ALMOST MINIMIZERS OF THE ONE-PHASE FREE BOUNDARY PROBLEM

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ABSTRACT. We consider almost minimizers to the one-phase energy functional and we prove their optimal Lipschitz regularity and partial regularity of their free boundary. These results were recently obtained by David and Toro [DaT], and David, Engelstein, and Toro [DaET]. Our proofs provide a different method based on a non-infinitesimal notion of viscosity solutions that we introduced in [DS].

1. INTRODUCTION

This note is concerned with almost-minimizers of the classical one-phase (Bernoulli) energy functional,

(1.1)
$$J(u,\Omega) := \int_{\Omega} (|\nabla u|^2 + \chi_{\{u>0\}}) \, dx,$$

with Ω a bounded domain in \mathbb{R}^n and $u \geq 0$.

Minimizers of J were first investigated systematically by Alt and Caffarelli. Two fundamental questions are answered in the pioneer article [AC], that is the Lipschitz regularity of minimizers and the regularity of "flat" free boundaries, which in turns gives the almost-everywhere regularity of minimizing free boundaries. The viscosity approach to the associated free boundary problem was later developed by Caffarelli in [C1, C2, C3]. In particular in [C2] the regularity of Lipschitz free boundaries is obtained. There is a wide literature on this problem and the corresponding twophase problem, and we refer the reader to the paper [DFS] for a comprehensive survey.

Almost minimizers of J were investigated recently in [DaT, DaET]. In [DaT] the authors obtained local Lipschitz continuity of almost minimizers in the more general case of a two-phase energy functional. Later, in [DaET] the authors proved uniform rectifiability of the free boundary, and in the purely one-phase case they showed that the free boundary is $C^{1,\alpha}$ almost-everywhere. Thus the pioneer results in [AC] have been extended to the context of almost minimizers.

Our purpose here is to provide a different approach, based on non-variational techniques, to study almost minimizers of J and their free boundaries. Our strategy is inspired by our recent work [DS] in which we develop a Harnack type inequality for functions that do not necessarily satisfy an infinitesimal equation but rather exhibit a two-scale behavior. As an application, we provide in [DS] the $C^{1,\alpha}$ estimates of Almgren and Tamanini [A, T] for quasi-minimizers of the perimeter functional, and in [DS1] of the *thin* one-phase functional. We follow here the same approach, by showing that almost minimizers of J are "viscosity solutions" in this more general sense. Roughly, our viscosity solutions satisfy comparison in a neighborhood of a touching point whose size depends on the properties of the test functions (see

subsection 4.1). Once this is established, we employ the techniques developed by the first author in [D] to study the regularity of the free boundary of viscosity solutions.

Our first main theorem about the optimal Lipschitz regularity of almost minimizers reads as follows. We refer to Section 2 for the precise definition of almost minimizers (with constant κ and exponent β .)

Theorem 1.1. Let u be an almost minimizer for J in B_1 (with constant κ and exponent β .) Then

$$\|\nabla u\|_{L^{\infty}(B_{1/2})} \le C(\|u\|_{H^{1}(B_{1})} + 1)$$

for some constant C depending on κ , β and n. Moreover, u is uniformly Lipschitz continuous in a neighborhood of $\{u = 0\}$, that is if u(0) = 0 then

$$|\nabla u| \leq C(n), \quad in \ B_{r_0},$$

for some r_0 depending on κ, β, n and $||u||_{H^1}$.

Our next theorem extends the result in [C1] concerning the regularity of the free boundary

$$F(u) := \partial \{u > 0\} \cap B_1$$

to the context of almost minimizers. Precisely, we prove an improvement of flatness theorem (see Theorem 4.4), from which the following main regularity result follows.

Theorem 1.2. Let u be an almost minimizer to J in B_1 (with constant κ and exponent β .) Then

$$\mathcal{H}^{n-1}(F(u) \cap B_{1/2}) \le C(\beta, \kappa, n),$$

and F(u) is $C^{1,\alpha}$ regular outside a closed singular set of Hausdorff dimension n-5, for some $\alpha(\beta, n) > 0$ small.

Our strategy also allows us to obtain $C^{1,\alpha}$ regularity of Lipschitz free boundaries via the arguments of [D] (see Theorem 4.8).

The paper is organized as follows. In Section 2 we prove the optimal Lipschitz regularity for almost minimizers, then in section 3 we provide non-degeneracy properties and a compactness result. Section 4 is devoted to the partial regularity of the free boundary.

2. Lipschitz Continuity of Almost Minimizers

In this section we prove Lipschitz continuity of almost minimizers. First, we recall the definition of almost minimizers (see [G] for a comprehensive treatment of almost minimizers of regular functionals of the calculus of variations.)

Definition 2.1. We say that u is an almost minimizer for J in Ω (with constant κ and exponent β) if $u \in H^1(\Omega)$, $u \ge 0$ a.e. in Ω , and

(2.1)
$$J(u, B_r(x)) \le (1 + \kappa r^\beta) J(v, B_r(x))$$

for every ball $B_r(x)$ such that $\overline{B_r(x)} \subset \Omega$ and every $v \in H^1(\Omega)$ such that v = u on $\partial B_r(x)$ in the trace sense.

Below constants depending only on n are called universal. When u is assumed to be an almost minimizer, then universal constants may depend on β as well. Throughout the paper the function u will be non-negative.

Our first result is the following dichotomy.

Proposition 2.2. Let $u \in H^1(B_1)$ and assume that

(2.2)
$$J(u, B_1) \le (1 + \sigma)J(v, B_1)$$

for all $v \in H^1(B_1)$ such that v = u on ∂B_1 . Denote by

(2.3)
$$a := \left(\oint_{B_1} |\nabla u|^2 dx \right)^{1/2}$$

Given $\epsilon > 0$ small, there exist constants $\eta, M, \sigma_0 > 0$ (depending on ϵ) such that if $\sigma \leq \sigma_0$ and $a \geq M$ then the following dichotomy holds. Either

(2.4)
$$\left(\int_{B_{\eta}} |\nabla u|^2 dx \right)^{1/2} \leq \frac{a}{2},$$

or

(2.5)
$$\left(\oint_{B_{\eta}} |\nabla u - q|^2 dx \right)^{1/2} \le \epsilon a$$

with $q \in \mathbb{R}^n$ such that

(2.6)
$$\frac{a}{4} < |q| \le C_0 a,$$

and $C_0 > 0$ universal.

