

1                   **An Automated Method for Depicting**  
2                   **Mesocyclone Paths and Intensities**

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## Abstract

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42 The location and intensity of mesocyclone circulations can be tracked in real-time by  
43 accumulating azimuthal shear values over time at every location of a uniform spatial grid.  
44 Azimuthal shear at low (0-3 km AGL) and mid levels (3-6 km AGL) of the atmosphere is  
45 computed in a noise-tolerant manner by fitting the Doppler velocity observations in the  
46 neighborhood of a pulse volume to a plane and finding the slope of that plane. Rotation  
47 tracks created in this manner are contaminated by non-meteorological signatures caused  
48 by poor velocity dealiasing, ground clutter, radar test patterns and spurious shear values.  
49 In order to improve the quality of these fields for real-time use and for an accumulated  
50 multi-year climatology, new dealiasing strategies, data thresholding, and Multiple  
51 Hypothesis Tracking (MHT) techniques have been implemented. These techniques  
52 remove nearly all non-meteorological contaminants resulting in much clearer rotation  
53 tracks that appear to match mesocyclone paths and intensities closely.

## 54 1. Introduction

### 55 *a. Motivation*

56 To depict the paths and intensities of mesocyclone circulations as seen by radar,  
57 the National Severe Storms Laboratory (NSSL) creates products called “rotation tracks”.  
58 These rotation track fields are created by calculating the azimuthal shear fields (the  
59 azimuthal derivative of radial velocity) for each radar, merging the azimuthal shear data  
60 from multiple radars onto Cartesian grids and then accumulating the maximum values in  
61 those gridded fields over time onto one accumulated grid. An example is shown in Figure  
62 1.

63 These tracks can help alleviate some of the difficulty in interpreting and analyzing  
64 velocity fields. They can provide information about the spatial extent and strength of  
65 mesocyclone signatures over time and can be quite useful for conducting poststorm  
66 damage surveys. The American Red Cross of Central Oklahoma uses NSSL rotation  
67 track products plotted on maps to determine where to deliver assistance after tornado  
68 events and the best routes to get there. After the 24 May 2011 tornado outbreak in Central  
69 Oklahoma, the use of rotation tracks helped to significantly reduce the disaster  
70 assessment time (NOAA/NSSL 2011). These fields are also useful in real-time as  
71 guidance for forecasters and have enormous data mining potential. However, they are  
72 plagued by non-meteorological signatures caused by poor velocity dealiasing, ground  
73 clutter, radar test patterns, and spurious shear values. As seen in Figure 2, these artifacts  
74 can make the tracks difficult, if not impossible, to interpret meaningfully.

75 One of the goals of the Multi-Year Reanalysis of Remotely Sensed Storms  
76 (MYRORSS) project, a cooperative effort between National Oceanic and Atmospheric

77 Administration's (NOAA) NSSL and the National Climatic Data Center (NCDC), is to  
78 create a CONUS-wide climatology of low and mid level rotation track fields for the  
79 lifetime of the Weather Surveillance Radar 1988-Doppler (WSR-88D) network.

80 Cintineo et al. (2011) developed an automated system to process Level-II radar  
81 data (Crum and Alberty 1993) at NSSL using a multiple-machine framework and the  
82 Warning Decision Support System – Integrated Information (WDSS-II; Lakshmanan et  
83 al. 2007b) suite of programs to process and quality control the data. A preliminary hail  
84 climatology using Maximum Estimated Size of Hail (MESH) grids was also created.  
85 Here, we extend the processing to velocity-based products, specifically to azimuthal  
86 shear accumulations.

87 The CONUS-wide rotation track climatology will provide an incredibly rich  
88 dataset with numerous potential climatological and severe weather applications. Track  
89 lengths, intensities, and other characteristics could be analyzed by geographical region  
90 and time of year. Potential relationships could also be discovered between rotation tracks  
91 and environmental parameters like convective available potential energy (CAPE) and  
92 storm-relative helicity (SRH). After correlating maximum azimuthal shear and maximum  
93 updraft helicity, rotation tracks could also be used as verification for high-resolution  
94 model-simulated maximum updraft helicity tracks like the ones discussed by Kain et al.  
95 (2010) and Clark et al. (2012).

96 The purpose of this paper is to discuss the special velocity dealiasing techniques,  
97 data thresholds, and Multiple Hypothesis Tracking (MHT) techniques developed to  
98 isolate the rotation tracks in real-time and for the MYRORSS climatology. Detailed

99 explanations of each quality control effort will be given and the specific impacts of each  
100 step will be shown in example cases.

101 *b. Background*

102 Modern Doppler radars have the ability to provide high resolution space and time  
103 measurements of storms that allow for the detection of mesocyclone-scale circulations.  
104 Couplets in radial velocity fields as well as hook echo signatures in reflectivity fields  
105 have been used to identify these circulations in the past, but methods of identifying  
106 mesocyclone or tornado circulations reliant solely on hook echo signatures have proven  
107 unreliable (Forbes 1981; Mitchell et al. 1998). Methods using radial velocity signatures  
108 such as the Tornado Detection Algorithm (TDA; Mitchell et. al 1998) and the NSSL  
109 Mesocyclone Detection Algorithm (MDA; Stumpf et. al 1998) have been more  
110 successful.

111 The TDA currently used with the WSR-88D system relies on high “gate-to-gate  
112 velocity difference” values to identify potentially tornadic circulations (Mitchell et al.  
113 1998). Although termed a tornado detector, the algorithm identifies tornadic vortex  
114 signatures (which may or may not be associated with tornadoes) that are typically larger  
115 than a tornado owing to radar sampling resolution (e.g., Brown et al. 1978). The gate-to-  
116 gate difference represents the difference between velocity values at constant range from  
117 the radar between adjacent azimuths. These values can be affected adversely by the  
118 azimuthal offset of the radar beam center from the vortex, noisy data, and velocity  
119 aliasing (Wood and Brown 1997). Additionally, because the radar beam is much broader  
120 at far ranges than when near the radar, observed velocity peaks within vortices decrease  
121 in magnitude, allowing some vortices to be overlooked by the algorithm.

122 Liu et al. (2007) proposed a wavelet analysis technique to help mitigate these  
 123 issues. The method examines region-to-region radial wind shears at a number of different  
 124 spatial scales to more accurately determine the amount of shear present, reducing the  
 125 number of false tornado detections.

126 Rather than using the gate-to-gate velocity difference, “peak-to-peak” methods of  
 127 calculating rotational shear from Doppler radial velocity data or the wavelet analysis  
 128 technique to detect a vortex, a two-dimensional, *local, linear least squares derivatives*  
 129 (*LLSD*) *method* can be used to reduce the impact of noise. Elmore et al. (1994) proposed  
 130 this method of estimating the derivatives of radial velocity values by fitting a plane to the  
 131 velocity field and finding its slope. The vertical vorticity field is estimated by the  
 132 azimuthal derivative of the radial velocity field and is given by

$$\frac{\partial V_r}{\partial s} = \frac{\sum s_{ij} V_{ij} \omega_{ij}}{\sum (\Delta s_{ij})^2 \omega_{ij}} \quad (1)$$

133 where  $V_r$  is the radial velocity,  $s$  is the coordinate in the azimuthal direction,  $s_{ij}$  is the arc  
 134 length from the center point of the calculation to the point  $(i, j)$ ,  $V_{ij}$  is the radial velocity  
 135 at point  $(i, j)$ , and  $\Delta s_{ij}$  is the beam width at a given range.  $\omega_{ij}$  is a positive weight  
 136 function that we set to 1 after determining that Cressman weight functions, among others,  
 137 generated very little differences. The coordinate  $i$  is in the radial direction and  $j$  is in the  
 138 azimuthal direction. The summation is performed over range gates in the neighborhood  
 139 of the starting point of the calculation. This calculation of azimuthal shear is, here on,  
 140 referred to as the LLSD method.

141 Smith and Elmore (2004) applied the LLSD calculation to simulated and observed  
 142 circulations by first passing the velocity data through a 3x3 median filter (Lakshmanan

143 2012) to reduce speckle noise and then applying Eq.1 to the filtered velocity data to  
144 estimate the azimuthal shear. The physical size of the neighborhood used in the  
145 calculation is held constant such that fewer radials are used in the calculation at far  
146 ranges from the radar. Typical sizes of radial and azimuthal neighborhoods are 750 m and  
147 2500 m, respectively.

148         The LLSD calculation helps to remove some of the dependence on radar location  
149 involved in rotation detection and also allows circulation signatures to be viewed in  
150 three-dimensional space or as input to multi-sensor applications. It was shown by Smith  
151 and Elmore (2004) that LLSD shear values were reasonable estimations of actual shear  
152 values in simulated Rankine vortices when sampled by a theoretical WSR-88D radar  
153 (Brown et al. 2002) out to a range of ~140 km. The variance of these values was also  
154 much smaller compared to peak-to-peak shear.

155         The use of the median filter in the LLSD shear technique can be a disadvantage,  
156 however. Whereas areas with large velocity gradients are preserved, this filter can also  
157 smooth out peaks in the velocity field. Although the median filter is beneficial when  
158 these peaks are associated with noisy data, the filter decreases the magnitude of peak  
159 velocities in mesocyclone signatures and completely eliminates tornado signatures in  
160 nearly all cases. For this reason, LLSD shear values may underestimate the actual  
161 azimuthal shear of circulations, especially for small circulations (Mitchell and Elmore  
162 1998).

163         Defining a neighborhood size in the LLSD technique also forces a trade-off  
164 between spatial resolution and noise resistance. Smaller neighborhoods are more strongly  
165 affected by noise, whereas larger neighborhoods tend to underestimate actual shear

166 values of circulations. The spatial scale of the LLSD calculation makes it most useful for  
167 detecting large mesocyclone-scale circulations, however, the increased noise resistance  
168 makes identification of small circulations more difficult.

169         Rotation tracks help to visualize the movement of mesocyclone circulations (or  
170 occasionally circulations associated with nearby large tornadoes) over time as seen by  
171 radar. To produce these tracks, radial velocity data are first dealiased using the default  
172 WSR-88D dealiasing algorithm (Eilts and Smith 1990). Next, a quality control neural  
173 network (Lakshmanan et al. 2007a) is used to remove echoes in the reflectivity field  
174 produced by biological targets, anomalous propagation, ground clutter, and test or  
175 interference patterns. The algorithm successfully removes nearly all non-meteorological  
176 signatures from the Reflectivity fields examined.

177         This quality controlled reflectivity field, hereafter ReflectivityQC, and the radial  
178 velocity field are then employed by the shear estimation algorithm of Smith and Elmore  
179 (2004) to compute the azimuthal shear (see Fig. 3). Two-dimensional maximum  
180 azimuthal shear fields within low (0-3 km) and mid (3-6 km) level layers above ground  
181 level (AGL) are also calculated using digital elevation model (DEM) data to determine  
182 the height of each point above the ground.

183         These single-radar 2-D maximum azimuthal shear fields are then merged into a  
184 Cartesian multi-radar grid using the intelligent agent formulation of Lakshmanan et al.  
185 (2006), accounting for varying radar beam geometry with range, vertical gaps between  
186 radar scans, and other issues. The maximum value of each pixel in the merged multi-  
187 radar grid over a time interval (typically 60 -120 minutes) is then used to produce the  
188 swaths of merged maximum azimuthal shear known as rotation tracks. Missing data in



189 the rotation track fields (denoted by “MD” in the color bar) corresponds to any data  
 190 below the signal to noise threshold for the radar. Ideally, this should be shown as zero  
 191 shear, not missing data. Figure 4 provides a flow chart showing the algorithms and fields  
 192 used to create rotation tracks.

