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# Aliphatic Polyester Block Polymer Design

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**Supporting Information** 

**ABSTRACT:** Aliphatic polyester block polymers constitute a highly useful and amazingly versatile class of self-assembled materials. Analogous to styrenic block polymers in both design and function, the property profiles of these degradable materials can be precisely tailored by altering the chemical structure of the components. Driven by this ideal, we have examined the impact of *n*-alkyl substituents on the polymerization thermodynamics and kinetics of substituted  $\delta$ -valerolactone monomers and developed guiding design principles based on critical structure—property relationships in the resulting aliphatic polyesters. Under bulk room temperature conditions the polymerization rate depends strongly on substituent position and exhibits a more modest dependence on alkyl length (from  $-CH_2$  to  $-(CH_2)_0CH_2$ ).



dependence on alkyl length (from  $-CH_3$  to  $-(CH_2)_8CH_3$ ). The enthalpy and entropy of polymerization are significantly influenced by substituent position, but both are largely insensitive to *n*-alkyl length. However, the physical properties of the resulting aliphatic polyesters depend much more on substituent length than on substituent position. Notably, we demonstrate that polymer entanglement molar mass and solubility parameter can be systematically tuned by changing the substituent length. We discuss how these key structure property relationships can be used to inform the design of advanced sustainable materials for future technologies important in the arena of environmentally friendly materials.

# INTRODUCTION

Few would argue synthetic polymers are indispensible to modern society. These durable and versatile materials are produced on a scale of hundreds of billions of kilograms annually for many diverse applications, including lightweighting transportation, insulating buildings, and packaging food and pharmaceuticals.<sup>1,2</sup> However, the widespread use of non-degradable, petroleum-derived plastics has created a massive waste management problem and left an indelible mark on the environment.<sup>3,4</sup> Increasingly, public awareness of the problem of plastic pollution has prompted municipal bans on, for example, plastic bags and single-use food packaging.<sup>5,6</sup> An important goal of contemporary polymer science is therefore to create renewable, degradable alternatives that can compete with existing polymers in performance and, perhaps more importantly, cost.

Inspired by the incredible versatility of styrenic ABA triblock polymers, we and others have investigated degradable analogues composed of poly(lactide) (PLA) as the hard "A" blocks and an amorphous aliphatic polyester homopolymer<sup>7–17</sup> or statistical copolymer<sup>18,19</sup> as the soft "B" block. These allpolyester materials are very attractive from a synthetic perspective; because ring-opening transesterification polymerization (ROTEP) is generally very well controlled, the molecular architecture, composition, and molar mass of the block polymer can be easily adjusted to alter the properties of the material.<sup>20</sup> Structural variations in the midblock, such as changes in the substituent size or location, provide another mechanism for tuning the thermal, mechanical, and degradation behavior of the product block polymer.<sup>13,21,22,19</sup>

Although myriad aliphatic lactones with diverse structures have been polymerized, the majority of these monomers have been derived from fossil fuels or from prohibitively expensive natural products.<sup>7,23</sup> Recent advances in synthetic biology have enabled the microbial production of monomers, including lactones, from inexpensive renewable starting materials.<sup>14,24–26</sup> It is important, however, to consider whether the potential value of a new polymer justifies the cost and effort of developing an engineered organism. To help establish general design rules for aliphatic polyester block polymers, we examined how changing the position and size of an alkyl substituent influences lactone polymerizability and resultant polyester properties.

The thermodynamic polymerizability of cyclic monomers is strongly related to ring size.<sup>27</sup> The ring-opening polymerization of small and medium sized cyclic monomers (3–14-membered rings) is typically enthalpically favored ( $\Delta H_p^\circ < 0$ ) but entropically disfavored ( $\Delta S_p^\circ < 0$ ). In practical terms, these thermodynamic characteristics can lead to low equilibrium conversions for monomers characterized by low ring strain. Specific to unsubstituted lactones, experimental and computational studies have revealed that ring strain is highest for  $\beta$ -

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propiolactone (4-membered ring) and much lower for  $\gamma$ butyrolactone (5-membered ring) and  $\delta$ -valerolactone (6membered ring).<sup>28,29</sup> Indeed, the former is nearly incapable of polymerization under typical conditions.<sup>29–32</sup> Although thermodynamic parameters have been reported for the polymerization of several substituted  $\delta$ -valerolactone monomers, the effect of alkyl substituent size and location on the standard state enthalpy ( $\Delta H_p^{\circ}$ ) and entropy ( $\Delta S_p^{\circ}$ ) of polymerization has not been experimentally studied in a systematic manner.<sup>14,30,33,34</sup> Importantly, when both  $\Delta H_p^{\circ}$  and  $\Delta S_p^{\circ}$  are negative (as is generally true for medium sized lactones), the ratio of the two is proportional to the ceiling temperature ( $T_c$ ). It is worth noting that  $T_{cr}$  the temperature above which a monomer will not polymerize at a given concentration in a specific solvent, is catalyst independent.

