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Main Manuscript for

ALS and FTD-associated missense mutations in TBK1 differentially disrupt mitophagy

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O.H., C.S.E, and E.L.F.H. designed research with input from all others; J.Y., J.C., and T.M. designed and generated constructs and contributed biochemical expertise. O.H. and C.S.E. performed research and analyzed data. O.H. and E.L.F.H. wrote paper with input from all others.

42 **ABSTRACT**

43 TANK-binding kinase 1 (TBK1) is a multi-functional kinase with an essential role in
44 mitophagy, the selective clearance of damaged mitochondria. More than 90 distinct
45 mutations in TBK1 are linked to amyotrophic lateral sclerosis (ALS) and fronto-temporal
46 dementia (FTD), including missense mutations that disrupt the ability of TBK1 to dimerize,
47 associate with the mitophagy receptor optineurin (OPTN), auto-activate, or catalyze
48 phosphorylation. We investigated how ALS-associated mutations in TBK1 affect Parkin-
49 dependent mitophagy using imaging to dissect the molecular mechanisms involved in
50 clearing damaged mitochondria. Some mutations cause severe dysregulation of the
51 pathway, while others induce limited disruption. Mutations that abolish either TBK1
52 dimerization or kinase activity were insufficient to fully inhibit mitophagy, while mutations
53 that reduced both dimerization and kinase activity were more disruptive. Ultimately, both
54 TBK1 recruitment and OPTN phosphorylation at S177 are necessary for engulfment of
55 damaged mitochondria by autophagosomal membranes. Surprisingly, we find that ULK1
56 activity contributes to the phosphorylation of OPTN in the presence of either WT- or
57 kinase inactive TBK1. In primary neurons, TBK1 mutants induce mitochondrial stress
58 under basal conditions; network stress is exacerbated with further mitochondrial insult.
59 Our study further refines the model for TBK1 function in mitophagy, demonstrating that
60 some ALS-linked mutations likely contribute to disease pathogenesis by inducing
61 mitochondrial stress or inhibiting mitophagic flux. Other TBK1 mutations exhibited much
62 less impact on mitophagy in our assays, suggesting that cell-type specific effects,
63 cumulative damage, or alternative TBK1-dependent pathways such as innate immunity
64 and inflammation also factor into the development of ALS in affected individuals.

65 **SIGNIFICANCE STATEMENT**

67 Missense mutations in TANK-binding kinase 1 (TBK1) have various biophysical and
68 biochemical effects on the molecule, and are associated with the neurodegenerative
69 diseases amyotrophic lateral sclerosis (ALS) and fronto-temporal dementia (FTD). TBK1
70 plays an essential role in clearing damaged mitochondria. Here, we investigate the impact
71 of 10 ALS-linked TBK1 mutations on the critical early stage of mitophagy. We find that
72 both TBK1 recruitment and kinase activity contribute to the clearance of the damaged

73 mitochondria. Furthermore, in neurons, expression of TBK1 mutants alone affects
74 mitochondrial network health. Our investigation utilizes disease-linked mutations to
75 further refine the current model of mitophagy, identifying crosstalk between the regulatory
76 kinases TBK1 and ULK1, and providing new insights into the roles of TBK1 in
77 neurodegenerative pathogenesis.

78

79 INTRODUCTION

80 TNF receptor-associated family member-associated NF- κ B activator (TANK)-binding
81 kinase 1 (TBK1) plays a critical role in several cellular pathways implicated in the
82 neurodegenerative disease amyotrophic lateral sclerosis (ALS), including selective
83 clearance of mitochondria and regulation of inflammation. More than 90 mutations in
84 TBK1 have been linked to ALS, including several mutations identified in patients with the
85 co-occurring degenerative disease, fronto-temporal dementia (ALS-FTD) (1, 2). Some
86 TBK1 mutations are classified as loss of function variants while others are missense
87 mutations with unclear contributions to disease pathogenesis (1, 3–6). The latter category
88 includes mutations shown to disrupt the ability of TBK1 to dimerize, associate with the
89 mitophagy receptor optineurin (OPTN), auto-activate, or catalyze phosphorylation (7–9).
90 Given the importance of TBK1 in mitophagy (10), and the necessity of mitochondrial
91 quality control to the maintenance of neuronal homeostasis (11, 12), functional analysis
92 of ALS-associated missense mutations in TBK1 is necessary to determine the impact of
93 mutant TBK1 in the neurodegeneration characteristic of ALS .

94 TBK1 has three primary domains, 1) a kinase domain, 2) a ubiquitin-like domain, and
95 3) a scaffold dimerization domain, which are followed by a flexible C-terminus domain
96 (CTD) (Figure 1A) (13–15). Two TBK1 monomers dimerize along their scaffold
97 dimerization domains, while kinase activity is activated via auto-phosphorylation of the
98 critical serine residue 172 (S172) within the activation loop of the kinase domain (14).
99 Due to the conformation of the TBK1 dimer, it is unlikely that the monomers within a dimer
100 can self-activate, so multimer formation is thought to be required for trans-auto-
101 phosphorylation and kinase activation (13, 14). TBK1 multimerization may be promoted
102 by association of TBK1 via its CTD with adaptor proteins including OPTN, TANK, Sintbad,
103 and NAK-associated protein 1 (NAP1) (7, 16, 17). ALS-linked missense mutations are
104 distributed throughout the protein, with some mutations disrupting dimerization, kinase
105 activity, or both, and others disrupting the association of TBK1 with adaptors, potentially
106 inhibiting TBK1 multimerization and activation (Figure 1B) (3, 6–8).

107 TBK1 kinase activity is an essential regulator of mitophagy, a stepwise pathway for
108 clearance of damaged mitochondria (10, 18). Mitophagy is triggered by loss of

109 mitochondrial membrane potential, leading to the stabilization of PTEN-induced putative
110 kinase 1 (PINK1) on the outer mitochondrial membrane (OMM) (19) where it
111 phosphorylates ubiquitin (20). Phosphorylated ubiquitin recruits the E3 ubiquitin ligase,
112 Parkin (20, 21), which is activated by PINK1 phosphorylation and then ubiquitinates OMM
113 proteins (20, 22–25). These modifications promote proteasomal degradation of
114 mitofusins, preventing the damaged organelle from re-fusing with the healthy
115 mitochondrial network and resulting in a small, rounded mitochondrion (26). Ubiquitination
116 of OMM proteins also promotes recruitment of the mitophagy receptors OPTN, nuclear
117 dot 52 kDa protein (NDP52), Tax1-binding protein 1 (TAX1BP1), next to BRCA gene 1
118 protein (NBR1), and p62/sequestosome1 (10, 27–30), though OPTN and NDP52 are
119 sufficient and redundant in carrying out mitochondrial clearance in HeLa cells (29).
120 Phosphorylation of OPTN at S177 by TBK1 at the OMM enhances the binding of OPTN
121 to ubiquitin chains (18). OPTN then drives recruitment of the core autophagy machinery,
122 including the unc-51-like autophagy activating kinase (ULK1) complex, to initiate
123 formation of the double membraned phagophore that engulfs the damaged organelle (31–
124 33). In this process microtubule-associated protein 1A/1B-light chain 3 (LC3) is lipidated
125 and subsequently incorporated into the elongating phagophore (10, 27, 34). The LC3-
126 interacting region of OPTN facilitates efficient engulfment by the autophagosome (10),
127 while TBK1-mediated phosphorylation of OPTN enhances the binding of the receptor to
128 LC3 (35). A feed-forward mechanism in which initial LC3-positive membranes recruit
129 more OPTN and NDP52 leads to accelerated mitochondrial engulfment (36). The newly
130 formed compartment fuses with lysosomes to complete degradation of the organelle (30,
131 37, 38).

132 We undertook a functional analysis of ALS-associated TBK1 missense mutations that
133 have been characterized by biochemical and biophysical assays but confer unknown
134 effects on the cellular pathways that involve TBK1. We determined the extent of
135 recruitment of TBK1 mutants to depolarized, Parkin-positive mitochondria, the effect of
136 mutant TBK1 expression on OPTN recruitment and phosphorylation, and the resulting
137 downstream engulfment of fragmented mitochondria by LC3-positive autophagosomes.
138 Expression of some ALS-linked mutations profoundly disrupted TBK1 recruitment and
139 activity during mitochondrial clearance, while others only marginally affected the pathway.

140 Neurons expressing TBK1 mutations demonstrated higher baseline levels of
141 mitochondrial stress and an inability to manage induced oxidative damage, both of which
142 may contribute to neurodegeneration. Our data suggest a more nuanced model of TBK1
143 function, wherein TBK1 phosphorylates OPTN directly, while TBK1 recruitment also
144 facilitates OPTN phosphorylation via an ULK1 dependent pathway. Further, we
145 demonstrate that ALS and ALS-FTD-associated missense mutations in TBK1 can lead to
146 disordered or delayed mitochondrial clearance and a cellular deficiency in mitochondrial
147 homeostasis.
148

149 RESULTS

150 In order to test whether mutations in TBK1 affect mitophagy, we used a well-
151 characterized assay in HeLa-M cells, in which mitochondria were depolarized with the
152 mitochondrial membrane disrupter, carbonyl-cyanide *m*-chlorophenyl-hydrazone
153 (CCCP), and components of the mitophagy pathway were visualized by fluorescent
154 microscopy (10, 27). We depleted cells of endogenous TBK1 and expressed SNAP- or
155 Halo-tagged TBK1 (Supplemental Figure 1A,B) along with a fluorescently-tagged
156 mitochondrial marker, Parkin, OPTN, or LC3. While some constructs had a lower
157 transfection efficiency as compared to WT-TBK1, most were expressed at similar cellular
158 levels, with the exceptions of S151F and M559R, which exhibited slightly but statistically
159 higher cellular expression (Supplemental Figure 1C-E). Under basal conditions, TBK1
160 was mostly cytosolic with intermittent puncta that did not associate with Parkin
161 (Supplemental Figure 1F).

162 With 90 minutes of CCCP treatment, Parkin, OPTN, TBK1, and LC3 assembled in
163 a molecular platform at the OMM that appears as a ring surrounding a rounded
164 mitochondrion in single plane confocal sections (10); in Z-stacks the complete engulfment
165 of the mitochondrion is apparent (Figure 1C,D, Supplemental Figure 2A,B) (30). The time
166 course of ring formation observed in HeLa-M cells overexpressing Parkin is similar to that
167 observed in hippocampal neurons expressing endogenous Parkin (30). E696K is a
168 mutation in TBK1 that was previously shown to inhibit recruitment of TBK1 to
169 mitochondria after depolarization (10). We treated E696K- or WT-TBK1 expressing cells
170 with CCCP and compared the prevalence of TBK1 rings after 90 min (Figure 1E,F
171 Supplemental Figure 2B). E696K-TBK1 expressing cells had significantly fewer rings/ μm^2
172 than WT-TBK1 expressing cells (Figure 1G), establishing this approach as a quantitative
173 measure of the functional effects of TBK1 on mitophagy. Of note, loss of mitochondrial
174 mass was not observed within this time frame, as lysosomal degradation is not evident
175 until ~12 hours after induction of mitochondrial damage (26, 27, 29).

176

177 Dimerization mutations do not preclude TBK1 recruitment

178 TBK1 dimerization is proposed to stabilize the trimodular structure of the molecule
179 and permit efficient activation and kinase activity (13). We asked whether two ALS-
180 associated mutations that prevent dimerization, R357Q and M559R (8), would affect
181 TBK1 recruitment to damaged mitochondria. In basal conditions, SNAP-tagged R357Q-
182 and M559R-TBK1 were cytosolic with intermittent puncta (Supplemental Figure 1F), and
183 their expression did not appreciably affect mitochondrial content. Following CCCP
184 treatment, cells expressing WT-, R357Q-, or M559R-TBK1 exhibited robust Parkin
185 recruitment to rounded mitochondria (Figure 2A, Supplemental Figure 2C). R357Q-TBK1
186 was recruited to the same extent as WT-TBK1 (Figure 2A,B). Strikingly, despite the higher
187 cellular expression level (Supplemental Figure 1E), no M559R-TBK1 recruitment to
188 mitochondria was evident (Figure 2A,B). Instead, M559R-TBK1 remained largely
189 cytosolic with some apparent aggregate formation, although these aggregates were not
190 associated with mitochondria (Figure 2A).

191 We measured the size and intensity of TBK1 rings to assess whether R357Q-TBK1
192 conferred a structural defect on the ubiquitin-based molecular platform that forms on
193 damaged mitochondria. R357Q-TBK1 rings were the same diameter and average
194 fluorescence intensity as WT-TBK1 rings (Figure 2C,D), indicating that the monomeric
195 property of R357Q-TBK1 does not impair its ability to form the molecular ring structure.
196 Together these observations suggest that the lack of M559R-TBK1 recruitment is not due
197 solely to an inability of the molecule to dimerize.

198

199 **Mutations disrupting both dimerization and activation impair recruitment of TBK1** 200 **to damaged mitochondria**

201 The M559R mutation in TBK1 also disrupts kinase activation and enzymatic
202 activity (Figure 1B) (8), so we employed our mito-depolarization assay to test other TBK1
203 missense mutations that exhibit reduced auto-phosphorylation activity to varying degrees:
204 R47H-TBK1, G217R-TBK1, and R228H-TBK1. G217R-TBK1 exhibits reduced dimer
205 formation as well (8, 9). Of the mutants tested, only G217R-TBK1 exhibited deficient
206 recruitment to damaged mitochondria compared WT-TBK1. Expression of G217R-TBK1
207 resulted in significantly decreased TBK1 ring density, despite clear evidence of

208 mitochondrial fragmentation and Parkin recruitment (Figure 3A,B, Supplemental Figure
209 3A).

210 To further delineate the role of kinase activity, we expressed a TBK1 variant with
211 an engineered mutation, D135N, which renders the TBK1 molecule kinase inactive and
212 unable to auto-phosphorylate at S172, but fully able to dimerize (16). In line with previous
213 data on an engineered phospho-deficient S172A-TBK1 mutant (10), D135N-TBK1 was
214 recruited to damaged mitochondria to the same extent as WT-TBK1 (Figure 3A,B).
215 Moreover, the ALS-associated mutations R47H-TBK1 and R228H-TBK1 have weaker
216 auto-phosphorylation activity than WT-TBK1 based on biochemical studies (8), yet they
217 also translocated to damaged mitochondria with the same incidence as WT-TBK1 (Figure
218 3A,B).

219 We saw no difference in either ring diameter or intensity across WT-, R47H-,
220 G217R-, and R228H-TBK1 rings (Figure 3C,D), or when comparing WT- and kinase-
221 inactive D135N-TBK1. Only I257T-TBK1, a kinase domain mutant exhibiting WT-TBK1
222 levels of auto-phosphorylation but weaker kinase activity toward OPTN, formed brighter
223 rings than WT-TBK1. However, the average diameter of the I257T-TBK1 rings were not
224 significantly different from WT-TBK1 rings (Figure 3C,D). Notably, the similarities between
225 WT-TBK1 rings and the poorly recruited G217R-TBK1 rings demonstrate that expression
226 of ALS-associated TBK1 mutants does not disrupt the integrity of TBK1 rings, even if
227 fewer rings form. It is unlikely that mutant TBK1 recruitment is due to dimerization of
228 mutant TBK1 with residual endogenous TBK1, since we measured knockdown levels
229 >70% (Supplemental Figure 1A,B). To further substantiate this claim, we took advantage
230 of the fact that M559R-TBK1 expressing cells exhibit no detectable recruitment of the
231 tagged exogenous construct, and probed CCCP-treated cells expressing M559R-TBK1
232 with an anti-TBK1 antibody to detect total TBK1. No TBK1 reactivity was detected at
233 damaged mitochondria (Supplemental Figure 3B).

234 To assess TBK1 recruitment with an alternative method of mitochondrial
235 depolarization, we treated a subset of TBK1 variant-expressing cells with a combination
236 of Antimycin A and Oligomycin A for 90 min (39). A majority of WT-, R357Q-, and D135N-
237 TBK1 expressing cells exhibited TBK1 rings, while significantly less cells expressing

238 G217R-TBK1 ($43 \pm 12\%$) or M559R-TBK1 ($3.0 \pm 3\%$) exhibited rings (Supplemental
239 Figure 4). Recapitulation of TBK1 recruitment patterns across different depolarizing
240 insults fortifies our finding that R357Q- and D135N-TBK1 are recruited to damaged
241 mitochondria to the same extent as WT-TBK1, while G217R- and M559R-TBK1 are
242 deficient in this step of mitochondrial clearance.