## 193 2. Methods

### 194 *a. Two-dimensional velocity dealiasing*

195 Due to the relationship between radar wave length ( $\lambda$ ) and the pulse repetition  
 196 frequency ( $F$ ), a radar correctly measures the radial velocity given that it is in the range  
 197 of  $\pm V_N$ , where

$$V_N = \frac{\lambda F}{4}. \quad (2)$$

198 Here,  $V_N$  is the Nyquist velocity and the true velocity is

$$V = V_m \pm 2nV_N \quad (3)$$

199 where  $V_m$  is the measured velocity,  $n$  is an unknown integer including zero, and  $V_m$  must  
 200 satisfy  $-V_N \leq V_m \leq V_N$ . Velocity dealiasing is the process of determining the correct  
 201 value of  $n$  to successfully recover  $V$ . In the cases when  $V$  cannot be successfully  
 202 recovered, the velocity is still aliased and can usually be identified in radial velocity  
 203 fields by abrupt changes in values between neighboring measurements. Most first  
 204 generation dealiasing algorithms (e.g. Ray and Ziegler 1977, Bargaen and Brown 1980)  
 205 were one-dimensional and detected abrupt changes between single radials. For this  
 206 reason, they were quite sensitive to noisy, incorrect data. Strong shear zones in these  
 207 radial velocity fields sometimes cannot be dealiased without data from multiple

208 dimensions. Merritt (1984), Boren et al. (1986), and Bergen and Albers (1988) took more  
209 sophisticated approaches and used velocity data in two dimensions to dealias. These  
210 methods were costly in terms of computation time, however.

211         The local environment dealiasing (LED) algorithm (Eilts and Smith 1990) is the  
212 method currently used for WSR-88D data in real-time. The scheme applies radial  
213 continuity constraints to remove local aliasing errors and azimuthal continuity checks to  
214 mitigate error. It also incorporates radial averages to determine  $n$  (see Eq. 3) when  
215 continuity thresholds are not met. Each radial is processed individually and compared  
216 against the previously dealiased radials, allowing the algorithm to use less memory and  
217 process faster than other two-dimensional algorithms. It can also ingest a vertical wind  
218 profile from an environmental sounding to produce initial values for each elevation scan  
219 and for isolated echoes. It is an efficient algorithm that begins by using simple checks and  
220 only moves on to more sophisticated techniques if needed. This approach performed very  
221 well on the cases of severe aliasing presented in Eilts and Smith (1990), but performed  
222 poorly when qualitatively examined in many of the tornadic cases examined for this  
223 study. Ingesting the vertical wind field from a 20-km Rapid Update Cycle model (RUC;  
224 Benjamin et al. 2004) point sounding at each radar site to use as an environmental  
225 estimate into the LED algorithm improved the dealiasing to some extent.

226         For this study, a sophisticated two-dimensional dealiasing technique described by  
227 Jing and Wiener (1993) was implemented. The algorithm solves a linear system of  
228 equations that minimizes gate-to-gate shear in each isolated two-dimensional region.  
229 Through using aliasing-induced discontinuity information, the correction values for all  
230 gates are found by solving a two-dimensional least-mean-squares problem. Instead of

231 making dealiasing decisions for each gate based on its neighbors, which can be subject to  
232 scattered incorrect data, this approach avoids local expansion of errors by attempting to  
233 find all dealiased values for a given dataset. Vertical profiles of horizontal wind data  
234 from the 20-km RUC point soundings were used as environmental wind estimates at the  
235 grid-point nearest to each radar site. The calculated average is minimized by  
236 incrementing  $n$  equally over the entire echo. The average local wind observed by radar  
237 is assumed to be less than  $V_n$ .

238         A smooth environmental wind field with weak shear is assumed. This can be a  
239 poor assumption in isolated areas of strong wind shear associated with mesocyclones or  
240 microbursts. In these cases, relatively short falsely aliased border segments are detected  
241 and can typically be used to dealias the field correctly. In more elongated regions of  
242 shear associated with strong gust fronts, for example, incorrect dealiasing is more likely.  
243 Example dealiased radial velocity fields and their corresponding azimuthal shear fields  
244 using both the LED and Jing and Weiner (1993) methods are shown in Fig. 3.  
245 Preliminary results from a study now underway to determine which velocity dealiasing  
246 method performs best on a set of case studies indicate that this two-dimensional  
247 technique is more accurate than the LED technique.

#### 248         *b. Reflectivity quality control*

249         As mentioned in the introduction, the quality control neural network  
250 (Lakshmanan et al. 2007b, Lakshmanan et al. 2010) is used to remove non-  
251 meteorological echoes from the reflectivity field. The algorithm combines various  
252 measures from both past literature (e.g. Steiner and Smith 2002, Kessinger et al. 2003,  
253 Fulton et al. 1998) and new measures to discriminate between precipitating and

254 nonprecipitating echoes in the reflectivity data. A region-by-region classification is  
255 performed rather than a gate-by-gate basis. In addition, clear air echoes due to biological  
256 contamination are identified and removed using a two-stage intelligent machine  
257 algorithm while retaining echoes that correspond to precipitation (Lakshmanan et al.  
258 2010).

259 *c. Additions to LLSD shear algorithm*

260 In addition to calculating the azimuthal shear fields as described earlier in this  
261 paper, extra operations have been added to the LLSD algorithm. These additions are  
262 discussed in the following sections.

263 1) AZIMUTHAL SHEAR RANGE CORRECTION

264 A new azimuthal shear range-correction (Newman et al. 2012) algorithm is  
265 applied to the field in an effort to correct for range degradation due to radar beam  
266 widening. A multiple linear regression technique was used to create the equations based  
267 on comparisons between observed shear values and those computed using simulated  
268 Rankine vortices. First, the algorithm identifies significant circulations using reflectivity  
269 and LLSD shear criteria. To avoid applying the range-correction to regions of noise in the  
270 shear fields co-located with low reflectivity values, only circulations in regions with  
271 reflectivity values greater than 20 dBZ and LLSD shear values exceeding  $0.005 \text{ s}^{-1}$  are  
272 identified. The peak-to-peak velocity differences and shear diameters of circulations  
273 satisfying the reflectivity and shear criteria are calculated next. A median filter is applied  
274 to the shear diameter field to provide potentially more accurate estimates of circulation  
275 size when circulations are larger than tornadic vortex signatures (TVSs; Brown, Lemon,

276 and Burgess 1978). Then, new azimuthal shear values for each pixel in the circulations  
277 are calculated by inserting the associated shear diameter, maximum velocity measured,  
278 and range values into the appropriate regression equations. Newman et al. (2012) found  
279 that the algorithm increased tornadic shear values appropriately and aided in the  
280 differentiation between tornadic and nontornadic scans.

## 281 2) DATA THRESHOLDS AND REMOVAL

282 Significant vertical shear near the surface can cause false high azimuthal shear  
283 values very close to the radar. To prevent these high values from corrupting the multi-  
284 year climatology, azimuthal shear values within a 5-km radius of each radar site are set to  
285 ‘missing’. While this will remove some ‘good’ data, it will also remove a great deal of  
286 anomalously high azimuthal shear values that could corrupt the climatology. An example  
287 is shown in Figure 5. This near-radar data removal is not applied to the rotation tracks in  
288 this paper, nor will it be used in generation of rotation tracks in real-time. It is used only  
289 in the climatology to avoid accumulations at areas where the azimuthal shear values are  
290 known to be poor.

291 When processing the two-dimensional maximum azimuthal shear fields, all shear  
292 data not co-located with a given ReflectivityQC value are removed so that only shear data  
293 associated with storm regions are retained. In order to retain meteorologically significant  
294 shear data in low reflectivity hook echo regions, a 5x5 dilation filter (Lakshmanan 2012)  
295 is applied to the ReflectivityQC field. This operation assigns the maximum reflectivity  
296 value in a 5x5 moving window to each pixel, effectively expanding the areas of high  
297 reflectivity values. The threshold operation is then performed on the dilated  
298 ReflectivityQC field to help remove azimuthal shear associated with interference

299 patterns, anomalous propagation, and other radar-related issues not successfully removed  
300 by the radar reflectivity quality control neural network. Setting this threshold at 40 dBZ  
301 retained the meteorological rotation signatures in the azimuthal shear fields while  
302 removing a great deal of shear co-located with non-meteorological signatures (see Figure  
303 6).

#### 304 *d. Creation of rotation tracks*

305 To better isolate the rotation tracks in the accumulated grid, new quality control  
306 strategies have been implemented on the input two-dimensional maximum azimuthal  
307 shear fields for both low and mid levels of the atmosphere. Clusters of high azimuthal  
308 shear values in each time step are tested and removed if their sizes and/or data value  
309 distributions are not indicative of meteorological phenomena. If these remaining clusters  
310 in each time step are associated with lasting circulations, they make it into the final  
311 rotation track products.

#### 312 1) HYSTERESIS SEGMENTATION

313 Before the circulation signatures in the two-dimensional maximum azimuthal  
314 shear fields can be associated between time steps, they are isolated into *clusters* of high  
315 shear values using hysteresis segmentation. The term hysteresis (Jain 1989) refers to the  
316 lag observed between the application of an electromagnetic field and its subsequent effect  
317 on a substance. In image processing, the term refers to the lagging effect caused by using  
318 two thresholds – one to begin the thresholding process and another to end it. In this  
319 application, two data thresholds are maintained and a cluster is composed of contiguous  
320 pixels with values greater than the lower data threshold that contains at least one pixel

321 with a data value greater than the higher threshold. The higher threshold identifies areas  
322 of high azimuthal shear associated with strong circulation and the lower hysteresis  
323 threshold grows the region around the high value to include all pixels associated with the  
324 circulation (see Figure 7). Through experimentation on numerous tornadic and  
325 nontornadic case studies, it was determined that low and high data thresholds of  $0.002 \text{ s}^{-1}$   
326 and  $0.005 \text{ s}^{-1}$ , respectively, and a minimum size of 25 pixels performed well for isolating  
327 clusters of high azimuthal shear.

328 All pixels in the maximum 2-D azimuthal shear layer fields not associated with  
329 identified clusters are then removed so that only the azimuthal shear clusters are  
330 accumulated over time to produce rotation tracks. This eliminates the low background  
331 azimuthal shear values not associated with circulations, making the tracks themselves  
332 more isolated, as seen in Figure 8.

## 333 2) MULTIPLE HYPOTHESIS TRACKING

334 Typically, clusters of high azimuthal shear values associated with mesocyclones  
335 persist through many time steps, whereas clusters associated with remaining non-  
336 meteorological signatures typically only appear sporadically. To isolate the  
337 meteorological rotation tracks, these non-meteorological shear clusters need to be  
338 removed from the accumulated fields. Multiple Hypothesis Tracking (MHT) (Cox and  
339 Hingorani 1996) techniques are used to isolate the continuous tracks of azimuthal shear  
340 clusters associated with storms and remove the short-lived non-meteorological azimuthal  
341 shear clusters.

342 MHT techniques have been used in the fields of video processing and military  
343 target tracking for years and recently have been adopted by the meteorology community

344 (e.g. Root et al. 2011). The technique attempts to associate objects, in this case azimuthal  
345 shear clusters, throughout time. It is innovative as it considers time associations globally  
346 and makes association decisions that can be deferred until additional information is  
347 available. If the algorithm is not certain whether an existing track should be associated  
348 with cluster A or cluster B in the current time step, for example, it can create two  
349 hypotheses (see Figure 9). Both possibilities are then propagated forward in time to when  
350 enough information should be available to determine which hypothesis most likely. The  
351 clusters that do not meet the minimum longevity threshold (two time steps or roughly 10  
352 minutes) are then retroactively pruned so that they are not admitted into the rotation track  
353 fields.

354 An association cost matrix is constructed so an entry,  $d_{i,j}$ , indicates the cost of  
355 matching cluster  $i$  at one time step with cluster  $j$  at the next time step. Each association  
356 has a computed cost based on cluster sizes, ages, proximities to clusters from previous  
357 time steps and other characteristics. The associations with the lowest cost are made.  
358 Enumeration of all the hypothesis matrices to find the lowest costs can increase  
359 exponentially with each time step, so a technique based on Murty (1968) is used to prune  
360 the set to retain only the k-best associations at each time step. The algorithm is illustrated  
361 in Figure 10. For more details and to see quantitative improvements in simulated fields  
362 through the use of MHT, the reader is referred to Lakshmanan et al. (2012).

363 In an effort to remove any lingering non-meteorological clusters, a data value  
364 distribution threshold was set. It was observed that the majority of clusters associated  
365 with meteorological clusters exhibited unimodal distributions of azimuthal shear data  
366 values with central tendencies while the non-meteorological clusters typically exhibited



367 uniform distributions of very high azimuthal shear values (see examples in Figure 11).  
368 To account for this, clusters are pruned if more than 80% of their pixel values are greater  
369 than or equal to  $0.02 \text{ s}^{-1}$ . This has only an incremental impact on the field itself since  
370 most non-meteorological clusters are removed before this point.

