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An Adaptive Super Twisting Nonlinear Fractional Order PID Sliding Mode Control of Permanent Magnet Synchronous Motor Speed Regulation System Based on Extended State Observer

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ABSTRACT In this article, a novel adaptive super-twisting nonlinear Fractional-order PID sliding mode control (ASTNLFOPIDSMC) strategy using extended state observer (ESO) for the speed operation of permanent magnet synchronous motor (PMSM) is proposed. Firstly, this paper proposes a novel nonlinear Fractional-order PID (NLFOPID) sliding surface with nonlinear proportion term, nonlinear integral term and nonlinear differential term. Secondly, the novel NLFOPID switching manifold and an adaptive super-twisting reaching law (ASTRL) are applied to obtain excellent control performance in the sliding mode phase and the reaching phase, respectively. The novel ASTNLFOPIDSMC strategy is constructed by the ASTRL and the NLFOPID sliding surface. Due to the utilization of NLFOPID switching manifold, the characteristics of fast convergence, good robustness and small steady state error can be ensured in the sliding mode phase. Due to the utilization of ASTRL, the chattering phenomenon can be weakened, and the characteristics of high accuracy and strong robustness can be obtained in the reaching phase. Further, an ESO is designed to achieve dynamic feedback compensation for external disturbance. Furthermore, Lyapunov stability theorem and Fractional calculus are used to prove the stability of the system. Finally, comparison results under different controllers demonstrate that the proposed control strategy not only achieves good stability and dynamic properties, but also is robust to external disturbance.

INDEX TERMS Adaptive super-twisting nonlinear Fractional-order PID sliding mode control (ASTNLFOPIDSMC) strategy, extended state observer (ESO), permanent magnet synchronous motor (PMSM), nonlinear Fractional-order PID (NLFOPID) sliding surface, adaptive super-twisting reaching law (ASTRL).

I. INTRODUCTION

Permanent magnet synchronous motor (PMSM) is widely used in industry applications [1]–[3]. The traditional linear controllers are widely used in the control of PMSM because of their simple structure, but due to the unknown disturbance, time-varying, strong coupling of PMSM, the traditional linear controllers cannot meet the high performance control requirements [4], [5]. Therefore, many different advanced

control strategies have been proposed to improve the control performance of PMSM, such as backstepping control [6], [7], finite time control [8], model predictive control [9], fuzzy logic control [10], sliding mode control (SMC) [11]–[13] and robust control [14]. Among them, SMC has been widely used for decades, because of its robustness and simplicity. Several SMC strategies were proposed by many scholars, such as event-triggered SMC [15], adaptive SMC [16], [17], fuzzy SMC [18], [19], model free SMC [20], global SMC [21], [22], complementary SMC [23], [24], *et al.* The performance of SMC strategy mainly depends on the sliding

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mode surface and reaching law. The PID controller is a classical feedback controller. Because the PID controller has the advantages of simple structure and well-known parameter adjustment rules, the PID sliding surface has been designed by scholars to improve the control performance of the closed-loop system. The PID sliding surface is defined as the present, past and future functional forms of error signals. The SMC strategies based on different forms of PID sliding surface have been widely used in many practical systems. In [25]–[28], SMC strategies based on the PID sliding surface were developed to the process control systems, robotic manipulators, quadcopter and semi-active vehicle suspension, respectively. For 300 years, Fractional-order theory has been studied by scholars as pure mathematics theory. In recent years, the combination of Fractional-order theory and various control theories has been widely used in many systems. In [29]–[31], nonsingular terminal SMC strategies combined with the Fractional-order theory were designed for cable-driven manipulators. Fuzzy sliding mode theory combined with Fractional-order theory was proposed for uncertain Fractional-order nonlinear systems in [32]. In [33], [34], dynamic surface control strategies combined with the Fractional-order theory were designed for Fractional-order nonlinear systems. By combining the traditional PID sliding surface with the Fractional-order theory, the linear Fractional-order PID (LFOPID) sliding surface can be obtained. Compared with Integer-order, the extra degrees of freedom from using of Fractional-order integrator and differentiator made it possible to further improve the control effect [35]. Recently, many SMC strategies based on different forms of LFOPID sliding surface have been widely used in many systems, such as wind power generation system [36], wheeled mobile robot [37], electro-hydraulic servo system [38] and PMSM [39], *et al.* However the linear superposition of three basic modules in the LFOPID can cause the contradiction between the rapidity and the overshoot, and the LFOPID also has the disadvantages of simplicity and tough, which makes it difficult to meet the control requirements of high quality [40], [41]. A nonlinear function $fal(\cdot)$ was proposed by Han in [42]. It has the characteristics of fast convergence, reduce steady state error and saturated error [43]–[45]. In order to effectively settled above-mentioned issues of the LFOPID sliding surface, the nonlinear function $fal(\cdot)$ is first introduced into the conventional LFOPID sliding surface. Based on the LFOPID sliding surface and the nonlinear function $fal(\cdot)$, a novel nonlinear FOPID (NLFOPID) sliding surface is proposed with nonlinear proportion term, nonlinear integral term and nonlinear differential term in this study.

The main disadvantage of the conventional SMC is chattering phenomenon. The super-twisting (ST) scheme is one of the high-order SMC which has been made to solve the chattering problem, good control performance can be ensured by the ST scheme simultaneously [46]. However, the ST scheme requires upper bound information of the lumped uncertainties to calculate the control parameters, which is very difficult in reality. [47] proposed an adaptive

super-twisting (AST) scheme. The AST scheme has advantages of addressing the unknown upper bound of the disturbance and the adaptability of control parameters. Because of the superiorities, AST scheme has been widely used in many practical systems, such as air vehicle [48], marine vessels [49], vehicle steer-by-wire system [50], cable-driven manipulators [51], rigid robotic manipulators [52], *et al.*

Since extended state observer (ESO) can effectively estimate the total disturbance of the system and rarely rely on the mathematical model of the system, it has been widely used to estimate the external disturbance in various practical systems, such as linear induction motor [45], test rocket control system [53], spacecraft [43], *et al.*

In this study, a novel adaptive super-twisting nonlinear Fractional-order PID sliding mode control (ASTNLFOPIDSMC) strategy using the ESO for the speed operation of PMSM is proposed. In order to solve the contradiction between the rapidity and the overshoot of the LFOPID sliding surface, and to solve the disadvantages of simplicity and tough of the LFOPID sliding surface, a novel NLFOPID sliding surface combining the nonlinear function $fal(\cdot)$ is proposed. Due to the utilization of the NLFOPID switching manifold, the characteristics of fast convergence, good robustness and small steady state error can be ensured in the sliding mode phase. An AST scheme is designed to suppress the chattering phenomenon of the conventional SMC and improve the control performance of the system in the reaching phase. Then, in order to improve the ability of the system to resist external disturbance, the novel ASTNLFOPIDSMC strategy utilizes the ESO to achieve dynamic feedback compensation for external disturbance.

The main contributions of this paper can be listed as follows:

1. The nonlinear function $fal(\cdot)$ is first introduced into the conventional LFOPID sliding surface. We propose a novel NLFOPID sliding surface, which includes nonlinear proportional term, nonlinear integral term and nonlinear differential term.
2. An AST scheme is selected to improve the control performance of the reaching phase. A novel ASTNLFOPIDSMC strategy is constructed by the AST scheme and the novel NLFOPID sliding surface.
3. A novel ESO based the ASTNLFOPIDSMC strategy is proposed. The ESO is designed to achieve dynamic feedback compensation for external disturbance. The proposed control strategy not only achieves good stability and dynamic properties, but also is robust to external disturbance.
4. Lyapunov stability theorem and Fractional calculus are used to prove the stability of the system.
5. The effectiveness and superiority of our proposed control scheme, compared to the existing theory, have been verified by simulation results.

The rest of this paper is organized as follows: some definitions and properties of Fractional-order calculus are presented in Section II. In Section III, the control strategies and

the stability analysis are given. The comparative results and the analysis of results are given in Section IV. Section V gives main conclusions and future work.

II. MATHEMATICAL PRELIMINARIES

This section presents some definitions and properties of Fractional-order calculus.

The Riemann-Liouville Fractional derivative and integral of function $f(t)$ are given by [54], [55]:

$$\begin{cases} {}_{t_0}D_t^\varepsilon f(t) = \frac{1}{\Gamma(1-\varepsilon)} \frac{d}{dt} \int_{t_0}^t \frac{f(\tau)}{(t-\tau)^\varepsilon} d\tau \\ {}_{t_0}D_t^{-u} f(t) = \frac{1}{\Gamma(u)} \int_{t_0}^t \frac{f(\tau)}{(t-\tau)^{1-u}} d\tau \\ \Gamma(z) = \int_0^\infty e^{-t} t^{z-1} dt \end{cases} \quad (1)$$

where $z \in R$; t and t_0 represent the upper and lower bounds of the Fractional derivative and integral; ε and u are the Fractional derivative order and integral order, respectively; $0 < \varepsilon, u < 1$.