243

244 **M559R- and G217R-TBK1 display disrupted recruitment kinetics compared to WT-** 245 **and R357Q-TBK1**

246 Biochemical analyses indicate that the missense mutations G217R, R357Q, and
247 M559R impair the function of TBK1 (3, 8, 9). Expression of these variants may disrupt
248 recruitment kinetics during individual mitophagic events, as compared to WT-TBK1. We
249 performed live cell microscopy using Halo-tagged TBK1 constructs and tracked single
250 mitophagy events from initial Parkin recruitment to peak TBK1 recruitment in cells
251 expressing similar levels of exogenous TBK1. R357Q-TBK1 exhibited the same kinetics
252 as WT-TBK1 (Figure 4A), reaching maximum intensity as a fully formed ring ~10-15 min
253 after Parkin reached its half-maximum. Together, the comparative kinetics (Figure 4B)
254 and ring prevalence between WT- and R357Q-TBK1 (Figure 2) suggest that TBK1
255 dimerization is not required for recruitment and assembly of TBK1 at the depolarized
256 mitochondria.

257 G217R-TBK1 translocated to and coalesced at damaged mitochondria in bright
258 but unstable structures at around the same time that Parkin reached its half-maximum
259 (Figure 4A,B). These unstable configurations reached the same raw maximum intensity
260 as WT- and R357Q-TBK1 and occasionally appeared as full rings. Some G217R-TBK1
261 rings remained intact over the course of our observation (up to 60 min) (Figure 3A), but
262 the majority disappeared within 20 min of reaching peak intensity (Figure 4B). In contrast,
263 over the course of 90 min of mitochondrial damage M559R-TBK1 was never recruited to
264 damaged mitochondria (Figure 4A,B).

265 The kinetic data (Figure 4A,B) and the results from fixed cells (Figure 2,3) indicate
266 that there is a specific window of time during which TBK1 must be recruited in order to
267 properly form and maintain a stable ring. If such interactions are insufficient, TBK1

268 molecules disperse from the damaged organelle as we see with G217R-TBK1. The
269 inability of G217R-TBK1 to carry out auto-phosphorylation combined with its reduced
270 dimerization may make a stable interaction with ubiquitinated mitochondria unlikely. Since
271 R357Q-TBK1 can be phosphorylated and activated even as a monomer, it was able to
272 maintain a stable ring structure. M559R-TBK1 is completely monomeric, and it is even
273 less likely to stably interact with the mitochondria, thus there are no detectable rings
274 following mitochondrial damage.

275 To further probe the integrity of the association of TBK1 with damaged
276 mitochondria, we employed a mitochondrial fractionation assay. We expressed R357Q-,
277 M559R-, or WT-TBK1 in human embryonic kidney (HEK) cells in which both TBK1 alleles
278 had been deleted by CRISPR-Cas9 (HEK TBK1^{-/-}) (8), then enriched mitochondria from
279 the cells after CCCP or vehicle treatment (Figure 4C). CCCP treatment resulted in twice
280 as much Parkin enriched in the mitochondrial fraction of each sample as compared to
281 vehicle treated cells, demonstrating the assay's sensitivity to Parkin-dependent
282 mitophagy. This Parkin enrichment corroborated our immunofluorescent data in HeLa-M
283 cells as well as previous experiments in HEK cells (38). Expression of R357Q- or M559R-
284 TBK1 did not affect Parkin enrichment after mitochondrial depolarization.

285 TBK1 is recruited to OPTN puncta after 90 min CCCP treatment in HEK cells, however
286 the effect is less robust than in HeLa cells (Supplemental Figure 4C). In mitochondrial
287 fractions, there was a low affinity association of WT- and R357Q-TBK1 with mitochondria
288 under basal conditions (Figure 4C,D). In contrast, M559R-TBK1 was barely present in the
289 mitochondrial fraction (Figure 4C,D). With CCCP-treatment, we did not detect a significant
290 increase in TBK1 in the mitochondrial fraction with expression of any of the variants,
291 suggesting that TBK1 may be transiently associated with mitochondria even in the absence
292 of induced stress. The absence of M559R-TBK1 under basal or mitochondrial damage
293 conditions substantiates the severe functional defect of M559R-TBK1 seen in HeLa assays.

294

295 **OPTN phosphorylation is enhanced by TBK1 recruitment but not fully dependent**
296 **on TBK1 activity**

297 Activated TBK1 leads to the phosphorylation of OPTN on at least two residues, S177
298 and S513; these phosphorylation events enhance the binding of OPTN to ubiquitin chains
299 deposited on damaged mitochondria in the early stage of mitophagy (18). However, TBK1
300 activity is not required for OPTN recruitment to damaged mitochondria, as OPTN was
301 recruited in cells in which TBK1 was either depleted or its kinase activity was inhibited (10)
302 (Supplemental Figure 5A). Further, we found that none of the TBK1 mutants affected OPTN
303 recruitment to damaged mitochondria (Supplemental Figure 5B). In the clearest case,
304 expression of M559R-TBK1 results in a complete absence of TBK1 rings (Figure 2).
305 However, OPTN ring prevalence was not impacted, and TBK1 mutant expression did not
306 cause variations in the size or intensity of OPTN rings, with the exception of a slightly larger
307 diameter of OPTN rings induced by R47H-TBK1 expression (Supplemental Figure 5B,C).

308 The LC3-interacting region of OPTN is involved in facilitating formation of the LC3-
309 positive autophagosome (35), so both recruitment and phosphorylation of OPTN at S177
310 may be necessary to complete clearance of damaged mitochondria. We asked whether
311 expression of mutant variants of TBK1 inhibits the phosphorylation of OPTN. To this end,
312 we performed immunofluorescence using an antibody to phospho-S177 OPTN to assess
313 the extent of OPTN modification (Figure 5, Supplemental Figure 6A). WT-TBK1
314 expressing cells exhibited robust OPTN rings after 90 min of CCCP treatment (Figure
315 5A). Further, WT-TBK1 colocalized with OPTN rings, and there was a strong phospho-
316 OPTN signal coincident with TBK1-positive OPTN rings (Figure 5A, Supplemental Figure
317 6A). Over 75% of OPTN rings in each cell were either TBK1-positive, phospho-OPTN-
318 positive, or positive for both; the majority ($58 \pm 5.8\%$) of all OPTN rings were coincident
319 with both TBK1 and phospho-OPTN (Figure 5F). R357Q- and D135N-TBK1 expression
320 exhibited similar results (Figure 5F).

321 G217R- and M559R-TBK1 expressing cells exhibited OPTN rings with lower
322 intensities of TBK1 and phospho-OPTN (Supplemental Figure 6B,C). Consistent with our
323 observations that G217R-TBK1 rings were not as prevalent as WT-TBK1 rings (Figure
324 3), G217R-TBK1 expressing cells exhibited fewer OPTN rings that were positive for
325 TBK1. However, ~50% of OPTN rings were positive for phospho-OPTN (Figure 5B). With
326 M559R-TBK1 expression, a minority of OPTN rings were positive for TBK1, phospho-
327 OPTN, or both (Figure 5D,F). The evidence that any phospho-OPTN is present in D135N-

328 , G217R-, and M559R-TBK1 expressing cells is surprising given the *in vitro* finding that
329 TBK1 with these mutations cannot carry out phosphorylation (8), even while it can be
330 recruited to ubiquitinated mitochondria (Figure 3B). While we cannot rule out contributions
331 from residual levels of endogenous TBK1, it may also be the case that another kinase
332 can phosphorylate OPTN in the absence of TBK1 activity.

333 There is a high structural similarity between the N-terminal domain of FAK family
334 kinase-interacting protein of 200 kD (FIP200) and the scaffolding dimerization domain of
335 TBK1. In forming the ULK1 complex, the component kinase ULK1 associates with FIP200
336 in an analogous position to the kinase domain of TBK1 (33). Thus, we wondered if the
337 ULK1 complex could contribute to phosphorylation of OPTN during mitophagy. We
338 inhibited the ULK1 complex with a specific inhibitor, ULK-101 (40) in cells depleted of
339 TBK1 and expressing WT-TBK1, the engineered kinase-inactive mutant D135N-TBK1, or
340 with no rescue (Figure 6A).

341 Corroborating our earlier results (Figure 3), WT-TBK1 and D135N-TBK1 were
342 recruited to the same extent after mitochondrial damage, as measured by whole-cell
343 average intensities of TBK1 (Figure 6B). Treatment with ULK-101 did not affect TBK1
344 recruitment. However, the persistent levels of phospho-S177 OPTN observed even in
345 cells depleted of TBK1 (Figure 6C) was abrogated upon treatment with the ULK1 inhibitor
346 ULK-101. As expected, cells expressing WT-TBK1 exhibited higher levels of phospho-
347 S177 OPTN after CCCP treatment, however ULK-101 treatment diminished the average
348 intensity of phospho-OPTN. Phospho-OPTN intensity was higher in cells expressing
349 D135N-TBK1 compared to cells depleted of TBK1, indicating that recruitment of inactive
350 TBK1 is sufficient to induce OPTN phosphorylation. Strikingly, inhibition of ULK1 in
351 D135N-TBK1-expressing cells reduced phospho-OPTN to the same level seen in TBK1-
352 depleted cells treated with the ULK1 inhibitor. These results suggest that ULK1 complex
353 activity contributes to OPTN phosphorylation. Further, since expression of kinase-inactive
354 D135N-TBK1 was sufficient to increase phospho-OPTN levels, we hypothesize that TBK1
355 recruitment facilitates ULK1 activity, leading to phosphorylation of OPTN, albeit to a lower
356 extent. Thus, we propose that ALS-linked mutants G217R- and M559R-TBK1, diminish
357 phospho-OPTN levels because they are not recruited to damaged mitochondria.

358

359 **TBK1 and phospho-OPTN are both required for efficient LC3 recruitment**

360 The sequence of molecular events investigated thus far serve to induce formation
361 of a double membraned autophagosome classically identified by membrane-associated
362 LC3 (41). After sufficient expansion, the membrane engulfs the damaged organelle
363 (Supplemental Figure 1D) before fusing with acidic lysosomes to complete the
364 degradation process. In order to test whether TBK1 mutant variants would alter
365 autophagosome engulfment, we quantified the percentage of LC3-positive mitochondria
366 after CCCP treatment. With WT-TBK1 expression, clear recruitment of LC3 was observed
367 to $10 \pm 0.9\%$ of damaged mitochondria in a single confocal section at the 90 min time
368 point, and could be visualized as rings coincident with TBK1 (Figure 7A-C, Supplemental
369 Figure 7). Expression of the monomeric R357Q-TBK1 did not result in a statistically
370 significant change in percent of mitochondria engulfed in LC3 rings (Figure 7B).

371 In contrast, expression of G217R-TBK1 and M559R-TBK1 mutants significantly
372 inhibited the formation of LC3 rings on damaged mitochondria, with $1.9\% \pm 0.6$ and 1.2%
373 ± 0.4 LC3-positive mitochondria, respectively (Figure 7A,B). Interestingly, while no
374 M559R-TBK1 rings were detectable, some mitochondria in M559R-TBK1-expressing
375 cells were LC3-positive (Figure 7D, bottom inset). The formation of LC3-positive
376 mitochondria even in the absence of TBK1 can potentially be explained by a
377 compensatory mechanism in which a different mitophagy receptor, NDP52 is recruited to
378 ubiquitinated mitochondria independently of OPTN and TBK1, and can recruit LC3 by an
379 alternative mechanism (10). However, this alternative mechanism is less efficient, as
380 there were many fewer LC3-positive mitochondria.

381 Expression of the kinase-inactive D135N-TBK1 construct also impaired LC3
382 recruitment to damaged mitochondria. There were significantly fewer LC3-positive
383 mitochondria with D135N-TBK1 rescue ($3.9\% \pm 0.65$) compared to WT-TBK1 (Figure 7B).
384 The residual autophagosome formation observed in cells expressing the kinase-inactive
385 variant is consistent with our data that recruitment of inactive TBK1 facilitates partial,
386 ULK1-dependent OPTN phosphorylation. We conclude that TBK1 recruitment to

387 damaged mitochondria is required, and that one of the key targets of TBK1, OPTN, must
388 be phosphorylated in order to activate autophagosomal formation in an efficient manner.

389

390 **Expression of ALS-associated TBK1 mutants alters mitochondrial network health** 391 **and sensitivity to oxidative stress**

392 Given that TBK1 missense mutations differentially affected mitophagy when
393 expressed in HeLa-M cells, we asked how expression of these mutants would affect
394 mitochondrial homeostasis in primary neurons. We depleted endogenous TBK1 from primary
395 rat hippocampal neurons and expressed constructs encoding WT-, R357Q-, or M559R-TBK1
396 (Supplemental Figure 8A-C). While the R357Q- and M559R-TBK1 constructs were
397 expressed in a lower percentage of neurons than WT-TBK1, expression within individual
398 neurons was similar for all constructs examined as quantified by cellular fluorescence
399 intensity measurements (Supplemental Figure 8D).

400 First, we examined mitochondrial network health under basal conditions, focusing on
401 somal mitochondria as our previous work has shown most mitophagic events occur within
402 this compartment (30). We assessed network health using the polarization-dependent
403 mitochondrial dye, tetramethylrhodamine ethyl ester (TMRE) (Figure 8A, top panels). TMRE
404 intensities for neurons expressing R357Q-TBK1 were significantly reduced compared to WT-
405 TBK1 under basal conditions, indicating that mutant expression is sufficient to negatively
406 impact network health (Figure 8A,B). Of note, there was no correlation between levels of
407 TBK1 expression and TMRE intensity at the cellular level (Supplemental Figure 8D), and no
408 loss of somal mitochondrial mass in neurons expressing any of the variants under these
409 conditions (Figure 8C).

410 We then induced mitophagy in hippocampal neurons by applying 3 nM Antimycin A
411 (AA) over 2 hrs (Figure 8A, bottom panels), as performed previously (30). Following
412 treatment with AA, neurons expressing either WT- or M559R-TBK1 exhibited significantly
413 lower intensities of TMRE (Figure 8A,B), than were observed under basal conditions. The
414 TMRE intensity of the somal mitochondrial network in R357Q-TBK1 expressing neurons did
415 not significantly decrease with AA treatment (Figure 8B). As an additional measure of
416 mitochondrial damage, we analyzed mitochondrial morphology by measuring the aspect

417 ratios (AR) of mitochondria in neurons expressing WT-, R357Q-, or M559R-TBK1 under
418 control conditions or when treated with AA. All conditions exhibited significantly decreased
419 ARs as compared to mitochondria in neurons expressing WT-TBK1 under basal conditions
420 (Figure 8D), evidence that either mitochondrial depolarization with AA or expression of
421 mutant TBK1 is sufficient to alter mitochondrial network properties. As mitochondrial rounding
422 is a measure of stress, we focused specifically on the percentage of mitochondria with an AR
423 of ≤ 2 . Mutant-expressing cells tended to exhibit more rounded mitochondria than WT
424 expressing cells in basal conditions, corroborating our observation that mutant-expressing
425 neurons exhibit mitochondrial stress (Figure 8B,E). Given that mitochondria were already
426 more rounded with expression of mutant TBK1 under control conditions, only neurons
427 expressing WT-TBK1 exhibited a significant increase in rounding after AA-induced
428 mitochondrial depolarization (Figure 8E).

429 Depolarized mitochondria effectively recruited both Parkin and TBK1 in neurons
430 expressing WT-TBK1 (Figure 8F) as expected (30). In striking contrast, expression of either
431 R357Q- or M559R-TBK1 was sufficient to cause increased Parkin recruitment to
432 mitochondria even under basal conditions, while mitochondrial depolarization by AA did not
433 further increase the number of Parkin rings associated with somal mitochondria (Figure
434 8F,G). Since transient Parkin expression may upregulate mitophagy and affect TBK1
435 recruitment in neurons, we identified cells with fewer than ten Parkin-positive mitochondria
436 per soma to measure whether there was TBK1 recruitment to these events. 20-30% of
437 neurons expressing WT- and R357Q-TBK1 exhibited mitochondria that recruited TBK1
438 (Figure 8H). Despite 50-60% of M559R-TBK1 expressing neurons exhibiting Parkin-positive
439 rings (Figure 8G), only 10-15% of cells had TBK1-positive rings (Figure 8H). We looked more
440 closely at individual events, in which depolarized, rounded mitochondria recruited Parkin
441 (Figure 8F,I). R357Q-TBK1, like WT-TBK1, was recruited to one third of all Parkin-positive
442 mitochondria, while M559R-TBK1 was recruited to less than a tenth of Parkin-positive
443 mitochondria (Figure 8I). R357Q-TBK1 may inhibit mitochondrial clearance if its monomeric
444 property induces less efficient interactions with the depolarized mitochondria even though it
445 is recruited to the same proportion of events as WT-TBK1. This would result in more cells
446 with Parkin-positive, TBK1-positive mitochondria, as observed (Figure 8I). In contrast,

447 M559R-TBK1 expression results in loss of TBK1 recruitment, (Figure 8F,H,I) which may
448 result in a more severe mitophagy defect.