371 Bands of high azimuthal shear values associated with linear meteorological  
372 phenomena like outflow boundaries and bow echoes also appear in the rotation track  
373 fields. In an effort to isolate the mesocyclone signatures from these bands of shear, all  
374 clusters were fit to ellipses and their aspect ratios were calculated. After testing many  
375 different thresholds, it was determined that size, data value distribution, and aspect ratio  
376 information could not be successfully used to discriminate between mesocyclone clusters  
377 and shear band clusters. Because of this, the band signatures remain in the rotation track  
378 fields for now.

### 379 3. Results

380 The quality control techniques discussed in the methods section were developed  
381 and tuned through testing on a variety of tornadic and nontornadic cases. The specific  
382 impacts of each technique will now be discussed and demonstrated in this section using  
383 cases that were not part of this training dataset.

#### 384 *a. New velocity dealiasing techniques*

385 Prior to this study, the LED dealiasing technique (Eilts and Smith 1990), the  
386 default method used for real-time processing of WSR-88D data, was used in the creation  
387 of rotation tracks. Recently, it was found that using the vertical profile of horizontal wind  
388 from the 20-km RUC point sounding at radar sites as estimates of the environmental wind

389 in the algorithm helped to alleviate some of the dealiasing issues, though many still  
390 persisted.

391         The two-dimensional dealiasing technique described by Jing and Weiner (1993)  
392 was tested and appears to perform much better at properly dealiasing the velocity fields  
393 due to the large reduction in radial spikes and non-meteorological velocity signatures.  
394 Using the RUC wind profiles as environmental estimates made some additional  
395 improvements as well. As seen by the representative example in Figure 12, the Jing and  
396 Weiner technique dealiases correctly many of the areas that the LED technique did not.  
397 Almost all of the noisy, high azimuthal shear values associated with dealiasing issues are  
398 removed by using the Jing and Weiner technique, making the rotation tracks much easier  
399 to interpret. A quantitative study to determine the best velocity dealiasing techniques is  
400 ongoing.

#### 401         *b. Range correction and reflectivity thresholds*

402         Whereas the azimuthal shear range correction does not make many visible  
403 improvements to the rotation track fields, the azimuthal shear values in storm circulations  
404 are more accurate estimates of the actual shear values. The ReflectivityQC threshold  
405 below which all co-located shear data is removed is increased from 20 dBZ to 40 dBZ.  
406 This “stamping out” of azimuthal shear by the dilated ReflectivityQC field helps to  
407 further isolate the azimuthal shear signatures associated with storms, as seen in Figure 13.

#### 408         *c. Hysteresis segmentation*

409         The rotation tracks are even further isolated from any background azimuthal shear  
410 values through the use of hysteresis segmentation. The tracks are more isolated and easier

411 to interpret (Fig. 14) since only the azimuthal shear clusters are accumulated over time  
412 rather than the entire field, including the low background values.

413 *d. Multiple hypothesis tracking*

414 After using hysteresis segmentation to form azimuthal shear clusters, the MHT  
415 algorithm is used to isolate the persistent clusters associated with storm-scale circulations  
416 from the non-meteorological clusters associated with any remaining poor velocity  
417 dealiasing signatures. Figure 15 illustrates the removal of some small, leftover  
418 circulations from the 27 April 2011 case by the MHT algorithm.

419 Figure 16 illustrates the differences between the original rotation track fields and  
420 the rotation track fields after the quality control efforts were implemented on four recent  
421 tornadic cases. Due to space constraints, only the overall improvements are shown.  
422 Radial spikes, which were especially problematic in the 16 April 2011 case over Virginia  
423 and North Carolina, were successfully removed. The low background azimuthal shear  
424 values and nearly all azimuthal shear values not associated with storms are removed in all  
425 four cases. Broad areas of non-mesocyclone shear still exist near some radar sites, but  
426 overall a significant improvement is seen in the quality of the data and the ease of  
427 interpretation.

428 To get a more quantitative idea of how MHT impacts rotation track fields, a  
429 cluster-tracking algorithm described in Lakshmanan et al. (2003) was used to identify and  
430 track the number of clusters in the 24 May 2011 event before and after implementing  
431 MHT. Before MHT was implemented, 62 different clusters were identified and tracked,  
432 whereas after MHT, only 41 clusters were identified and tracked in the eight hour case.  
433 The low level rotation tracks associated with these post-MHT clusters compare well to

434 reported tornado tracks in the several cases visually examined. Figure 17 shows how the  
435 low level rotation track products associated with tornado damage paths from the 24 May  
436 2011 event across central Oklahoma compare to the EF-scale ratings.

## 437 4. Conclusion

438 NSSL rotation track products are valuable tools in disaster response situations.  
439 They allow users to quickly assess both the spatial extent of mesocyclone circulations as  
440 seen by radar over time and assess their relative intensities. While these products were  
441 useful, a great deal of contamination initially was present due to poor velocity dealiasing,  
442 ground clutter, radar test patterns and spurious shear values. These non-meteorological  
443 signatures made the tracks nearly impossible to see in some extreme cases.

444 To mitigate these problems for both real-time use and for a multi-year rotation  
445 track climatology as part of the MYRORSS project, quality control strategies were  
446 developed and implemented. A two-dimensional velocity dealiasing technique using 20-  
447 km RUC wind data as input made large visual improvements in the quality of the initial  
448 radial velocity field. An azimuthal shear range correction algorithm and some simple data  
449 thresholds were added to the LLSD shear algorithm. Hysteresis segmentation was used to  
450 isolate clusters of high azimuthal shear associated with mesocyclone circulations in each  
451 time step of the two-dimensional maximum azimuthal shear fields and MHT techniques  
452 were used to associate them throughout time. Any clusters that did not persist for at least  
453 10 minutes (2 time steps) or were comprised of an unrealistic distribution of high  
454 azimuthal shear values were pruned. The remaining clusters were kept and used to create  
455 the rotation track fields.

456           While a few issues like the broad shear signatures around some radar sites remain,  
457 overall the rotation track fields show a great deal of qualitative improvement after the  
458 implementation of the quality control efforts. Whereas the tracks associated with the  
459 mesocyclones initially were diluted by background noise and non-meteorological  
460 signatures, they are now isolated and easier to interpret. Incorporating these  
461 improvements, processing of the MYRORSS rotation track climatology should begin in  
462 the near future.

463           *Acknowledgments.*

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466 Jennifer Newman for all their help and advice. The authors also thank Gabe Garfield,  
467 Kiel Ortega and Brandon Smith for allowing us to use their damage survey data for the  
468 24 May 2011 tornadoes.

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607

## 608 **List of Figures**

609 FIG. 1. Low level (0-3 km AGL) rotation tracks from the 27 April 2011 tornado outbreak.  
610 Swaths of high maximum azimuthal shear approximate the movement and strength of  
611 mesocyclone circulations within the supercells that moved southwest to northeast across  
612 the states of Alabama, Mississippi, and Tennessee between 16 UTC on 27 April and 00  
613 UTC on 28 April.

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615 FIG. 2. Low level (0-3 km AGL) rotation tracks across Virginia, North Carolina and  
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619 and can make data interpretation difficult, if not impossible, in some areas.

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621 FIG. 3. Radial velocity fields and the corresponding azimuthal shear fields from KDGX  
622 on 27 April 2011 at 2151 UTC created using different dealiasing strategies. (a) Radial  
623 velocity dealiased using the LED algorithm. (b) Azimuthal shear field associated with (a).  
624 (c) Radial velocity dealiased using the LED algorithm with an environmental wind field  
625 from an input 20-km RUC point sounding. (d) Azimuthal shear associated with (c). (e)  
626 Radial velocity field dealiased using the Jing and Weiner (1993) technique with an  
627 environmental wind field from an input 20-km RUC point sounding. (f) Azimuthal shear  
628 field associated with (e).

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632 dashed lines represent algorithms and white boxes with solid lines represent data fields.

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634 FIG. 5. (a) Low level (0-3 km AGL) rotation track field from the 2 March 2012 outbreak  
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636 of azimuthal shear surrounding the radar site at the center of the image. (b) The same  
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640 FIG. 6. (a) Reflectivity, (b) ReflectivityQC, (c) radial velocity, and (d) azimuthal shear  
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644 peaks of azimuthal shear values will be associated with clusters, while the middle peak  
645 will not be associated with a cluster because it contains no values above the higher data  
646 threshold.

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656 projected locations of the azimuthal shear clusters in the next time steps. Dashed arrows  
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658 projected location of the original cluster at time  $t$ . Shape B represents the actual location  
659 of the original cluster at time  $t$ . The algorithm generates two hypotheses for time  $t + 1$  (C  
660 and D). If the location of B is an error, then at time  $t + 1$  the cluster should move to  
661 position D. If the location of B is not an error but a change in motion, then B should  
662 move to location C at time  $t + 1$ . At time  $t + 1$ , the discovery of the target at either C or D  
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665 and size of B, it is likely that this cluster is associated with a non-meteorological shear  
666 signature and will not persist in time  $t + 1$ .

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668 FIG. 10. Multiple hypothesis tracking flow chart adapted from Root et al. (2011).

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672 hysteresis segmentation is shown in (b). An example of a cluster of high azimuthal shear  
673 values associated with a non-meteorological artifact is shown in (c) and the histogram of  
674 its data values after hysteresis segmentation is shown in (d). Note the nearly uniform  
675 distribution of very high values.

676

677 FIG. 12. Low level (0-3 km AGL) rotation tracks associated the 27 April 2011 tornado  
678 outbreak across Mississippi and Alabama produced using different velocity dealiasing  
679 techniques, no thresholds and no MHT. (a) Tracks made using velocity dealiasied with  
680 the LED algorithm. (b) Tracks made using velocity dealiasied with the LED algorithm  
681 with 20-km RUC input sounding. (c) Tracks made using velocity dealiasied with the Jing  
682 and Weiner (1993) technique. (d) Tracks made using velocity dealiasied with the Jing and  
683 Weiner (1993) technique with 20-km RUC input sounding.

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685 FIG. 13. Low level (0-3 km AGL) rotation tracks from the 27 April 2011 tornado  
686 outbreak. (a) Tracks created using the Jing and Weiner (1993) velocity dealiasing  
687 method with the input 20-km RUC sounding, without the azimuthal shear range  
688 correction and without the increased ReflectivityQC threshold. (b) Tracks created using  
689 the same velocity dealiasing method as in (a), but *with* the azimuthal shear range  
690 correction and the increased ReflectivityQC threshold. Note that the circled area of high  
691 azimuthal shear values associated with dealiasing errors over central Tennessee is much  
692 less prominent in (b).

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694 FIG. 14. Low level (0-3 km AGL) rotation track from the 27 April 2011 tornado  
695 outbreak. (a) Tracks created using the Jing and Weiner (1993) velocity dealiasing  
696 method with the input 20-km RUC sounding, with range correction, increased  
697 ReflectivityQC thresholds and *without* hysteresis segmentation. (b) The same tracks as  
698 (a) but *with* hysteresis segmentation implemented.

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700 FIG. 15. Low level (0-3 km AGL) rotation tracks across Mississippi and Alabama from  
701 the 27 April 2011 tornado outbreak. (a) Tracks created using the Jing and Weiner (1993)  
702 velocity dealiasing method with the input 20-km RUC sounding, *with* range correction,  
703 increased ReflectivityQC thresholds, hysteresis segmentation and *without* MHT. (b) The  
704 same tracks as (a) but *with* MHT. Note the several small clusters in west-central  
705 Mississippi are removed.

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707 FIG. 16. The impacts of the quality control efforts on low level (0-3 km AGL) rotation  
708 track products associated with four recent tornado events: the 27 April 2011 event before  
709 (a) and after (b) quality control, the 16 April 2011 event before (c) and after (d) quality  
710 control, the 24 May 2011 event before (e) and after (f) quality control, and the 2 March  
711 2012 event before (g) and after (h) quality control.

