

## ORIGINAL ARTICLE

# Alpha-synuclein induces the unfolded protein response in Parkinson's disease SNCA triplication iPSC-derived neurons

Sabrina M. Heman-Ackah<sup>1,2,3,\*</sup>, Raquel Manzano<sup>1</sup>, Jeroen J.M. Hoozemans<sup>4</sup>, Wiep Scheper<sup>5,6</sup>, Rowan Flynn<sup>7</sup>, Wilfried Haerty<sup>8</sup>, Sally A. Cowley<sup>7</sup>, Andrew R. Bassett<sup>9,\*†,‡</sup> and Matthew J.A. Wood<sup>1,\*†</sup>

<sup>1</sup>Department of Physiology, Anatomy and Genetics, University of Oxford, Oxford OX1 3QX, UK, <sup>2</sup>NIH Oxford-Cambridge Scholars Program, National Institutes of Health, Bethesda, MD 20892, USA, <sup>3</sup>UNC MD-PhD Program, University of North Carolina at Chapel Hill, Chapel Hill, NC 27599, USA, <sup>4</sup>Department of Pathology, Neuroscience Campus Amsterdam, <sup>5</sup>Department of Clinical Genetics and Alzheimer Center, VU University Medical Center, 1007 MB Amsterdam, The Netherlands, <sup>6</sup>Department of Functional Genomics, Center for Neurogenomics and Cognitive Research, VU University, 1081 HV Amsterdam, The Netherlands, <sup>7</sup>James Martin Stem Cell Facility, Sir William Dunn School of Pathology, University of Oxford, Oxford OX1 3RE, UK, <sup>8</sup>Earlham Institute, Norwich Research Park, Norwich NR4 7UH, UK and <sup>9</sup>Genome Engineering Oxford, Sir William Dunn School of Pathology, University of Oxford, Oxford OX1 3RE, UK

\*To whom correspondence should be addressed. Tel: +1919-338-9437; Email: hemanackah.sabrina@gmail.com (S.M.H.-A.); Tel: +44 1223494933; Fax: +44 1223494919; Email: ab42@sanger.ac.uk (A.R.B.); Tel: +44 1865272419; Fax: +44 1865272420; Email: matthew.wood@dpag.ox.ac.uk (M.J.A.W.)

## Abstract

The recent generation of induced pluripotent stem cells (iPSCs) from a patient with Parkinson's disease (PD) resulting from triplication of the  $\alpha$ -synuclein (SNCA) gene locus allows unprecedented opportunities to explore its contribution to the molecular pathogenesis of PD. We used the double-nicking CRISPR/Cas9 system to conduct site-specific mutagenesis of SNCA in these cells, generating an isogenic iPSC line with normalized SNCA gene dosage. Comparative gene expression analysis of neuronal derivatives from these iPSCs revealed an ER stress phenotype, marked by induction of the IRE1 $\alpha$ /XBP1 axis of the unfolded protein response (UPR) and culminating in terminal UPR activation. Neuropathological analysis of post-mortem brain tissue demonstrated that pIRE1 $\alpha$  is expressed in PD brains within neurons containing elevated levels of  $\alpha$ -synuclein or Lewy bodies. Having used this pair of isogenic iPSCs to define this phenotype, these cells can be further applied in UPR-targeted drug discovery towards the development of disease-modifying therapeutics.

<sup>†</sup>Co-Senior authors.

<sup>‡</sup>Present address: Human Gene Editing, Wellcome Trust Sanger Institute, Wellcome Genome Campus, Hinxton, Cambridge CB10 1SA, UK.

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## Introduction

Parkinson's disease (PD) is a progressive neurodegenerative disease, which manifests primarily as a devastating movement disorder.  $\alpha$ -synuclein, encoded by the SNCA gene, is one of the most commonly implicated molecular mediators of both genetic and sporadic PD. Multiplications of the SNCA gene locus, including duplications and triplications, have been shown to cause autosomal dominant PD in which gene dosage determines severity and latency (1–4). This suggests that 1) the pathologic properties of  $\alpha$ -synuclein are not dependent on mutations that alter the  $\alpha$ -synuclein protein product, 2) overexpression of wild-type  $\alpha$ -synuclein is sufficient to cause disease in a dose-dependent manner and 3) overabundance of  $\alpha$ -synuclein may be a common feature of PD wherein smaller increases in  $\alpha$ -synuclein, due to increased expression or decreased clearance, may contribute to sporadic disease (5,6). Defining the mechanism by which overabundance of  $\alpha$ -synuclein contributes to PD pathogenesis may thus provide insight into the molecular mechanisms underlying PD and provide novel targets for therapeutic intervention.

The recent generation of induced pluripotent stem cells (iPSCs) from a PD patient harboring a triplication of the SNCA gene locus has facilitated efforts to study the role of  $\alpha$ -synuclein in PD pathogenesis (7). Whereas one allele maintains a single copy of SNCA, a triplication on the other allele results in a doubling of the total SNCA gene dosage (Fig. 1A). This model has been utilized in studies comprising healthy controls for phenotypic comparison to identify  $\alpha$ -synuclein-related pathogenic changes (7–12). However, the triplicated locus is a large, 1.5 Mb region that includes 15 other putative or annotated genes (7). Thus, inferences drawn by comparison of these cells and their derivatives to healthy controls may be confounded by altered expression of any or all of the other genes in this region.

To overcome this limitation, we applied the double-nicking clustered regularly interspaced short palindromic repeat (CRISPR)/CRISPR-associated protein 9 (Cas9) system (13) to generate an isogenic iPSC line by introducing frameshifting mutations in two copies of SNCA in these cells. We then conducted a transcriptomic analysis of neurons derived from these iPSCs compared to the parental SNCA triplication line and identified an  $\alpha$ -synuclein-induced ER stress phenotype, marked by activation of the IRE1 $\alpha$ /XBP1 axis of the unfolded protein response (UPR), which was further confirmed by qRT-PCR and western blotting analysis. We further demonstrate that similar alterations in pIRE1 $\alpha$  levels occur in post-mortem brain tissue from PD patients compared to controls, validating the clinical relevance of this cellular phenotype to the manifestation of PD pathology in patients. Taken together, these results highlight the IRE1 $\alpha$ /XBP1 arm of the UPR as a mediator of  $\alpha$ -synuclein-induced neuropathogenesis in PD, supporting further evaluation of this pathway as a candidate for therapeutic intervention. More generally, our results support the use of isogenic iPSC models as a platform to identify molecular contributors to PD pathology.