449

450 DISCUSSION

451 Here we present a functional analysis of recently identified and characterized ALS-
452 and ALS-FTD-linked TBK1 mutations (8). Intriguingly, these mutations are located
453 throughout the structure of the TBK1 molecule and result in diverse biochemical
454 consequences, with differential effects on dimerization, auto-phosphorylation, OPTN
455 association, and kinase activity. While TBK1 mutations may contribute to
456 neurodegenerative pathogenesis through a number of different pathways, our study is the
457 first to compare the effects of many of these mutations on the clearance of damaged
458 mitochondria via mitophagy (Figure 8I), a process thought to be crucial to maintaining
459 neuron health. We build upon previous work to propose a model of TBK1 activity in
460 mitophagy that reinforces the hypothesis that disordered mitochondrial clearance plays a
461 role in the development of ALS.

462 Previous work has suggested that TBK1 dimerization promotes kinase activation
463 (13–15). However, most of the TBK1 mutants known to disrupt dimerization were
464 recruited to damaged mitochondria and formed rings with the same robustness as WT-
465 TBK1. Notably, the ubiquitin-like domain mutant R357Q-TBK1 was shown to be fully
466 monomeric (8), yet R357Q-TBK1 rings formed with the same prevalence as WT-TBK1
467 rings in HeLa-M cells, and R357Q-TBK1 was recruited to the same proportion of Parkin-
468 positive mitochondria in hippocampal neurons. In hippocampal neurons, however,
469 expression of R357Q-TBK1 was sufficient to induce mitochondrial stress and
470 fragmentation in both basal and oxidative stress conditions. Thus, even as the effects of
471 inhibited dimerization on mitophagy are subtle in HeLa cells, they become magnified in
472 more specialized cell types.

473 OPTN depletion prevents TBK1 recruitment to damaged mitochondria and inhibits
474 efficient autophagosome formation (10). However, the the TBK1 mutations Y105C and
475 R308Q reduce association of TBK1 with OPTN (8), yet both mutants were recruited to
476 damaged mitochondria. Thus, TBK1 association with its adaptors may be more complex
477 than previously thought. TBK1 interacts in a mutually exclusive manner with OPTN or
478 another adaptor at its CTD, however, the C-terminal TBK1 mutation E696K affects only
479 OPTN association and not NAP1 association (7, 16). Future experiments should

480 investigate whether ALS-TBK1 mutations predispose TBK1 to associate with NAP1,
481 Sintbad, or TANK instead of OPTN, or vice versa, and how this balance could impact the
482 functional roles of TBK1.

483 TBK1 recruitment is thought to be necessary for OPTN phosphorylation, which
484 enhances the affinity of OPTN for ubiquitin chains (7, 18) and is required for its interaction
485 with LC3 (10, 18, 27). We found that partial phosphorylation of OPTN was observed even
486 with expression of mutants that abolished TBK1 recruitment. We used the ULK1 inhibitor
487 ULK-101 to demonstrate that this limited OPTN phosphorylation is dependent on ULK1
488 complex activity, suggesting that the ULK1 kinase may directly phosphorylate OPTN. This
489 novel finding suggests that the kinase-dependent regulation of mitophagy is not simply a
490 linear pathway, but instead the activities of TBK1 and ULK1 are inter-dependent to some
491 degree, a possibility that will require further work to explore.

492 Generation, recruitment, and engulfment by the LC3-marked phagophore is the
493 final step before the new mitophagosome compartment fuses with acidic lysosomes.
494 Expression of the R357Q-TBK1 mutation, which is recruited to damaged mitochondria
495 and has a functional kinase, despite its monomeric form, promotes LC3 recruitment to
496 damaged mitochondria at WT levels in HeLa-M cells. Expression of the ALS-associated
497 G217R and M559R mutations leads to significantly fewer LC3-positive damaged
498 mitochondria after global oxidative damage. However, our data show that phospho-OPTN
499 is associated with damaged mitochondria in G217R- and M559R-TBK1 expressing cells
500 with the same prevalence as is found in WT-TBK1 expressing cells. Thus, our findings
501 highlight the requirement for both TBK1 kinase activity and TBK1 recruitment in order to
502 promote autophagosomal engulfment of damaged mitochondria.

503 TBK1 mutants that did not measurably affect mitophagy in these experiments may
504 induce more subtle defects that only emerge over a longer period of time or in specialized
505 cells, as we saw with the differing effects of R357Q-TBK1 expression between HeLa-M
506 cells and hippocampal neurons. This disparity could be due to uniquely sensitive roles of
507 the protein in different cell types; alternatively, some of the missense mutations in TBK1
508 may induce misfolding or protein instability, and thus decreased expression levels.
509 Previous work has shown that some heterozygous mutations in TBK1 that produce

510 premature stop codons, frameshifts, or in-frame deletions cause ALS by
511 haploinsufficiency (45). We performed imaging analyses on cells with similar expression
512 levels of tagged TBK1 constructs (Supplemental Figure 2A,B; Supplemental Figure 8D),
513 however we did note that cell lysate analyses revealed some of the mutants examined
514 were poorly expressed compared to WT-TBK1 (Supplemental Figure 2C; Supplemental
515 Figure 8C). While in HeLa-M cells, some inefficiencies might be compensated by a high
516 concentration of mutant TBK1, in neurons, a lower availability of the monomeric R357Q-
517 TBK1 could be unable to form the proper scaffold needed for ULK1 complex regulation.
518 This would lead to deficient phosphorylation of OPTN and inadequate recruitment of the
519 autophagosome, despite the equivalent kinase activity of R357Q to wild-type TBK1. It
520 will be important to examine endogenous expression levels of TBK1 in patient-derived
521 material to more accurately distinguish between loss-of-function and haploinsufficiency.

522 Finally, though our study focuses on TBK1 recruitment kinetics and
523 phosphorylation of OPTN, recent work points to other roles for TBK1 within mitophagy.
524 TBK1 also phosphorylates RAB7A to recruit ATG9-positive vesicles as a source of
525 autophagosomal membrane (42), facilitates the interaction of NDP52 with the
526 FIP200/ULK1 complex to promote ULK1 activation (43) and phosphorylates LC3C and
527 GABARAP-L2 to ensure a steady availability of the autophagosome membrane (44), each
528 of which may also be affected by TBK1 mutations. It is also possible that mutations in
529 TBK1 disrupt other critical pathways, such as inflammation. In the NF- κ B response
530 pathway, TBK1 is required to interact with TNF receptor-associated factor 2 (TRAF2) and
531 TANK (46); this network may be hindered by missense mutations in TBK1. Inflammatory
532 and viral response mechanisms may intersect or converge with mitophagy, or be wholly
533 separate from the pathway of mitochondrial clearance, leading to varying presentations
534 of the same disease (47, 48). Interestingly, two independent patients with the same TBK1
535 mutation presented with similar phenotypes of ALS (3), pointing toward the merit of further
536 systematic correlation of genetic mutations with disease presentations.

537 Overall, our results indicate that some TBK1 mutations disrupt mitophagic flux,
538 inhibiting or delaying clearance of the damaged organelles. We also noted that TBK1
539 mutant expression in primary neurons was sufficient to induce stress within the
540 mitochondrial network. The accumulation of dysfunctional mitochondria may deplete

541 cellular energy pools and/or produce cytotoxic ROS, triggering neurodegeneration. It is
542 also possible that a disruption in flux could lead to sequestration of OPTN, TBK1, or other
543 mitophagy components on damaged mitochondria, preventing these proteins from
544 carrying out other cellular roles (49, 50). Deficient mitophagy may also stimulate innate
545 immune pathways (51, 52) and promote build-up of toxic aggregates (53), provoking
546 neuro-inflammation, another hallmark of ALS (54). Critical questions persist regarding
547 how dysfunctional mitochondria, neuroinflammation, and toxic aggregates relate to one
548 another in the pathogenesis of ALS. Moreover, the relative roles of neural and glial
549 dysfunction, age of onset, and exacerbating factors such as a 'second hit' (55, 56) must
550 be explored. Further dissection of those phenomena will orient our approach to
551 therapeutic development in the future.

552

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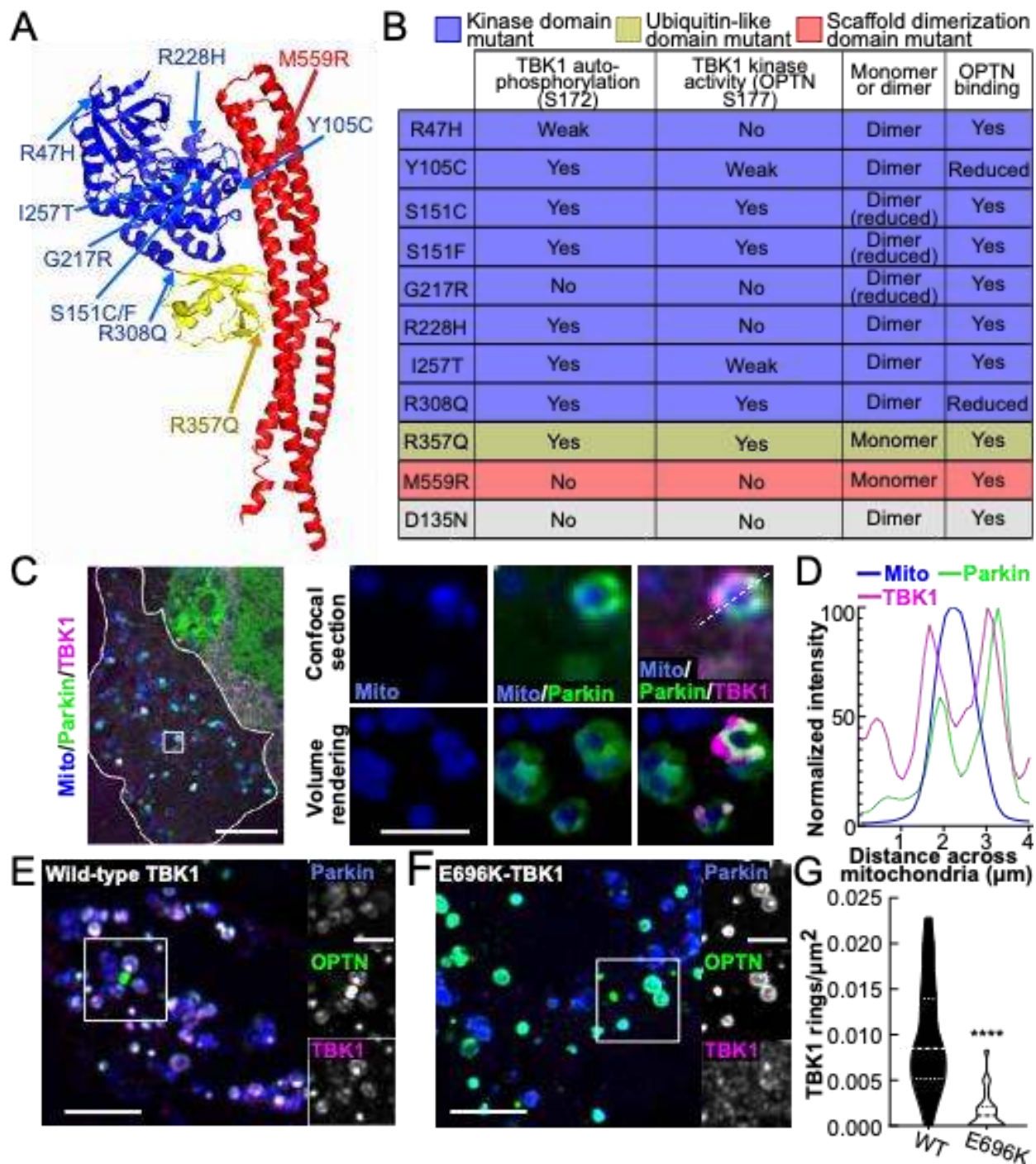
562

563 **MATERIALS AND METHODS**

564 Mutated TBK1 constructs were generated as described in Ye et al. (8). SNAP-tagged
565 and Halo-tagged versions of TBK1 constructs were generated by inserting SNAP
566 (pSNAPf [New England Biolabs]) or (Halo [pHaloTag vector, Promega]) at the N-terminus
567 of the TBK1 coding region. HeLa-M or HEK293T cells were transfected with exogenous
568 constructs 24 hours before sample collection. Hippocampal neurons were transfected 36-
569 48 hours before imaging and collection. Mitochondrial enrichment was performed with
570 ThermoScientific isolation kit for cultured cells (89874). HeLa-M cells and neurons were
571 labeled with fluorescent ligands prior to treatment. Where applicable, fixation was done
572 with 4% paraformaldehyde after CCCP treatment. Confocal microscopy was performed
573 on an UltraView Vox spinning disk confocal system and images were deconvolved with
574 Huygens Professional Software, then analyzed with ImageJ/FIJI and Ilastik software.
575 Notably, intensity measurements were collected from original data, not deconvolved
576 images. For details regarding all materials and methods, see extended section.

577

Figure 1



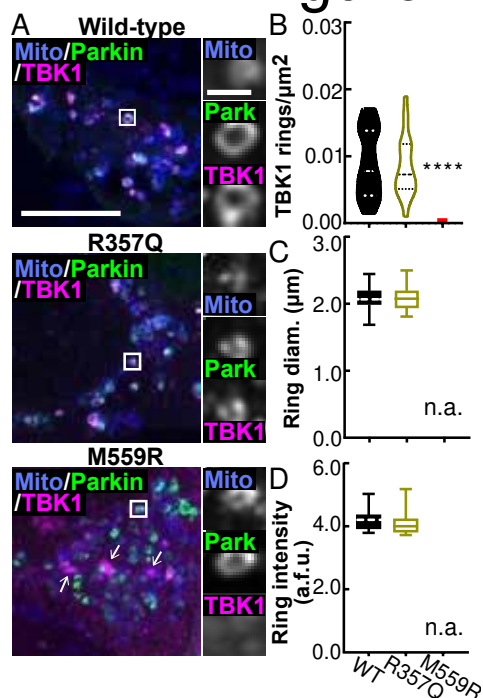
578

579 FIGURES LEGENDS

580 **Figure 1. ALS-linked TBK1 mutations are found throughout the molecule and**
 581 **induce biochemical, biophysical, and cellular deficits.**

582 A. Protein databank structure for TANK-Binding Kinase 1 (TBK1) (PDB 4IWO) (13).
583 Domains are designated by color coding: kinase domain residues 1-308 (blue), ubiquitin-
584 like domain residues 309-387 (yellow), and scaffolding dimerization domain residues 388-
585 657 (red). ALS-linked mutations are indicated by arrows and labels of their respective
586 colors. Notably, some mutations likely disrupt the structure of TBK1, a phenomenon not
587 represented by this model. B. Table summarizing biochemical results for the ALS-linked
588 mutants published by Ye et al (8), and the engineered kinase-inactive D135N-TBK1
589 (gray). C. Confocal section of a HeLa cell (outlined in white) expressing a mitochondria-
590 localized fluorophore (blue), Parkin (green) and WT-TBK1 (magenta), fixed after
591 treatment with CCCP for 90 min. The inset (white box) and zoom images (right) exhibit
592 rounded mitochondria that have recruited Parkin and TBK1. A volume rendering is also
593 shown (right, bottom row). Scale bars: zoom out, 10 μm ; zoom in, 2 μm . D. Relative signal
594 intensities for mitochondria, Parkin, and TBK1 are quantified across the diameter of a
595 damaged mitochondria (white dashed line in C, zoom). E,F. HeLa cells with depleted
596 endogenous TBK1 expressing Parkin, OPTN, and WT- (E) or E696K- (F) TBK1 fixed after
597 treatment with CCCP for 90 min. Inset (white box) and zoom images (left) demonstrate
598 multiple rings with co-localized mitophagy components. Scale bars zoom out, 10 μm ;
599 zoom in, 4 μm . G. Quantification of E and F as rings/ μm^2 for each cell. $n= 22-25$ cells
600 from 3 independent experiments. Dashed line, median; dotted lines, 25th and 75th
601 quartiles. **** $p < 0.0001$ by student's unpaired t test. Images E and F shown here are
602 insets; for representative images of whole fields, see Supplemental Figure 1F.
603