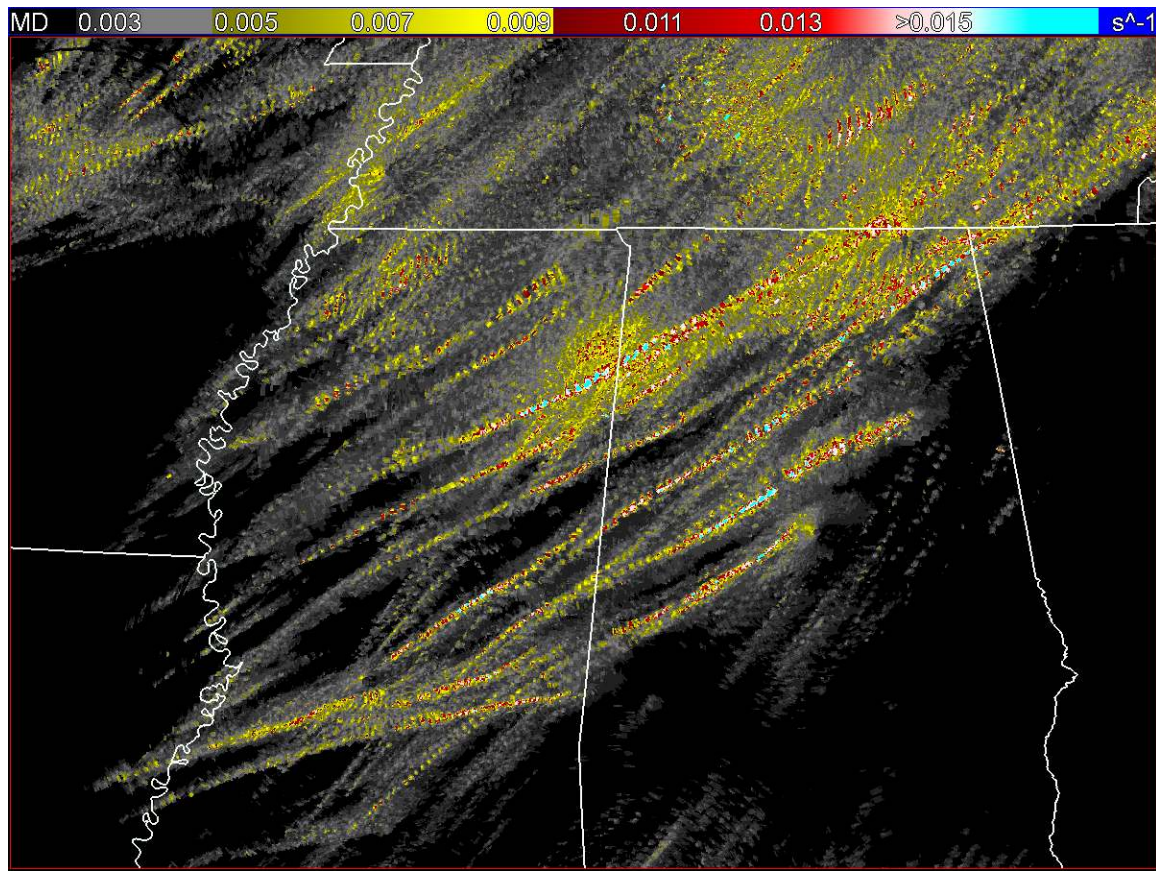
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713 FIG. 17. (a) Low level (0-3 km AGL) rotation tracks over a 145-minute period associated  
714 with the 24 May 2011 tornado outbreak across central Oklahoma. (b) Plotted tornado EF-  
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716 Survey data provided courtesy of Kiel Ortega, Brandon Smith, and Gabe Garfield.

