S \setminus (A \cup C) = \emptyset$;
    $\mathtt{rule \ II}$: $\mathtt{ADD}(S, New, C)$ s.t. $S \setminus (A \cup C) \neq \emptyset$;
    $\mathtt{rule \ III}$: $\mathtt{ADD}(S, New, B, C)$ and $\mathtt{ADD}(B, Hair)$;
    $\mathtt{rule \ IV}$: $\mathtt{ADD}(S, New, C)$ and $\mathtt{ADD}(S, W)$ s.t. $S \setminus (A \cup C) = \emptyset$;
    if *rule III was chosen* **then** $\mathtt{HasHair} := 1$ and $\mathtt{NeedsPair} := (S \setminus (A \cup C) \neq \emptyset)$;
    else $\mathtt{HasHair} := 0$ and $\mathtt{NeedsPair} := 0$;
    $A := S$ and $S := C$;
  until *body of caterpillar is finished and NeedsPair$= 0$*;
end

while if it had three neighbors then a new neighbor cannot be added. These conditions are used also in STAGE 3 and defined below:

- $\mathtt{OK}(X, A, B)$ which is True iff $|X| + |A \cap B| \leq 2k$
- $\mathtt{OK}(X, A, B, C)$ which is True iff $|A \cup B| = |A \cup C| = |B \cup C| = |X|$

Lemma 3 $EMS(k) = \{(T, \mathcal{X}) : \exists$ *sequence of choices in* STAGE 1 *and in* STAGE 2 *s.t.* STAGE 2 *gives as output* $(T, \mathcal{X})\}$

Proof. We first show that $MS(k) \supseteq \{(T, \mathcal{X}) : \exists$ sequence of choices in STAGE 1 that gives $(T, \mathcal{X})\}$. For T having 1, 2, 4 or 6 maxclique nodes this is clear by the rules for $1clique$, $2clique$, $4clique$ and $6clique$. For 7 or more maxclique nodes we note that $\mathtt{rule \ I}$ and $\mathtt{rule \ II}$ does not add any hairs, while $\mathtt{rule \ III}$ adds a hair to the new maxclique node and $\mathtt{rule \ IV}$ adds a hair to the old minsep node. This means that conditions 1 and 4 of Definition 6 hold while conditions 2 and 3 hold by the $\mathtt{OK1}$ test on the $\mathtt{Start}$ and $\mathtt{End}$ additions. Condition 5 of Definition 6 holds since whenever the two last consecutive maxclique nodes of the body both have a hair then $\mathtt{HasHair}$ and $\mathtt{NeedsPair}$ are both True and none of $\mathtt{rule \ II, \ III, \ IV}$ or $\mathtt{End}$ addition could be applied, but only $\mathtt{rule \ I}$. We have established that any T produced by the first stage of the algorithm is a special caterpillar and it remains to show that the 6 conditions of Theorem 3 hold for T. Conditions 1 and 2 hold by the $\mathtt{OK1}$ test of the $\mathtt{Start}$ and $\mathtt{End}$ additions. Condition 3 is enforced but as mentioned we did not include it in this extended abstract not to clutter the Algorithm. Condition 4 holds since only

Rule III adds such a hair and the condition is explicitly mentioned in the rule. Finally, if the last maxclique node of the body has a hair and part i) of condition 5 fails, then `HasPair` and `NeedsPair` are both True and only `rule I` can be applied, which enforces part ii) of condition 5. Condition 6 holds since `rule II` does enforce $S \setminus (A \cup C) \neq \emptyset$ while `rule III, IV` enforce addition of a hair, and `rule I` is applied only when `HasHair` is already True.