Figure 2



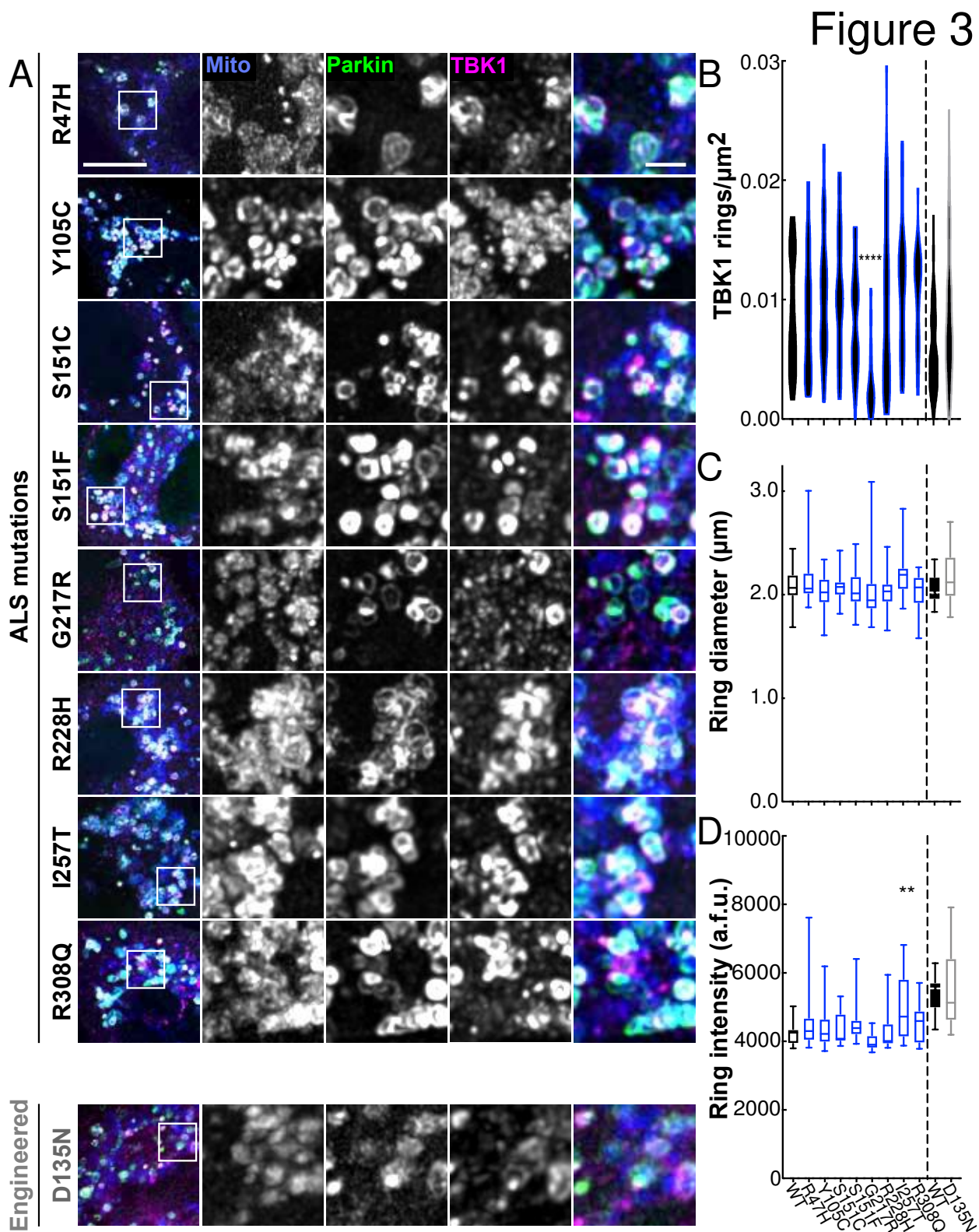
604

605 **Figure 2. TBK1 mutants that are unable to dimerize are differentially recruited to**
606 **damaged mitochondria.**

607 A. Maximum intensity projection images of fixed HeLa cells depleted of endogenous
608 TBK1 expressing a mitochondria-localized fluorophore (blue), Parkin (green), and WT-
609 (top row), R357Q- (middle row), or M559R- (bottom row) TBK1 (magenta) after 90 min
610 CCCP. There are some aggregates of M559R-TBK1 (arrows) that are not co-incident with
611 mitochondria. Scale bars zoom out, 10 μm ; zoom in, 2 μm . Images shown are insets; for
612 representative images of whole fields, see Supplemental Figure 2D. B-D. Quantification
613 of TBK1 rings/ μm^2 (B) ring diameter (C), and ring signal intensity (D). **** $p < 0.0001$ by
614 ordinary one-way ANOVA with Dunnett's multiple comparisons test. Dashed line, median;
615 dotted lines, 25th and 75th quartiles. No M559R-TBK1 rings were evident, so all data points
616 are zero for rings/ μm^2 (red line) and no data can be displayed for size and intensity. $n =$
617 22-26 cells from 3 independent experiments. Data in C-D analyzed by students unpaired
618 t test. Not applicable, n.a. Arbitrary fluorescent units, a.f.u.

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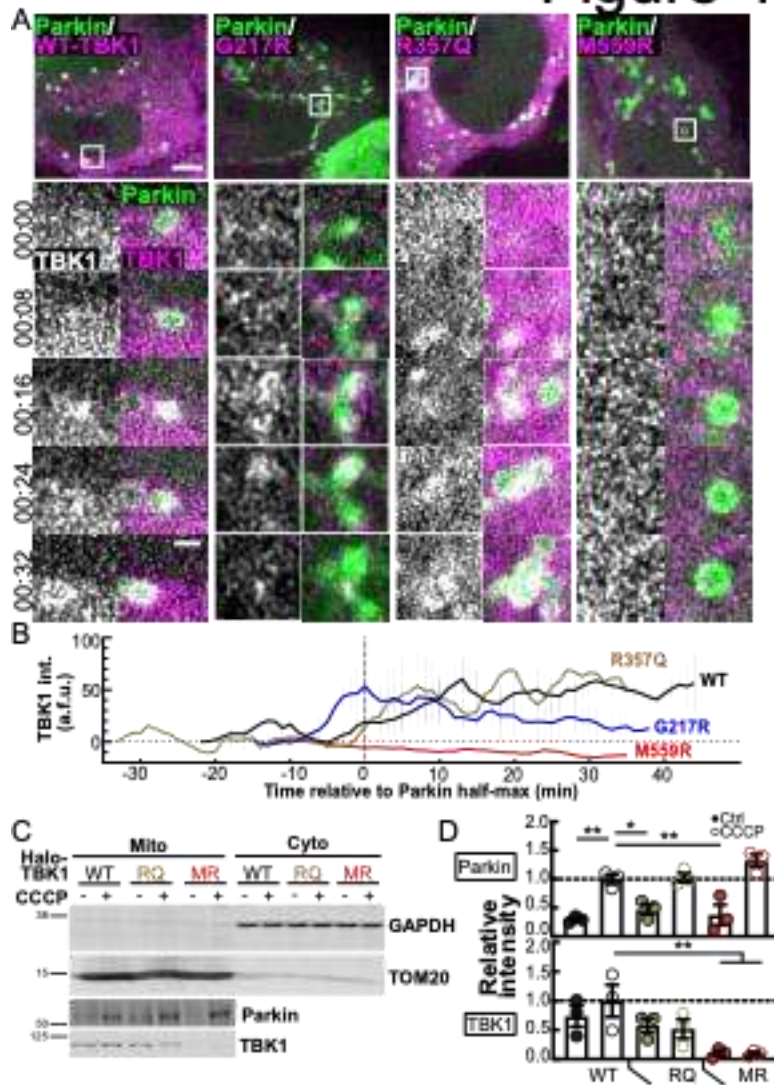
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623

Figure 3. A kinase domain mutation that abolishes the auto-phosphorylation ability of TBK1 results in fewer TBK1 rings.

624 A. Maximum intensity projection images of fixed HeLa cells depleted of endogenous
 625 TBK1 expressing fluorescent mitochondrial marker (blue), Parkin (green), and TBK1
 626 variants (magenta) and fixed after treatment with CCCP for 90 min. Images shown are
 627 insets; for representative images of whole fields, see Supplemental Figure 3A. B-D.
 628 Quantification of TBK1 rings/ μm^2 (B) ring diameter (C), and ring signal intensity (D). WT-
 629 TBK1 ring data is transferred from Figure 2 for comparison, indicated by black outline. $n =$
 630 22-32 cells from at least 3 independent experiments. For ring density (B) dashed line,
 631 median; dotted lines, 25th and 75th quartiles. ** $p < 0.01$, **** $p < 0.0001$ by ordinary one-
 632 way ANOVA with Dunnett's multiple comparisons test. Arbitrary fluorescent units, a.f.u.
 633

Figure 4



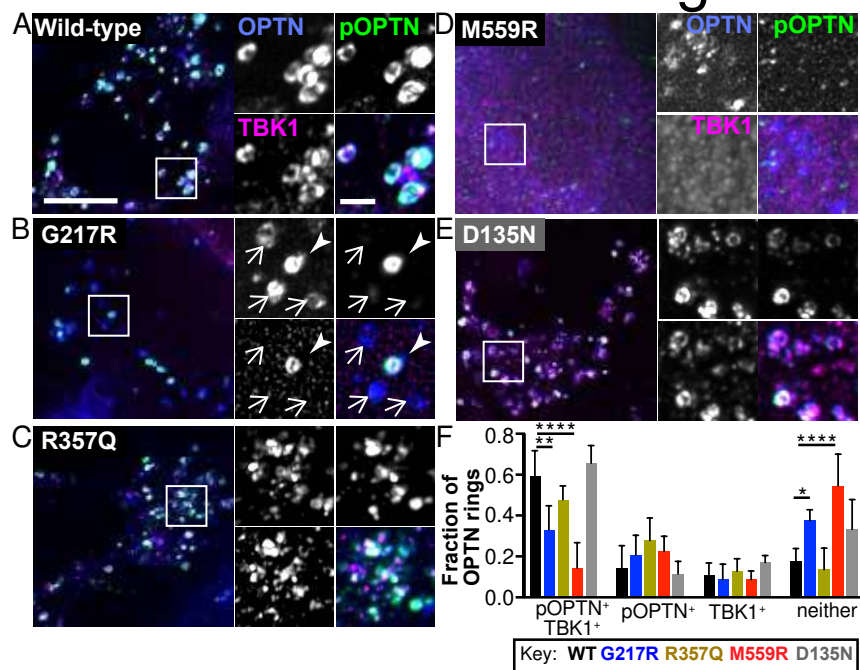
634

635 **Figure 4. TBK1 variants exhibit differing kinetics and affinities with damaged**
636 **mitochondria.**

637 A. Representative confocal sections of live HeLa cells depleted of endogenous TBK1 and
638 expressing Parkin (green) and TBK1 variants (magenta), treated with CCCP for up to 90
639 min. White box inset indicates a single representative event tracked over time to measure
640 recruitment of Parkin and TBK1. Stills from timelapse are shown in the panels. Time is
641 indicated as min:sec from initial Parkin recruitment. Scale bars, zoom out, 10 μm ; zoom
642 in, 2 μm . B. Background-subtracted signal tracked over time with respect to Parkin half-
643 maximum (0, vertical dotted line). $n = 3-6$ representative events from at least 3
644 independent experiments. Error bars indicate SEM. C. A representative Western blot of
645 HEK TBK1^{-/-} cells expressing the respective TBK1 variants, treated with CCCP or
646 vehicle, and enriched for mitochondria (left, “Mito”) or cytosol (right, “Cyto”). Quantification
647 was carried out on mito fractions to compare association of the respective TBK1 variants
648 and Parkin with mitochondria. Numbers to the left of blots indicate kDa based on protein
649 ladder (not shown). D. Quantification of (C) with Parkin (top graph) and TBK1 (bottom
650 graph) bands normalized to TOM20 and compared to average level of WT-TBK1
651 expressing cells treated with CCCP (dotted line). * $p \leq 0.05$, ** $p < 0.01$ by ordinary one-
652 way ANOVA with Dunnett’s multiple comparisons test. Error bars indicate SEM. $n = 3$
653 independent experiments.

654

Figure 5



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Figure 5. TBK1 mutant expression does not impact OPTN ring incidence.

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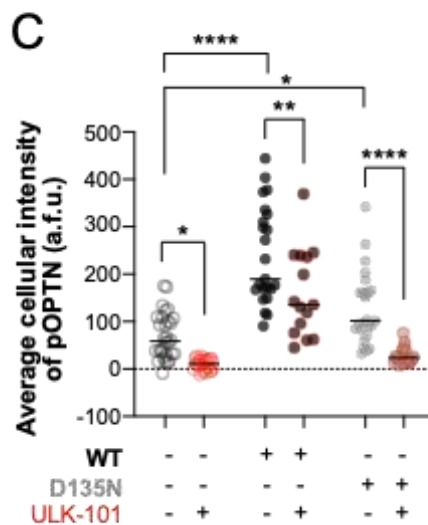
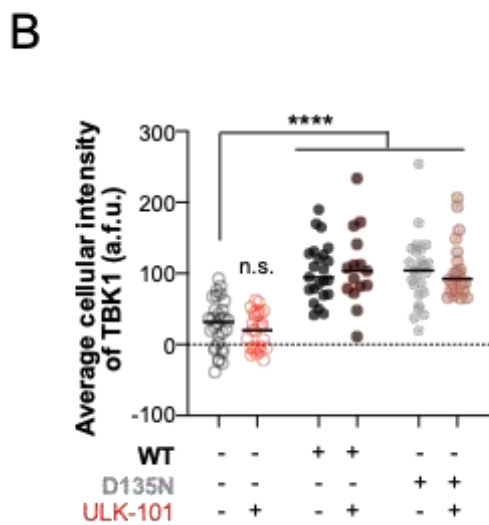
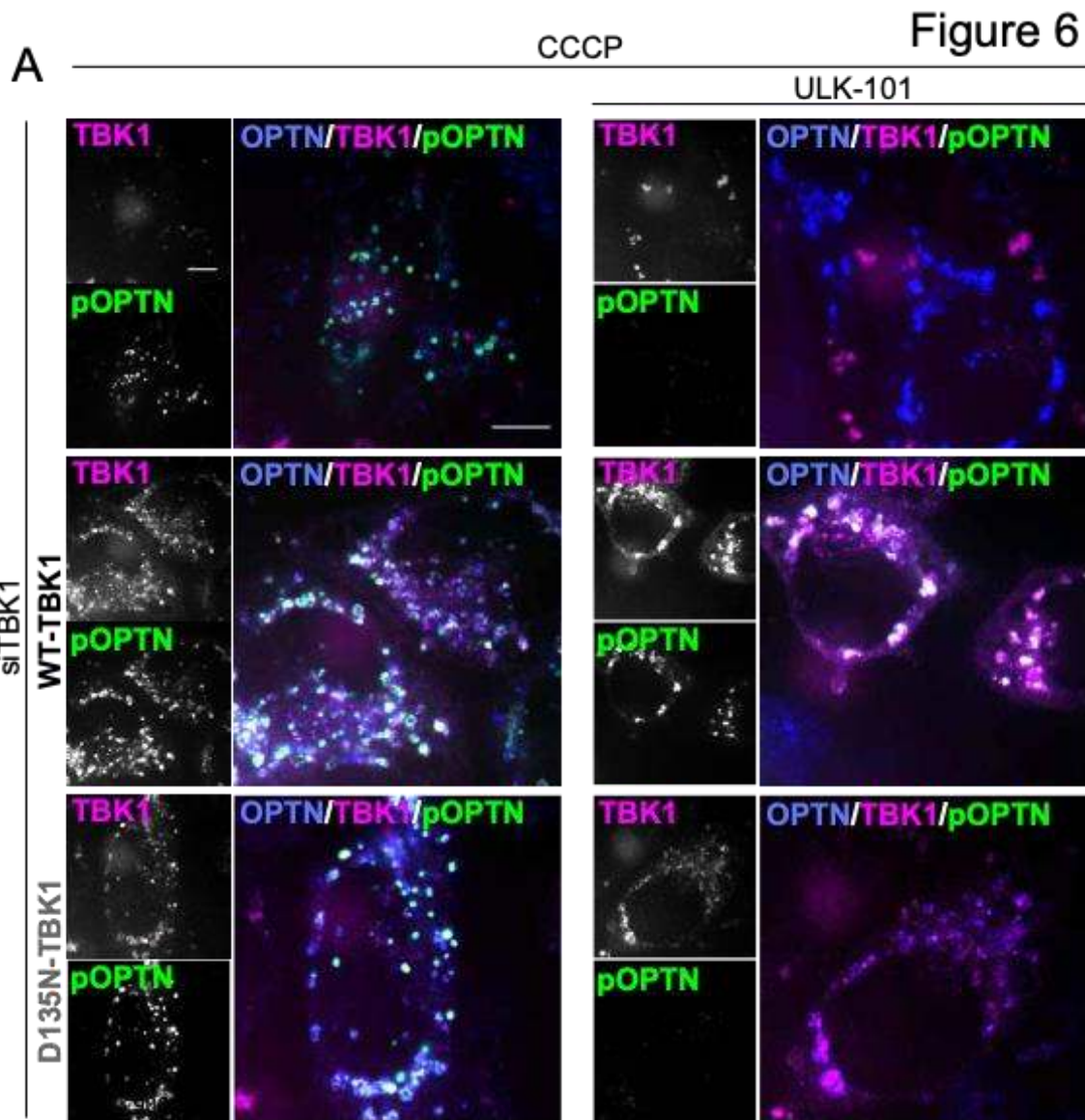
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A-E. Maximum intensity projection images of HeLa cells depleted of endogenous TBK1 and expressing Parkin (not tagged), OPTN (blue), and WT- (A), G217R- (B), R357Q- (C), M559R- (D), or D135N- (E) TBK1 variants (magenta), fixed after treatment with CCCP for 90 min. Phospho-S177-OPTN is tagged with an antibody (green). In B, one ring is positive for phospho-OPTN and TBK1 (arrowhead), and the others are negative for both (arrows). Scale bars, zoom out, 10 μ m; zoom in, 2 μ m. Images shown are insets; for representative images of whole fields, see Supplemental Figure 6A. For each cell the percentage of OPTN rings in each category was calculated, and these results are displayed by bar graph to the right of the respective images. Error bars indicate SD. n= 8-15 cells from at least 3 independent experiments. * $p \leq 0.05$, ** $p < 0.01$, **** $p < 0.0001$ by ordinary one-way ANOVA with Dunnett's multiple comparisons test.