## Results

### Generation of isogenic SNCA triplication iPSCs

Cas9 nickase sgRNAs were designed to target SNCA exon 4, which is expressed in all four  $\alpha$ -synuclein transcript isoforms (Fig. 1B), and tested for efficacy. Insertion/deletion (indel) mutations generated through imperfect non-homologous end joining (NHEJ) were detectable by SURVEYOR assay in HEK293T cells, as well as the

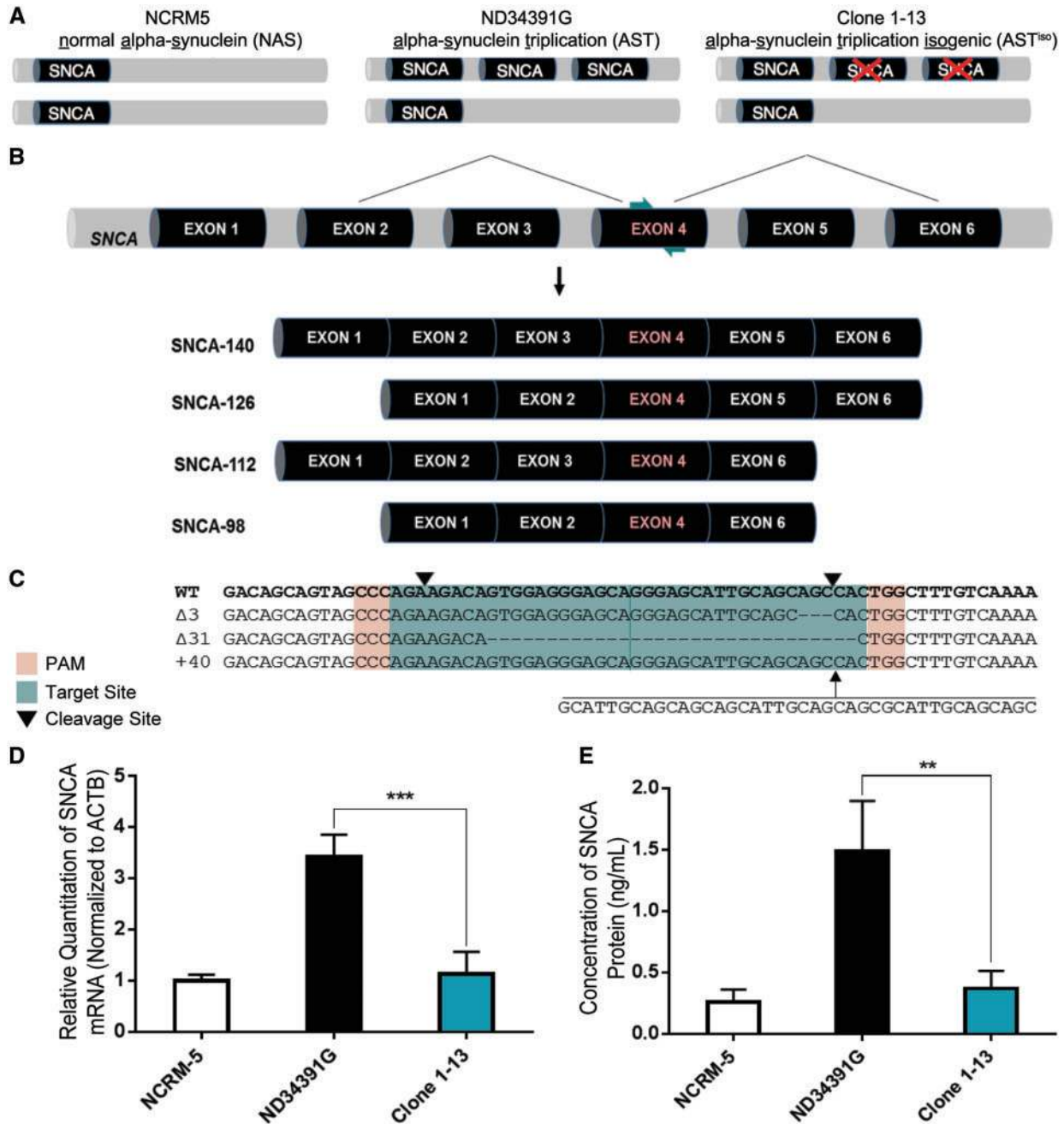
neuroblastoma cell lines BE(2)-M17 and SH-SY5Y (Supplementary Material, Fig. S1A). Sequencing showed that the mutagenesis efficiency was 47% in HEK293T, 29% in BE(2)-M17 and 21% in SH-SY5Y cells (Supplementary Material, Fig. S1B). To confirm that these mutations were sufficient to alter SNCA gene expression, we analyzed total  $\alpha$ -synuclein mRNA (Supplementary Material, Fig. S1C) and protein levels (Supplementary Material, Fig. S1D) by qRT-PCR and ELISA, respectively, and detected a reduction in  $\alpha$ -synuclein levels in pooled, targeted populations of each cell type.

ND34391G iPSCs (obtained from the Coriell Institute, distributed through the NINDS Fibroblasts and iPSCs Collection), were derived from a PD patient carrying a triplication of a large 1.5 Mb region including SNCA and 10 additional genes (Fig. 1A), validated by copy number variant (CNV) quantification (14). After clonal expansion of Cas9 nickase-transfected iPSCs, four clones were identified as variants by high resolution melt (HRM) analysis. Sequencing (Fig. 1C) confirmed one clone as a double knockout, containing an out-of-frame 31 bp deletion and 40 bp insertion. It is worth noting that this clone also contains an in-frame 3 bp deletion, which is not predicted to affect gene expression from this copy of SNCA. We sequenced six putative off-target sites, and none of these sites were found to contain indels (Supplementary Material, Fig. S2A). The double knockout clone, Clone 1-13, was quality controlled by pluripotency immunostaining, karyotyping and hPSC ScoreCard analysis (Supplementary Material, Fig. S2B–D). Finally, we examined the expression of  $\alpha$ -synuclein in NCRM-5 healthy control (normal  $\alpha$ -synuclein = NAS), ND34391G  $\alpha$ -synuclein triplication (AST) and Clone 1-13 isogenic (AST<sup>iso</sup>) iPSCs (Fig. 1A), finding that  $\alpha$ -synuclein mRNA (Fig. 1D) and protein (Fig. 1E) levels in the isogenic iPSC line were reduced to levels comparable to the healthy control iPSC line, with silencing of mRNA expression from the out-of-frame mutant alleles likely caused by nonsense mediated decay. In this context, isogenic refers to the fact that the parental SNCA triplication iPSC line and the double knockout clone possess identical genetic background, which includes overexpression of the other genes in the triplication locus, and selective reduction of SNCA gene dosage to achieve normal expression levels.