The Caputo Fractional derivative of order a of function $f(t)$ is defined as follows [54], [55]:

$${}_{t_0}D_t^a f(t) = \begin{cases} \frac{1}{\Gamma(m-a)} \int_{t_0}^t \frac{f^{(m)}(\tau)}{(t-\tau)^{a-m+1}} d\tau & m-1 < a < m \\ \frac{d^m}{dt^m} f(t) & a = m \end{cases} \quad (2)$$

where m is the first integer larger than a .

Riemann-Liouville Fractional-order definition is selected in this article.

The Fractional order derivative and integral have the following properties [54], [55]:

$$\frac{d^n}{dt^n} ({}_{t_0}D_t^\varepsilon f(t)) = {}_{t_0}D_t^{\varepsilon+n} f(t) \quad (3)$$

$$\frac{d^n}{dt^n} ({}_{t_0}D_t^{-u} f(t)) = {}_{t_0}D_t^{n-u} f(t) \quad (4)$$

The Fractional order derivative has the property of linear operation [54], [55]:

$${}_{t_0}D_t^\varepsilon (af(t) + bf(t)) = a{}_{t_0}D_t^\varepsilon f(t) + b{}_{t_0}D_t^\varepsilon f(t) \quad (5)$$

The following autonomous system [56]:

$${}_{t_0}D_t^\varepsilon f(t) = Zf(t) \quad f(0) = f_0 \quad (6)$$

where $f(t) \in R^n$, $Z \in R^{n \times n}$, $0 < \varepsilon < 1$, (6) is asymptotically stable if and only if:

$$|\arg(\text{eig}(Z))| > \varepsilon \frac{\pi}{2} \quad (7)$$

Then, the components of the state decay towards 0 like $t^{-\varepsilon}$.

III. CONTROL STRATEGIES DESIGN

A. CONTROLLERS DESIGN

Equation of electromagnetic torque for PMSM can be described as follows:

$$T_e = p_n(\varphi_f i_q + (L_d - L_q) i_d i_q) \quad (8)$$

where T_e is the electromagnetic torque; φ_f is the flux linkage; p_n is the number of pole pairs; L_d, L_q represent the d - and q - axis inductances, respectively; i_d, i_q are the components of armature currents of dq - axes, respectively.

For surface mount PMSM, $L_d = L_q = L$ in this paper, equation of mechanical torque for PMSM can be expressed as in (9), the dynamics of PMSM can be expressed as in (10). The motor tracking speed error is defined as in (11).

$$\begin{cases} T_e - T_L = \frac{J}{p_n} \dot{\omega} + B\omega \\ T_e = p_n \varphi_f i_q \end{cases} \quad (9)$$

$$\dot{\omega} = \frac{3p_n \varphi_f}{2J} i_q - \frac{B}{J} \omega - \frac{1}{J} T_L \quad (10)$$

$$e = \omega_r - \omega \quad (11)$$

where e is the tracking error; ω is the mechanical rotor angular speed of PMSM; J is the rotational inertia; T_L is the load torque; B is the viscous friction coefficient; ω_r is the desired speed.

The conventional LFOPID sliding surface was defined as [38], [39]:

$$s = K_p e + K_i D_t^{-u} e + K_d D_t^\varepsilon e \quad (12)$$

where $K_p > 0, K_i > 0, K_d > 0$.

The structure diagram of the conventional LFOPID sliding surface (12) is shown in Fig. 1.

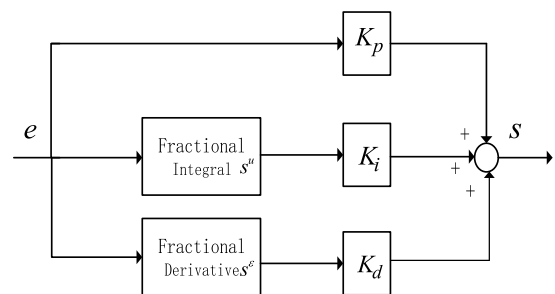


FIGURE 1. Structure diagram of traditional LFOPID sliding surface.

The following exponential reaching law (ERL) was usually chosen [38], [39]:

$$\dot{s} = -ks - \eta \text{sign}(s) \quad (13)$$

$$\text{sign}(s) = \begin{cases} 1 & s > 0 \\ 0 & s = 0 \\ -1 & s < 0 \end{cases} \quad (14)$$

where $k \in R^+, \eta \in R^+$.

According to (3), (4), (12) and (13), we can obtain:

$$K_p \dot{e} + K_i D_t^{1-u} e + K_d D_t^{\varepsilon+1} e = -ks - \eta \text{sign}(s) \quad (15)$$

Sequentially, substituting (10) into (15), then the following formula can be derived.

$$K_p(\dot{\omega}_r - \frac{3p_n\varphi_f}{2J}i_q + \frac{T_L}{J} + \frac{B}{J}\omega) + K_i D_t^{1-u}e + K_d D_t^{1+\varepsilon}e = -ks - \eta \text{sign}(s) \quad (16)$$

Afterwards, the conventional control law of SMC based on the conventional LFOPID sliding surface (12) and the ERL (13) (LFOPIDSMC) can be given as follows:

$$i_q = \frac{2J}{3p_n\varphi_f K_p} ((K_p(\dot{\omega}_r + \frac{T_L}{J} + \frac{B}{J}\omega) + K_i D_t^{1-u}e + K_d D_t^{1+\varepsilon}e + ks + \eta \text{sign}(s)) \quad (17)$$

The proposed NLFOPID sliding surface can be defined as:

$$s = K_p \text{fal}(e, \alpha, \delta) + K_i D_t^{-u}(\text{fal}(e, \alpha, \delta)) + K_d D_t^\varepsilon(\text{fal}(e, \alpha, \delta)) \quad (18)$$

where $K_p > 0, K_i > 0, K_d > 0$ and $u = \varepsilon$.

The nonlinear function $\text{fal}(x, \alpha, \delta)$ was proposed by Han [42], the nonlinear function defined as:

$$\text{fal}(x, \alpha, \delta) = \begin{cases} |x|^\alpha \text{sign}(x) & \text{if } |x| > \delta \\ \frac{x}{\delta^{1-\alpha}} & \text{if } |x| \leq \delta \end{cases} \quad (19)$$

where $0 < \delta < 1$ and $0 < \alpha < 1$.

The nonlinear function $\text{fal}(x, \alpha, \delta)$ has the following characteristics: when $0 < \alpha < 1$, the nonlinearity degree of $\text{fal}(x, \alpha, \delta)$ is influenced by the value of α , which can also help to reduce steady state error significantly; $\text{fal}(x, \alpha, \delta)$ shows that a larger error corresponds to a lower gain ($|\text{fal}(x, \alpha, \delta)| < |x|$), so the function has the characteristic of saturated error; $\text{fal}(x, \alpha, \delta)$ also shows that a small error corresponds to a higher gain ($|\text{fal}(x, \alpha, \delta)| > |x|$), so the nonlinear function $\text{fal}(x, \alpha, \delta)$ has the characteristic of fast convergence. Fig. 2 shows the corresponding function curves of the nonlinear function $\text{fal}(x, \alpha, \delta)$.

Based on the above information, a novel NLFOPID sliding surface is designed as below. Firstly, $\text{fal}(e, \alpha, \delta)$ is obtained by error signal e passes through the nonlinear function $\text{fal}(x, \alpha, \delta)$; then, the error signal e in the proportional

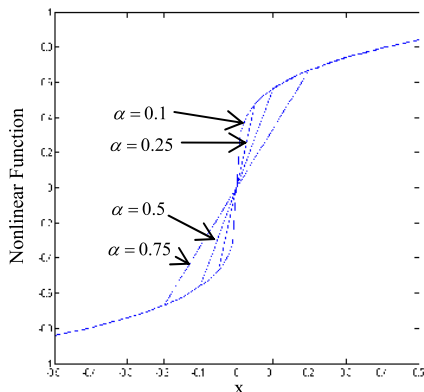


FIGURE 2. Characteristic curves of $\text{fal}(x, \alpha, \delta)$, $\delta = 0.1$, $\alpha = 0.1, 0.25, 0.5$, and 0.75 .

term, integral term and differential term of the conventional LFOPID sliding surface is replaced by the nonlinear function $\text{fal}(x, \alpha, \delta)$ in which the contradiction between the rapidity and the overshoot by linear superposition of three basic modules in the conventional LFOPID can be overcome, the shortcomings of relatively simple and rough signal processing on the traditional LFOPID sliding surface are also compensated by the nonlinear function $\text{fal}(e, \alpha, \delta)$. Fig. 3 shows the novel NLFOPID sliding surface structure diagram.