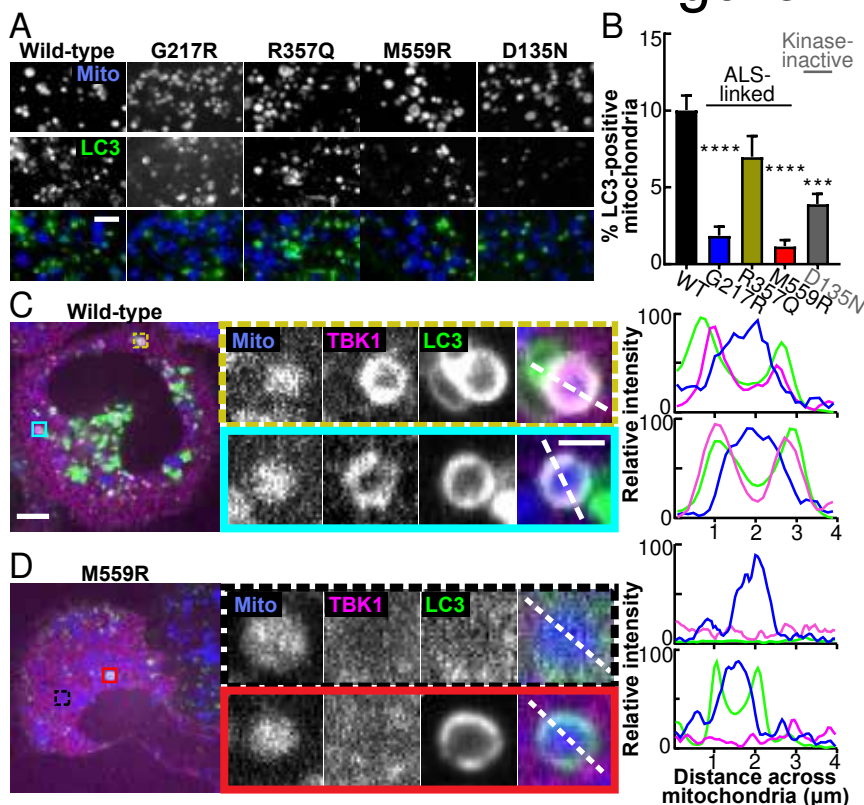


670 **Figure 6. ULK1 contributes to OPTN phosphorylation independent of TBK1 kinase**
 671 **activity.**

672 A. Maximum intensity projection images of HeLa cells depleted of endogenous TBK1
 673 and expressing Parkin (not tagged) and OPTN (blue). Phospho-S177-OPTN was tagged
 674 with an antibody (green). In the top row, cells were not rescued with exogenous TBK1;
 675 magenta channel shows fluorescent ligand alone. In the middle and bottom rows cells were
 676 rescued with WT- and D135N-TBK1, respectively (magenta). Half of each set was treated
 677 with the ULK1 complex inhibitor ULK-101 (right column) and all were fixed after treatment
 678 with CCCP for 90 min. Scale bars, 8 μ m. B,C. Whole cell average intensities of TBK1 (B) or
 679 pOPTN (C) signal after background subtraction were measured for each condition. Bars
 680 indicate medians. n= 8-15 cells from at least 3 independent experiments. n.s., not significant
 681 (n.s. where not specified), * $p \leq 0.05$, ** $p < 0.01$, **** $p < 0.0001$ by two-way ANOVA with
 682 multiple comparisons.

683

Figure 7



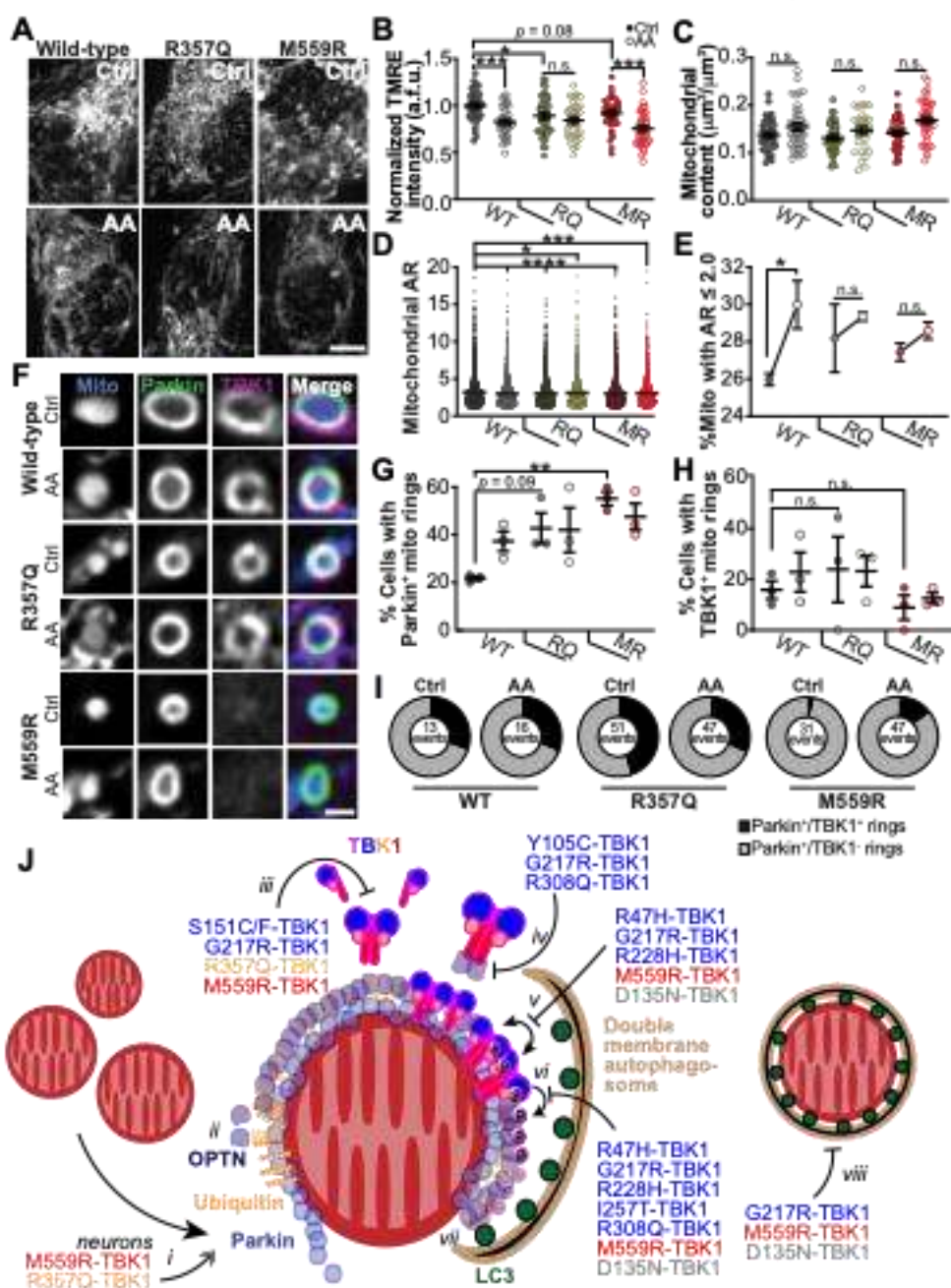
684

685 **Figure 7. TBK1 recruitment and phosphorylation of OPTN are both necessary for**
686 **efficient mitochondrial engulfment by the LC3-positive autophagosome.**

687 A. Representative confocal images of fixed HeLa cells depleted of endogenous TBK1 and
688 expressing Parkin (not-tagged), a fluorescent mitochondrial marker (blue), LC3 (green),
689 and TBK1 (respectively indicated above each column, channel not shown), fixed after
690 treatment with CCCP for 90 min. Scale bar, 3 μ m. B. Percent of LC3-positive mitochondria
691 in cells expressing the respective TBK1 mutants. n= 5-15 cells from at least 3 independent
692 experiments. *** p < 0.001, **** p < 0.0001 by ordinary one-way ANOVA with Dunnett's
693 multiple comparisons test. Error bars indicate SEM. C-D. Confocal images of fixed HeLa
694 cells in our mito-damage paradigm expressing Parkin (not-tagged), a fluorescent
695 mitochondrial marker (blue), WT- (C) or M559R- (D) TBK1 (magenta), and LC3 (green).
696 Insets (colored boxes and zoom panels) display examples of mitophagy events. The
697 adjacent traces (right) display quantification of relative signal intensity of each channel
698 over a line scan (white dashed lines) across the diameter of the rounded mitochondria.
699 Scale bars, zoom out, 10 μ m; zoom in, 2 μ m. Images shown in (A), (C), and (D) are insets;
700 for representative images of whole fields, see Supplemental Figure 7.

701

Figure 8



702

703 **Figure 8. Expression of ALS-associated TBK1 mutants alters mitochondrial**
 704 **network health and sensitivity to oxidative stress, and model for the deleterious**
 705 **effects of TBK1 mutations in mitophagy.**

706 A,B. Representative images (A) and quantification (B) of TMRE fluorescence intensity.
707 Mean \pm SEM; n= 30-42 neurons from 3-4 biological replicates; 7 DIV. Not significant
708 (n.s.), * $p \leq 0.05$, *** $p < 0.001$ by one-way ANOVA with Sidak's multiple comparisons
709 test. Scale bar, 5 μ m. C. Quantification of the mitochondrial content. Mean \pm SEM; n=
710 30-42 neurons from 3-4 biological replicates; 7 DIV. Not significant (n.s.) by Kruskal-
711 Wallis ANOVA with Dunn's multiple comparisons test. D. Quantification of the
712 mitochondrial aspect ratio (AR) for all mitochondria observed. Mean \pm SEM; n =30-42
713 neurons from 3-4 biological replicates; 7 DIV. * $p \leq 0.05$, *** $p < 0.001$, **** $p < 0.0001$ by
714 one-way ANOVA with Dunnett's multiple comparisons test. E. Percent of mitochondria
715 with a mitochondrial aspect ratio (AR) ≤ 2 . Mean \pm SEM; n =30-42 neurons from 3-4
716 biological replicates; 7 DIV. Not significant (n.s.); * $p \leq 0.05$ by one-way ANOVA with
717 Sidak's multiple comparisons test. F. Representative images of Parkin-positive
718 mitochondria with examples that are TBK1-positive (WT and R357Q) and TBK1-negative
719 (M559R). Scale bar, 1 μ m. G,H. Quantification of the percent of neurons with Parkin-
720 positive (G) or TBK1-positive (H) mitochondria rings. Mean \pm SEM; n =25-32 neurons
721 from 3 biological replicates; 7 DIV. Not significant (n.s.); ** $p < 0.01$ by one-way ANOVA
722 with Sidak's multiple comparisons test. I. Quantification of the number of Parkin-positive
723 mitochondria (total) that are TBK1-positive (black sector) or TBK1-negative (grey sector).
724 n =25-32 neurons from 3 biological replicates; total number of events are shown; 7 DIV.
725 J. Model for TBK1 involvement in mitophagy, and effects of mutants. *i*) Upon
726 mitochondrial depolarization, Parkin (blue circles) stabilizes on the outer mitochondrial
727 membrane and proceeds to ubiquitinate (gold spheres) outer membrane proteins. In
728 neurons, expression of R357Q- or M559R-TBK1 induces more rounded, Parkin-positive
729 mitochondria. *ii*) Ubiquitin chains recruit OPTN (purple circles), which interact with
730 ubiquitin via their UBAN domains. TBK1 is not required for this interaction. *iii*) TBK1 (multi-
731 colored cartoon) monomers constitutively dimerize along their SDD domains. Five
732 mutations disrupt this dimerization, including R357Q-TBK1 and M559R-TBK1, which
733 have completely disrupted dimerization. *iv*) TBK1 associates with OPTN at its CTD, thus
734 TBK1 may be co-recruited with OPTN to the mitophagy site. Three ALS-linked mutants
735 of TBK1 have disrupted OPTN association, yet Y105C-TBK1 and R308Q-TBK1 can still
736 be recruited to the damaged mitochondria, thus TBK1 can also be independently

737 recruited. v) Formation of TBK1 multimers at the mitochondria surface promotes TBK1
738 trans-autophosphorylation, by which TBK1 is activated upon phosphorylation at S172
739 (purple circles with “P”). Four ALS-linked TBK1 mutants and the engineered D135N-TBK1
740 have diminished or abolished activation. vi) Activated TBK1 phosphorylates the
741 mitophagy receptor, OPTN at S177. Activated TBK1 may also play a role in promoting
742 autophagosomal membrane expansion (tan crescent). vii) Phosphorylated OPTN is
743 necessary to recruit the LC3-coated (dark green circles) autophagosome. viii) The double
744 membrane autophagosome completely engulfs a damaged mitochondria.
745

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890 **Supplementary Information for**

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892 ALS-associated missense mutations in TBK1 differentially disrupt mitophagy

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900 **MATERIALS AND METHODS (EXTENDED)**

901 *Reagents.* Constructs used were: Mito-DsRed2 (kindly provided by T. Schwartz,
902 Harvard Medical School, Boston) and SBFP2-mito (Mito-DsRed2 recloned into pSBFP2-C1,
903 Addgene #22880); Mito-SNAP (recloned from Mito-DsRed into a pSNAPf [New England
904 Biolabs]), YFP-Parkin (a gift from R. Youle, NIH, Bethesda, MD) and untagged Parkin
905 (subcloned from YFP-Parkin); pEGFP-OPTN (kindly provided from I. Dikic, Goethe
906 University, Frankfurt), Halo-OPTN (subcloned from EGFP-OPTN to a pHaloTag vector,
907 Promega); pEGFP-LC3B (a gift from T. Yoshimori, Osaka University, Osaka); and SNAP-
908 tagged or Halo-tagged versions of all TBK1 variants. siRNA targeting the 5'
909 (UAACAAGAGGAUUGCCUGA) and 3' (CCACUGUUAUACUGGGGAUA) ends of hTBK1
910 and a scrambled control siRNA were from Horizon Discovery, and used on HeLa-M cells.
911 ON-TARGET*Plus* Rat TBK1 (299827) siRNA *SMARTpool* (L-101406-02-0005; Horizon)
912 were used on neurons. SNAP ligands (SNAP-Cell 647-SiR, S9102S and SNAP-Cell 430,
913 S9109S) were from New England BioLabs. Halo ligands (JaneliaFluor 646, GA112A and
914 TMR, G8252) were from Promega. TMRE (tetramethylrhodamine ethyl ester, Ethyl Ester,
915 Perchlorate) was purchased from Life Technologies, (T-669). Antibodies used were: anti-
916 TBK1 (abcam, ab40676, IF: 1:100, and Novus Biologicals, 108A429, WB: 1:1000), anti-
917 SNAP (New England BioLabs, P9310, WB: 1:1000), and anti-phospho-S177-OPTN (Cell
918 Signaling Technology, 57548, IF: 1:200). The drug carbonyl cyanide 3-
919 chlorophenylhydrazone (CCCP) was purchased from Millipore Sigma (C2759). Antimycin A
920 (A8674) and Oligomycin A (75351) were purchased from Sigma-Aldrich. ULK-101 (S8793)
921 was purchased from Selleckchem.