We next show that $MS(k) \subseteq \{(T, \mathcal{X}) : \exists$ sequence of choices in Stage 1 that gives $(T, \mathcal{X})\}$: For T having 1, 2, 4 or 6 maxclique nodes this is clear by the rules for `1clique`, `2clique`, `4clique` and `6clique`. For 7 or more maxclique nodes we need to show that any $(T, \mathcal{X}) \in MS(k)$ could have been generated by Stage 1 of the Algorithm. First note that the `OK1` tests at the `Start` and `End` additions will allow either of the inequalities of condition 1 and condition 2 of Theorem 3 to hold. Thus we know that the ends of the special caterpillar T can be generated correctly. For the rest of T note that the boolean values `HasHair` and `NeedsPair` ensure that the Rules that can be applied will allow any $(T, \mathcal{X})$ satisfying conditions 3, 4, 5 and 6 of Theorem 3.

Thus we know that at the start of Stage 2 we have any $(T, \mathcal{X})$ in $MS(k)$. To prove the lemma it thus suffices to note that Stage 2 enforces exactly the conditions imposed on extended minimal k-skeletons in $EMS(k)$ as given by Definition 7. □

Description of STAGE 3: Generate the set $ES(k)$.

As in Stage 1 the rule adding a new maxclique node X adjacent to an existing minsep node A with new promise leaves B and C will have the syntax $\text{ADD}(A, X, B, C)$. In case we have one or zero promise leaves the syntax is $\text{ADD}(A, X, B)$ and $\text{ADD}(A, X)$. The shorthand $\text{ADD}(A, X, ...)$ can be replaced by any of the 3 rules. Similarly, the shorthand $\text{OK}(X, A, ...)$ appearing right after some $\text{ADD}(A, X, ...)$ has the intepretation that any third and fourth parameters B and C of the ADD also becomes a third and fourth parameter of the OK.

Algorithm 2: Stage 3: Takes as input some $(T, X) \in EMS(k)$ produced by Stage 2 and builds on this to produce as output an extended k-skeleton in $ES(k)$

---

repeat

  Choose a minsep node A of T;

  if A a leaf with parent W having a single other neighbor S **then**

  choose 1, 2, 3, 4 or 5;

  1: $\text{ADD}(A, New)$ s.t. $|W \cup New| > \lfloor 3k/2 \rfloor$;

  2: $\text{ADD}(A, New, B)$ s.t. $\text{OK}(New, A, B)$ and $|W \cup New| + |B \cap S| > 2k$;

  3: $\text{ADD}(A, New1)$ and $\text{ADD}(A, New2)$ s.t. $|New1 \cup New2| > \lfloor 3k/2 \rfloor$;

  4: $\text{ADD}(A, New1, B, ...)$ and $\text{ADD}(A, New2, ...)$ s.t. $\text{OK}(New1, A, B, ...)$ and $\text{OK}(New2, A, ...)$;

  5: $\text{ADD}(A, New, B, C)$ s.t. $\text{OK}(New, A, B, C)$;

  else

  choose 6 or 7;

  6: $\text{ADD}(A, New, B, ...)$ s.t. $\text{ADD}(New, A, B, ...)$;

  7: $\text{ADD}(A, New)$ s.t. $|Y \cup New| > \lfloor 3k/2 \rfloor$ for $\forall Y$ maxclique leaf with parent A;

until *done*;

Output the extended k-skeleton $(T, \mathcal{X})$, which represents a k-branch iff it has no minsep leaves;

Theorem 4 $ES(k) = \{(T, \mathcal{X}) : \exists$ *sequence of choices of rules in the 3 stages s.t. output is* $(T, \mathcal{X})\}$

Proof. $\subseteq$: By induction on the number of iterations of the repeat loop in the STAGE 3. For the base case, by Lemma 3 we know that the second stage gives $(T, \mathcal{X}) \in EMS(k)$ which by definition is a member of $ES(k)$. For the inductive case, each of the 7 addition rules preserves membership in $ES(k)$, since we can easily check that the condition for having a mergeable subtree given in Definition 3 will never be met.