Proof. Let v denote the harmonic replacement of u in B_1 . Then,

$$\int_{B_1} |\nabla u - \nabla v|^2 \le J(u, B_1) + \int_{B_1} (|\nabla v|^2 - 2\nabla u \cdot \nabla v)$$

and by (2.2) together with the fact that

$$\int_{B_1} \nabla v \cdot \nabla (u - v) = 0$$

this gives

$$\int_{B_1} |\nabla u - \nabla v|^2 \le \sigma \int_{B_1} |\nabla v|^2 + C.$$

Thus, since v minimizes the Dirichlet integral in B_1 ,

$$\int_{B_1} |\nabla u - \nabla v|^2 \le \sigma \int_{B_1} |\nabla u|^2 + C = \sigma a^2 + C,$$

with C > 0 universal.

Since $|\nabla v|^2$ is subharmonic in B_1 and v minimizes the Dirichlet integral, we conclude that

$$|\nabla v| \leq C_0 a$$
 in $B_{1/2}$

with C_0 universal. Thus, since ∇v is harmonic, if we denote by $q := \nabla v(0)$, we conclude that $|q| \leq C_0 a$, and

$$\int_{B_{\eta}} |\nabla v - q|^2 \le C_1 a^2 \eta^2, \qquad \forall \ \eta \le 1/2,$$

with C_1 universal. Thus,

(2.7)
$$\int_{B_{\eta}} |\nabla u - q|^2 \le 2\sigma \eta^{-n} a^2 + 2C_1 \eta^2 a^2 + C\eta^{-n},$$

and hence

(2.8)
$$\int_{B_{\eta}} |\nabla u|^2 \le 4\sigma \eta^{-n} a^2 + 4C_1 \eta^2 a^2 + 2C\eta^{-n} + 2|q|^2.$$

Now, given $\epsilon > 0$, we can choose η small (depending on ϵ) and then σ small and a large depending on η , such that

(2.9)
$$4\sigma\eta^{-n}a^2 + 4C_1\eta^2a^2 + 2C\eta^{-n} \le 2\epsilon^2a^2 \le \frac{a^2}{8}.$$

We distinguish two cases. If

$$|q| \leq \frac{a}{4}$$

then (2.8)-(2.9) give that

$$\left(\oint_{B_{\eta}} |\nabla u|^2 dx\right)^{1/2} \le \frac{a}{2}.$$

Otherwise,

$$\frac{a}{4} < |q| \le C_0 a,$$

and (2.7)-(2.9) give that

$$\left(\oint_{B_\eta} |\nabla u - q|^2 dx \right)^{1/2} \leq \epsilon a.$$

This concludes the proof.

The next Lemma shows that alternative (2.5) can be "improved" when ϵ and σ are small enough.

Lemma 2.3. Let u be as in Proposition 2.2, with $a \ge a_0 > 0$. Assume that

(2.10)
$$\left(\int_{B_1} |\nabla u - q|^2 dx\right)^{1/2} \le \epsilon a$$

for some $\epsilon > 0$, and $q \in \mathbb{R}^n$ such that

(2.11)
$$\frac{a}{8} < |q| \le 2C_0 a,$$

for $C_0 > 0$ the universal constant in Proposition 2.2.

Given $0 < \alpha < 1$, there exist $\rho = \rho(\alpha) > 0$, $\epsilon_0 = \epsilon_0(\alpha, a_0)$, $c_0 = c_0(\alpha, a_0)$, such that if

$$\epsilon \leq \epsilon_0 \quad and \quad \sigma \leq c_0 \epsilon^2,$$

then

(2.12)
$$\left(\oint_{B_{\rho}} |\nabla u - \tilde{q}|^2 dx \right)^{1/2} \le \epsilon \rho^{\alpha} a Poincar \ 'e - Sobolev$$

with $\tilde{q} \in \mathbb{R}^n$ such that

$$(2.13) |q - \tilde{q}| \le \tilde{C}\epsilon a,$$

for some $\tilde{C} > 0$ universal.

Proof. Let \bar{v} be the harmonic replacement of u in $B_{1/2}$ and denote by v the competitor:

$$v = \overline{v}$$
 in $B_{1/2}$ $v = u$ outside $B_{1/2}$.

Then,

$$J(u, B_1) \le (1+\sigma)J(v, B_1),$$

that is

$$J(u, B_{1/2}) \le \sigma J(u, B_1 \setminus B_{1/2}) + (1 + \sigma)J(v, B_{1/2}).$$

Notice that from our assumptions (C universal possibly changing from line to line)

$$\int_{B_1} |\nabla u|^2 dx \le Ca^2$$

thus we conclude that

$$\int_{B_{1/2}} (|\nabla u|^2 - |\nabla v|^2) + |\{u > 0\} \cap B_{1/2}| \le C\sigma(a^2 + 1) + |B_{1/2}|.$$

Since v is the harmonic replacement of u in $B_{1/2}$, we finally obtain

(2.14)
$$\int_{B_{1/2}} |\nabla u - \nabla v|^2 dx \le C\sigma(a^2 + 1) + |\{u = 0\} \cap B_{1/2}|.$$

We now claim that $(C_1, \delta \text{ universal},)$

(2.15)
$$|\{u=0\} \cap B_{1/2}| \le C_1 \epsilon^{2+\delta}.$$

Since $v - q \cdot x$ is the harmonic replacement of $u - q \cdot x$ in $B_{1/2}$, we find that $|\nabla v - q|^2$ is subharmonic in $B_{1/2}$ and

$$|\nabla v - q| \le \tilde{C} \epsilon a \quad \text{in } B_{1/4}$$

Thus, if \bar{q} denotes the gradient of $v - q \cdot x$ at 0, we conclude that (C_2 universal)