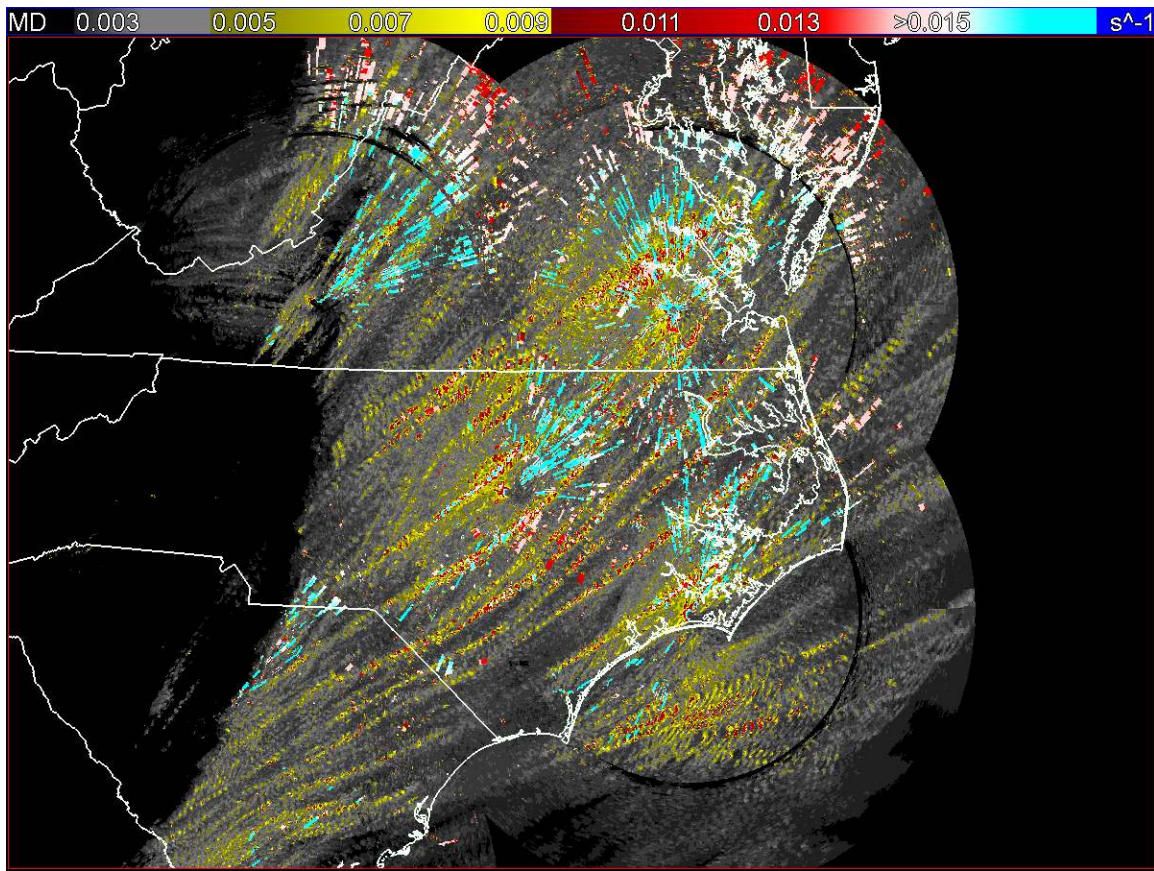
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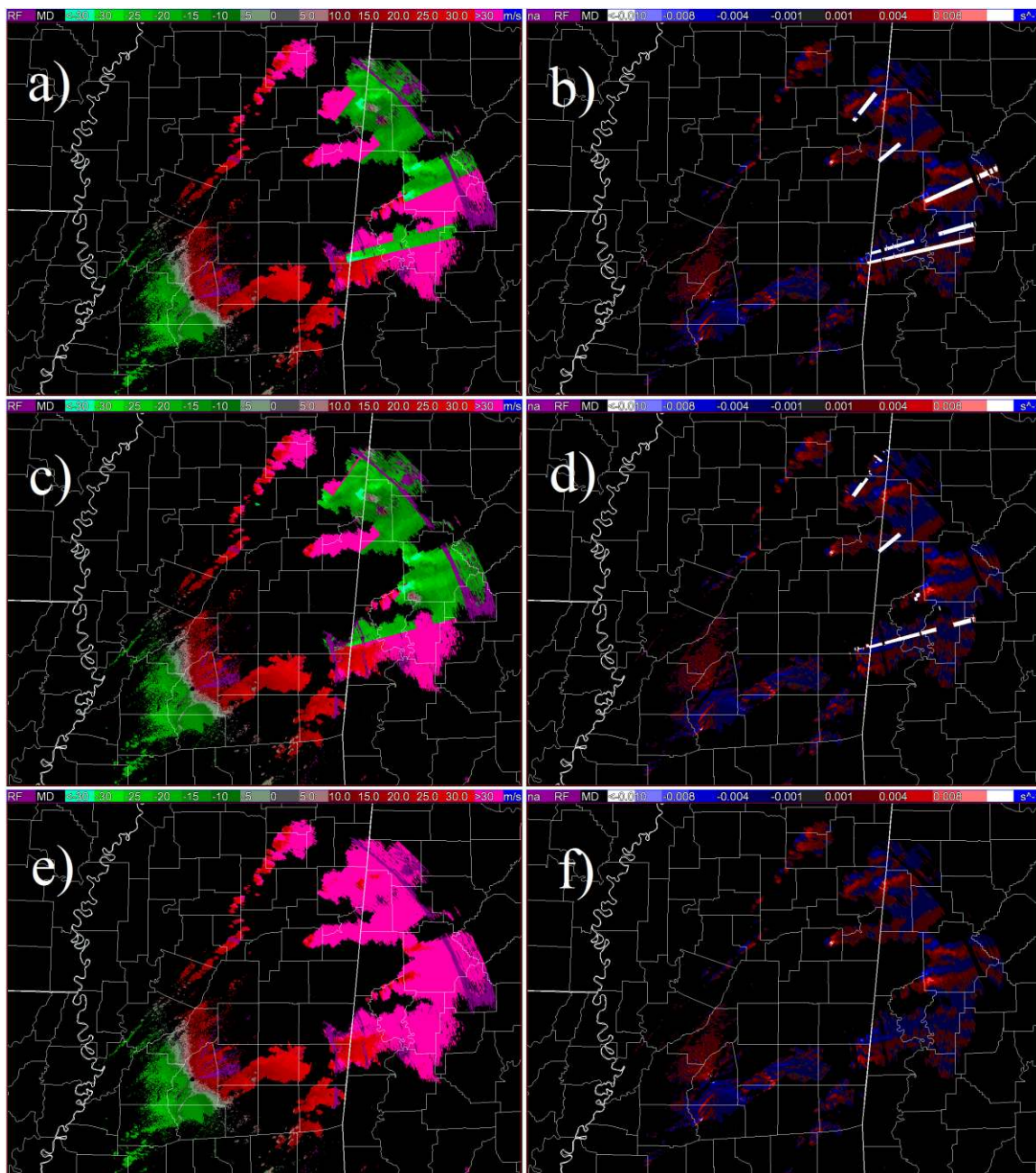
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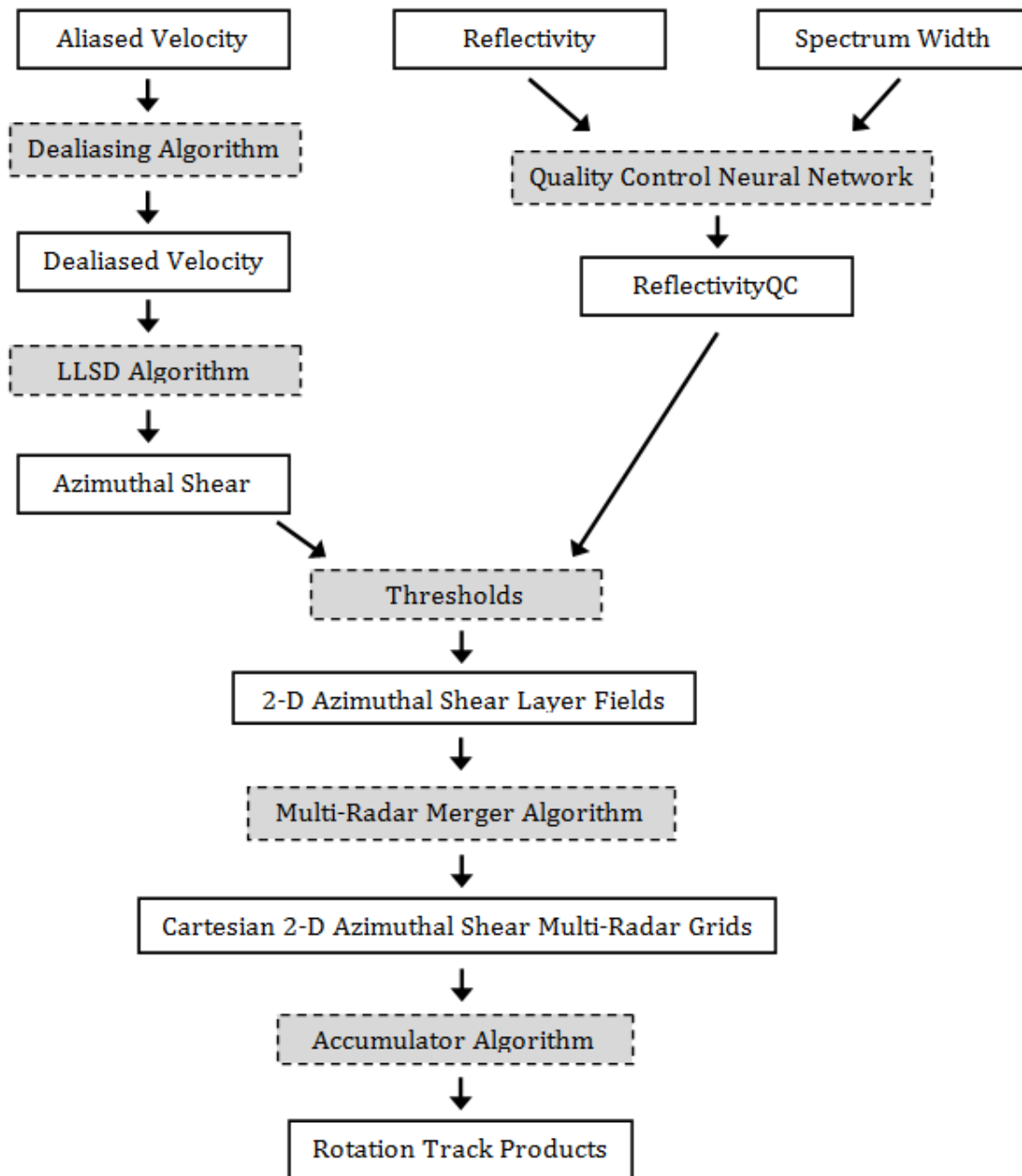
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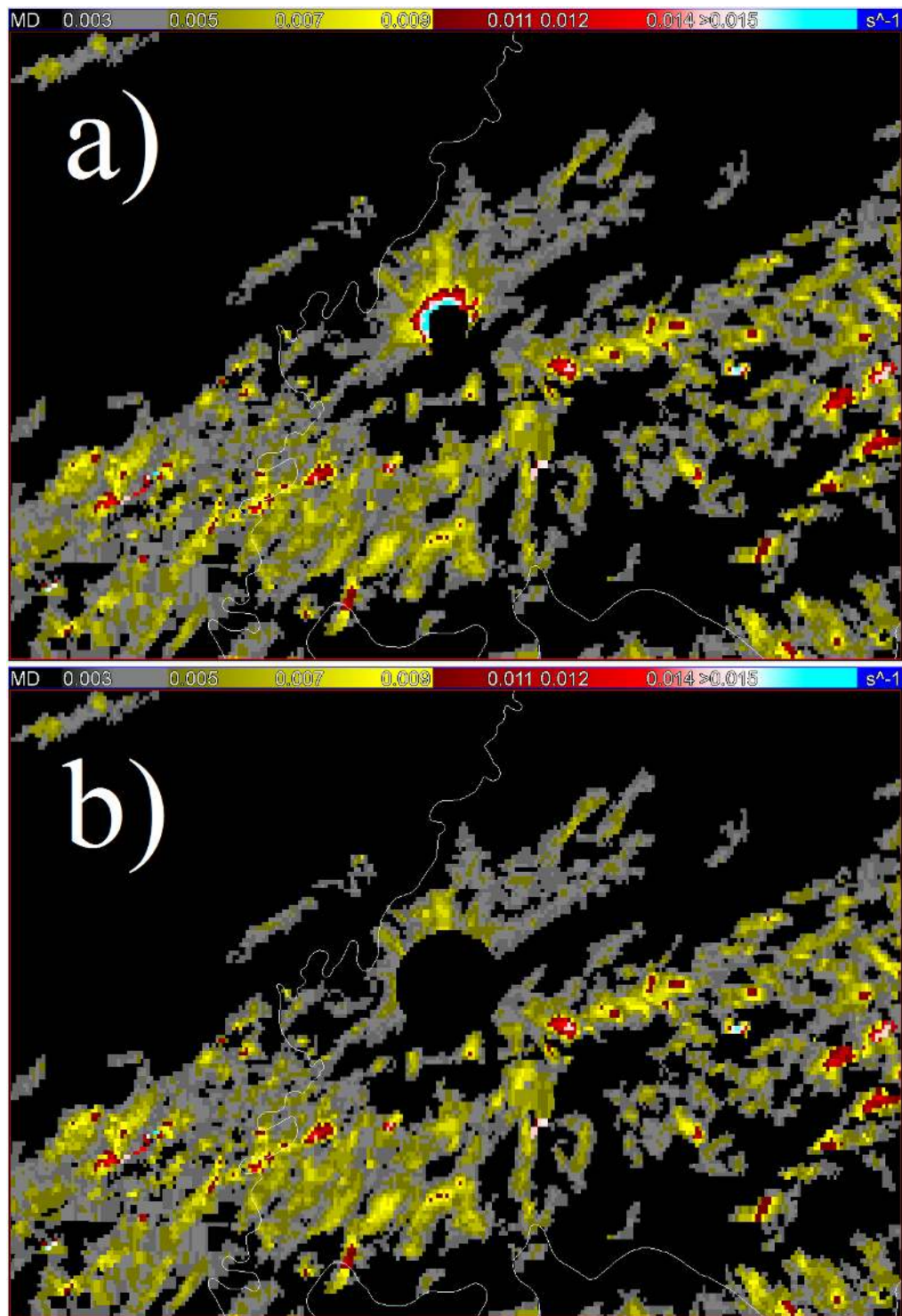
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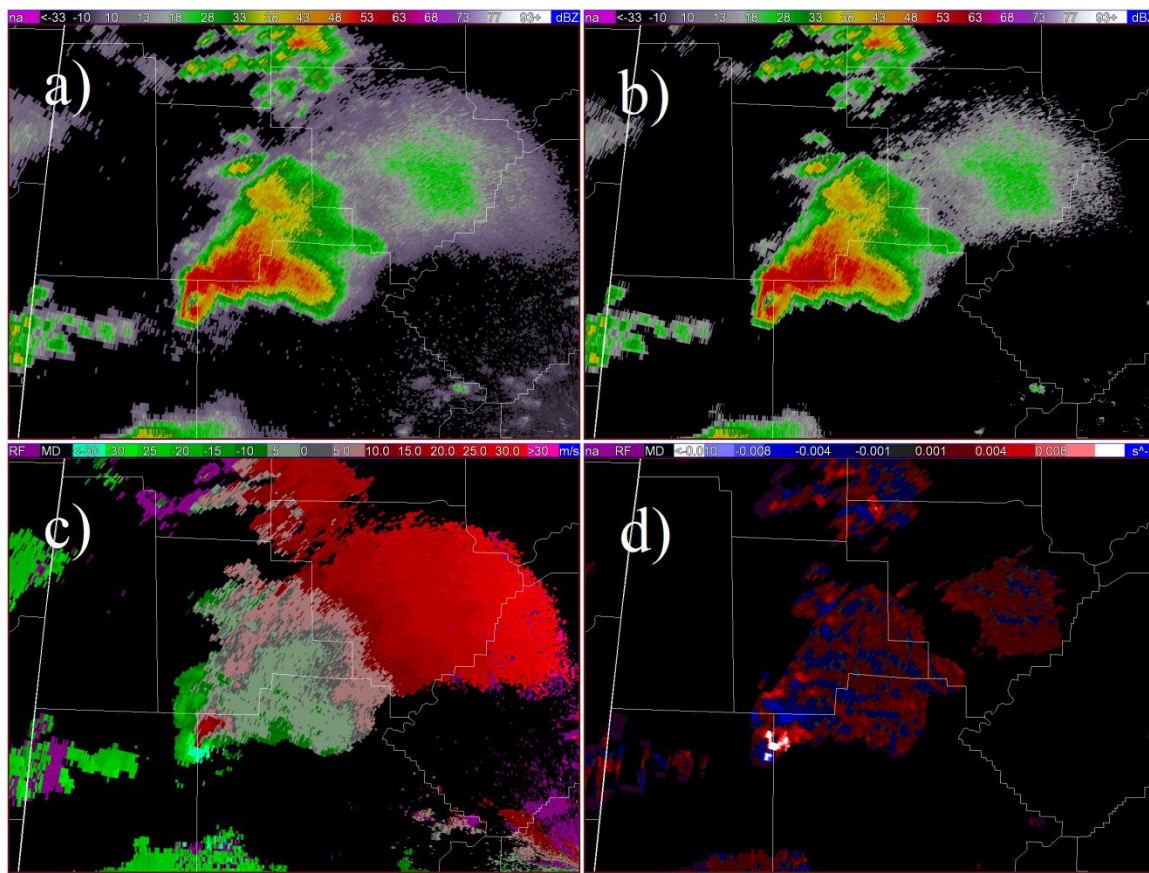


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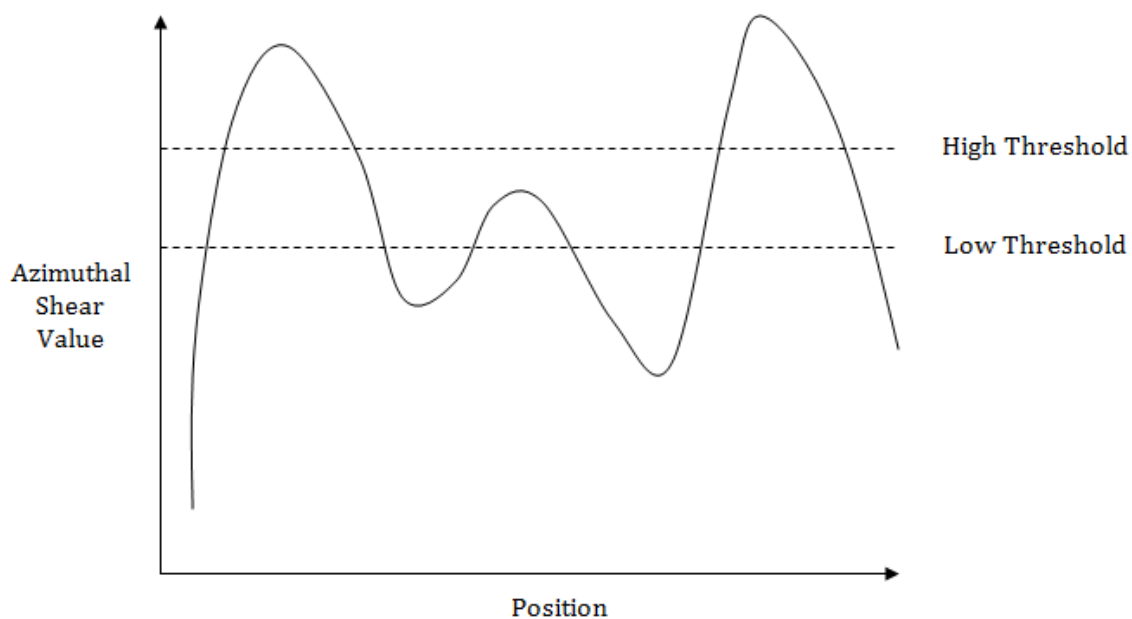