922 *Cell culture and transfection.* HeLa-M cells and HEK293T cells were maintained in
923 DMEM (Corning, 10-017-CV) with 10% fetal bovine serum (HyClone) and 1% GlutaMAX
924 glucose supplement (Gibco, 35050061). Cells were maintained in an environment of 37
925 °C with 5% CO₂. 48 hours prior to fixation or live imaging, 0.28 million cells were plated
926 on each glass-bottomed 35 mm dish (MatTek, P35G-1.5-20-C). HEK cells were plated
927 onto glass coverslips that had been pre-coated with poly-L-lysine for 24 hr in order to
928 prevent sloughing. 18-20 hours prior to fixation or live imaging, cells were approximately
929 80-90% confluent, and were transfected with the appropriate constructs using
930 Lipofectamine 2000 (ThermoFisher Scientific, 11668027) at a 1:4 ratio of mass (ug) to
931 volume (uL). siRNA was transfected simultaneously at 40 μM.

932 *Primary hippocampal culture and transfection:* A suspension of embryonic day 18
933 Sprague Dawley rat hippocampal neurons were provided from the Neurons R Us Culture
934 Service Center at the University of Pennsylvania. Cells were plated on 35 mm glass-
935 bottom dishes (MatTek) at a density of 250,000 cells/dish; dishes were precoated with
936 0.5 mg/ml poly-L-lysine (Sigma Aldrich). Cells were initially plated in MEM supplemented
937 with 10% horse serum, 33 mM D-glucose, and 1 mM sodium pyruvate and left for 2-5
938 hours. The media was then replaced with Neurobasal (Gibco) supplemented with 33 mM
939 D-glucose, 2 mM GlutaMAX (Invitrogen), 100 units/ml penicillin, 100 μg/ml streptomycin,
940 and 2% B-27 (ThermoFisher) (Maintenance Media; MM) and cells were maintained at 37
941 C in a 5% CO₂ incubator. AraC (5 μM) was added the day after plating to prevent glia cell
942 proliferation. Neurons were transfected at 5 DIV with DNA (0.8-1.2 μg of total plasmid)
943 and siRNA (45 pmol) mixtures using Lipofectamine 2000 Transfection Reagent
944 (ThermoFisher) and incubated 36-48 hours. To induce mitophagy, media was fully
945 replaced with MM containing 3 nM Antimycin A for 2 hours; in control conditions media
946 was replaced with standard MM.

947 *Labeling, treatment, and fixation.* HeLa-M and HEK cells: To tag Halo-tagged or
948 SNAP-tagged proteins, cells were incubated with the respective Halo or SNAP ligands.
949 For Halo, cells were incubated with 190 nM Halo ligand for at least 20 min. Cells were
950 then washed two times with conditioned media and allowed to rest for at least 20 min in
951 conditioned media. For SNAP, cells were incubated with 1.25 μM SNAP ligand for at least

952 1 hour. Cells were then washed two times with conditioned media and allowed to rest for
953 at least 30 min in conditioned media. When both SNAP and Halo were used, cells were
954 incubated with Halo tag first, then SNAP tag, with their respective protocols. Cells were
955 washed two more times with conditioned media. When appropriate, cells were treated
956 with 5 μ M ULK-101 for 2.5 hr. Cells were then treated with 20 μ M CCCP or a combination
957 of 10 μ M Oligomycin A and 10 μ M Antimycin A in conditioned media for 1.5 hours.
958 Immediately afterward, cells were washed with warmed PBS then fixed with warmed 4%
959 paraformaldehyde for 10-12 min. For experiments with antibody tagging, cells were
960 permeabilized with 0.5% Triton X at room temperature for 5 min, then blocked with 3%
961 BSA, 0.2% Triton X for one hour. Cells were incubated with primary antibodies overnight
962 at 4 °C. Afterward, cells were washed 4x 5 min in PBS and incubated with secondary
963 antibodies for one hour. Cells were then washed 4x 5 min in PBS and imaged. For the
964 transfection levels test (Supplemental Figure 2A,B), after fixation cells were labeled with
965 Hoechst 33342 for 5 min, then again washed 4x 6 min before imaging. Hippocampal
966 neurons: Prior to imaging, Halo-tag (JaneliaFluor 646, 100 nM) and SNAP-tag (SNAP-
967 Cell 430, 2 μ M) ligands were added for 30 min, followed by two quick washes and a 30
968 min washout. Mitochondrial membrane potential was assessed by loading mitochondria
969 with 2.5 nM TMRE for 30 min.

970 *Fixed and live cell imaging. HeLa-M and HEK293T cells*: For the transfection levels
971 test in HeLa-M cells (Supplemental Figure 2A,B), the cells were imaged with widefield
972 microscopy. Images were taken from three fields per dish, and the only requirement for
973 each field was that the nuclei (Hoechst staining) had to appear healthy and regularly
974 spaced in an area that was close to fully confluent. For all other experiments, samples
975 were imaged with a Nikon Eclipse Ti Microscope with a 100X objective (Apochromat,
976 1.49-N.A. oil immersion) and an UltraView Vox spinning disk confocal system
977 (PerkinElmer). Z-stacks at 0.15 nm/step or timelapse confocal images at 30
978 seconds/frame were collected with Volocity acquisition software (PerkinElmer). Fields of
979 view were chosen to maximize the number of cells that expressed detectable components
980 of interest. In fixed samples, z-stacks were collected through the majority of cells'
981 midsections.

982 For experiments with live cell imaging, conditioned media was replaced with
983 Leibovitz's L-15 Medium (Gibco, 11415064) supplemented with 10% fetal bovine serum.
984 Cells were then rested for at least 10 min in the 37 °C imaging chamber of the microscope.
985 For timelapse mitochondrial damage, a z position was chosen in the midsection of a
986 healthy-appearing cell with a regularly shaped nucleus (nucleus characterized by
987 absence of tagged TBK1). 5-10 frames were collected at basal conditions, then a volume
988 of imaging media at least 50% of the initial volume was added, including CCCP to bring
989 the total concentration to 20 μ M as frame collection continued.

990 Hippocampal neurons: Neurons were imaged in HibernateE (Brain Bits)
991 supplemented with 2% B27 and 33 mM D-glucose; Antimycin A was added to the imaging
992 media for treated conditions. TMRE was added to the imaging media for TMRE
993 experiments.

994 *Mitochondrial enrichments and immunoblots.* For standard cell lysis, cells were
995 washed two times with warmed PBS, then lysed with ice cold RIPA buffer (50 mM Tris-
996 HCl, 1 mM EDTA, 2 mM EGTA, 1% Triton X, 0.5% sodium deoxycholate, 0.1% sodium
997 dodecyl sulfate, 150 mM NaCl) with added Halt Protease and Phosphatase Inhibitor
998 Cocktail (ThermoFisher Scientific, 78444) and scraped into sample tubes and incubated
999 with continuous gentle inversion at 4 °C for 20 min. Samples were then spun at 4 °C in a
1000 microcentrifuge at 17 g for 20 min, and the supernatant was transferred to a separate
1001 tube. Samples were assayed for protein concentration with Pierce BCA Protein Assay Kit
1002 (ThermoFisher Scientific, 23225). Mitochondrial enrichment was performed with
1003 ThermoScientific isolation kit for cultured cells (89874) and mitochondrial fractions were
1004 diluted in RIPA buffer with Halt Cocktail as above.

1005 30 μ g of each sample was loaded into a 10% gel (or 14% gel for TOM20 detection).
1006 After electrophoresis, protein bands were transferred to PVDF membrane (Immobilon-FL,
1007 Millipore Sigma, IPFL00010) and total protein was labeled with Revert 700 (Li-Cor, 926-
1008 11011) and imaged on an Odyssey CLx machine (Li-Cor). After clearing the total protein
1009 stain with a solution of 0.1% NaOH, 30% methanol, the membrane was then blocked with
1010 TrueBlack blocking solution (Biotium, 23013-T) for 1 hour at room temperature. Primary
1011 antibodies were incubated overnight at 4 °C. After primary antibody incubation, the

1012 membrane was washed 4x 5 min in TBS with 0.2% TWEEN-20, and secondary
1013 fluorescent antibodies (Li-Cor IRDyes, 926-68073, 926-32212) were used at 1:20,000 for
1014 1 hour at room temperature. Finally, the membrane was washed 4x 5 min again before it
1015 was imaged again.

1016 *Image processing and analysis.* Images were deconvolved with Huygen's
1017 Professional version 17.10 software (Scientific Volume Imaging, The Netherlands,
1018 <http://svi.nl>) to remove background noise and increase resolution and signal-to noise
1019 ratio. The Classic Maximum Likelihood Estimation (CMLE) algorithm with theoretical PSF
1020 was performed for 50 iterations at most. The signal-to-noise ratio for all channels was set
1021 between 10 and 30, depending on the individual construct; all other settings were default.
1022 Images were assembled in Illustrator (Adobe). Most images shown are deconvolved, with
1023 the exceptions of widefield images (Supplemental Figure 2A), all Supplemental whole-
1024 field images, the experiment to determine ULK1 dependence (Figure 6), and neuronal
1025 mitochondrial TMRE images (Figure 8A). All intensity measurements were carried out on
1026 raw intensity images, not deconvolved images.

1027 In Figures 1E,F, 2, 3, 5, and 6, maximal projections of 3.75 μm were generated
1028 through the central volume of the cells. For other analyses, confocal sections were used.
1029 Parkin, OPTN, TBK1, and LC3 rings were delineated by hand and measured in ImageJ
1030 (57). Patterns of OPTN and TBK1 were classified as rings if they coincided with Parkin
1031 signal around a rounded mitochondrion. At least half of the ring had to be clearly evident
1032 to be counted. Diameters and intensities were measured for all ring ROIs in each cell and
1033 averaged. Thus, each point is the average for a single cell. To quantify percentage of
1034 LC3-positive mitochondria, Ilastik software version 1.2.2 (58) was trained to categorize
1035 mitochondria using the dsRed2-mitochondria channel in the Pixel Classification module.
1036 Feature selection used color/intensity, edge, and texture up to $\sigma = 5$ pixels. Binary images
1037 were exported as .tifs using simple segmentation, and the Analyze Particles function of
1038 ImageJ was used to count mitochondria. Mitochondria were considered positive for LC3
1039 if the fluorescent LC3 ring surrounded at least half of the organelle. Each data point
1040 represents the percentage of LC3-positive mitochondria for a single cell. For Figure 5,
1041 OPTN rings were identified as before. Then OPTN ring regions of interest (ROIs) were
1042 transferred to the TBK1 and phospho-OPTN channels of the same image, and mean

1043 fluorescence intensity was measured for each ROI on the non-deconvolved images.
1044 Intensity data points were plotted for WT-TBK1-expressing cells, and all intensities above
1045 the 25th percentile were considered “positive,” while all intensities below were considered
1046 “negative” (see Supplemental Figure 6B,C). Thus, every OPTN ring could be categorized
1047 as positive or negative for phospho-OPTN and TBK1. Images were blinded before ring
1048 counting. For ULK1 experiments, outlines were drawn around whole cells and the
1049 average intensity in the region was measured in FIJI.

1050 For timelapse imaging, events were quantified if they remained within the z frame
1051 for most of the sequence. Background was calculated by measuring mean intensity in the
1052 JF696 channel of a ROI drawn in an area of the cell with no detectable events. This mean
1053 was subtracted from the ROI for the event at each point over the timelapse. Hence, the
1054 M559R-TBK1 signal falls below zero, since there is some photo-bleaching of the signal
1055 over time.

1056 For volume rendering, deconvolved .tif files were converted to .ims files with the Imaris
1057 File Converter and processed with Imaris software (Oxford Instruments). Normal shading
1058 mode was used to render images of the respective volumes of mitochondria and
1059 mitophagy components of representative events.

1060 For hippocampal neurons, following image processing, protein ring formation and
1061 mitochondrial fragments were manually identified and quantified using Fiji, where only
1062 clearly defined structures were quantified. Fluorescence intensities (TMRE and Halo-
1063 TBK1) were quantified from max projections of unprocessed z-stack images in Fiji. The
1064 values of five individual areas (2.2 x 2.2 μm square) in the soma were averaged to
1065 determine the mean gray value for each cell. Mitochondrial content was determined by
1066 dividing the mitochondrial volume by the somal volume for each cell, where volume
1067 measurements were determined using the volume measurement function in Velocity
1068 Quantitation (Quorum Technologies). The mitochondrial aspect ratio was determined
1069 using the Ridge Detection Plugin with the SLOPE method for overlap resolution on single
1070 plane images. The Particle Analyzer tool with Shape Descriptors and the Aspect Ratio
1071 (AR) function was used to quantify the mitochondrial aspect ratio for individual
1072 mitochondria within the neuron. Prism (GraphPad) was used to plot all graphs and

1073 determine statistical significance. Adobe Illustrator was used to prepare all figures and
1074 images.

1075 Transfection level images included Hoechst and JF646 channels (Supplemental
1076 Figure 2A,B). Both channels were maximally projected, and the JF696 channel was
1077 background subtracted with ImageJ's rolling ball radius set to 25.0 pixels, with sliding
1078 paraboloid and disabled smoothing. Images were then imported to CellProfiler software
1079 (59) and nuclei were identified as primary objects; then cells were delineated by the
1080 propagation method in the JF646 channel. Thus, only cells expressing SNAP-TBK1 were
1081 identified and the mean intensities of their cytoplasmic signals were exported to Excel.
1082 These values were displayed on a histogram to demonstrate the relative frequencies of
1083 mean intensities (GraphPad).

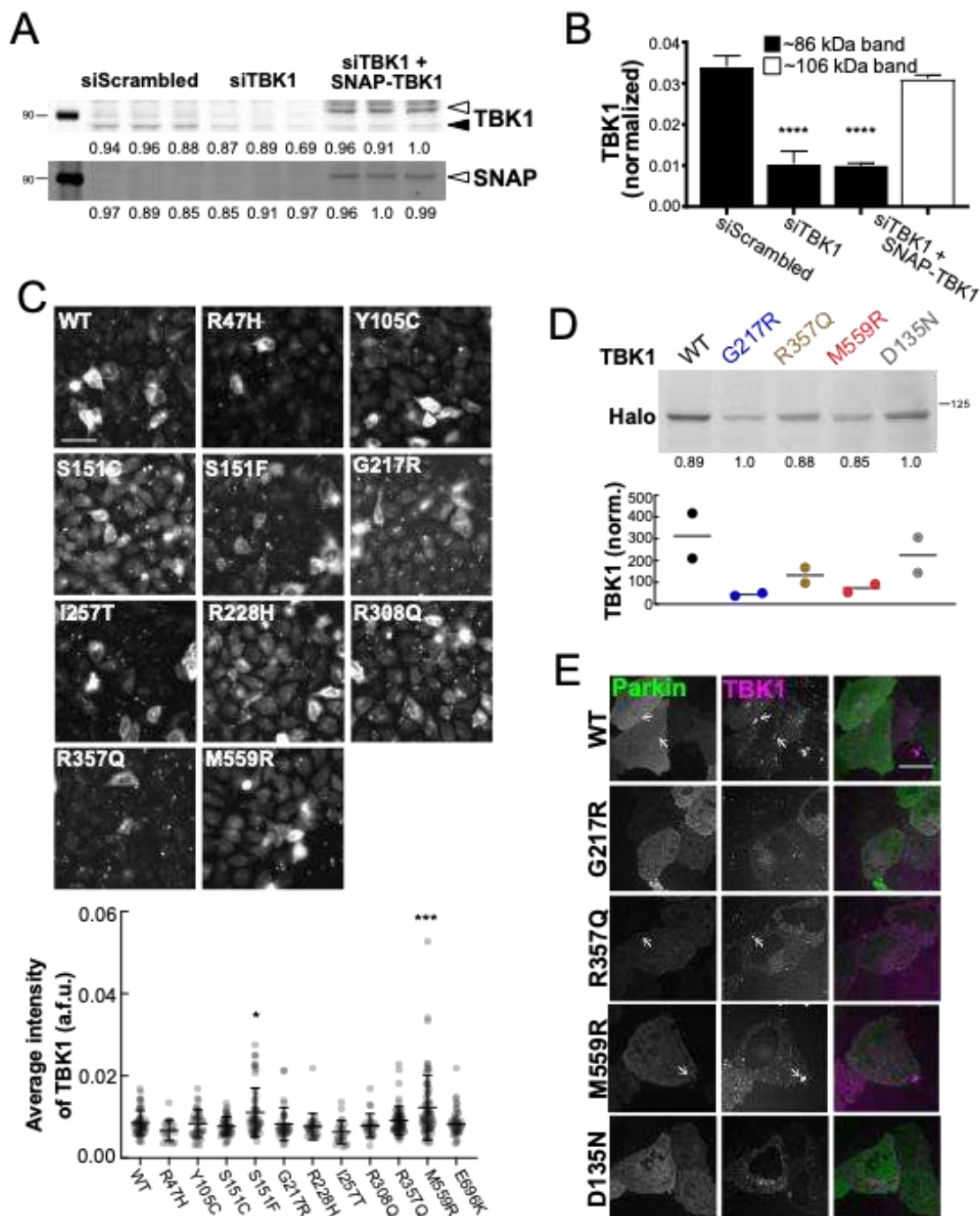
1084 For immunoblots, ImageStudio Software (Version 5, Li-Cor) was used to scan
1085 bands to ensure no patches were overexposed. ImageStudio was used to subtract
1086 background and quantify band intensities, which were normalized to the total protein
1087 signal for their respective lanes with Excel (Microsoft). For mitochondrial enrichment,
1088 bands were normalized to TOM20. Those values were graphed in GraphPad (Version 9,
1089 Prism).