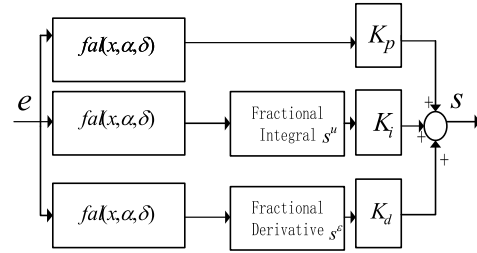


FIGURE 3. Structure diagram of the proposed NLFOPID sliding surface.

Sequentially, substituting (13) into (18), then the following can be derived.

$$\begin{cases} K_p(\alpha |e|^{\alpha-1} \dot{e}) + K_i D_t^{1-u}(\text{fal}((e, \alpha, \delta))) + K_d D_t^{\varepsilon+1}(\text{fal}((e, \alpha, \delta))) = -ks - \eta \text{sign}(s) & \text{if } |e| > \delta \\ K_p((1/\delta^{1-\alpha})\dot{e}) + K_i D_t^{1-u}(\text{fal}((e, \alpha, \delta))) + K_d D_t^{\varepsilon+1}(\text{fal}((e, \alpha, \delta))) = -ks - \eta \text{sign}(s) & \text{if } |e| \leq \delta \end{cases} \quad (20)$$

The proposed SMC strategy based on the novel NLFOPID sliding surface (18) and the ERL (13) (NLFOPIDSMC) can be given as:

$$\begin{cases} K_p(\alpha |e|^{\alpha-1} (\dot{\omega}_r - \frac{3p_n\varphi_f}{2J}i_q + \frac{T_L}{J} + \frac{B}{J}\omega)) + K_i D_t^{1-u}(\text{fal}((e, \alpha, \delta))) + K_d D_t^{\varepsilon+1}(\text{fal}((e, \alpha, \delta))) = -ks - \eta \text{sign}(s) & \text{if } |e| > \delta \\ K_p((1/\delta^{1-\alpha})(\dot{\omega}_r - \frac{3p_n\varphi_f}{2J}i_q + \frac{T_L}{J} + \frac{B}{J}\omega)) + K_i D_t^{1-u}(\text{fal}((e, \alpha, \delta))) + K_d D_t^{\varepsilon+1}(\text{fal}((e, \alpha, \delta))) = -ks - \eta \text{sign}(s) & \text{if } |e| \leq \delta \end{cases} \quad (21)$$

$$\begin{cases} i_q = \frac{2J}{3p_n\varphi_f K_p \alpha |e|^{\alpha-1}} ((K_p \alpha |e|^{\alpha-1} (\dot{\omega}_r + \frac{T_L}{J} + \frac{B}{J}\omega) + K_i D_t^{1-u}(\text{fal}(e, \alpha, \delta)) + K_d D_t^{1+\varepsilon}(\text{fal}(e, \alpha, \delta))) + ks + \eta \text{sign}(s)) & \text{if } |e| > \delta \\ i_q = \frac{2J}{3p_n\varphi_f K_p (1/\delta^{1-\alpha})} ((K_p (1/\delta^{1-\alpha})(\dot{\omega}_r + \frac{T_L}{J} + \frac{B}{J}\omega) + K_i D_t^{1-u}(\text{fal}(e, \alpha, \delta)) + K_d D_t^{1+\varepsilon}(\text{fal}(e, \alpha, \delta))) + ks + \eta \text{sign}(s)) & \text{if } |e| \leq \delta \end{cases} \quad (22)$$

In order to effectively suppress the chattering problem and improve the control performance in the reaching phase, the following adaptive super-twisting reaching law (ASTRL) is selected.

$$\begin{cases} \dot{s} = -\eta_1 |s|^{1/2} \text{sign}(s) + \eta_2 \\ \dot{\eta}_2 = -\frac{\beta}{2} \text{sign}(s) \end{cases} \quad (23)$$

where the adaptive control term is constructed as:

$$\begin{cases} \dot{\eta}_1 = \begin{cases} \gamma_1 \sqrt{\frac{\kappa_1}{2}} \text{sign}(|s| - \nu) & \text{if } \eta_1 > \hat{\eta}_1 \\ \mathfrak{R} & \text{if } \eta_1 \leq \hat{\eta}_1 \end{cases} \\ \beta = 2\theta\eta_1 \end{cases} \quad (24)$$

where $\gamma_1, \kappa_1, \nu, \theta, \mathfrak{R}$ and $\hat{\eta}_1$ are the positive coefficients.

Remark 1: $\hat{\eta}_1$ and \mathfrak{R} are arbitrary small positive coefficients, large $\gamma_1, \kappa_1, \theta$ and small ν can obtain smaller tracking error and larger convergence rate.

According to (18) and (23), we can obtain:

$$\begin{cases} K_p(\alpha |e|^{\alpha-1} \dot{e}) + K_i D_t^{1-u}(\text{fal}((e, \alpha, \delta))) \\ \quad + K_d D_t^{\varepsilon+1}(\text{fal}((e, \alpha, \delta))) \\ = -\eta_1 |s|^{1/2} \text{sign}(s) + \eta_2 & \text{if } |e| > \delta \\ K_p((1/\delta^{1-\alpha})\dot{e}) + K_i D_t^{1-u}(\text{fal}((e, \alpha, \delta))) \\ \quad + K_d D_t^{\varepsilon+1}(\text{fal}((e, \alpha, \delta))) \\ = -\eta_1 |s|^{1/2} \text{sign}(s) + \eta_2 & \text{if } |e| \leq \delta \end{cases} \quad (25)$$

Afterwards, the proposed SMC strategy based on the novel NLFOPID sliding surface (18) and the ASTRL (23), (24) (ASTNLFOPIDSMC) can be given as follows:

$$\begin{cases} K_p(\alpha |e|^{\alpha-1} (\dot{\omega}_r - \frac{3p_n\varphi_f}{2J} i_q + \frac{T_L}{J} + \frac{B}{J}\omega)) \\ \quad + K_i D_t^{1-u}(\text{fal}((e, \alpha, \delta))) \\ \quad + K_d D_t^{\varepsilon+1}(\text{fal}((e, \alpha, \delta))) \\ = -\eta_1 |s|^{1/2} \text{sign}(s) + \eta_2 & \text{if } |e| > \delta \\ K_p((1/\delta^{1-\alpha})(\dot{\omega}_r - \frac{3p_n\varphi_f}{2J} i_q + \frac{T_L}{J} + \frac{B}{J}\omega)) \\ \quad + K_i D_t^{1-u}(\text{fal}((e, \alpha, \delta))) \\ \quad + K_d D_t^{\varepsilon+1}(\text{fal}((e, \alpha, \delta))) \\ = -\eta_1 |s|^{1/2} \text{sign}(s) + \eta_2 & \text{if } |e| \leq \delta \end{cases} \quad (26)$$

$$\begin{cases} i_q = \frac{2J}{3p_n\varphi_f K_p \alpha |e|^{\alpha-1}} \\ \quad ((K_p \alpha |e|^{\alpha-1} (\dot{\omega}_r + \frac{T_L}{J} + \frac{B}{J}\omega)) \\ \quad + K_i D_t^{1-u}(\text{fal}(e, \alpha, \delta)) + K_d D_t^{1+\varepsilon}(\text{fal}(e, \alpha, \delta))) \\ \quad + \eta_1 |s|^{1/2} \text{sign}(s) - \eta_2 & \text{if } |e| > \delta \\ i_q = \frac{2J}{3p_n\varphi_f K_p (1/\delta^{1-\alpha})} \\ \quad ((K_p (1/\delta^{1-\alpha})(\dot{\omega}_r + \frac{T_L}{J} + \frac{B}{J}\omega)) \\ \quad + K_i D_t^{1-u}(\text{fal}(e, \alpha, \delta)) + K_d D_t^{1+\varepsilon}(\text{fal}(e, \alpha, \delta))) \\ \quad + \eta_1 |s|^{1/2} \text{sign}(s) - \eta_2 & \text{if } |e| \leq \delta \end{cases} \quad (27)$$

where load torque T_L is estimated by an ESO in this paper.