### Characterisation of AST and AST<sup>iso</sup> iPSC-derived neurons

NAS, AST and AST<sup>iso</sup> iPSCs were differentiated into cortical neurons (14) (Supplementary Material, Fig. S3A) and consistent with previous reports (10), we observed phase-dense structures in the perinuclear compartment of the AST cells that were absent in the NAS neurons (Supplementary Material, Fig. S3B). These structures stained with an anti-SNCA antibody (Supplementary Material, Fig. S3B), further demonstrating that they contain  $\alpha$ -synuclein protein, and that they may represent early stage Lewy-body like structures in these neurons.

Analysis of AST and AST<sup>iso</sup> neurons by RT-PCR showed that they expressed comparable levels of neuronal marker genes (Supplementary Material, Fig. S3C) and showed similar morphology (Supplementary Material, Fig. S3D). A thorough transcriptomic analysis of the AST and AST<sup>iso</sup> neurons and iPSCs was performed by RNA-seq. Analysis of expression of a number of markers of cell fate, including those enriched in neurons, neural progenitor cells, astrocytes, oligodendrocytes, microglia and pluripotent stem cells revealed that although there was a mixed population of cell types produced upon differentiation, these populations were highly similar between AST and AST<sup>iso</sup> genotypes (Supplementary Material, Fig. S3E). This demonstrates that the triplication of the SNCA locus has relatively



**Figure 1.** Double Knockout of SNCA in iPSCs Derived from a PD Patient with SNCA Triplication via Double-Nicking CRISPR/Cas9. (A) Schematic representation of normal alpha synuclein (NAS), alpha-synuclein triplication (AST) and alpha-synuclein triplication isogenic (AST<sup>iso</sup>) iPSC lines. The triplication involves a large Mb region including the SNCA gene. (B) Position of sgRNAs (teal arrows) guiding Cas9 nicksases to SNCA exon 4, common to all four  $\alpha$ -synuclein transcript isoforms. (C) Wild-type, 3 bp deletion, 31 bp deletion, and 40 bp insertion alleles identified by sequencing of Clone 1-13. PAMs are highlighted in red, sgRNAs in teal and downward pointing black arrows represent cleavage sites. (D) qRT-PCR for total SNCA mRNA shows a normalization of  $\alpha$ -synuclein transcript levels in Clone 1-13 iPSCs. Data are represented as mean  $\pm$  SEM of biological triplicates. (E) ELISA shows a normalization of  $\alpha$ -synuclein protein levels in Clone 1-13 iPSCs. Data are represented as mean  $\pm$  SEM of biological triplicates. \*\* $P \leq 0.01$ , \*\*\* $P \leq 0.001$ . See also [Supplementary Material](#), Figs S1 and S2 and Table S4.

minor effects on the neuronal differentiation process, and results in highly similar cell populations to neurons derived from the AST<sup>iso</sup> genotype that can be directly compared with each other.

Comparison of AST and AST<sup>iso</sup> genotypes confirmed an approximately 90-fold overexpression of SNCA mRNA in AST neurons that was restored in AST<sup>iso</sup> neurons ([Supplementary Material](#), Fig. S4A). Further analysis of the other genes in the

triplication showed that they show relatively subtle changes in expression, with the exception of SNCA-AS1 and CCSE1, which are upregulated in the AST neurons versus AST<sup>iso</sup> neurons ([Supplementary Material](#), Fig. S4C). One gene present in the triplicated region, MMRN1, was unable to be analysed due to very low expression levels. It is likely that the change in expression of the SNCA antisense transcript (SNCA-AS1) is due to a transcriptional feedback mechanism resulting from the SNCA

mutations. The effect on *CCSER1* expression is more unexpected, but it could be a potential downstream consequence of altered *SNCA* expression, since this gene was not mutated in the *AST<sup>iso</sup>* line. These data suggest that the differences seen between *AST* and *AST<sup>iso</sup>* genotypes are most likely due to the reduction in the level of *SNCA* mRNA after CRISPR/Cas9 mutagenesis rather than effects from other genes contained within the triplicated region.

### Comparative transcriptomic analysis reveals an ER stress phenotype in *SNCA* triplication iPSC-derived neurons

We next performed differential expression analysis of our RNAseq data between different cell types and genotypes using DEseq2 (15). Cross correlations between the datasets (Fig. 2A) and principal component analysis (Fig. 2B) showed that the largest component of the variance (PC1, 74.38%) was due to differentiation stage. Differences between *AST* and *AST<sup>iso</sup>* genotypes in neurons formed the next largest source of variation (PC2, 9.51%), followed by differences between these genotypes in iPSCs (PC3, 2.91%) (Fig. 2A and B). We applied a generalized linear model to test the effect of differentiation stage (iPSC vs. neuron), genotype (*AST* vs *AST<sup>iso</sup>*) and interaction differentiation x genotype on gene expression. This identified 5368 genes that were differentially expressed between genotypes ( $Q < 0.05$ ) (Supplementary Material, Fig. S4B). The number of differences in gene expression resulting from *SNCA* triplication are greater in neurons than iPSCs, and the genes responsible for these differences are not the same. The fact that iPSCs and neurons do not respond to *SNCA* triplication in the same way may provide a rationale as to why disease phenotypes manifest specifically in neurons, and indicates that the neuron-specific differentially expressed gene set may contain promising candidates for modulating such phenotypes.