(2.16)
$$\int_{B_{\rho}} |\nabla v - (q + \bar{q})|^2 \le C_2 \epsilon^2 a^2 \rho^2, \quad \rho \le 1/4.$$

Denote $\tilde{q} := q + \bar{q}$. Then,

$$q - \tilde{q}| = |\bar{q}| \le \tilde{C}\epsilon a.$$

Combining (2.14)-(2.15)-(2.16) we get,

(2.17)
$$\int_{B_{\rho}} |\nabla u - \tilde{q}|^2 dx \le \bar{C}\sigma(a^2 + 1)\rho^{-n} + 2C_1\epsilon^{2+\delta}\rho^{-n} + 2C_2\epsilon^2a^2\rho^2.$$

We conclude the proof by choosing first ρ depending on α so that

$$2C_2\epsilon^2 a^2 \rho^2 \le \frac{1}{4}\epsilon^2 a^2 \rho^{2\alpha},$$

then ϵ small (depending on ρ and a_0) so that

$$2C_1 \epsilon^{2+\delta} \rho^{-n} \le \frac{1}{4} \epsilon^2 a_0^2 \rho^{2\alpha}$$

and finally σ small depending on ρ, a_0 and ϵ so that

$$\bar{C}\sigma(a^2+1)\rho^{-n} \le \frac{1}{4}\epsilon^2\rho^{2\alpha}(a^2+a_0^2).$$

We are left with the proof of the claim (2.15). We have

$$\int_{B_1} (u-l)dx = 0,$$

where l is the linear function $l(x) := b + q \cdot x$ with $b := \int_{B_1} u$. Therefore, by Poincaré inequality we get that (*c* universal)

$$c \oint_{B_1} (u-l)^2 dx \le \oint_{B_1} |\nabla u - \nabla l|^2 dx \le \epsilon^2 a^2.$$

Since $u \ge 0$, we conclude that (C universal)

$$\int_{B_1} (l^-)^2 dx \le C\epsilon^2 a^2.$$

This together with (2.11) gives that for c_1 universal (ϵ small),

(2.18)
$$l \ge c_1 a \text{ in } B_{1/2}$$

After dividing u and l by a, we can assume that a = 1 in (2.10) and (2.18). By the Poincaré-Sobolev inequality and assumption (2.10) we get,

$$\left(\int_{B_1} (u-l)^{2*} dx\right)^{2/2^*} \le C\epsilon^2$$

with $l \ge c_1$ in $B_{1/2}$. Our claim immediately follows, with $\delta = 4/(n-2)$.

Remark 2.4. We remark that the conclusion of Lemma 2.3 still holds if the lower bound in assumption (2.11) is replaced by the hypothesis

(2.19)
$$\int_{B_1} u \, dx \ge C_1 a,$$

with C_1 large enough universal (depending on C_0 .) This can be easily seen from the proof, as the lower bound is only used when showing that (2.18) holds.

Corollary 2.5. Let u be an almost minimizer for J in B_1 (with constant κ and exponent β) and assume that u satisfies (2.10)-(2.6) and that $a \ge a_0 > 0$. There exist ϵ_0 , κ_0 depending on β , n, and a_0 , such that if $\epsilon \le \epsilon_0$, $\kappa \le \kappa_0 \epsilon^2$ then

$$(2.20) ||u - l||_{C^{1,\beta/2}(B_{1/2})} \le C\epsilon a$$

with C universal, for some linear function l of slope q. Moreover,

(2.21)
$$\|\nabla u\|_{L^{\infty}(B_{1/2})} \le Ca,$$

with \bar{C} universal.

Remark 2.6. From (2.20) we obtain that $\nabla u \neq 0$, hence u > 0 in $B_{1/2}$.

Proof. We show that we can iterate Proposition 2.3 indefinitely with $\alpha = \beta/2$. Indeed, if $(q_0 := q)$

(2.22)
$$\left(\int_{B_r} |\nabla u - q_k|^2 dx\right)^{1/2} \le \epsilon r^{\beta/2} a, \quad \text{with} \quad r = \rho^k,$$

then the rescaling $u_r(x) := r^{-1}u(rx)$ satisfies the hypotheses of Proposition 2.3 with

$$\sigma_r = \kappa r^\beta, \quad \epsilon_r := \epsilon r^{\beta/2}$$

Moreover,

$$|q_{i+1} - q_i| \le C \epsilon \rho^{i\beta/2} a, \quad i \le k-1, \text{ and } \frac{a}{4} \le |q_0| \le C_0 a,$$

guarantee that (2.11) is always satisfied if ϵ_0 is sufficiently small. By Proposition 2.3, (2.22) is satisfied also for $r = \rho^{k+1}$, and therefore it is true for all k's.

After relabeling ϵ by $C\epsilon$ if necessary, the same conclusion holds for all balls $B_r(x) \subset B_{3/4}$.

By standard (Campanato) estimates, we deduce that

$$\|\nabla u - q_0\|_{C^{0,\beta/2}(B_{1/2})} \le C\epsilon a,$$

from which the desired claims easily follow.

We are now ready to prove the main result Theorem 1.1. We remark that in the statement of the theorem we can replace $||u||_{H^1}$ by $||u||_{L^2}$ as it is known that almost minimizers still satisfy a Caccioppoli type inequality (see Theorem 6.5, Giusti [G]).

Proof of Theorem 1.1. After an initial dilation of factor s^{-1} , s small, we can assume that u is an almost minimizer with a constant $\tilde{\kappa} = \kappa s^{\beta}$, that can be made arbitrarily small.