According to Equation (10), define $x_1 = \omega$ and $x_2 = -T_L/J$, Equation (10) can be rewritten as:

$$\begin{cases} \dot{x}_1 = x_2 - \frac{B}{J}x_1 + \frac{3p_n\varphi_f}{2J} i_q \\ \dot{x}_2 = \vartheta \end{cases} \quad (28)$$

where ϑ can be regarded as disturbance of x_2 .

The ESO is designed as follows:

$$\begin{cases} \bar{e} = Z_{21} - x_1 \\ \dot{Z}_{21} = Z_{22} - \tilde{\beta}_{01} \text{fal}(\bar{e}, \delta, \alpha) + \tilde{b}_0 i_q \\ \dot{Z}_{22} = -\tilde{\beta}_{02} \text{fal}(\bar{e}, \delta, \alpha) \end{cases} \quad (29)$$

where $\tilde{\beta}_{01}$ and $\tilde{\beta}_{02}$ are the positive gain parameters of the ESO; \tilde{b}_0 is an estimation of the compensation factor; Z_{21} and Z_{22} are used to estimate the values of x_1 and x_2 , respectively; the unknown disturbance $-T_L/J = Z_{22} - \Delta d$, and Δd is the estimation error.

Remark 2: In general, the gain parameters of the ESO are selected as $\tilde{\beta}_{01} = 2\omega_0$, $\tilde{\beta}_{02} = \omega_0^2$, which can achieve a good prediction effect, where ω_0 is the bandwidth of the ESO.

According to (29), (22) could then be expressed by the following:

$$\begin{cases} i_q = \frac{2J}{3p_n\varphi_f K_p \alpha |e|^{\alpha-1}} \\ \quad ((K_p \alpha |e|^{\alpha-1} (\dot{\omega}_r - Z_{22} + \frac{B}{J}\omega)) \\ \quad + K_i D_t^{1-u}(\text{fal}(e, \alpha, \delta)) + K_d D_t^{1+\varepsilon}(\text{fal}(e, \alpha, \delta))) \\ \quad + ks + \eta \text{sign}(s)) & \text{if } |e| > \delta \\ i_q = \frac{2J}{3p_n\varphi_f K_p (1/\delta^{1-\alpha})} \\ \quad ((K_p (1/\delta^{1-\alpha})(\dot{\omega}_r - Z_{22} + \frac{B}{J}\omega)) \\ \quad + K_i D_t^{1-u}(\text{fal}(e, \alpha, \delta)) + K_d D_t^{1+\varepsilon}(\text{fal}(e, \alpha, \delta))) \\ \quad + ks + \eta \text{sign}(s)) & \text{if } |e| \leq \delta \end{cases} \quad (30)$$

According to (29), (27) could then be expressed by the following:

$$\begin{cases} i_q = \frac{2J}{3p_n\varphi_f K_p \alpha |e|^{\alpha-1}} \\ \quad ((K_p \alpha |e|^{\alpha-1} (\dot{\omega}_r - Z_{22} + \frac{B}{J}\omega)) \\ \quad + K_i D_t^{1-u}(\text{fal}(e, \alpha, \delta)) + K_d D_t^{1+\varepsilon}(\text{fal}(e, \alpha, \delta))) \\ \quad + \eta_1 |s|^{1/2} \text{sign}(s) - \eta_2 & \text{if } |e| > \delta \\ i_q = \frac{2J}{3p_n\varphi_f K_p (1/\delta^{1-\alpha})} \\ \quad ((K_p (1/\delta^{1-\alpha})(\dot{\omega}_r - Z_{22} + \frac{B}{J}\omega)) \\ \quad + K_i D_t^{1-u}(\text{fal}(e, \alpha, \delta)) + K_d D_t^{1+\varepsilon}(\text{fal}(e, \alpha, \delta))) \\ \quad + \eta_1 |s|^{1/2} \text{sign}(s) - \eta_2 & \text{if } |e| \leq \delta \end{cases} \quad (31)$$

Compared with the traditional LFOPIDSMC (17), the ASTNLFOPIDSMC (31) proposed in this paper has

the following advantages: 1) the disadvantages by linear superposition of three basic modules in the conventional LFOPID sliding surface can be overcome by the nonlinear function $fal(e, \alpha, \delta)$ in the sliding mode phase; 2) the ASTRL can effectively solve the chattering problem of the traditional LFOPIDSMC, good control performance can be ensured by the ASTRL in the reaching phase simultaneously; 3) load torque estimated by the ESO transferred to the drive system, which can improve the ability of the system to resist external disturbance.

In this study, a novel compound control strategy is designed for the PMSM speed regulation system with $i_d = 0$ control strategy is shown in Fig. 4. The block diagrams of the NLFOPIDSMC and ASTNLFOPIDSMC schemes are shown in Fig. 4 (b), Fig. 4 (c), respectively.

B. STABILITY ANALYSIS

The stability of the proposed NLFOPIDSMC is discussed in the following theorem.

Proof: Step 1: the positive definite Lyapunov function can be constructed as:

$$V = \frac{1}{2}s^2 > 0 \tag{32}$$

Taking the first derivative of (32), we have:

$$\begin{aligned} \dot{V} &= s \cdot \dot{s} \\ &= s(K_p D_t^1 fal(e, \alpha, \delta) + K_i D_t^{1-u} fal(e, \alpha, \delta) \\ &\quad + K_d D_t^{\epsilon+1} fal(e, \alpha, \delta)) \end{aligned} \tag{33}$$

According to (19), (33) could be expressed by the following:

Then, substituting (30) into (34), as shown at the bottom of this page, it can be obtained as:

$$\begin{aligned} \dot{V} &= \begin{cases} s \left(-ks - \eta sign(s) + K_p \alpha |e|^{\alpha-1} \Delta d \right) & \text{if } |e| > \delta \\ s \left(-ks - \eta sign(s) + K_p (1/\delta^{1-\alpha}) \Delta d \right) & \text{if } |e| \leq \delta \end{cases} \\ &= \begin{cases} -ks^2 - sign(s)\eta s + K_p \alpha |e|^{\alpha-1} s \Delta d & \text{if } |e| > \delta \\ -ks^2 - sign(s)\eta s + K_p (1/\delta^{1-\alpha}) s \Delta d & \text{if } |e| \leq \delta \end{cases} \\ &= \begin{cases} -ks^2 - \eta |s| + K_p \alpha |e|^{\alpha-1} s \Delta d & \text{if } |e| > \delta \\ -ks^2 - \eta |s| + K_p (1/\delta^{1-\alpha}) s \Delta d & \text{if } |e| \leq \delta \end{cases} \end{aligned} \tag{35}$$

Assumption 1: In practice, the disturbances are bounded, so we can get the following bounded conditions:

$$\begin{cases} \Delta d \leq \Xi \\ K_p \alpha |e|^{\alpha-1} \Xi < \eta \\ K_p (1/\delta^{1-\alpha}) \Xi < \eta \end{cases} \tag{36}$$

where Ξ is the upper limit of Δd , and it is a constant.

According to Assumption 1, one can rewrite (35) in the following form:

$$\dot{V} \leq \begin{cases} -ks^2 - \eta |s| + |s| K_p \alpha |e|^{\alpha-1} \Xi < 0 & \text{if } |e| > \delta \\ -ks^2 - \eta |s| + |s| K_p (1/\delta^{1-\alpha}) \Xi < 0 & \text{if } |e| \leq \delta \end{cases} \tag{37}$$

Thus, it has been proved that with the proposed NLFOPIDSMC law, the system can reach the sliding surface at any initial state.

Step 2: When the system reaches the sliding surface, the following formula is satisfied.

$$\begin{aligned} K_p fal(e, \alpha, \delta) + K_i D_t^{-u} fal(e, \alpha, \delta) \\ + K_d D_t^\epsilon fal(e, \alpha, \delta) = 0 \end{aligned} \tag{38}$$

According to (5), Equation (38) can be taken by the Fractional derivative of order ϵ written as follows:

$$\begin{aligned} K_p D_t^\epsilon fal(e, \alpha, \delta) + K_i fal(e, \alpha, \delta) \\ + K_d D_t^\epsilon (D_t^\epsilon fal(e, \alpha, \delta)) = 0 \end{aligned} \tag{39}$$

The sliding mode dynamics also can be obtained by the Equation (40).

$$\begin{cases} D_t^\epsilon fal(e, \alpha, \delta) = \chi \\ D_t^\epsilon \chi = -\frac{K_i}{K_d} fal(e, \alpha, \delta) - \frac{K_p}{K_d} \chi \end{cases} \tag{40}$$

Equation (40) can be rewritten as a matrix equation:

$$\begin{bmatrix} D_t^\epsilon fal(e, \alpha, \delta) \\ D_t^\epsilon \chi \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -\frac{K_i}{K_d} & -\frac{K_p}{K_d} \end{bmatrix} \begin{bmatrix} fal(e, \alpha, \delta) \\ \chi \end{bmatrix} \tag{41}$$

where $K_d > 0, K_i > 0$ and $K_p > 0$.