An unbiased Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway enrichment analysis on the differentially expressed genes in each of these sets identified “protein processing at the ER” as the pathway that was most highly enriched between *AST* and *AST<sup>iso</sup>* genotypes (Fig. 2C). Interestingly, genes differentially expressed only between *AST* and *AST<sup>iso</sup>* iPSC-derived neurons showed the strongest enrichment in this pathway, with a FDR corrected p-value of  $5.99 \times 10^{-8}$ . Out of 140 total genes, 92 (66%) of the genes in this pathway were significantly differentially expressed (Fig. 2C), including components involved in the detection of unfolded proteins, and the response mediated through the UPR and ER-associated protein degradation (ERAD) pathways. The three branches of the UPR were all upregulated (Fig. 2C), with increased levels of PERK, ATF6 and IRE1 $\alpha$  mRNA, and corresponding changes in their upstream activators and downstream effectors (Fig. 2C). The induction of the UPR by *SNCA* overexpression is likely to be a direct effect, since treatment of cultured human SH-SY5Y neurons with recombinant  $\alpha$ -synuclein oligomers (although interestingly not fibres or monomers) can induce the UPR (16). It is also consistent with previous data that show 1) that UPR activation co-localises in neurons showing increased  $\alpha$ -synuclein expression in human post-mortem brain samples from patients with PD (17), 2) that  $\alpha$ -synuclein directly interacts with the ER chaperone BiP in cultured cells (18) and 3) that these proteins co-localise in mouse brains overexpressing truncated  $\alpha$ -synuclein (18). Other KEGG pathways enriched in the differentially expressed gene set are listed in Supplementary Material, Table S1, and include

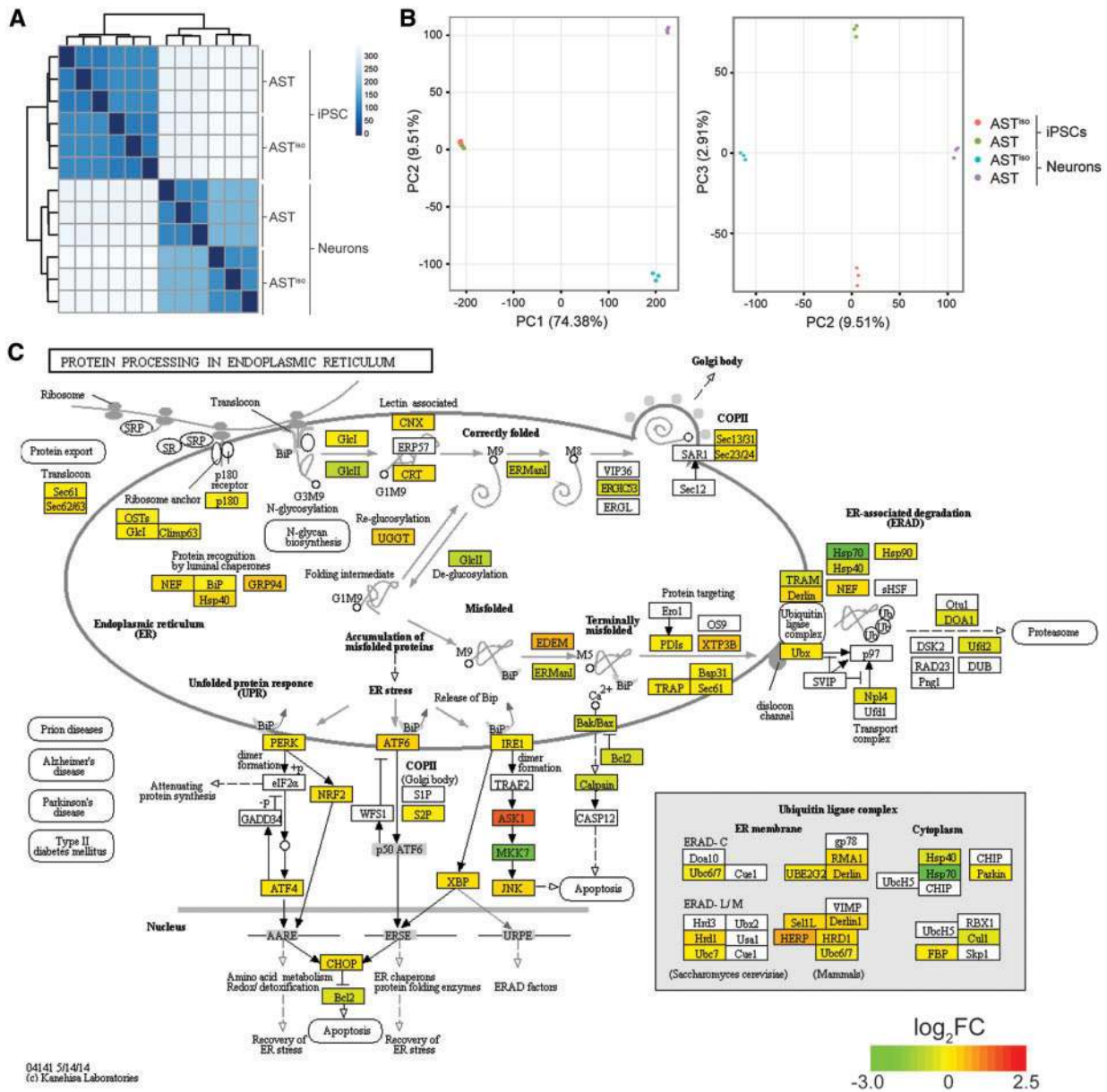
spliceosomal ( $Q = 7.63 \times 10^{-7}$ ) and lysosomal ( $Q = 1.96 \times 10^{-3}$ ) components.

Further analysis of the genes that are differentially expressed between *AST* and *AST<sup>iso</sup>* genotypes in iPSC-derived neurons for enrichment of particular gene ontology (GO) categories highlighted numerous other biological processes that were affected by *SNCA* triplication (Supplementary Material, Table S2). These largely fall into three categories, namely cell death through both autophagy and apoptosis (e.g. autophagy  $Q = 1.90 \times 10^{-8}$ , apoptotic process  $Q = 1.69 \times 10^{-5}$ ), alterations in cellular metabolism especially catabolic processes (e.g. cellular protein catabolic process  $Q = 1.61 \times 10^{-5}$ ) and changes in gene expression particularly with respect to cell fate regulation (e.g. cell fate commitment  $Q = 8.64 \times 10^{-9}$ ) (Supplementary Material, Table S2). These processes are likely to be downstream effects of  $\alpha$ -synuclein aggregation, and have been implicated in Parkinson's disease pathogenesis (19–21). Taken together with the ER stress response, they may form the basis for a diagnostic cellular phenotype underlying the early stages of disease progression.