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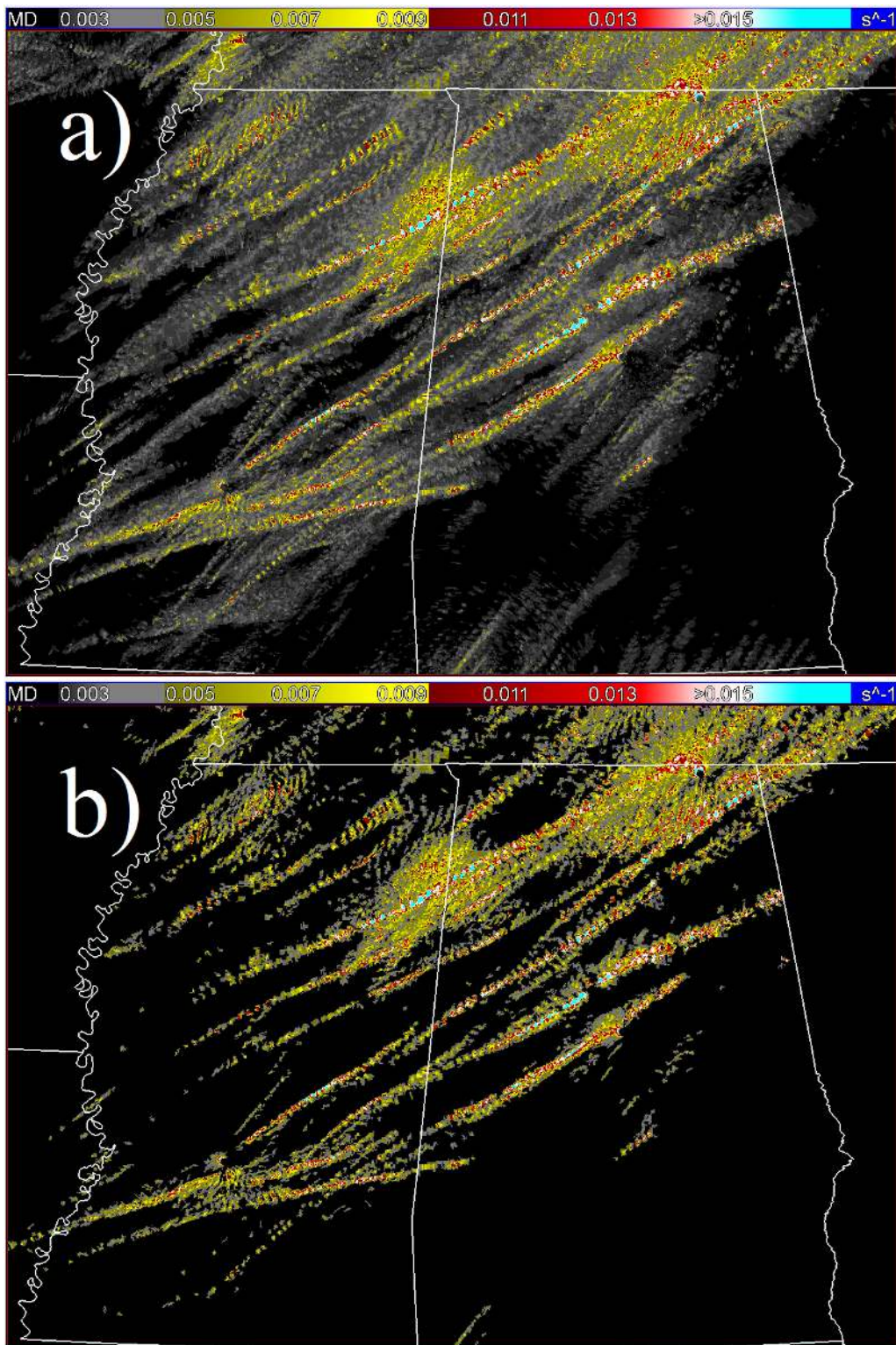
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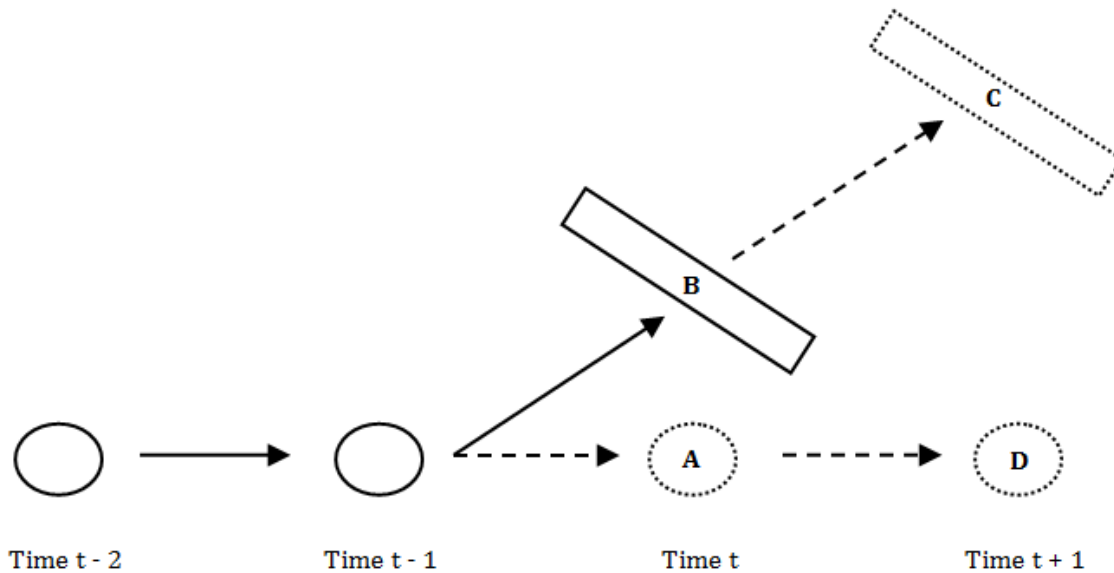
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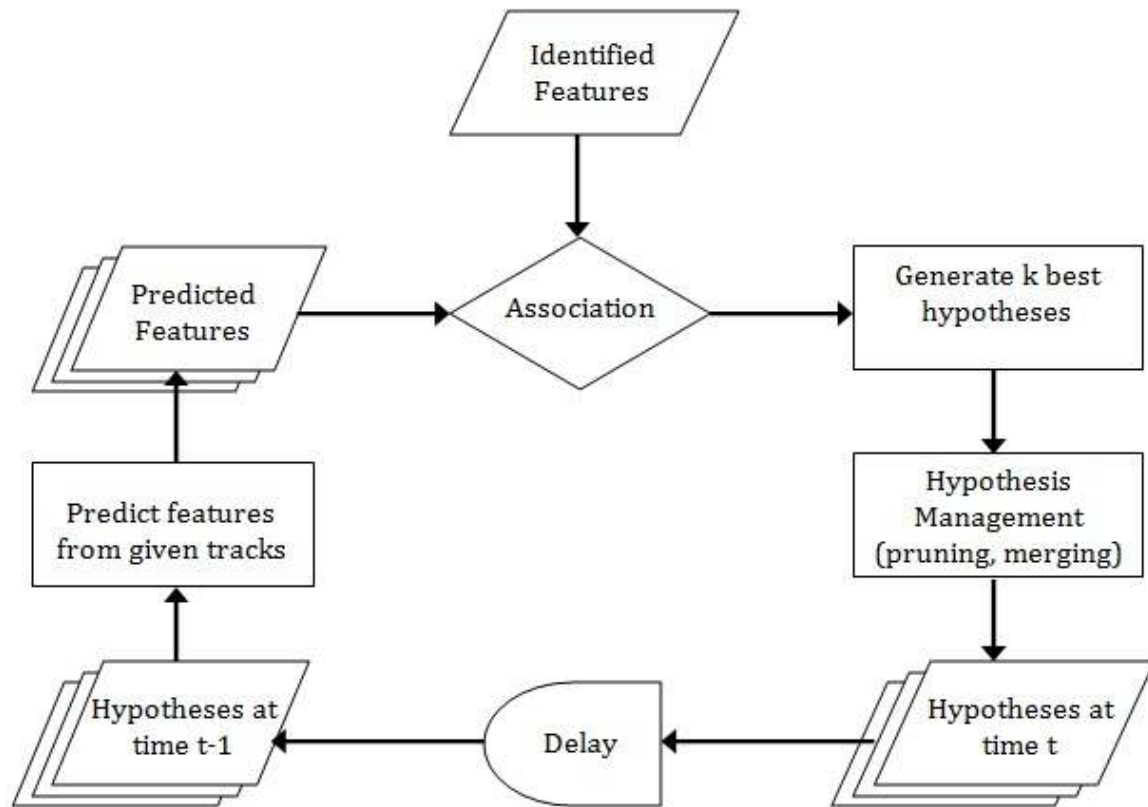
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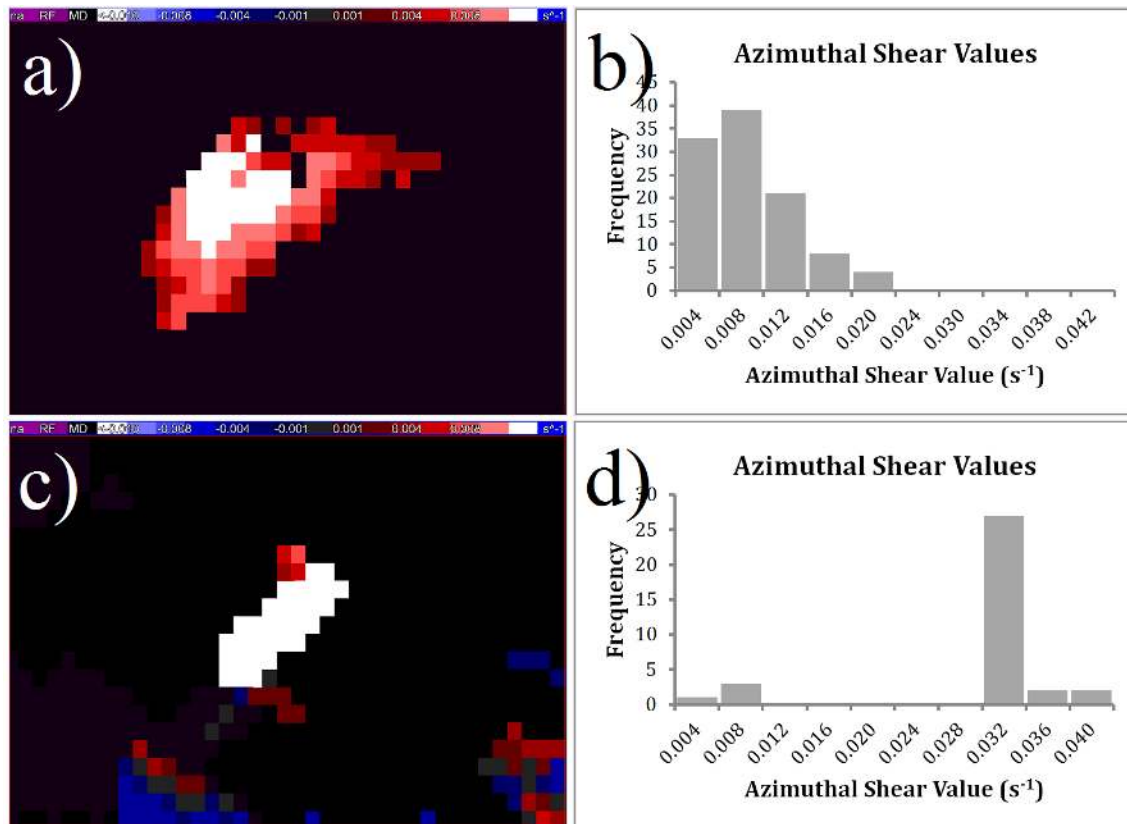
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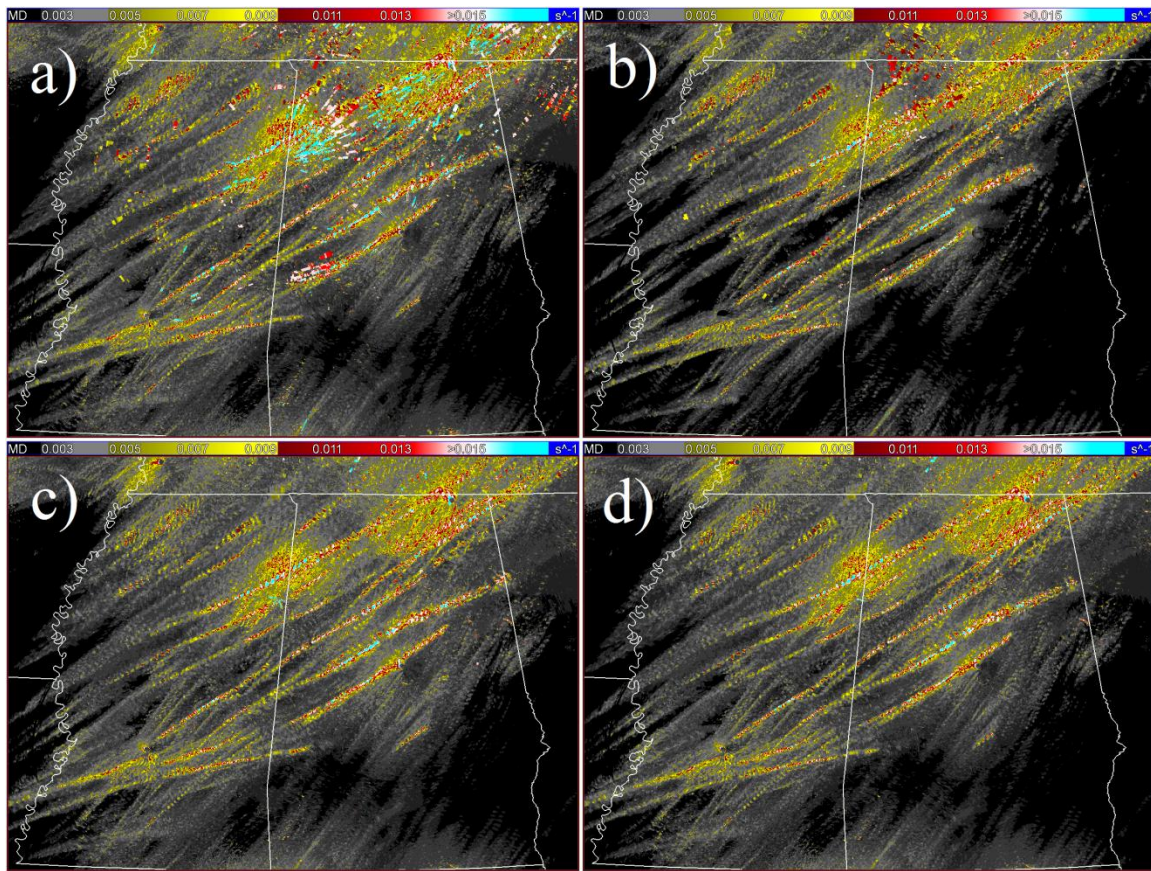
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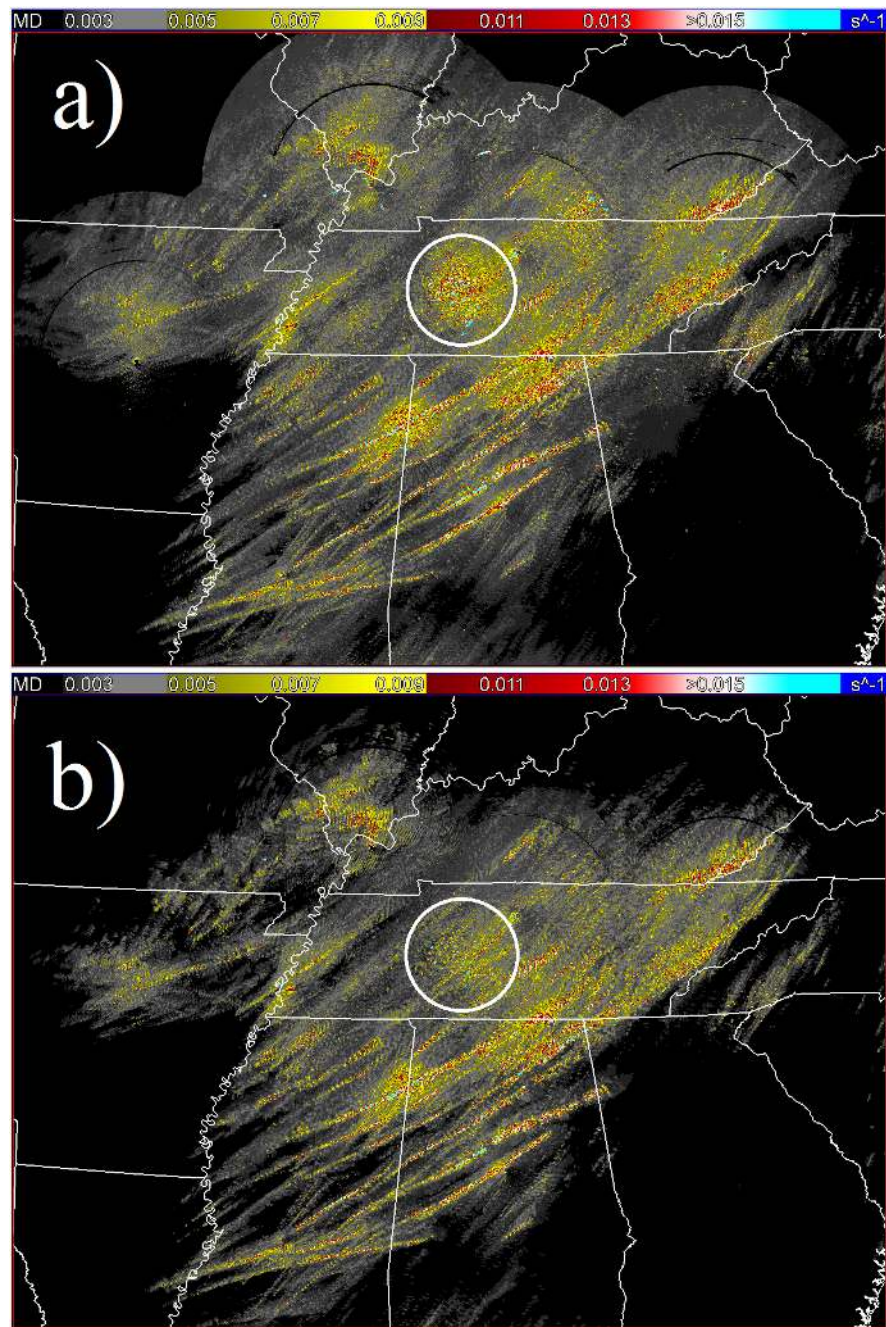
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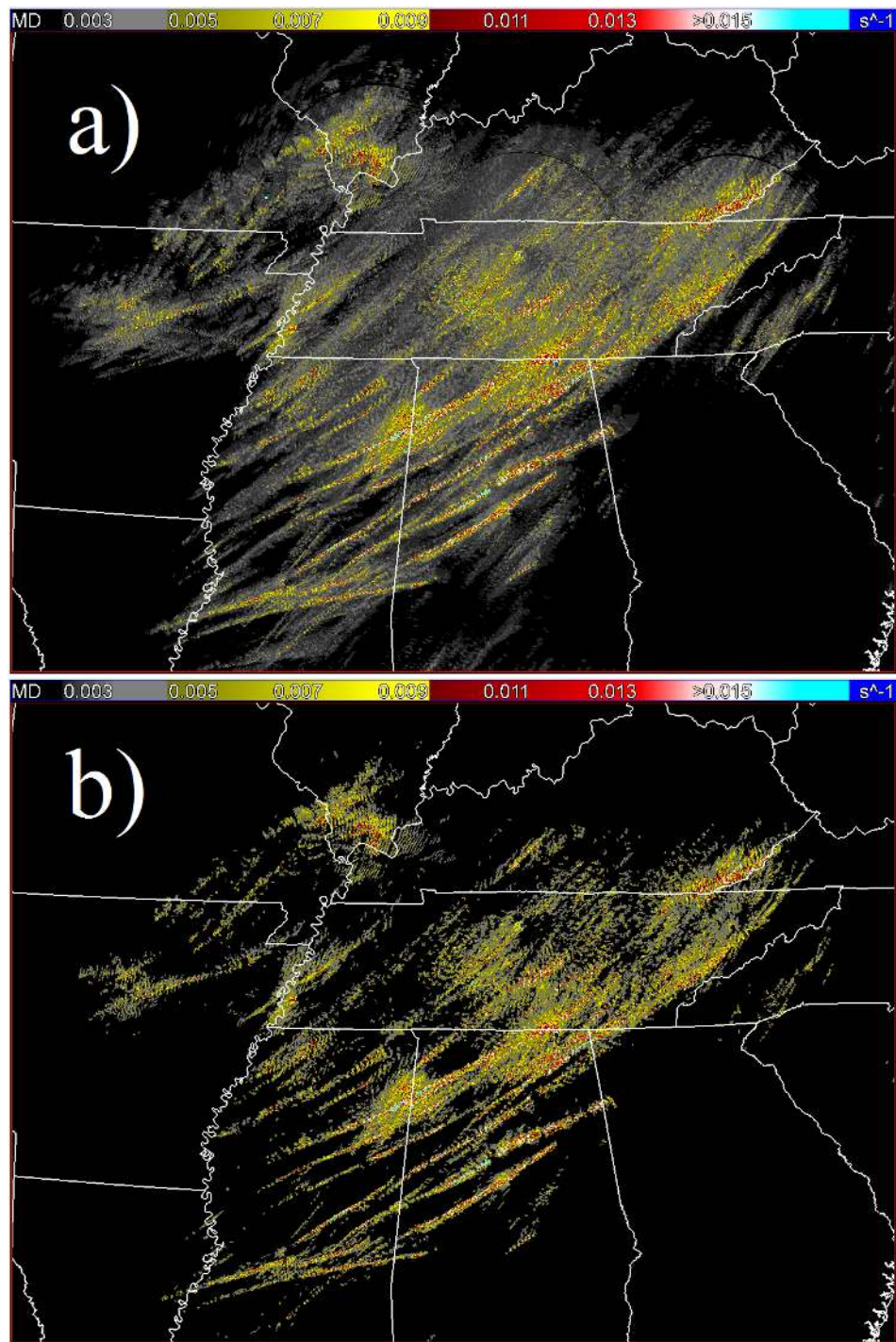
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 812 FIG. 12. Low level (0-3 km AGL) rotation tracks associated the 27 April 2011 tornado  
 813 outbreak across Mississippi and Alabama produced using different velocity dealiasing  
 814 techniques, no thresholds and no MHT. (a) Tracks made using velocity dealiasied with  
 815 the LED algorithm. (b) Tracks made using velocity dealiasied with the LED algorithm  
 816 with 20-km RUC input sounding. (c) Tracks made using velocity dealiasied with the Jing  
 817 and Weiner (1993) technique. (d) Tracks made using velocity dealiasied with the Jing and  
 818 Weiner (1993) technique with 20-km RUC input sounding.