For prospective new monomers, favorable thermodynamics are absolutely necessary but not wholly sufficient; practically, the polymerization must reach high conversion within an operable time. Thermodynamic and kinetic polymerizability are not inherently linked, and the latter is intrinsically dependent on the catalyst used.<sup>35,36</sup> Multitudinous transition metal, enzymatic, and organic catalysts have been developed for the polymerization of lactones.<sup>37–41</sup> When monomer ceiling temperature is low, it is imperative to conduct the polymerization at the lowest temperature and highest monomer concentration practically possible. However, with only few exceptions, kinetic experiments are typically conducted in solution using unsubstituted lactones such as  $\varepsilon$ -caprolactone or  $\delta$ -valerolactone.<sup>33,42–45</sup> The effect of alkyl substituent size and location on bulk polymerization rate has not been systematically studied.

In this work we describe the impact of lactone structure on ROTEP of *n*-alkyl substituted  $\delta$ -valerolactones. Using a series of 12 different  $\delta$ -lactones, shown in Figure 1, we systematically

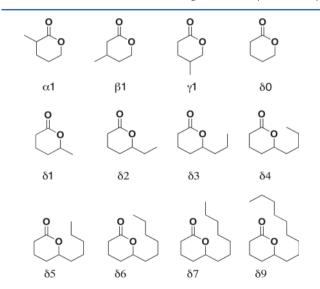


Figure 1. n-Alkyl- $\delta$ -valerolactone monomers studied in this work. All compounds studied were racemic.

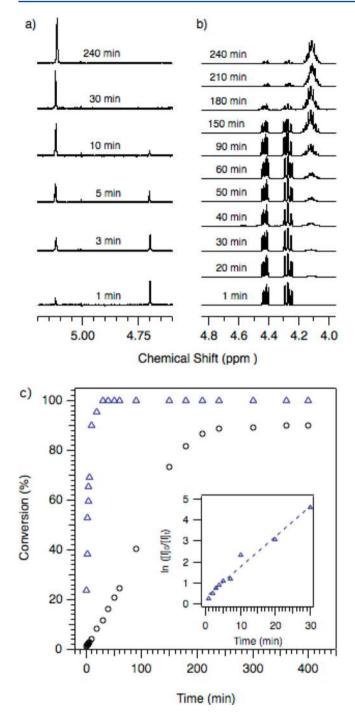
analyze how changes in the position and length of a substituent influence both  $\Delta H_p^{\circ}$  and  $\Delta S_p^{\circ}$ . With the same set of monomers, we study how monomer structure impacts the bulk polymerization kinetics. By comparing two different aliphatic polyesters, poly( $\beta$ -methyl- $\delta$ -valerolactone) (**P** $\beta$ **1**) and poly( $\delta$ -pentyl- $\delta$ valerolactone) (**P** $\delta$ **5**), we also examine how polymer structure can impact key physical characteristics (e.g., solubility parameter ( $\delta$ ) and entanglement molar mass ( $M_e$ )). We then discuss how degradable alkyl-substituted polyesters such as these can be used to prepare polyester block polymers suitable for a wide range of potential uses. This comprehensive work melds a fundamental study of lactone polymerizability with an detailed analysis of polymer structure—property relationships to establish guidelines for the design of future sustainable materials that are becoming more and more important for environmentally sound product applications.

## RESULTS

The racemic monomers shown in Figure 1 were each polymerized in the bulk at room temperature ( $\sim 27$  °C) with the acid catalyst diphenyl phosphate (DPP). Benzyl alcohol (BnOH) was employed as an initiator to control the molar mass of the polymer. To stop the polymerization, excess triethylamine was added; this deactivates the DPP catalyst but does not appear to have any effect on the resulting polymer structure. Using <sup>1</sup>H NMR spectroscopy of quenched aliquots, the initiation and polymerization reactions were independently monitored; this is shown for the monomer  $\beta 1$  in Figure 2. For this particular monomer, the initiator is fully consumed within minutes while the polymerization approaches equilibrium in a few hours. Although individual monomer structure impacts both initiation and polymerization rates, in all cases we studied the rate of initiation is at least 10 times greater than the rate of propagation.