We also attempted to overexpress *SNCA* in NAS neurons by the delivery of dCas9-VPR (14) and a sgRNA targeting the promoter of the *SNCA* gene to see whether we could recapitulate some of these effects in an orthologous system. We obtained an approximately 8-fold overexpression of *SNCA* at the RNA level in these neurons (Supplementary Material, Fig. S5A). Interestingly, we saw a corresponding 2-fold overexpression of IRE1 $\alpha$ , suggesting that the UPR comprises a rapid, immediate response to *SNCA* overexpression, and further demonstrating that this effect is due to increased levels of  $\alpha$ -synuclein rather than any other effects of the triplicated region (Supplementary Material, Fig. S5B). However, in contrast to the *AST* neurons, no effect on the level of PERK or ATF6 was observed. The greater specificity and lower magnitude of these effects is not unexpected, since these cells have only an 8-fold increase in *SNCA* RNA levels (Supplementary Material, Fig. S5A) as opposed to a nearly 90-fold change in *AST* neurons (Supplementary Material, Fig. S4A). Additionally, they have only experienced a short, transient exposure to increased *SNCA* expression, and therefore would only show the direct, immediate responses, not the indirect effects of chronic exposure to high levels of  $\alpha$ -synuclein for longer periods of time.

### UPR targets are activated in neural derivatives of *SNCA* triplication iPSCs

To further elucidate the role of the ER stress and unfolded protein response (UPR) pathways in the neuronal response to *SNCA* triplication, we examined expression of UPR pathway components in our RNAseq data, by qRT-PCR and western blotting (Fig. 3, Supplementary Material, Fig. S6). We focused on the IRE1 $\alpha$  branch of the UPR (Fig. 3A), since this was most sensitive to the upregulation of *SNCA* levels in *AST* neurons and also upon transient upregulation of *SNCA* in NAS neurons (Supplementary Material, Fig. S5). IRE1 $\alpha$  is a UPR master regulator which is itself activated by autophosphorylation, becoming pIRE1 $\alpha$ , when its native suppressor, BiP, dissociates from its ER luminal domain to bind to unfolded proteins for which it has a greater affinity. Activated pIRE1 $\alpha$  mediates the non-canonical splicing of a 26 nt intron from XBP1 mRNA, producing the functional XBP1(S) mRNA transcript that is capable of being translated into a transcription factor. XBP1(S) translocates to the nucleus to regulate UPR target genes in response to