$\supseteq$: Since $(T, \mathcal{X}) \in ES(k)$ we know that there exists at least one subtree T' of T with the induced tree-decomposition $(T', \mathcal{X}') \in MS(k)$. The proof will be by structural induction on the tree T. *Base case:* If the subtree T' mentioned above is the subtree of T that we get by removing all minsep leaves from T then we have $(T, \mathcal{X}) \in EMS(k)$ and are done since by Lemma 3 there is a sequence of choices such that the STAGE 2 gives T.

   Inductive case: Assuming the base case does not hold we show that T contains a smaller subtree T' with the induced tree-decomposition $(T', \mathcal{X}') \in ES(k)$ and such that application of some rule 1-7 of the Algorithm to the tree T' would give the tree T. We call a maxclique node *pendant* if it has at most one neighbor that is not a leaf. We call a pendant node *prunable* if its minsep parent itself has at most one non-pendant neighbor. We call a prunable node *good* if for the subtree T' resulting from removing it and any of its leaf neighbors we have the induced tree-decomposition in $ES(k)$, meaning that in particular T' itself contains a subtree whose induced tree-decomposition is a minimal k-skeleton. In the inductive case we will consider a good prunable maxclique node X with parent A, which exists by the Claim below.

Claim: A tree T with $(T, \mathcal{X}) \in ES(k)$ contains a good prunable node X iff $(T, \mathcal{X}) \notin EMS(k)$.
Proof: Consider the subtree of T where we have removed all minsep leaves and then removed all maxclique leaves. Any minsep leaf in this subtree is the parent of a prunable node. If none of these prunable nodes are good in T, then the subtree of T that we get after removing all minsep leaves must induce a tree-decomposition which is a minimal k-skeleton and thus $(T, \mathcal{X}) \in EMS(k)$. On the other hand, if one of these prunable nodes are good, then by definition $(T, \mathcal{X}) \notin EMS(k)$. $\diamondsuit$

   Let us first assume that A does not have any non-pendant neighbors. Then the intersection of any two maximal cliques in T is equal to A. Let T' be the subtree resulting from removing X and any of its leaf neighbors from T. By induction T' could be generated by a sequence of choices of the algorithm. If X has no leaf neighbors we apply rule 7 ADD(A, X) to T' to get T and if X has some leaf neighbors $B, \ldots$ we apply rule 6 ADD$(A, X, B, \ldots)$ to T' to get T. Note that the conditions allowing application of these rules in the Algorithm must be True, otherwise $(T, \mathcal{X})$ would not be an extended k-skeleton.

   For the remaining cases, we have the good prunable maxclique node X with parent A and with the unique non-pendant neighbor of A being called W. The possible arrangements of these nodes can be described by 3 numbers (x, y, z) where

- $x \in \{1, 2\}$ describes the number of neighbors W has apart from A,
- $y \in \{1, 2, 3\}$ describes the number of pendant maxclique neighbors A has, with 3 denoting any number larger than 2
- $z \in \{0, 1, 2\}$ describes the number of children leaves X has

   The rest of the proof considers in turn each of these cases, showing that one of rules 1-7 could have been applied to a subtree T' of T with $(T', \mathcal{X}') \in ES(k)$, to add the prunable maxclique node

X and possibly some of the other nodes as well, to yield the tree $(T, \mathcal{X})$. Note that rules 3 and 4 actually add 2 new maxclique nodes as neighbors of the minsep A, whereas the other rules add only a single neighbor.