According to (6), (7), we know that the Fractional-order system (41) is stable if the following condition (42) is satisfied.

$$\begin{cases} |\arg(\text{spec}(Z))| > \epsilon \frac{\pi}{2} \\ 0 < \epsilon \frac{\pi}{2} < \frac{1}{2} \pi \end{cases} \tag{42}$$

$$\dot{V} = \begin{cases} s \left(K_p \alpha |e|^{\alpha-1} \left(\dot{\omega}_r + \frac{B}{J} \omega - \frac{3p_n \varphi_f}{2J} i_q + \frac{T_L}{J} \right) + K_i D_t^{1-u} fal(e, \alpha, \delta) + K_d D_t^{\epsilon+1} fal(e, \alpha, \delta) \right) & \text{if } |e| > \delta \\ s \left(K_p (1/\delta^{1-\alpha}) \left(\dot{\omega}_r + \frac{B}{J} \omega - \frac{3p_n \varphi_f}{2J} i_q + \frac{T_L}{J} \right) + K_i D_t^{1-u} fal(e, \alpha, \delta) + K_d D_t^{\epsilon+1} fal(e, \alpha, \delta) \right) & \text{if } |e| \leq \delta \end{cases} \tag{34}$$

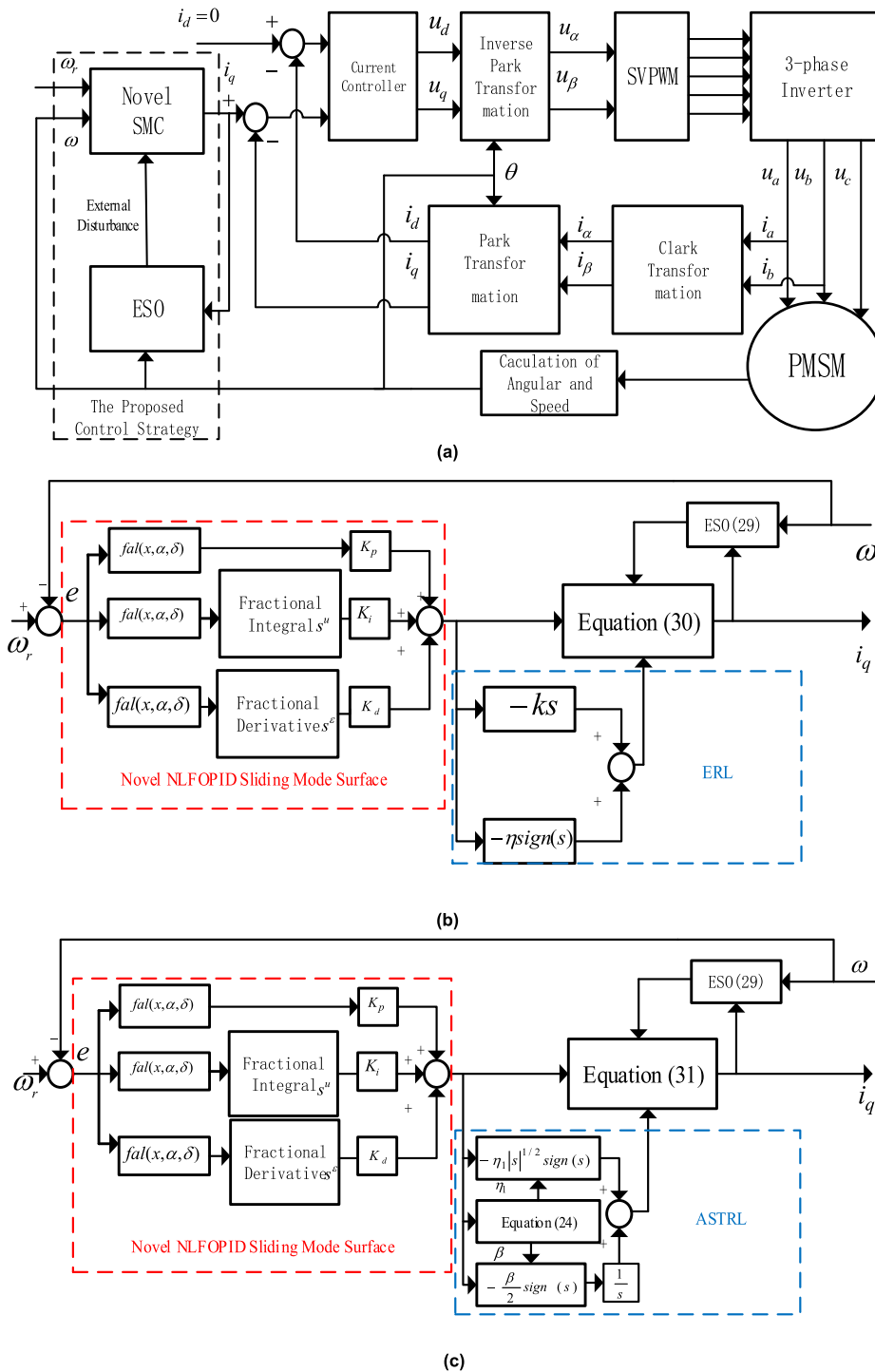


FIGURE 4. (a) The configuration of field-oriented control PMSM servo drive system based on the novel control strategy. (b) The proposed NLFOPIDSMC strategy. (c) The proposed ASTNLFOPIDSMC strategy.

where $Z = \begin{bmatrix} 0 & 1 \\ -\frac{K_i}{K_d} & -\frac{K_p}{K_d} \end{bmatrix}$, we know that the eigenvalues of matrix Z and $0 < \varepsilon < 1$ are synchronously satisfied the condition (42), then $|\arg(\text{spec}(Z))| > \varepsilon \frac{\pi}{2}$ holds, the system (41) is stable, consequently, the system (38) is also stable and its error decay towards 0 like $t^{-\varepsilon}$.

The stable domain in stable plane is shown in Fig. 5. To this end, the stable condition has been achieved.

The stability of the proposed ASTNLFOPIDSMC is discussed in the following theorem.

Proof: Step 1: when the amount of disturbances of the system is considered, then the following equation can be

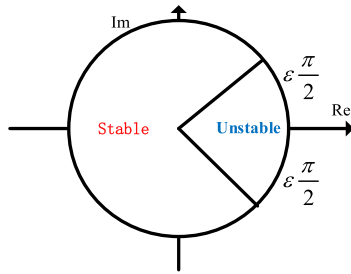


FIGURE 5. Stable domain of fractional order system.

derived:

$$\begin{cases} \dot{s} = -\eta_1 |s|^{1/2} \text{sign}(s) + \eta_2 + \Lambda \\ \Lambda = K_p \alpha |e|^{\alpha-1} \Delta d \quad \text{if } |e| > \delta \\ \Lambda = K_p (1/\delta^{1-\alpha}) \Delta d \quad \text{if } |e| \leq \delta \end{cases} \quad (43)$$

Assumption 2: The value of Λ has an upper limit, which satisfies the following condition:

$$|\Lambda| \leq \hat{\delta} |s|^{1/2} \quad (44)$$

where $\hat{\delta} > 0$.

Then a new state variable is introduced:

$$\varphi = [\varphi_1, \varphi_2]^T = [|s|^{1/2} \text{sign}(s), \eta_2]^T \quad (45)$$

According to (23), the time-derivative of φ could be expressed by the following:

$$\begin{cases} \dot{\varphi}_1 = \frac{1}{2|\varphi_1|} (-\eta_1 \varphi_1 + \varphi_2 + \Lambda) \\ \dot{\varphi}_2 = -\frac{\beta}{2|\varphi_1|} \varphi_1 \end{cases} \quad (46)$$

According to Assumption 2, Λ has the following form:

$$\Lambda = \phi(x, t) |s|^{1/2} \text{sign}(s) = \phi(x, t) \varphi_1 \quad (47)$$

where $0 < \phi(x, t) < \hat{\delta}$.