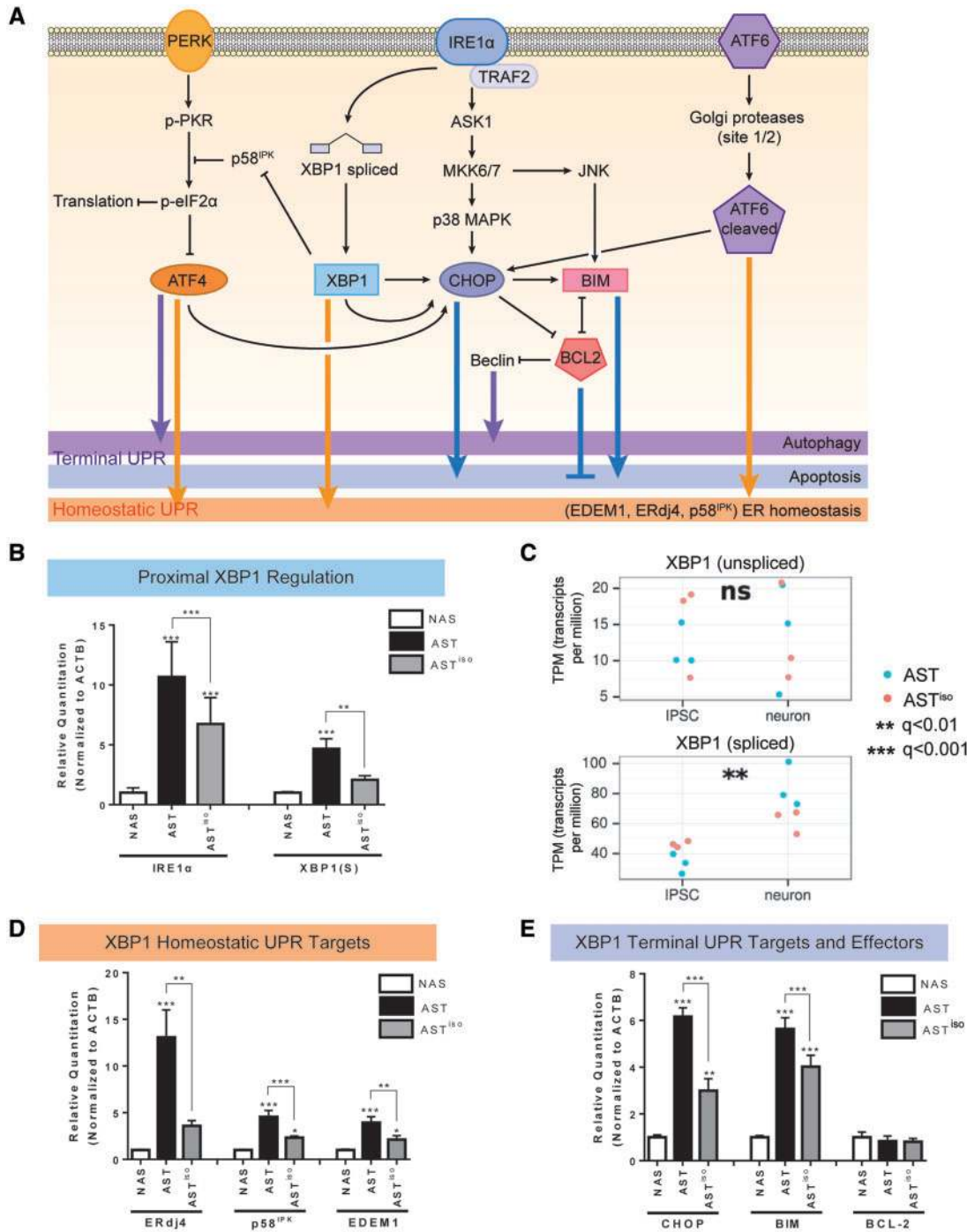


**Figure 2.** Comparative transcriptomic analysis reveals an ER stress phenotype specific to SNCA triplication iPSC-derived neurons. (A) Cross-correlation and clustering analysis between datasets. Color denotes correlation coefficient. The primary source of variation is between iPSCs and iPSC-derived neurons, followed by AST and AST<sup>iso</sup> genotypes in neurons. (B) Principal component analysis of differentially expressed genes. The first principal component (PC1) accounts for 74.38% of the variance, largely due to differentiation stage. PC2 accounts for the differences in AST and AST<sup>iso</sup> genotypes in neurons (9.51% variance) and PC3 similarly accounts for genotype differences in iPSCs (2.91% variance). Cell types are indicated as follows: red = AST<sup>iso</sup> iPSC, green = AST iPSC, blue = AST<sup>iso</sup> neurons and purple = AST neurons. (C) Protein processing in the endoplasmic reticulum KEGG pathway diagram highlighting fold changes in gene expression between AST and AST<sup>iso</sup> iPSC-derived neurons. Color indicates log<sub>2</sub>FC (red is higher in AST neurons). Data show biological triplicates, \*\*Q < 0.01, \*\*\*Q < 0.001, ns = not significant. See also [Supplementary Material](#), Figs S3–S5, Tables S1 and S2.

accumulated unfolded proteins (Fig. 3A). We found that IRE1 $\alpha$  mRNA levels were upregulated 10-fold in AST neurons compared to NAS neurons, and partially rescued by reduction of  $\alpha$ -synuclein levels in AST<sup>iso</sup> neurons (Fig. 3B).

Interestingly, expression of the spliced XBP1(S) isoform, but not the unspliced form, was found to be altered by SNCA triplication by both isoform-specific qRT-PCR (Fig. 3B) and RNAseq (Fig. 3C). This is consistent with the known role of splicing in regulation of signaling through XBP1 and resultant activation of the UPR. We further validated the expression of downstream XBP1(S) targets that are involved in both homeostatic and

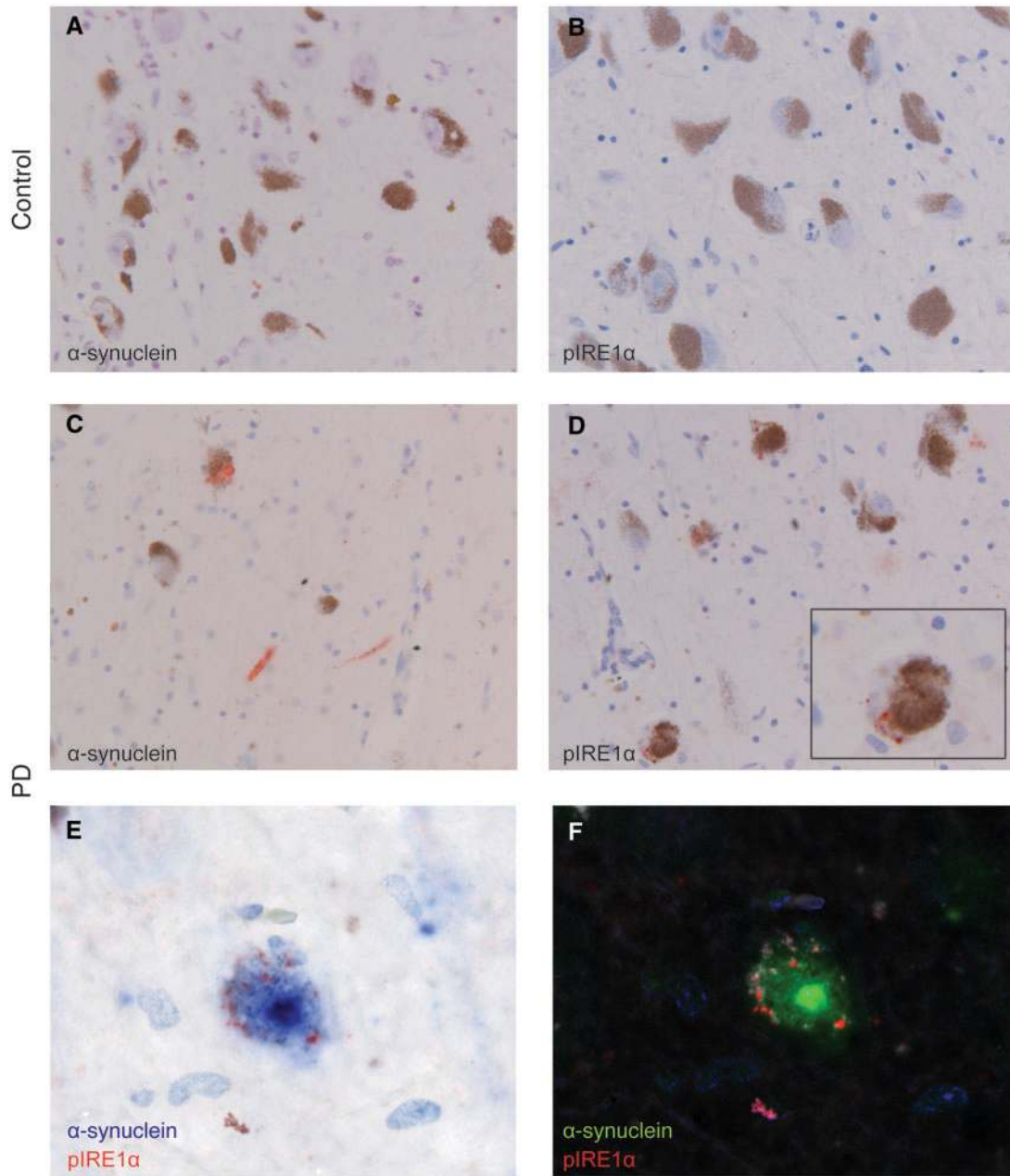
terminal UPR outputs, ERdj4, EDEM1, p58<sup>IPK</sup> and CHOP by qRT-PCR in AST neurons. In all cases, expression was elevated when compared to NAS neurons (Fig. 3D and E). For ERdj4, EDEM1, p58<sup>IPK</sup> and CHOP, expression was partially rescued by reduction of  $\alpha$ -synuclein levels in AST<sup>iso</sup> neurons. These results were confirmed by analysis of our RNAseq data as being upregulated in AST neurons when compared to the isogenic control ([Supplementary Material](#), Fig. S6A). As CHOP is regarded as the major terminal UPR effector, indicating a commitment by the cell to undergo death, we explored whether the apoptotic targets of CHOP, BIM and BCL-2, were differentially expressed