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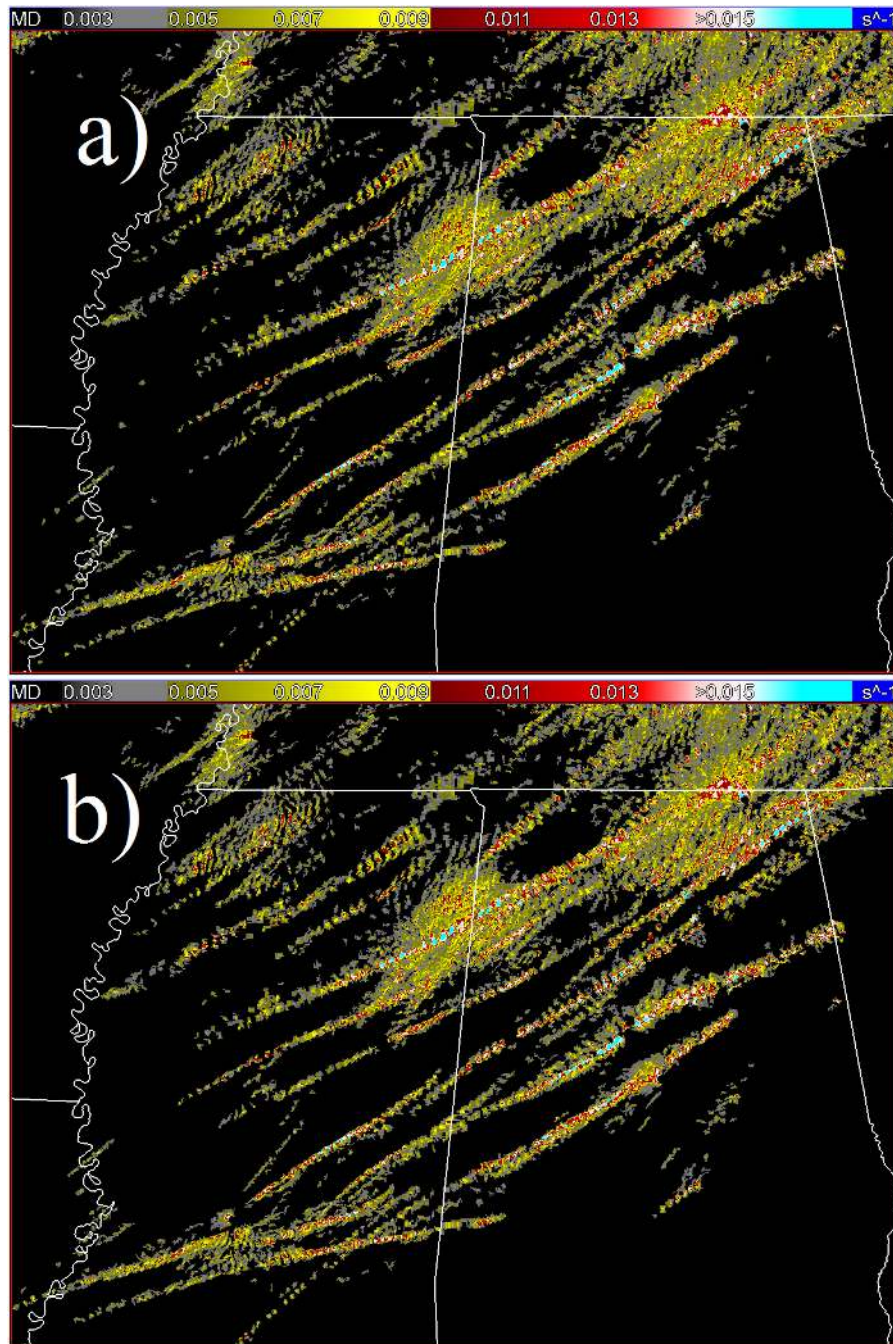
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823 FIG. 13. Low level (0-3 km AGL) rotation tracks from the 27 April 2011 tornado  
824 outbreak. (a) Tracks created using the Jing and Weiner (1993) velocity dealiasing  
825 method with the input 20-km RUC sounding, without the azimuthal shear range  
826 correction and without the increased ReflectivityQC threshold. (b) Tracks created using  
827 the same velocity dealiasing method as in (a), but *with* the azimuthal shear range  
828 correction and the increased ReflectivityQC threshold. Note that the circled area of high  
829 azimuthal shear values associated with dealiasing errors over central Tennessee is much  
830 less prominent in (b).