1090

1091

1092 SUPPLEMENTAL FIGURES

Supplemental Figure 1

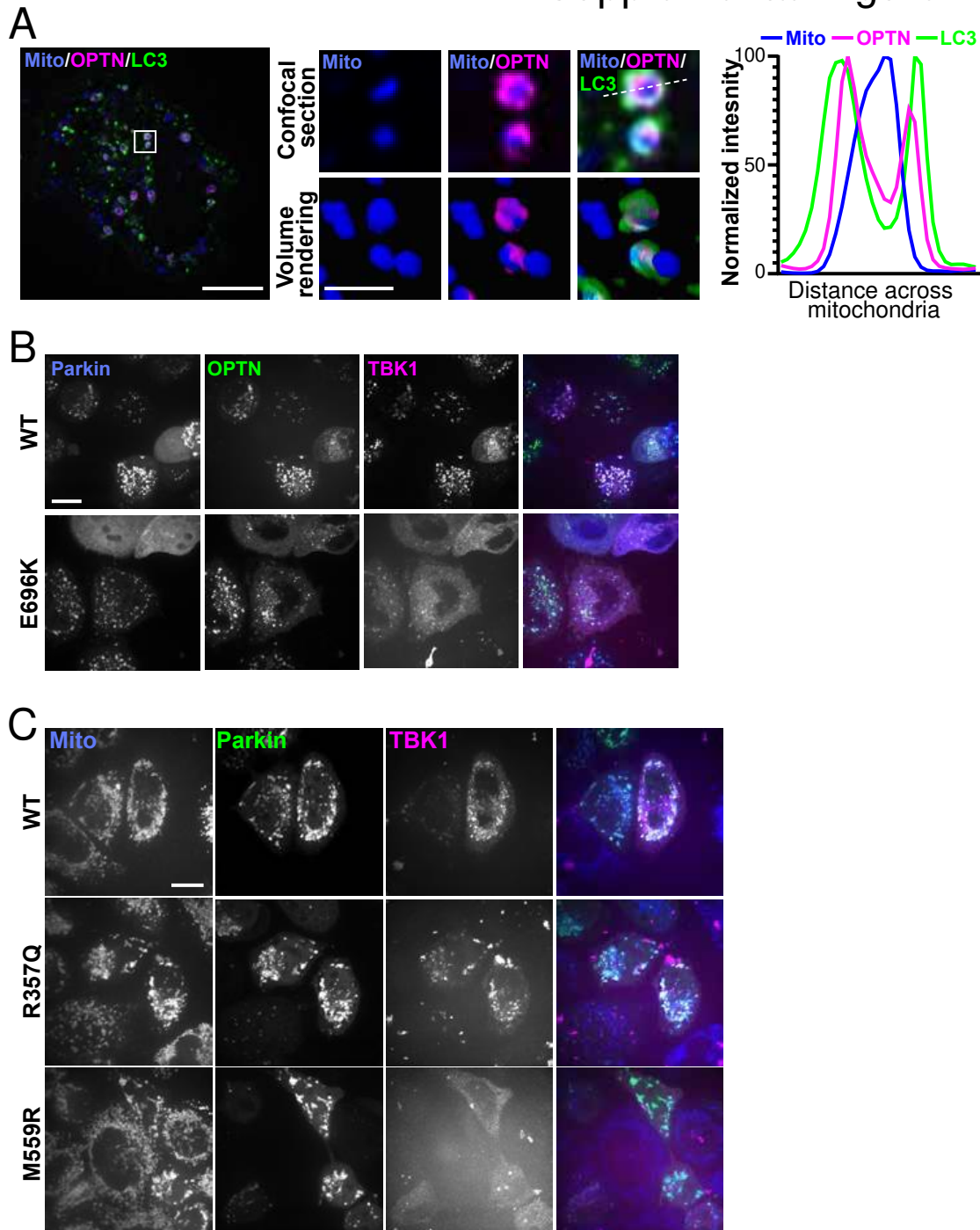


1094 **Supplemental Figure 1. TBK1 is depleted and tagged TBK1 is exogenously**
1095 **expressed in cells that are induced to carry out mitophagy.**

1096 A. Western blot of HeLa cells under mock, knockdown, and rescue conditions. Samples
1097 were probed for total TBK1 (top panel) and for SNAP (bottom panel). TBK1 is
1098 approximately 86 kDa (solid black arrowhead, top panel), and SNAP-TBK1 is expected
1099 to appear at 106 kDa (white arrowheads, both panels). Numbers to the left of blots
1100 indicate kDa based on protein ladder (not shown). B. Band intensities were quantified and
1101 normalized to total protein, as indicated by the numbers below each lane in (A). $n = 3$
1102 independent collections. **** $p < 0.0001$ by ordinary one-way ANOVA with Dunnett's
1103 multiple comparisons test. C. Representative widefield images of fixed HeLa cells in
1104 basal conditions, depleted of endogenous TBK1 and expressing siRNA-resistant SNAP-
1105 tagged TBK1 variants (grayscale). Scale bar, 60 μm . Below, graph of TBK1 average
1106 signal intensities for cells transfected with the respective TBK1 constructs. Bars indicate
1107 mean with SD. * $p \leq 0.05$, *** $p < 0.001$ by ordinary one-way ANOVA with Dunnett's
1108 multiple comparisons test. D. Representative Western blot of HeLa cell lysates depleted
1109 of endogenous TBK1 and expressing the respective Halo-tagged TBK1 variants (~119
1110 kDa). Quantification of Halo band relative to total protein shown below ($n = 2$). Number to
1111 the left of blot indicates kDa based on protein ladder (not shown). E. Representative
1112 confocal images of fixed HeLa cells in basal conditions, depleted of endogenous TBK1
1113 and expressing Parkin (green) and siRNA-resistant Halo-tagged TBK1 variants
1114 (magenta). Arrows indicate TBK1 aggregates. Scale bar, 20 μm .

1115

Supplemental Figure 2



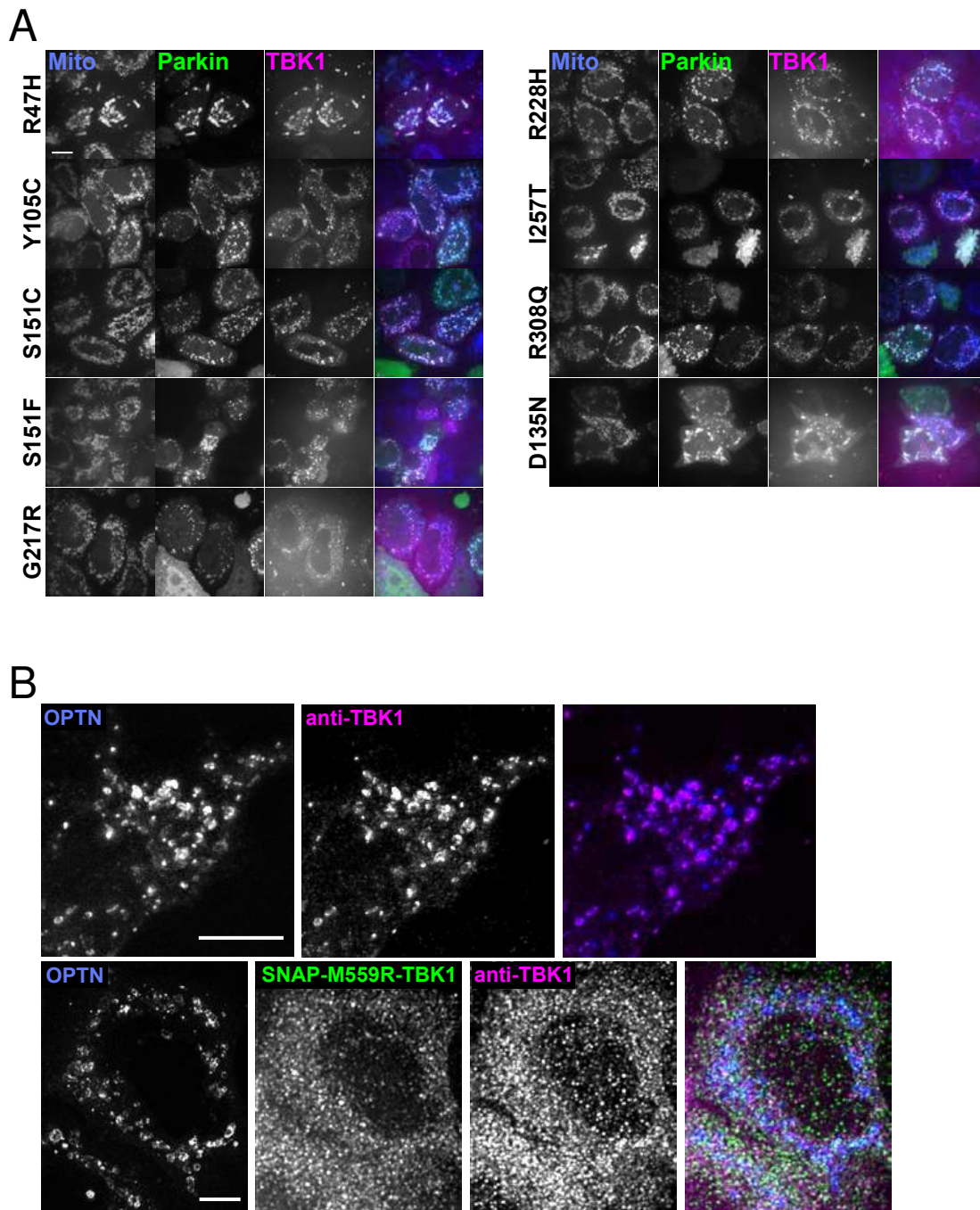
1116

1117 **Supplemental Figure 2. OPTN and LC3 are recruited to damaged mitochondria,**

1118 **and corresponding whole-field images for Main Figures 1,2.**

1119 A. Confocal section of a HeLa cell expressing Parkin (not tagged), a mitochondrial marker
1120 (blue), OPTN (magenta) and LC3 (green), fixed after treatment with CCCP for 90 min.
1121 The inset (white box) and zoom images (right, top row) exhibit two mitochondria that have
1122 recruited OPTN and LC3. A volume rendering is shown below (right, bottom row). Scale
1123 bars, zoom out, 10 μm ; zoom in, 2 μm . Right, profiles of relative signal intensities for
1124 mitochondria (blue line), OPTN (magenta line), and LC3 (green line) are quantified across
1125 the diameter of the rounded mitochondria (white dashed line in zoom image). B.
1126 Representative whole-field images corresponding to images in Main Figure 1E,F. Scale
1127 bar, 25 μm . C. Representative whole-field images corresponding to images in Main Figure
1128 2A. Scale bar, 25 μm .

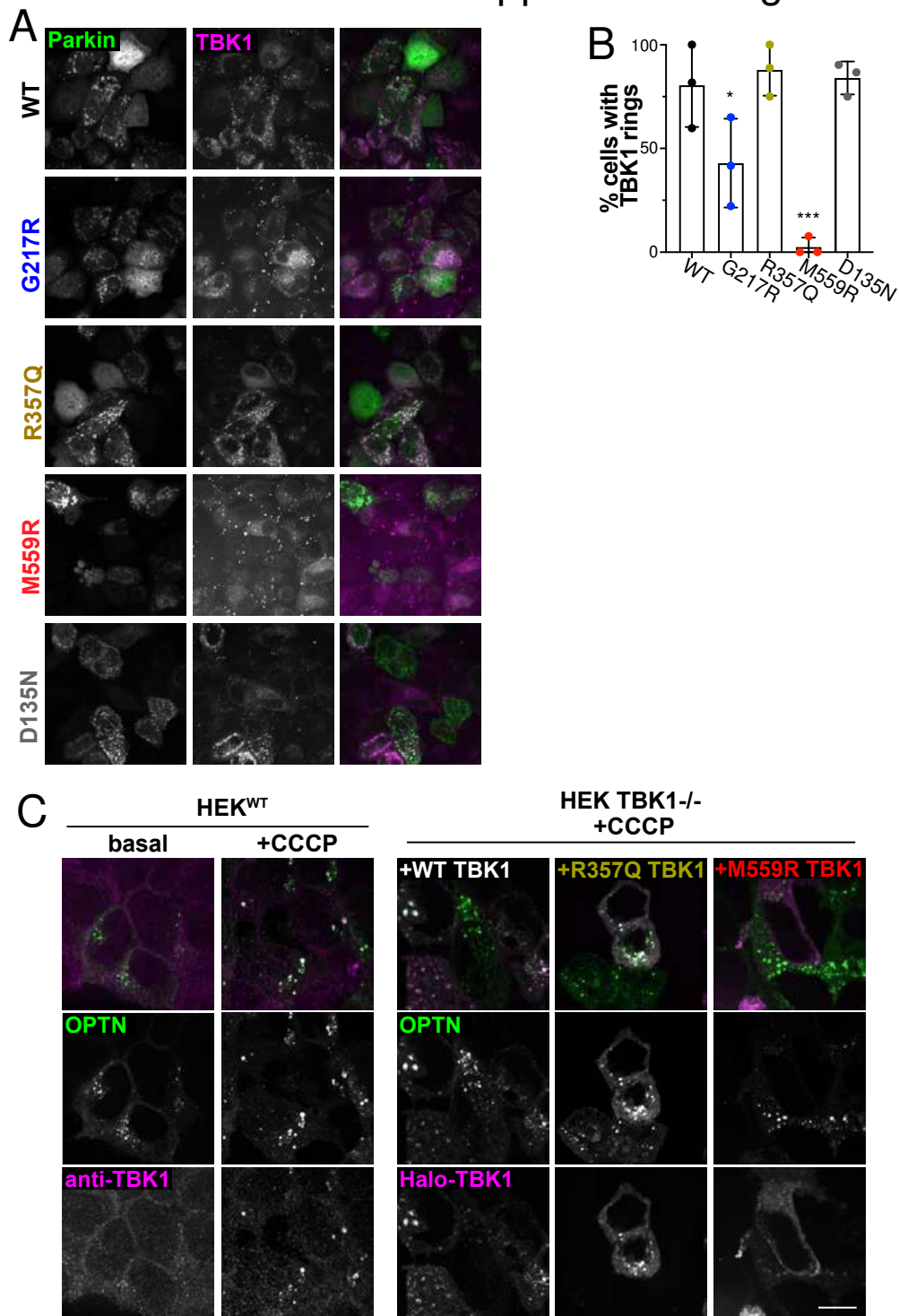
Supplemental Figure 3



1130 **Supplemental Figure 3. Comparison of total TBK1 recruitment to damaged**
1131 **mitochondria in WT- versus M559R-TBK1 expressing cells.**

1132 A. Representative whole-field images corresponding to images in Main Figure 3A.
1133 Scale bar, 25 μm . B. Maximum intensity projection image of HeLa cells depleted of
1134 endogenous TBK1 and expressing Halo-OPTN (blue) and WT-TBK1 (top row, not
1135 labeled) or M559R-TBK1 (bottom row, green), fixed after 90 min treatment with CCCP.
1136 Cells were tagged with an antibody to total TBK1 (magenta). Scale bars, 10 μm .