As shown in the inset of Figure 2c, the initiation reaction is first order in initiator. Initial rate studies, summarized in Table S1 and Figure S60 of the Supporting Information, confirm the polymerization rate increases with both initiator and catalyst concentration. Interestingly, the rate of polymerization does not decrease as monomer is consumed. That is, the slope of monomer conversion over time is linear until the reaction approaches equilibrium. This is atypical for ROTEP polymerizations where, more commonly, the rate of polymerization decreases as the monomer is consumed. A semilogarithmic transformation (Figure S58) of the monomer conversion data in Figure 2 is concave up, indicating the reaction is pseudozero in monomer. The pseudo-zero monomer dependence is also evidenced by the linearity of the data shown in Figure 2c. Although this peculiar observation has not previously reported for DPP-catalyzed polymerizations, it has been observed for other cationic polymerizations where the monomer is more basic than the polymer.<sup>46</sup>

At fixed initial monomer, catalyst, and initiator concentrations, the rate of polymerization is strongly dependent on monomer structure. Among the methyl-substituted lactones, m eta 1and  $\alpha 1$  exhibit polymerization rates that are similar to the unsubstituted parent  $\delta$ -valerolactone ( $\delta 0$ ) under identical conditions. Among the methyl-substituted monomers,  $\delta 1$  is the slowest to polymerize with an observed polymerization rate constant about an order of magnitude smaller than that of the unsubstituted lactone. It is likely that this effect is partially caused by the relatively low reactivity of the propagating secondary alcohol.<sup>47,48</sup> When the substituent position is fixed, increasing the *n*-alkyl length decreases the polymerization rate; however, it is worth noting that the bulk concentration also decreases as the side chain length is increased. On the basis of prior work, we expect a similar trend to hold, even if the polymerizations are conducted in solvent at fixed concentration.<sup>42</sup> Provided the catalyst and initiator loadings are fixed,



**Figure 2.** Room temperature (~27 °C) polymerization of  $\beta$ 1 with  $[DPP]_0 = 0.045 \text{ M}$ ,  $[BnOH]_0 = 0.055 \text{ M}$ , and  $[\beta 1]_0 = 9.11 \text{ M}$ . (a) <sup>1</sup>H NMR spectra overlay showing initiation. The benzyl methylene protons shift from 4.74 ppm in the free alcohol to 5.10 ppm in the initiated polyester. (b) <sup>1</sup>H NMR spectra overlay showing monomer consumption. The  $\delta$  methylene protons, present at 4.25 and 4.40 ppm in the lactone, coalesce and shift to 4.10 ppm in the polyester. Although the chemical shift of the signal(s) corresponding to the  $\delta$  methylene proton(s) were slightly different for each of the monomers shown in Figure 1, as shown in Supporting Information Figures S1–S21, it was possible to simultaneously monitor both initiation and polymerization by <sup>1</sup>H NMR spectroscopy in all cases. (c) Plot of the data in (a) and (b) showing BnOH ( $\Delta$ ) and  $\beta$ 1 ( $\bigcirc$ ) conversion over time. (inset) Semilogarithmic anamorphosis of BnOH consumption reveals initiation is first-order in initiator concentration.

the observed time required to reach 50% monomer conversion  $(t_{1/2})$  allows a more direct comparison of all monomers; these data are summarized in Figure 3 and given in Table S2 of the Supporting Information.

As noted previously, the room temperature kinetics experiments approach an equilibrium monomer concentration ([M]<sub>eq</sub>) over time. The residual monomer concentration is highly dependent on substituent location and reaction temperature. Whereas the bulk room temperature polymerizations of  $\alpha 1$  and of  $\gamma 1$  each approach 98% monomer conversion, the bulk polymerizations of  $\beta l$  and of  $\delta 1$  reach lower equilibrium conversion, 91% and 89%, respectively. Within the  $\delta$ -substituted monomer series, the substituent length influences the equilibrium conversion very little. For all *n*-alkyl substituent lengths the room temperature equilibrium conversion is  $89\% \pm 2\%$ . To learn how monomer structure impacts polymerization thermodynamics, we used the temperature dependence of the equilibrium monomer concentration (i.e., Van't Hoff analysis) to estimate  $\Delta H_p^{\circ}$  and  $\Delta S_p^{\circ}$ . This is shown for the methyl-substituted lactones in Figure 4, where the residual monomer concentration is fit to eq 1:

$$\ln\!\left(\frac{[M]_{eq}}{[M]_{ss}}\right) = \frac{\Delta H_{p}^{\circ}}{RT} - \frac{\Delta S_{p}^{\circ}}{R}$$
(1)

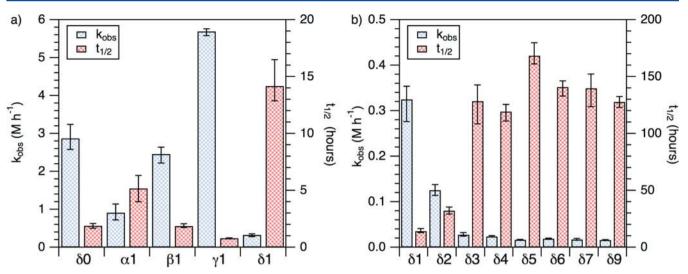
In this expression, T is the temperature at which the polymerization is conducted and  $[M]_{ss}$  is a standard state monomer concentration. Further discussion and Van't Hoff plots for the other *n*-alkyl-substituted monomers are included in the Supporting Information.