**Figure 3.** Transcript and protein expression validation of IRE1 $\alpha$ /XBP1-induced UPR activation in SNCA triplication iPSC-derived neurons. (A) Schematic of signaling pathways downstream of the UPR. PERK, IRE1 $\alpha$  and ATF6 branches are indicated, and the effects of downstream effectors on homeostatic, autophagic and apoptotic outcomes are indicated. (B, D, E) Validation of mRNA expression level differences in IRE1 $\alpha$ /XBP1 pathway components by qRT-PCR. Levels of IRE1 $\alpha$  and spliced XBP1 [XBP1(S)] (B), the homeostatic UPR targets ERdj4, p58<sup>IPK</sup> and EDEM1 (D), and the terminal UPR targets CHOP, BIM and BCL-2 (E) at the mRNA level were evaluated by qRT-PCR in NAS, AST and AST<sup>iso</sup> iPSC-derived neurons. Data are represented as mean  $\pm$  SEM of biological triplicates. (C) Gene expression from RNAseq data (in transcripts per million, TPM) of unspliced and spliced XBP1 transcripts across differentiation stage (iPSC or neuron) and genotype (blue = AST, red = AST<sup>iso</sup>). \* $P \leq 0.05$ , \*\* $P \leq 0.01$ , \*\*\* $P \leq 0.001$ , ns = not significant. See also [Supplementary Material](#), Figs S5–S7 and Table S4.

between NAS, AST and AST<sup>iso</sup> neurons (Fig. 3E). Pro-apoptotic BIM was upregulated in AST neurons compared to NAS neurons, and partially rescued in AST<sup>iso</sup> neurons (Fig. 3E). We did not observe significant differences in anti-apoptotic BCL-2 expression at the mRNA level (Fig. 3E). However, BCL-2 protein levels were

substantially reduced in AST neurons compared to NAS neurons ([Supplementary Material](#), Fig. S6B) and a small restoration of BCL-2 protein levels was observed in AST<sup>iso</sup> neurons ([Supplementary Material](#), Fig. S6B). Changes in Beclin-1 protein levels, a mediator of autophagy, were also observed, and were



**Figure 4.** Immunohistochemical detection of  $\alpha$ -synuclein and pIRE1 $\alpha$  in substantia nigra pars compacta of representative PD and control cases. (A,B) Immunoreactivity for  $\alpha$ -synuclein and pIRE-1 $\alpha$  is absent in the control case. (C)  $\alpha$ -synuclein positive structures, Lewy neurites and bodies, (red) are observed in the PD case. (D) pIRE1 $\alpha$  immunoreactive granules (red) are observed in neurons in the substantia nigra of the PD case. Inset shows a higher magnification of a single neuron. (E) Double-immunohistochemistry for pIRE1 $\alpha$  (red) and  $\alpha$ -synuclein (blue) shows double-labelling of a melanin-containing dopaminergic neuron. (F) Double-staining deconvoluted with spectral imaging showing  $\alpha$ -synuclein (green), pIRE1 $\alpha$  (red), haematoxylin (blue) and neuromelanin (white). Scale bar: 50  $\mu$ m in A-D; 20  $\mu$ m in the inset (D) and E, F.,  $n = 5$  PD cases,  $n = 6$  controls. See also [Supplementary Material](#), Table S3.

highest in NAS neurons, reduced in AST neurons, and partially restored in AST<sup>iso</sup> neurons ([Supplementary Material](#), Fig. S6B).

Interestingly, comparison of NAS neurons to AST<sup>iso</sup> neurons highlights differences in gene expression of some components of this pathway. Given that  $\alpha$ -synuclein expression is normalized in AST<sup>iso</sup> neurons, these effects are probably due to altered expression of other genes within the triplication locus or other background genetic variability, and highlight the importance of generating an isogenic iPSC line to dissect such phenotypes.

We compared the expression of UPR genes in NAS and AST derivatives at the iPSC, intermediate neural stem cell (NSC), and

neuron differentiation stages, finding that the expression levels of UPR components were generally indistinguishable at the iPSC stage with terminal UPR activation becoming progressively evident through differentiation to neurons. ([Supplementary Material](#), Fig. S7). Taken together with the enrichment for autophagy ( $Q = 1.90 \times 10^{-8}$ ) and apoptotic ( $Q = 1.69 \times 10^{-5}$ ) pathways in genes differentially expressed between AST and AST<sup>iso</sup> neurons, these results suggest that  $\alpha$ -synuclein accumulation due to SNCA triplication activates an ER stress response in neurons, resulting in activation of the UPR and resulting in programmed cell death.

## Analysis of post-mortem tissue confirms elevation of pIRE1 $\alpha$ in PD patient brains

To evaluate the relevance of the findings from iPSC-derived neurons to the pathogenesis of PD in patients, we conducted a neuropathological analysis of  $\alpha$ -synuclein and pIRE1 $\alpha$  levels in PD versus control post-mortem brain tissue. We performed immunohistochemistry for pIRE1 $\alpha$  on formalin-fixed paraffin-embedded brain tissue from a small cohort of PD ( $n = 5$ ) and control cases ( $n = 6$ ) (Fig. 4). No  $\alpha$ -synuclein immunoreactivity was detected in controls (Fig. 4A), although  $\alpha$ -synuclein was detectable in PD cases (Fig. 4C). Likewise, immunoreactivity for pIRE1 $\alpha$  was found only in PD cases (Fig. 4D) and was absent in controls (Fig. 4B). In PD cases immunoreactivity for pIRE1 $\alpha$  was observed as a punctate or granular staining in neuromelanin-containing neurons in the substantia nigra (Fig. 4D). Two cases were further investigated for the colocalization of pIRE1 $\alpha$  with  $\alpha$ -synuclein. Immunoreactivity for pIRE1 $\alpha$  was observed in 5–20% of neurons staining for  $\alpha$ -synuclein but the subcellular localizations of pIRE1 $\alpha$  and  $\alpha$ -synuclein were distinct. Neurons in PD cases positive for pIRE1 $\alpha$  contained neuromelanin and increased cytoplasm-localized immunoreactivity for  $\alpha$ -synuclein and/or  $\alpha$ -synuclein positive Lewy bodies (Fig. 4E and F). These results support the conclusion that overexpressed  $\alpha$ -synuclein induces activation of the IRE1 $\alpha$ /XBP1 arm of the UPR, confirms that this effect is evident in patient-derived dopaminergic neurons, and emphasizes the utility of iPSC-based screening platforms for identifying molecular alterations that manifest in PD patients.