For $\alpha = \beta/2$, and $a_0 = 1$, let $\epsilon_0 = \epsilon_0(\beta, 1), c_0 = c_0(\beta, 1)$, be given by Lemma 2.3. Now, let $\eta, M \ge 1$, and σ_0 be the constants from Proposition 2.3 associated to $\epsilon = \epsilon_0$. Then, set

$$a(\tau) := \left(\int_{B_\tau} |\nabla u|^2 dx \right)^{1/2}$$

We consider the integers $k \ge 0$ for which the following inequality holds

(2.23)
$$a(\eta^{k}) \le C(\eta)M + 2^{-k}a(1),$$

with $C(\eta)$ a large constant.

For k = 0 this is clearly satisfied. If it holds for all k's then it easily follows that

$$a(r) \le C(M, \eta)(1 + a(1)), \quad \forall r < 1.$$

Otherwise let k + 1 be the first integer for which (2.23) fails. If

$$a(\eta^k) \le M,$$

then (2.23) holds also for k + 1 since

$$a(\eta^k) \le C(\eta) a(\eta^{k-1}),$$

and we reach a contradiction. Thus

$$a(\eta^k) > M,$$

and, according to Proposition 2.2 (rescaled) we get that either

$$a(\eta^{k+1}) \leq \frac{1}{2}a(\eta^k),$$

which gives again a contradiction, or

(2.24)
$$\left(\oint_{B_{\eta^{k+1}}} |\nabla u - q|^2 dx \right)^{1/2} \le \epsilon_0 a(\eta^k),$$

with

$$\frac{1}{4}a(\eta^k) < |q| \le C_0 a(\eta^k).$$

According to Corollary 2.5 we find

$$a(r) \le \overline{C}a(\eta^k) \le C(M,\eta)(1+a(1))$$
 for all $r \le \eta^k$.

In conclusion $a(r) \leq C(1 + a(1))$. The same inequality can be obtained for the averages over all balls with center in $B_{1/2}$ which are included in B_1 which gives

$$\|\nabla u\|_{L^{\infty}(B_{1/2})} \le C(1+a(1)),$$

by Lebesgue Differentiation Theorem.

If u(0) = 0, then by Remark 2.6 we see that we can never end up in the alternative (2.24). This means that in this case (2.23) holds for all $k \ge 0$, hence

$$a(\eta^k) \le C,$$

is uniformly bounded for a sufficiently large k. By the result above this implies that u is uniformly Lipschitz continuous in $B_{\eta^k/2}$.

3. Non-degeneracy

The purpose of this section is twofold. First we show that almost minimizers are well approximated by harmonic functions (in their positivity set). Then, we use this fact to obtain non-degeneracy properties of almost minimizers, which are a crucial ingredient to use compactness arguments.

We assume throughout this section that u satisfies

(3.1)
$$\|\nabla u\|_{L^{\infty}(B_1)} \le K$$
, and $J(u, B_1) \le J(v, B_1) + \sigma$

for any $v \in H^1(B_1)$ which agrees with u on ∂B_1 . In what follows constants c, C may depend on K, and in the body of the proof they possibly change from line to line. Dependence on K is often omitted. A constant depending only on n is called universal.

First we remark that the second inequality in (3.1) follows from the condition of almost minimality. Precisely, since u is Lipschitz $J(u, B_1) \leq C$. Then the energy inequality

(3.2)
$$J(u, B_1) \le (1 + \sigma)J(v, B_1)$$

for any v that equals with u on ∂B_1 , implies

$$(3.3) J(u, B_1) \le J(v, B_1) + C'\sigma,$$

for some C' large enough. It is more convenient working with (3.3), hence with (3.1) after relabeling σ , instead of (3.2) since the energies cancel in a region where v = u and the inequality behaves better with respect to scaling (see remark below.)

Remark 3.1. We remark that the rescaling $u_{\rho}(x) := u(\rho x)/\rho$ satisfies (3.1) with $\sigma_{\rho} := \rho^{-n} \sigma$.

3.1. Approximation by harmonic functions. We prove first the following basic lemma, which compares u with its harmonic replacement.

Lemma 3.2. Let u satisfy (3.1) and let $B_1 \subset \{u > 0\}$. Denote by v the harmonic replacement of u in B_1 . Then,

$$(3.4) \|u - v\|_{L^{\infty}(B_{1/2})} \le c(\sigma), \quad c(\sigma) \to 0 \quad as \ \sigma \to 0.$$

Proof. By the maximum principle, v > 0 in B_1 , hence using (3.1) and the fact that v is the harmonic replacement of u we get

$$\int_{B_1} |\nabla u - \nabla v|^2 dx \le \sigma.$$

By Poincaré inequality we conclude that (C changing from line to line)

$$\int_{B_{3/4}} (u-v)^2 dx \le C\sigma$$

with u - v uniformly Lipschitz in $B_{3/4}$. Thus, if $(u - v)(x) \ge \mu$ say at $x \in B_{1/2}$, we conclude that $\mu^{2+n} \le C\sigma,$

and the conclusion holds with
$$c(\sigma) = C\sigma^{1/(n+2)}$$
.

A consequence of Lemma 3.2 is the following version of Harnack inequality.

Lemma 3.3. Let u satisfy (3.1) and assume $B_1 \subset \{u > 0\}$. Let w be a harmonic function such that $u \ge w$ in B_1 and $u - w \ge \mu$ at 0 for some $\mu \le \mu_0$, μ_0 small depending on K. Then $u - w \ge c\mu$ in $B_{1/2}$ for some c universal provided that $\sigma \le \mu^{n+3}$.

A similar statement clearly holds if w lies above u and separates strictly from u at 0.

3.2. Non-degeneracy. In this subsection we state and prove the non-degeneracy lemmas.

Lemma 3.4 (Weak non-degeneracy). Assume that u satisfies (3.1) for σ small and $B_1 \subset \{u > 0\}$. Then $u(0) \ge c$ for some c = c(K) > 0.

Proof. Let v be the harmonic replacement of u in B_1 . Then according to Lemma 3.2, it is enough to prove the desired statement for v.