According to (46) and (47), it can be obtained as follows:

$$\begin{cases} \dot{\varphi} = \zeta \varphi \\ \zeta = \frac{1}{2|\varphi_1|} \begin{bmatrix} \phi(x, t) - \eta_1 & 1 \\ -\beta & 0 \end{bmatrix} \end{cases} \quad (48)$$

The positive definite Lyapunov function can be constructed as:

$$V = V_0 + \frac{1}{2\kappa_1} (\eta_1 - \tilde{\eta}_1)^2 + \frac{1}{2\kappa_2} (\beta - \tilde{\beta})^2 \quad (49)$$

where $\tilde{\eta}_1 > \eta_1, \tilde{\beta} > \beta > 0$ and $V_0 = \varphi^T P \varphi$, P is defined as follows:

$$P = \begin{bmatrix} \lambda + 4\theta^2 & -2\theta \\ -2\theta & 1 \end{bmatrix} \quad (50)$$

where λ is an arbitrary positive coefficient; the matrix P is positive definite symmetry, thus it is proved that the function V_0 is positive.

The first derivative of V_0 can be obtained as follows:

$$\begin{aligned} \dot{V}_0 &= \dot{\varphi}^T P \varphi + \varphi^T P \dot{\varphi} \\ &= \varphi^T (\zeta^T P + P \zeta) \varphi \\ &= -\frac{1}{2|\varphi_1|} \varphi^T \Theta \varphi \end{aligned} \quad (51)$$

where Θ is defined as follows:

$$\Theta = \begin{bmatrix} -2(\lambda + 4\theta^2)(\phi - \eta_1) - 4\theta\beta & 2\theta(\phi - \eta_1) + \beta - \lambda - 4\theta^2 \\ 2\theta(\phi - \eta_1) + \beta - \lambda - 4\theta^2 & 4\theta \end{bmatrix} \quad (52)$$

According to (24), we know $\beta = 2\theta\eta_1$, the matrix Θ will be positive definite with the minimum eigenvalue $\lambda_{\min}(\Theta) \geq 2\epsilon$ if the follow condition (53) is satisfied.

$$\eta_1 > \frac{\hat{\delta}(\lambda + 4\theta^2)}{\lambda} + \frac{(2\epsilon\hat{\delta} - \lambda - 4\theta^2)^2}{8\theta\lambda} \quad (53)$$

Sequentially, the derivation of (51) can be obtained as:

$$\dot{V}_0 \leq -\frac{\theta}{|\varphi_1|} \varphi^T \varphi = -\frac{\theta}{|\varphi_1|} \|\varphi\|^2 \quad (54)$$

For the matrix Θ with maximum eigenvalue $\lambda_{\max}(\Theta)$, it is bounded by:

$$\lambda_{\min}(P) \|\varphi\|^2 \leq \varphi^T P \varphi \leq \lambda_{\max}(P) \|\varphi\|^2 \quad (55)$$

Then, Equation (45) can be rewritten as:

$$\|\varphi\|^2 = \varphi_1^2 + \varphi_2^2 = |s| + \varphi_2^2 \quad (56)$$

and

$$|s|^{1/2} \leq \|\varphi\| \leq \frac{V_0^{1/2}}{\lambda_{\min}^{1/2}(P)} \quad (57)$$

where $\lambda_{\max}(P)$, $\lambda_{\min}(P)$ are the maximum eigenvalue of matrix P and the minimum eigenvalue of matrix P , respectively.

Therefore, we can get:

$$\dot{V}_0 \leq -\frac{\theta \lambda_{\min}^{1/2}(P)}{\lambda_{\max}(P)} V_0^{1/2} \quad (58)$$

The first derivative of V can be obtained as follows:

$$\begin{aligned} \dot{V} &= \dot{V}_0 + \frac{1}{\kappa_1} (\eta_1 - \tilde{\eta}_1) \dot{\eta}_1 + \frac{1}{\kappa_2} (\beta - \tilde{\beta}) \dot{\beta} \leq -\frac{\theta \lambda_{\min}^{1/2}(P)}{\lambda_{\max}(P)} V_0^{1/2} \\ &\quad - \frac{\gamma_1}{\sqrt{2\kappa_1}} |\eta_1 - \tilde{\eta}_1| - \frac{\gamma_2}{\sqrt{2\kappa_2}} |\beta - \tilde{\beta}| + \frac{1}{\kappa_1} (\eta_1 - \tilde{\eta}_1) \dot{\eta}_1 \\ &\quad + \frac{1}{\kappa_2} (\beta - \tilde{\beta}) \dot{\beta} + \frac{\gamma_1}{\sqrt{2\kappa_1}} |\eta_1 - \tilde{\eta}_1| + \frac{\gamma_2}{\sqrt{2\kappa_2}} |\beta - \tilde{\beta}| \end{aligned} \quad (59)$$

According to the familiar inequality $\sqrt{x^2 + y^2 + z^2} \leq |x| + |y| + |z|$, we can get:

$$\begin{aligned} \dot{V} &\leq -\tau \sqrt{V_0 + \frac{1}{2\kappa_1} (\eta_1 - \tilde{\eta}_1)^2 + \frac{1}{2\kappa_2} (\beta - \tilde{\beta})^2} \\ &\quad + \frac{1}{\kappa_1} (\eta_1 - \tilde{\eta}_1) \dot{\eta}_1 \\ &\quad + \frac{1}{\kappa_2} (\beta - \tilde{\beta}) \dot{\beta} + \frac{\gamma_1}{\sqrt{2\kappa_1}} |\eta_1 - \tilde{\eta}_1| + \frac{\gamma_2}{\sqrt{2\kappa_2}} |\beta - \tilde{\beta}| \end{aligned} \quad (60)$$

where $\tau = \min \left\{ \frac{\theta \lambda_{\min}^{1/2}(P)}{\lambda_{\max}(P)}, \gamma_1, \gamma_2 \right\}$.

According to (47), we know $\eta_1 - \tilde{\eta}_1 < 0$ and $\beta - \tilde{\beta} < 0$, let

$$\begin{cases} \hat{V} = V_0 + \frac{1}{2\kappa_1}(\eta_1 - \tilde{\eta}_1)^2 + \frac{1}{2\kappa_2}(\beta - \tilde{\beta})^2 \\ X = -|\eta_1 - \tilde{\eta}_1| \left(\frac{\dot{\eta}_1}{\kappa_1} - \frac{\gamma_1}{\sqrt{2\kappa_1}} \right) \\ - |\beta - \tilde{\beta}| \left(\frac{\dot{\beta}}{\kappa_2} - \frac{\gamma_2}{\sqrt{2\kappa_2}} \right) \end{cases} \quad (61)$$

Then, (60) can be simplified to:

$$\dot{V} \leq -\tau \hat{V}^{1/2} + X \quad (62)$$

Case I: $|s| > \nu$ and $\eta_1 > \hat{\eta}_1$.

$$\dot{\eta}_1 = \gamma_1 \sqrt{\frac{\kappa_1}{2}} \quad (63)$$

then

$$X = -|\beta - \tilde{\beta}| \left(\frac{\dot{\beta}}{\kappa_2} - \frac{\gamma_2}{\sqrt{2\kappa_2}} \right) \quad (64)$$

Sequentially, let $\theta = \frac{\gamma_2}{2\gamma_1} \sqrt{\frac{\kappa_2}{\kappa_1}}$, it can be obtained as:

$$\dot{V} \leq -\tau V^{1/2} < 0 \quad (65)$$

Case II: $|s| > \nu$ and $\eta_1 \leq \hat{\eta}_1$.

$$\dot{\eta}_1 = \mathfrak{R} \quad (66)$$

According to (24), we know the condition holds only for a short period of time, the value of η_1 will increase rapidly and satisfy condition $\eta_1 > \hat{\eta}_1$.

Case III: $|s| \leq \nu$ and $\eta_1 > \hat{\eta}_1$.

$$\dot{\eta}_1 = -\gamma_1 \sqrt{\frac{\kappa_1}{2}} \quad (67)$$

then

$$X = 2|\eta_1 - \tilde{\eta}_1| \frac{\gamma_1}{\sqrt{2\kappa_1}} + |\beta - \tilde{\beta}| \left(\frac{2\varepsilon\gamma_1}{\kappa_2} \sqrt{\frac{\kappa_1}{2}} + \frac{\gamma_2}{\sqrt{2\kappa_2}} \right) \quad (68)$$

According to (67) (68), we know that $X > 0$ and $\dot{\eta}_1 < 0$. Because of $\dot{\eta}_1 < 0$, $|s|$ will continue to increase until $|s| > \nu$. If the condition $|s| > \nu$ is satisfied, the $|s|$ will converge to 0, which means that s will be satisfied $|s| \leq \nu$ again. Therefore, it can be deduced that the sliding variable will remain in a domain $|s| \leq \nu_0$, and $\nu_0 > \nu$ in the sliding manifold.