## Discussion

Patient-specific iPSCs have revolutionized efforts to elucidate disease-relevant molecular and cellular phenotypes. However, like other disease models, there are limitations in exploiting iPSC-based models to evaluate complex human diseases. Foremost among these is that when comparing iPSC derivatives of healthy controls to those of patients, there exists an inherent difference in the genetic background between individuals. An important development in the use of iPSCs for modeling human disease was the development of isogenic iPSCs, differing exclusively at disease-relevant loci, using for example site-specific zinc finger nucleases to introduce the SNCA A53T PD-associated mutation to healthy control cells, and conversely to correct the same mutation in iPSCs derived from a PD patient who carried this mutation (22).

The development of iPSCs from a PD patient with a triplication of the SNCA gene locus provides an unprecedented means of evaluating the molecular consequences of endogenous  $\alpha$ -synuclein overexpression (7). Alongside their characterizations of the aforementioned SNCA A53T isogenic iPSC pair, Chung *et al.* compared SNCA triplication iPSC-derived cortical neurons to those derived from wild-type human embryonic stem cells (12) and showed that SNCA triplication neurons closely phenocopy the A53T mutant. However, this comparison was performed in cells of different genetic backgrounds. Additionally, the triplication involves a large, 1.61–2.04 Mb region, containing 16 genes (Supplementary Material, Fig. S4C). Some of these genes may participate in fundamental cellular processes that could further affect neuronal physiology and contribute to PD pathogenesis, including CEB1, HERC3, FAM13A1 and KIAA1680. CEB1 and HERC3 are E3 ubiquitin ligases that mediate ISGylation (23) and vesicle trafficking (24), FAM13A1 is a Rho GTPase activating protein identified as a candidate gene involved in Alzheimer's disease (25) and KIAA1680 is part of a

family of genes, some of which are mediators of neuronal migration (26). Here, we build on the initial observations described above, conducting a detailed analysis of  $\alpha$ -synuclein-induced ER stress and UPR activation in neuronal derivatives of SNCA triplication iPSCs compared to an isogenic line with normalized SNCA gene dosage. We have therefore been able to delineate those cellular perturbations caused specifically by elevated SNCA gene dosage, without the interference of variable genetic background or the overexpression of other genes in the triplication locus.

Our studies analysed the effect of SNCA triplication in iPSC-derived cortical neurons, and showed that the observed effect on IRE1 $\alpha$  activation could also be recapitulated in post-mortem samples of the substantia nigra, suggesting that these processes are more general across different brain regions. Although dopaminergic neurons of the substantia nigra are typically thought to be the most sensitive to neurodegeneration in PD patients, patients also experience a wide range of non-motor symptoms that are not treated by dopamine replacement. Progressive cognitive impairment leading to dementia is one of the most common and important non-motor symptoms of PD (cumulative prevalence ~80%). Our results demonstrate that there are cellular consequences of SNCA overexpression on cortical neurons, which may underlie such cognitive phenotypes, and which may act more ubiquitously in other neuronal subtypes across the brain.

We demonstrate that SNCA triplication induces the IRE1 $\alpha$ /XBP1 arm of the UPR, a finding that stands in agreement with previous literature supporting a role for  $\alpha$ -synuclein-induced ER stress and UPR activation in the pathogenesis of PD (16–18). Past studies have demonstrated the need for careful evaluation of UPR-based therapies for neurodegenerative diseases, since it is challenging to accurately predict whether the outcome of altering levels or activity of UPR components will be protective or pathogenic (27). Our study supports further investigation into whether and in which way altering the UPR to target PD-associated  $\alpha$ -synucleinopathy might be beneficial. The development of mechanism-based therapies with this goal will require careful evaluation of the contributions of downstream effectors of UPR activation (28,29). An understanding of the differential actions of the UPR branches in different brain regions, neuronal subtypes, and stages of disease will be required towards this end. Importantly, the isogenic iPSCs we generated can enhance the utility of SNCA triplication iPSCs as a platform for drug screening efforts to elucidate such outcomes towards developing a safe and efficacious disease-modifying therapy for  $\alpha$ -synucleinopathy-related features of PD.

## Materials and Methods

### Targeted genome editing to generate isogenic iPSCs and neuronal differentiation

SNCA exon 4-targeting double-nicking CRISPRs were designed based on the principles described in Ran *et al.* 2013, and cloned into pSpCas9n(BB)-2A-Puro (PX462) V2.0 (a gift from Feng Zhang, Addgene #62987). Plasmids were Neon transfected into single cell suspensions of AST iPSCs which were then seeded onto mouse embryonic fibroblast (MEF) feeders for expansion. After four days, individual clones were manually isolated and transferred to feeder-free culture on Matrigel-coated 96-well plates. Clones were expanded from 96- to 6-well plates and collected for analysis and cryopreservation. HRM analysis, SURVEYOR assay, and Sanger sequencing were used to identify



a double knockout clone. Absence of off-target mutagenesis was confirmed by Sanger sequencing of the top six putative off-target sites. NAS, AST and AST<sup>iso</sup> iPSCs were differentiated into neurons via a neural stem cell intermediate, as previously described (14).

### Analysis of $\alpha$ -synuclein and UPR component expression in iPSC-derived neurons

For RNA-based analyses, NAS, AST and AST<sup>iso</sup> iPSCs, NSCs, and neurons were lysed using Qiazol lysis reagent and RNA was purified using RNeasy spin columns. RNA was quality controlled before RNA sequencing, and results were validated by qRT-PCR. For protein-based analyses, NAS, AST and AST<sup>iso</sup> iPSCs, NSCs and neurons were lysed using cell extraction buffer (FNN0011, Life Technologies), supplemented with 1X Halt Protease and Phosphatase Inhibitor Cocktail (ThermoFisher Scientific). Findings from RNA-seq and qRT-PCR were followed up with ELISA and western blotting analysis. Statistical significance was determined by one-way ANOVA using SPSS (IBM).

### Supplementary Material

Supplementary Material is available at HMG online.

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