Now, let $\varphi \in C_0^{\infty}(B_{1/2})$ with $\varphi \equiv 1$ in $B_{1/2}$ and $0 \leq \varphi \leq 1$. Since v minimizes the Dirichlet integral and v > 0 in B_1 (by the maximum principle), we have

(3.5)
$$J(v, B_1) \le J(u, B_1) \le J(v(1 - \varphi), B_1) + \sigma.$$

On the other hand, since v is harmonic in B_1 , (C universal possibly changing from line to line)

$$\|v\|_{L^{\infty}(B_{1/2})}, \|\nabla v\|_{L^{\infty}(B_{1/2})} \le Cv(0),$$

from which we deduce that

(3.6)
$$\int_{B_1} |\nabla v|^2 dx \ge \int_{B_1} |\nabla v(1-\varphi)|^2 dx - C(v(0))^2.$$

Combining (3.5)-(3.6) (since v > 0 in B_1) we get

$$\int_{B_1} |\nabla v|^2 + |B_1| \le C(v(0))^2 + \int_{B_1} |\nabla v|^2 + |B_1| - |B_{1/4}| + \sigma,$$

and the desired claim follows for σ small.

(3.7)
$$\|\nabla u\|_{L^{\infty}(B_1)} \le K, \quad and \quad J(u, B_r) \le (1+\sigma)J(v, B_r)$$

for any ball $B_r(x)$ with $\overline{B_r(x)} \subset B_1$, and any $v \in H^1(B_r(x))$ which agrees with uon $\partial B_r(x)$. If $0 \in \partial \{u > 0\}$ and σ is small enough, then for some c = c(K) > 0

$$\max_{B_r} u \ge cr$$

Proof. The proof of this result is standard once the following claim is obtained (see for example [C3]).

Claim: Let $x_0 \in B_1 \cap \{u > 0\}$ (close to the origin). There exists a sequence $x_k \in B_1$ such that (C depending on K)

(3.8)
$$u(x_{k+1}) = (1+\delta)u(x_k)$$

with

$$(3.9) \qquad |x_{k+1} - x_k| \le Cdist(x, \partial\{u > 0\})$$

for some δ small (depending on K).

Now the claim follows from Lemma 3.3, in view of the discussion following equation (3.1).

Once Lipschitz continuity and non-degeneracy have been established, it is straightforward to show that any blow-up sequence must converge uniformly on compact sets to a global minimizer. Moreover, by the Weiss monotonicity formula [W], it follows that the limit must be homogenous of degree 1. We sum up these facts in the next proposition.

Proposition 3.6. Assume u is an almost minimizer of J with constant κ and exponent β , and that $0 \in \partial \{u > 0\}$. Any blow up sequence converges uniformly (up to subsequences) to a global minimizing cone (homogeneous of degree 1) which has 0 as a free boundary point. Also, their free boundaries converge in the Hausdorff distance (on compact sets) to the free boundary of the cone.

Remark 3.7. Using the fact that global minimizers have Lipschitz constant less than 1 (see [CJK]), one can show via Lemma 2.3 and Remark 2.4, that almost minimizers have Lipschitz constant less than 2 in a r_0 neighborhood around a free boundary point with r_0 depending on n, κ , β and $||u||_{L^2}$. In other words we can take K = 2 in statement of Theorem 1.1.

4. PARTIAL REGULARITY OF THE FREE BOUNDARY

4.1. Almost minimizers as viscosity solutions. In this subsection we show that almost minimizers satisfy the comparison principle with appropriate families of sub and supersolutions of the classical one-phase free boundary problem. The difference with the infinitesimal case is that, in order to reach a contradiction we need to specify the size of the neighborhood around the contact point between the solution and an explicit barrier.

Lemma 4.1 (Subsolution). Let u satisfy (3.1) and let P be a quadratic polynomial such that

$$\|D^2 P\| \le 1, \quad \triangle P \ge \mu,$$

for some $\mu \leq \mu_0$ small. Assume that

either
$$u > 0$$
 or $|\nabla P| \ge 1 + \mu$ in B_1

Then P cannot be below u in B_1 and touch u by below at a point in $B_{1/2}$ if $\sigma \leq \mu^{n+3}$.

We remark that the subsolution Lemma 4.1 is used to provide a comparison principle for a function u satisfying (3.1). Precisely, if P as in Lemma 4.1 is such that $|\nabla P| \ge 1 + \mu$ and $u \ge P$ in say $B_1 \setminus B_{1/2}$, we can conclude that $u \ge P$ in $B_{1/2}$. More generally, one way to apply Lemma 4.1 is given in the following form of the comparison principle for almost minimizers.

Corollary 4.2 (Comparison principle). Let u satisfy (3.1) and

$$u \geq P$$
 in a δ -neighborhood of $\partial \mathcal{U}$ of some domain $\mathcal{U} \subset B_1$,

for some quadratic polynomial P with $||D^2P|| \leq \delta^{-1}$, $\Delta P \geq \mu$. Assume that in \mathcal{U} either u > 0 or $|\nabla P| \geq 1 + \mu$. If

$$\mu^{n+3} \ge C(K,\delta) \sigma$$
, then $u \ge P$ in \mathcal{U} .

Proof. Otherwise (a vertical translation of) P touches u by below at some point $x_0 \in \mathcal{U}$ at distance greater then δ from $\partial \mathcal{U}$. Now we rescale this picture from $B_{\delta}(x_0)$ to B_1 and contradict Lemma 4.1. Indeed, the rescaled functions \tilde{u} , \tilde{P} satisfy the hypotheses of lemma in B_1 with \tilde{P} touching \tilde{u} by below at the origin and with constants (see Remark 3.1)

$$\tilde{\sigma} = \delta^{-n} \sigma$$
 , $\tilde{\mu} = \mu \delta$.

Similarly we obtain the following viscosity supersolution lemma which gives a version of the Corollary above (comparison principle) for polynomials P lying above u.

Lemma 4.3 (Supersolution). Let u satisfy (3.1) and let P be a quadratic polynomial such that

$$\|D^2 P\| \le 1, \quad \triangle P \le -\mu,$$

for some $\mu > 0$ small. Assume that

either
$$u > 0$$
 or $|\nabla P| \leq 1 - \mu$ in B_1 .