Case IV: $|s| \leq \nu$ and $\eta_1 \leq \hat{\eta}_1$.

It has the similar procedure from the Case II with the $|s| > \nu$ and $\eta_1 \leq \hat{\eta}_1$.

In summary, it has proven that with the proposed ASTNFLFOPIDSMC law, the system can reach the sliding surface at any initial state.

Step 2: We use the similar procedure from the step 2 of the proof of the NLFOPIDSMC.

Finally, the stable condition has been achieved.

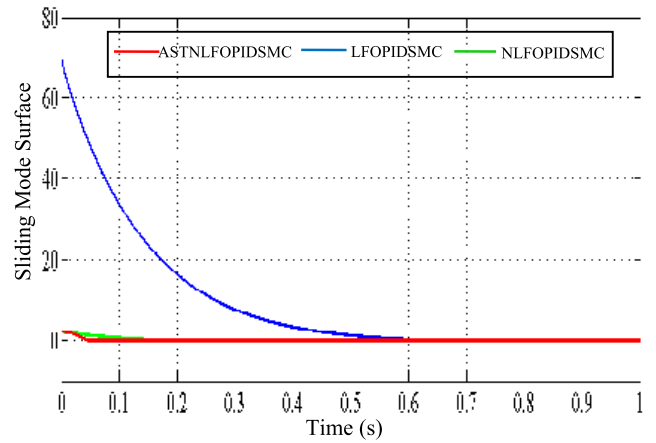


FIGURE 6. Response curves of the sliding mode functions under the traditional LFOPIDSMC, the proposed NLFOPIDSMC and the proposed ASTNFLFOPIDSMC.

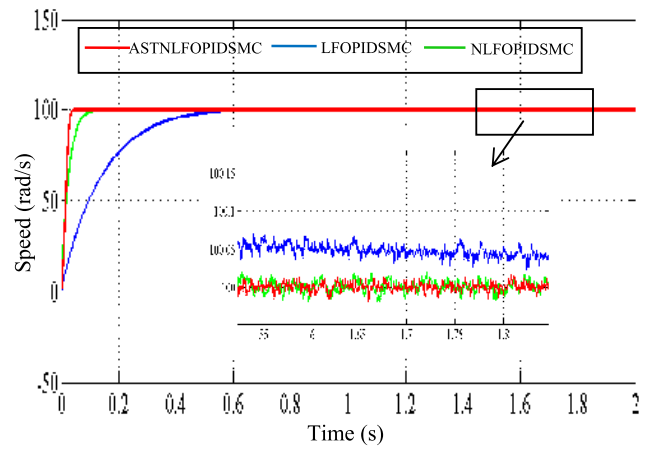


FIGURE 7. Speed response curves without load under three control strategies.

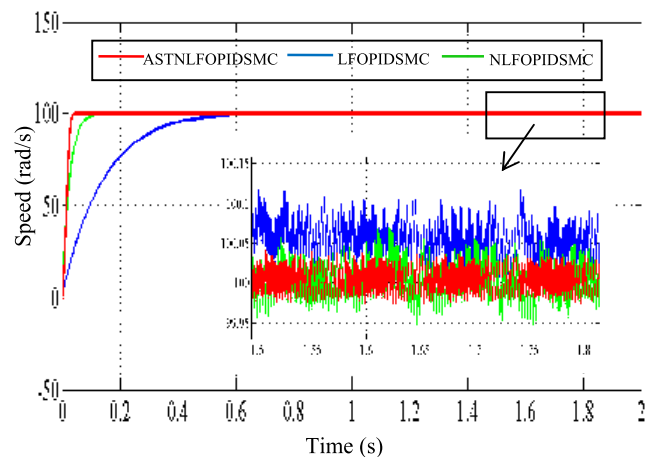


FIGURE 8. Speed response curves with $3N \cdot m$ load under three control strategies.

IV. COMPARATIVE SIMULATIONS

We simulate and validate the effectiveness and performance of the proposed approach used the PMSM verification platform based on Matlab/Simulink (R2014a).

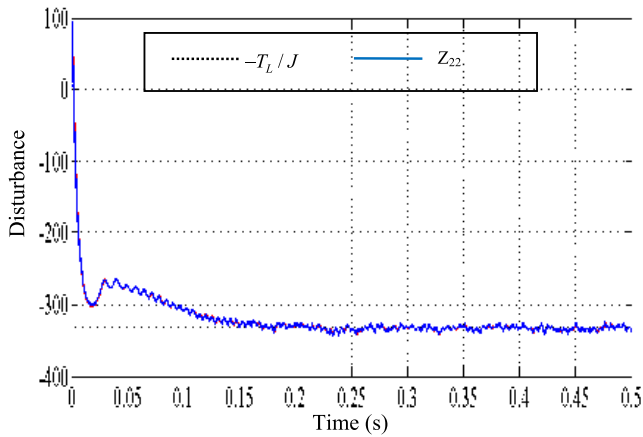


FIGURE 9. Tracking curves of disturbance under $3N \cdot m$ load based on the ESO.

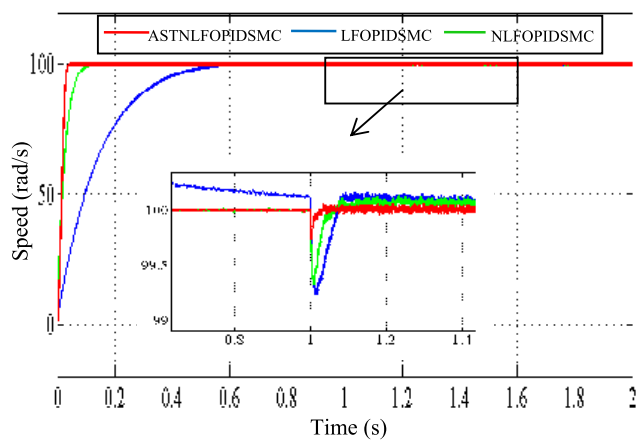


FIGURE 10. Speed response curves when the load suddenly increases.

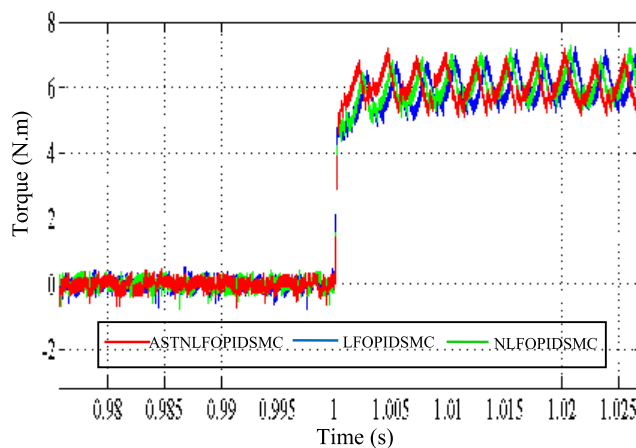


FIGURE 11. Electromagnetic torque response curves when the load suddenly increases.

The parameters of PMSM are listed as follows: $R_s = 0.958\Omega$, $L = 5.25mH$, $\varphi_f = 0.1827Wb$, $J = 0.009kg \cdot m^2$, $B = 0.008(Nm \cdot s) / rad$, $p_n = 4$. The key parameters of the conventional LFOPIDSMC are listed as follows: $K_p = 0.1$, $K_i = 0.3$, $K_d = 0.3$, $\varepsilon = 0.01$, $u = 0.01$, $k = 7$ and $\eta = 6$. The key parameters of the NLFOPIDSMC are listed

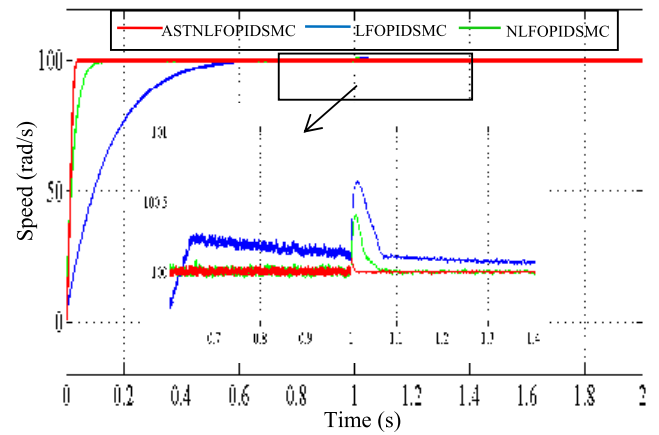


FIGURE 12. Speed response curves when the load suddenly decrease.