Comparing the methyl-substituted  $\delta$ -valerolactones, the bulk polymerization of  $\delta \mathbf{1}$  is both most exothermic and most entropically unfavored.<sup>14,30</sup> When the *n*-alkyl substituent position is fixed, increasing the length appears to have little effect on the thermodynamics of polymerization. These data are shown in Table 1. Increasing the substituent position from a methyl to a nonyl group produced minor changes in  $\Delta S_p^\circ$  and  $\Delta H_p^\circ$ . However, the changes are minimal compared to the impact of substituent position. Indeed, we find that within error  $\delta 7$  has the same values of  $\Delta S_p^\circ$  and  $\Delta H_p^\circ$  as  $\delta \mathbf{1}$ .

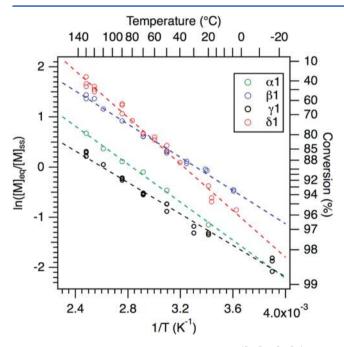
After studying the thermodyanmic and kinetic polymerizability of the lactone monomers, we explored the physical properties of the corresponding poly(esters).

From the plateau modulus of high molar mass (~100 kg mol<sup>-1</sup>) polymers we estimated the entanglement molar mass of representaive poly(*n*-alkyl- $\delta$ -valerolactones); these results are summarized in Table S3. Whereas estimates of the entanglement molar mass ( $M_e$ ) of P $\alpha$ 1 (7.7 kg mol<sup>-1</sup>) was significantly higher that of PCL (3.0 kg mol<sup>-1</sup>), the other poly(methyl valerolactones) were all relatively low (4.3, 2.2, and 3.4 kg mol<sup>-1</sup>, for P $\beta$ 1, P $\gamma$ 1, and P $\delta$ 1, respectively). When the substituent position is fixed, the entanglement molar mass of the polymer increases with substituent length. P $\delta$ 5, for example, has an entanglement molar mass (13.5 kg mol<sup>-1</sup>) that is about 4 times larger than P $\delta$ 1.

Despite the differences in entanglement molar masses, there were negligible differences in the thermal properties of the *n*-alkyl-substituted poly( $\delta$ -valerolactones) studied. Whereas unubstituted poly( $\delta$ -valerolactone) (**P** $\delta$ **0**) is semicrystalline ( $T_m = 55$  °C), addition of a methyl substituent is sufficient to disrupt crystallinity in these atactic polymers. Notably, all lactones used in this work are racemic, and the DPP catalyst used is not enantioselective. Addition of the methyl group also increases



**Figure 3.** Observed rate for the DPP-catalyzed bulk polymerization of poly(*n*-alkyl- $\delta$ -valerolactones). The column bars and error bars show the median and range for three samples, respectively, unless otherwise noted. Polymerizations were conducted in the bulk at temperatures of  $27 \pm 2$  °C using initial catalyst and initation loadings of ([M]<sub>0</sub>:[DPP]<sub>0</sub>  $\approx$  200:1 and [M]<sub>0</sub>:[BnOH]<sub>0</sub>  $\approx$  200:1). The data represented here are provided in Table S2.



**Figure 4.** Inverse temperature dependence of  $\ln([M]_{eq}/[M]_{ss})$  fit to eq 1. In this work the reference standard state concentration is defined as 1.0 M for convenience. Although it is possible to compare the methyl-substituted monomers directly, this assumption can lead to inaccuracies when comparing monomers with different bulk concentrations. This is further discussed in the Supporting Information. Thermodynamic parameters,  $\Delta H_p^\circ$  and  $\Delta S_p^\circ$ , for these and other monomers are summarized in Table 1. The bulk concentrations for the monomers analyzed in this work are given in Table S3.

the glass transition temperature  $(T_g)$  slightly (from -60 to -52 °C) as compared to the parent poly(valerolactone). However, as shown in Table S3, there is no significant change in the glass transition temperature when the methyl is replaced with longer *n*-alkyl chains. All of the polymers explored in this work have side chains that are incapable of crystallization at temperatures above the  $T_g$ .