Then P^+ cannot be above u in B_1 and touch u by above at a point in $B_{1/2} \cap \overline{\{P > 0\}}$ if $\sigma \leq \mu^{n+3}$.

Next we provide the proofs of Lemma 4.1 and 4.3.

Proof of Lemma 4.1. If u > 0 then the conclusion follows easily from Lemma 3.2.

We assume that $|\nabla P| \ge 1 + \mu$, $u \ge P$ in B_1 and $u(x_0) = P(x_0)$ for some x_0 in $B_{1/2}$. Since D^2P is bounded, u is Lipschitz and P touches u by below at x_0 , we find that P is uniformly Lipschitz continuous in B_1 . Let

$$\bar{P}(x) := P(x) + \frac{\mu}{4n}(1 - |x|^2),$$

so that $\triangle \bar{P} \geq \frac{\mu}{2}, \, |\nabla \bar{P}| \geq |\nabla P| - \frac{\mu}{2}$ and by the Lipschitz continuity of u and \bar{P}^+ ,

(4.1)
$$\bar{P}^+ - u \ge \frac{\mu}{8n} \quad \text{in} \quad B_{c\mu}(x_0)$$

Set

$$u_{max} := \max\{u, \bar{P}^+\}, u_{min} := \min\{u, \bar{P}^+\}$$

and notice that

$$u_{max} = u, \quad u_{min} = \bar{P}^+ \quad \text{on } \partial B_1$$

Then we have

$$J(u, B_1) \le J(u_{max}, B_1) + \sigma,$$

or equivalently

(4.2)
$$J(u_{min}, B_1) - J(\bar{P}^+, B_1) \le \sigma.$$

We claim that

$$J(u_{min}, B_1) - J(\bar{P}^+, B_1) \ge \frac{\mu}{2} \int_{B_1} (\bar{P}^+ - u_{min}) \, dx.$$

Combining this claim with (4.1) and (4.2) we conclude that

$$c\mu^{n+2} \le \sigma,$$

and get a contradiction for $\sigma \leq \mu^{n+3}$ and μ small.

We are left with the proof of the claim. For this we minimize the functional

$$\int_{B_1} |\nabla v|^2 + \chi_{\{v>0\}} + \frac{\mu}{2} (v - \bar{P}^+) \ dx$$

among all competitors $0 \le v \le \bar{P}^+$ which coincide with \bar{P}^+ on ∂B_1 , and claim that \bar{P}^+ is the minimizer. This will imply the claim as u_{min} is an admissible competitor.

In the region where the minimizer v is strictly below \bar{P}^+ , it satisfies (in the viscosity sense)

$$\Delta v = \frac{\mu}{4}$$
 in $\{v > 0\}$, $|\nabla v|^2 = 1$ on $F(v)$.

This means that v satisfies the comparison principle with the continuous family of classical subsolutions $(\bar{P} + t)^+$ as we increase t from a large negative constant up to t = 0, and we obtain $v \equiv \bar{P}^+$ as desired. Here we used that $\Delta \bar{P} > \frac{\mu}{4}$ and $|\nabla \bar{P}| \ge 1 + \frac{\mu}{2} > 1$.

Proof of Lemma 4.3. The proof follows the lines of the previous lemma, thus we only sketch the argument. As before it suffices to assume $|\nabla P| \leq 1 - \mu$, $u \leq P^+$ in B_1 and $u(x_0) = P^+(x_0)$ with $x_0 \in B_{1/2} \cap \overline{\{P>0\}}$. Denote

$$\bar{P} := P(x) - \frac{\mu}{4n}(1 - |x|^2).$$

The energy inequality reads

$$J(u, B_1) \le J(u_{min}, B_1) + \sigma_s$$

hence

$$(4.3) J(u_{max}, B_1) - J(\bar{P}^+, B_1) \le \sigma$$

where

$$u_{min} := \min\{u, \bar{P}^+\}, \quad u_{max} := \max\{u, \bar{P}^+\}.$$

As above, we can show that \bar{P}^+ is the minimizer of the functional

$$\int_{B_1} \left(|\nabla v|^2 + \chi_{\{v>0\}} + \frac{\mu}{2} (\bar{P}^+ - v) \right) \, dx,$$

among all competitors $v \geq \overline{P}^+$ which coincide with \overline{P}^+ on ∂B_1 , by using that $(\overline{P} + t)^+$ with $t \geq 0$ is a continuous family of classical comparison supersolutions. This implies that

ins implies that

$$J(u_{max}, B_1) - J(\bar{P}^+, B_1) \ge \frac{\mu}{2} \int_{B_1} (u_{max} - \bar{P}^+) dx.$$

It remains to show that the right hand side is greater than $c\mu^{n+2}$.

If $u(x_0) < \frac{\mu}{32n}$, we can easily conclude from the fact that u touches P^+ at x_0 and $|\nabla P| \leq 1$, that $P < \frac{\mu}{16n}$ in $B_{\frac{\mu}{32}n}(x_0)$. This implies that $\bar{P}^+ \equiv 0$ in $B_{\frac{\mu}{32n}}(x_0)$, then by the Lipschitz continuity and the nondegeneracy of u, we conclude that

$$u_{max} - \bar{P}^+ = u \ge c(K)\mu, \quad \text{in } B \subset B_{\frac{\mu}{32n}}(x_0),$$

with $|B| \sim \mu^n$.

If $u(x_0) \ge \frac{\mu}{32n}$, then using that $u(x_0) = P(x_0)$, the Lipschitz continuity of u and \bar{P}^+ , we get that

$$u_{max} - \bar{P}^+ = u - \bar{P}^+ \ge c\mu \quad \text{in } B_{c'\mu}(x_0).$$

4.2. Partial regularity of the free boundary. In this subsection we prove our main regularity result for the free boundary of almost minimizers. In the context of minimizers this result is contained in [AC]. The general case of viscosity solutions is due to [C1, C2], with a different proof provided in [D]. Our proof for almost minimizers will rely on the techniques in [D].