Let us start with a full argument for the case $(x = 1, y = 1, z = 1)$. We then have in the tree T a minsep leaf B with its parent X being a pendant maxclique node with a path $B - X - A - W - S$ in the tree T such that nodes X, A, W all have degree 2. Consider the tree $T' = T \setminus \{B, X\}$ and note that the assumption $(T, \mathcal{X}) \in ES(k)$ implies $(T', \mathcal{X}') \in ES(k)$ since in particular no cliques could be merged without increasing branchwidth in T' because then they could also be merged in T. The crucial point here is that T' contains the *promise* leaf A and any clique that is merged with W in T' would still need to have a k-troika respecting also A. By the induction hypothesis there is a derivation sequence giving $(T', \mathcal{X}')$ and we argue that this derivation sequence followed by application of rule 2 with parameters $\texttt{ADD}(A, X, B)$ will yield $(T, \mathcal{X})$. The fact that applying this rule to $(T', \mathcal{X}')$ would yield $(T, \mathcal{X})$ is obvious so all we need to check is that the conditions of the Algorithm allow application of rule 2. Rule 2 is prefaced by the condition *'If A a leaf with parent W having a single other neighbor S choose 1,2,3,4 or 5'*, and we first note that minsep leaf A in the tree T' does indeed satisfy this condition. Rule 2 has the further condition *'if $\texttt{OK}(X, A, B)$ and $|W \cup X| + |B \cap S| > 2k$'* that holds for the following reason: Since T is a k-skeleton we must have $\texttt{OK}(X, A, B)$ since otherwise X could not have a k-troika respecting A, B, while condition $|W \cup X| + |B \cap S| > 2k$ must be True since otherwise we could have merged the two cliques X and W in T without increasing branchwidth contradicting $(T, \mathcal{X}) \in ES(k)$.

We now argue for the remaining cases. By inspecting the Algorithm, we note that rules 1-5 are used only if A is a leaf and $x = 1$. Thus when $y = 1$, meaning that A has in T a single maxclique pendant neighbor X, we take the subtree resulting from removing X and its leaf neighbors and apply to it rule 1 if $z = 0$, rule 2 if $z = 1$ and rule 5 if $z = 2$. In each case this will add X to A. This covers all cases of $x = 1, y = 1$. If $x = 1, y = 2$ then the minsep node A has two pendant maxclique neighbors X_1, X_2. Let X_i have σ_i minsep leaf neighbors and assume that $\sigma_1 \geq \sigma_2$. We let $z = \sigma_1$ and apply rule 3 in case $z = 0$ and we apply rule 4 in case $z \in \{1, 2\}$, adding both maxcliques X_1 and X_2. Note that no minimal k-skeleton has a minsep node A of degree 3 with two leaves. Thus, both X_1 and X_2 are good prunable nodes. Moreover, since no minimal k-skeleton has a minsep node A of degree 2 with one neighbor being X a leaf and the other neighbor W having degree 2, then in fact we can remove both X_1 and X_2 and still be guaranteed to have a subtree T' of T with $(T', \mathcal{X}') \in ES(k)$. We have thus argued all cases of $x = 1, y \in \{1, 2\}, z \in \{0, 1, 2\}$.

It remains to argue for $x = 2$ and also all cases where $y = 3$. For all these cases we use rule 7 if $z = 0$ and rule 6 otherwise, adding a single maxclique neighbor X to minsep A. Consider the subtree T' of T resulting from removing X and its leaf neighbors. We note that the 'Else' pre-condition for rules 6 and 7 hold, that the pre-condition $\texttt{OK}(New, A, B, ...)$ for rule 6 holds, and that the pre-condition $|Y \cup X| > \lfloor 3k/2 \rfloor$ for rule 7 holds since if X had no leaf neighbor and Y was another maxclique leaf neighbor of A then we would have $|Y \cup X| > \lfloor 3k/2 \rfloor$, as otherwise $(T, \mathcal{X})$ would not be a k-skeleton. We need to argue that $(T', \mathcal{X}') \in ES(k)$, in particular that W could not in T' be merged with some maxclique Y into a larger new clique without increasing branchwidth (the reason we cannot merge W with two other maxcliques Y_1, Y_2 in T' is because then Y_1, Y_2 could have been merged already in T). In all cases the argument is that in T' the new clique would have two minsep neighbors S_1, S_2 and the newly merged clique would have to respect both S_1 and S_2 which is not possible as $S_1 \cup S_2 \neq W \cup Y$. In particular, in case $x = 2$ we take for S_1, S_2 the 2 minsep neighbors of W different from A and in case $x = 1, y = 3$ we can use $S_1 = A$ since the

minsep A would have remained a minimal separator also in T'. □

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