Block polymer phase behavior is influenced by a number of factors including the connectivity and volume fractions (f) of the constituent blocks and the segregation strength of the disparate components  $(\chi N)$ . Among these parameters the segment—segment interaction parameter,  $\chi$ , is a property inherent to a given pair of polymers. The interaction parameter is a measure of chemical incompatibility and generally increases with difference in solubility parameters between the two segments. This can be expressed by eq 2:

$$\chi_{\rm A-B} = \frac{V_{\rm ref} (\delta_{\rm A} - \delta_{\rm B})^2}{RT}$$
(2)

Here we use estimates of  $\chi$  and the Hansen solubility parameter of PLA (19.8 J<sup>1/2</sup> cm<sup>-3/2</sup>) to calculate the solubility parameters of two different polyester midblocks.<sup>62</sup> Because the solubility parameter is independent of a reference volume, it is a convenient metric for comparing structurally dissimilar polymers. In this work we used the assumption that segregation strength at the lamellar to disorder phase boundary is constant ( $(\chi N)_{ODT} = 17.996$  for ABA triblocks) to estimate  $\chi$  for several compositionally symmetric ABA triblock polymers of different molar masses at the order to disorder transition. N was calculated from the triblock molar mass using a reference volume of 118 Å.<sup>63</sup> The temperature dependence of the segment-segment interaction parameter was fit to eq 3.

$$\chi(T) = \frac{a}{T} + \beta \tag{3}$$

This is shown for PLA-**P** $\delta$ **5**-PLA and PLA-**P** $\beta$ **1**-PLA in Figure 5. From these estimates of  $\chi$  we approximate solubility parameters of 18.4 and 16.8 J<sup>1/2</sup> cm<sup>-3/2</sup> for poly( $\beta$ **1**) and poly( $\delta$ **5**), respectively.

It is gratifying to note that the constitutional isomers  $poly(\beta 1)$  and  $poly(\epsilon$ -caprolactone) are characterized by the same solubility parameter (18.4 J<sup>1/2</sup> cm<sup>-3/2</sup>).<sup>64</sup> Poly( $\delta 5$ ) has more aliphatic content than  $poly(\beta 1)$ ; the solubility parameter of this polymer (16.8 J<sup>1/2</sup> cm<sup>-3/2</sup>) is actaully close to that of polyisoprene.<sup>65</sup> On the basis of group contribution estimates, the solubility parameter is expected to continue to decrease as the length of the side chain increases. However, previous work

Monomer	ΔH <sup>°</sup> <sub>p</sub> (kJ mol <sup>-1</sup> )	ΔS <sup>°</sup> <sub>p</sub> (J mol <sup>-1</sup> K <sup>-1</sup> )	*State	References	Monomer	ΔH <sup>°</sup> <sub>p</sub> (kJ mol <sup>-1</sup> )	ΔS <sup>°</sup> <sub>p</sub> (J mol <sup>-1</sup> K <sup>-1</sup> )	*State	References
0	-74	-51	la	49,27	0	-13.8	-41.2	SS	30
Ȱ	-75 -83	-55 -75	blg lc	50,49 49	r e	-19.3 ± 0.5	$-62 \pm 2$	1.	This work
0	-05	-29	la	50,51		-19.5 ± 0.5	-02 ± 2	la	This work
Ċ	-5.4	-40	<sup>ss</sup>	32	Ĩ	$-16.4\pm0.9$	$-55 \pm 3$	la	This work
0 II	-8.4	-14.7	dss	30	$\sim$				
Ċ	-10.5 -27.4	-15 -65	lg lc	52, 50,30 52,51		$-18.5 \pm 0.4$	-60 ± 1	la	This work
õ	-17.0	-4	lg	50,30					
~o	-14.0	6	ess	30	O I	1000 00	100 100		
$\bigcirc$	-13.9	-10.4	la	27	(1)	$-17.0 \pm 0.5$	$-55 \pm 1$	la	This work
0 II	-14.1	-26.1	la	53	Ŷ	$-17.1 \pm 0.6$	$-54 \pm 2$	la	33
<u> </u>	-15 to -17 -13.8 ± 0.9	-10 to -13 -45 ± 3	la la	54 55,27	r he h	$-18.0\pm0.3$	-57± 1	la	This work
0	10.0 2 0.7	10 4 0	iu	U Jan	$\sim$				
$\checkmark$	-12.1 ±0.5	$-42 \pm 2$	<sup>f</sup> SS	56	Îl	$-18.4 \pm 0.5$	-58 ±2	la	This work
٥́	-9.9	-39.2	la	57	Û	10.112 0.0	0011	, a	THE WORK
<u> </u>	-11.6	-52	la	58	°	$-18.7 \pm 0.4$	$-59 \pm 1$	la	This work
L°T									
Q					$\sim$				
$\checkmark$	$-13.0\pm0.4$	-34 ±1	Ia	This work	• 5	$-16.8 \pm 0.5$	$-55 \pm 2$	la	This work
$\bigcirc$					Ā, L				
O					$\square$				
<u> </u>	120.02	46.51	1	14	, Lo	-16.8	-27.4	hss	59
$\sim$	-13.8 ± 0.3	-46 ±1	la	14	XX	-10.0	- fail + I		57
Å.					$\sim$	-22.9	-25.0	ISS	60
ſĵ	$-15.8 \pm 0.6$	$-45 \pm 2$	la	This work		-29.1	-40.7	la	60
Y	10.0 ± 0.0	1012	10	THE WORK		-23.9	-43.8	Iss	61