We have the following theorem.

Theorem 4.4 (Flatness implies regularity.). Let u be an almost minimizer to J in B_1 (with constant κ and exponent β), and let $|\nabla u| \leq K$. Assume $|u - x_n^+| \leq \epsilon_0$ in B_1 and $0 \in F(u) := \partial \{u > 0\} \cap B_1$. If ϵ_0 and κ are small enough depending on β and K, then F(u) is $C^{1,\alpha}$ in a neighborhood of 0, for some $\alpha \leq \beta/(n+4)$.

Theorem 4.4 follows easily from the improvement of flatness lemma below. Its proof is presented at the end of the section.

Lemma 4.5. Let u satisfy (3.1). Assume that $|u - x_n^+| \leq \epsilon$ in B_1 , $0 \in F(u)$, and σ in (3.1) satisfies $\sigma \leq \epsilon^{n+4}$. Given $\alpha \in (0,1)$ there exists η depending on α such that

(4.4)
$$|u - (x \cdot \nu)^+| \le \epsilon \eta^{1+\alpha} \quad in \quad B_{\epsilon}$$

for some unit direction ν , provided that $\epsilon \leq \epsilon_0(K, \alpha)$ is sufficiently small.

As mentioned before, the results in subsection 4.1 guarantee that the proof of Lemma 4.5 follows along the lines of the case of minimizers as in [D]. We sketch the details in the following two subsections.

4.3. Two properties. Define the ϵ -scaled function

$$\bar{u}_{\epsilon} := \frac{1}{\epsilon}(u - x_n)$$
 in the set $\{u > 0\} \cap B_1$.

Next we state two properties (P1) and (P2) for the function u which turn out to be sufficient for obtaining the approximation of \bar{u}_{ϵ} with solutions of the linearized Neumann problem

(4.5)
$$\Delta \bar{u}_0 = 0 \text{ in } B_{1/2}^+, \quad \partial_n \bar{u}_0 = 0 \text{ on } \{x_n = 0\} \cap B_{1/2},$$

and for obtaining the improvement of flatness Lemma 4.5. These properties are written in terms of two small parameters δ and $\epsilon > 0$.

(P1) Harnack inequality, (see Lemma 3.3 in [D].)

Given $\delta > 0$, there exists $\epsilon_0 = \epsilon_0(\delta)$ such that if $\epsilon \leq \epsilon_0$ and for some constant a,

$$u \ge l^+ = (x_n + a)^+, \quad \text{in } B_r(x_0) \subset B_1,$$

with $r \geq \delta$, $|a| \leq \epsilon$ and

 $u(y) \ge l^+(y) + \gamma \epsilon$ for some y for which $B_{r/2}(y) \subset \{l^+ > 0\} \cap B_r(x_0)$,

and some $\gamma \in [\delta, 1]$, then

$$u \ge (x_n + a + c\gamma\epsilon)^+$$
 in $B_{r/2}(x_0)$,

for some c > 0 universal.

Similarly, the above holds when we replace \geq by \leq and γ by $-\gamma$.

(P2) Viscosity property. Given $\delta > 0$, there exists $\epsilon_0 = \epsilon_0(\delta)$ such that if $\epsilon \leq \epsilon_0$ we cannot have $u(x_0) = P(x_0)$ and $u \geq P$ in $B_{\delta}(x_0) \subset B_1$ where P is a quadratic polynomial such that $||D^2P|| \leq \delta^{-1}\epsilon$, $\Delta P \geq \delta\epsilon$, and in the ball $B_{\delta}(x_0)$ either u > 0or $|\nabla P| > 1 + \delta\epsilon$.

Similarly, the above holds when $u \leq P$, $\Delta P \leq -\delta \epsilon$ and $|\nabla P| < 1 - \delta \epsilon$.

We explain why (P1) and (P2) suffice to obtain the improvement of flatness property as in [D].

Lemma 4.6. Assume a family of functions u satisfy properties (P1) and (P2) above. If $|u - x_n^+| \le \epsilon$ in $B_1, 0 \in F(u)$, then

$$|u - (x \cdot \nu)^+| \le \epsilon \eta^{1+\alpha} \qquad in \quad B_\eta,$$

for some unit direction ν , provided that $\epsilon \leq \epsilon_1$ with ϵ_1 depending on n, α and the dependence $\delta \mapsto \epsilon_0(\delta)$ that appears in properties (P1), (P2).

Proof. The lemma follows by compactness. We argue by contradiction and produce sequences $\epsilon_k \to 0$ and a sequence of functions u_k satisfying the assumptions but not the conclusion. Let

$$\bar{u}_k := \frac{1}{\epsilon_k} (u_k - x_n)$$
 in the set $\{u_k > 0\} \cap B_1$.

Then if we choose $\epsilon_k \leq \epsilon_0(\delta_k)$ with $\delta_k = 2^{-k}$, property (P1) together with the Ascoli-Arzela theorem guarantee that (up to a subsequence) the graphs of \bar{u}_k converge in the Hausdorff distance to the graph of a Hölder function \bar{u}_0 defined in the half ball $B_{1/2}^+$.

Next we show that property (P2) implies that the function \bar{u}_0 satisfies

$$\Delta \bar{u}_0 = 0$$
 in $B_{1/2}^+$, $\partial_n \bar{u}_0 = 0$ on $\{x_n = 0\} \cap B_{1/2}$,

in the viscosity sense. Indeed, if Q is a quadratic polynomial with $\Delta Q > 0$ that touches \bar{u}_0 by below at some point $x_0 \in B^+_{1/2}$ then $Q + c_k$ touches by below \bar{u}_k by below at $x_k \to x_0$ in a fixed neighborhood of x_0 . Thus we can find $\delta > 0$ small such that $\|D^2Q\| \leq \delta^{-1}$, $\Delta Q > \delta$ and

$$P_k := x_n + \epsilon_k (Q + c_k)$$

touches u_k by below at x_k and it is below it in a δ neighborhood of x_k . Notice that $P_k > 0$ in this neighborhood and thus we contradict property (P2) for all large k.