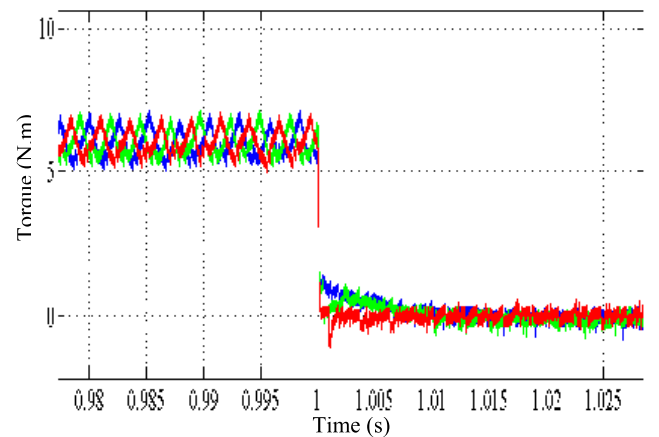


FIGURE 13. Electromagnetic torque response curves when the load suddenly decrease.

TABLE 1. Comparative results without load start-up.

Control Strategy	Reference Speed	Adjustment Time
LFOPIDSMC	100 rad/s	0.56 s
NLFOPIDSMC	100 rad/s	0.08 s
ASTNFLFOPIDSMC	100 rad/s	0.04 s

as follows: $K_p = 0.1$, $K_i = 0.3$, $K_d = 0.3$, $\varepsilon = 0.01$, $u = 0.01$, $\delta = 0.1$, $\alpha = 0.25$, $k = 7$ and $\eta = 6$. The key parameters of the ASTNFLFOPIDSMC are listed as follows: $K_p = 0.1$, $K_i = 0.3$, $K_d = 0.3$, $\varepsilon = 0.01$, $u = 0.01$, $\delta = 0.1$, $\alpha = 0.25$, $v = 0.05$, $\theta = 15$, $\gamma_1 = 10$, $\kappa_1 = 25$, $\hat{\eta}_1 = 0.01$, $\mathfrak{R} = 0.01$. Parameters of current loop PI controller are listed as follows: $\tilde{K}_p = 1100L$, $\tilde{K}_i = 1100R_s$. The parameters of the conventional ESO and novel ESO are listed as follows: $\omega_0 = 100$, $\tilde{\beta}_{01} = 200$, $\tilde{\beta}_{02} = 10000$, $\tilde{b}_0 = 121$, $\delta = 0.1$, $\alpha = 0.25$. The simulation results are shown in Figs. 6-13 and Tabs. 1-4.

Case I: sliding mode surface response comparison.

For the sake of simulation, a speed reference of 100 rad/s is given at 0 s, the response curves of the sliding mode

TABLE 2. Comparative results with load start-up.

Control Strategy	Reference Speed	Adjustment Time
LFOPIDSMC	100 rad/s	0.58 s
NLFOPIDSMC	100 rad/s	0.11 s
ASTNLFOPIDSMC	100 rad/s	0.05 s

TABLE 3. Comparative results of three control methods for sudden change of load from 0 N · m to 6 N · m.

Control Strategy	Speed Recovery Time	Speed Overshoot Amount	Torque Setting Time
LFOPIDSMC	0.073 s	0.79%	0.0035 s
NLFOPIDSMC	0.053 s	0.67%	0.0031 s
ASTNLFOPIDSMC	0.032 s	0.23%	0.0022 s

TABLE 4. Comparative results of three control methods for sudden change of load from 6 N · m to 0 N · m.

Control Strategy	Speed Recovery Time	Speed Overshoot Amount	Torque Setting Time
LFOPIDSMC	0.065 s	0.56%	0.0074 s
NLFOPIDSMC	0.057 s	0.42%	0.0065 s
ASTNLFOPIDSMC	0.015 s	0.12%	0.0024 s

surfaces under the proposed ASTNLFOPIDSMC, the proposed NLFOPIDSMC and the conventional LFOPIDSMC strategies are shown in Fig. 6.

Fig. 6 clearly demonstrates the time response curve of the proposed NLFOPID sliding surface is closer to $s = 0$ than the time response curve of the traditional LFOPID sliding surface. Furthermore, it is clear that the time to arrive at $s = 0$ under the proposed ASTNLFOPIDSMC strategy is shorter than those of the NLFOPIDSMC strategy and the traditional LFOPIDSMC strategy.

Case II: step response comparison.

A speed reference of 100 rad/s is given for 2 seconds. Fig. 7 reveals the speed response curves of ω without external load. While Fig. 8 reveals the speed response curves of ω with $3N \cdot m$ external load, and Fig. 9 reveals the estimated disturbance of the ESO. Finally, Tab. 1 and Tab. 2 show

the speed adjustment time without and with load of the motor under the three control strategies, respectively. The following information can be obtained from Figs. 7-9, and Tabs. 1-2.

1) Speed response: the comparison of the speed response time is: “LFOPIDSMC > NLFOPIDSMC > ASTNLFOPIDSMC”. It obviously shows that the ASTNLFOPIDSMC strategy has better dynamic performance.

2) Tracking error: when the system enters steady state, the tracking error of traditional LFOPIDSMC control method is larger than those of two novel control methods based on the novel NLFOPID sliding mode surface.

3) Chattering phenomenon: the chattering phenomenon of the LFOPIDSMC and the NLFOPIDSMC strategies are significantly larger than that of the ASTNLFOPIDSMC strategy.

4) ESO can estimate external disturbance accurately and timely.

The simulation results obviously demonstrate that the proposed ASTNLFOPIDSMC strategy exhibits not only improves system static and dynamic performance but also reduces chattering phenomenon effectively.

Case III: robustness performance comparison.

The following simulation compares the anti-interference capability of the three strategies under the external disturbance. A speed reference of 100 rad/s is given at 0 s, the external load torque T_L changes from $0N \cdot m$ to $6N \cdot m$ and from $6N \cdot m$ to $0N \cdot m$ at 1s, respectively. From Figs. 10-13, the comparisons of control performance are given when the external load disturbance is applied on the motor under the three control strategies. It can be seen from Figs. 10-13 that the three methods have different levels of impact caused by the external load disturbances. As can be seen from Fig. 10 and Fig. 12, the speed disturbance rejection recovery time of the ASTNLFOPIDSMC is significantly shorter than those of the LFOPIDSMC and the NLFOPIDSMC, and the speed fluctuation of the ASTNLFOPIDSMC is also smaller than those of the LFOPIDSMC and the NLFOPIDSMC. Fig. 11 and Fig. 13 reveal the electromagnetic torque curve under the three control strategies. According to the comparison results, we can clearly see that the proposed ASTNLFOPIDSMC has faster adjusting time of electromagnetic torque than those of the other control strategies. In order to better compare the anti-disturbance ability of the three control strategies, speed recovery time, speed overshoot amount and torque setting time are shown in Tabs. 3-4. As shown in the Tabs. 3-4, the performance indicators of the control system when the load suddenly increases and decreases, respectively. Evidently, the comparison results confirm that the proposed ASTNLFOPIDSMC controller exhibits better robustness against external disturbances than other control methods.

In summary, the comparative results have clearly demonstrated that the proposed ASTFOPIDSMC strategy not only achieves better control performance with good stability and dynamic properties, but also it has very strong robustness.

V. CONCLUSION AND FUTURE WORK

A novel ESO based ASTNLFOPIDSMC strategy for the speed operation of PMSM is successfully proposed. First of all, we propose a novel NLFOPID sliding mode surface with nonlinear proportion term, nonlinear integral term and nonlinear differential term; secondly, a novel ASTNLFOPIDSMC strategy is constructed by the ASTRL and the novel NLFOPID sliding surface; and then, ESO is designed to estimate the value of the external disturbance; then, a novel compound control strategy based on the ESO and the ASTNLFOPIDSMC for the speed operation of PMSM is proposed; finally, comparison results show that the proposed control strategy not only achieves good stability and dynamic performance, but is also robust to external disturbance.

The application of the novel NLFOPID sliding surface and the ASTRL leads to an increase in the parameters of the proposed ASTNLFOPIDSMC strategy, thus increasing the complexity of the proposed ASTNLFOPIDSMC strategy. For future work, we will use an optimization algorithm to complete the selection of the parameters of the proposed ASTNLFOPIDSMC strategy, which will further improve the performance of the proposed ASTNLFOPIDSMC strategy.

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