Table 1. Summary of the Enthalpy  $(\Delta H_p^\circ)$  and Entropy  $(\Delta S_p^\circ)$  of the Ring-Opening Polymerization of Various Lactone Structures

<sup>*a*</sup>State refers to state of monomer and polymer: a = amorphous state (bulk polymer melt); g = glass, l = liquid monomer, s = solution, c = semicrystalline. <sup>*b*</sup>This sample, reported as highly elastic, is assumed to be amorphous. <sup>*c*</sup>CD<sub>2</sub>Cl<sub>2</sub>,  $[M]_0 = 10$  M, these estimates are likely impacted by the formation of a significant proportion of cyclic species. <sup>*d*</sup>Toluene/DCM (70:30 v/v), 0.2 <  $[M]_0 = 0.5$  M. <sup>*e*</sup>Toluene/DCM (70:30 v/v),  $[M]_0 = 2.0$  M. <sup>*f*</sup>Toluene,  $[M]_0 = 3.0$  M. <sup>*g*</sup>Toluene/DCM (70:30 v/v),  $1.8 < [M]_0 < 2.0$  M. <sup>*h*</sup>Toluene  $[M]_0 = 0.2$  M. <sup>*i*</sup>1,4-Dioxane,  $[M]_0 = 1.0$  M. <sup>*j*</sup>Toluene,  $[M]_0 = 1.0$  M.

with styrenic block polymers containing poly(n-alkyl meth-acrylate) midblocks suggests that the value of the solubility parameter may plateau for longer (n > 10) side chains.<sup>66-68</sup>

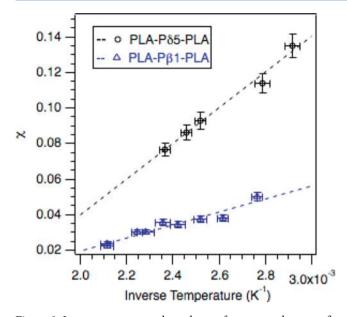
# DISCUSSION

To maximize monomer conversion in the polymerization of low ceiling temperature monomers, it is necessary to conduct the polymerization at the lowest temperature and highest monomer concentration possible. Therefore, it is important to select a catalyst robust and fast enough at low temperature to catalyze the polymerization without loss of control. In the bulk at room temperature, the DPP catalyst provides excellent control over polymer molar mass and functionality and typically yields polymers with low dispersity values ( $D \leq 1.20$ ). Though the DPP-catalyzed polymerization is first-order in monomer when conducted in dilute conditions, it is pseudo-zero-order when conducted the bulk.<sup>42,69,70</sup> Our results are consistent with an activated monomer mechanism where the rate-limiting step is scission of the internal C–O bond of the activated monomer species, as shown in Figure 6. Because the monomer is more

basic than the polymer, this mechanism favors polymerization over transesterification.  $^{\rm 46}$ 

DPP enables the synthesis of polymers with high control over molar mass and functionality regardless of lactone structure; this is demonstrated in Tables S4 and S6. This high degree of synthetic control is particularly important in the context of block polymers where molecular architecture, composition, and overall molar mass are all intimately linked to the physical properties of the material.<sup>20,63</sup> We found that when the *n*-alkyl substituent is fixed at the  $\delta$ -position, the polymerization rate decreases only slightly as the chain length increases. These results suggest that kinetic considerations do not preclude the polymerization of lactone monomers with arbitrarily long side chains.