If we touch \bar{u}_0 by below at some boundary point $x_0 \in B_{1/2} \cap \{x_n = 0\}$ by a quadratic polynomial Q that satisfies in addition $Q_n(x_0) > \delta$ then we argue as above and find that P_k touches u_k by below in $\overline{\{u_k > 0\}} \cap B_{2\delta}(x_0)$ at $x_k \to x_0$. Since P_k is increasing in the x_n direction we conclude that P_k is below u_k in a whole δ -neighborhood of x_k and we contradict (P2) again since $|\nabla P_k| \geq \partial_n P_k \geq 1 + \delta \epsilon_k$.

Now the conclusion of the lemma follows easily from the C^2 estimates for solutions to the Neumann problem (4.5) for \bar{u}_0 , since $\|\bar{u}_0\|_{L^{\infty}} \leq 1$,

$$|\bar{u}_0 - l| \le \frac{1}{2}\eta^{1+\alpha}$$
 in B_η^+

for some linear function l and with $\eta > 0$ small depending only on α and n.

4.4. Properties (P1) and (P2) are satisfied. In order to establish Lemma 4.5 it suffices to show that if $\sigma \leq \epsilon^{n+4}$ then properties (P1) and (P2) hold. For (P1), by Remark 3.1 it suffices to consider the case $B_r(x_0) = B_1$ and replace σ by

$$\bar{\sigma} = r^{-n}\sigma \le \delta^{-n}\epsilon^{n+4}.$$

Assume a = 0 for simplicity. Lemma 3.3 applies since $\bar{\sigma} \leq (\gamma \epsilon)^{n+3}$ for $\gamma \geq \delta$ and ϵ is small depending on δ . We obtain

(4.6)
$$u \ge x_n^+ + c\gamma\epsilon \text{ in } B_{3/4} \cap \{x_n \ge c_0(n)\}.$$

Now we can use Lemma 4.1 (with $\mu = c\gamma\epsilon/4$) and show that u must be greater than

$$P = x_n + \frac{c}{2}\gamma\epsilon(c_0 + x_n + 2nx_n^2 - |x'|^2),$$

in the cylinder $C := \{|x_n| \leq 2c_0, |x'| \leq 1/4\}$, thus the desired conclusion easily follows. Indeed in view of Corollary 4.2, if $u \geq P$ in a neighborhood of ∂C and $|\nabla P| > 1 + \mu$ then $u \geq P$ in C. In the region where $c_0 \leq x_n \leq 2c_0$ the fact that $u \geq P$ immediately follows from (4.6), if c_0 is small enough depending on n. In the remaining region we use that $u \geq x_n$ and choose the neighborhood sufficiently small.

Finally property (P2) follows from Lemma 4.1, since after a rescaling of factor δ^{-1} , the rescaled polynomial \tilde{P} satisfies

$$\|D^2 \tilde{P}\| \le \epsilon, \quad \bigtriangleup \tilde{P} \ge \delta^2 \epsilon, \quad \tilde{P} > 0 \quad \text{or} \quad |\nabla \tilde{P}| \ge 1 + \delta \epsilon$$

Now we take $\mu = \delta^2 \epsilon$ and clearly $\bar{\sigma} \leq \mu^{n+3}$, if ϵ is small enough depending on δ . Finally, we sketch the proof of Theorem 4.4.

Proof of Theorem 4.4. Since u is an almost minimizer, then it satisfies (3.1) with $\sigma = \kappa$. Given $\alpha \in (0, \beta/(n+4)]$, let ϵ_0 depending on K, α be given by Lemma 4.5 and take $\kappa \leq \epsilon_0^{n+4}$. Then u satisfies (4.4) and rescaling we obtain that $u_\eta(x) = u(\eta x)/\eta$ still satisfies (3.1) with $\sigma = \kappa \eta^\beta$ and it is $\epsilon_0 \eta^\alpha$ flat. Thus we can apply Lemma 4.5 again as long as $\kappa \eta^\beta \leq \epsilon_0^{n+4} \eta^{\alpha(n+4)}$, which holds in view of our choice of α . We conclude that Lemma 4.5 can be applied indefinitely and the theorem follows. \Box

4.5. Regularity of the free boundary. From Proposition 3.6, we know that a Lipschitz almost minimizer with small constant κ is well approximated by minimizers and this approximation holds also for the free boundaries. On the other hand, the free boundary of a minimizer consists of a singular part which is a closed set of Hausdorff dimension n-5, and a regular part which has finite n-1 dimension and is locally smooth [AC, CJK, JS]. Thus, using Theorem 4.4 and a standard covering argument, we obtain the following result.

Theorem 4.7. Let u be a Lipschitz almost minimizer to J in B_1 with exponent β and sufficiently small constant $\kappa(K,\beta)$, where K is a constant that bounds the Lipschitz norm of u. Then

$$\mathcal{H}^{n-1}(\partial \{u > 0\} \cap B_{1/2}) \le C, \qquad \text{with } C \text{ universal,}$$

and $\partial \{u > 0\}$ is smooth outside a closed singular set of Hausdorff dimension n-5.

In the general case we obtain that

 $\mathcal{H}^{n-1}\{\partial\{u>0\}\cap B_{1/2}\} \le C(\kappa,\beta, \|u\|_{L^{\infty}(B_1)}),$

and $\partial \{u > 0\}$ is smooth outside a closed singular set of Hausdorff dimension n-5.

We also state the result of [C2, D] about the regularity of Lipschitz free boundaries for the case of almost minimizers. In view of the results in this section, the proof follows with the strategy of [D].

Theorem 4.8. Let u be an almost minimizer in B_1 with exponent β and constant κ . Assume that $0 \in F(u)$ and that F(u) is a Lipschitz graph with Lipschitz constant L. Then $F(u) \cap B_{1/2}$ is a $C^{1,\alpha}$ graph, and its $C^{1,\alpha}$ norm is bounded by a constant that depends only on n, L and β and κ .

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