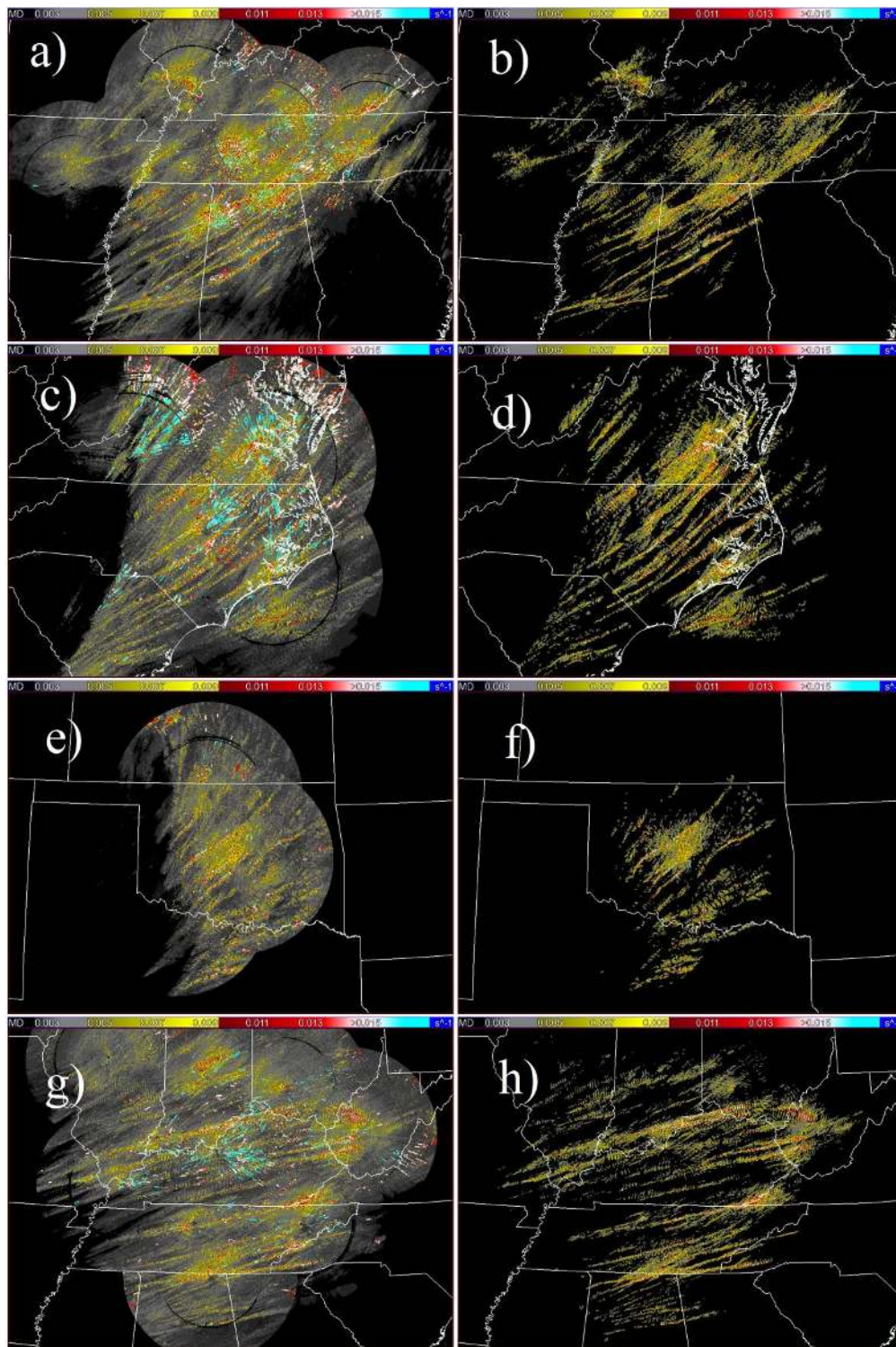
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FIG. 14. Low level (0-3 km AGL) rotation track from the 27 April 2011 tornado outbreak. (a) Tracks created using the Jing and Weiner (1993) velocity dealiasing method with the input 20-km RUC sounding, with range correction, increased ReflectivityQC thresholds and *without* hysteresis segmentation. (b) The same tracks as (a) but *with* hysteresis segmentation implemented.



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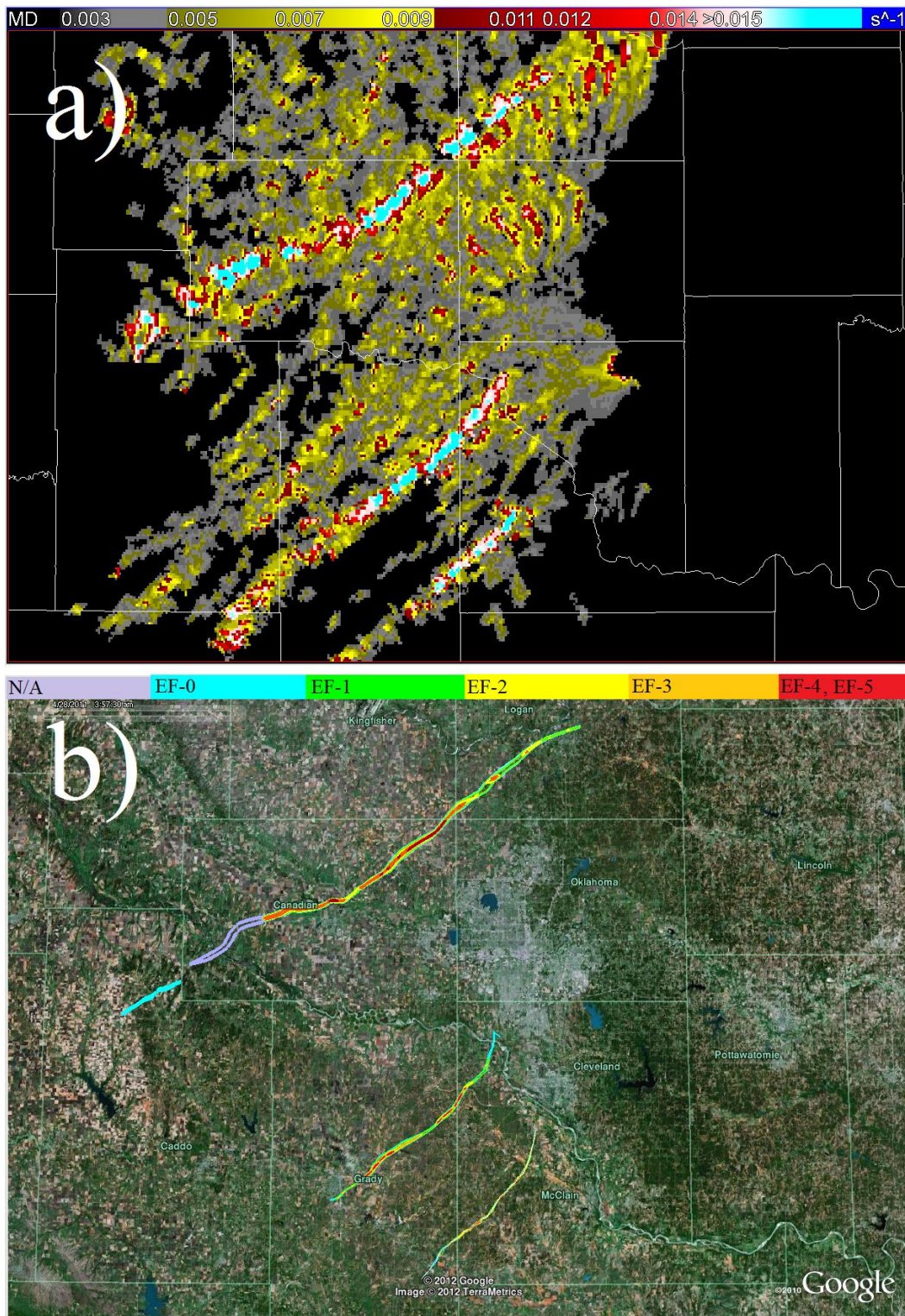
FIG. 15. Low level (0-3km AGL) rotation tracks across Mississippi and Alabama from the 27 April 2011 tornado outbreak. (a) Tracks created using the Jing and Weiner (1993) velocity dealiasing method with the input 20-km RUC sounding, *with* range correction, increased ReflectivityQC thresholds, hysteresis segmentation and *without* MHT. (b) The same tracks as (a) but *with* MHT. Note the several small clusters in west-central Mississippi are removed.



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FIG. 16. The impacts of the quality control efforts on low level (0-3 km AGL) rotation track products associated with four recent tornado events: the 27 April 2011 event before (a) and after (b) quality control, the 16 April 2011 event before (c) and after (d) quality control, the 24 May 2011 event before (e) and after (f) quality control, and the 2 March 2012 event before (g) and after (h) quality control.





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 856 FIG. 17. (a) Low level (0-3 km AGL) rotation tracks over a 145-minute period associated  
 857 with the 24 May 2011 tornado outbreak across central Oklahoma. (b) Plotted tornado EF-  
 858 scale ratings associated with tornadoes that occurred during that same time period as (a).  
 859 Survey data provided courtesy of Kiel Ortega, Brandon Smith, and Gabe Garfield.