All of the substituted monomers we analyzed exhibit  $\Delta H_p^{\circ}$  values that are significantly more negative than the value reported for of  $\delta$ -valerolactone ( $\delta 0$ ). Indeed, for several of the  $\delta$ -substituted monomers the enthalpic drive to polymerize is greater than for the 7-memberd ring monomer  $\varepsilon$ -caprolactone.<sup>50</sup> We expect that minor structural changes (e.g., addition of an alkyl substituent) have a larger influence on the enthalpy



**Figure 5.** Inverse temperature dependence of  $\chi_{PLA-P\beta1}$  and  $\chi_{PLA-P\delta5}$  fit to eq 3. Molecular characterizations of these samples are given in Tables S4–S7. N was calculated from the number-average molar mass determined by <sup>1</sup>H NMR spectroscopy using a reference volume of 118 Å<sup>3</sup> and room temperature densities of 1.248, 1.10, and 0.97 g cm<sup>-3</sup> for PLA, P $\beta1$ , and P $\delta5$ , respectively. The error in  $\chi$  is shown as 5% (estimated from the experimental uncertainty in  $M_n$ ). The  $T_{ODT}$  values shown are those determined using dynamic mechanical analysis; error was estimated using the range in the  $T_{ODT}$  values measured for the same sample using multiple characterization methods (e.g., SAXS, DMA, and DSC).

of the lactone than on the corresponding polymer. Because ROTEP is an isodesmic reaction, differences in  $\Delta H_p^{\circ}$  generally mirror difference in lactone ring strain. It is likely that the higher ring strain of the *n*-alkyl-substituted monomers compared to  $\delta 0$  is largely due to unfavorable interactions between the alkyl substituents and hydrogen atoms across the ring (transannular strain). In this context it is unsurprising that within the  $\delta$ -substituted monomer series  $\Delta H_p^{\circ}$  is essentially constant. Owing to the high conformational freedom of *n*alkanes, transannular ring strain should remain mostly constant as the substituent length increases. Despite possessing lower ring strain,  $\delta$ -valerolactone actually has a *higher* ceiling temperature than the substituted lactones because its polymerization is less entropically disfavored.

For the substituted monomers studied in this work, polymerization is 2-3 times more entropically disfavored than the polymerization of  $\delta$ -valerolactone. For electronic ground state systems, the change in entropy upon polymerization is the sum of translational, rotational, and vibrational components:

$$\Delta S_{\rm p}^{\circ} = \Delta S_{\rm p\,Trans.}^{\circ} + \Delta S_{\rm p\,Rot.}^{\circ} + \Delta S_{\rm p\,Vib.}^{\circ}$$
<sup>(4)</sup>

Gains in rotational and vibrational degrees of freedom can lead to positive changes in  $\Delta S_p^{\circ}$  but are only rarely large enough to fully offset the large decrease in translational entropy that usually accompanies polymerization.  $^{71,72}$  We assume that for  $\delta$ lactones addition of an alkyl substituent will have a much smaller impact on  $\Delta S_{p\ Vib.}^{\circ}$  and  $\Delta S_{p\ Trans.}^{\circ}$  than on  $\Delta S_{p\ Rot.}^{\circ}$ . The addition of a substituent likely decreases the internal rotational freedom of a polymer chain relative to its unsubstituted parent. The rotational freedom of a  $\delta$ -lactone is less influenced by addition of a substituent because for  $\delta$ -valerolactone the small cyclic structure largely prohibits internal bond rotation anyway. At fixed ring size, addition of a substituent therefore tends to decrease  $\Delta S_{\rm rot}$  relative to an unsubstituted monomer of the same ring size due to restricted rotation in the polymer. The end result is that the addition of substituents to  $\delta$ -valerolactone render the polymerization more thermodynamically unfavorable.

For the specific case of *n*-alkyl  $\delta$ -lactones entropy and enthalpy of polymerization are correlated as shown in Figure S61. This enthalply–entropy compensation behavior, while not unprecedented, presents an interesting dichotomy.<sup>73</sup> To maximize thermodynamic polymerizability, the substituent should be placed in the position that *minimizes* ring strain (an enthalpic phenomena), in this case the  $\alpha$ -position. This is because the  $\alpha$  substituent has the least entropically unfavorable impact on the polymerization. The problem of low ceiling temperature could also be avoided by using a substituted sevenmembered lactone (e.g., menthide) because polymerization is less entropically disfavored for monomers with larger ring size.<sup>12,59</sup> We note, however, that in the palette of natural lactones five- and six-membered rings are much more prevalent.<sup>74</sup>

We have previously demonstrated using block polymers composed of aliphatic polyesters, e.g. poly(menthide),  $poly(\varepsilon$ decalactone), and  $poly(6-methyl-\varepsilon-caprolactone)$ , that the