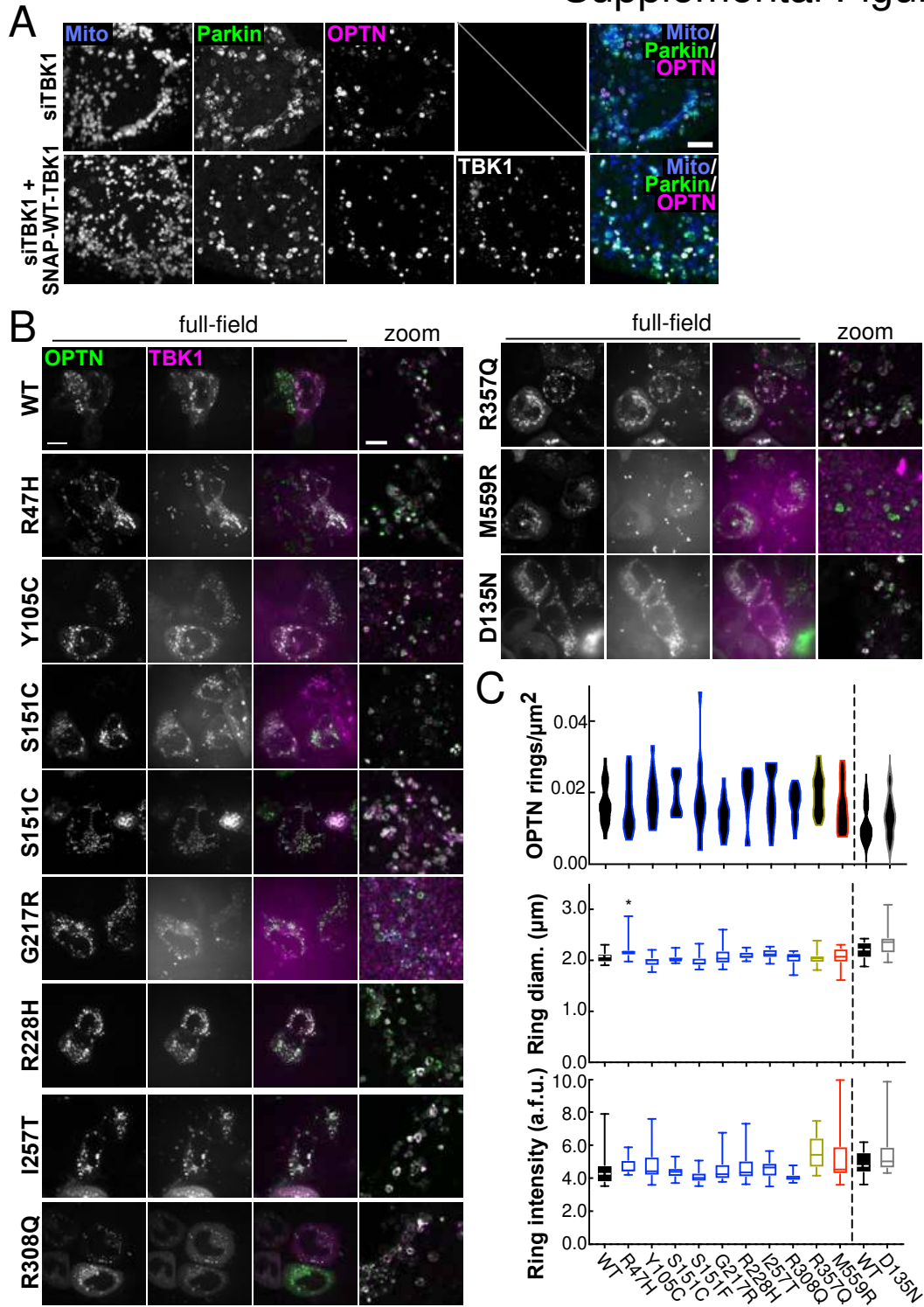
Supplemental Figure 4



1138 **Supplemental Figure 4. Treatment with Antimycin A and Oligomycin A induces**
1139 **mitochondrial depolarization and TBK1 recruitment to damaged mitochondria,**
1140 **and HEK cell mitochondria recruit OPTN and TBK1.**

1141 A. Maximum intensity projection image of HeLa cells depleted of endogenous TBK1,
1142 expressing Parkin (green) and the respective TBK1 mutants (magenta), fixed after 90 min
1143 treatment with Antimycin A/Oligomycin A. B. Quantification of percentages of cells
1144 observed that exhibit clear TBK1 rings that coincide with Parkin. * $p \leq 0.05$, *** $p < 0.001$
1145 by ordinary one-way ANOVA with Dunnett's multiple comparisons test. Error bars indicate
1146 SD. n= 3 independent experiments. C. WT or TBK1^{-/-} HEK cells expressing EGFP-OPTN
1147 (green) and fixed. WT HEK cells were tagged with an antibody to TBK1 (magenta) in
1148 basal conditions or after 90 min CCCP treatment. TBK1^{-/-} HEK cells expressed WT-,
1149 R357Q-, or M559R-TBK1 (magenta) before treatment with CCCP and fixation. Scale bar,
1150 10 μm .

Supplemental Figure 5



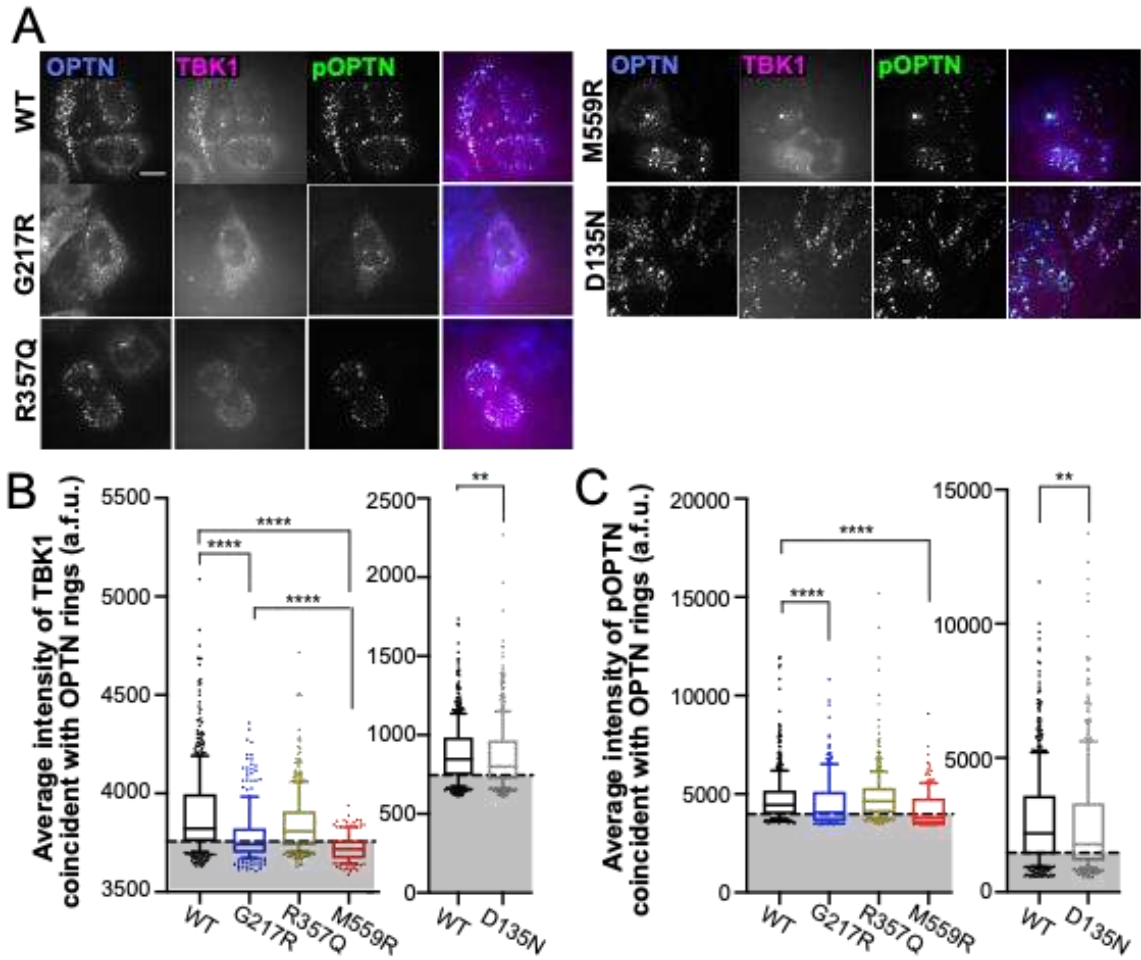
1151

1152 **Supplemental Figure 5. OPTN is recruited to damaged mitochondria despite**
1153 **depletion of endogenous TBK1.**

1154 A. Maximum intensity projection images of fixed HeLa cells depleted of endogenous
1155 TBK1, expressing a mitochondrial-localized fluorophore (blue), Parkin (green), and
1156 OPTN (magenta), fixed after 90 min treatment with CCCP. In the bottom panels, cells
1157 were rescued with exogenous SNAP-WT-TBK1, displayed in grayscale in the bottom right
1158 panel (TBK1 not included in the merged image). Scale bar, 10 μm . B. HeLa cells depleted
1159 of endogenous TBK1 and expressing Parkin (not shown), OPTN (green), and TBK1
1160 variants (magenta), fixed after treatment with CCCP for 90 min. First three columns are
1161 whole-field view. Scale bar, 10 μm . Final column is zoom of merged channels. Scale bar,
1162 5 μm . C. Quantification of OPTN rings/ μm^2 (B) ring diameter (diam.) (C), and ring signal
1163 intensity (D). n= 14-20 cells from at least 3 independent experiments. * $p \leq 0.05$ by
1164 ordinary one-way ANOVA with Dunnett's multiple comparisons test. Arbitrary fluorescent
1165 units, a.f.u.

1166

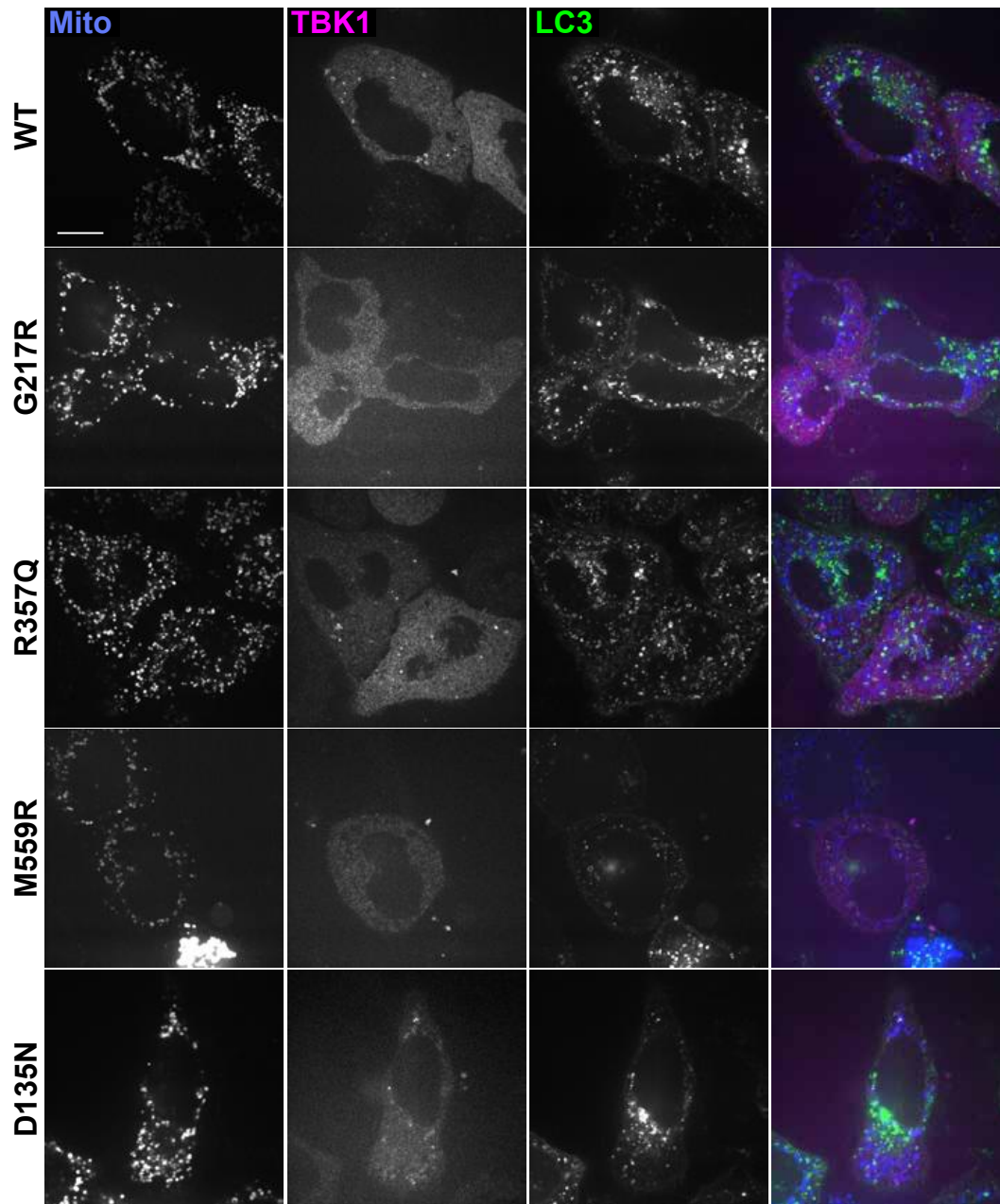
Supplemental Figure 6



1168 **Supplemental Figure 6. Raw intensities of TBK1 and phospho-OPTN signals with**
1169 **different TBK1 mutants expressed.**

1170 A. Representative whole-field images corresponding to images in Main Figure 6A-E.
1171 Scale bar, 25 μm . B,C. Raw TBK1 (A) and phospho-OPTN (B) intensity measurements
1172 for OPTN rings in the respective TBK1 variant-expressing cells. Black dashed horizontal
1173 lines indicate 25th percentile cutoff. Graphs are divided into experiments carried out more
1174 than one year apart. Statistical analysis among graphs of four mutant expressions (WT,
1175 G217R, R357Q, and M559R) were carried out with Kruskal-Wallis test with Dunn's
1176 multiple comparisons. For analysis between two mutant expressions (WT and D135N),
1177 Mann-Whitney test was used. ** $p < 0.001$, **** $p < 0.0001$ Arbitrary fluorescent units,
1178 a.f.u.

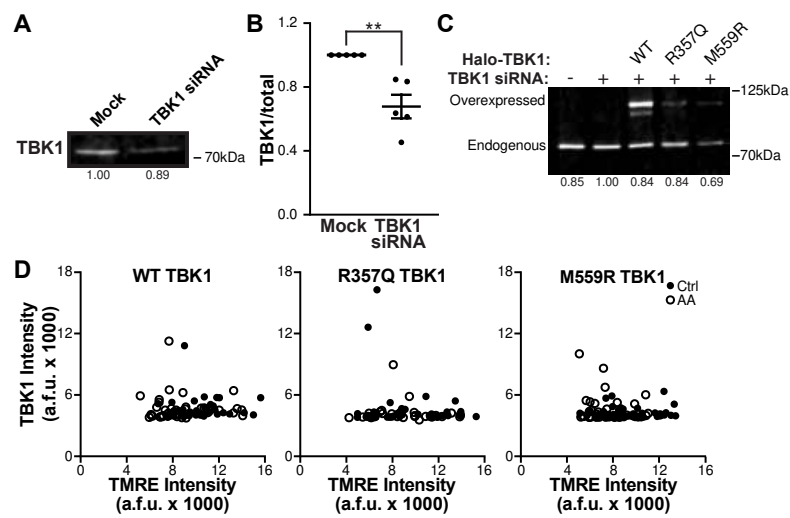
Supplemental Figure 7



1180 **Supplemental Figure 7. Representative whole-field images corresponding to**
1181 **images in Main Figure 7A,C,D.**

1182 Scale bar, 25 μm .

Supplemental Figure 8



1183

1184 **Supplemental Figure 8. TBK1 is efficiently knocked down in neurons with siRNA.**

1185 A,B. Representative Western blot (A) and quantification (B) of neurons after treatment
1186 with mock or TBK1 siRNA. Data shown as the fold change over control of TBK1 divided by
1187 total protein stain. Normalization factors are shown under lanes. Mean \pm SEM; n= 5; 7 DIV.
1188 ** p < 0.01 by unpaired t test. C. Western blot of non-transfected, TBK1 siRNA treated, and
1189 TBK1 siRNA treated neurons overexpressing WT or mutant Halo-TBK1. Normalization
1190 factors are shown under lanes. D. TBK1 fluorescence intensity plotted as a function of the
1191 TMRE fluorescence intensity for each cell (data also presented Figure 8B). n= 30-42 neurons
1192 from 3-4 biological replicates; 7 DIV.
1193
1194