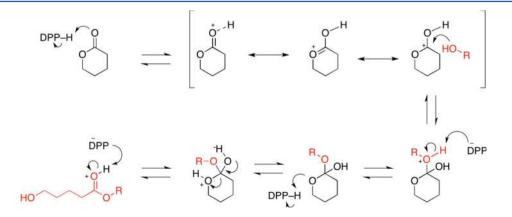


Figure 6. Activated monomer mechanism for the acid-catalyzed polymerization of diphenyl phosphate. ROH (shown above in red) represents the growing polymer chain.

addition of bulky substituents can be used to modify the rheological and mechanical properties of the block polymer.<sup>11,13,59</sup> This tunability is at least partially due to differences in the chain cross section and entanglement molar mass of the soft segment.<sup>22,75,76</sup> The presence of bulky substituents, however, can also lead to an elevation of the glass transition temperature and a concomitant reduction of the service temperature of the elastomer.<sup>77</sup> We have shown in this work that by introducing *n*-alkyl substituents of different sizes it is possible to tune entanglement molar mass without significantly elevating the glass transition temperature.<sup>78</sup>

Modulatuion of aliphatic polyester structure can potentially be used as a tool to design degradable block polymer for a range of specific applications. The methyl-substituted polyesters  $P\delta 1$  and  $P\beta 1$ , for example, are appealing soft blocks for the synthesis of tough plastics and elastomers due to their low entanglement molar masses.<sup>79</sup> The lower modulus of the high entanglement molar mass polymers (e.g.,  $P\delta 5$ , poly(menthide), and  $poly(\varepsilon$ -decalactone)) makes them attractive for use in pressure-sensitive adhesives.<sup>9,80-83</sup> One can easily draw an analogy between these aliphatic polyesters and the low glass transition temperature polydienes currently used in the manufacture of styrenic thermoplastic elastomers. P $\delta$ 1, for instance, has an entanglement molar mass close to 1,4polyisoprene, whereas  $P\delta 5$  is more similar to that of poly(ethylethylene) or 1,4-co-1,2-polybutadiene.<sup>84</sup> The ability to design polymers with tunable entanglement molar masses also has important implications for processing.

As with entanglement molar mass, the solubility parameters of the aliphatic polyester is also inherent to the structure of the lactone monomer. Although the location of the alkyl substituent has very little effect on polymer polarity, increasing alkyl substituent size causes the polymer to become more hydrophobic. This has important implications for the design of polyester block polymers. For example, while the polarity of the midblock segment can obviously determine solvent resistance, it also directly impacts its compatibility with formulation additives. The latter can indirectly influence characteristics like stability and optical clarity. A more subtle consideration is the interaction between the two blocks. In general, the larger the difference between the solubility parameters of the hard and soft blocks, the larger the magnitude of the Flory-Huggins interaction parameter,  $\chi$ . Since in this work the hard block is poly(lactide), at a fixed molar mass, decreasing the polarity of the midblock segment effectively increases the segregation strength of the two blocks.<sup>11,13,64,85-89</sup> This is an important characteristic to consider because it dictates the minimum molar mass required for microphase separation to occur, required for desirable mechanical properties, and it also influences practical processing temperatures.

Our studies on  $\delta$ -lactone polymerizability suggest that to maximize polymerization rate and improve conversion, it is ideal to select a  $\gamma$ -substituted monomers. Although a modest ceiling temperature (315 °C in bulk monomer) may, in general, be considered a synthetic inconvenience, it is possible to leverage this characteristic to facilitate recycling.<sup>32</sup> With regards to polymer design, we note that there is no single perfect polymer; instead, one focuses on matching a material with a specific property profile to the application for which it is best suited. For example, while **P** $\gamma$ **1** may be ideal for the synthesis of tough plastics and elastomers, **P** $\delta$ **9** may be more suitable for use in pressure-sensitive adhesives.

# CONCLUSIONS

Our results demostrate that it is both thermodyanmically and kinetically feasible to polymerize substituted  $\delta$ -valactones with arbitrarily long *n*-alkyl substituents. We have shown that by changing the length of the substituent, the entanglement molar mass and solubility parameter can be modulated without impacting the glass transition temperature. By understanding synthetic limitations and block polymer structure–property relationships, we show that aliphatic polyesters block polymers can be designed to mirror the properties of commercially relevant styrenic block polymers. These degradable block polymers, sophisticated materials custom-tailored to meet a specific use, are the environmentally friendly plastics of tomorrow.

# ASSOCIATED CONTENT

#### **S** Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.macro-mol.6b00211.

Materials and methods; detailed synthetic procedures; <sup>1</sup>H NMR spectra, DMA data, DSC data, GPC data, and SAXS spectra (Tables S1–S8 and Figures S1–S71) (PDF)

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# Notes

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